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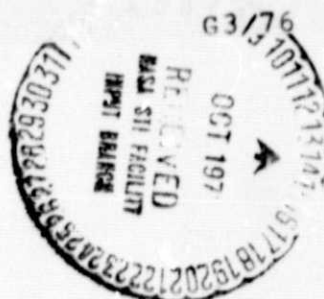
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**CHARGE EXCHANGE IN ZINC-NEON**

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# CHARGE EXCHANGE IN ZINC-NEON

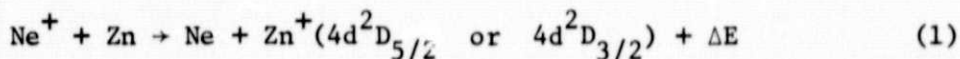
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## ABSTRACT

Excitation of the 4d and 5p levels of  $\text{Zn}^+$  by charge exchange between  $\text{Ne}^+$  and Zn was investigated. From measured electron temperature and line intensity ratios it was concluded that charge exchange is the dominate mechanism for populating the  $4d^2D_{5/2}$  level of  $\text{Zn}^+$ . Comparison of Zn-Ne and Zn-Ar results imply the same conclusion. No evidence for charge exchange as the dominant pumping mechanism for the  $5p^2P_{1/2}$ ,  $5p^2P_{3/2}$ , or  $4d^2D_{3/2}$  levels was obtained.

## INTRODUCTION

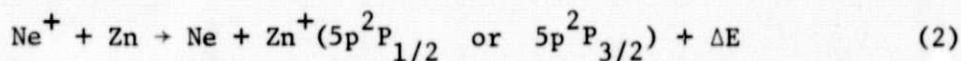
In investigating lasing in He-Ne-Zn, Collins<sup>1</sup> observed evidence for charge exchange between  $\text{Ne}^+$  and Zn atoms to produce excited  $\text{Zn}^+$  ions. The observed reaction was the following.



where  $\Delta E = I_{\text{Ne}} - (I_{\text{Zn}} + E_{\text{Zn}^+})$  is the energy defect,  $I$  is the ionization potential and  $E$  is the excitation potential. For  $\text{Zn}^+(4d^2D_{3/2})$ ,  $\Delta E = 0.155$  eV and for  $\text{Zn}^+(4d^2D_{5/2})$   $\Delta E = 0.149$  eV.

If the cross section for the reaction described by Equation (1) is large enough, it may be possible to selectively populate the 4d levels in  $\text{Zn}^+$ . In this case lasing may occur at wavelengths of 2064 Å, 2102 Å, or 2100 Å for  $4d \rightarrow 4p$  transitions. As a result, an experimental investigation of the Zn-Ne system was undertaken. The experiment was similar to one used to study charge exchange in Ca-Xe<sup>2</sup>. A steady-state flowing Ne plasma was produced in a low power (500 W) arc source. Zinc was injected into the plasma beam where charge exchange occurs between  $\text{Ne}^+$  and Zn. From relative intensity and electron temperature measurements it is possible to determine if charge exchange or electron collisional excitation is responsible for populating a particular level of  $\text{Zn}^+$ .

In addition to charge exchange to the 4d levels of  $\text{Zn}^+$ , there is also the possibility of charge exchange to the 5p levels since  $\Delta E$  is small.



For the  $5p^2P_{1/2}$  level,  $\Delta E = -0.397$  eV and for the  $5p^2P_{3/2}$  level,  $\Delta E = -0.426$  eV. In analyzing the experimental data charge exchange to

both the 4d and 5p levels will be considered.

### ANALYSIS

By relating the measured intensity ratios to the power input to the plasma source, it is possible to deduce whether charge exchange or electron collisions are responsible for populating a particular level. The intensity ratios are related to the electron temperature through the use of the continuity equations for the various levels. Also, the electron temperature was measured as a function of power input. As a result, a relation between intensity ratios and power input is obtained.

Figure 1 shows an energy level diagram of the zinc ion. Also shown are the relevant wavelengths  $\lambda_{ij}$  and transition probabilities  $A_{ij}$ . The transition probabilities were calculated using the Bates-Damgaard<sup>3</sup> technique at Colorado State University.<sup>4</sup> The solid lines indicate the transitions where intensity measurements were made in this experiment. It was not possible to measure the 5p  $\rightarrow$  5s transition intensities because the monochromator used had a wavelength limitation of 7000 Å.

The continuity equation under steady-state conditions<sup>2</sup> for the  $i^{\text{th}}$  level in Figure 1 states that the sum of the net collisional pumping rate,  $W_i$ , and spontaneous decay rate into the  $i^{\text{th}}$  level from levels above the  $i^{\text{th}}$  is equal to the spontaneous decay rate from the  $i^{\text{th}}$  level.

$$W_i + \sum_{j=i+1}^8 n_j A_{ji} = n_i \sum_{j=1}^{i-1} A_{ij} \quad (3)$$

The number density of the  $i^{\text{th}}$  level is denoted by  $n_i$ . The collisional pumping rate,  $W_i$ , includes both electron excitation and charge transfer collisions. All cascading from levels above the 5p has obviously been neglected. Charge exchange pumping is not expected for levels above the 5p. As a result, any contributions to Equation (3) resulting from cascading will be independent of charge exchange. Therefore, all charge exchange contributions to the population of the 5p or 4d levels are included in Equation (3).

Using Equation (3) for the eight levels in Figure 1 the measured intensity ratios can be related to the pumping rates of these levels. An intensity ratio is related to the level number densities by the following relation.

$$R_{\lambda_{ij}}^{\lambda_{pq}} = \frac{\lambda_{ij} A_{pq}}{\lambda_{pq} A_{ij}} \left( \frac{n_p}{n_i} \right) \quad (4)$$

Therefore, applying Equation (3) to the eight levels in Figure 1 yields the following results.

$$R_{2025}^{2100} = \frac{2025}{2100} \frac{W_6}{W_3 + \frac{A_{43}}{A_{42} + A_{43}} W_u + \frac{A_{53}}{A_{52} + A_{53}} W_5 + W_6} \quad (5)$$

$$R_{2062}^{2064} = \frac{2062}{2064} \frac{W_5}{W_2 + \frac{A_{42}}{A_{42} + A_{43}} W_u + \frac{A_{52}}{A_{52} + A_{53}} W_5} \quad (6)$$

$$R_{2062}^{2502} = \frac{2062}{2502} \frac{A_{42}}{A_{42} + A_{43}} \frac{W_u}{W_2 + \frac{A_{42}}{A_{42} + A_{43}} W_u + \frac{A_{52}}{A_{52} + A_{53}} W_5} \quad (7)$$

$$R_{2025}^{2558} = \frac{2025}{2558} \frac{A_{43}}{A_{42} + A_{43}} \frac{W_u}{W_3 + \frac{A_{43}}{A_{42} + A_{43}} W_u + \frac{A_{53}}{A_{52} + A_{53}} W_5 + W_6} \quad (8)$$

$$R_{2558}^{2100} = \frac{2558}{2100} \frac{A_{42} + A_{43}}{A_{43}} \frac{W_6}{W_u} \quad (9)$$

$$R_{2558}^{2064} = \frac{2558}{2064} \frac{A_{52}}{A_{43}} \left( \frac{A_{42} + A_{43}}{A_{52} + A_{53}} \right) \frac{W_5}{W_u} \quad (10)$$

where

$$W_u = W_4 + W_7 + W_8 \quad (11)$$

In obtaining Equations (5) to (10) the approximations  $A_{74} \gg A_{75}, A_{71}$  and  $A_{84} \gg A_{85}, A_{86}, A_{81}$  have been made.

