

## X-RAY EMISSION FROM SUPERNOVAE IN DENSE CIRCUMSTELLAR MATTER ENVIRONMENTS: A SEARCH FOR COLLISIONLESS SHOCKS

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### ABSTRACT

The optical light curve of some supernovae (SNe) may be powered by the outward diffusion of the energy deposited by the explosion shock (the so-called shock breakout) in optically thick ( $\tau \gtrsim 30$ ) circumstellar matter (CSM). Recently, it was shown that the radiation-mediated and radiation-dominated shock in an optically thick wind must transform into a collisionless shock and can produce hard X-rays. The X-rays are expected to peak at late times, relative to maximum visible light. Here we report on a search, using *Swift*/XRT and *Chandra*, for X-ray emission from 28 SNe that belong to classes whose progenitors are suspected to be embedded in dense CSM. Our sample includes 19 Type II<sub>n</sub> SNe, one Type Ib<sub>n</sub> SN, and eight hydrogen-poor superluminous SNe (SLSN-I such as SN 2005ap). Two SNe (SN 2006jc and SN 2010jl) have X-ray properties that are roughly consistent with the expectation for X-rays from a collisionless shock in optically thick CSM. However, the X-ray emission from SN 2006jc can also be explained as originating in an optically thin region. Thus, we propose that the optical light curve of SN 2010jl is powered by shock breakout in CSM. We suggest that two other events (SN 2010al and SN 2011ht) were too X-ray bright during the SN maximum optical light to be explained by the shock-breakout model. We conclude that the light curves of some, but not all, SNe II<sub>n</sub>/Ib<sub>n</sub> are powered by shock breakout in CSM. For the rest of the SNe in our sample, including all of the SLSN-I events, our X-ray limits are not deep enough and were typically obtained too early (i.e., near the SN maximum light) for definitive conclusions about their nature. Late-time X-ray observations are required in order to further test whether these SNe are indeed embedded in dense CSM. We review the conditions required for a shock breakout in a wind profile. We argue that the timescale, relative to maximum light, for the SN to peak in X-rays is a probe of the column density and the density profile above the shock region. In SNe whose X-ray emission slowly rises, and peaks at late times, the optical light curve is likely powered by the diffusion of shock energy in a dense CSM. We note that if the CSM density profile falls faster than a constant-rate wind-density profile, then X-rays may escape at earlier times than estimated for the wind-profile case. Furthermore, if the CSM has a region in which the density profile is very steep relative to a steady wind-density profile, or if the CSM is neutral, then the radio free-free absorption may be sufficiently low for radio emission to be detected.

*Key words:* stars: mass-loss – supernovae: general – supernovae: individual (SN 2006jc, SN 2010jl)

*Online-only material:* machine-readable table

### 1. INTRODUCTION

Circumstellar matter (CSM) around supernova (SN) progenitors may play an important role in the emission and propagation of energy from SN explosions. The interaction of the SN radiation with optically thin CSM shells may generate emission lines, with widths that are representative of the shell velocity or the velocity of the shocked cool gas in the post-shock region, as in Type II<sub>n</sub> SNe (SNe II<sub>n</sub>; Schlegel 1990; Kiewe et al. 2012; see Filippenko 1997 for a review of SN classification). The interac-

tion of SN ejecta with the CSM can power the light curves of SNe by transformation of the SN kinetic energy into photons. In cases where a considerable amount of optically thin (and ionized) material is present around the exploding star, synchrotron, and free-free radiation can emerge, and inverse-Compton scattering can generate X-ray photons (e.g., Chevalier & Fransson 1994; Horeh et al. 2012; Krauss et al. 2012).

For the Type II<sub>n</sub> SN PTF 09uj, Ofek et al. (2010) suggested that a shock breakout can take place in an optically thick wind (see also Grassberg et al. 1971; Falk & Arnett 1977; Chevalier

& Irwin 2011; Balberg & Loeb 2011). This will happen if the Thomson optical depth within the wind profile is  $\gtrsim c/v_{\text{sh}}$ , where  $c$  is the speed of light and  $v_{\text{sh}}$  is the shock speed. Ofek et al. (2010) showed that shock breakout in wind environments produces optical displays that are brighter and have longer timescales than those from the surfaces of red supergiants (e.g., Colgate 1974; Matzner & McKee 1999; Nakar & Sari 2010; Rabinak & Waxman 2011; Couch et al. 2011). Chevalier & Irwin (2011) extended this picture. Specifically, they discussed CSM with a wind profile in which the wind has a cutoff at a distance  $R_w$ . If the optical depth at  $R_w$  is  $\lesssim c/v_s$ , then the SN light curve will have a slow decay (e.g., SN 2006gy; Ofek et al. 2007; Smith et al. 2007). If the optical depth at  $R_w$  is  $\gtrsim c/v_s$ , then it will have a faster decay (e.g., SN 2010gx; Pastorello et al. 2010a; Quimby et al. 2011b). Moriya & Tominaga (2012) investigated shock breakouts in general wind-density profiles of the form  $\rho \propto r^{-w}$ . They suggested that, depending on the power-law index  $w$ , shock breakouts in wind environments can produce bright SNe without narrow emission lines (e.g., SN 2008es; Gezari et al. 2009; Miller et al. 2009).

Recently Katz et al. (2011) and Murase et al. (2011) showed that if the progenitor is surrounded by optically thick CSM, then a collisionless shock is necessarily formed during the shock breakout. Moreover, they argued that the energy emitted from the collisionless shock in the form of high-energy photons and particles is comparable to the shock-breakout energy. Furthermore, this process may generate high-energy ( $\gtrsim 1$  TeV) neutrinos. Although Katz et al. (2011) predicted that the photons are generated with energy typically above 60 keV, it is reasonable to assume that some photons will be emitted with lower energy due to reprocessing of photons (see below) and the continuum nature of the radiation. Chevalier & Irwin (2012) showed that Comptonization and inverse-Compton scattering of the high-energy photons is likely to play an important role, and that the high-energy photons will be absorbed.

Svirski et al. (2012) discuss the X-ray emission from collisionless shocks. They show that at early times the X-rays will be processed into the optical regime by the Compton process. Therefore, at early times, the optical emission will be about  $10^4$  times stronger than the high-energy emission. With time, the X-ray emission will become stronger, while the optical emission will decay. They conclude that for a CSM with a steady wind profile ( $w = 2$ ), X-ray emission may peak only at late times, roughly 10–50 times the shock-breakout timescale. The shock-breakout timescale,  $t_{\text{br}}$ , is roughly given by the diffusion timescale at the time of shock breakout. This timescale is also equivalent to the radius at which the shock breaks out ( $r_{\text{br}}$ ) divided by the shock velocity ( $v_s$ ; Weaver 1976). If the main source of optical photons is due to diffusion of the shock-breakout energy, the SN optical light rise time,  $t_{\text{rise}}$ , will be equivalent to the shock-breakout timescale. Therefore, X-ray flux measurements and spectra of SNe embedded in dense CSM starting from the explosion until months or years after maximum light are able to measure the properties of the CSM around the SN progenitors and the progenitor mass-loss history. This unique probe into the final stages of massive star evolution has been only partially exploited, at best.

Herein, we analyze the X-ray data for 28 SNe with light curves that may be powered by a shock breakout from dense CSM, and for which *Swift*/XRT (Gehrels et al. 2004) observations exist. We use this sample to search for X-ray signatures of collisionless shocks—emission at late times (months to years after peak optical luminosity). We suggest that these signals

were observed in several cases, most notably in SN 2010jl (Chandra et al. 2012b). Finally, we review the conditions for a shock breakout in CSM with a wind profile, and we discuss the importance of bound-free absorption and the possibility of detecting radio emission from such SNe.

The structure of this paper is as follows. In Section 2 we present the SN sample, while Section 3 presents the X-ray observations. We review and discuss the model in Section 4, and consider the observations in the context of the model in Section 5. We summarize our conclusions in Section 6.

## 2. SAMPLE

Our sample is based on SNe found by amateur astronomers and several surveys, including the Lick Observatory Supernova Search (Li et al. 2000; Filippenko et al. 2001), the Catalina Real-time Transient Survey (Drake et al. 2009a), Pan-STARRS1 (PS1; Kaiser et al. 2002), and the Palomar Transient Factory<sup>17</sup> (PTF; Law et al. 2009; Rau et al. 2009). Two SNe, PTF 09drs and PTF 10tel, are reported here for the first time. We note that many of the nearby or luminous SNe found by PTF are also observed by *Swift*.

