IMAGE PROCESSING SYSTEM PERFORMANCE PREDICTION
AND PRODUCT QUALITY EVALUATION

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A new technique for image processing system performance prediction and product quality evaluation has been developed. It is entirely objective, quantitative, and general and should prove useful in system design and quality control. This report describes the technique and its application to determination of quality control procedures for the Earth Resources Technology Satellite NASA Data Processing Facility.
PREFACE

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# CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>INTRODUCTION</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>BACKGROUND INFORMATION</td>
<td></td>
</tr>
<tr>
<td>2.1</td>
<td>3</td>
</tr>
<tr>
<td>NDPF DESCRIPTION</td>
<td></td>
</tr>
<tr>
<td>2.2</td>
<td>9</td>
</tr>
<tr>
<td>USERS' REQUIREMENTS</td>
<td></td>
</tr>
<tr>
<td>2.3</td>
<td>10</td>
</tr>
<tr>
<td>SYSTEM ELEMENT PERFORMANCE CHARACTERIZATION AND &quot;IMAGE QUALITY&quot;</td>
<td></td>
</tr>
<tr>
<td>2.3.1</td>
<td>10</td>
</tr>
<tr>
<td>Optical Transfer Function</td>
<td></td>
</tr>
<tr>
<td>2.3.2</td>
<td>12</td>
</tr>
<tr>
<td>Resolution</td>
<td></td>
</tr>
<tr>
<td>2.3.3</td>
<td>13</td>
</tr>
<tr>
<td>Noise</td>
<td></td>
</tr>
<tr>
<td>2.3.4</td>
<td>13</td>
</tr>
<tr>
<td>Subjective Image Quality</td>
<td></td>
</tr>
<tr>
<td>2.3.5</td>
<td>14</td>
</tr>
<tr>
<td>Conclusion</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>15</td>
</tr>
<tr>
<td>MATHEMATICAL BASES</td>
<td></td>
</tr>
<tr>
<td>3.1</td>
<td>16</td>
</tr>
<tr>
<td>CRAMER-RAO BOUND</td>
<td></td>
</tr>
<tr>
<td>3.2</td>
<td>20</td>
</tr>
<tr>
<td>DIMENSIONAL ANALYSIS</td>
<td></td>
</tr>
<tr>
<td>3.3</td>
<td>22</td>
</tr>
<tr>
<td>NOISE CHARACTERIZATION</td>
<td></td>
</tr>
<tr>
<td>3.3.1</td>
<td>23</td>
</tr>
<tr>
<td>Treatment of Noise for Parametric Estimation from One-Dimensional Scans</td>
<td></td>
</tr>
<tr>
<td>3.3.1.1</td>
<td>24</td>
</tr>
<tr>
<td>A Convolution Theorem</td>
<td></td>
</tr>
<tr>
<td>3.3.1.2</td>
<td>25</td>
</tr>
<tr>
<td>Granularity and the Two-Dimensional Spectrum</td>
<td></td>
</tr>
<tr>
<td>3.3.1.3</td>
<td>27</td>
</tr>
<tr>
<td>Wiener Spectra Relations</td>
<td></td>
</tr>
<tr>
<td>3.3.2</td>
<td>31</td>
</tr>
<tr>
<td>RMS Noise for One-Dimensional Simulation</td>
<td></td>
</tr>
</tbody>
</table>
## CONTENTS (Cont.)