To relate the intensity ratios to the electron temperature, the pumping rates,  $W_i$ , must be expressed in terms of the plasma properties. It is assumed that electron collisions and charge exchange with  $\text{Ne}^+$  can pump the 4d and 5p levels. However, only electron collisions are assumed to pump the 5s and 4p levels.

Electron collisions between any of the many Zn and  $\text{Zn}^+$  levels can produce  $\text{Zn}^+$  in the eight levels shown in Figure 1. However, because of the large energy difference between Zn atom states and these  $\text{Zn}^+$  states, we neglect electron pumping of these levels resulting from electron-Zn atom collisions. Therefore, the only electron pumping to be considered



will be excitation from low energy  $Zn^+$  states to higher energy  $Zn^+$  excited states. As a result, the electron pumping rate for any of the excited  $Zn^+$  levels is the following.

$$(W_j)_e = n_e \sum_{i=1}^{j-1} n_i S_{ij}(T_e) \quad (12)$$

The term  $S_{ij}$ , which is a function of the electron temperature,  $T_e$ , is the collisional rate coefficient ( $m^3/sec$ ) for excitation of the  $j$ th level resulting from electron- $i$ th level  $Zn^+$  collisions.

$$S_{ij} \equiv \langle (\sigma_{ij})_e v_e \rangle \quad (13)$$

where  $(\sigma_{ij})_e$  is the electron excitation cross section for  $i \rightarrow j$  transitions,  $v_e$  is the electron velocity, and  $\langle \rangle$  denotes an average over the electron distribution function.

For analyzing the experimental data it is only necessary to know whether  $S_{ij}$  is an increasing or decreasing function of electron temperature. Therefore, consider two functional forms for  $\sigma_{ij}$  that should be reasonable approximations for  $Zn^+$ . First of all, it has been suggested<sup>5</sup> that  $(\sigma_{ij})_e \sim 1/v_e^2$ . In this case for a Maxwellian electron distribution  $S_{ij}$  is the following.

$$S_{ij} = C_{ij} \left( \frac{1}{kT_e} \right)^{1/2} \exp \left( - \frac{E_{ij}}{kT_e} \right) \quad (\sigma_{ij})_e \sim \frac{1}{v_e^2} \quad (14a)$$

where  $E_{ij} = E_j - E_i$  is the energy difference between the  $j$  and  $i$  levels,  $k$  is the Boltzmann constant. The electron excitation rate coefficient given by Equation (14a) increases with  $T_e$  until  $kT_e = 2E_{ij}$  and then decreases for  $kT_e > 2E_{ij}$ . The constant  $C_{ij}$  has two different forms depending on whether the transition is allowed or forbidden. Recent experimental results<sup>6</sup> for  $4s \rightarrow 4p$  electron excitation in  $Ca^+$  suggest that  $(\sigma_{ij})_e = \text{constant}$  is a good approximation for low electron energies. In this case for a Maxwellian electron distribution  $S_{ij}$  is the following.

$$S_{ij} = K_{ij} \sqrt{kT_e} \left( \frac{E_{ij}}{kT_e} + 1 \right) \exp \left( - \frac{E_{ij}}{kT_e} \right) \quad (\sigma_{ij})_e = \text{constant} \quad (14b)$$

This is a monotonically increasing function of  $T_e$ .

In this experiment  $kT_e < 2E_{ij}$  for the  $i \rightarrow j$  transitions of interest (denoted by solid lines in Fig. 1). Therefore, assuming that reasonable approximations for  $S_{ij}$  are given by either Equations (14a) or (15b), then it can be concluded that  $S_{ij}$  will be an increasing

function of  $T_e$  for the transitions denoted by solid lines in Figure 1.

For the case of charge exchange, only collisions between  $\text{Ne}^+$  and Zn ground state atoms need be considered. Therefore, the charge exchange pumping rate to the  $i$ th level is the following:

$$(W_j)_c = n_o n_{\text{Ne}^+} L_{oj} \quad (15)$$

where  $n_o$  is the number density of ground state Zn atoms,  $n_{\text{Ne}^+}$  is the number density of  $\text{Ne}^+$  and  $L_{oj}$  is the collisional rate coefficient ( $\text{m}^3/\text{sec}$ ) for charge exchange excitation of the  $j$ th level resulting from Zn- $\text{Ne}^+$  collisions. In this experiment the relative velocity  $U$  between  $\text{Ne}^+$  and Zn, is much greater than the ion thermal speed. Also, assuming the charge exchange cross section,  $\sigma_{oi}$ , is nearly independent of  $U$  the charge exchange rate coefficient can be approximated as follows.

$$L_{oj} = U \sigma_{oj} \quad (16)$$

Equation (16) shows that the charge exchange rate coefficient is independent of  $T_e$ .

Using Equations (12), (15), and (16) the intensity ratios can now be written in terms of the plasma parameters. For comparison with the experimental data, two limiting forms of the intensity ratios are necessary. First, when electron pumping of the 5s, 4d, and 5p levels of  $\text{Zn}^+$  is negligible. Second, when charge exchange pumping of the 5s, 4d, and 5p levels is negligible. When electron pumping is negligible

$$(W_u)_c = n_o n_{\text{Ne}^+} U (\sigma_{07} + \sigma_{08}) \quad (17a)$$

$$(W_5)_c = n_o n_{\text{Ne}^+} U \sigma_{05} \quad (17b)$$

$$(W_6)_c = n_o n_{\text{Ne}^+} U \sigma_{06} \quad (17c)$$

And since only electron collisions can pump the 4p levels,

$$W_2 = n_e n_1 S_{12} \quad (17d)$$

$$W_3 = n_e n_1 S_{13} \quad (17e)$$

Since levels 2 and 3 have nearly the same energy, they should be close to being in equilibrium. Therefore, deexcitation ( $3 \rightarrow 2$ ) should nearly balance excitation ( $2 \rightarrow 3$ ). As a result, an  $S_{23}$  term in Equation (17e) has been neglected.