We selected a sample of SNe in which the main source of energy may be explained by diffusion of the explosion shock energy through optically thick CSM around the progenitor. First, we include SNe IIn within 200 Mpc. Objects that belong to this class show relatively narrow (intermediate width) hydrogen emission lines, an indication of the presence of optically thin material somewhere around the progenitor. However, it is unlikely that *all* SNe showing relatively narrow hydrogen emission lines in their spectra are powered mainly by the diffusion of shock energy in an optically thick environment. One reason is that some SNe IIn show X-ray emission near maximum optical light, which is not expected when optically thick CSM is present (see Section 4). Furthermore, Moriya & Tominaga (2012) suggest that not all SNe powered by interaction of the ejecta with slowly moving material will necessarily have narrow emission lines in their spectrum. We note that some of the SNe IIn in our sample are peculiar (e.g., SN 2010jp/PTF 10aaxi; Smith et al. 2012).

Another relevant, but rare, class of objects are SNe Ibn. This class is defined by the lack of hydrogen lines and the presence of narrow helium emission lines. The only SN of this type in our sample is SN 2006jc (Nakano et al. 2006; Foley et al. 2007; Pastorello et al. 2008; Smith et al. 2008).

The third class of SNe we investigate here is the small group of hydrogen-poor superluminous SN (SLSN-I; see review in Gal-Yam 2012). Quimby et al. (2011b) used spectra of several such events found by PTF, at intermediate redshift ( $z \approx 0.5$ ), to show that these events, as well as SCP 06F6 (Barbary et al. 2009) and SN 2005ap (Quimby et al. 2007), are spectroscopically similar. This group of SNe continues to grow with new discoveries (e.g., Chomiuk et al. 2011; Leloudas et al. 2012), and their hosts were studied by Neill et al. (2011). Although the nature of these events is not understood (e.g., Kasen & Bildsten 2010), Quimby et al. (2011b) suggested that they may be powered by a pulsational pair-instability SN (Rakavy et al. 1967; Woosley et al. 2007). According to this hypothesis, the SN ejecta interact with a dense shell of material, enriched with intermediate-mass elements, that was expelled by the progenitor during previous explosions (see also Ginzburg & Balberg 2012). This model is tentatively supported by observations of SN 2006oz (Leloudas

<sup>17</sup> <http://www.astro.caltech.edu/ptf/>

**Table 1**  
SN Sample

Name	Type	$\alpha_{J2000}$ (deg)	$\delta_{J2000}$ (deg)	$t_{\text{rise}}$ (day)	$M_R$ (mag)	$z$	$t_{\text{peak}}$ (MJD)	$N_H$ ( $10^{20} \text{ cm}^{-2}$ )	$L_X$ ( $\text{erg s}^{-1}$ )	$\frac{L_X}{L_{\text{opt}}}$	FAP <sub>min</sub>
PTF 09atu	SLSN-I	247.60229	+23.64029	30:	-22.5	0.501	55060	4.05	$<1.9 \times 10^{44}$	0.7	1.00
PTF 09cnd	SLSN-I	243.03725	+51.48782	50	-22.8	0.258	55080	1.67	$<8.0 \times 10^{42}$	0.02	1.00
PTF 09cwl/SN 2009jh	SLSN-I	222.29200	+29.41983	50	-22.5:	0.349	55060	1.51	$<1.1 \times 10^{44}$	0.4	1.00
SN 2010gx/PTF 10cwr	SLSN-I	171.44448	-8.82810	20	-21.7:	0.231	55280	3.78	$<9.9 \times 10^{42}$	0.07	1.00
PTF 10hgi	SLSN-I	249.44601	+6.20898	50	-20.3	0.096	55370	6.06	$<5.1 \times 10^{42}$	0.1	1.00
PTF 11dij	SLSN-I	207.74069	+26.27856	40:	-21.1:	0.143	55690	1.21	$<4.6 \times 10^{42}$	0.06	1.00
PTF 11rks	SLSN-I	24.93962	+29.92417	20	-21.0	0.20	55945	5.27	$<5.4 \times 10^{42}$	0.07	1.00
PS 1-12fo	SLSN-I	146.55379	+19.84131	>14	-21.0:	0.175	55956	2.79	$<1.8 \times 10^{43}$	0.2	1.00
SN 2006jc	Ibn	139.36667	+41.90889	<15	-17.8	0.006	54020	1.00	$\sim 1.5 \times 10^{41}$	0.04	0.00
PTF 09drs	IIn	226.62567	+60.59427	40:	-17.8:	0.045	55210	1.72	$<4.4 \times 10^{42}$	1.2	1.00
SN 2010jl/PTF 10aaxf	IIn	145.72221	+9.49494	15..23	-20.6	0.011	55500	3.05	$\sim 1.8 \times 10^{41}$	0.004	0.00
SN 2010jp/PTF 10aaxi	IIn	94.12770	-21.41001	<19	-14.6:	0.01	55520	11.0	$<1.2 \times 10^{40}$	0.06	0.04
SN 2010jj/PTF 10aazn	IIn	31.71774	+44.57156	15..53	-18.0:	0.016	55530	9.38	$<1.2 \times 10^{41}$	0.03	1.00
SN 2010bq/PTF 10fjh	IIn	251.73066	+34.15964	15..45	-18.5	0.032	55310	1.79	$<1.2 \times 10^{42}$	0.2	1.00
PTF 11iqb	IIn	8.52015	-9.70498	10:	-18.4	0.013	55780	2.79	$\sim 7.9 \times 10^{40}$	0.01	0.00
SN 2007bb	IIn	105.28108	+51.26592	<15	-17.6:	0.021	54192	7.04	$<2.8 \times 10^{41}$	0.09	0.07
SN 2007pk	IIn	22.94613	+33.61503	<14	-17.3:	0.017	54423	4.72	$<1 \times 10^{41}$	0.04	0.00
SN 2008cg	IIn	238.56313	+10.97361	30..60	-19.4:	0.036	54583:	3.65	$<2.6 \times 10^{41}$	0.02	1.00
SN 2009au	IIn	194.94167	-29.60208	...	-16.5:	0.009	54901:	6.42	$<3.5 \times 10^{40}$	0.03	0.15
SN 2010al	IIn	123.56629	+18.43839	<35	-16.0:	0.0075	55268:	3.92	$\sim 2.2 \times 10^{41}$	0.3	0.00
SN 2011ht	IIn	152.04413	+51.84917	50	-16.8	0.004	55880	0.78	$\sim 7.2 \times 10^{39}$	0.05	0.00
SN 2011hw	IIn	336.56058	+34.21642	...	-19.1:	0.023	55883:	10.2	$<5.1 \times 10^{40}$	0.004	1.00
PTF 10tel	IIn	260.37782	+48.12983	17	-18.5	0.035	55450	2.34	$<7.2 \times 10^{41}$	0.1	1.00
SN 2011iw	IIn	353.70083	-24.75044	<40	-18.1:	0.023	55895:	1.61	$<1.0 \times 10^{41}$	0.02	1.00
SN 2005db	IIn	10.36163	+25.49767	<18	-16.8:	0.0153	53570:	4.17	$\sim 5.3 \times 10^{40}$	0.04	0.00
SN 2005av	IIn	311.15658	-68.75294	<19	-17.8:	0.0104	53453:	4.85	$<8.1 \times 10^{39}$	0.02	1.00
SN 2003lo	IIn	54.27133	-5.03814	...	-15.8:	0.0079	53005:	4.87	$<6.3 \times 10^{39}$	0.01	1.00
SN 2002fj	IIn	130.18792	-4.12736	<90	-18.5	0.0145	52532	3.12	$<4.9 \times 10^{40}$	0.007	1.00

**Notes.** The sample of SNe with *Swift* X-ray observations. Type refer to SN type,  $\alpha_{J2000}$  and  $\delta_{J2000}$  are the J2000.0 right ascension and declination, respectively.  $t_{\text{rise}}$  is the approximate rise time of the SN optical light curve. The rise time is deduced from various sources including PTF and Katzman Automatic Imaging Telescope (KAIT; Filippenko et al. 2001) photometry and the literature listed in the references. The colon sign indicates an uncertain value.  $M_R$  is the approximate absolute R-band magnitude at maximum light (ignoring  $K$ -corrections).  $z$  refers to the object redshift. If the galaxy is nearby and has a direct distance measurement in the NASA Extragalactic Database (NED), we replaced the observed redshift by the redshift corresponding to the luminosity distance of the galaxy.  $t_{\text{peak}}$  is the MJD of maximum light and  $N_H$  is the Galactic neutral hydrogen column density for the source position (Dickey & Lockman 1990).  $L_X$  is the X-ray luminosity or the  $2\sigma$  upper limit on the X-ray luminosity in the 0.2–10 keV band.  $L_X/L_{\text{opt}}$  is the ratio between the X-ray measurements or limit ( $L_X$ ) and the peak visible-light luminosity. Finally, FAP<sub>min</sub> is the minimum false-alarm probability value over all the observations of the source. Sources with FAP<sub>min</sub> < 0.01 indicate a possible detection of an X-ray source at the position of the SN. As discussed in the text, some of these possible detections are chance coincidences or due to emission from the SN host galaxy.

