

**Title: THE INFLUENCE OF SEA ICE ON PRIMARY PRODUCTION
IN THE SOUTHERN OCEAN: A SATELLITE PERSPECTIVE**

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ABSTRACT: Sea ice in the Southern Ocean is a major controlling factor on phytoplankton productivity and growth, but the relationship is modified by regional differences in atmospheric and oceanographic conditions. We used the phytoplankton biomass (binned at 7-day intervals), PAR and cloud cover data from SeaWiFS, ice concentrations data from SSM/I and AMSR-E, and sea-surface temperature data from AVHRR, in combination with a vertically integrated model to estimate primary productivity throughout the Southern Ocean (south of 60°S). We also selected six areas within the Southern Ocean and analyzed the variability of the primary productivity and trends through time, as well as the relationship of sea ice to productivity. We found substantial interannual variability in productivity from 1997 – 2005 in all regions of the Southern Ocean, and this variability appeared to be driven in large part by ice dynamics. The most productive regions of Antarctic waters were the continental shelves, which showed the earliest growth, the maximum biomass, and the greatest areal specific productivity. In contrast, no large, sustained blooms occurred in waters of greater depth (> 1,000 m). We suggest that this is due to the slightly greater mixed layer depths found in waters off the continental shelf, and that the interactive effects of iron and irradiance (that is, increased iron requirements in low irradiance environments) result in the limitation of phytoplankton biomass over large regions of the Southern Ocean. Annual productivity of the Southern Ocean south of 60°S averages $23.65 \text{ g C m}^{-2} \text{ y}^{-1}$, but yearly means ranged from $22.10 - 25.49 \text{ g C m}^{-2} \text{ d}^{-1}$ in 1998 and 2004, respectively. Annual primary productivity over the entire Southern Ocean appears to have increased significantly since 1998, and much of this increase was confined to the months of January and February. Causes for this trend remain unclear.

Popular Summary: Sea ice is of paramount importance in controlling phytoplankton productivity, growth and biomass in polar regions on all scales. At the small scale, sea ice can release epontic algae into the water, providing to the water column an inoculum of species that either continue their growth in the surface layer or rapidly sink through the water column to depth. Also, the production of melt water and the generation of a stratified surface layer can give rise to increased phytoplankton growth and accumulation within the marginal ice zone, and depending on how rapidly the ice edge retreats, can be a major site of autotrophic production for the entire Southern Ocean. On the large scale, ice is the major regulator of the availability of irradiance to phytoplankton, and hence controls the large-scale distribution of phytoplankton abundance and production. The Southern Ocean shows a significant amount of interannual variability in environmental and oceanographic features, such as ice concentration, distribution, and surface seawater temperatures, as well as in biological variables, such as pigment concentrations. During the nine-year study period (1997 – 2006) ice concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were not correlated with phytoplankton pigments or productivity and showed the greatest variations in areas of the

Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal regions, yet the maximum values were found on the continental shelf (in waters less than 800 m), but not in extremely shallow waters. Enhanced pigments were seldom observed in deep waters, which results from a deeper mixed layer and reduced iron concentrations, each of which by itself may not limit growth and accumulation, but the interactive effects might effectively preclude substantial phytoplankton growth. Productivity in the entire Southern Ocean showed a substantial and significant increase, and much of this increase was due to changes during the austral summer months. This suggests that changes in ice concentrations do not solely allow an accurate prediction of temporal trends in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in stratification, currents and iron supply) also may have a significant impact. No significant changes in the productivity of our selected regions was observed, largely due to the substantial variability of each region. Understanding the large-scale relationships over the entire Southern Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the Antarctic.

Significant Findings: The Southern Ocean shows a significant amount of interannual variability in environmental and oceanographic features, such as ice concentration, distribution, and surface seawater temperatures, as well as in biological variables, such as pigment concentrations. During the nine-year study period (1997 – 2006) ice concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were not correlated with phytoplankton pigments or productivity and showed the greatest variations in areas of the Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal regions, yet the maximum values were found on the continental shelf (in waters less than 800 m), but not in extremely shallow waters. Enhanced pigments were seldom observed in deep waters, which results from a deeper mixed layer and reduced iron concentrations, each of which by itself may not limit growth and accumulation, but the interactive effects might effectively preclude substantial phytoplankton growth. Productivity in the entire Southern Ocean showed a substantial and significant increase, and much of this increase was due to changes during the austral summer months. This suggests that changes in ice concentrations do not solely allow an accurate prediction of temporal trends in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in stratification, currents and iron supply) also may have a significant impact. No significant changes in the productivity of our selected regions was observed, largely due to the substantial variability of each region. Understanding the large-scale relationships over the entire Southern Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the Antarctic.

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ABSTRACT

25
26 Sea ice in the Southern Ocean is a major controlling factor on phytoplankton productivity
27 and growth, but the relationship is modified by regional differences in atmospheric and
28 oceanographic conditions. We used the phytoplankton biomass (binned at 7-day intervals), PAR
29 and cloud cover data from SeaWiFS, ice concentrations data from SSM/I and AMSR-E, and sea-
30 surface temperature data from AVHRR, in combination with a vertically integrated model to
31 estimate primary productivity throughout the Southern Ocean (south of 60°S). We also selected
32 six areas within the Southern Ocean and analyzed the variability of the primary productivity and
33 trends through time, as well as the relationship of sea ice to productivity. We found substantial
34 interannual variability in productivity from 1997 – 2005 in all regions of the Southern Ocean,
35 and this variability appeared to be driven in large part by ice dynamics. The most productive
36 regions of Antarctic waters were the continental shelves, which showed the earliest growth, the
37 maximum biomass, and the greatest areal specific productivity. In contrast, no large, sustained
38 blooms occurred in waters of greater depth (> 1,000 m). We suggest that this is due to the
39 slightly greater mixed layer depths found in waters off the continental shelf, and that the
40 interactive effects of iron and irradiance (that is, increased iron requirements in low irradiance
41 environments) result in the limitation of phytoplankton biomass over large regions of the
42 Southern Ocean. Annual productivity of the Southern Ocean south of 60°S averages 23.65 g C
43 m⁻² y⁻¹, but yearly means ranged from 22.10 – 25.49 g C m⁻² d⁻¹ in 1998 and 2004, respectively.
44 Annual primary productivity over the entire Southern Ocean appears to have increased
45 significantly since 1998, and much of this increase was confined to the months of January and
46 February. Causes for this trend remain unclear.

47 **Introduction**

48 Sea ice is of paramount importance in controlling phytoplankton productivity, growth and
49 biomass in polar regions on all scales. At the small scale, sea ice can release epontic algae into
50 the water, providing to the water column an inoculum of species that either continue their growth
51 in the surface layer or rapidly sink through the water column to depth (Thomas, 2004).
52 Mesoscale processes, such as ice melt, also influence phytoplankton. For example, the
53 production of melt water and the generation of a stratified surface layer can give rise to increased
54 phytoplankton growth and accumulation within the marginal ice zone (MIZ: Smith and Nelson,
55 1985), and depending on how rapidly the ice edge retreats, can be a major site of autotrophic
56 production for the entire Southern Ocean (Smith and Nelson, 1986). On the large scale, ice is the
57 major regulator of the availability of irradiance to phytoplankton, and hence controls the large-
58 scale distribution of phytoplankton abundance and production.

59 However, because the physical forcing varies from region to region, the effects of melting ice
60 in the marginal ice zone are variable. For example, in the Ross Sea ice initially is reduced in its
61 ice cover via polynya expansion and ice advection, and continued expansion then is normally
62 determined by ice retreat to the east and west that is driven by in situ melting (Tremblay and
63 Smith, 2007). A stratified surface layer is generated that can vary in both space and time based
64 on the amount of melt water input (Smith et al., 2006). In the Bellingshausen Sea the zone of
65 enhanced biomass of phytoplankton was associated with a current-generated front, rather than
66 the melting ice (Boyd et al., 1995; Waldron et al., 1995), whereas in the Weddell Sea the MIZ
67 was correlated with enhanced phytoplankton accumulation, although variations along the ice
68 were observed (Nelson et al., 1987). Ice-edge phytoplankton blooms are not routinely observed
69 in the East Antarctica region (e.g., Strutton et al., 2000). Phytoplankton biomass over the broad

70 region in the Pacific sector of the Antarctic Convergence Current (ACC) was coupled to ice
71 retreat (Moore et al., 2000) and nutrient removal as modified by iron limitation (Hiscock et al.,
72 2003). While ice retreat undoubtedly introduces low density water to the surface layer, it does
73 not in all cases increase stratification for long enough to allow for a marked phytoplankton
74 growth stimulation and accumulation.

75 Smith and Nelson (1985) also suggested that the spatial extent of an ice-edge bloom would
76 be constrained by the wind-induced reduction in stratification away from the ice. This was based
77 on density determinations of sections that extended some 300 km from the ice edge, and was
78 supported by work of Alexander and Niebauer (1981) in the Bering Sea, who reported
79 phytoplankton blooms in the MIZ that were delineated by the extent of stratification. However,
80 longer sections in the Ross Sea have shown that sufficient stratification occurs within the entire
81 polynya during the ice-free period, although slightly deeper mixed layers routinely occur away
82 from the ice edge (Smith and Asper, 2001; Smith et al., 2006). Seasonal variations in the depth
83 of the mixed layer are far greater than the differences between the ice-edge and central region,
84 and it appears that the deepening of the mixed layer and the erosion of stratification is primary
85 driven by ice formation and brine rejection, rather than increases in winds (Tremblay and Smith,
86 2007). Therefore, the spatial extent of any ice-edge bloom likely varies as a function of regional
87 physical forcing.

88 Estimates of the productivity of the Southern Ocean, either regional or basin-wide, have
89 substantial uncertainty. Early estimates suggested that the overall productivity of the Southern
90 Ocean averaged $16 \text{ g C m}^{-2} \text{ y}^{-1}$ (Holm-Hansen et al., 1977), which is similar to rates in
91 subtropical oceans. Inclusion of the marginal ice zone productivity increased the estimate by
92 60% (Smith and Nelson, 1986), but all of the above estimates had extremely large uncertainties.

93 Arrigo et al. (1998b) used data from the SSM/I and CZCS satellite sensors and estimated that the
94 productivity of the Southern Ocean was some four times greater than had previously been
95 estimated, but the accuracy of the model results is compromised by errors in the CZCS
96 chlorophyll estimates, relatively poor spatial/temporal resolution, and substantial effects of
97 clouds. A similar approach was used by Moore and Abbott (2000), who estimated productivity
98 south of 50°S to be from 62-82 g C m⁻² y⁻¹, depending on the assumptions used. However, these
99 were the first attempts to uniformly treat the productivity of the entire Southern Ocean and
100 estimate its productivity using remote sensing, similar to what had been attempted in other
101 oceanic regions (e.g., Behrenfeld and Falkowski, 1997; Campbell et al., 2002; Behrenfeld et al.,
102 2007).

103 Here we use an approach similar to that of Arrigo et al. (1998b) and Moore and Abbott
104 (2000) to study the productivity of the Southern Ocean, in that we incorporate phytoplankton
105 pigment assessments, surface temperature estimates, modeled irradiance, and observed ice
106 concentrations, and incorporate them into a vertically integrated production model to estimate
107 productivity according to the technique of Behrenfeld et al. (2002). We also select six regions to
108 assess the decadal changes in productivity at those sites, and also assess the relationship between
109 phytoplankton productivity with ice concentrations (a proxy for stratification) and bathymetry in
110 waters of the Antarctic. The resultant assessment of Southern Ocean productivity is the most
111 exhaustive ever compiled and provides an improvement in the quantitative role of carbon
112 fixation in Antarctic waters.

