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TITLE OF THE PROJECT

Study of the Extra-ionic electron distributions in semi-metallic structures by Nuclear Quadrupole Resonance Techniques.

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STUDY OF THE EXTRA-IONIC (NASA-CP-146818) ELECTRON DISTRIBUTIONS IN SEMI-METALLIC STRUCTURES BY NUCLEAR QUADRUPCLE RESONANCE TECHNIQUES Semiannual Status Report (Gramtling State Univ., La.) 18 p HC \$3.50 G3/76

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Estimation of solid state electrostatic potentials, fields and field gradients in ionic solids has been of considerable interest during the past few years. Several methods have been developed starting from a simple point charge model of the solid to an elaborate multipole-model of the lattice 1-6. The results are of varying degrees of success depending on the accuracy of the a) crystal structure data b) dipole and quadrupole polarizability values and c) the number of ions of the lattice taken into consideration in arriving at the potential. Though there exists considerable controversy regarding the validity of the multipole model of the lattice, and the usefulness of such calculations due to the uncertainties in the parameters (a) and (b) mentioned above, and the appropriateness of allocating a certain charge value to the lattice site, and lastly the uncertainty in the Sternheimer antishielding factor 7,8, the method has yielded satisfactory results in a number of cases 9-12.

The main purpose of our work is to develop a straightforward selfconsistent method, that can easily be understood, without presenting serious mathematical conceptual problems, by the senior undergraduate students who are familiar with basic principles of electrostatics. The method will be a direct practical application of basic electrostatics to solid state and also helps in the understanding of the principles of crystal structure. This report presents the necessary mathematical equations derived from first principles and the systematic computational procedure developed to arrive at the solid state EFG (electrostatic No such calculations have so far been made for field gradients) values. the oxides of third and fifth group elements except for ${\rm Al}_2{\rm O}_3^{-6}$ which is used for testing our method and computor program. The program will be applied to estimate the EFG values (or \nearrow values) in the oxides of gallium, i**yi**dium, arsenic, antimony and bismuth.

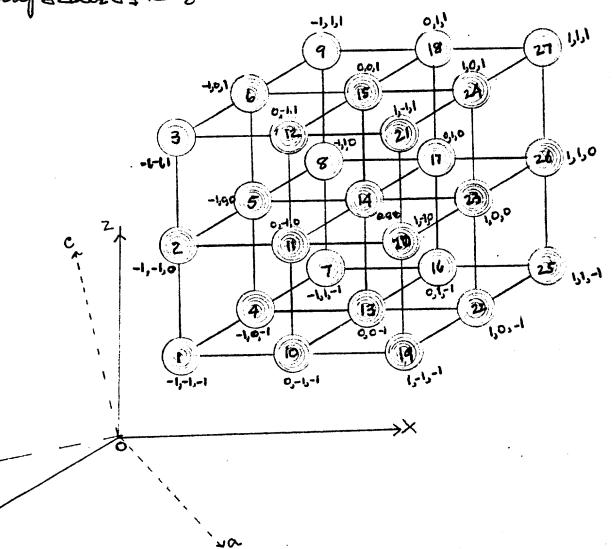


FIG. I

Computational procedure

This involves:-

- a) Environmental search.determination of the cartesian co-ordinates of all atoms within a chosen distance from the ion at the lattice site of interest.
- b) Evaluation of the solid state electrostatic potential (V), field $(V_{ij} = \frac{\partial V}{\partial i}, i = x, y, z)$ and field gradient $(V_{ij} = \frac{\partial^2 V}{\partial i\partial j}, i, j = x, y, z)$ at the lattice sites of interest.
- c) Self consistent evaluation of the induced dipole and quadrupole moments of all polarisable ions of the lattice.
- d) Final evaluation of the EFG at the site of interest due to the charge, dipole and quadrupole nature of all the lattice ions.