**References.** PTF 09atu: Quimby et al. (2011b). PTF 09cnd: Quimby et al. (2011b); Chandra et al. (2009); Chandra et al. (2010). PTF 09cwl: SN 2009jh; Quimby et al. (2011b); Drake et al. (2009b). SN 2010gx: PTF 10cwr; Mahabal et al. (2010); Quimby et al. (2010a); Pastorello et al. (2010b); Quimby et al. (2011). PTF 10hgi: Quimby et al. (2010b). PTF 11dij: Drake et al. (2011a); Drake et al. (2011b); Quimby et al. (2011c). PTF 11rks: Quimby et al. (2011a). PS1-12fo: Drake et al. (2012); Smartt et al. (2012); Maragutti et al. (2012). PTF 09drs: Reported here for the first time. SN 2010jl: PTF 10aaxf; Newton & Puckett (2010); Stoll et al. (2011). SN 2010jp: PTF 10aaxi; A peculiar SN IIn; Maza et al. (2010); Challis et al. (2010b); Smith et al. (2012). SN 2010jj: PTF 10aazn; Rich (2010b); Silverman et al. (2010a). SN 2010bq: PTF 10fjh; Duszynowicz (2010); Challis et al. (2010a). PTF 11iqb: Parrent et al. (2011); Horesh et al. (2011). SN 2007bb: Joubert & Li (2007); Blondin et al. (2007).  $t_{\text{peak}}$  and  $t_{\text{rise}}$  are based on unpublished KAIT photometry. SN 2007pk: A peculiar SN IIn (Parisky & Li 2007).  $t_{\text{peak}}$  and  $t_{\text{rise}}$  are based on unpublished KAIT photometry. SN 2008cg: Drake et al. (2008); Blondin & Calkins (2008); Filippenko et al. (2008); Spectrum is similar to SN 1997cy (Filippenko et al. 2008). SN 2009au: Pignata et al. (2009); Stritzinger et al. (2009). SN 2010al: Spectrum is similar to SN 1983K with He II, N III, and H I emission lines; Rich (2010a); Stritzinger et al. (2010); Silverman et al. (2010b). SN 2011ht: Boles et al. (2011); Prieto et al. (2011); Roming et al. (2012). SN 2011hw: Dintinjana et al. (2011). PTF 10tel: Reported here for the first time. SN 2011iw: Mahabal et al. (2011). SN 2005db: Blanc et al. (2005); Monard (2005b); Kiewe et al. (2012). SN 2005av: Monard (2005a); Salvo et al. (2005). SN 2003lo: Puckett et al. (2004); Matheson et al. (2004). SN 2002fj: Monard & Africa (2002); Hamuy (2002).

et al. 2012) that may show a dip in the light curve followed by rebrightening. Moriya & Maeda (2012) interpret the dip as an increase in the opacity due to ionization of the massive shell/CSM as it interacts with the ejecta.

Our sample, presented in Table 1, consists of eight SLSN-I objects, 19 SNe IIn, and a single SN Ibn. We note that the spectra of SNe having PTF names, as well as some other SNe, are available online from the WISEREP<sup>18</sup> Web site (Yaron & Gal-Yam 2012).

<sup>18</sup> Weizmann Interactive Supernova (data) REpository; <http://www.weizmann.ac.il/astrophysics/wiserep/>.

### 3. OBSERVATIONS

For each *Swift*/XRT image of an SN, we extracted the number of X-ray counts in the 0.2–10 keV band within an aperture of  $7''.2$  (3 pixels) radius centered on the SN position. We chose a small aperture in order to minimize any host-galaxy contamination. We note that this aperture contains  $\sim 37\%$  of the source flux (Moretti et al. 2004). The background count rates were estimated in annuli around each SN, with an inner (outer) radius of  $50''$  ( $100''$ ). For each SN that has *Swift*/XRT observations, we searched for *Chandra* observations. The *Chandra* observations were analyzed in a similar manner with an extraction aperture

**Table 2**  
*Swift*/XRT X-Ray Measurements

Name	$t - t_{\text{peak}}$		Exp. (s)	CR (counts ks <sup>-1</sup> )	$\Delta\text{CR}_-$ (counts ks <sup>-1</sup> )	$\Delta\text{CR}_+$ (counts ks <sup>-1</sup> )	CR <sub>UL</sub> (counts ks <sup>-1</sup> )	FAP	$L_X$ (erg s <sup>-1</sup> )
	(Start Day)	(End Day)							
PTF 09atu	2.7	...	4858.0	-0.02	...	...	0.62	1.00	$<1.9 \times 10^{44}$
PTF 09cnd	-17.6	...	3441.8	-0.02	...	...	0.87	1.00	$<5.4 \times 10^{43}$
	-13.7	...	3557.2	-0.01	...	...	0.84	1.00	$<5.3 \times 10^{43}$
	-10.5	...	3273.1	-0.02	...	...	0.92	1.00	$<5.7 \times 10^{43}$
	-5.9	...	3997.8	-0.02	...	...	0.75	1.00	$<4.7 \times 10^{43}$
	-2.2	...	2232.1	-0.05	...	...	1.34	1.00	$<8.4 \times 10^{43}$
	4.5	...	2980.6	-0.03	...	...	1.01	1.00	$<6.3 \times 10^{43}$
	18.3	...	2026.8	-0.01	...	...	1.48	1.00	$<9.2 \times 10^{43}$
	27.9	...	1910.6	-0.02	...	...	1.57	1.00	$<9.8 \times 10^{43}$
	-17.6	-2.2	16501.9	-0.02	...	...	0.18	1.00	$<1.1 \times 10^{43}$
	4.5	27.9	6917.9	-0.02	...	...	0.43	1.00	$<2.7 \times 10^{43}$
	-17.6	27.9	23419.8	-0.02	...	...	0.13	1.00	$<8.0 \times 10^{42}$

**Notes.** Summary of all the 305 *Swift*/XRT flux measurements of the 28 SNe in our sample. For each SN we list the observation date ( $t - t_{\text{peak}}$ ) relative to the  $t_{\text{peak}}$  listed in Table 1. Rows that list both a start day and end day give “superepoch” measurements as described in the main text. Exp. is the exposure time. CR,  $\Delta\text{CR}_-$ ,  $\Delta\text{CR}_+$  are the source count rate, lower error, and upper error, respectively. CR<sub>UL</sub> is the  $2\sigma$  upper limit on the source count rate, FAP is the false-alarm probability (see the text), and  $L_X$  is the source luminosity, or the  $2\sigma$  upper limit on the luminosity, in the 0.2–10 keV band. If FAP > 0.01 then we provide the  $2\sigma$  upper limits, otherwise the measurements are given along with the uncertainties.

(This table is available in its entirety in a machine-readable form in the online journal. A portion is shown here for guidance regarding its form and content.)

of 2'' and background annuli with an inner (outer) radius of 15'' (40''). All of the *Swift*/XRT X-ray measurements are listed in Table 2 (the full table is available in the online version). In addition, in Table 2, for each object we give the count rate in up to four superepochs: (1) all of the observations taken prior to maximum light, or discovery date if time of maximum light is not known; (2) all of the observations taken between maximum light and 300 days after maximum light; (3) all of the observations taken more than 300 days after maximum light; and (4) all of the observations at the position of the SN.

In each epoch, and superepoch, we also provide an estimator for the false-alarm probability (FAP), which is the probability that the X-ray counts are due to the X-ray background rather than a source. This probability is estimated as 1 minus the Poisson cumulative distribution to get a source count rate smaller than the observed count rate, assuming the expectancy value of the Poisson distribution equal to the background counts. We note that the median background counts in our background annuli is six counts (within an exposure). However, the background annuli area is about 340 times larger than the photometric aperture area. Therefore, the contribution of the errors in the background to the uncertainty in the source counts is negligible. We note that in some cases X-ray emission from the host galaxy will tend to produce some seemingly significant, but actually “false alarm” detections under these assumptions. Furthermore, many nuclear X-ray sources like active galactic nuclei (AGNs), as well as X-ray sources in general, tend to significantly vary with time (e.g., González-Martín & Vaughan 2012). This fact makes it very hard to distinguish between X-ray emission from an SN and its host galaxy. An example for such confusion is demonstrated in the case of SN 2007pk discussed in this paper.