Substituting Equations (17) in Equation (5) yields the following result for the  $T_e$  dependence when charge exchange predominates over electron pumping of the 5s, 4d, and 5p levels.

$$\left(R_{2025}^{2100}\right)_c = (NS_{13} + K)^{-1} \quad (18)$$

where

$$N = \frac{n_e n_1}{n_o n_{Ne+}} \quad (19)$$

$$K = U \left[ \frac{A_{43}}{A_{42} + A_{43}} (\sigma_{07} + \sigma_{08}) + \frac{A_{53}}{A_{52} + A_{53}} \sigma_{05} + \sigma_{06} \right] \quad (20)$$

Consider how the term  $N$  given by Equation (19) depends on the electron temperature. As the electron temperature increases, more ionization of Zn and Ne will occur. Therefore, in order to maintain charge neutrality ( $n_e \approx n_1 + n_{Ne+}$ ),  $n_e$ ,  $n_1$ , and  $n_{Ne+}$  will all be increasing functions of  $T_e$ , while  $n_o$  will decrease with increasing  $T_e$ . Also, in order to satisfy  $n_e \approx n_1 + n_{Ne+}$  the mean ion density,  $n_{Ne+}$  cannot increase faster than  $n_e$ . Therefore, the term  $N$  will be an increasing function of  $T_e$ . As has already been pointed out,  $S_{13}$  is an increasing function of  $T_e$  for this experiment. As a result, the intensity ratio  $R_{2025}^{2100}$  will be a decreasing function of  $T_e$  if charge exchange is much greater than electron pumping of the 5s, 4d, and 5p levels of  $Zn^+$ . The same conclusion applies to the  $R_{2062}^{2064}$ ,  $R_{2062}^{2502}$ , and  $R_{2025}^{2558}$  intensity ratios.

Now consider the intensity ratios  $R_{2558}^{2100}$  and  $R_{2558}^{2064}$ . For charge exchange pumping of the 4d and 5p levels, Equations (9) and (10) yield the following.

$$\left(R_{2558}^{2100}\right)_c = \frac{2558}{2100} \frac{A_{42} + A_{43}}{A_{43}} \left( \frac{\sigma_{06}}{\sigma_{07} + \sigma_{08}} \right) \quad (21)$$

$$\left(R_{2558}^{2064}\right)_c = \frac{2558}{2064} \frac{A_{52}}{A_{43}} \left( \frac{A_{42} + A_{43}}{A_{52} + A_{53}} \right) \left( \frac{\sigma_{05}}{\sigma_{07} + \sigma_{08}} \right) \quad (22)$$

Equations (21) and (22) show that  $R_{2558}^{2100}$  and  $R_{2558}^{2064}$  will be independent of the electron temperature if charge exchange is the dominant pumping mechanism.

Now consider the situation when only electron collisions are responsible for populating all the  $Zn^+$  levels. For this experiment  $kT_e \approx 10$  eV. Therefore, it is expected that the 4s and 4p levels of  $Zn^+$  will be highly populated. As a result, there will be significant electron collisional excitation from the 4p levels to the 5s and 4d levels. However, since the energy of the 5p levels is greater than the energies of the 5s and 4d levels and also since  $5p \rightarrow 4p$  is a forbidden transition the densities of the 5p levels should be negligible. As a result, the 5p levels are neglected in Equation (3) for the case of electron



pumping only. Also, since the  $4p^2P_{1/2}$  and  $4p^2P_{3/2}$  levels are very close in energy,  $E_{23} = 0.1$  eV, they should be close to being in equilibrium. Therefore,

$$\frac{n_3}{n_2} = \frac{g_3}{g_2} \exp\left(-\frac{E_{23}}{kT_e}\right) \approx \frac{g_3}{g_2} = 2 \quad (23)$$

where  $g$  denotes the degeneracy of a level. Experimental results indicate that  $n_3/n_2$  is independent of  $T_e$ . This will be discussed further in the EXPERIMENTAL RESULTS section. Since the energy difference ( $\sim 11$  eV) between the  $4s$  and  $5s$  levels and the  $4s$  and  $4d$  ( $\sim 12$  eV) is large, as well as the transitions  $5s \rightarrow 4s$  and  $4d \rightarrow 4s$  being forbidden, it is reasonable to assume  $S_{14} = S_{15} = S_{16} = 0$ .

Under the above assumptions, Equation (3) for the  $4d^2D_{5/2}$  level ( $i = 6$ ) and Equation (4) yield the following.

$$\left(\frac{R_{2100}}{R_{2025}}\right)_e = \frac{2025}{2100} \frac{1}{A_{31}} \left(\frac{n_2}{n_3} S_{26} + S_{36}\right) n_e \quad (24)$$

It has already been pointed out that all the electron excitation rate coefficients,  $S_{ij}$ , will be increasing functions of  $T_e$ . Also,  $n_e$  will increase with increasing  $T_e$ . Therefore, with the assumption that  $n_2/n_3 = \text{constant}$ , Equation (24) shows that  $\frac{R_{2100}}{R_{2025}}$  will be an increasing function of  $T_e$  if electron collisions are the dominant pumping mechanism of all the levels. This same conclusion applies to  $R_{2064}^{2064}$ ,  $R_{2062}^{2502}$ , and  $R_{2025}^{2558}$ .

Again, under the above assumptions, Equation (3) for the  $4d^2D_{5/2}$  level ( $i = 6$ ) and the  $5s^2S_{1/2}$  ( $i = 4$ ) level together with Equation (4) yield the following.

$$\left(\frac{R_{2100}}{R_{2558}}\right)_e = \frac{2558}{2100} \frac{A_{42} + A_{43}}{A_{43}} \left(\frac{\frac{n_2}{n_3} S_{26} + S_{36}}{\frac{n_2}{n_3} S_{24} + S_{34}}\right) \quad (25)$$

Assume that  $E_{26} \approx E_{36}$  and  $E_{24} \approx E_{34}$ . Then if all the electron excitation rate coefficients in Equation (25) behaved according to Equations (14a) or (14b),  $\left(\frac{R_{2100}}{R_{2558}}\right)_e$  would be an increasing function of  $T_e$ . However, since it is not clear that all  $S_{ij}$  have the same  $T_e$  dependence, it is not possible to conclusively state whether  $\left(\frac{R_{2100}}{R_{2558}}\right)_e$  should be an increasing or decreasing function of  $T_e$ . The same conclusion applies to  $\left(\frac{R_{2064}}{R_{2558}}\right)_e$ .