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>SOFTWARE IMPLEMENTATION</td>
</tr>
<tr>
<td>4.1</td>
<td>IMAGE DATA SYSTEM SIMULATION (IDSS)</td>
</tr>
<tr>
<td>5</td>
<td>NDPF MODEL</td>
</tr>
<tr>
<td>5.1</td>
<td>ELEMENT PERFORMANCE CHARACTERIZATION</td>
</tr>
<tr>
<td>5.2</td>
<td>MODEL CONSTRAINTS</td>
</tr>
<tr>
<td>5.3</td>
<td>MSS CONFIGURATION MODEL</td>
</tr>
<tr>
<td>5.4</td>
<td>RBV CONFIGURATION MODEL</td>
</tr>
<tr>
<td>5.5</td>
<td>LARGE SCALE ERRORS</td>
</tr>
<tr>
<td>5.6</td>
<td>MODELING SUMMARY</td>
</tr>
<tr>
<td>6</td>
<td>RESULTS</td>
</tr>
<tr>
<td>6.1</td>
<td>INPUT DATA FOR ELEMENT PERFORMANCE</td>
</tr>
<tr>
<td>6.2</td>
<td>NOMINAL PERFORMANCE - MSS CONFIGURATION</td>
</tr>
<tr>
<td>6.2.1</td>
<td>Edge Target Results</td>
</tr>
<tr>
<td>6.2.1.1</td>
<td>Linearity</td>
</tr>
<tr>
<td>6.2.2</td>
<td>Square Bar Target Results</td>
</tr>
<tr>
<td>6.2.3</td>
<td>Delta Function Target Results</td>
</tr>
<tr>
<td>6.3</td>
<td>EFFECT OF OFF NOMINAL NDPF ELEMENT PERFORMANCE</td>
</tr>
<tr>
<td>6.3.1</td>
<td>Electronics</td>
</tr>
<tr>
<td>6.3.2</td>
<td>EBR Beam</td>
</tr>
<tr>
<td>6.3.3</td>
<td>Film-Printer Spread Functions</td>
</tr>
<tr>
<td>6.3.4</td>
<td>EBR and Film/Film-Printer Spread Functions Off Nominal Simultaneously</td>
</tr>
<tr>
<td>6.3.5</td>
<td>Film Development - H-D Curve</td>
</tr>
<tr>
<td>6.3.6</td>
<td>Measured Nonlinearity Data</td>
</tr>
<tr>
<td>Section</td>
<td>Page</td>
</tr>
<tr>
<td>----------------------------------------------</td>
<td>------</td>
</tr>
<tr>
<td>6.4 RBV OUTPUT PRODUCT QUALITY</td>
<td>95</td>
</tr>
<tr>
<td>6.5 EFFECT OF BETTER SENSOR PERFORMANCE</td>
<td>95</td>
</tr>
<tr>
<td>6.6 ABSOLUTE PERFORMANCE</td>
<td>97</td>
</tr>
<tr>
<td>6.7 GEOMETRIC MAPPING ERROR</td>
<td>99</td>
</tr>
<tr>
<td>6.8 RADIOMETRIC ESTIMATION ERROR AND CALIBRATION</td>
<td>102</td>
</tr>
<tr>
<td>CONCLUSIONS AND RECOMMENDATIONS</td>
<td>111</td>
</tr>
<tr>
<td>7.1 NDPF QUALITY CONTROL REQUIREMENTS</td>
<td>111</td>
</tr>
<tr>
<td>7.1.1 OTF Monitoring</td>
<td>111</td>
</tr>
<tr>
<td>7.1.2 Photographic Processing Control</td>
<td>112</td>
</tr>
<tr>
<td>7.2 POTENTIAL RADIOMETRIC CALIBRATION PROCEDURE</td>
<td>113</td>
</tr>
<tr>
<td>7.3 COMMENTS ON USER &quot;OPTIMALITY&quot;</td>
<td>114</td>
</tr>
<tr>
<td>7.4 NDPF NOMINAL PRODUCT QUALITY</td>
<td>114</td>
</tr>
<tr>
<td>7.5 RECOMMENDATIONS</td>
<td>115</td>
</tr>
<tr>
<td>REFERENCES</td>
<td>116</td>
</tr>
<tr>
<td>BIBLIOGRAPHY</td>
<td>118</td>
</tr>
<tr>
<td>Appendix</td>
<td></td>
</tr>
<tr>
<td>A BACKGROUND INFORMATION</td>
<td>129</td>
</tr>
<tr>
<td>B IDSS PROGRAM: LIST AND FLOWCHART</td>
<td>161</td>
</tr>
<tr>
<td>C SIGNAL TRACE DATA</td>
<td>213</td>
</tr>
<tr>
<td>D EDGE GRADIENT SPECTRUM PROGRAM</td>
<td>284</td>
</tr>
<tr>
<td>Figure</td>
<td>Illustration</td>
</tr>
<tr>
<td>--------</td>
<td>------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>2-1</td>
<td>NDPF Data Flow Block Diagram (Part 1)</td>
</tr>
<tr>
<td>2-2</td>
<td>NDPF Data Flow Block Diagram (Part 2)</td>
</tr>
<tr>
<td>2-3</td>
<td>Measured RBV System Square Wave Response.</td>
</tr>
<tr>
<td>2-4</td>
<td>Measured MSS System Square Wave Response.</td>
</tr>
<tr>
<td>3-1</td>
<td>Selected Target Configurations</td>
</tr>
<tr>
<td>4-1</td>
<td>Block Diagram of IDSS Variance Calculation Mode</td>
</tr>
<tr>
<td>6-1</td>
<td>Spread Function Inputs (Part 1)</td>
</tr>
<tr>
<td>6-2</td>
<td>EBR Pre-emphasis Non-linear Gain Functions.</td>
</tr>
<tr>
<td>6-3</td>
<td>Spread Function Inputs (Part 2)</td>
</tr>
<tr>
<td>6-4</td>
<td>Nominal Film H-D Curves (Handbook)</td>
</tr>
<tr>
<td>6-5</td>
<td>Measured Film H-D Curves (21 Step Grey Scale)</td>
</tr>
<tr>
<td>6-6</td>
<td>Measured Film H-D Curves (15 Step Calibration Grey Scale)</td>
</tr>
<tr>
<td>6-7</td>
<td>Nominal Input for Simulating Grain Noise</td>
</tr>
<tr>
<td>6-8</td>
<td>Edge Gradient Spectra for Nominal Performance</td>
</tr>
<tr>
<td>6-9</td>
<td>Edge Gradient Spectra -- Composite System OTF</td>
</tr>
<tr>
<td>6-10</td>
<td>Comparison of Edge Gradient Spectra at Various System Points</td>
</tr>
<tr>
<td>6-11</td>
<td>Variation of Edge Position Estimation Error with Target Contrast (Nominal MSS Configuration)</td>
</tr>
<tr>
<td>6-12</td>
<td>Log-log Plot of Figure 6-11</td>
</tr>
<tr>
<td>6-13</td>
<td>Variation of Edge Position Estimation Error with Target Contrast (Non-linear Elements Removed)</td>
</tr>
<tr>
<td>6-14</td>
<td>Log-log Plot of Figure 6-13</td>
</tr>
<tr>
<td>6-15</td>
<td>Variation of Bar Target Radiance Level Estimation Error with Target Width and Contrast</td>
</tr>
<tr>
<td>6-16</td>
<td>Variation of Bar Target Width Estimation Error with Target Width and Contrast</td>
</tr>
<tr>
<td>Figure</td>
<td>Title</td>
</tr>
<tr>
<td>---------</td>
<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>6-17</td>
<td>Variation of Bar Target Location Estimation Error with Target Width and Contrast</td>
</tr>
<tr>
<td>6-18</td>
<td>Off Nominal EBR Beam Spread Functions</td>
</tr>
<tr>
<td>6-19</td>
<td>Effect of EBR Beam Spread Function Increase on Location and Radiometric Error</td>
</tr>
<tr>
<td>6-20</td>
<td>Off Nominal Film/Film-Printer Spread Functions</td>
</tr>
<tr>
<td>6-21</td>
<td>Effect of One Off Nominal Film or Film-Printer Spread Function on Estimation Errors</td>
</tr>
<tr>
<td>6-22</td>
<td>Effect of Having All Film or Film-Printer Spread Functions Simultaneously Off Nominal in Equal Amounts</td>
</tr>
<tr>
<td>6-23</td>
<td>Effect of Having EBR 20% Off Nominal and One Film-Printer Off Nominal Simultaneously</td>
</tr>
<tr>
<td>6-24</td>
<td>First Generation H-D Curves</td>
</tr>
<tr>
<td>6-25</td>
<td>Effect of Off Nominal First Generation Processing ( f )</td>
</tr>
<tr>
<td>6-26</td>
<td>Effect of Second Generation Processing ( f )</td>
</tr>
<tr>
<td>6-27</td>
<td>Effect of Third Generation Processing ( f )</td>
</tr>
<tr>
<td>6-28</td>
<td>Variation of Edge Position Estimation Error with Edge Contrast - Measured (15 Step) Nonlinearity Data</td>
</tr>
<tr>
<td>6-29</td>
<td>Log-log Plot Figure 6-28</td>
</tr>
<tr>
<td>6-30</td>
<td>Variation of Edge Position Estimation Error with Edge Contrast - Measured Nonlinearity Data (21 Spots)</td>
</tr>
<tr>
<td>6-31</td>
<td>Hypothetical Improved Sensor Spread Functions</td>
</tr>
<tr>
<td>6-32</td>
<td>Hypothetical Effect of Improved Sensor Performance on Edge Position Estimation</td>
</tr>
<tr>
<td>6-33</td>
<td>Nominal White Noise Power Density</td>
</tr>
<tr>
<td>6-34</td>
<td>Typical Values for Percentage Error in Target Width and Radiance Measurement for a Rectangular Target 500 Meters in Length</td>
</tr>
<tr>
<td>6-35</td>
<td>Atmospheric and Illumination Effects Involved in Establishing Exposure of a Terrain Element</td>
</tr>
</tbody>
</table>
### LIST OF ILLUSTRATIONS (Cont.)

