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ENHANCEMENTS ASSOCIATED WITH AN INTERPLANETARY SHOCK WAVE

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ABSTRACT

Abrupt and prolonged enhancements in the intensities of 100 to ~ 2000 keV/nucleon protons and alpha particles observed in interplanetary space are interpreted as particle populations confined between an interplanetary shock front and a magnetic field discontinuity. Prominent intensity "spikes" observed only below ~ 400 keV per charge for both protons and alpha particles several hours behind the shock front suggest that some fraction of the confined particles is accelerated by an energy per charge dependent process.

Sudden increases in the intensity of low energy, interplanetary particles are frequently associated with the passage of flare generated shock waves, corotating standing shocks and magnetic field tangential discontinuities. Although these events vary considerably in their signature, two general types are recognized: (a) Energetic Storm Particle (ESP) events, having time scales on the order of hours and normally observed in association with an interplanetary shock front or tangential discontinuity in the interplanetary magnetic field (Bryant, et al., 1962; Lanzerotti, 1969; Kahler, 1960; McCracken and Rao, 1970; Kunstmann and Wibberenz, 1973), and (b) "Shock-Spike" events with time scales of 5 to 30 minutes and typically occurring within 5 to 10 minutes of a shock front passage (Axford and Reid, 1963; Armstrong, et al., 1970; Ogilvie and Arens, 1971; Singer and Montgomery, 1971). Simultaneous measurements of protons and alpha particles at equal velocities, equal rigidities and equal energy per charge as a function of time have been recognized to be of fundamental importance in determining the probable causes for these enhancements (Lanzerotti and Robbins, 1969; Scholer et al., 1972).

In this letter we describe the salient characteristics of temporal variations of $\gtrsim 100$ keV/nucleon protons and alpha particles during a ten hour period associated with the passage of an interplanetary shock wave. In addition to extending measurements to energies considerably below previous observations for ESP and Shock-Spike events, we report the discovery of a new low energy feature which we will refer to as "Post-Shock Spikes". The typical width of these spikes is several minutes but they

occur several hours after the passage of the shock front. We present evidence that the mechanisms responsible for these post-shock spikes depends on the energy per charge of the particles.

Our measurements were made in interplanetary space from October 29 to November 2, 1972 using the University of Maryland Electrostatic Deflection Spectrometer on board the IMP 7 (Explorer 47) spacecraft (Fan et al., 1971). This instrument identifies low energy protons, alphas and heavier particles by simultaneously measuring the kinetic energy and amount of deflection of collimated incoming particles in a known electrostatic field. Table 1 lists the instrument rate characteristics relevant to the present discussion.

The ten minute averaged counting rate of 125-160 keV protons is shown in Fig. 1 for the October 29 to November 2, 1972 time period. The slow increase in intensity on days 304 and 305 is most likely due to the arrival at earth of low energy particles generated by the 2N flare of the previous day. Of interest here is the shaded portion of the intensity vs. time profile. Coincident with the storm sudden commencement (SC) we observe a "shock spike". The enhancement continues for about 8.5 hours ending at the time of the main phase (MP) of the geomagnetic storm. The most outstanding feature of the event is the set of three large but short lived intensity increases centered around 2000 hours on day 305, approximately 3 hours after the passage of the shock front. These are the "post-shock spikes".

In order to investigate the origin of these increases we plot in Fig. 2 the ten minute averaged differential intensity of 125 to 160, 380 to 650 and 1150 to 1870 keV protons as well as 95 to 135 and 310 to 465 keV/nucleon alpha particles during the 12 hour period of the enhancement using a common time base. All five rate channels reach a maximum

at time T_1 and decrease abruptly at T_2 . The absence of velocity dispersion leads us to interpret the prolonged enhancement as a spatial feature moving past the satellite. The particle enhancement, especially prominent for the lowest energy protons, argues for confinement of particles between two well defined "barriers" in the interplanetary magnetic field. Indeed, examination of magnetic field data (Ness and Lepping, private communication, 1974) reveals the existence of an interplanetary shock at T_1 . At T_2 a prominent magnetic field discontinuity was observed with the field component normal to the ecliptic plane changing from northward to southward, and the component in the ecliptic plane rotating by 180° within two minutes. The field magnitude also changed markedly, increasing from 16 to 23 γ . The abrupt decrease in all channels at the time of the field discontinuity, T_2 , is interpreted to be caused by the passage of the satellite from the region populated by particles in the enhancement to one containing primarily solar flare particles. (See also Fig. 1.)