In cases in which FAP  $\leq$  0.01, we also estimated the  $2\sigma$  upper limit on the count rate (Gehrels 1986). The count-rate measurements or upper limits are converted to luminosity in the 0.2–10 keV band using the PIMMS<sup>19</sup> web tool and assuming that (1) the aperture in which we extracted the source photometry

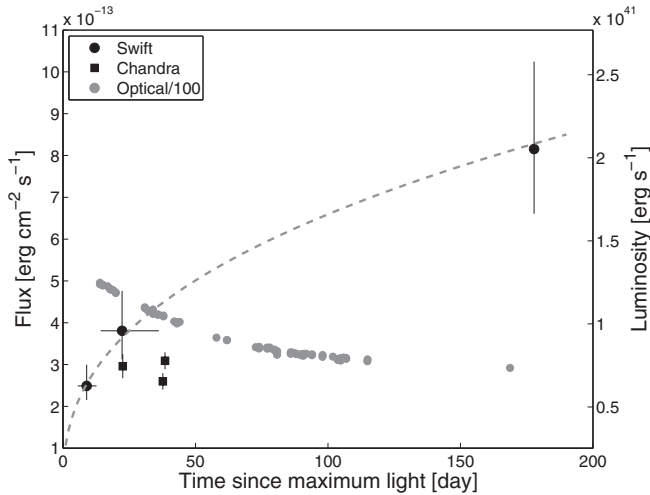
contains 37% of the source photons (Moretti et al. 2004); (2) Galactic neutral hydrogen column density at the position of the sources as listed in Table 1 (Dickey & Lockman 1990); (3) a spectrum of  $N_{\text{ph}}(E) \propto E^{-0.2}$ , where  $N(E)$  has units of photons cm<sup>-2</sup> s<sup>-1</sup> (motivated in Section 4); and (4) the luminosity distance to each SN calculated using the redshift listed in Table 1 together with  $H_0 = 70.4$  km s<sup>-1</sup> Mpc<sup>-1</sup>,  $\Omega_m = 0.268$ ,  $\Omega_\Lambda = 0.716$ , and  $\Omega_K = 0.986$  (the third-year WMAP+SNLS cosmology; Spergel et al. 2007).

Several objects listed in Table 2 show count rates which may deviate from zero. Here we discuss the observations of all seven sources that have FAP  $\leq$  0.01 in at least one of the epochs or superepochs. We note that Table 2 contains 305 epochs and superepochs; therefore, we expect about three random false detections. Interpretation of these observations is discussed in Section 5.

*SN 2010jl/PTF 10aaxf* (Figure 1). This SN has a large number of *Swift*/XRT observations, as well as *Chandra*/ACIS-S observations in five epochs (Chandra et al. 2012b), of which three are public (PIs: D. Pooley; Tremonti). The host, SDSSJ094253.43+092941.9, is an irregular star-forming galaxy. The binned *Swift*/XRT and *Chandra* light curves, as well as the PTF *R*-band light curve, are presented in Figure 1.

*SN 2006jc* (Figure 2). This is the only SN in our sample that belongs to the rare class of Type Ibn SNe. SN 2006jc has a large number of *Swift*/XRT and *Chandra* observations. The SN is detected on multiple epochs and its X-ray light curve is presented in Figure 2 (see also Immler et al. 2008). It was detected in X-rays soon after maximum optical light and reached a maximum X-ray luminosity of about  $1.5 \times 10^{40}$  erg s<sup>-1</sup> at  $\sim$ 100 days after maximum optical light,  $\gtrsim$ 6 times the SN rise time. SN 2006jc was observed by *Chandra* on several occasions. We reduced a 55 ks *Chandra* observation with 306 photons at the SN location taken 87 days after maximum light. Given the limited number of photons we did not attempt to fit complex models. We found that the spectrum is well fitted by an  $N_{\text{ph}}(E) \propto E^{-0.2}$  power law and with negligible absorbing column density. The spectra and the best-fit model are presented

<sup>19</sup> <http://cxc.harvard.edu/toolkit/pimms.jsp>



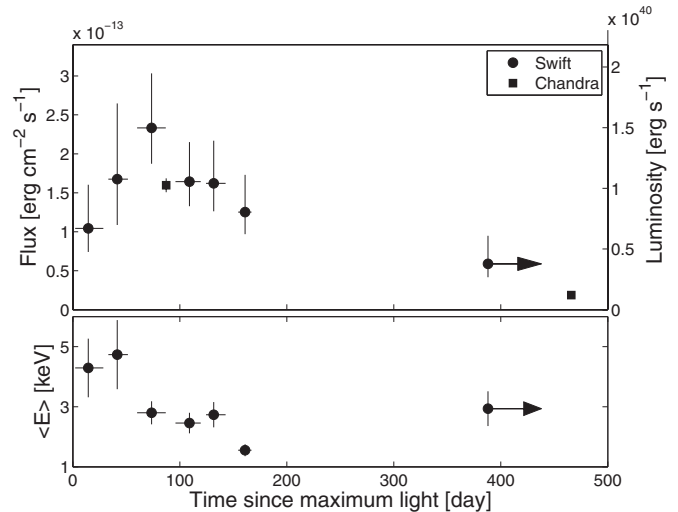
**Figure 1.** *Swift* (circles) and *Chandra* (squares) X-ray light curves extracted at the position of SN 2010jl. The unabsorbed flux was calculated using PIMMS assuming a Galactic column density of  $N_{\text{H}} = 3.05 \times 10^{20} \text{ cm}^{-2}$ , and a  $N_{\text{ph}}(E) \propto E^{-0.2}$  power-law spectrum. We note that the *Chandra* observations show a possible extended source near the SN location. This additional source may contaminate the *Swift*/XRT measurements and can explain the small discrepancy between *Chandra* and *Swift*/XRT. Alternatively, the discrepancy between the *Chandra* and *Swift* light curves can be explained if the X-ray spectrum is harder or  $N_{\text{H}}$  is larger than we assumed. We note that for  $N_{\text{H}}$  which is a factor of 1000 larger than the Galactic value, the unabsorbed *Swift* (*Chandra*) flux will be about 5.2 (7.2) times larger. For reference, the gray circles show the PTF *R*-band luminosity of this SN scaled by 0.01. The PTF *R*-band luminosity was calibrated using the method described by Ofek et al. (2012a) and calibration stars listed by Ofek et al. (2012b).

in Figure 3. Regardless of the exact spectral shape, the spectrum is hard. Marginalizing over all the free parameters, we find a  $2\sigma$  upper limit of  $N_{\text{H}} < 1.26 \times 10^{21} \text{ cm}^{-2}$ , in excess of the Galactic column density.

*SN 2011ht* (Figure 4). This SN took place about  $21''$  from the center of UGC 5460. It was observed on multiple epochs using *Swift*/XRT, and Roming et al. (2012) reported a detection of an X-ray source at the SN position. The binned *Swift*/XRT X-ray light curve of this SN is shown in Figure 4. Apparently the light curve rises, peaks  $\sim 40$  day after maximum optical light, and then declines. However, the uncertainties in the flux measurements are large and the light curve is consistent with being flat (i.e., a best-fit flat model gives  $\chi^2/\text{dof} = 1.23/4$ ). Moreover, recently Pooley (2012) reported on a 9.8 ks *Chandra* observation<sup>20</sup> of this SN. He argued that the emission detected by Roming et al. (2012), which is shown in Figure 4, is from a nearby source found  $4''7$  from the SN location.

*SN 2010al* (Figure 5). This SN was found  $12''$  from the center of the spiral galaxy UGC 4286. It was observed on multiple epochs using *Swift*/XRT with a total integration time of 35 ks, and is detected in the combined image with a mean luminosity of  $\sim 7 \times 10^{39} \text{ erg s}^{-1}$ . Figure 5 presents the binned *Swift*/XRT light curve. Although the light curve peaks around 30 days after maximum light, it is consistent with being flat (i.e., a best-fit flat model gives  $\chi^2/\text{dof} = 0.15/2$ ).

*SN 2005db*. This SN was observed on three epochs, about two years after maximum light (676–695 days), using *Swift*/XRT. The combined image, with an exposure time of 13.6 ks, shows a faint source (five photons) with an FAP of  $2 \times 10^{-4}$  per trial. However, given the fact that we have 305 observations, the FAP over all the trials is only about 0.06. Using the



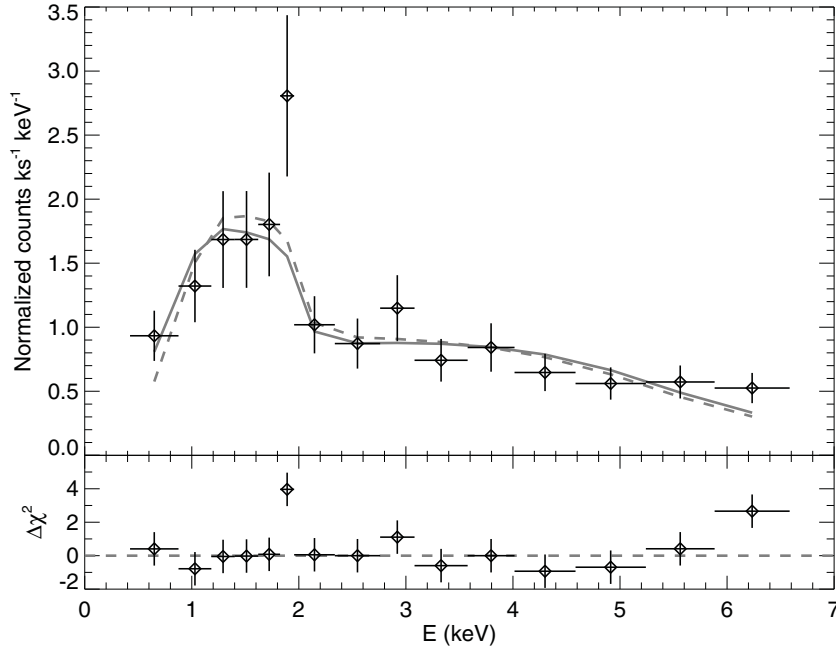
**Figure 2.** Upper panel: the *Swift* (circles) and *Chandra* (squares) X-ray light curve extracted at the position of SN 2006jc. The unabsorbed flux was calculated using PIMMS assuming a Galactic column density of  $N_{\text{H}} = 1.0 \times 10^{20} \text{ cm}^{-2}$ , and a  $N_{\text{ph}}(E) \propto E^{-0.2}$  power-law spectrum. Lower panel: the mean photon energy of the *Swift*/XRT X-ray observations in the 0.2–10 keV band as a function of time. A version of this plot is shown by Immler et al. (2008).