ENVIRONMENTAL SEARCH

Starting with the crystal structure data consisting of the unit cell dimensions A_0 , B_0 , C_0 the number of atoms per unit cell N_0 , and their position co-ordinate parameters U. V. W. (Input data for the program), co-ordinates of all atoms within $\lambda(=1,2,3....)$ unit cells alway in the three dimensions are located in the crystalline axis system. The progress of the search sequence is shown in Fig. 1. Starting with the given crystalline co-ordinates A_0 U, B_0 V, C_0 W of any one of the N_0 atoms in the unit cell, all similar atoms in neighbouring unit cells are located as indicated in figure 1 for $\lambda=1$. There will be 27 identical atoms if the search is confined to one unit cell alway, making up the total number of ions included in the computation to 27 N_0 . If the search is extended to λ unit cells the total number of ions will be $N_t=(2\lambda+1)^3N_0$. The choice of the sphere of influence being in multiples of unit cells is considered as more appropriate than an arbitrary choice of R value as $50A^0$ U or 100 A^0 U, because charge neutrality is preserved in units of crystalline unit cells.

It will be necessary to convert the crystalline co-ordinates into cartesian

co-ordinates if the unit cell symmetry is hexagonal or rhombchedral using the following transformation matrices.

Hexagonal to cartesian :
$$\begin{pmatrix} 1 & 0 & 0 \\ -\frac{1}{2} & \sqrt{3}/2 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Rhombohedral to cartesian:

where α is the rhombohedral angle and θ is the angle between z-axis (axis of symmetry) and the crystalline axes. Sin $\theta = \frac{2\sin \alpha/2}{\sqrt{2}}$

The first part of the program computes the cartesian co-ordinates of all the N_t atoms relative to an arbitrary origin and stores them in the X_0 , Y_0 , Z_0 array. Then the origin is shifted to one of the nonequivalent sites of interest and the relative co-ordinates and distances of all the ions are computed and stored in another working array XR, YR, ZR, RR for computing the potential field, and field gradient at that site.

Potential, Field and Field Gradient

The expressions for fields $(V_i = \frac{\partial V}{\partial i}, (i = x, y, z) \text{ and field}$ gradients $(V_{ij} = \frac{\partial^2 V}{\partial i \partial j}, i, j, = x, y, z)$ at a point P (x, y, z) in space due to a multipole situated at the origin having a point charge C_p , dipole moment D $(= i D_x + j D_y + k D_z)$ and quadrupole moment

are obtained by direct differentiation of the potential expressions for the monopole (V^M) , dipole (V^D) , and quadrupole (V^Q) .

Since the general expressions derived in cartesian co-ordinates could not be reduced into a very compact form they are presented in an analytical form indicating the individual contributions of each dipole component and quadrupole tensor element to the field and field gradient.

Field:

$$V_{x}^{M} = -\frac{\chi}{\gamma^{3}} c_{p}. \quad V_{y}^{M} = -\frac{y}{\gamma^{3}} c_{p}.$$

$$V_{z}^{M} = -\frac{\chi}{\gamma^{3}} c_{p}.$$

Field gradient:

$$V_{xx}^{M} = -\gamma^{-5} (\gamma^{2} - 3\lambda^{2}) C_{p}$$

$$V_{yy}^{M} = -\gamma^{-5} (\gamma^{2} - 3\gamma^{2}) C_{p}$$

$$V_{zz}^{M} = -\gamma^{-5} (\gamma^{2} - 3\gamma^{2}) C_{p}$$

$$V_{zz}^{M} = -\gamma^{-5} (\gamma^{2} - 3\gamma^{2}) C_{p}$$

$$V_{xy}^{M} = 3\gamma^{-5} \chi_{yz}^{M} C_{p}$$

$$V_{yz}^{M} = 3\gamma^{-5} \chi_{zz}^{M} C_{p}$$

$$V_{zx}^{M} = 3\gamma^{-5} \chi_{zz}^{M} C_{p}$$

Potential:

Field:

$$V_{x}^{D} = Y^{-5} [(x^{2} 3x^{2}) D_{x} + 3xy D_{y} - 3x_{3} D_{3}]$$

$$V_{y}^{D} = Y^{-5} [-3xy D_{x} + (x^{2} 3y^{2}) D_{y} - 3y_{3} D_{3}]$$

$$V_{z}^{D} = Y^{-5} [-3xx D_{x} - 3y_{3} D_{y} + (x^{2} 3y^{2}) D_{3}]$$

Field gradient:

$$V_{xx}^{D} = -3\gamma^{-7} [(3\gamma^{2} - 5\chi^{2})\chi D_{x} + (\gamma^{2} - 5\chi^{2})\gamma D_{y} + (\gamma^{2} - 5\chi^{2})\chi D_{y}^{2}]$$

$$V_{yy}^{D} = -3\gamma^{-7} [(\gamma^{2} - 5\gamma^{2})\chi D_{x} + (3\gamma^{2} - 5\gamma^{2})\gamma D_{y} + (\gamma^{2} - 5\gamma^{2})\chi D_{y}^{2}]$$

$$V_{zz}^{D} = -3\gamma^{-7} [(\gamma^{2} - 5\gamma^{2})\chi D_{x} + (\gamma^{2} - 5\gamma^{2})\chi D_{y} + (\gamma^{2} - 5\gamma^{2})\chi D_{y}^{2}]$$

$$V_{xy}^{D} = -3\gamma^{-7} [(\gamma^{2} - 5\gamma^{2})\gamma D_{x} + (\gamma^{2} - 5\gamma^{2})\chi D_{y} - 5\chi \gamma 2D_{z}]$$

$$V_{yz}^{D} = -3\gamma^{-7} [-5\chi \gamma^{2} D_{x} + (\gamma^{2} - 5\gamma^{2})\chi D_{y} + (\gamma^{2} - 5\gamma^{2})\gamma D_{z}^{2}]$$

$$V_{zx}^{D} = -3\gamma^{-7} [(\gamma^{2} - 5\chi^{2})\gamma D_{x} - 5\chi \gamma^{2} D_{y} + (\gamma^{2} - 5\gamma^{2})\chi D_{z}^{2}]$$

QUADRUPOLE

Potential:

Field

$$V_{X} = 1.57^{-7} \left[(34^{2} - 52^{2}) \times Q_{XX} + (4^{2} - 54^{2}) \times Q_{YY} + (4^{2} - 53^{2}) \times Q_{ZX} \right]$$

$$+2 (4^{2} - 52^{2}) Y Q_{XY} - 10 \times Y_{3} Q_{YY} + (4^{2} - 52^{2}) Y Q_{ZX} \right]$$

$$V_{Y} = 1.57^{-7} \left[(4^{2} - 52^{2}) Y Q_{XX} + (34^{2} - 54^{2}) Y Q_{YY} + (4^{2} - 53^{2}) Y Q_{ZX} \right]$$

$$+2 (4^{2} - 54^{2}) \times Q_{XY} + 2 (4^{2} - 54^{2}) X Q_{YY} + (34^{2} - 53^{2}) \times Q_{ZX} \right]$$

$$V_{Z} = 1.57^{-7} \left[(4^{2} - 52^{2}) Z Q_{XX} + (4^{2} - 54^{2}) Z Q_{YY} + (34^{2} - 53^{2}) Z Q_{ZX} \right]$$

$$- 10 \times Y_{X} Q_{XY} + 2 (4^{2} - 53^{2}) Y Q_{YX} + 2 (4^{2} - 53^{2}) \times Q_{ZX} \right]$$

FIELD GRADIENTS DUE TO QUADRUPOLES.