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>6-36</td>
<td>ERTS MSS Imagery Used to Demonstrate Radiometric Calibration</td>
<td>107</td>
</tr>
<tr>
<td>6-37</td>
<td>Relationship Between Sensor Radiance in Sun and Shadow for Band 6 of Antarctica Scene</td>
<td>108</td>
</tr>
<tr>
<td>6-38</td>
<td>Relationship Between Sensor Radiance in Sun and Shadow for Band 4 of Lake Ontario Scene</td>
<td>110</td>
</tr>
<tr>
<td>A-1</td>
<td>NDPF Data Flow Block Diagram (Part 1)</td>
<td>131</td>
</tr>
<tr>
<td>A-2</td>
<td>NDPF Data Flow Block Diagram (Part 2)</td>
<td>132</td>
</tr>
<tr>
<td>A-3</td>
<td>NDPF Data Flow Block Diagram (Part 3)</td>
<td>133</td>
</tr>
<tr>
<td>A-4</td>
<td>Measured RBV System Square Wave Response</td>
<td>135</td>
</tr>
<tr>
<td>A-5</td>
<td>Measured MSS System Square Wave Response</td>
<td>136</td>
</tr>
<tr>
<td>A-6</td>
<td>Bulk Processing Image Correction Schematic</td>
<td>141</td>
</tr>
<tr>
<td>A-7</td>
<td>Siné Wave Targets</td>
<td>149</td>
</tr>
<tr>
<td>A-8</td>
<td>Square Wave Targets</td>
<td>150</td>
</tr>
<tr>
<td>A-9</td>
<td>Edge Targets</td>
<td>152</td>
</tr>
</tbody>
</table>
SECTION 1
INTRODUCTION

The objective of this study was to investigate and develop techniques to characterize the quality of the products produced by an image data system in terms of measurable performance of the various system elements. The product quality measures were required to be meaningful to the user and related to unambiguous, measurable element performance descriptors. A specific objective was to investigate the requirements for quality control procedures at the Earth Resources Technology Satellite NASA Data Processing Facility (ERTS NDPF) to demonstrate the developed approach.

The fundamental problem was to establish an objective, functional measure of image quality and a means to predict that measure from accepted system element performance descriptors. Supporting tasks undertaken included familiarization with the NDPF, survey of ERTS user's "image quality" requirements and survey of currently accepted image quality evaluation techniques. It was found that adequate performance descriptors existed for the NDPF elements but that no adequate measure of image quality existed in terms of user's requirements.

The measure of image quality developed is the error introduced by the image data system into estimates of target characteristics from measurements made on output products. If the system is characterized by a sequence of operators corresponding to element performance descriptors, then the parameter estimation errors are mathematically related to the net system operator. A software program, Image Data System Simulation (IDSS), was written to implement the approach. IDSS uses computer simulation to synthesize the image data system from its element performance descriptors and computes the estimation errors for specific target parameters.
The IDSS program, applied to the ERTS NDPF, can be used to analyze a large class of image processing systems, and should be useful in system design or upgrading.

In addition to NDPF quality control, the ability to monitor payload sensor performance by measurements made on NDPF output products was examined. Edge gradient spectral analyses software was written for NASA's computer as a tool for Modulation Transfer Function (MTF) measurement. The feasibility of a technique for correction of radiometric distortion due to atmospheric scattering and sensor effects based exclusively on measurements made on output products was also considered.

The next section of this report presents a summary of the background information developed at the onset of the study. It includes the results of survey of the data flow within the NDPF, ERTS imagery users' requirements, and state-of-the-art image quality evaluation techniques. Section 3 contains the mathematical bases of the approach and Section 4 describes the software written for its implementation. Section 5 identifies the elements of the NDPF and their associated performance descriptors. The results of the application of the developed technique to the NDPF are presented in Section 6. Finally, conclusions and recommendations are presented in Section 7.
SECION 2
BACKGROUND INFORMATION

At the onset of this study a specific operational description
of the NDPF and its subsystems, particularly the Bulk Processing
System, had to be developed. In addition, it was necessary to form a
concept of what the users of image products expect to obtain from them.
Finally, a brief survey of the state-of-the-art to image evaluation was
conducted. The results of these surveys provided necessary background
information that is summarized in this section and presented in more
detail in Appendix A.

2.1 NDPF Description

This study was limited to consideration of the NDPF video
data to film product conversion process and digital products excluded.
The inputs to the NDPF are video tape recordings from the ERTS payload.
Although payload and telemetry link elements are external to the NDPF,
the quality of the video tape input will influence the image product quality
and consequently these elements were represented in the analyses.

A block diagram of the data flow within the Bulk Image
Processing system is given in Figures 2-1 and 2-2. This block diagram
served as a basis for system modeling. The following comments refer
to the circled numbers in Figures 2-1 and 2-2. More specific data is
presented in Appendix A.

The Return Beam Vidicon (RBV) 1 consists of three
boresighted RCA vidicon systems in three different spectral bands.
Radiometric and geometric calibration capability exists in the payload.
Figure 2-1  NDPF DATA FLOW BLOCK DIAGRAM (PART 1)
The RBV telemetry (2) is analog. The net frequency response of the RBV videotape record is given in Figure 2-3 (taken from Ref. 2, p. A-5).

The Hughes Multispectral Scanner (MSS) (3) has four conjugate linear detector arrays, each in one spectral band. The MSS telemetry (4) is digital. The frequency response of the MSS videotape record is given in Figure 2-4 (taken from Ref. 2, p. A-13). MSS data is D/A converted (5) in the playback operation. Radiometric corrections are applied in the digital domain before conversion.