The large increases at T_1 have time profiles not unlike the shock-spike events described in the literature (Ogilvie and Arens, 1971; Singer and Montgomery, 1971). Examining the proton and alpha rate profiles around T_1 we notice the similarity between the P2 and A1 as well as the P3 and A2 channels, which have, respectively, identical rigidity responses (see Table 1). Even more revealing is the time behavior of the p/α ratio computed in Fig. 3c, where we find the "equal rigidity" ratio at 30 MV remains remarkably constant across the shock front at T_1 . We conclude, therefore, that below 60 MV the process responsible for the shock spike is rigidity dependent. On the other hand, observations of other events at higher

energies made by Lanzerotti and Robbins (1969) and Scholer et al. (1972) show the p/α ratio to be constant across the shock front only when evaluated at equal energy per charge.

An entirely new feature of these observations is the discovery of large spikes occurring several hours after shock front passage. These "post-shock" spikes have the following characteristics:

- (a) They occur some 2 to 3 hours after the passage of the shock front (Fig. 2).
- (b) They are seen only in the lowest energy proton and alpha channels; no post-shock spikes are seen above ~ 400 keV per charge. (Fig. 2)
- (c) Across these post-shock spikes the p/α ratio remains constant only when evaluated at equal energy per charge (Fig 3b); the ratio is about 20 at 230 kV.
- (d) The width of the post-shock spikes is some 2 to 7 minutes corresponding to scale lengths of several times 10^{10} cm. The spike at S_3 of Fig. 2 consists of four narrower spikes having nearly identical structures in both the protons and alpha channels.
- (e) During the spikes the particle anisotropy increases dramatically; the streaming is generally unidirectional, field aligned and directed away from the shock front (Ipavich, 1974).

To account for the persistent enhancement between the shock front at T_1 and the field discontinuity at T_2 we consider two processes. In

the first, particles are accelerated (Fisk, 1971) or swept up (Palmer, 1972) by the moving shock front with some fraction leaking into the region behind the shock front. We note that such a snow plow mechanism would pile up particles ahead of the shock front, as observed (see Fig. 2), and is expected to depend on the particle rigidity (Fisk, 1971), consistent with our results for the shock-spike at T_1 (Fig. 3c). Furthermore, the general slow decrease of the particle intensities from T_1 to T_2 (see Fig. 2) and the observed flow of all particles directed away from the shock front in the frame of the solar wind support our conclusion that most of the greater than about 30 MV particles are leaking in across the shock front. This mechanism alone is not sufficient, however, to explain our observations for 125-160 keV protons (channel P1 in Fig. 2), where the intensity after is considerably larger than before the shock front passage. Nor can it account for the presence of the post-shock spikes. We therefore suggest that, in addition to the "leaky-snow plow" mechanism, a significant fraction of the less than ~ 30 MV particles observed behind the shock front as well as essentially all of the particles in the post-shock spikes are locally accelerated by an energy per charge dependent mechanism. One possible mechanism is discussed in a future paper (Levy et al., 1974), where it is suggested that the acceleration is associated with active neutral sheets at or behind the shock front, and that the narrow post-shock spikes are observed whenever the magnetic field lines connect the satellite directly to these turbulent regions. Whether the source of the accelerated particles is the high energy tail of the solar wind distribution or solar flare particles cannot be decided from the present data, although we note with interest the similarity of our observed p/α ratio at equal energy

per charge (~ 20) to that typically found in the quiet solar wind (Hundhausen, 1972). In future work it will be important to examine in detail the composition of particles in the enhancement to decide on their origin.

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TABLE 1

CHARACTERISTICS OF ELECTROSTATIC DEFLECTION SPECTROMETER RATE CHANNELS

Detector	Geometrical Factor (cm ² sr)	Particle type ^(a)	Charge Threshold	Response ^(b)		
				energy/charge (keV/charge)	energy/nucleon (keV/nuc)	rigidity (MV)
P1	0.02	protons	$Q \geq 1$	125-160	125-160	15.3-17.3
P2 ^(c)	0.03	protons	$Q \geq 1$	380-650	380-650	26.7-34.9
P3 ^(c)	0.02	protons	$Q \geq 1$	1150-1870	1150-1870	46.5-59.3
A1 ^(c)	0.03	alphas	$Q \geq 2$	190-270	95-135	26.7-31.8
A2 ^(c)	0.02	alphas	$Q \geq 2$	620-930	310-465	48.2-59.1

(a) Most common.