113 **Materials and Methods**

114 The key parameters used in this study are ice concentrations, sea surface temperatures,
115 phytoplankton pigment concentrations, photosynthetically active radiation (PAR), and cloud

116 cover, all of which are derived from satellite data. Ice concentrations and associated parameters
117 (e.g., ice extent and area) were derived using data from the Special Sensor Microwave Imager
118 (SSM/I) on the Defense Meteorological Satellite Program (DMSP) and mapped on a polar
119 stereographic grid at a 25 × 25 km resolution. Ice concentrations were derived from satellite
120 passive microwave data using the enhanced Bootstrap algorithm used for AMSR-E data and
121 adapted for SSM/I data (e.g., Comiso et al., 2003, Comiso, 2004). Sea surface temperatures
122 were derived from thermal infrared channels of the NOAA/Advanced Very High Resolution
123 Radiometer (AVHRR) as described in Comiso (2003). Pigment concentrations derived from
124 Sea-viewing Wide Field of View Sensor (SeaWiFS) data were provided by the NASA/Goddard
125 Earth Sciences (GES)/Distributed Active Archive Center (DAAC). Surface temperature and
126 pigment concentration data have been gridded in the same manner as the sea ice concentration
127 data but on a 6.25 × 6.25 km resolution. Daily, average pigment concentrations were estimated
128 using the standard SeaWiFS algorithm with OC4 (Version 4) calibration (Pat et al., 2003) and
129 used to generate weekly (7-day bins) and monthly data sets from 1997 to 2006. PAR data were
130 extracted as part of the SeaWiFS data and treated similarly. It is important to note that because
131 of cloud and ice masking the weekly and monthly averages do not reflect true averages, but are
132 averages of daylight data (for each data element) available during clear-sky, ice-free conditions
133 only.

134 Temperature, PAR and chlorophyll concentrations were used with a vertically generalized
135 production model (Behrenfeld and Falkowski, 1997) in which primary productivity (PP_{eu} , in
136 units of $\text{mg C m}^{-2} \text{d}^{-1}$) was calculated by the following equation:

137
$$PP_{eu} = 0.66125 \times P_{opt}^B \frac{E_o}{E_o + 4.1} C_{Sat} \times Z_{eu} \times D_{Irr} \quad (\text{Eq. 1})$$

138 where P_{opt}^B is the optimal rate of photosynthesis within the water column ($\text{mg C (mg chl)}^{-1} \text{ h}^{-1}$)
139 and is regulated by temperature, E_o is the surface daily PAR ($\text{mol photons m}^{-2} \text{ d}^{-1}$), C_{sat} is the
140 surface chlorophyll concentration (mg chl m^{-3}) determined by satellite, Z_{eu} is the depth of the
141 euphotic zone in meters, and D_{irr} is the photoperiod (h). P_{opt}^B was estimated from sea surface
142 temperatures by the polynomial equation of Behrenfeld and Falkowski (1997), and all values at
143 temperatures less than -1.0°C were set to 1.13. Productivity was calculated on a daily basis, and
144 binned in a manner similar to that of chlorophyll. The gridding technique (the so called "drop in
145 a bucket" procedure) and the presence of clouds caused a large fraction of data elements (pixels)
146 in the daily maps to have missing data. In the case where a single empty or voided pixel is
147 surrounded by pixels with data, a simple interpolation technique is utilized to fill the empty
148 pixel. For slightly larger data gaps of a few pixels, a combination of spatial and temporal
149 interpolation was utilized. Such interpolation filled only a very small fraction of missing data in
150 the daily map, and for time-series studies weekly averages were produced as the basic product.

151 We recognize that regional algorithms have been developed for certain parts of the
152 Southern Ocean (e.g., Ross Sea: Arrigo et al., 1998b, Diersson and Smith, 2000), and that these
153 formulations provide a more accurate estimate of phytoplankton biomass in each area. We chose
154 to use the output from the standard global algorithm to simplify the comparison of regions and of
155 various years, to facilitate a comparison among all regions, and to avoid problems of defining
156 boundaries of optically different regions. While this approach may introduce error into absolute
157 estimates of productivity within a region, it provides a uniform basis to compute productivity
158 throughout the Southern Ocean, as regional algorithms (some of which need more rigorous
159 validation) are not available for all areas. We also chose specific regions for a more in-depth
160 analysis (Figure 1). These regions [Ross Sea I (RS I): the southern Ross Sea; Ross Sea II (RS

161 II), the central Ross Sea; the West Antarctic Peninsula (WAP), the South Georgia region in the
162 Antarctic Circumpolar Current (ACC); the Weddell Sea (WS); and the Indian Ocean (IO)], were
163 selected based on their large seasonal ice variations and enhanced productivity values measured
164 previously using discrete methods. The Indian Ocean study region was identified as a region
165 with consistently low pigment concentrations and included to assess how the variability of an
166 area that is a site of persistently deep, wind-induced mixed layers and low productivity compares
167 with the biomass and productivity of the other selected regions.

168 **Results**

169 *Irradiance*

170 Irradiance has two components: photoperiod and absolute irradiance impinging on the sea
171 surface. Photoperiod can be relatively easily modeled, as it is solely a function of latitude
172 (Figure 2a). Within the Southern Ocean, photoperiod varies from 0 – 24 h within one year, and
173 from 16.3 – 24 hours at the seasonal maximum (December 21). Surface PAR can be either
174 measured via satellite or modeled. Modeled irradiance was computed after the clear-sky model
175 of Watson and Gregg (1990); in addition, surface PAR was obtained from the SeaWiFS satellite
176 (Arrigo et al., 2004). Modeled PAR can, of course, be extended to any resolution, whereas
177 measured PAR is biased by cloud cover and is limited to the resolution of the sensor. Both
178 modeled and measured estimates of PAR were tested in the productivity model, and surprisingly
179 it was found that there was little difference between the two. As a result, we used the modeled
180 PAR for our full analysis, especially since the surface PAR data that are available were not
181 quality controlled (in terms of ice and ocean mask) in the polar regions. Modeled PAR ranged
182 from 0 – 70 mol photons $\text{m}^{-2} \text{d}^{-1}$ within the year, and maxima ranged from 63 – 68 mol photons

183 $\text{m}^{-2} \text{d}^{-1}$ among the selected study regions (Figure 2a). PAR was the same as the scalar irradiance
184 calculated by others (e.g., Mitchell and Holm-Hansen, 1991).

185 *Ice Concentrations*

186 To show spatial variations in the distribution of ice concentration during late winter
187 through austral spring and summer (when blooms are most likely to occur) and autumn, multi-
188 year, monthly averages of ice concentrations were calculated for the years that SeaWiFS
189 provided data (Figure 3). In October the ice cover is still fully consolidated throughout the
190 Antarctic region, but reduced concentrations are found in many coastal areas. Coastal polynyas
191 along most of these regions are more apparent in December, especially in the Ross Sea. In
192 February, much of the coastline becomes completely ice-free, with persistent ice cover being
193 prevalent in the Western Weddell Sea and the Amundsen/Bellingshausen Seas. In April, when
194 rapid ice growth in deeper water occurs, reduced concentrations along the coastlines continue.
195 The average concentration of the entire Antarctic sea ice cover in December (Figure 4) fluctuates
196 from about 55 to 65% from 1997 through 2006, with a majority of the fluctuations occurring
197 during the last five years. The trend in ice concentration also shows a decline of about 2% per
198 decade. In the selected study regions the average ice concentration varies seasonally (Figure 5),
199 except in the ACC and Indian Ocean, where little ice occurs throughout the year. In the Weddell
200 Sea and Ross Sea study areas, the ice cover is near 100% in winter but exhibits large variability
201 in the summer, while in the WAP the summer ice cover is almost zero, but exhibits large
202 variability in the winter. The percentage of open water ($1 - \text{ice cover percentage}$) varies in a
203 similar manner. Thus, in the Weddell Sea study area the average open-water percentage during
204 summer ranges from 30 - 100%, while in the Ross Sea study area II open water averages from 28

205 - 72%. The Ross Sea I study area averages open water concentrations of 10 - 20% in winter and
206 50 - 100% in summer, with 100% values occurring in 1997, 1999, 2000, 2002, 2005 and 2006.

207 *Surface Temperature*

208 While sea surface temperatures (SST) have been used to study oceanographic processes
209 in polar regions (e.g., Kwok and Comiso, 2002), they have not been used previously to evaluate
210 spatial variability of plankton concentrations in the region. In the Southern Hemisphere south of
211 60°S, the spatial distribution of SST is heavily influenced by the melting of sea ice during the
212 spring and summer. The data are thus useful to assess the degree to which temperatures are
213 correlated with phytoplankton growth and accumulation, but also the fate of the low density melt
214 water after sea ice melt. Monthly averages of SST in December from 1997 to 2005 (Figure 6)
215 provide the means to evaluate spatial distributions of SST and relationships with sea ice locations
216 (in white). The surfaces with near-freezing temperatures in the maps are likely the surfaces
217 influenced by sea ice, and it is apparent that the interannual variability of the extent and location
218 of these surfaces is large. In general the temperatures reflect the distribution of ice as well as the
219 north-south gradient in the surface heat budget. However, one area deviates substantially among
220 years: the ice edge at the Greenwich meridian. In some years (e.g., 1998, 2000, 2004) warm
221 water clearly is advected under the ice and initiates melting, opening large areas to the
222 atmosphere, and surface water temperatures are near 0°. The exact location of this intrusion, as
223 well as the strength of its surface signal, also varies substantially among years as well.

224 The seasonality and interannual variability of SST in the various study regions (Figure 7)
225 show that the regional as well as interannual variability is large. The warmest waters in all of the
226 study regions are in the ACC, although there were times (e.g., 1998 and 2001) when the Indian
227 Ocean study area was warmer during summer. There are no data in Weddell and Ross Sea study

228 areas during parts of the year when they are covered by sea ice, but the SST in these regions is
229 undoubtedly close to the freezing point of seawater. December sea surface temperatures ranged
230 from the freezing point (ca. -1.86°C in the Ross Sea, or 271.3°K) to more than 10°C (283.2°K) in
231 the ACC region (Figure 7). Seasonal ranges were greatest in the ACC (ca. 5.6°), followed by the
232 Indian Ocean and WAP study areas, while those in the ice covered regions show more moderate
233 seasonality (Figure 7). Specifically, Ross Sea I and II and Weddell Sea areas all had a range of
234 about 2° , while that of the WAP was about 3° .

235 *Pigment Distribution*

236 The distribution of chlorophyll *a* in the Southern Ocean was previously studied (Comiso
237 et al., 1993; Sullivan et al., 1993; Moore and Abbott, 2000); using Nimbus-7/CZCS data, but
238 spatial and temporal coverage was restricted and seasonally biased. This improved substantially
239 with the collection of SeaWiFS data (Moore and Abbott, 2000). The compendium presented in
240 Figure 8 is likely the most comprehensive representation of yearly and multiyear averages of
241 plankton concentration in the Southern Ocean. The data provide the means to identify regions
242 with persistently high chlorophyll *a* concentrations; similarly, they also indicate where the
243 persistently low concentrations occur. The composite also illustrates how the pigment
244 concentrations and distributions vary among years. The images show that maxima are largely
245 confined to continental shelf regions, and in particular to those polynyas where ice
246 concentrations become reduced during the growing season (Figure 8). Seasonal variations were
247 large at any single location, and could range from zero to greater than $20\ \mu\text{g L}^{-1}$ in regions like
248 the Ross or Weddell Seas. Open ocean regions showed much smaller maxima, and only
249 occasionally exceeded $1\ \mu\text{g L}^{-1}$. The Pacific sector was an exception to this, and seemed to have

250 slightly greater chlorophyll levels than other areas of the Southern Ocean at a similar latitude and
251 depth.

252 Pigment concentrations in the selected study areas showed substantial variations among
253 themselves, as well as large interannual variations (Figure 9). The greatest concentration of
254 chlorophyll was found in the southern Ross Sea (RS I), followed by the central Ross Sea (RS II),
255 the ACC, the Weddell Sea, the West Antarctic Peninsula and the Indian Ocean. Mean annual
256 concentrations (calculated from all ice-free retrievals) and their standard deviations were $0.31 \pm$
257 0.02 , 2.19 ± 0.98 , 1.22 ± 0.29 , 0.39 ± 0.17 , 0.54 ± 0.17 , 0.34 ± 0.06 , and $0.14 \pm 0.01 \mu\text{g chl } a \text{ L}^{-1}$
258 in the entire Southern Ocean, RS I, RS II, ACC, WS, WAP and IO, respectively.