The Electron Beam Recorder (EBR) (8) produces all archival latent images. The film type used is Kodak SO-438 (Ref. 1). A fifteen step gray scale is put on each image.

The first processor (9) is a Kodak Versamat used only for processing archival images.

The quality control blocks (QC) (10) consist of standard Kodak chemistry quality control plus the placing of a special target on the head and tail of each roll processed. The target consists of two frames: one containing a gray scale, another containing five equal, uniform density patches and a standard Air Force tri-bar target. The gray scale is read and a Hurter-Driffield (H-D) curve fit to the data points. The density values at two exposure levels are plotted and deviation from nominal values used as a processing quality criterion. The constant density is read at the five format positions to provide uniformity data. The Air Force tri-bar target allows determination of on-axis resolution. Additional information on the quality control procedures is included in Appendix A.
NOTE:
RESPONSE SHOWN IS FOR TYPICAL VALUES, MEASURED AT CENTER OF VIDICON, IN THE HORIZONTAL WITH NO APERTURE CORRECTION USING A HIGH CONTRAST TARGET.

Figure 2-3 MEASURED RBV SYSTEM SQUARE WAVE RESPONSE
Figure 2-4 MEASURED MSS SYSTEM SQUARE WAVE RESPONSE

NOTE: NOMINAL MULTIPLEXER OUTPUT: NO PROCESSING ERRORS
2.2 Users' Requirements

It is required that the image quality characterization resulting from this study contain sufficient information for users to determine the adequacy of ERTS imagery for individual needs. Users' ability to define "image quality" is not established and completeness of an image quality characterization based on users' requirements would not be expected. But a general understanding, at least, of what tasks users of ERTS imagery would like to accomplish is certainly required if the "quality" which is controlled is to have relevance.

A literature search was consequently conducted. Based on the literature sampled, no definition of "image quality" useful in accomplishing the study objectives can be drawn from the users. Surely, one might adopt the terms "radiometric fidelity", "geometric fidelity", "resolution", but these terms have different meanings to different people and are certainly not sufficiently well defined to provide a useful basis for quality control criteria. They are general terms which classify rather than specify the ability to make certain measurements on photographs.

We examined the users' tasks to determine what sort or measurements each user was making. The results seem to span the following questions:

1. How accurately can a boundary between different transmission levels be located on a photograph?
2. How well can the radiance, size, and location of small objects be measured?
3. How well can the distance between two objects or boundaries on a photograph be measured?
4. How well does that distance represent the separation on the earth?

5. How well can the transmission of a photograph be measured?

6. How is that transmission related to radiance at the earth?

Clearly, any working definition of "image quality" adequate for the task at hand must be capable of obtaining quantitative answers to such questions and must relate those answers to measurable properties of elements of the image processing system.

2.3 System Element Performance Characterization and "Image Quality"

This section delineates the techniques commonly employed to characterize equipment performance and image quality. The purpose is to establish some concepts that will subsequently be used and to point out why some others are unsatisfactory for the study objective.

In order to ensure familiarity with the current state of the art, a literature search covering the period from 1968 to the present was undertaken.

Four overlapping categories; optical transfer function, resolution, noise, and subjective image quality, provide convenient areas for discussion.

2.3.1 Optical Transfer Function

The optical transfer function has been shown to be a useful tool to characterize the performance of many imaging devices.
The blur introduced by an optical system can be characterized by a "point spread function" $s(\vec{x})$ defined implicitly by the convolution:

$$i(\vec{x}) = o(\vec{x}) * s(\vec{x}) \quad (2-1)$$

where: $i(x)$ = image brightness, $o(\vec{x})$ = object brightness, and $\vec{x}$ = position coordinates in plane orthogonal to optical axis. (* denotes convolution).

Thus:

$$I(\vec{v}) = o(\vec{v}) \tau(\vec{v}) \quad (2-2)$$

where: $I(\vec{v}) = i(\vec{x})$, $o(\vec{v}) = o(\vec{x})$, $\tau(\vec{v}) = s(\vec{x})$, and $\tau$ denotes a Fourier transform.

$\tau(\vec{v})$ is the optical transfer function (OTF). It is in general a two-dimensional, complex valued function. $|\tau(\vec{v})|$ is the modulation transfer function (MTF). It is emphasized that the OTF is not a measure of image quality but merely the frequency response function of a linear device and consequently a measurable property of the performance of that device.

Application of the OTF concept to photo-optical systems requires linearization of the generally non-linear development process. Generally the OTF concept is applied to the object to exposure image transfer process. Methods for measuring the OTF are discussed in Appendix A.
2.3.2 Resolution

Resolution is related to the ability to determine object characteristics, especially shape, from an image. A number of resolution criteria are in use.

Rayleigh's criterion assumes that the diffraction limited images of two points are just resolved if the central maximum of one lies on the first minimum of the Airy disc of the other.

The Rayleigh criterion is clearly related to the spread function and can thus be derived if the OTF is known.

A number of resolution criteria are simply defined by an observer's ability to distinguish the existence of a particular target. Such criteria depend, not only on the properties of the image, but on the properties of the detection process as well. The most common is the standard Air Force Tri-Bar target. One can obtain a "modulation detectability curve" by having a number of subjects observed tri-bars of varying spacing and contrast and plotting the detection threshold contrasts versus spatial frequency. "Resolution" is then defined as the intersection of an MTF and a modulation detectability curve. Uncontrolled variables and experience produce uncertainty in this measure of resolution. One summary measure of "image quality" that is in use is the area enclosed between the modulation detectability curve and the MTF.

The term "resolution" is sometimes applied to the ability of an optical system to "resolve" a specified object. This definition is similar to the preceding one but requires recognition as well as detection.
2.3.3 Noise

In photo-optical systems, the major noise source is the granularity of the emulsion and is expressed in the granularity constant, \(G\). The rms density fluctuation observed in scanning a uniform density area is

\[
\sigma_D = \frac{G}{\sqrt{A_A}}
\]

where: \(A_A\) = area of scanning aperture \hspace{1cm} (2-3)

In actuality the emulsion records the continuous exposure distribution as a discrete, thin but nevertheless three-dimensional, distribution of silver particles. The photographic macro-image is a continuous intensity distribution which results from multiple scattering of photons traversing the developed emulsion. If the photographic image is observed over a very small area very close to the emulsion surface, it is not clear how the observed intensity is related to the intensity distribution which exposed the emulsion; in other words, the micro-image is not yet adequately understood. Considerable research has been performed to attempt to characterize photographic granularity. \(^4-6\) It has been represented as both additive and multiplicative noise. Since no clearly superior model exists it is most often represented by additive white gaussian noise. We employed this approach and represented the grain noise by rms fluctuations in transmission.