(b) Assuming fully stripped nuclei.

(c) Rate is sectorized into four 90° segments for anisotropy measurements in the ecliptic plane.

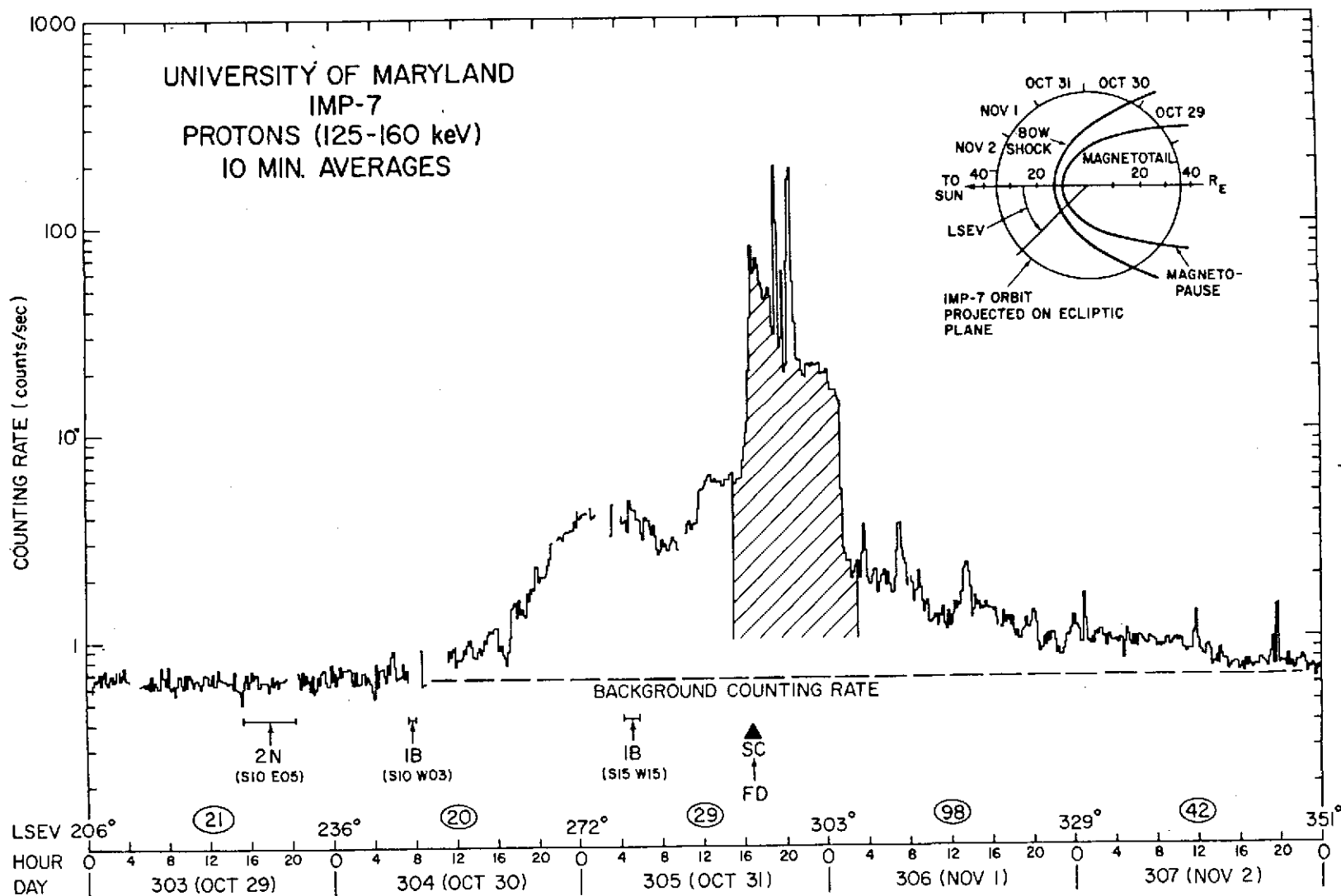
FIGURE CAPTIONS

Figure 1. Counting rate for 125-160 keV protons during days 303-307, 1972. The relevant optical flare data are indicated, with the arrows representing times of maximum phase. Associated X-ray bursts are indicated by "X", each arrow representing the time of maximum 1-8 Å flux. SC, MP, and FD mark, respectively, the times of sudden commencement and main phase of a geomagnetic storm, and of a Forbush decrease observed by neutron monitors. The encircled numbers represent the daily A_p index. Geomagnetic and solar events times are taken from the Solar-Geophysical Data Bulletins, nos. 340, 341, 344, 345. The insert shows that the satellite was outside the earth's bow shock during times when the rate was above background.