Galactic column density (Table 1) and assuming a power-law spectrum  $N_{\text{ph}}(E) \propto E^{-0.2}$ , the unabsorbed flux is  $(1.97_{-0.72}^{+1.7}) \times 10^{40} \text{ erg s}^{-1}$ . *Chandra* observed this target twice at 722.7 and 1051.4 days after maximum light (PI: D. Pooley), with integrations of 3.0 and 5.0 ks, respectively. Using the same assumptions as above, we put a  $2\sigma$  upper limit on the unabsorbed flux of  $1.4 \times 10^{40} \text{ erg s}^{-1}$  for both epochs. To conclude, given the uncertainties, the *Chandra* upper limits are consistent with the possible *Swift* detection. However, given that this source has a single detection, we cannot firmly conclude that the detection is real.

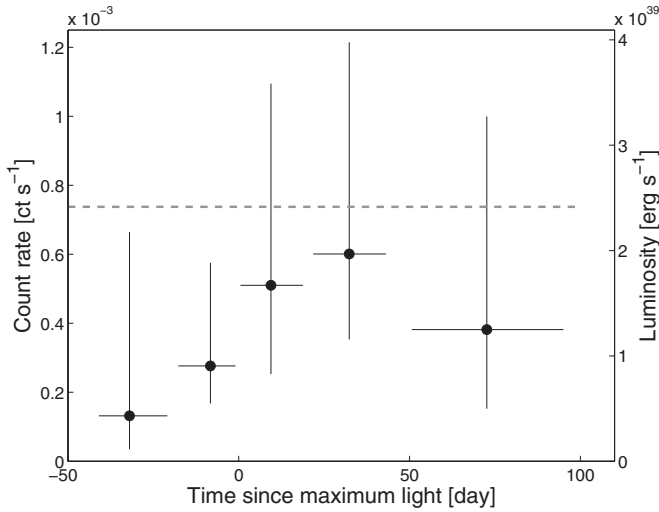
*PTF 11iqb*. This SN has multi-epoch *Swift*/XRT observations taken between about  $-13$  and 28 days relative to maximum light. The SN is detected in a single epoch  $\sim 24$  days after maximum light, with an FAP of  $1.4 \times 10^{-3}$  per trial. However, given that we are reporting 305 individual X-ray observations, the FAP over all the trials is 0.45. The SN was not detected at the last epoch, 28 days after maximum light.

*SN 2007pk* (Figure 6). This SN has a large number of *Swift*/XRT observations, as well as a *Chandra* observation (PI: D. Pooley). Immler et al. (2007) reported a tentative detection in the images taken between MJD 54417.09 and 54420.04. The light curve of the source extracted at the SN position is shown in Figure 6. The light curve shows a brightening, with a peak around MJD 54461, and a full width at half-maximum intensity of about 40 days. However, the SN is about  $7''$  from the center of the spiral host galaxy, NGC 579, and the centroids of the *Swift*/XRT positions in individual exposures are clustered around the galaxy nucleus, rather than at the SN position. We note that emission from the center of NGC 579 is clearly detected in the *Chandra* observation and that there is some emission at the source position. However, the latter may be due to diffuse emission from NGC 579. Therefore, without conclusive evidence that the emission is from the SN, here we assume that the observed flare as well as the quiescent X-ray emission from the position of the source is due to AGN activity in NGC 579. In Table 1, we adopted an upper limit on the X-ray luminosity of SN 2007pk, which is based on the

<sup>20</sup> At the time of the writing this paper, this observation was proprietary.



**Figure 3.** Spectrum of SN 2006jc, as observed 87 days after maximum optical light, near or at peak X-ray luminosity. The top panel shows the binned X-ray data (points) with errors ( $1\sigma$ ) along with the best-fit model, a photon index  $\Gamma = 0.24^{+0.25}_{-0.16}$  (90% confidence) power law, where  $N_{\text{ph}} \propto E^{-\Gamma}$ , and with negligible line-of-sight absorption. The dashed line shows the constrained best fit that has maximal  $N_{\text{H}}$  (90% confidence,  $1.37 \times 10^{21} \text{ cm}^{-2}$ ). The bottom panel shows the  $\Delta\chi^2$  residuals to the best fit. The fit is acceptable, with  $\chi^2 = 11.75$  for 12 degrees of freedom ( $p_{\text{null}} = 0.47$ ).

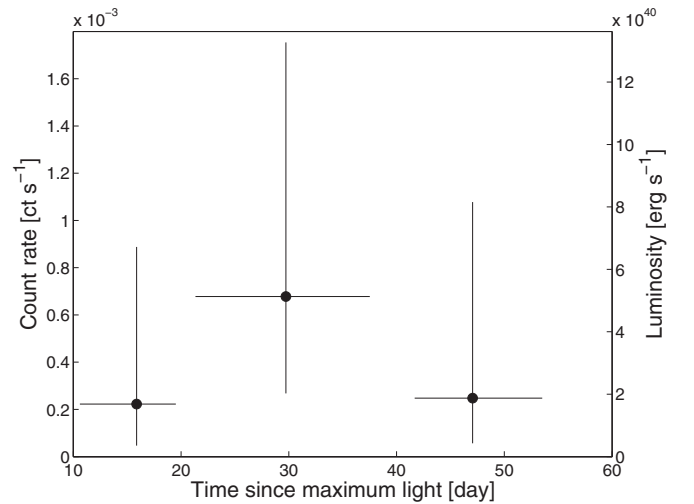


**Figure 4.** Unabsorbed *Swift*/XRT light curve extracted at the position of SN 2011ht in the 0.2–10 keV band, corrected for the aperture size and assuming  $N_{\text{H}} = 7.8 \times 10^{19} \text{ cm}^{-2}$ . The dashed gray line represents the  $2\sigma$  upper limit on the flux from two combined *Swift*/XRT observations obtained 1649 and 1405 days prior to the SN maximum light.

average luminosity observed from the direction of the source, presumably due to AGN activity.

#### 4. THE MODEL

Several recent works discuss the possibility of detecting X-ray emission from SN collisionless shocks in optically thick wind environments (Katz et al. 2011; Murase et al. 2011; Chevalier & Irwin 2012; Svirski et al. 2012). Here, we review the main processes relevant to shock breakout in wind-profile CSM (Section 4.1), emission from collisionless shocks including the importance of bound-free absorption (Section 4.2), and the



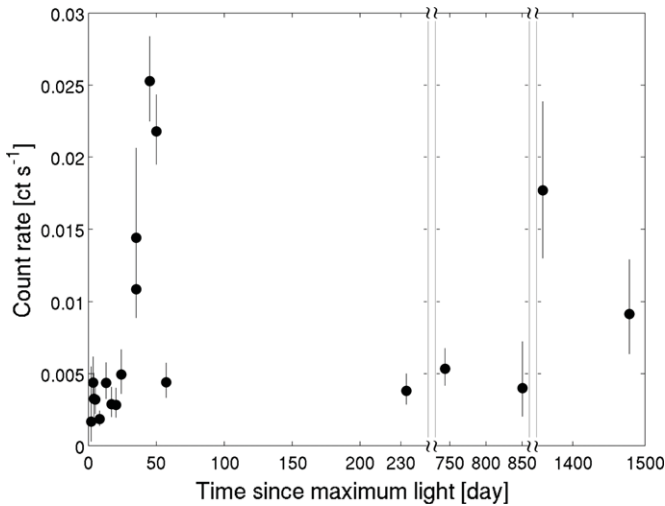
**Figure 5.** Unabsorbed *Swift*/XRT light curve extracted at the position of SN 2010al in the 0.2–10 keV band, corrected for the aperture size and assuming  $N_{\text{H}} = 3.92 \times 10^{20} \text{ cm}^{-2}$ .

possibility of detecting radio emission (Section 4.3). In Section 5 we discuss our observations in the context of this model.