259 *Primary Productivity*

260 Primary productivity of entire Southern Ocean south of 60°S was strongly correlated with
261 pigment concentrations (Figure 10). Annual productivity of the entire Southern Ocean (south of
262 60°S) equaled $23.65 \pm 1.28 \text{ g C m}^{-2} \text{ y}^{-1}$ (Table 1). Means and standard deviations for the six
263 selected regions were 2.74 ± 0.98 for RS I, 2.26 ± 0.59 for RS II, 1.56 ± 0.50 for the WAP, 0.75
264 ± 0.28 for the WS, 2.83 ± 0.40 for the ACC, and $1.71 \pm 0.16 \text{ g C m}^{-2} \text{ d}^{-1}$, respectively. Monthly
265 (from November through March) mean productivity of the entire Southern Ocean showed
266 dramatic temporal and spatial variations (Figure 10), with the largest variations being associated
267 with the extreme maxima of coastal regions. The influence of the marginal ice zone is relatively
268 minor in this analysis, but the maximum chlorophyll concentration observed generally occurs
269 about six weeks after the disappearance of ice. There also is a notable lack of deep-water ($>$
270 $1,000 \text{ m}$) blooms throughout the Southern Ocean, suggesting that productivity in these areas is
271 limited by irradiance, trace metal availability, other factors or by their interactive effects.

272 The temporal trends of primary productivity in the selected regions are shown in Figure 11.
273 One feature that becomes obvious is that polynyas (e.g., the Ross and Weddell Seas) bloom
274 much earlier than other regions of similar latitude, and even substantially before areas of similar
275 depth that are much farther north. For example, in RS I (ca. 77°S) chlorophyll *a* concentrations
276 reach 3 $\mu\text{g L}^{-1}$ in November, while similar concentrations are rarely observed in the WAP (ca.
277 64°S) until mid-December. This suggests that for the spring phytoplankton assemblages of the
278 Ross and Weddell Seas the absolute requirement for irradiance for net photosynthesis is quite
279 low, or that stratification in the WAP is far weaker than in the polynyas. However, available
280 data do not support the latter hypothesis (e.g., Mitchell and Holm-Hansen, 1991; Palmer LTER
281 data, <http://pal.lternet.edu/data/>). Both polynyas also receive substantial amount of ice algae
282 released into the water column, providing an inoculum for the water column, and this input is
283 likely greater than in the WAP. However, it remains uncertain what factors might cause the
284 early bloom formation, but its appearance has a striking impact on regional productivity.

285 Productivity for the entire Southern Ocean shows a significant increase over the period of
286 this analysis (Figure 10). Monthly trends were also computed, and significant increases were
287 noted only for January and February (Figure 10). These two months are also the months of
288 minimum ice concentrations. We believe that this suggests that the summer increases are not
289 directly coupled to ice retreat, but are forced either by changing solar irradiance (and cloud
290 cover) available during these months, or by changing oceanographic conditions that bring iron
291 into the euphotic zone or change stratification. Discriminating among these possibilities is
292 beyond the scope of this analysis. Regardless, the highly significant increase in the productivity
293 of the entire Southern Ocean over the past decade implies that long-term changes in Antarctic
294 food webs and biogeochemical cycles are presently occurring.

295 **Discussion**

296 During the past two decades large amounts of satellite data from polar regions have been
297 collected, and this in turn has allowed concurrent observation and analysis of large-scale, long-
298 term patterns and trends in a variety of physical and biological features. For example, the spatial
299 and temporal trends in ice concentrations (Zwally et al., 2002; Comiso, 2003), teleconnections to
300 tropical regimes via the Annular Mode (Hall and Visbeck, 2002; Kwok and Comiso, 2003),
301 spatial variations in the location of the Polar Front (Moore and Abbott, 2004), and the
302 persistence and movement of a single patch of phytoplankton (Boyd et al., 2000) were all based
303 on satellite observations. This study represents the first attempt to combine satellite data on ice
304 concentrations, temperature, and SeaWiFS pigment levels for the entire Southern Ocean to
305 estimate, using a vertically integrated model, the primary production of the area south of 60°S.
306 Our annual estimate of primary productivity was $23.65 \text{ g C m}^{-2} \text{ y}^{-1}$ (Table 1). This is within the
307 range of previous estimates made using different techniques, data and approaches (Table 2), but
308 given the increased spatial and temporal of our analysis, likely represents the most accurate
309 assessment to date.

310 The estimates provide a good baseline for productivity studies in the Southern Oceans.
311 However, the estimates are not as accurate as we would like them to be because of a number of
312 reasons. For example, no productivity under the ice is included. While productivity is indeed
313 low under 100% ice due to irradiance limitation, it is not zero. Furthermore, while ice may be
314 present within one pixel, an ice concentration of 50%, for example, does not result in zero
315 productivity, but rather allows a substantial amount of irradiance into the water column to drive
316 production (Smith, 1996). Our present model is unable to account for this production, and hence
317 produces an underestimate in ice-covered waters. In addition, no attempt to include epontic

318 production is made (Arrigo et al., 1997), which has been estimated to range from 9-25% of
319 productivity in ice-covered waters.

320 Chlorophyll *a* concentrations are likely inaccurately estimated in some various regions by
321 the standard NASA global algorithm used in our procedures, which would lead to inaccuracies in
322 the overall estimate of productivity. Using CZCS data Moore and Abbott (2000) found that
323 changes in the algorithm used can result in a two-fold difference in chlorophyll estimates, which
324 in turn give rise to a large difference in productivity estimates. Regional models are unavailable
325 for the entire Southern Ocean; furthermore, there is a substantial variation among regions,
326 making the derivation of a generic Southern Ocean algorithm problematic (e.g., Arrigo et al.,
327 1998b; Diersson and Smith, 2000; Peloquin, 2006). Hence we used the standard algorithm,
328 despite the fact that it may not accurately represent the various sub-regions within the Southern
329 Ocean. But even assuming that there is a bias in the data generated by using the standard
330 algorithm, the temporal variability and the correlation analysis presented in this paper would still
331 be relevant.

332 One feature of the large-scale distribution of pigments and productivity is that a large
333 fraction of high productivity regions are confined to the continental shelf regions. This means
334 that the ocean depth may have a strong influence on the productivity observed. To quantitatively
335 assess this relationship, we analyzed December pigment concentrations in three separate years
336 (1998, 2003 and 2004) vs. depth; we found little relationship between the two, and the data
337 exhibited a tremendous amount of scatter (Figure 12). A distinct maximum occurred on the
338 continental shelf in all years, but somewhat surprisingly, at depths less than 250 m only modest
339 levels of pigments were observed. This may be an effect of strong winds from the continent that
340 advect the pigments offshore; it also might result from the fact that the coast often retains

341 significant ice cover during December (Figure 3), and even in areas where the ice had
342 disappeared, the water had been exposed to elevated irradiances for a relatively short period,
343 therefore restricting phytoplankton growth and accumulation. While a general negative
344 correlation between ice and chlorophyll has been observed previously (Comiso et al., 1993), it is
345 not immediately obvious why such a trend occurs, especially considering that the shelf break
346 around Antarctica occurs at ca. 800 m. Macronutrients (the concentrations of inorganic nitrogen,
347 phosphorus and silicic acid) are high throughout the Southern Ocean, and cannot explain this
348 trend. It is possible that micronutrients, such as iron, are added to the water as it flows over
349 sediments of the continental shelf and stimulate productivity and growth (Peloquin and Smith, in
350 press). However, it is uncertain that waters in contact with the sediments are indeed enriched
351 with micronutrients, although it has been shown that Modified Circumpolar Deep Waters are
352 elevated in [Fe] relative to waters above (Shorin et al., 2000; Boye et al., 2001). Stratification is
353 often greater on the shelf, but given the large amount of low density, fresh water introduced by
354 melting ice throughout the ice-covered waters at all depths of the Southern Ocean, it might be
355 expected that blooms would occur over much greater regions of the Antarctic than they
356 apparently do. Because shallower waters are unable to support populations of Antarctic krill
357 (Hofmann, 2003), it is possible that these coastal regions experience reduced grazing, but it
358 would not explain why other grazers such as copepods or *Euphausia crystallarophius* would not
359 remove phytoplankton at a similar rate in the absence of Antarctic krill. Colder waters tend to
360 de-couple production and grazing, but water temperatures off-shore and on the continental
361 shelves are not substantially different (Figure 6), and so the de-coupling would be expected to be
362 similar in both. Hence the extreme productivity of the continental shelves remains an enigma;

363 however, it may be more correct to say that the extreme lack of production in the deep water is
364 even more of an enigma.

365 One possible explanation for the deep-water's ultra-oligotrophic state might be the
366 interactive effects of iron and irradiance. Sunda and Huntsman (1997) showed in a series of
367 elegant experiments that at low irradiances the iron demand by phytoplankton increased. Thus,
368 while waters off the continental shelf are indeed often stratified by melt-water inputs, mean
369 mixed layers may be greater than those on the shelves. For example mixed layer depths in the
370 Pacific sector (from 60 – 68°S, in waters >2,500 m) during summer ranged from 5 – 89 m (mean
371 45.3 ± 20.4 m) in January – February (www.jgofs.whoi.edu). In contrast, mixed layers on the
372 continental shelf of the Ross Sea during the same period and year averaged 24.7 ± 14.4 m. Thus,
373 phytoplankton off the shelf would potentially require greater amounts of iron during growth
374 under lower irradiance. While these waters may have slightly greater inputs of aeolian Fe via
375 dust, surface layer concentrations are not dramatically different. Hence, we suggest that the
376 lower irradiances available to phytoplankton due to greater vertical mixing induce greater iron
377 requirements, and hence ultimately limit phytoplankton biomass and productivity in deep,
378 oceanic waters.

379 While the large-scale coupling between ice and primary productivity has been known for
380 some time, few data are available over appropriate time scales to adequately define the
381 relationship. We assessed the relationship between ice concentrations and derived monthly
382 productivity in the four ice-covered regions for all years (the West Antarctic Peninsula, the
383 Weddell Sea and the two sites of the southern Ross Sea; Figures 13, 14). Little correlation
384 between the ice and productivity was found in the WAP, either on an annual or monthly basis;
385 however, in both of the Ross Sea sites as well as in the Weddell Sea, a strong, negative

386 relationship was detected. This suggests that the primary, causal mechanism behind the
387 interannual variability in the productivity of the WAP is *not* ice, whereas the large-scale patterns
388 of productivity in the more southerly, ice-covered areas are largely dependent on changes in ice
389 cover and hence irradiance availability on both annual and seasonal time scales. This
390 furthermore suggests that if ice concentrations in the Ross Sea continue to increase, then
391 productivity would be expected to fall as well. However, changes in ice cover on the continental
392 shelf are far less pronounced than in other areas of the Ross Sea sector (i.e., the increases in ice
393 cover reported by Kwok and Comiso (2002) were largely driven by changes northwest of Cape
394 Adare, although some increases in the western Ross Sea on the continental shelf were also
395 observed). In addition, Comiso (this issue) has detected a *decrease* in ice concentrations in the
396 Pacific sector, so it remains problematic what, if any, ecosystem shifts might be occurring in
397 water structured by ice.

398 One of the more striking results of this work is the marked and significant increase in
399 primary productivity of the entire Southern Ocean (Figure 10a). This change appears to be
400 driven by changes in January and February productivity (Figures 10d,e) and not by changes in
401 other months (although November, December and March also showed non-significant increases).
402 The trend also is not driven by limited, regional changes; that is, we did not detect changes in the
403 regions we selected for detailed analysis that contributed significantly to the overall trend we
404 found in the entire Southern Ocean. The changes in productivity we found could be related to a
405 number of environmental and oceanographic processes. For example, such changes could be
406 induced by large-scale, increased water column stratification. Such decreased mixing would
407 result in increased irradiance availability to phytoplankton and increased growth (and potentially
408 reduced iron demands as well). Assessing this change is impossible using the data available to

409 us, but it is noteworthy that models have predicted that the Southern Ocean will respond to
410 increased atmospheric changes through increased stratification induced by decreased salinity
411 (e.g., Sarmiento and le Quéré, 1996; Sarmiento et al., 1998). We are not suggesting that such
412 changes are occurring as a result of increased air temperatures, but such changes might be
413 contributing to this change. Increased productivity may also be due to enhanced iron inputs via
414 oceanographic changes; again, these could not be detected from the data available to us.