Now consider the situation when charge exchange populates the  $4d^2D_{5/2}$  level but electron collisions populate the other levels. The

$T_e$  dependence of  $R_{2025}^{2100}$  will be the same as that in Equation (18) ( $\sigma_{05} = \sigma_{07} = \sigma_{08} = 0$  in Eq. (20) and assuming  $W_6 \gg W_u, W_5$ ). Therefore, if charge exchange is the mechanism for populating the  $4d^2D_{5/2}$  level, the  $R_{2025}^{2100}$  intensity ratio will decrease with increasing  $T_e$ . The result for  $R_{2558}^{2100}$  is obtained by substituting  $W_u = n_e[n_2S_{24} + n_3S_{34}]$  and Equation (17c) in Equation (9),

$$\left(R_{2558}^{2100}\right)_{e-c} = \left[N' \left(S_{24} + \frac{n_3}{n_2} S_{34}\right)\right]^{-1} \quad (26)$$

where

$$N' = \frac{n_e n_2}{n_o n_{Ne+}} \quad (27)$$

Similar to  $N$  the quantity,  $N'$  will be an increasing function of  $T_e$ . Also,  $S_{24}$  and  $S_{34}$  are increasing functions of  $T_e$ . Therefore, since  $n_3/n_2 \approx \text{constant}$  Equation (26) shows that  $R_{2558}^{2100}$  will decrease with increasing  $T_e$  if the  $4d^2P_{5/2}$  level is pumped by charge exchange and all the other levels by electron collisions.

## EXPERIMENTAL RESULTS

### Zn-Ne Results

The experimental apparatus used for this experiment is described in Reference 2. A steady-state flowing neon plasma is produced in a low power arc source. The velocity of the flow is the order of  $10^6$  cm/sec. Zinc is injected into the flow by sublimation from a zinc ribbon. The zinc ribbon was wrapped around a nichrome wire which was resistively heated to zinc sublimation temperatures. Varying the amount of zinc injection had negligible effect on the intensity ratios to be discussed below.

Electron temperatures were measured with a double Langmuir probe. The double probe cannot be used in a plasma that is seeded with a metal. In such a situation the probe becomes contaminated with the metal so that reliable probe current versus probe voltage data cannot be obtained. Therefore, probe data was taken only in pure neon.

Relative intensity measurements were made with a 1/2-m focal length scanning monochromator. The monochromator viewed the plasma through a quartz window in the region where zinc was injected. In the wavelength region (2000 Å - 2600 Å) where the intensity measurements were made, the monochromator transmission changes rapidly with wavelength. As a result, it was not possible to obtain an accurate calibration of the monochromator. In presenting the intensity ratio data, therefore, the intensity ratios have been normalized. Normalization will remove the monochromator

transmission from the results. The maximum intensity ratio was chosen as the normalization factor. Normalized intensity ratios will be denoted as  $\bar{R}$ .

In the ANALYSIS section the approximation  $n_3/n_2 = \text{constant}$  was used. From the measured intensity ratio  $(R_{2062}^{2025})_m \sim n_3/n_2$  it is possible to evaluate this approximation. The measured intensity ratio,  $(R_{2062}^{2025})_m$ , is related to the actual intensity ratio as follows.

$$\left(R_{2062}^{2025}\right)_m = \frac{T_{2025}}{T_{2062}} R_{2062}^{2025} = \frac{2062}{2025} \frac{T_{2025}}{T_{2062}} \frac{A_{31}}{A_{21}} \frac{n_3}{n_2} \quad (28)$$

where  $T_\lambda$  is the transmission of the optics (window, monochromator, and PM tube) at wavelength  $\lambda$ . As mentioned above, it was not possible to get an accurate measurement of  $T_\lambda$ . However, for the Zn-Ne data reported here  $(R_{2062}^{2025})_m$  varied from 1.27 to 1.39. Since this is less than a 10 percent variation, the approximation  $n_3/n_2 = \text{constant}$  appears to be valid.

Figure 2 shows  $\bar{R}_{2025}^{2100}$  and  $\bar{R}_{2062}^{2064}$  as functions of the input power to the plasma source. Also shown is the electron temperature in pure Ne. With the addition of Zn the magnitude of  $T_e$  should be reduced but not the shape of the  $T_e$  versus power input curve. For input power,  $P_i$ , below 280 watts, the electron temperature behavior is erratic. However, for  $280 \leq P_i \leq 460$  watts the electron temperature is an increasing function of  $P_i$ .

For  $280 \leq P_i \leq 460$  watts the intensity ratio  $\bar{R}_{2025}^{2100}$  decreases. As discussed earlier, this is the electron temperature dependence expected if charge exchange is the predominate pumping mechanism of the 4d and 5p levels (see Eq. (18)). However,  $\bar{R}_{2062}^{2064}$  increases with increasing  $T_e$ . This is the  $T_e$  dependence expected if all the levels are pumped by electron collisions (see Eq. (24)). Obviously, both conclusions are not possible. However, this is the expected result for  $\bar{R}_{2025}^{2100}$  and  $\bar{R}_{2062}^{2064}$  if charge exchange is the predominate pumping mechanism for the  $4d^2D_{5/2}$  level while electron collisions are responsible for populating all other levels. In this case, Equation (18) would apply for  $\bar{R}_{2025}^{2100}$  with  $\sigma_{05} = \sigma_{07} = \sigma_{08} = 0$ . But equations of the same  $T_e$  dependence as Equation (24) would apply for  $\bar{R}_{2062}^{2064}$ ,  $\bar{R}_{2502}^{2502}$ , and  $\bar{R}_{2558}^{2558}$ . The results for  $\bar{R}_{2062}^{2502}$  and  $\bar{R}_{2025}^{2558}$  when  $P_i > 280$  watts (Fig. 3) do not show a clear increase or decrease with  $T_e$ . Since both the 2502 and 2558 Å transitions originate from the  $5s^2S_{1/2}$  level, the  $T_e$  dependence of  $\bar{R}_{2062}^{2502}$  and  $\bar{R}_{2025}^{2558}$  must be the same. Therefore, the data of Figure 3 are more an indication of the experimental error than a clear indication that  $\bar{R}_{2062}^{2502}$  and  $\bar{R}_{2025}^{2558}$  are increasing or decreasing functions of  $T_e$ .

Data in Figure 4 also indicate that charge transfer is populating the  $4d^2D_{5/2}$  level while electron collisions are responsible for the population of all other levels. The intensity ratio  $\bar{R}_{2558}^{2100}$  shows a definite decrease with increasing  $T_e$  for  $P_i > 280$  watts as expected (see

Eq. (26)). There is no clear indication that  $\bar{R}_{2558}^{2064}$  is either an increasing or decreasing function of  $T_e$ . Within the experimental error,  $\bar{R}_{2558}^{2064}$  would appear to be independent of  $T_e$ . As discussed earlier (see Eq. (22)),  $\bar{R}_{2558}^{2064}$  would appear independent of  $T_e$  for charge exchange pumping of the 4d and 5p levels. However, when charge exchange is negligible (see Eq. (25)) it is not possible to conclude if  $\bar{R}_{2558}^{2064}$  should increase or decrease with  $T_e$ .