##### 4.1. Shock-breakout Conditions in Wind-profile CSM

Here, we assume that the CSM around the progenitor has a wind-density profile  $\rho = Kr^{-2}$ , where  $K \equiv \dot{M}/(4\pi v_w)$  is the mass-loading parameter,  $\dot{M}$  is the progenitor mass-loss rate, and  $v_w$  is the progenitor wind speed. The Thomson optical depth,  $\tau$ , due to an ionized progenitor wind between the observer and a spherical surface at radius  $r$  from the star center is

$$\begin{aligned} \tau &\approx \frac{\kappa \dot{M}}{4\pi v_w r} \\ &\approx 170 \kappa_{0.34} \dot{M}_{0.1} v_{w,10}^{-1} r_{15}^{-1}. \end{aligned} \quad (1)$$



**Figure 6.** X-ray light curve, corrected for aperture size, extracted at the position SN 2007pk. The emission, and flare, are likely due to AGN activity in the host galaxy NGC 579.

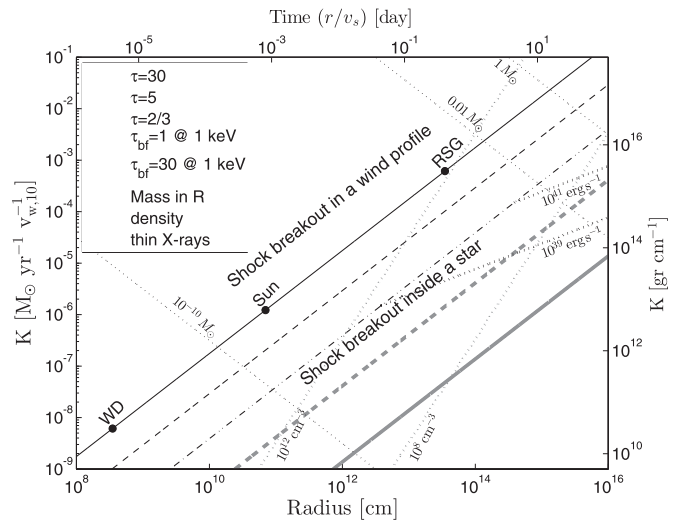
Here  $\kappa_{0.34}$  is the opacity in units of  $0.34 \text{ cm}^2 \text{ g}^{-1}$ ,  $\dot{M}_{0.1}$  is the mass-loss rate in units of  $0.1 M_{\odot} \text{ yr}^{-1}$ ,  $v_{w,10}$  is the wind speed in units of  $10 \text{ km s}^{-1}$ , and  $r_{15}$  is the radius in units of  $10^{15} \text{ cm}$ . We note that this relation is correct up to a factor of order unity (see Balberg & Loeb 2011). The photons in the radiation-dominated and radiation-mediated shock from the SN explosion break out when  $\tau \approx c/v_s$  (e.g., Weaver 1976; Ofek et al. 2010), where  $c$  is the speed of light and  $v_s$  is the shock velocity. At this optical depth, the photon diffusion time becomes shorter than the hydrodynamical timescale (i.e.,  $r/v_s$ ) and the photons can diffuse outward faster than the ejecta. Therefore, the condition for the shock breakout to take place in a steady-wind environment ( $w = 2$ ) is

$$\frac{\dot{M}_{0.1}}{v_{w,10}} \gtrsim 1.2 \times 10^{-6} \tau_{30} r_{\odot} \kappa_{0.34}^{-1} (0.01 M_{\odot} \text{ yr}^{-1} \text{ km}^{-1} \text{ s}), \quad (2)$$

where  $\tau_{30}$  is the Thomson optical depth in units of 30 and  $r_{\odot}$  is the radius in units of the solar radius.

Figure 7 shows the radius versus the mass-loading parameter at which  $\tau \approx 30$  (Equation (2); i.e.,  $v_s = 10^4 \text{ km s}^{-1}$ ; solid black line). For example, this plot suggests that the critical mass-loading, above which the shock will break out in the wind environment, is  $\sim 6 \times 10^{-4} M_{\odot} \text{ yr}^{-1} v_{w,10}^{-1}$  for a  $500 R_{\odot}$  red supergiant. Assuming  $v_s = 10^4 \text{ km s}^{-1}$ , systems found above the solid line will have a shock breakout in a wind profile (the two cases discussed by Chevalier & Irwin 2011). Objects found in regions below the  $\tau \approx 2/3$ , black dashed-dotted line, will have a shock breakout within the stellar surface and the wind will not influence the diffusion of energy from the shock breakout. Finally, systems below the solid line ( $\tau \approx 30$ ) and above the dashed-dotted line ( $\tau \approx 2/3$ ) will have a shock breakout below the stellar surface, but the wind can play a role in the diffusion of the shock energy (e.g., Nakar & Sari 2010).

We note that, in order to form an SN IIn, we require optically thin material, which is ionized by the SN radiation field. Therefore, we speculate that SNe IIn can be found below and above the  $\tau \approx 2/3$  line, and that not all SNe IIn are powered by shock breakout in dense CSM environments.



**Figure 7.** Various regions in the radius vs. wind mass-loading parameter phase space, assuming  $w = 2$ . As indicated in the legend the solid black line represents Thomson optical depth,  $\tau = 30$  (i.e., shock breakout with  $v_s = 10^4 \text{ km s}^{-1}$ ).  $\tau = 5$  and  $\tau = 2/3$  are marked with the dashed black, and dashed-dotted black lines, respectively. The dotted black lines represent the integral of mass inside a given radius (i.e.,  $\int_0^r 4\pi r'^2 K r'^{-2} dr = 4\pi K r$ ). The gray lines show constant bound-free absorption at a given energy (see legend). Note that  $\tau_{\text{bf}}$  is calculated using the relation given in Morrison & McCammon (1983), rather than the approximate relation provided in Equation (5). The dotted gray lines represent lines of constant density, while the heavy dotted black lines shows constant optically thin X-ray emission (see the text). For reference, the radii of red supergiant (RSG,  $500 R_{\odot}$ ), the Sun and a massive white dwarf (WD,  $0.005 R_{\odot}$ ) are marked in circles on the  $\tau = 30$  line. See the text for discussion.

#### 4.2. X-Ray Emission from Collisionless Shocks

Katz et al. (2011) showed that if the shock width ( $\Delta r$ ) at radius  $r$  is on the order of  $r$  (i.e., the Thomson optical depth varies on scales on the order of the radius  $r$ ), the radiation-mediated and radiation-dominated shock will transform into a collisionless shock, and hard X-ray photons will be generated. It is reasonable to assume that some of this energy will be produced in the 1–10 keV X-ray regime. We note that the exact spectrum was not calculated self-consistently and, therefore, is not known.

Chevalier & Irwin (2012) and Svirski et al. (2012) showed that, during the first several shock-breakout timescales after the shock breakout, the optical depth is too large for the hard X-rays to escape. They found that the most efficient processes in blocking the hard X-rays ( $\sim 100 \text{ keV}$ ) are likely (1) cooling of the electrons by inverse-Compton scattering on soft photons generated by the pre-shocked material and (2) Compton scattering. On average, for each Compton scattering, the photon loses a fraction of its energy which is comparable to  $4k_B T_e / (m_e c^2)$  (e.g., Lang 1999). Here  $k_B$  is the Boltzmann constant,  $T_e$  is the electron temperature, and  $m_e$  is the electron mass. Svirski et al. (2012) argued that when the Thomson optical depth declines to  $\tau \approx 5$ , the hard X-ray emission ( $\sim 100 \text{ keV}$ ) will be Comptonized to the 1–10 keV X-ray band and may diffuse out of the CSM. Since the optical depth in a wind profile ( $w = 2$ ) decreases as  $r^{-1}$  (Equation (1)), the collisionless-shock signature will be observable in the X-ray regime only when the optical depth decreases by an order of magnitude (i.e., the shock radius increases by an order of magnitude). Given that the shock velocity falls as  $t^{-1/5}$ , they argued that this should happen roughly between 10 and 50 times the shock-breakout timescale. For reference, we show the  $\tau = 5$  line in Figure 7 (dashed line,

assuming  $v_s = 10^4$  km s $^{-1}$ ). Such late-time emission may be relevant only if the collisionless shock is still important at late times and if the Comptonization remains the dominant process.

Chevalier & Irwin (2012) consider the effect of bound-free absorption. Since above 0.5 keV, metals with a high ionization potential dominate the bound-free absorption, full ionization is required in order to avoid absorption by this process. They argued that an ionization parameter of  $\sim 1000$  is needed to achieve full ionization (including the metal atoms' inner electrons). They estimate that full ionization is achieved for shocks with velocity  $v_s \gtrsim 10^4$  km s $^{-1}$ . In order to estimate the effect of bound-free absorption, we need to evaluate the density of the CSM.