415 Behrenfeld et al. (2007), using a similar approach but on a global scale, found that since
416 1999 there has been a globally significant decrease in chlorophyll and productivity that was
417 driven in large part by changes in the lower latitudes. Their analysis did include the Southern
418 Ocean, and they found increased temperatures in the Pacific sector, but decreased temperatures
419 in the Atlantic. They also reported increased productivity in the deeper waters of the Pacific and
420 Atlantic south of the Subtropical Convergence, but did not attempt to assess any changes in
421 waters they did not consider permanently stratified (that is, south of the STC). However, their
422 results suggest that changes have indeed occurred in the Southern Ocean, but the physical
423 forcing for such changes remain uncertain at this time.

424 Decadal changes in ice concentrations have been observed for some time (e.g., Kwok and
425 Comiso, 2002), and long-term changes in ecosystem variables have also been observed (e.g.,
426 Laws, 1990; Atkinson et al., 2004). Specifically, we know that since 1979 ice concentrations
427 have greatly decreased in the West Antarctic Peninsula/Bellingshausen Sea region (ca. 7% per
428 decade), and those in the Ross Sea have increased by ca. 5.5% per decade (Kwok and Comiso,
429 2002). It would be expected that such changes in such a major physical forcing variable would
430 induce changes in primary productivity as well, but we were unable to discern any significant
431 temporal trend in either the WAP or Ross Sea. The Ross Sea has exhibited very strong

432 variability in the past decade, including a substantial change due to iceberg-driven ice
433 concentrations. In contrast, ice does not appear to be a major control of annual productivity in
434 the WAP, although it can impact regional food webs (Fraser and Trivelpiece, 1996). Further
435 analysis is needed to assess the environmental forcing of the large-scale changes we detected.

436 **Summary**

437 The Southern Ocean shows a significant amount of interannual variability in
438 environmental and oceanographic features, such as ice concentration, distribution, and surface
439 seawater temperatures, as well as in biological variables, such as pigment concentrations. We
440 have shown that during the nine-years (1997 – 2006) analyzed in this study that ice
441 concentrations decreased slightly (ca. 2% per decade). Surface layer water temperatures were
442 not correlated with phytoplankton pigments or productivity and showed the greatest variations in
443 areas of the Antarctic Circumpolar Current. Pigment concentrations were greatest in coastal
444 regions, yet the maximum values were found on the continental shelf (in waters less than 800 m),
445 but not in extremely shallow waters. Few periods of enhanced pigments were observed in deep
446 waters, and we suggest that this results from a deeper mixed layer and reduced iron
447 concentrations, each of which by itself may not limit growth and accumulation, but the
448 interactive effects might effectively preclude substantial phytoplankton growth.

449 Productivity in the entire Southern Ocean showed a substantial and significant increase,
450 and much of this increase was due to changes during the austral summer months. This suggests
451 that changes in ice concentrations do not solely allow an accurate prediction of temporal trends
452 in phytoplankton growth and photosynthesis, but that related oceanographic changes (such as in
453 stratification, currents and iron supply) also may have a significant impact. No significant
454 changes in the productivity of our selected regions was observed, largely due to the substantial

455 variability of each region. Understanding the large-scale relationships over the entire Southern
456 Ocean between phytoplankton growth/biomass and physical forcing are essential to a complete
457 knowledge of the mechanisms controlling the food webs and biogeochemical cycles in the
458 Antarctic.

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571 **Figure Legends**

572 **Figure 1.** Location of regions within the Southern Ocean selected for detailed analysis. 1 = ACC
573 region; 2 = Indian Ocean; 3 = Weddell Sea; 4 = West Antarctic Peninsula (WAP); 5 =
574 Ross Sea I; 6 = Ross Sea II.

575 **Figure 2.** (a) Modeled photosynthetically active radiation impinging on the sea surface as
576 determined by the Watson-Gregg (1990) clear sky model, (b) Modeled photoperiod of the
577 Southern Ocean (between 55 - 80°S) over one year.

578 **Figure 3.** Mean ice concentrations for the Southern Ocean from 1997 – 2006 during a) October,
579 b) December, c) February, and d) April.

580 **Figure 4.** Mean ice concentrations in December for the years 1997-2006, showing the
581 magnitude and location of interannual variations throughout the Southern Ocean.

582 **Figure 5.** Variations of percentage of ice cover through time in a) the two Ross Sea selected
583 regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

584 **Figure 6.** Mean December sea surface temperature for the Southern Ocean for the years 1997 -
585 2006.

586 **Figure 7.** Variations of sea surface temperature through time in a) the two Ross Sea selected
587 regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

588 **Figure 8.** Mean austral growing season (November – March) chlorophyll concentration
589 throughout the entire Southern Ocean and that of each year from 1998-2006. Only ice-
590 free pixels are included in generating the mean.

591 **Figure 9.** Chlorophyll concentrations from 1997 – 2006 in the selected study regions. a) the
592 ACC, Indian Ocean and Weddell Sea areas, and b) the West Antarctic Peninsula and the
593 two selected regions from the Ross Sea.

594 **Figure 10.** Annual (a) and mean monthly (November – March; b - f) primary productivity over
595 the entire Southern Ocean from 1997 - 2006. Annual values computed from computed
596 daily productivity and summed over the ice-free periods.

597 **Figure 11.** Primary productivity from 1997 – 2006 in the selected study regions. a) the two
598 Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and
599 ACC areas.

600 **Figure 12.** The relationship between depth and chlorophyll *a* concentrations in the Southern
601 Ocean during three years: a) 1998, b) 2003, and c) 2004.

602 **Figure 13.** The relationship between ice concentration and estimate annual primary productivity
603 from 1997 – 2006 in a) Ross Sea 1 study area, and b) Ross Sea 2 study area, (c) WAP
604 study area and (d) Weddell Sea Study area.

605 **Figure 14.** The temporal changes in ice concentration and annual primary productivity in
606 November, December, January, February and March from 1997 to 2006 in a) Ross Sea 1
607 study area, and b) Ross Sea 2 study area, (c) WAP study area and (d) Weddell Sea Study
608 area.

609 Table 1. Annual means (\pm standard deviations), minima and maxima of primary production in
 610 the entire Southern Ocean and the selected subregions. Means are from the years 1997-2005.
 611 Values in parentheses under minimum and maximum production represent the year of
 612 occurrence.

Region	Mean Production (g C m ⁻² y ⁻¹)	Minimum Production (g C m ⁻² y ⁻¹)	Maximum Production (g C m ⁻² y ⁻¹)
Southern Ocean	23.65 \pm 1.28 (22.10 – 25.49)	22.10 (1998)	25.49 (2004)
Ross Sea I	65.11 \pm 24.98 (15.97 – 88.29)	15.97 (2003)	88.29 (2001)
Ross Sea II	54.14 \pm 14.54 (25.63 – 80.10)	25.63 (2003)	80.10 (2004)
West Antarctic Peninsula	37.30 \pm 11.83 (26.89 – 61.63)	26.89 (2004)	61.63 (2006)
Weddell Sea	18.17 \pm 6.86 (6.68 – 30.87)	6.68 (2002)	30.87 (2005)
Antarctic Circumpolar Current	67.98 \pm 9.61 (58.28 – 88.06)	58.28 (2006)	88.06 (2003)
Indian Ocean	41.12 \pm 3.75 (35.79 – 45.39)	35.79 (2005)	45.39 (2001)

613

614 Table 2. Estimates of primary production of the Southern Ocean. All estimates are not
615 comparable, as they were derived as averages using different techniques and areas.

Area used in estimate	Primary Productivity (g C m ⁻² y ⁻¹)	Reference
38.1 × 10 ⁶ km ² ; South of 50°S	16	Holm-Hansen et al. (1977)
38.1 × 10 ⁶ km ² ; South of 50°S	43	El-Sayed (1977)
Weddell Sea marginal ice zone	30	Jennings et al. (1983)
Southern Ocean marginal ice zone	10	Smith and Nelson (1986)
Weddell Sea marginal ice zone	32.9	Smith and Nelson (1986)
Ross Sea marginal ice zone	45.6	Smith and Nelson (1986)
Ross Sea continental shelf	140	Arrigo and McLain (1994)
Ross Sea continental shelf	200	Smith and Gordon (1997)
South of 50°S	100	Arrigo et al. (1998a)
Ross Sea continental shelf	78.7 - 144	Arrigo et al. (1998b)
Southern Ocean (South of 50°S)	62.4; 82.2*	Moore and Abbott (2000)
Coastal polynyas	20 – 80	Arrigo and van Dijken (2004)
Southern Ocean (South of 60°S)	23.65 ± 1.28	This study
Ross Sea I	65.11 ± 24.98	This study
Ross Sea II	54.14 ± 14.54	This study
West Antarctic Peninsula	37.30 ± 11.83	This study
Weddell Sea	18.17 ± 6.86	This study
Antarctic Circumpolar Current	67.98 ± 9.61	This study
Indian Ocean	41.12 ± 3.75	This study

616 *: Values represent the entire region and only those waters with <70% ice cover

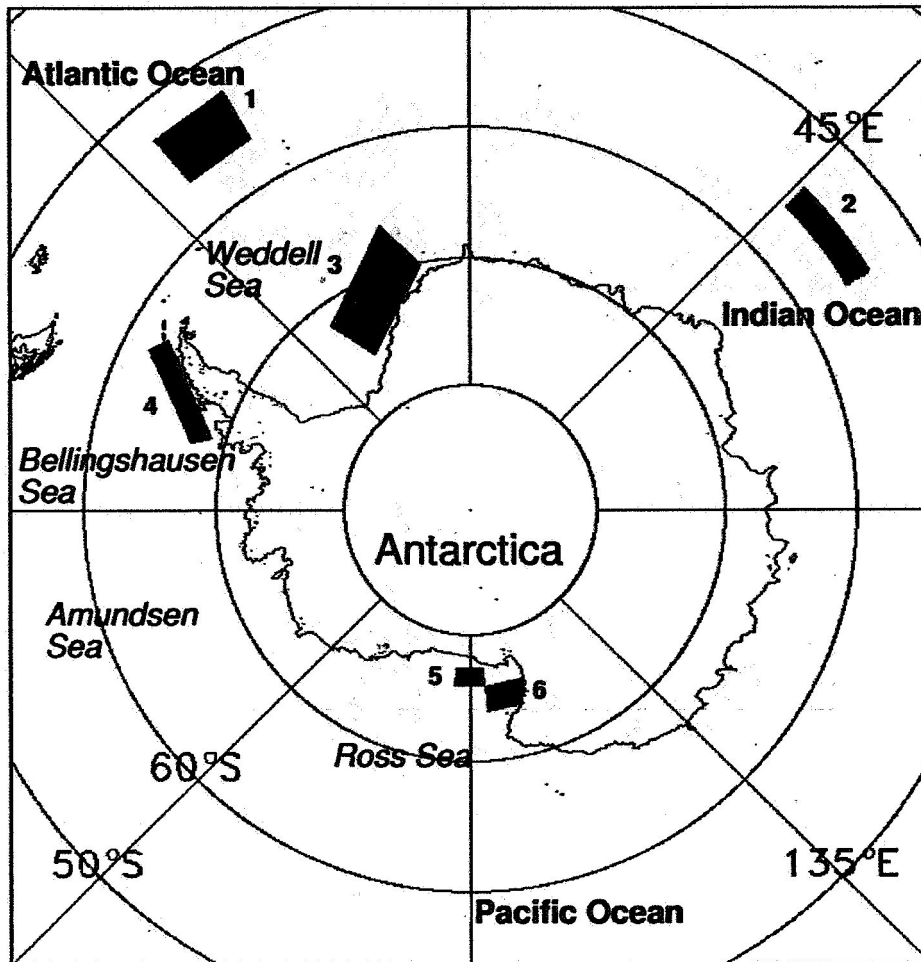


Figure 1. Location of regions within the Southern Ocean selected for detailed analysis.