### Zn-Ar Results

Further evidence for charge exchange pumping of the  $4d^2D_{5/2}$  level is obtained by comparing results in Zn-Ar with the Zn-Ne results. In Zn-Ar electron pumping is the only likely mechanism for populating the 4d and 5p levels and  $\bar{R}_{2558}^{2100}$  will be given by Equation (25) and a similar expression for  $\bar{R}_{2558}^{2064}$ . It is possible that charge exchange to the 4p levels of  $Zn^+$  may occur ( $\Delta E = 0.355$  eV), however, this will not effect  $\bar{R}_{2558}^{2100}$  and  $\bar{R}_{2558}^{2064}$ .

The results for  $\bar{R}_{2558}^{2100}$  and  $\bar{R}_{2558}^{2064}$  in Zn-Ar are shown in Figure 5 together with  $T_e$  results in pure Ar. The electron temperatures in Ar are lower than in Ne and show the same erratic behavior for low input powers. The intensity ratios show rather inconsistent behavior also. As pointed out in the discussion following Equation (25) it is not possible to predict the electron temperature dependence of  $(R_{2558}^{2100})_e$  and  $(R_{2558}^{2064})_e$ .

It is of interest to compare the magnitude of  $\bar{R}_{2558}^{2100}$  and  $\bar{R}_{2558}^{2064}$  in Zn-Ar with the Zn-Ne results. The ratio of  $(\bar{R}_{2558}^{2100})_{Zn-Ar}$  to  $(\bar{R}_{2558}^{2100})_{Zn-Ne}$  is 1.26. The ratio of  $(\bar{R}_{2558}^{2064})_{Zn-Ar}$  to  $(\bar{R}_{2558}^{2064})_{Zn-Ne}$  is 0.75. Note that it is the average measured intensity ratios that are being compared, not the normalized intensity ratios shown in Figures 4 and 5. The average value in Zn-Ne was computed using the data points for  $285 \leq P_i \leq 520$  watts. In Zn-Ar the average value was computed using the data for  $250 \leq P_i \leq 320$  watts. These are the power ranges where  $T_e$  is an increasing function of power input,  $P_i$ .

If electron collisions are populating the  $4d^2D_{3/2}$  and  $4d^2D_{5/2}$  levels in both Zn-Ne and Zn-Ar then the ratios should be nearly equal. However, the  $\bar{R}_{2558}^{2100}$  ratio is nearly twice the  $\bar{R}_{2558}^{2064}$  ratio. Since electron collisional pumping cannot produce such a result, it is concluded that charge transfer must be responsible for pumping the  $4d^2D_{5/2}$  level in Zn-Ne.

### Charge Exchange Cross-Section Limit

Collins<sup>1</sup> measured the total charge exchange cross-section for  $He^+$  on Zn. He gives a value of  $2.3 \times 10^{-15} \text{ cm}^2$ . The results of this experiment indicate a much larger cross section for the  $4d^2D_{5/2}$  level than the



$4d^2D_{3/2}$  level. A lower limit on how much larger the  $4d^2D_{5/2}$  cross section,  $\sigma_{06}$ , is than the  $4d^2D_{3/2}$  cross section,  $\sigma_{05}$ , can be obtained from the data.

Using Equations (3) and (4) for the  $4d^2D_{3/2}$  ( $i = 5$ ) and  $4d^2D_{5/2}$  ( $i = 6$ ) levels together with Equation (17c) and

$$W_5 = n_e \sum_{i=1}^4 n_i S_{i5} + n_o n_{Ne} + U \sigma_{05} \quad (29)$$

the following is obtained:

$$R_{2064}^{2100} = \frac{2064}{2100} \frac{A_{52} + A_{53}}{A_{53}} \frac{\frac{\sigma_{06}}{\sigma_{05}}}{F(T_e) + 1} \quad (30)$$

where

$$F(T_e) = \left( \frac{n_e}{n_o n_{Ne} + \sum_{i=1}^4 n_i S_{i5}} \right) \frac{1}{U \sigma_{05}} \quad (31)$$

The measured intensity ratio,  $(R_{2064}^{2100})_m$ , in Zn-Ne was found to be approximately 1.9. Therefore, using Equation (30):

$$\frac{\sigma_{06}}{\sigma_{05}} = 1.9 \frac{T_{2064}}{T_{2100}} \frac{A_{52} + A_{53}}{A_{53}} [F(T_e) + 1] \quad (32)$$

The function  $F(T_e)$  is the ratio of electron collisional pumping to charge exchange pumping of the  $4d^2D_{3/2}$  level. As already discussed, the experimental results show that electron pumping predominates over charge exchange pumping of the  $4d^2D_{3/2}$  level. Therefore,  $F(T_e) > 0$ . Using the transition probability data in Figure 1 and the estimated transmission ratio  $T_{2064}/T_{2100} \approx 0.8$  the following lower limit is obtained.

$$\frac{\sigma_{06}}{\sigma_{05}} > 1.8 \quad (33)$$

The energy difference between the  $4d^2D_{3/2}$  and  $4d^2D_{5/2}$  levels is small ( $\sim 0.006$  eV). Therefore, it was expected that each of the states of the  $J = 3/2$  and  $J = 5/2$  levels to have the same probability of being populated by charge exchange. As a result, it is expected that the cross section ratio,  $\sigma_{06}/\sigma_{05}$ , should equal the ratio of statistical weights,  $3/2$ . However, the experimental results indicate a lower limit of 1.8. In the case of Ca-Xe (Ref. 2) for charge exchange to the  $4d^2D_{5/2}$  and



$4d^2D_{3/2}$  levels of  $Ca^+$ , it was found that the ratio of cross sections was  $\sim 1.13$ , which is close to the statistical weight ratio  $3/2$ . However, for  $Ca^+$  the energy difference between  $4d^2D_{3/2}$  and  $4d^2D_{5/2}$  is less than  $0.003$  eV. Turner-Smith<sup>7</sup> et al. investigating Zn-He found for the  $6p^2P_{3/2}$  and  $6p^2P_{1/2}$  levels (energy difference =  $0.009$  eV) a charge exchange cross section ratio of  $7.5$  compared to a statistical weight ratio of  $2$ . For the  $5d^2D_{5/2}$  and  $5d^2D_{3/2}$  levels the energy difference is only  $0.003$  eV and the experimental result<sup>7</sup> for the cross section ratio is  $1.8$ . This agrees fairly well with the statistical weight ratio of  $1.5$ .

Melius<sup>8</sup> has presented arguments as to why two nearly resonant atomic states, such as  $4d^2D_{5/2}$  and  $4d^2D_{3/2}$  could have substantially different charge exchange cross sections. As Melius shows, the important region for charge transfer occurs at large internuclear separations. At these large distances the  $Ne^+$  ( $2p_{3/2}$ ,  $2p_{1/2}$ ) ion and the Zn atom have not yet interacted. Thus the various molecular potential curves which can be obtained by combining  $Ne^+$ -Zn will remain essentially flat.