Assuming a hydrogen-rich material, the particle density in a wind profile is given by

$$n = \frac{1}{\langle \mu_p \rangle} \frac{\dot{M}}{4\pi m_p v_w r^2} \approx 3.02 \times 10^{11} \frac{1}{\langle \mu_p \rangle} \dot{M}_{0.1} v_{w,10}^{-1} r_{15}^{-2} \text{ cm}^{-3}, \quad (3)$$

where  $\langle \mu_p \rangle$  is the mean number of protons per particle (mean molecular weight). For our order-of-magnitude calculation, we assume  $\langle \mu_p \rangle = 1$ . In a wind profile, the column density between the radius  $r$  and the observer is

$$N \approx 3.02 \times 10^{26} \dot{M}_{0.1} v_{w,10}^{-1} r_{15}^{-1} \text{ cm}^{-2}. \quad (4)$$

Assuming the gas in the pre-shocked wind is neutral, the bound-free optical depth in the 0.03–10 keV region is roughly given by (e.g., Behar et al. 2011)<sup>21</sup>

$$\tau_{\text{bf}} = N\sigma(E) \approx 3 \times 10^4 \dot{M}_{0.1} v_{w,10}^{-1} r_{15}^{-1} E_1^{-2.5}, \quad (5)$$

where  $\sigma(E)$  is the bound-free cross section as a function of energy  $E$  and  $E_1$  is the energy in keV. This approximation is valid when the material is neutral. However, since above  $\sim 0.5$  keV metals with a high ionization potential dominate the absorption, this formula is still valid, to an order of a magnitude, above 0.5 keV when some (or even one) of the inner electrons of the metals are bound. In Figure 7 we show the lines at which  $\tau_{\text{bf}} \approx 1$  at 1 keV and 10 keV, and at which  $\tau_{\text{bf}} \approx 30$  at 1 keV. Comparison of Equations (1) and (5) suggests that at the time of shock breakout the bound-free cross section in the  $\sim 0.5$ –8 keV range is larger than the Thomson cross section. We note that this may modify the properties of the shock breakout and its spectrum. Moreover, the  $\tau_{\text{bf}} = 1$  line at 1 keV is located far below the  $\tau = 5$  line. This suggests that if the pre-shocked wind is not completely ionized (e.g.,  $v_s \lesssim 10^4$  km s $^{-1}$ ), then soft ( $\lesssim 1$  keV) X-ray emission is not expected in the simple case of a spherically symmetric wind ( $w = 2$ ) profile, even at late times. Moreover, the  $\tau_{\text{bf}} = 1$  at 10 keV line is located slightly below the  $\tau = 5$  line. Therefore, bound-free absorption is likely important even at late stages. This may indicate that  $\sim 10$  keV X-rays may escape the wind on a timescale somewhat longer than suggested by Svirski et al. (2012), and that observations at energies above 10 keV may be more effective (e.g., by the *NuSTAR* mission; Harrison et al. 2010).

All of the order-of-magnitude calculations presented so far assume a wind-density profile with  $w = 2$ . However, we note

that if the CSM profile falls faster than  $r^{-2}$ , or alternatively if the wind is not spherically symmetric or is clumpy, then the column density may fall faster than  $r^{-1}$  in some directions (e.g., Equation (4)), and it will enable the X-rays to escape earlier than predicted by Svirski et al. (2012) for the  $w = 2$  case.

So far we have discussed the emission of X-rays from optically thick environments. However, X-rays can also be generated in optically thin environments. Following Immler et al. (2008), the X-ray emission from an optically thin region is given by

$$L_X \approx \int_r^\infty 4\pi r^2 \Lambda(T) n^2 dr, \quad (6)$$

where  $\Lambda(T)$  is the effective cooling function in the 0.2–10 keV range. Assuming optically thin thermal plasma with a temperature in the range  $10^6$ – $10^8$  K (Raymond et al. 1976), we adopt a value of  $\Lambda(T) \approx 3 \times 10^{-23}$  erg cm $^3$  s $^{-1}$ . Substituting Equation (3) into Equation (6) we get

$$L_X \approx 4\pi \Lambda(T) \frac{K^2}{\langle \mu_p \rangle^2 m_p^2 r} \approx 8.6 \times 10^{41} \left( \frac{\dot{M}}{0.001 M_\odot} \right)^2 \left( \frac{v_w}{10 \text{ km s}^{-1}} \right)^{-2}. \quad (7)$$

Figure 7 also shows lines of equal optically thin X-ray emission. They are plotted only to the right-hand side of the  $\tau \approx 2/3$  line, being relevant only for optically thin regions. This suggests that in the case of a shock breakout in an optically thick CSM ( $\tau \gtrsim c/v_s$ ) with a wind profile, the optically thin X-ray emission will be generated at late times roughly equal to  $c/v_s$  times the shock breakout timescale. We note that, in a wind profile, X-ray emission from optically thin material is expected to decay with the radius and therefore with time (see Figure 7).

### 4.3. Radio Emission from Collisionless Shocks

The shock going through the CSM may generate synchrotron radiation peaking at radio frequencies (e.g., Slysh 1990; Chevalier & Fransson 1994; Chevalier 1998; Horesh et al. 2012; Krauss et al. 2012; Katz 2012). However, if the material is ionized or partially ionized then the free-free optical depth may block this radiation. In order to test if a radio signature is expected, we need to estimate the free-free optical depth (e.g., Chevalier 1981) which, for a ionized CSM with a wind profile, is given by (Lang 1999, Equation (1.223))

$$\tau_{\text{ff}} \approx 2.6 \times 10^{10} T_{e,4}^{-1.35} v_{10}^{-2.1} v_{w,10}^{-2} \dot{M}_{0.1}^2 r_{15}^{-3} \propto r_{15}^{1-2w}, \quad (8)$$

where  $v_{10}$  is the frequency in units of 10 GHz. The free-free optical depth is so large that radio emission is not expected. However, if in some regions the CSM density profile falls significantly faster than  $r^{-2}$ , then the free-free absorption may be low enough for radio emission (e.g., synchrotron) to escape the CSM. We predict that if the CSM is ionized, then due to the effect of free-free absorption, the synchrotron radio emission generated in the shocked CSM may have a relatively steep radio spectrum. Therefore, it is preferable to search for this emission at high frequencies and late times after maximum light. However, the existence of radio emission is likely to be very sensitive to the exact properties of the CSM, such as density profile, symmetry, and homogeneity. We speculate that good candidates for radio emission will be SNe in which the wind filling factor is low, or asymmetric, or alternatively when the wind is ejected in a

<sup>21</sup> This approximation deviates by a factor of two from a more accurate calculation (e.g., Morrison & McCammon 1983).



relatively short eruption, and therefore may have a steep density profile (see Section 5).

We note that Van Dyk et al. (1996) presented a search for radio emission among 10 SNe IIn. None of the SNe in their sample was detected at radio wavelengths, but these observations were conducted 2–14 yr after the SN explosion. However, some SNe IIn were detected as bright radio sources a few years after maximum light. Examples include SN 1986J (Rupen et al. 1987; Leibundgut et al. 1991b) and SN 1978K (Ryder et al. 1993; Chugai et al. 1995).

## 5. OBSERVATIONS IN CONTEXT OF THE MODEL

As shown in Table 2 and Figures 1–5, several SNe in our sample show X-ray emission in the 0.2–10 keV range. Some SNe are presumably detected (and maybe peaking) in X-rays near maximum optical light (e.g., SN 2011ht; SN 2010al). However, the X-ray luminosity of SN 2011ht (see Roming et al. 2012) and SN 2010al at maximum visible light is about 0.05 and 0.3 (respectively) of their visible-light luminosity. These X-ray luminosities are higher than predicted by Svirski et al. (2012; X-rays  $10^{-4}$  of optical) for the CSM shock-breakout case. Moreover, although the X-ray light curves are consistent with a nonvariable luminosity, it is possible that they are peaking near maximum optical light. Therefore, we suggest that the optical light curves of SN 2011ht and SN 2010al, which are SNe IIn, are likely not powered by a shock breakout in CSM.

As previously reported, SN 2010jl (Chandra et al. 2012b) and SN 2006jc (Immler et al. 2007) are detected in X-rays and are peaking at late times, respectively  $\gtrsim 10 t_{\text{rise}}$  and  $\gtrsim 6 t_{\text{rise}}$ . Moreover, the X-ray luminosity at maximum visible light is about  $10^{-3}$  of the visible-light luminosity. This is roughly consistent with the predictions of Svirski et al. (2012). We discuss these SNe in detail in Sections 5.1 and 5.2.

Two other SNe in our sample, PTF 11iqb and SN 2005db, have marginal X-ray detections. Therefore, we cannot make any firm conclusion regarding the reality and nature of this emission.

As indicated in the column  $L_X/L_{\text{opt}}$  in Table 1, the rest of the SNe in our sample, including all of the SLSN-I events, do not have late-time observations and/or sufficiently deep limits to evaluate their nature. Our upper limits are mostly obtained at early times ( $\lesssim 3 t_{\text{rise}}$ ) after the SN explosion, or at very late times ( $\gtrsim 37 t_{\text{rise}}$ ). We note that all of the observations of the hydrogen-poor luminous SNe were obtained at  $\lesssim 2 t_{\text{rise}}$  after optical maximum light.