Figure 2. Ten minute averaged differential intensity time profiles for the three proton and two alpha particle channels during passage of the shock wave, corresponding to the shaded portion of Fig. 1. Three types of particle increases are observed: (a) the shock spike at T_1 , (b) an ESP enhancement from T_1 to T_2 , and (c) a set of post-shock spikes at S_1 , S_2 , S_3 .

Figure 3. Proton to alpha particle ratios during passage of the shock wave. (a) The ratio at equal velocity uses the P1 proton intensity and an interpolated alpha particle intensity using detectors A1 and A2. (b) The ratio at equal energy per charge uses the A1 alpha particle intensity and an interpolated proton intensity using detectors P1 and P2. (c) The ratio at equal rigidity uses detectors P2 and A1. During post-shock spikes observed at times S_1 , S_2 and S_3 , the p/α ratio remains constant only when evaluated at equal energy per charge. During the shock spike at T_1 only the "equal rigidity" ratio remains constant. Instrumental background in the alpha channels prevents extension of these plots beyond the T_2 boundary.

Figure 1



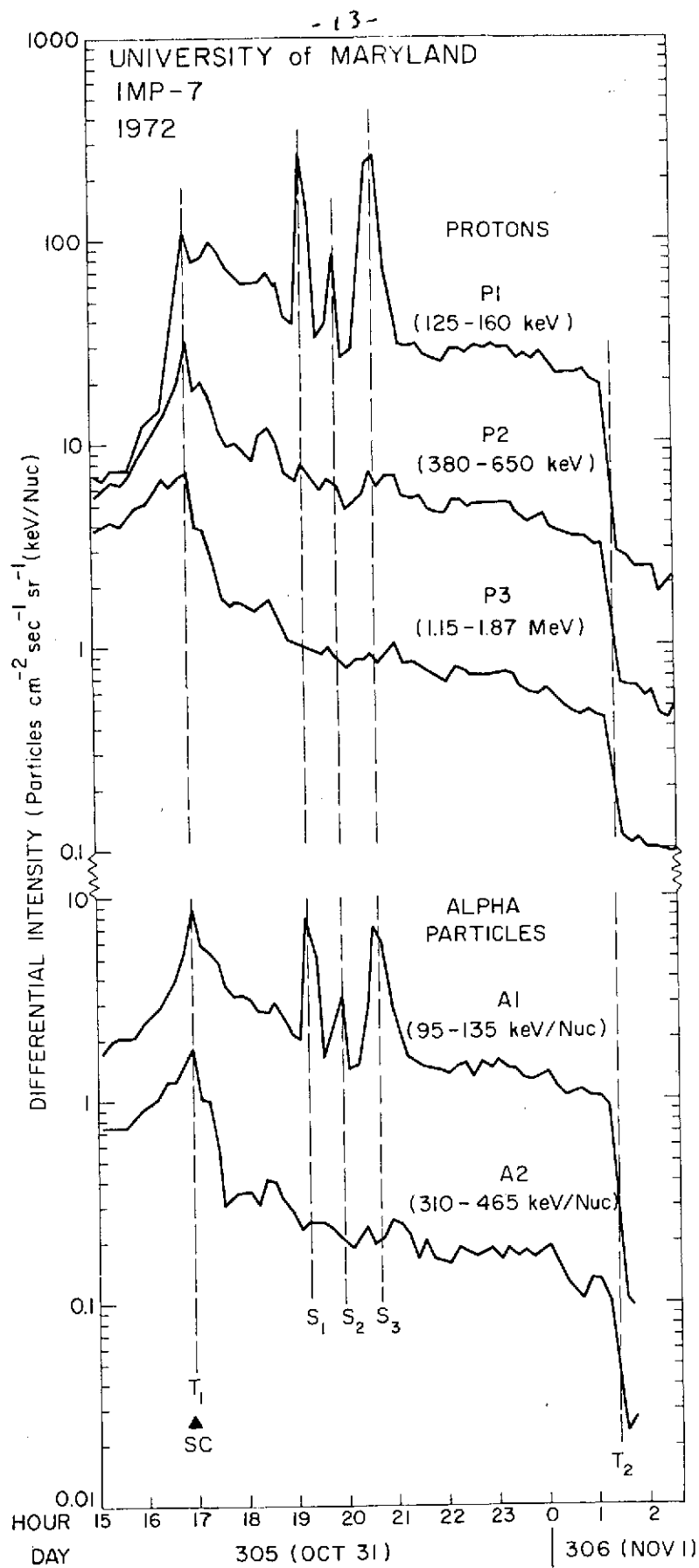


Figure 2

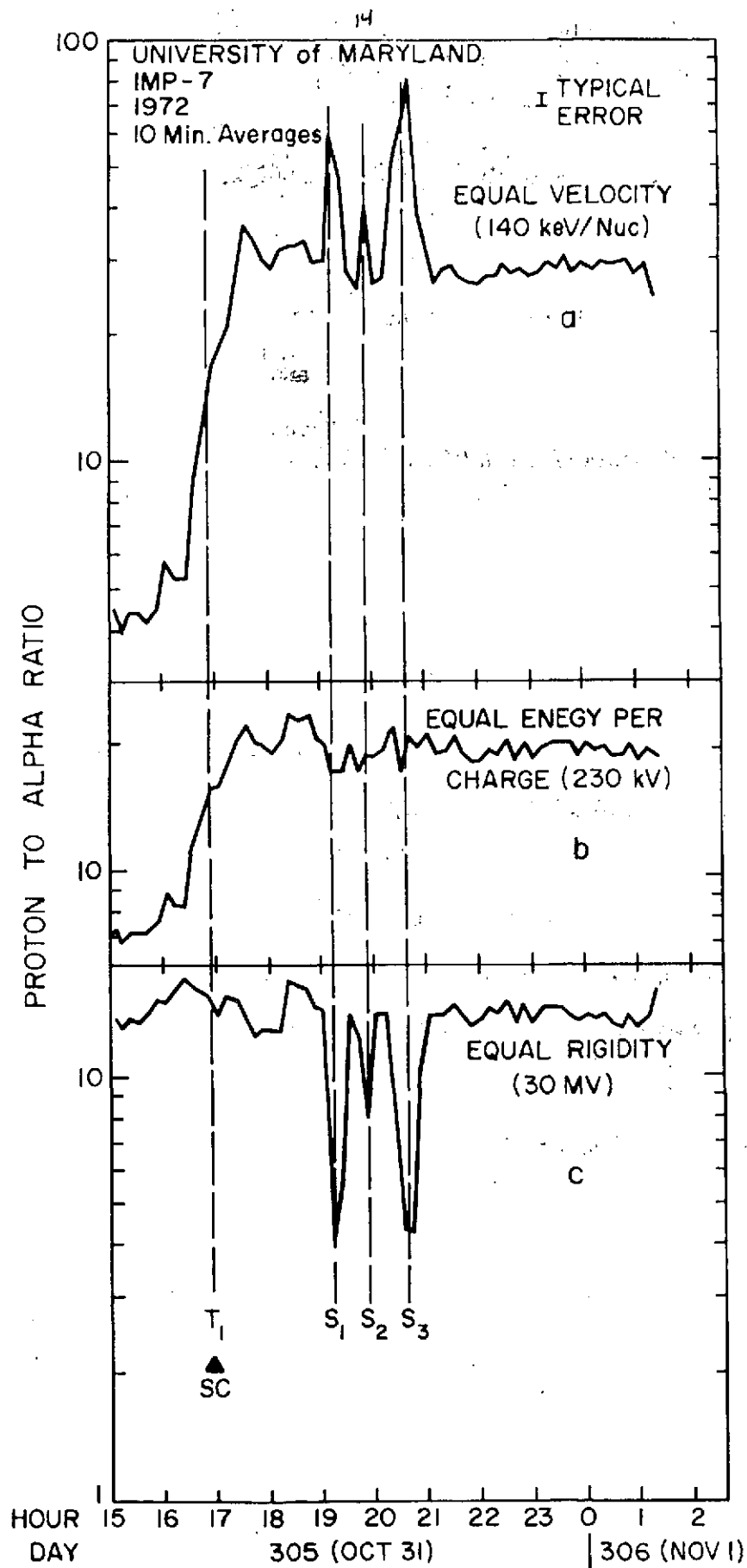


Figure 3