For  $Ne$  ( $1S_0$ ) combining with  $Zn^+$  ( $4d^2D_{5/2}$ ,  $4d^2D_{3/2}$ ) five molecular states can be formed, three of them ( $\Sigma_{1/2}$ ,  $\pi_{3/2}$ ,  $\Delta_{5/2}$ ) correlating with  $4d_{5/2}$  and two of them ( $\pi_{1/2}$ ,  $\Delta_{3/2}$ ) correlating with  $4d_{3/2}$ . The  $\Sigma_{1/2}$  state will be repulsive (due to Pauli exclusion) at much larger values of internuclear separation than the remaining molecular states. Because of the slightly positive value of energy defect, the  $\Sigma_{1/2}$  state will have its molecular potential energy curve cross those of the incident  $Ne^+$ -Zn system at a large value of separation giving rise to a large cross section. As Melius<sup>8</sup> discusses, if the  $4d^2D_{5/2}$ ,  $4d^2D_{3/2}$  splitting is too large, a fine structure transition from the  $5/2$  to the  $3/2$  state will be impeded and the outgoing system will preferentially remain in the  $4d^2D_{5/2}$  state.

For Zn- $Ne^+$  charge transfer to the  $5p$  levels of  $Zn^+$ , the energy defect is less than zero ( $\Delta E < 0$ ). Therefore, the potential energy curves for  $Ne^+$  ( $2p_{3/2}$ ,  $2p_{1/2}$ ) -  $Zn$  ( $1S_0$ ) and  $Ne$  ( $1S_0$ ) -  $Zn^+$  ( $5p^2P_{1/2}$ ,  $5p^2P_{3/2}$ ) will not intersect. If the charge transfer process can be described by curve crossings of molecular states formed during the collision, then for  $\Delta E < 0$ , no charge exchange is expected to occur.<sup>8</sup> No experimental evidence of charge exchange to the  $5p$  levels was obtained. This implies that the curve crossing model is applicable in the Zn-Ne system.

## CONCLUSION

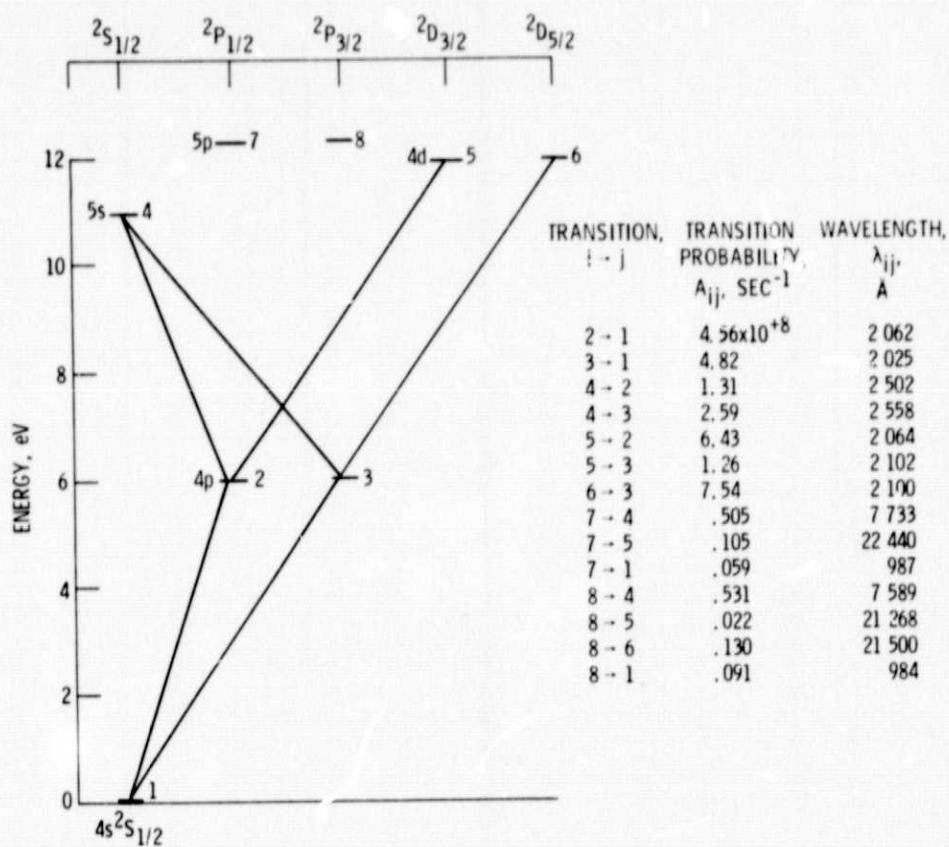
Experimental evidence indicates that charge exchange between  $Ne^+$  and Zn is the dominate pumping mechanism of the  $Zn^+$  ( $4d^2D_{5/2}$ ) level. The intensity ratios  $\frac{R_{2100}}{R_{2025}}$  and  $\frac{R_{2100}}{R_{2558}}$ , which are proportional to the ratio of the  $4d^2D_{5/2}$  to  $4p^2P_{3/2}$  level and  $4d^2D_{5/2}$  to  $5s^2S_{1/2}$  level number densities, are decreasing functions of the electron temperature. This is the expected result if charge exchange is responsible for populating the  $4d^2D_{5/2}$  level. A comparison between Zn-Ar and Zn-Ne data also indicates charge exchange pumping of the  $4d^2D_{5/2}$  level. In Zn-Ar only electron

collisions can populate the 4d and 5s levels. It was found that the ratio  $\langle R_{2558}^{2100} \rangle_{\text{Zn-Ne}} / \langle R_{2558}^{2100} \rangle_{\text{Zn-Ar}}$  was nearly twice the ratio  $\langle R_{2558}^{2064} \rangle_{\text{Zn-Ne}} / \langle R_{2558}^{2064} \rangle_{\text{Zn-Ar}}$ . If electron collisional pumping is populating both the  $4d^2D_{3/2}$  and  $4d^2D_{5/2}$  levels in Zn-Ne then these two ratios should be nearly equal. Since this is not the case, it is concluded that charge transfer must be responsible for pumping the  $4d^2D_{5/2}$  level. There is no evidence to indicate that charge exchange is the dominate pumping mechanism for the  $4d^2D_{3/2}$ ,  $5p^2P_{1/2}$ , or  $5p^2P_{3/2}$  levels, which also have small energy defects,  $\Delta E$ .

A lower limit of 1.8 was obtained for the charge exchange cross section ratio,  $\sigma_{06}/\sigma_{05}$ , where  $\sigma_{06}$  is the cross section for the  $4d^2D_{5/2}$  level and  $\sigma_{05}$  is the cross section for the  $4d^2D_{3/2}$  level.