$$V_{xx}^{q} = \frac{\left[3 \left(\pi^{\frac{1}{2}} + \pi^{\frac{1}{2}}\right) \left(3\pi^{\frac{1}{2}} + 5\pi^{\frac{1}{2}}\right) - \frac{6\pi^{\frac{1}{2}}}{7^{\frac{1}{2}}}\right]}{2\pi^{\frac{1}{2}}} Q_{xx}^{q} + \frac{3\pi^{\frac{1}{2}}}{2\pi^{\frac{1}{2}}} Q_{xy}^{q} + \frac{5(\pi^{\frac{1}{2}} + \pi^{\frac{1}{2}}) (\pi^{\frac{1}{2}} + 5\pi^{\frac{1}{2}})}{2\pi^{\frac{1}{2}}} Q_{xy}^{2} + \frac{3\pi^{\frac{1}{2}}}{7^{\frac{1}{2}}} Q_{xy}^{2} + \frac{5\pi^{\frac{1}{2}}}{7^{\frac{1}{2}}} Q_{xy}^{2} + \frac{153\pi \left(3\pi^{\frac{1}{2}} + \pi^{\frac{1}{2}}\right)}{7^{\frac{1}{2}}} Q_{xy}^{2} + \frac{153\pi \left(3\pi^{\frac{1}{2}} + \pi^{\frac{1}{2}}\right)}{7^{\frac{1}{2}}} Q_{xy}^{2} + \frac{3\pi^{\frac{1}{2}}}{7^{\frac{1}{2}}} Q_{xy}^{2} + \frac{3$$

$$\begin{bmatrix}
\frac{3(x^{2}-73^{2})(x^{2}-5y^{2})}{2x^{9}} + \frac{3x^{2}}{7^{7}} \end{bmatrix} \varphi_{y} + \begin{bmatrix}
\frac{3(x^{2}-73^{2})(3x^{2}-5y^{2})}{2x^{9}} - \frac{63^{2}}{7^{7}} \end{bmatrix} \varphi_{zz} - \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy} - \frac{15xy(3x^{2}-73^{2})}{7^{9}} \varphi_{yy} - \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{zz} - \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy} + \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy} - \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy} - \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy} + \frac{15xy(x^{2}-73^{2})}{7^{9}} \varphi_{xy$$

The total potential (V) and hence the field (V_i) and field gradient (V_{ij}) at any ion site s is $V(5) = \sum_{n=1}^{N_E} V_n^n + V_n^n + V_n^n$

where n includes all of the ions of the lattice. But they cannot be computed without the knowledge of the multipole moments (C_p , D_i , Q_{ij}) which are, in turn, dependent on V_i and V_{ii} .

$$\mathcal{D}_{i} = \mathcal{A}_{D} V_{i} \quad \text{and} \quad \mathcal{P}_{ij} = \mathcal{A}_{Q} V_{ij}$$

$$V(s) = \sum_{j=1}^{n} \left(C_{P_{j}} \mathcal{D}_{i}, Q_{ij}, \mathcal{R}_{i}, \mathcal{Y}_{i}z \right)$$

Hence the coefficients can be computed independently.

V(5) and hence V; and V; is a function of the multiple moments and co-sideralis of the lattice charges as given by the equations above. As such, a self-consistent solution is sought for the estimation of the E.F.G's. As can be seen from the detailed expressions, the coefficients of the multipole moments of the lattice charge are entirely determined by their position co-ordinates only. Knowing the crystal structure data, the environmental search part of the program computes the cartesian co-ordinates of all the lattice charges relative to the chosen ion site and stores them in the XR, YR, ZR, RR array.

For computational convenience the detailed expressions given above are expressed in terms of a set of S_i and P_i functions. By using these functions the development of the computor program has become considerably simpler since many of the coefficients repeat themselves. To save the computational time on the computer the coefficients are presented in the form of a matrix (C_{ij}) .

The number of computations needed for each ion considered are reduced by 50% by this method. The S and P functions and the C matrix in terms of S and P functions is given below. Only the elements given by the S functions need to be computed. If an element C_{ij} (CIJ) occurs in a subsequent column as C_{kl} (CKL element) it is given as CIJ.