For the electronic image processing system elements, an additive white gaussian noise model is theoretically as well as pragmatically acceptable.

2.3.4 Subjective Image Quality

Efforts have been made in a number of studies \(^7-11\) to define subjective assessment of image quality in a quantitative manner.
Such techniques by definition include human variables which are not well controlled. It is not surprising that a universal subjective measure has not been accepted although correlation of subject response with measurable parameters within the limits of specific product use has been shown.

Subjective image quality efforts are directed to achieve a causal relationship between measurable system element performance properties, such as frequency response (OTF), signal-to-noise ratio, etc., and the ability of the user to make subjective judgments (usually in the form of detection/recognition decisions) on the output product. The motivation of such efforts is consistent with the objective of this study. However, the quality measures depend on the human detection process as well as the image data system. To develop quality control procedures the selected measure should depend only on the system itself. Thus, subjective image quality measures are not appropriate for the present study.

2.3.5 Conclusion

For most conceivable image processing systems, the elements' performance can be characterized by OTF's (if linear), nonlinear gains (photographic development), noise sources, or combinations of the three. Thus adequate "performance" descriptors exist. But based on the survey of the state-of-the-art of image quality evaluation, no objective technique to relate such descriptors to a quantitative measure of image quality was available.
SECTION 3
MATHEMATICAL BASES

The review of image system performance evaluation techniques indicated that descriptors of the influence of system elements on an image exist and are in common use. In particular, the performance of elements linear in intensity can be described by OTFs, the performance of elements non-linear in intensity can be described by non-linear gains, and elements which contribute noise can often be described by gaussian statistics. In general, the influence of a piece of hardware can be unambiguously described by a sequence of mathematical operators corresponding to these three measurable descriptors.

One finds no such consensus on a metric for the quality of the output product of an image processing system.

What the user of imagery does is to make observations on the output product, from which he estimates a radiant distribution (as a function of position) on the earth. But because the system is both band-limited and noisy, these estimates will necessarily be imperfect. A suitable image quality metric is therefore the error which the system introduces into estimates of ground radiant distributions. Precedent for this idea may be found in a study of Lunar Orbiter imagery.

In this section, it is shown that to formulate the image quality problem as one of parameter estimation yields an objective mathematical relationship between output product quality and element performance descriptors. In addition, analytic relationships required for implementation of the approach are derived.
3.1. Cramer-Rao Bound

Consider a user who wishes to measure some parameter "A", say the radiance of a wheatfield, as a function of position "x" on the earth by making observations on an NDPF output product. Let the ground object be mapped onto the photograph space as the function \( S(x, A) \). Since the system adds noise to the signal, the user will obtain a distribution of values with some standard deviation \( \sigma_A \). To avoid consideration of the user's specific technique, it is assumed that he uses an optimal measurement process.

It can be shown (see Van Trees) that the variance, \( \sigma_A^2 \), of an unbiased estimate of \( A \) made from an output signal contaminated by additive white gaussian noise is bounded by the Cramer-Rao inequality:

\[
\sigma_A^2 \geq N_o \left[ \int_0^{\infty} \left[ \frac{\partial S(x, A)}{\partial A} \right]^2 \, dx \right]^{-1} \tag{3-1}
\]

where \( N_o = \) white noise power density (double-ended) at the output and \( \tau_o = \) record length.

The user will generally be interested in estimating multiple parameters. Equation (3-1) can be generalized, for the "n" independent parameter case to:

\[
\sigma_{A_i}^2 \geq N_o \left[ M^{-1} \right]_{ii} \tag{3-2}
\]

\* Van Trees uses a single-ended spectrum which results in a factor of 2 in the denominator of Equation (3-1).
where the matrix $\mathbf{M}$ is given by:

$$
\mathbf{M}_{ij} = \int_{\mathbf{x}} \frac{\partial s(\mathbf{x}, \mathbf{\hat{A}})}{\partial A_i} \frac{\partial s(\mathbf{x}, \mathbf{\hat{A}})}{\partial A_j} d\mathbf{x} \tag{3-3}
$$

$\mathbf{\hat{A}}$ is simply the vector whose components are the independent parameters: $A_1, \ldots, A_n$ used to describe the input signal.

If the noise power spectrum is not white at the system output, an inverse or "pre-whitening" filter must be included as the last system element in order to apply the bound.

The equality in the error bound represented by Equation (3-2):

1. holds if $s(\mathbf{x}, \mathbf{\hat{A}})$ is linear in $\mathbf{\hat{A}}$

2. is approached when $s(\mathbf{x}, \mathbf{\hat{A}})$ is nonlinear in $\mathbf{\hat{A}}$, but the signal-to-noise ratio becomes large.

If one can compute the set of partial derivatives $\frac{\partial s(\mathbf{x}, \mathbf{\hat{A}})}{\partial A_i}$ as a function of changes in $s(\mathbf{x}, \mathbf{\hat{A}})$ resulting from changes in the performance descriptors of elements internal to the system, one can use this relationship to predict the change in estimation error introduced. Equation (3-2) is therefore the objective relationship between "system element performance" and "image quality" required.

In order to illustrate the use of this tool, some specific examples are given:

First, consider the task of determining the boundary between two different crops and the reflectance level of each. The ground object can be represented as a three parameter "edge" target where $A_1$ is the radiance level of one field, $A_2$ the radiance level of the other, and
$A_3$ as the location of the boundary. One computes the sensitivities:

$$\frac{\partial S}{\partial A_i} = 1, 2, 3, \quad \text{determines the components of M from equation (3-3),}$$

obtains the inverse matrix $M^{-1}$, extracts the diagonal elements, and obtains the estimation errors on each parameter from equation (3-2).

If the user utilized an optimal measurement technique, he would obtain statistically distributed values for each parameter with standard deviation $\sigma_{A_i}$ according to (3-2). If his technique is not optimal, he will do worse, but in no event will he obtain more precise results.