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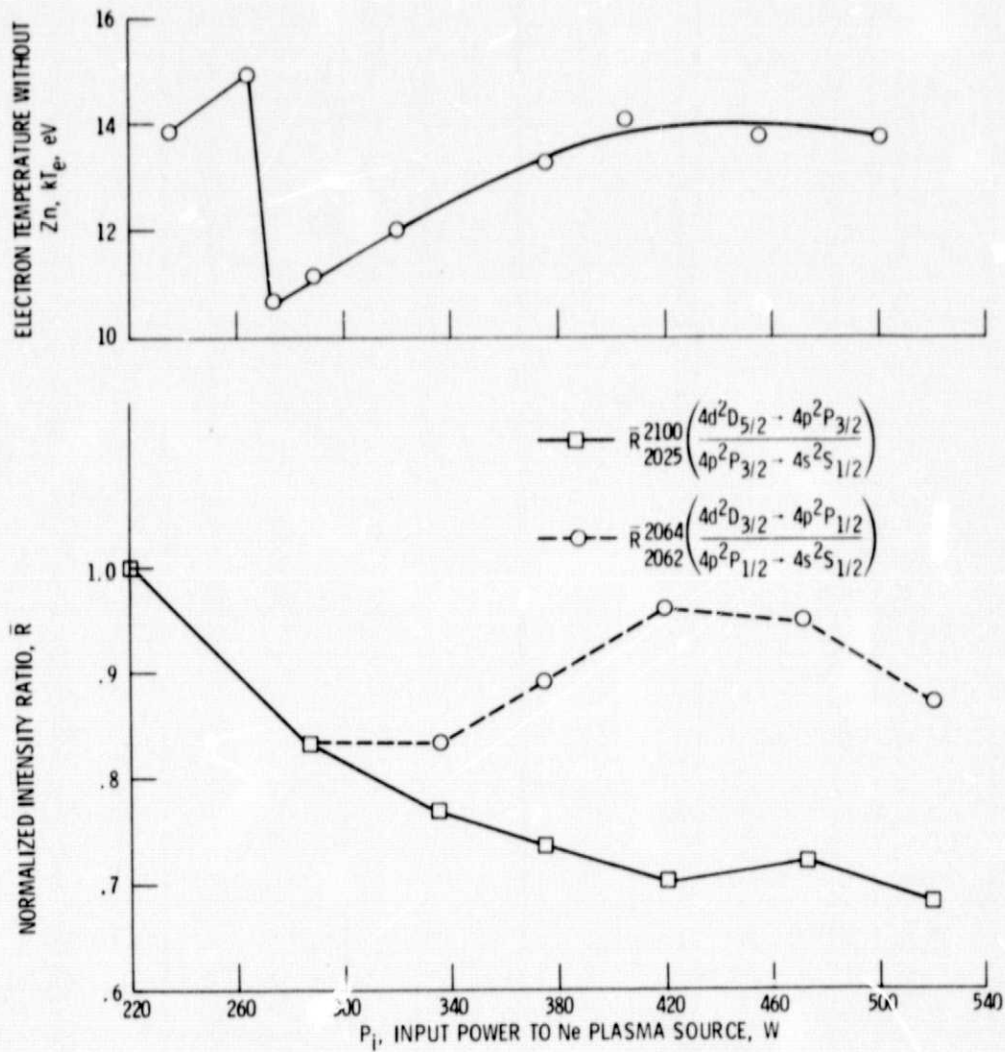


Figure 2. - Electron temperature and intensity ratios  $\bar{R}_{2100/2025}$ ,  $\bar{R}_{2064/2062}$  versus power input in Zn-Ne.

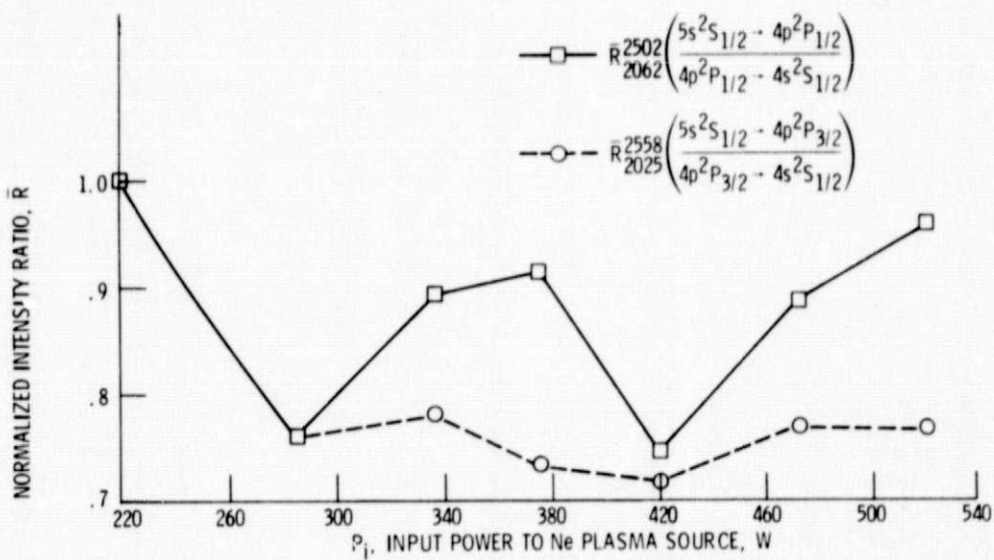
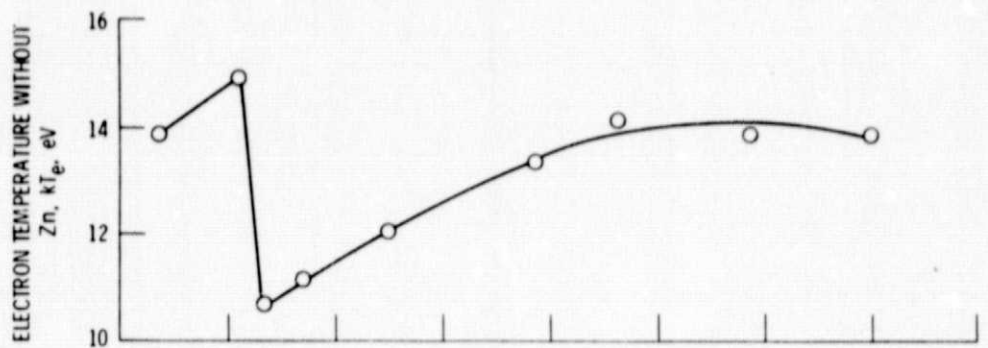


Figure 3. - Electron temperature and intensity ratios  $\bar{R}_{2502/2062}$ ,  $\bar{R}_{2558/2025}$  versus power input in Zn-Ne.