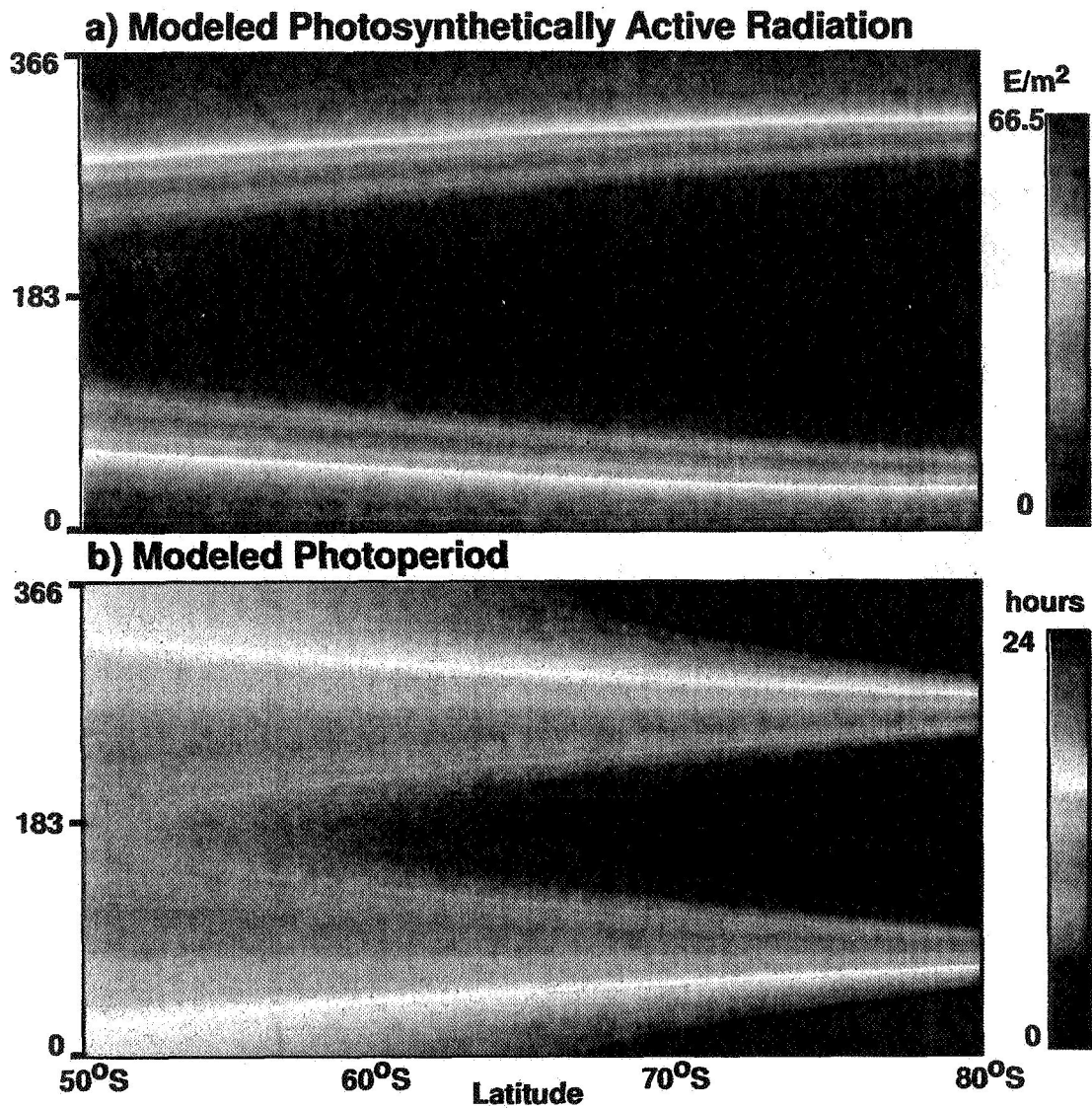


Figure 2. (a) Modeled photosynthetically active radiation impinging on the sea surface as determined by the Watson-Gregg (1990) clear sky model; (b) Modeled photoperiod of the Southern Ocean (between 55 and 80°S) over one year.

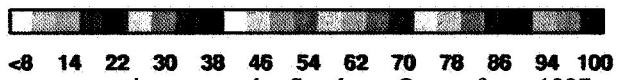
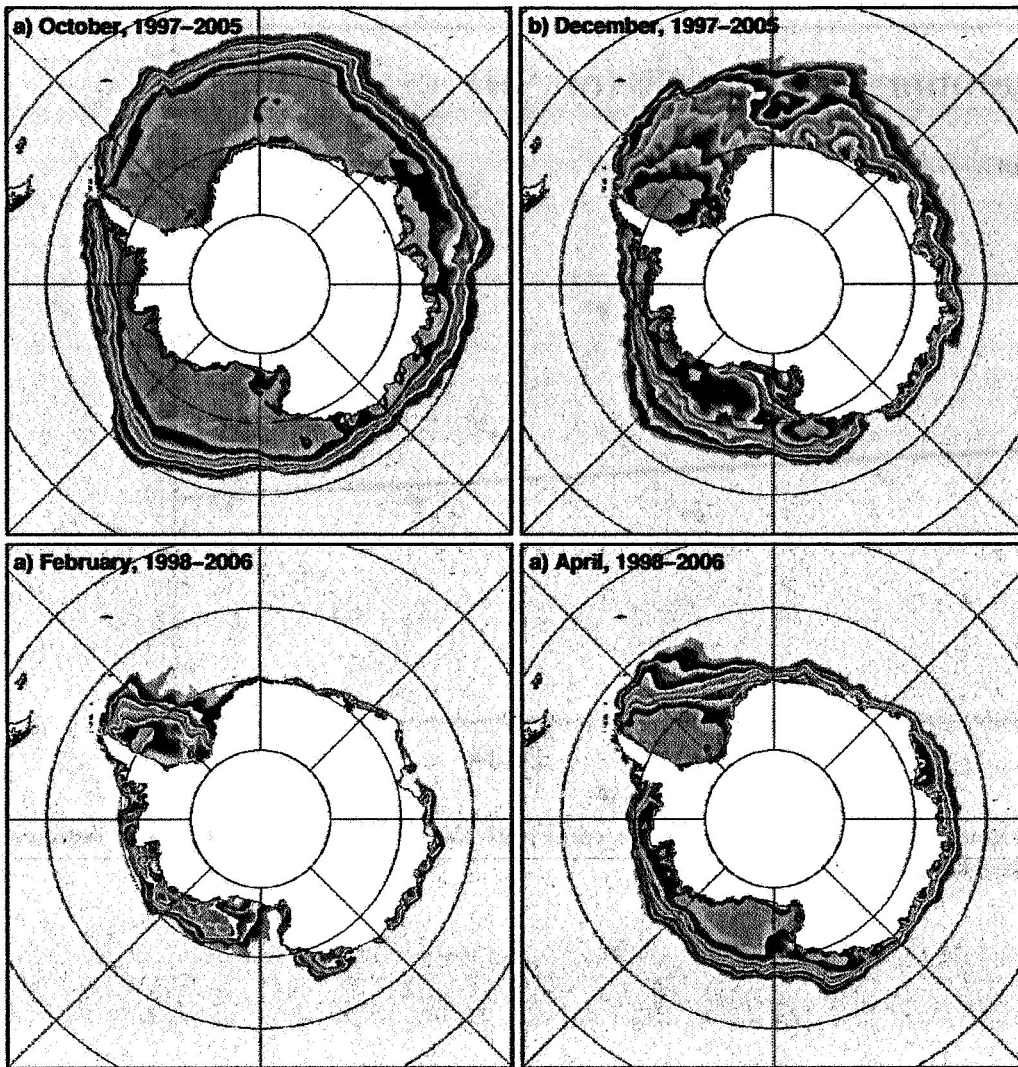


Figure 3. Mean ice concentrations over the Southern Ocean from 1997 – 2006 for a) October, b) December, c) February, and d) April.

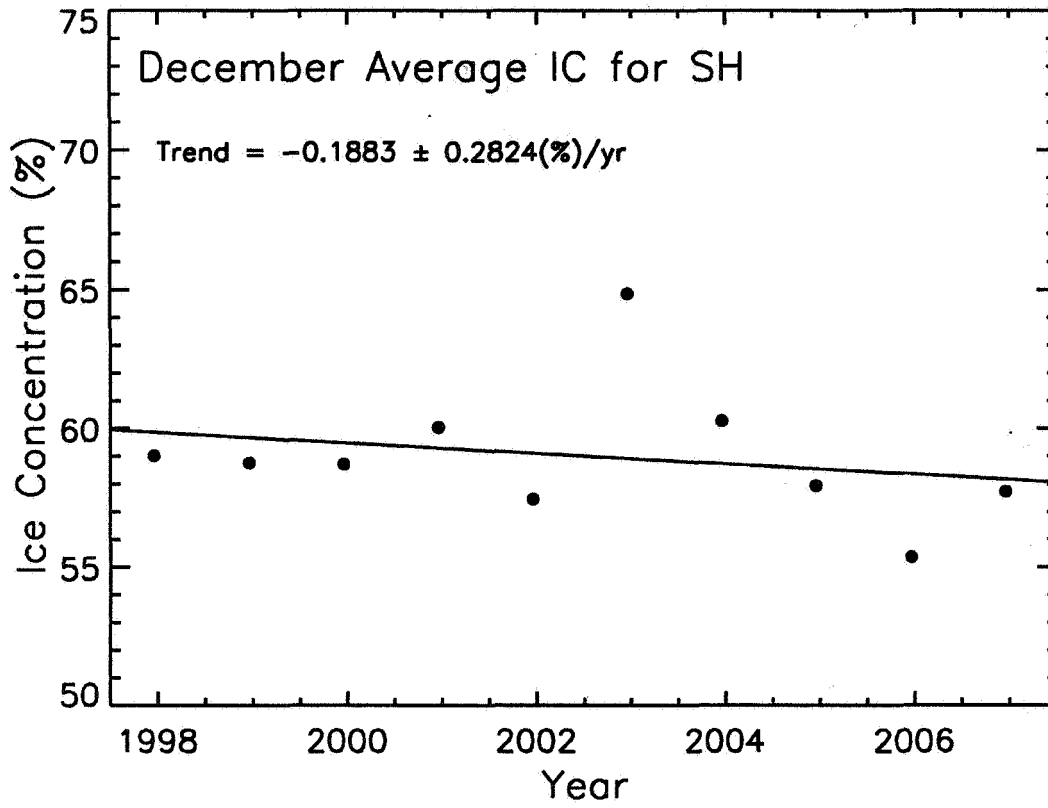


Figure 4. Mean ice concentrations in December for the years 1997-2006, showing the magnitude of interannual variations throughout the Southern Ocean.