As a second example, consider measurement of a river. The river can be represented as a four parameter target with $A_1$ the radiance level of the land on both sides, $A_2$ the radiance of the river water, $A_3$ the width of the river, and $A_4$ the location of the river.

An example similar to "resolution" might be two bright, narrow lines on some background of radiance $A_1$, separated by distance $A_2$. The "resolution" would then be expressed by $\sigma_{A_2}$ as a function of $A_1$ and $A_2$.

It can be shown that a very broad class of functions (ground objects) can be expressed as n-parameter sets. Thus, this approach can, in principle, be applied to any conceivable ground object. It is sufficient for the purposes of this effort to use simple targets with four or less parameters shown in Figure 3-1 and discussed above.
<table>
<thead>
<tr>
<th>NUMBER OF PARAMETERS (TARGET IDENTIFICATION)</th>
<th>DESCRIPTION</th>
<th>SKETCH (SIGNAL VS POSITION)</th>
<th>POTENTIAL APPLICATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>CONSTANT LEVEL TARGET</td>
<td><img src="image1" alt="Sketch of constant level target" /></td>
<td>DEVELOP NOISE STATISTICS</td>
</tr>
<tr>
<td>2</td>
<td>DOUBLE DELTA FUNCTION TARGET</td>
<td><img src="image2" alt="Sketch of double delta function target" /></td>
<td>EVALUATE &quot;RESOLUTION&quot; AND GEOMETRIC ERROR</td>
</tr>
<tr>
<td>3</td>
<td>EDGE TARGET</td>
<td><img src="image3" alt="Sketch of edge target" /></td>
<td>EVALUATE RADIOMETRIC AND GEOMETRIC ERROR</td>
</tr>
<tr>
<td>4</td>
<td>BAR TARGET</td>
<td><img src="image4" alt="Sketch of bar target" /></td>
<td>EVALUATE RADIOMETRIC AND GEOMETRIC ERROR</td>
</tr>
</tbody>
</table>

Figure 3.1 SELECTED TARGET CONFIGURATIONS

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Note that the estimation errors are directly proportional to the white noise spectral density, $N_0$, the height of the double-edged noise power spectrum. Thus in the limit of a noiseless system, the object can be measured exactly regardless of the effect of the system. (It is of course implicit that the object form is a priori known in that it has been represented as a complete orthonormal set of n-parameters and that the system effects likewise are known a priori.)

In summary, a procedure has been defined for objective determination of the effect of changes in subsystem element performance on the precision to which users can make measurements of target characteristics from image products.

3.2 Dimensional Analysis

The dimensional analysis is useful in augmentation of intuitive understanding of equation (3-2) and of some of the results to be presented in Section 6.

The one-dimensional case is considered. The ground object (parameterized target) is defined by radiance as a function of position. Call the radiance unit $|R|$ and the position (distance) unit $|X|$. The autocovariance function $f'(x)$ is defined by

$$f'(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} f(x) f(x-x') dx' \approx f'(x')$$

where $f(x)$ in the image of the ground object and consequently $f'(x')$ has dimension $|R|^2$.

The double ended power spectrum:

$$N(v) = \int_{-\infty}^{\infty} f'(x') e^{i2\pi vx'} dx'$$

where $v = \text{spatial frequency}$

thus has dimension $|R|^2 |X|$. 

20
Therefore, \( |N_0| \approx |R^2| |X| \).

Now if the input target is expressed in terms of a set of orthogonal parameters \( A_i \), the image is given by \( f(x) = s(x, \vec{A}) \) for some particular \( \vec{A} \). By equation (3-2), the variances characterizing the error in estimating the components of that particular \( A \) vector are:

\[
\sigma_{A_i}^2 \geq N_0 \left[ M^{-1} \right]_{ii}.
\]

By definition

\[
\left| M_{ii} \right| \equiv \int_{0}^{x_0} \left| \frac{dS}{dA_i} \right|^2 \, dx
\]

so that

\[
\left| \left[ M^{-1} \right]_{ii} \right| \equiv \frac{|A_i|^2}{|R|^2 |x|}.
\]

Finally, since

\[
\left| \sigma_{A_i} \right|^2 \equiv N_0 \left| \left[ M^{-1} \right]_{ii} \right|
\]

substitution yields

\[
\left| \sigma_{A_i} \right|^2 \equiv |A_i|^2.
\]

And the variances are seen to have the correct dimensions.
3.3 **Noise Characterization**

A major noise contributor in many-image-data systems is photographic granularity. The photographic granularity constitutes a two-dimensional noise field which, for the purpose of this study, can be characterized by a two-dimensional white noise spectral density. Transformation relationships between the two-dimensional field and a one-dimensional representation are required for two reasons:

1) Many users utilize microdensitometer traces or scans to obtain quantitative measurements from photographs. The microdensitometer record contains one-dimensional noise obtained by moving an aperture along some line over the two-dimensional photographic noise field. How do we account for the two-dimensional nature of the image and include the appropriate noise spectral density in the calculation of the Cramer-Rao bound (Eq. (3-2))? 

2) Section 4 will indicate practical reasons for a one-dimensional system simulation. Such implementation requires valid characterization of the noise field in one dimension so that the simulation's results converge to the real physical situation. What magnitudes of rms noise should be added to the one-dimensional signals in simulating an image data system?

The questions are related since the measuring aperture (size and shape) will determine the magnitude of the rms noise to be added in the simulation as well as the noise content of the one-dimensional trace used to obtain quantitative measurements (parameter estimates). There are two aperture shapes which might be employed: slits and circles. Both will be treated in the discussion that follows, however, a slit aperture appears to be the more likely candidate.
3.3.1 **Treatment of noise for parametric estimation from one-dimensional scans.**

The procedure for establishing the variances of two-dimensional target parameters from one-dimensional scans requires knowledge of the scan noise spectrum. This, in turn, depends on the net two-dimensional spectrum as transmitted through the optical system to the point of scanning, and on the scanning aperture function.