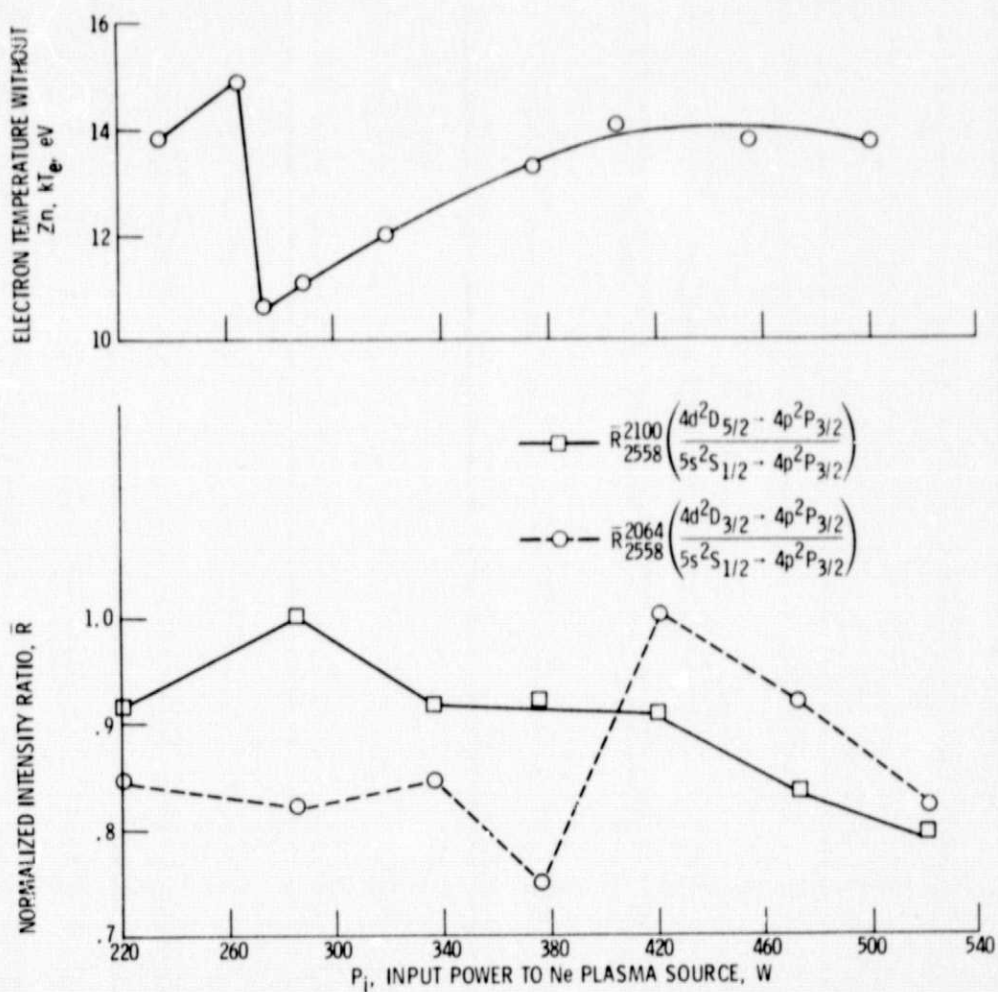


Figure 4. - Electron temperature and intensity ratios  $\bar{R}_{2100/2558}$ ,  $\bar{R}_{2064/2558}$  versus power input in Zn-Ne.

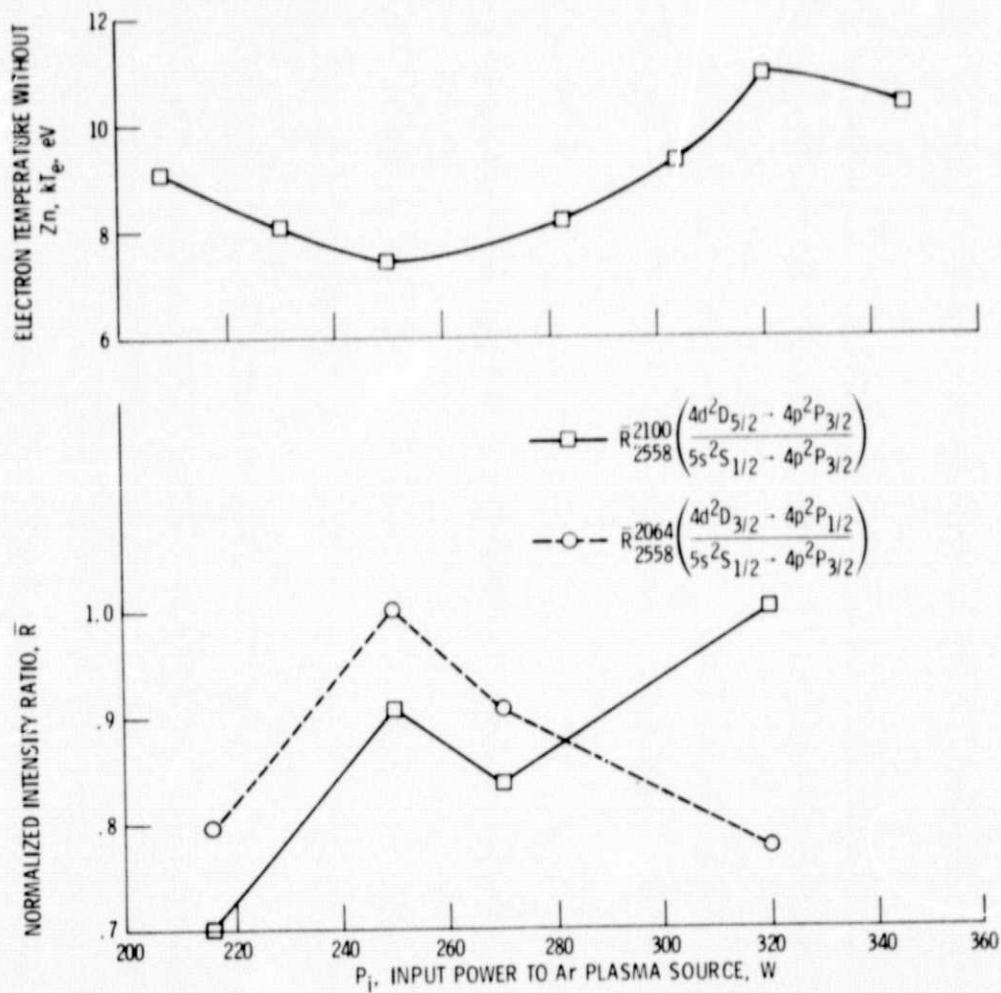


Figure 5. - Electron temperature and intensity ratios  $\bar{R}_{\frac{2100}{2558}}$ ,  $\bar{R}_{\frac{2064}{2558}}$  versus power input in Zn-Ar.