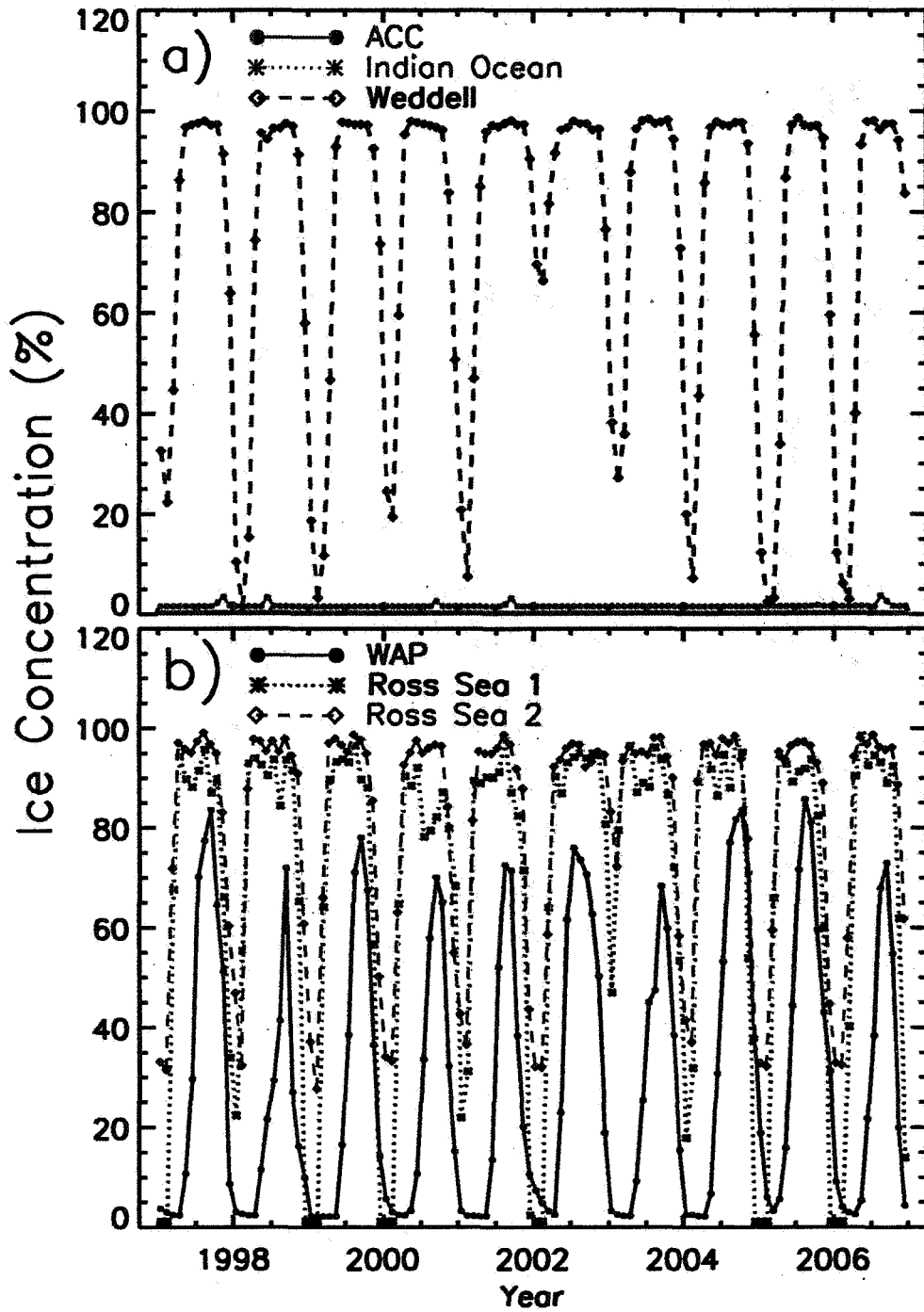


Figure 5. Variations of percentage of ice cover through time in a) the two Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

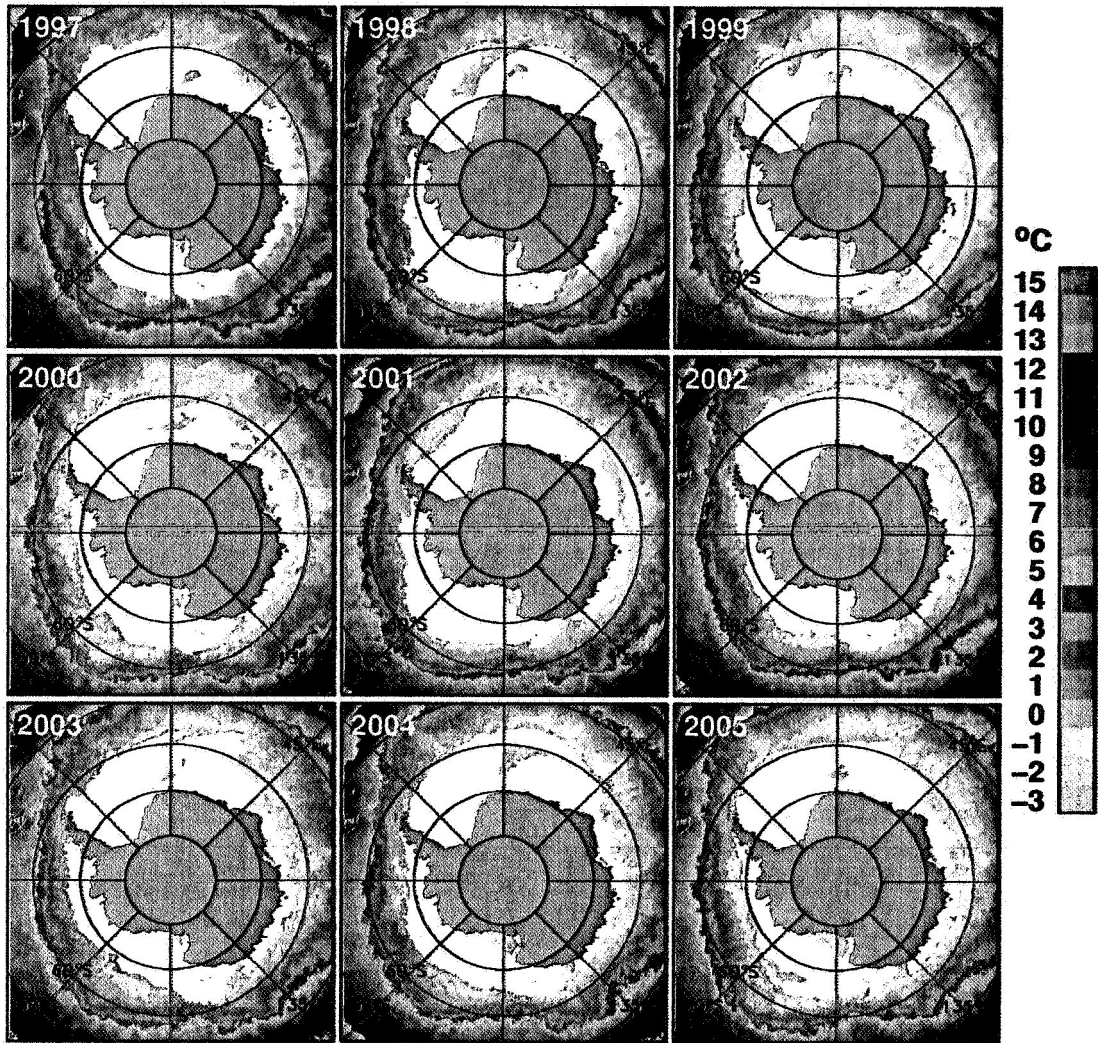


Figure 6. Mean December sea surface temperature for the Southern Ocean for the years 1997 - 2006.

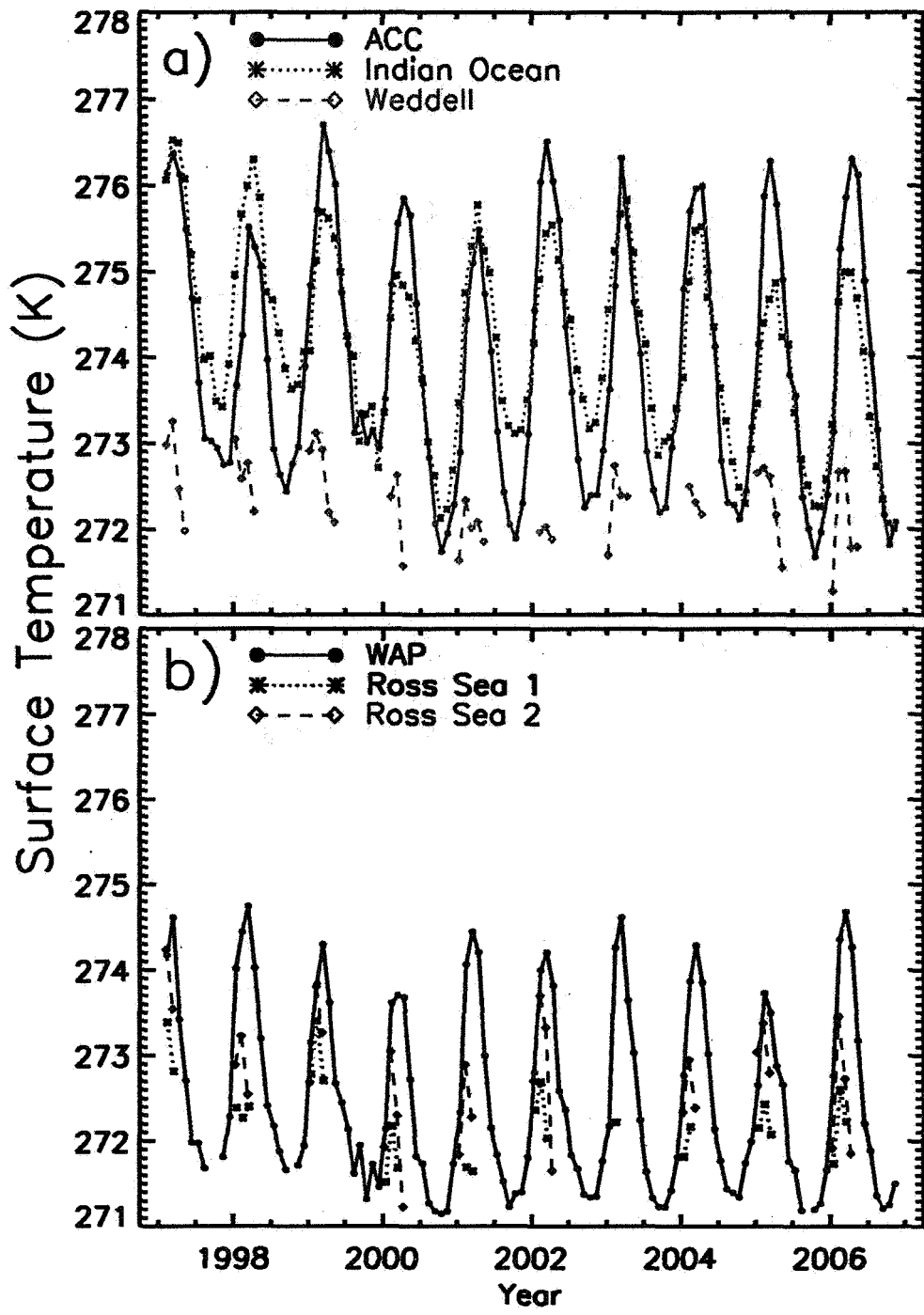


Figure 7. Variations of sea surface temperature through time in a) the ACC, Weddell Sea and Indian Ocean study areas and (b) the two Ross Sea selected regions and the West Antarctic Peninsula.