Recently, Chandra et al. (2012a) reported on X-ray and radio observations of another Type IIn event, SN 2006jd. This event is listed as an SN IIb in the IAUC SN list<sup>22</sup> and, therefore, was not included in our sample. We note that the X-ray observations started about a year after the explosion, so there is no measurement of  $L_X/L_{\text{opt}}$  during optical maximum light.

We conclude that deeper X-ray observations, over longer periods of time after maximum optical light, are required in order to understand the nature of SN IIn and SLSN-I events. The current null detection of hydrogen-poor luminous SNe in X-rays cannot be used to reject the CSM-interaction model proposed by Quimby et al. (2011b).

### 5.1. SN 2010jl (PTF 10aaxf)

Chandra et al. (2012b) reported on the discovery of X-ray emission from SN 2010jl. Its X-ray luminosity near optical

maximum light is about  $6 \times 10^{40}$  erg s<sup>-1</sup>, which is  $\sim 10^{-3}$  of its R-band luminosity. For the case of shock breakout in CSM, Svirski et al. (2012) predicted that near optical maximum light the X-ray luminosity will be about  $10^{-4}$  of the optical luminosity. However, it is possible that the bolometric optical luminosity is higher (e.g., due to metal blanketing). Moreover, we note that for  $w > 2$ , the amount of material above the shock is smaller and the X-rays will be less effected by absorption at early times.

The *Swift* X-ray flux is rising with time, and is approximately consistent with a power law of the form  $t^{0.4}$ , where  $t$  is the time since optical maximum light. Svirski et al. (2012) predicted that the hard radiation (i.e., X-ray) component of a collisionless shock will rise  $\propto t^\beta$ , with  $\beta$  between 0 and 2 when the ejecta are colliding with a wind mass that is comparable to its own mass, and with  $\beta$  between 1 and 5/2 when the wind is less massive than the ejecta. The fact that the X-ray emission in SN 2010jl rises for at least 200 days suggests that its source is in an optically thick region. The reason for this is that if the X-rays originated in the optically thin region, then we would expect to see a decline in the X-ray emission (i.e., moving along the time axis in Figure 7). We note that our observations constrain only the 0.2–10 keV range, while the hard component can emit at energies up to  $\sim 100$  keV. Moreover, Svirski et al. (2012) assumed that the bound-free absorption can be neglected even at late times (i.e., the CSM is completely ionized).

Chandra et al. (2012b) reported on the analysis of the X-ray spectrum of SN 2010jl (including the proprietary data). They measured a column density at their latest epoch of  $\sim 10^{24}$  cm<sup>-2</sup>, which is about 1000 times larger than the Galactic column density in the direction of SN 2010jl. Such a large bound-free absorption is expected if the shock velocity is below  $10^4$  km s<sup>-1</sup> (i.e., the metals are not completely ionized; Chevalier & Irwin 2012) and the mass-loading parameter is as large as expected from a wind shock breakout (e.g., Equation (4)).

Moreover, the column density decreases by a factor of  $\sim 3$  between 38 and 352 days after optical maximum light. Equation (4) predicts that between these dates, assuming  $w = 2$ , the bound-free absorption should decrease by a factor of  $\sim 9$ . In order to explain the rise in column density relative to what is expected from a wind profile, we suggest that the CSM has a shell structure or that the ionization parameter changes with time. If this CSM mass density profile will be followed by a steep power-law index, we predict that the free-free absorption will be low enough and it may be possible to detect late-time radio emission from this SN (see Section 4.3). A complete analysis of all the available data, including the proprietary data, is required in order to understand the luminosity and spectral evolution of this SN, and to give more firm predictions.

### 5.2. SN 2006jc

The X-ray light curve of SN 2006jc (Figure 2; see also Immler et al. 2008) peaked  $\sim 100$  days after the explosion. The visible-light rise time of SN 2006jc was shorter than about 15 days (Foley et al. 2007; Pastorello et al. 2008). Therefore, if this SN is powered by the diffusion of shock energy and if we can approximate the shock-breakout timescale as the visible-light rise time, then we can deduce that the X-rays peaked  $\gtrsim 6 t_{\text{br}}$ .

Itagaki et al. (2006) reported that a possible eruption, with an absolute magnitude of about  $-13$ , took place at the position of SN 2006jc about two years prior to the SN explosion (see also Pastorello et al. 2008). This pre-SN outburst of SN 2006jc may have put in place a dense shell that could provide the medium

<sup>22</sup> <http://www.cbat.eps.harvard.edu/lists/Supernovae.html>

required for the formation of a collisionless shock. Moreover, due to the eruptive nature of the event, the outer edge of this shell may follow a density profile that falls faster than  $r^{-2}$ , or has a relatively sharp edge. Such a density profile is required for the X-rays to escape the shocked regions at times earlier than the 10–50 shock-breakout timescale suggested by Svirski et al. (2012).

From an analysis of the X-ray light curve of SN 2006jc, Immler et al. (2008) modeled the X-ray emission from this SN as originating in an optically thin shell at a radius of  $9 \times 10^{15}$  cm. In this model, the peak of the X-ray emission corresponds to the time it takes the SN ejecta, moving at  $9000 \text{ km s}^{-1}$ , to reach the shell. The existence of a shell at this distance is consistent with the speed and time of the pre-SN outburst seen in this event.

Given the CSM velocity of  $\sim 1000 \text{ km s}^{-1}$  in SN 2006jc (Foley et al. 2007), we conclude that the X-ray emission in this system is roughly consistent with two explanations: (1) X-rays from an optically thin shell with a mass loss of  $\sim 10^{-2} M_{\odot} \text{ yr}^{-1}$  (as suggested by Immler et al. 2008) or (2) X-rays from an optically thick shell with a mass loss of  $\sim 1 M_{\odot} \text{ yr}^{-1}$ . In both cases, the shell was ejected about two years prior to the SN explosion.

## 6. CONCLUSIONS

We present a search for X-ray emission from 28 SNe in which it is possible that the shock breakout took place within a dense CSM. Most SNe have been observed with X-ray telescopes only around maximum optical light. SNe in our sample that have clear X-ray detections include SN 2006jc (Immler et al. 2008), SN 2010jl (Chandra et al. 2012b), SN 2011ht (Roming et al. 2012), and SN 2010al. Two additional objects, PTF 11iqb and SN 2005db, have questionable detections. The SNe in our sample that do have late-time observations were either also detected at early times or observed serendipitously at very late times. In that respect, our first conclusion is that a search for X-ray emission both at early and late times from SNe is essential for constraining the properties of the CSM around their progenitors.

Our analysis suggests that some SNe IIn/Ibn, most notably SN 2010jl, have optical light curves that are likely powered by a shock breakout in CSM, while some other SNe IIn do not. However, for most of the SNe in our sample, including all of the SLSN-I events, the observations are not conclusive. Specifically, the lack of X-ray detection of SLSN-I events cannot rule out the interaction model suggested by Quimby et al. (2011b; see also Ginzburg & Balberg 2012). We conclude that deeper observations at later times are required in order to further test this model and to estimate the fraction of SNe IIn which are powered by optically thick emission.

Given the limits found in this paper and our current understanding of these events, it will be worthwhile to monitor SNe IIn (as well as other classes of potentially interacting SNe; e.g., SNe IIL) with X-ray and radio instruments at timescales  $\gtrsim 10$  times the rise time of the SN. In most cases presented in this paper, the SN rise time is in the range 10–50 days. Therefore, the recommended timescale to conduct X-ray and radio observations is between three months and two years after the SN maximum light. Given the X-ray luminosities reported in Table 1, we suggest that a luminosity sensitivity of better than  $\sim 10^{41} \text{ erg s}^{-1}$  is required in order to detect X-ray emission from these SNe.

We argue that in some cases bound-free absorption will play an important role at early and late times. Therefore, observations

with the recently launched *Nuclear Spectroscopic Telescope Array* (*NuSTAR*; Harrison et al. 2010) in the 6–80 keV band may be extremely useful to test the theory and to study the physics of these collisionless shocks. Moreover, in the cases where bound-free absorption is important (e.g.,  $v_s \lesssim 10^4 \text{ km s}^{-1}$ ; Chevalier & Irwin 2012), the spectral X-ray evolution as a function of time can be used to probe the column density above the shock at any given time, and to deduce the density profile outside the shocked regions. We also argue that in some cases, if the CSM has a steep density profile (e.g., SN 2010jl), it may be possible to detect radio emission.

Finally, we note that Katz et al. (2011) and Murase et al. (2011) predict that the collisionless shocks will generate TeV neutrinos. The detection of such neutrinos using IceCube (Karle et al. 2003) will be a powerful tool to test this theory and explore the physics of collisionless shocks.

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