Arguments are presented illustrating that slits are a scanning aperture compatible with both the spirit of the present study and a mathematical requirement for the validity of the variance estimator. The results for a circular aperture are included for completeness. As either the slit length becomes arbitrarily large, or the width arbitrarily small, certain simplified asymptotic behavior between the scan spectrum and the two-dimensional field spectrum emerges. We can refer to such apertures as "partially asymptotic". In the event both conditions are met (totally asymptotic slit), the following relationship occurs:

\[
\overline{\Phi}_s(v_s) = \frac{1}{L} \overline{\Phi}(v_s, 0) 
\]

where:*  
\(\overline{\Phi}_s(v_s)\) - scan spectrum (transmission \(^2\text{-mm/cycle}\))  
\(\overline{\Phi}(v_s, v_p)\) - field spectrum (transmission \(^2\text{-mm}^2\text{/cycle}^2\))  
\(L\) - length of aperture (mm)  
\(v_s\) - spatial frequency in scan direction (cycles/mm)  
\(v_p\) - spatial frequency orthogonal to \(v_s\) (cycles/mm)

* All spectra are double-ended (i.e., \(-\infty < v < \infty\)
The remainder of this section:
- Derives a convolution theorem having general utility to the following sections.
- Establishes the relationship between film granularity and the two-dimensional Wiener spectrum.
- Derives the relation between the scan Wiener spectrum and the field spectrum for slit and circular apertures and examines various limiting cases for the slit aperture.

3.3.1.1 A Convolution Theorem*

Consider a two-dimensional stochastic variable $T(x, y)$ representing point transmission of a field. Allow this field to be convolved with an aperture function $A$ to yield a new field having point transmission $T_A$. We write:

$$T_A(\xi, \eta) = \int_{-\infty}^{\infty} T(x+\xi, y+\eta) A(x, y) \, dx \, dy$$

(3-5)

with the conditions:

$$E\{T\} = 0$$

$$\int_{-\infty}^{\infty} A(x, y) \, dx \, dy = 1$$

Since we shall be interested in the Wiener spectra of the two-dimensional fields, the autocovariance $[\phi_s(\alpha, \beta)]$ of $T_A$ is computed:

$$\phi_s(\alpha, \beta) = E\left\{T_A(\xi + \alpha, \eta + \beta) \, T_A(\xi, \eta)\right\}$$

(3-6)

*An equivalent theorem in frequency space is given by O'Neill in Reference 15.
which along with Eq. (3-5) results in:

\[
\phi_s(\alpha, \beta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \mathcal{E} \left\{ T(x+\xi, y+\eta) T(x'+\xi', y'+\eta') \right\} A(x,y) A(x',y') \, dx \, dy \, dx' \, dy'
\]

or:

\[
\phi_s(\alpha, \beta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \phi(\alpha-\xi, \beta-\eta) A(x,y) A(x',y') \, dx \, dy \, dx' \, dy'
\]  

(3-7)

where \( \phi \) is the autocovariance of \( T \). A change in variables \( x' \rightarrow \mu, y' \rightarrow \nu \) according to:

\[
\begin{align*}
\mu &= x' - x + \alpha \\
\nu &= y' - y + \beta
\end{align*}
\]

allows Eq. (3-7) to be written:

\[
\phi_s(\alpha, \beta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \phi(\mu, \nu) \mathcal{E} \left\{ A(x,y) A(\mu+x-\alpha, \nu+y-\beta) \right\} \, d\mu \, d\nu
\]  

(3-8)

The integral in brackets is the auto-covariance* \( \phi_A \) of the aperture and we have finally:

\[
\phi_s(\alpha, \beta) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \phi(\mu, \nu) \phi_A(\mu, \nu) \, d\mu \, d\nu
\]  

(3-9)

This convolution theorem will have general utility to our analyses that follow.

### 3.3.1.2 Granularity and the Two-Dimensional Spectrum

The NDPF model requires the Wiener Spectrum of photographic grain as inputs at several stages.

This spectrum has already been assumed to be white thereby allowing its single degree of freedom to be evaluated in terms of the classical measure known as "granularity".  Granularity is the RMS fluctuation in density \( (\sigma^2) \) derived from a scan of uniformly exposed film. For this purpose the scanning aperture is always large and the

* \( A(x, y) \) is, of course, not a stochastic variable.

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corresponding fluctuations are small. We shall deal here with the RMS fluctuation in transmission \( \sigma_T^2 \) rather than density, but the two are simply related.

The variance \( \sigma_T^2 \) of a one-dimensional scan is given by its autocovariance \( \phi_S(\alpha) = \phi_S(\alpha, 0) \) evaluated at zero. Thus we have through Eq. (3-9):

\[
\sigma_T^2 = \int_{-\infty}^{\infty} \phi(\mu, \nu) \phi_A(\mu, \nu) \, d\mu \, d\nu
\]

Since the grain spectrum is white its autocovariance is written:

\[
\phi(\mu, \nu) = \mathcal{N} \delta(\mu) \delta(\nu)
\]

where: \( \mathcal{N} \) - two-dimensional Wiener spectrum of grain (transmission \(^2\)-meter \(^2\)/cycle \(^2\))

and the variance \( \sigma_T^2 \) becomes:

\[
\sigma_T^2 = \mathcal{N} \phi_A(0, 0)
\]

A uniformly transmitting aperture of area \( A \) is written:

\[
A = \begin{cases} 
\frac{1}{A} & \text{(within } A) \\
0 & \text{(outside } A) 
\end{cases}
\]

This yields \( \phi_A(0, 0) = \frac{1}{A} \) and the value for \( \mathcal{N} \) in Eq. (3-10) is established:

\[
\mathcal{N} = \sigma_T^2 A
\]

This is equivalent to Equation (2-3) presented earlier.
3.3.1.3 Wiener Spectra Relations

Prior to deriving the relation between one and two-dimensional spectra, it is appropriate to digress in order to present the rational for selecting slits as having special significance to the present study. We build up the logic as follows:

(1) The purpose of the present study is to determine the impact of processing parameter errors on optimum user performance and not user performance per se. To this end simple psuedo-realistic one-dimensional targets (such as edges) are sufficient to make the connection since they contain the fundamental properties of transmission level and position.

(2) In light of both (1) above and the point that the variance estimation represents optimum information extraction, the scanning aperture should not further degrade the signal. Thus, its dimension in the scan direction should be small.

(3) Generally, the lower bound of Equation (5-2) is realized only for differential departures of the parameters from their true values. The validity of this requires small noise fluctuations which in turn means a scanning aperture of large area. Since its dimension in the scan direction must be small, the other dimension must therefore be large. Since the targets are one-dimensional, no signal degradation will occur under this requirements.