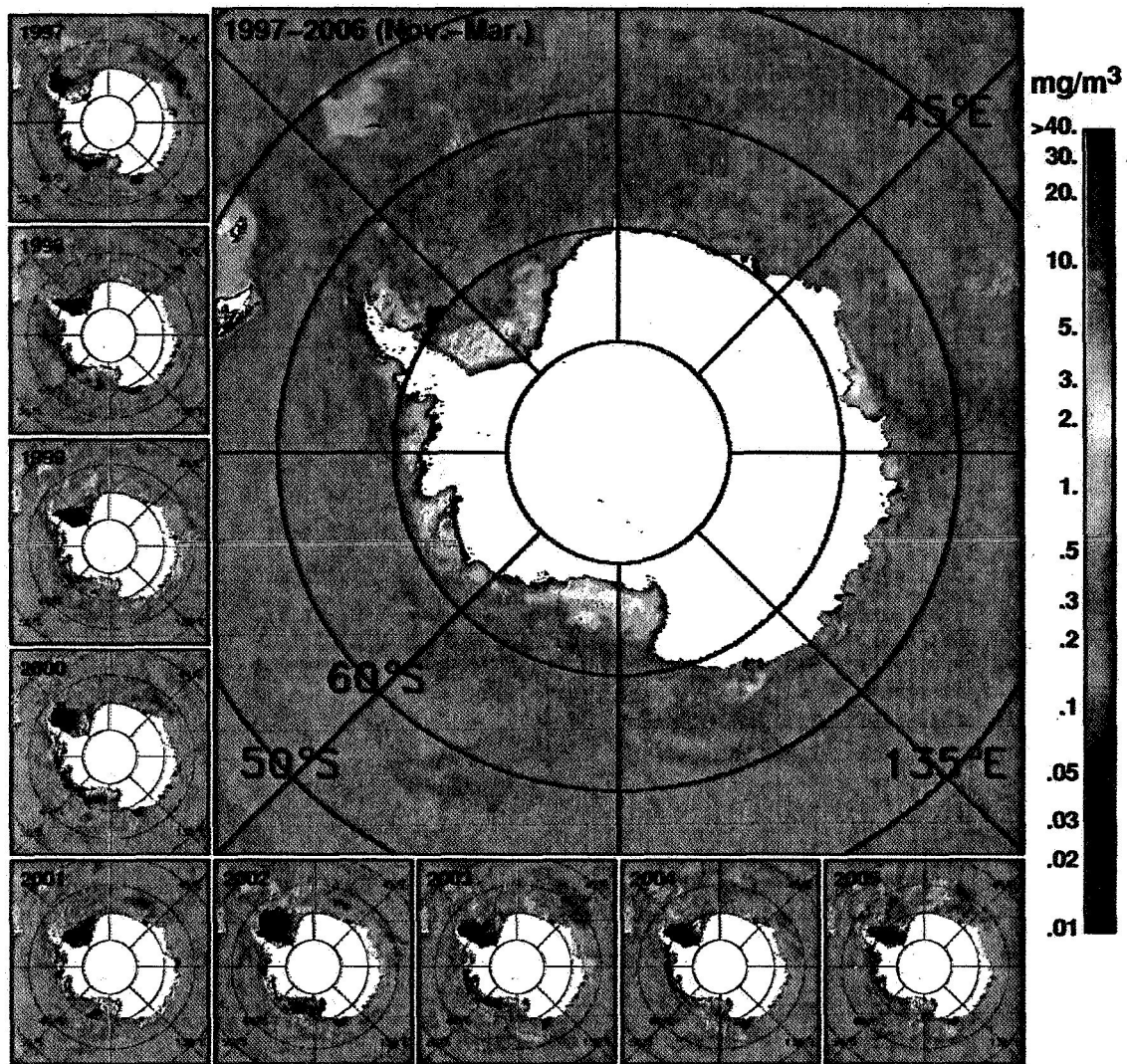


Figure 8. Mean austral growing season (November – March) chlorophyll concentration throughout the entire Southern Ocean and that of each year from 1998-2006. Only ice-free pixels are included in generating the mean.

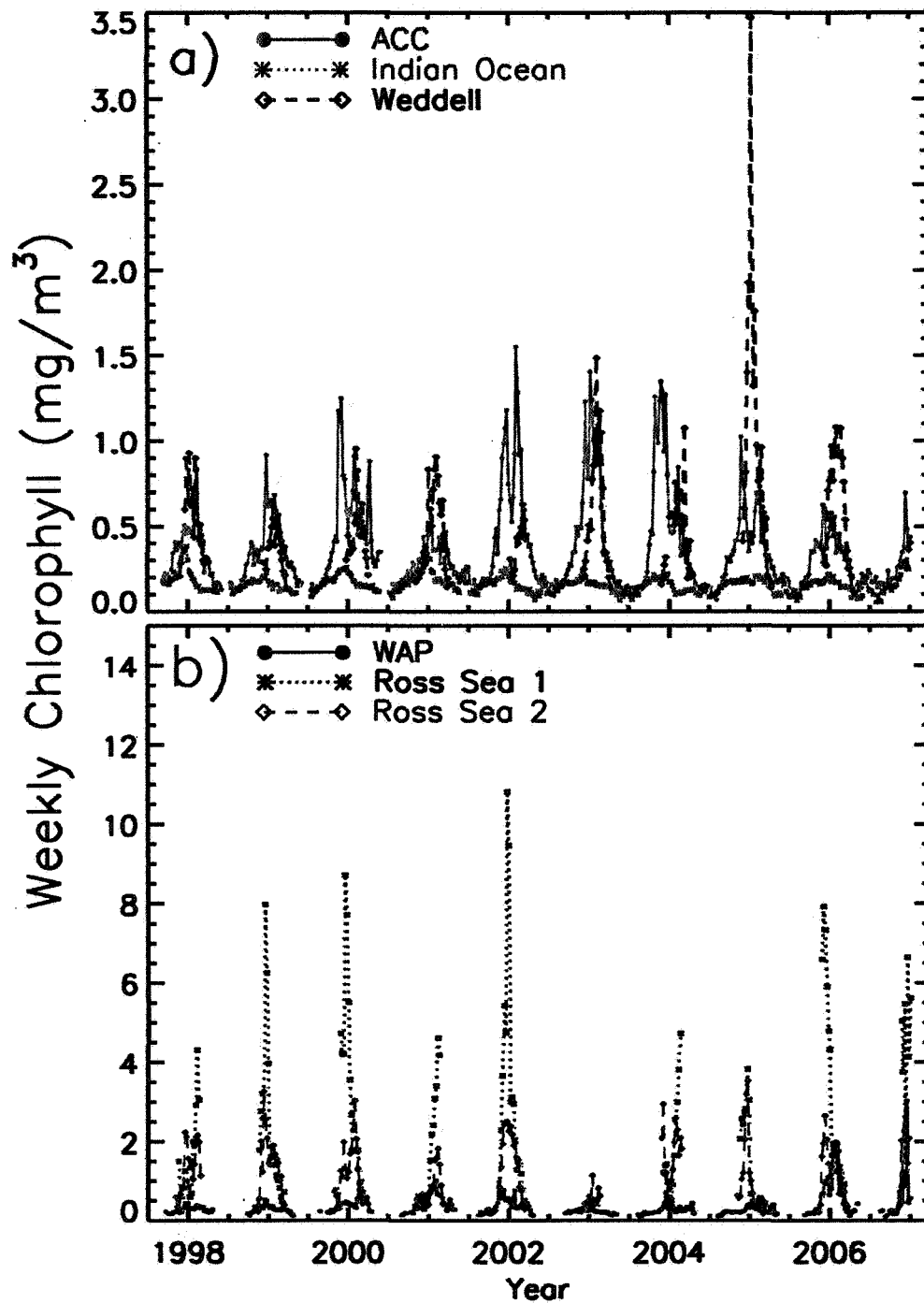


Figure 9. Chlorophyll concentrations from 1997 – 2006 in the selected study regions. a) the ACC, Indian Ocean and Weddell Sea areas, and b) the West Antarctic Peninsula and the two selected regions from the Ross Sea.

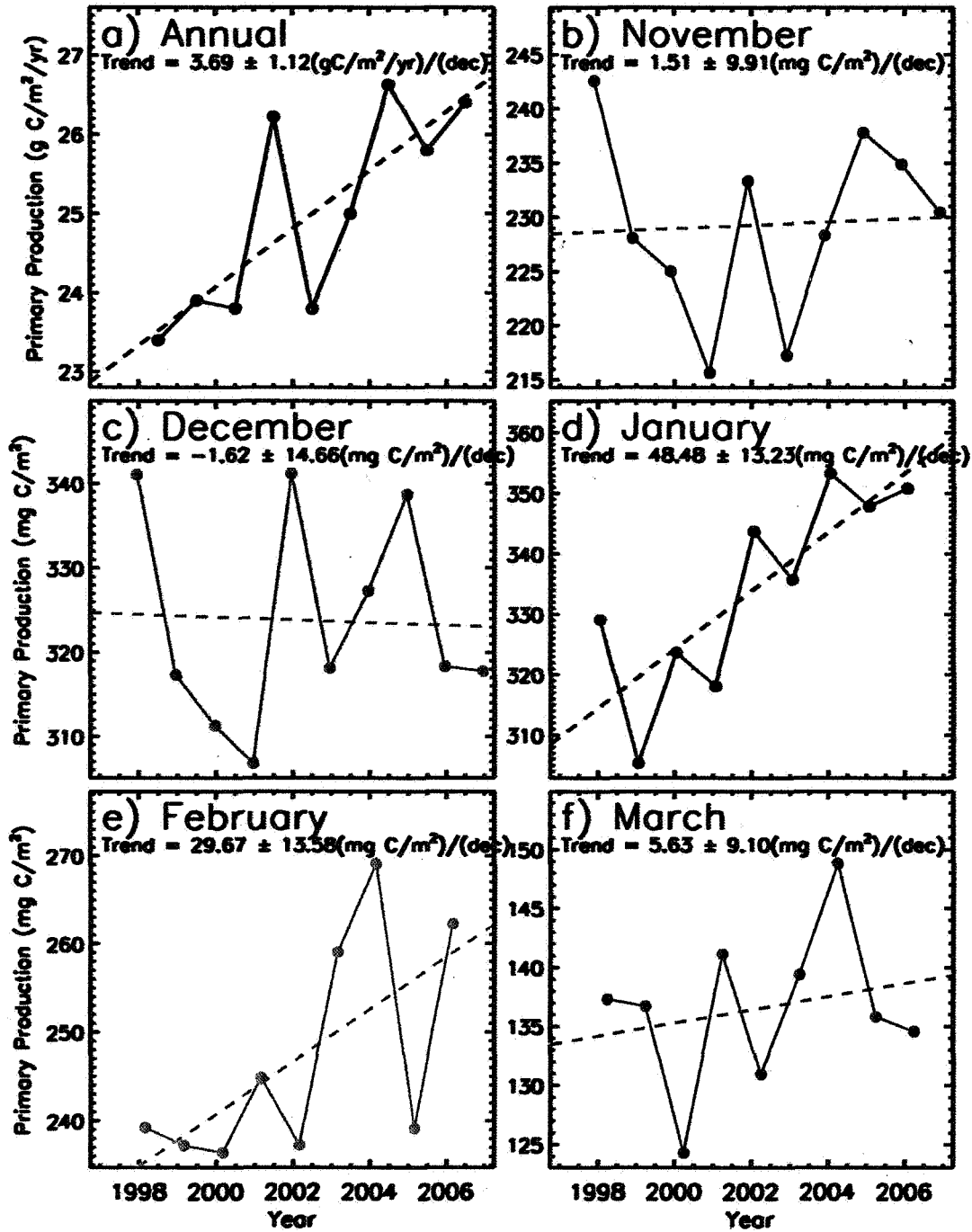


Figure 10. Annual (a) and mean monthly (November – March; b - f) primary productivity over the entire Southern Ocean from 1997 - 2006. Annual values computed from computed daily productivity and summed over the ice-free periods.