S and P functions

2.
$$S_2(f_1,f_2) = -3f_1f_2/\gamma 5$$

$$\frac{3}{4}$$
 $\frac{5}{3}(\frac{4}{5}) = (\gamma^2 + 3\frac{5}{5})/\gamma^5$

4.
$$S_4(f_1, f_2) = \frac{3f_1}{\gamma^7}(\gamma^2 + 5f_2^2)$$

5. $S_4(f_1, f_2) = \frac{3f_1}{\gamma^7}(\gamma^2 + 5f_2^2)$

5.
$$S_5(f_1, f_2) = \frac{3f_1}{3}(3r^2 5f_2^2)$$

6. $S_6(f_1) = \frac{3f_1}{3}(3r^2 5f_2^2)$

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=(EL⊅5 &	CHARGE DIPOLES				QUADRUPOLES					
IELD GRA- DIENTS	1	D×.	Dy	DZ	9xx	Qyy	922	9×y	Qyz	PZX
V _X	SI(२८)	_C41	- 671	- C91	- c42/2	-c52/2				-C92
Vy	SI(Y)	-C71	-C51	- C81	- C72/2	- c53/2	- c 63/2	-c52	- c83	- C82
V _z	SI (z)	-091	-C81	- 0 61	-C92/2	-c83/2	-c64/2	-c82	-C63	-662
V_{xx}	-S3(Z)	-S5(U.A.)	C72	C92	५।५(२)	514(2,4)	\$14(2,z)	2.075	C98	2095
Vyy	- 53(y)	-S4(Z;y)	-55 (y y)	C83	\$14(Y,*)	SISCY)	514(Yz)	2076	2086	C88
ś.	\$3(z)	-54 (z,z)	-S4(Yz)	-S5(z,z)	S14(2,2)	514 (z,y)	515(z)	2077	2087	2097
	S2 (z. ;y)	-54 (Yz)	C 52	C82	-C71.P5	-C71.P6	-C71.P4	\$13(x,y)	CSS	C98
• •	52(<u>y</u> z)	-56(z). C22	-\$4(2,7)	C 63	-'C81.P2	-C31·P6	-c81·P7	2096	\$13(Yz)	c 68
	S2(z,z)	-54(2 ,2)	C82	C62	-C91.P5	-c91P3	-C91.P7	2085	c 68	\$13(2,2)
				egitical garage s per a face-	ng pagagan ang dan kanggang aran dan kanggan aran	phanes bismaniana, see sure a	na vajo i v govje si agazen jastgadgatarin gracija kan agagaze	and the Manufacture of the Africa and takental	manumananishina sejene yananan enginda	A - a resident result something as and the state of the s

Self consistent solutions of multipole moments

Taking one by one, each of the N_{t} atoms chosen to be included in the computation the 90 C_{ij} elements are computed for each atom and the final sum is stored in the term matrix T_{ij}

$$T_{ij} = \sum_{n=1}^{N_b} C_{ij}^n$$

The first three rows of the T-matrix represent the contribution of the lattice to the electrostatic field at the ion site(s) when summed along the row after multiplication by the corresponding multipole moment D_i (i = 1, $10 - D_i$ monopole moment or charge C_p ; D_2 , D_3 , $D_4 = D_x$, D_y , D_z components of the induced dipole moment; D_5 , D_6 , D_7 , D_8 , D_9 , $D_{10} = Q_{xx}$, Q_{yy} , Q_{zz} , Q_{xy} , Q_{yz} , Q_{zx} induced quadrupole moment tensor elements respectively) and the last six rows the electrostatic field gradient. Thus the rows of the C/T matrix give

$$V_{i} = \sum_{j=1}^{D} T_{ij}, D_{j}$$

$$V_{x} \text{ for } i=1$$

$$V_{x} \text{ for } i=4$$

$$V_{y} \text{ for } i=5$$

$$V_{y} \text{ for } i=8$$

$$V_{z} \text{ for } i=3$$

$$V_{z} \text{ for } i=9$$

To start with an arbitrary set of D-values (charge, dipole and quadrupole moments) is assigned and provided as input data to the computor along with the values of dipole and quadrupole polarisabilities Q_0 and Q_0 . Following the Gauss-Zillard iteration process the self consistent Dipole and Quadrupole moments are obtained. Since there are only 9 independent equations, three for the fields V_x , V_y , V_z and six for the field gradients V_{xx} , V_{yy} , V_{zz} , V_{xy} , V_{yz} , V_{zx} one can solve for P_{x} , P_y , P_z and P_z , P_z , P_z , P_z , and P_z . The charge value $(C_p - P_1)$ should be assigned based on the ionic nature of the solid or one can determine the best possible value so as to be consistent with the experimental value of field gradients when they are known. The 9 equations are represented by two sets