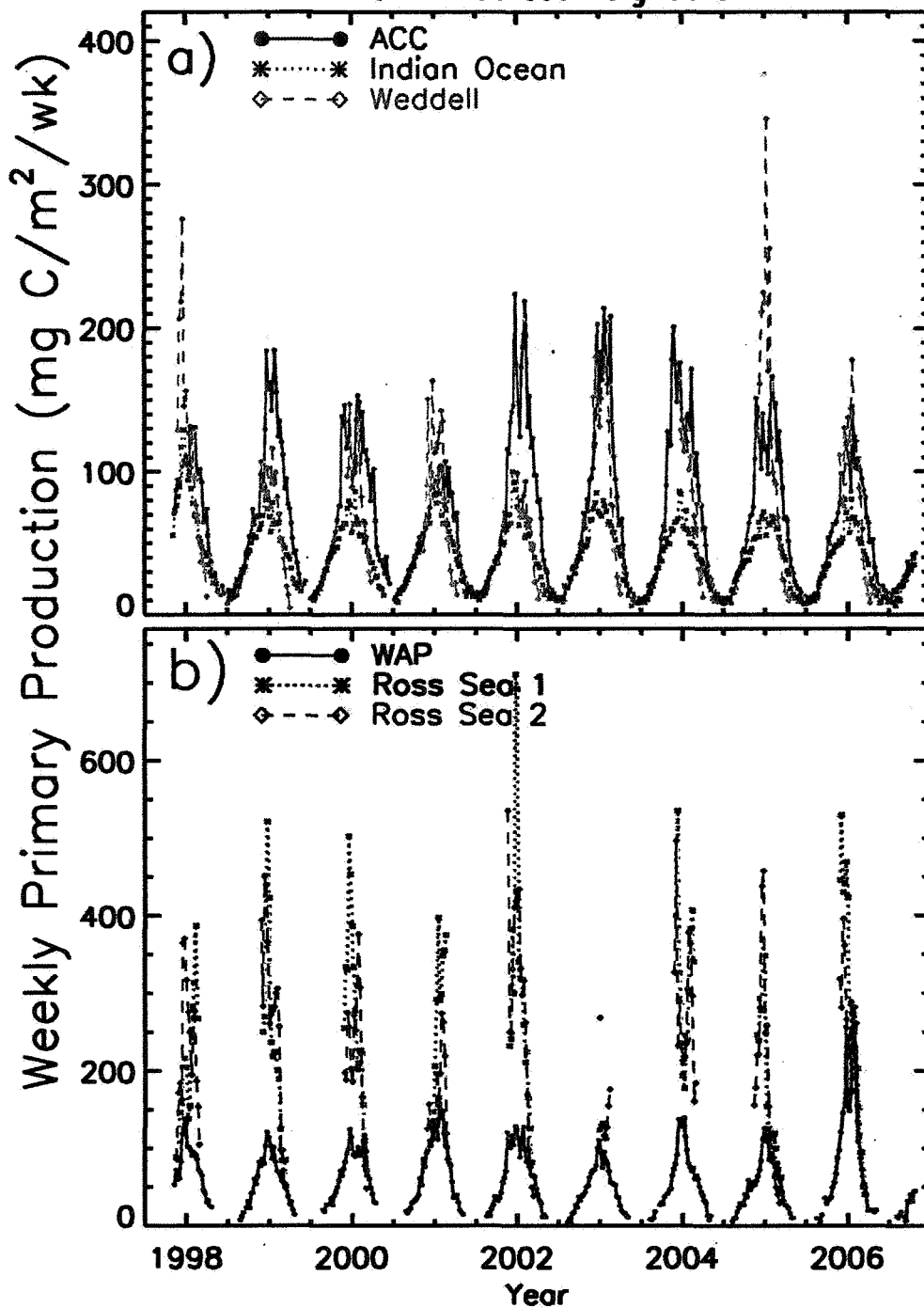


Figure 11. Primary productivity from 1997 – 2006 in the selected study regions. a) the two Ross Sea selected regions and the West Antarctic Peninsula, and b) the Weddell Sea and ACC areas.

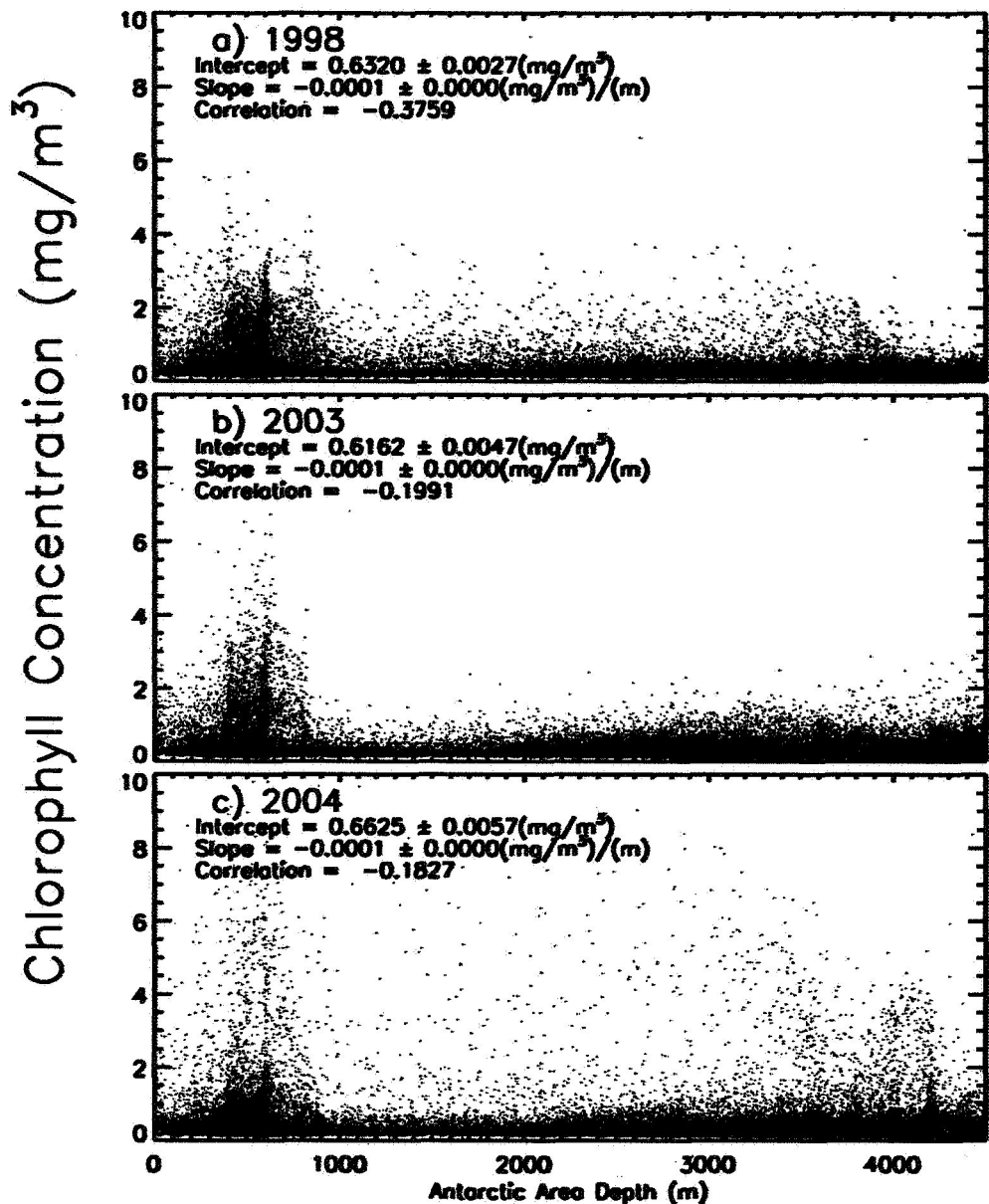


Figure 12. The relationship between depth and chlorophyll *a* concentrations in the Southern Ocean during three years: a) 1998, b) 2003, and c) 2004.

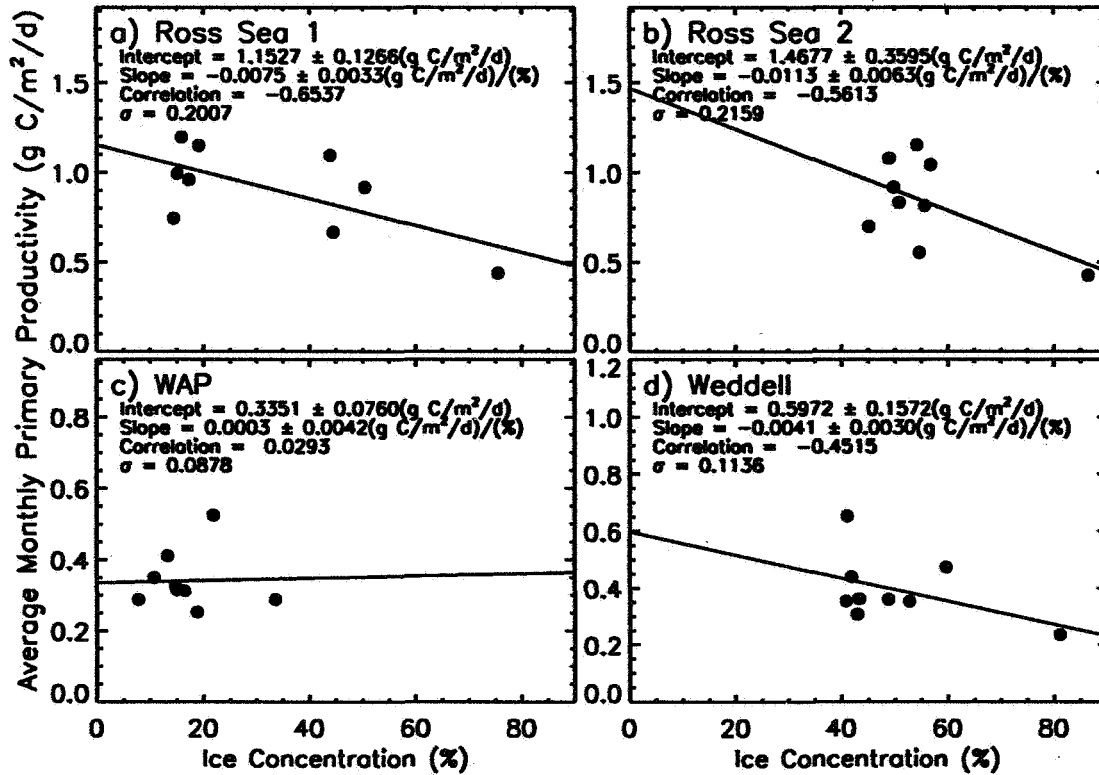


Figure 13. The relationship between ice concentration and estimate annual primary productivity from 1997 – 2006 in a) Ross Sea 1 study area, and b) Ross Sea 2 study area, (c) WAP study area and (d) Weddell Sea Study area.

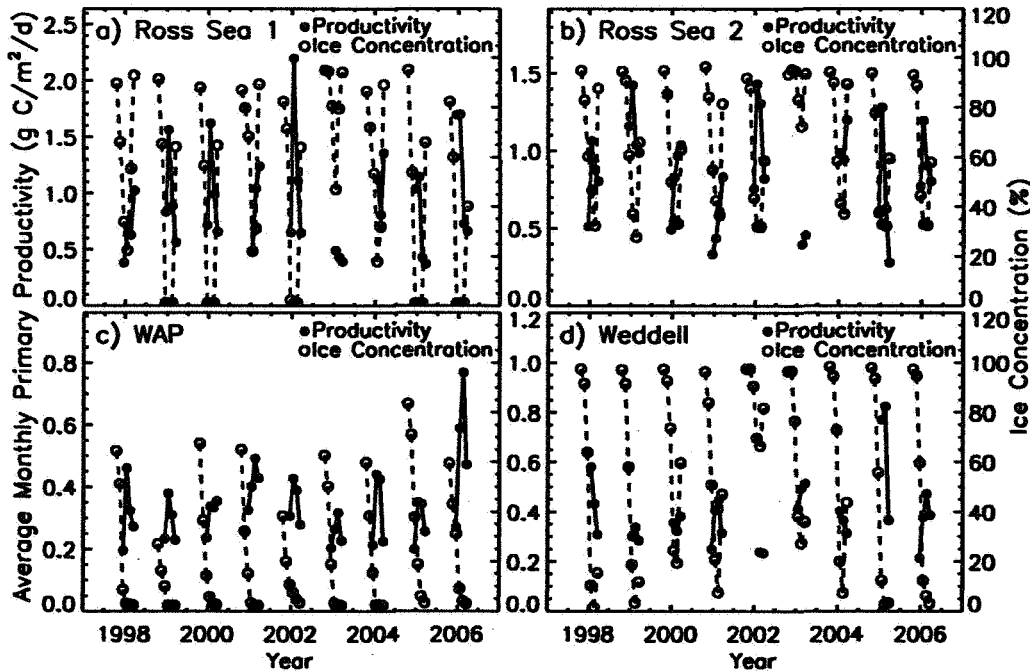


Figure 14. The temporal changes in ice concentration and annual primary productivity in November, December, January, February and March from 1997 to 2006 in a) Ross Sea 1 study area, and b) Ross Sea 2 study area, (c) WAP study area and (d) Weddell Sea Study area.