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$$D_{i+1} = Q_i V_i = Q_j \sum_{j=1}^{10} T_{ij} D_j \text{ for } i=153$$

$$D_{i+1} = Q_i V_i = Q_i \sum_{j=1}^{10} T_{ij} D_j \text{ for } i=459$$

$$D_{i+1} = Q_i V_i = Q_i \sum_{j=1}^{10} T_{ij} D_j \text{ for } i=459$$

Note D_1 is eliminated from the equations by incrementing the index on the left by one. Inserting the assigned values for D_1 's in the first equation (i = 1) a new value is obtained for D_2 , i.e. D_x . This value of D_x is used in solving the second equation (i = 2) for D_3 i.e. D_y . In the third equation (i = 3) new values of D_x and D_y are used and D_3 i.e. D_z is obtained and the process continues using improved values progressively until the 9-D values are obtained. After completing the first cycle the new D-values are compared with the old values and the cycle is repeated using the new values until the total difference between successive iterations is $\angle 0.0001$.

$$\Delta = \sum_{i=1}^{10} |D_i^{n+1} - D_i^n| \leq 0.0001$$

In a typical case this accuracy was achieved in eight iterations. If the lattice consists of more than one inequivalent ions, either due to their chemical or physical nature the number of unknowns becomes 9S, where S is the number of inequivalent lattice sites where polarisable charge distribution exists. In general metallic atoms are treated merely as point charges, since their polarisability values are negligible compared with non-metallic atoms. The T-matrix should be evaluated at each of the S-sites to render the 9S simultaneous equations.

The final phase of the program consists almost of a repetition of the above computation up to the evaluation of the T-matrix, starting with the lattice site of interest, where the quadrupole nucleus is located, as origin. Using the original array XO, YO, ZO of the position co-ordinates, the cartesian co-ordinates of all atoms relative to the quadrupole nucleus of interest are computed:-

XR = XO(Q) - XO(n) etc. and so on and the program proceeds until the term matrix T_{ij} is obtained. Using the self consistent multipole moments evaluated in the previous phase, the electrostatic fields and field gradients are readily obtained from

$$V_i = \sum_{j=1}^{10} T_{ij} \mathcal{D}_j$$
REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR

i = 1 - 3 Fields and i = 4 - 9 Field gradients.

The final output is obtained in the form of 9 x 13 matrix. The lst column indicates the contribution due to the lattice charges only V_{c} dipole 2nd, 3rd and 4th due to individual/components V_{DX} , V_{DY} , V_{DZ} , 5th column total contribution due to the dipoles V_{D} , 6 - 11th individual contributions due to the six quadrupole tensor elements

 $V_{Q_{XX}}$, $V_{Q_{YY}}$, $V_{Q_{XY}}$, $V_{Q_{YZ}}$, $V_{Q_{ZX}}$; 12th column the total contribution of quadrupoles V_{Q} and finally the 13th column is the total contribution due to all multipoles V_{T} . The program is tested by computing the EFG values for $Al_{2}0_{3}$ for which data is available , and is presently being extended to study the oxides of arsenic, antimony, bismuth, indium and gallium.

Experimental nuclear quadrupole resonance data is available for the V group oxides (As, Sb and Bi). Search for NQR signals in the oxides of imidium and gallium has so far not yielded any results at room temperature. These will be re-investigated at liquid nitrogen temperatures at the Queen Elizabeth College NQR laboratories.

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