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27
We now derive an expression between the scan spectrum resulting from a slit and the two-dimensional field spectrum. First, the scan spectrum \( \mathcal{F}_S (\nu_\alpha) \) is defined as the Fourier transform of the scan autocovariance \( \mathcal{F}_S (\alpha) \):

\[
\mathcal{F}_S (\nu_\alpha) = \int_{-\infty}^{\infty} \phi_S (\alpha, \nu) e^{-i \pi \nu_\alpha} d\alpha
\]

Eliminating \( \phi_S \) by means of Eq. (3-9) yields:

\[
\mathcal{F}_S (\nu_\alpha) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \phi (\mu + \alpha, \nu) \phi_A (\mu, \nu) d\mu d\nu e^{-i \pi \nu_\alpha} d\alpha
\]

The field spectrum \( \Phi \) is defined by:

\[
\phi (\mu, \nu) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \Phi (\nu_\alpha, \nu_\beta) e^{i \pi (\nu_\alpha \mu + \nu_\beta \nu)} d\nu_\alpha d\nu_\beta
\]

Eliminating \( \phi \) from Eq. (3-12) allows the integration over \( \alpha \) to be carried out in closed form:

\[
\mathcal{F}_S (\nu_\alpha) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \phi (\nu_\alpha, \nu_\beta) e^{i \pi (\nu_\alpha \mu + \nu_\beta \nu)} d\nu_\alpha d\nu_\beta \phi_A (\mu, \nu) d\mu d\nu
\]

The aperture autocovariance, \( \phi_A \), defined in Eq. (3-8) is written for a slit of width \( \mu_r \) (in the scan direction) and length \( \nu \):

\[
\phi_A (\mu, \nu) = \begin{cases} \frac{1}{A_A} (1 - \frac{1}{\mu_r} |\mu|) (1 - \frac{1}{\nu} |\nu|) & \text{within } A_A \\ 0 & \text{otherwise} \end{cases}
\]

Inserting in Eq. (3-13) we have:

\[
\mathcal{F}_S (\nu_\alpha) = \frac{1}{A_A} \left[ \int_{-\mu_r}^{\mu_r} \phi (\mu) e^{i \pi \nu_\alpha \mu} d\mu \right] \int_{-\infty}^{\infty} \phi (\nu_\alpha, \nu) \left[ \int_{-\nu}^{\nu} \phi_A (\nu) e^{-i \pi \nu_\alpha \nu} d\nu \right] d\nu
\]
The two transforms in square brackets have solutions:

\[
\begin{align*}
\int_{-\mu}^{\mu} f(\nu) \, d\nu &= \mu \sin c^2(\pi \nu \pm \mu) \\
\int_{-\mu}^{\mu} g(\nu) \, d\nu &= \mu \sin c^2(\pi \nu \pm \mu)
\end{align*}
\]

Thus, we have the final general relationship:

\[
\Phi_s(\nu_\alpha) = \sin c^2(\pi \nu_\alpha \mu) \int\Phi(\nu_\alpha, \nu_\nu) \sin c^2(\pi \nu_\nu \mu) \, d\nu_\nu - \infty \quad \text{GENERAL} \tag{3-14}
\]

We now give five special cases of Eq. (3-14), all of which follow immediately. The first three are the asymptotic slits defined earlier:

\[
\Phi_s(\nu_\alpha) = \int\Phi(\nu_\alpha, \nu_\nu) \sin c^2(\pi \nu_\nu \mu) \, d\nu_\nu - \infty \quad \text{NARROW SLIT} \tag{3-15}
\]

\[
\Phi_s(\nu_\alpha) = \frac{1}{L} \sin c^2(\pi \nu_\nu \mu) \Phi(\nu_\alpha, 0) \quad \text{LONG SLIT} \tag{3-16}
\]

\[
\Phi_s(\nu_\alpha) = \frac{1}{L} \Phi(\nu_\alpha, 0) \quad \text{LONG AND NARROW SLIT} \tag{3-17}
\]

Finally, when both the dimensions of the slit become small or large together we have:

\[
\Phi_s(\nu_\alpha) = \int\Phi(\nu_\alpha, \nu_\nu) \, d\nu_\nu - \infty \quad \text{SMALL SLIT} \tag{3-18}
\]

\[
\Phi_s(\nu_\alpha) = \frac{N}{L} \sin c^2(\pi \nu_\nu \mu) \quad \text{LARGE SLIT} \tag{3-19}
\]
The asymptotic form given in Eq. (3-17) is recommended since it represents optimum parameter estimation. However, formally requiring the field spectrum $\bar{F}$ at all frequencies can be side-stepped in those cases where the signal spectrum is severely band-limited. Under such a condition, the spectrum $\bar{F}$ can be taken as white over the frequency domain of the signal:

$$\bar{F}(\nu_\alpha, \nu_\beta) \approx \bar{F}(\nu_\beta) = N$$

with $N$ now interpreted as the net grain spectrum. Thus the scan noise spectrum is simply:

$$\Phi_s(\nu_\alpha) = N_0 = \frac{N}{\pi} . \quad (3-20)$$

Now the field spectrum $N$ is given directly by Eq. (3-11), and we have:

$$N_0 = \frac{\sigma^2_{\nu}}{\pi} A_A$$

$$\Phi_s(\nu_\alpha) = N_0 = \frac{N}{\pi} \quad (3-21)$$

where $\sigma_{\nu}$ is the composite granularity due to all contributing system elements.

An expression for the scan noise spectrum for a circular aperture was developed during an earlier study by Trabka \(^{16}\), namely *

$$\Phi_s(\nu_\alpha) = \frac{4N}{\pi d_0} \frac{H_2^2}{(\pi d_0 \nu_\alpha)^2}$$

$$\Phi_s(\nu_\alpha) = N_0 = \frac{N}{\nu_\alpha} . \quad (3-22)$$

where $d_0$ is the diameter of the scanning aperture and Trabka's normalizing constant $k = 4/d_0$. The aperture diameter must be large enough to validate the assumption of white noise made by Trabka. Assuming that $\Phi_s(\nu_\alpha)$ varies slowly over the signal spectrum (effectively white noise) we set

$$\Phi_s(\nu_\alpha) \approx \Phi_s(\nu_\beta) = N_0 = \frac{32}{3\pi^2} \frac{N}{\nu_\alpha}$$

since $H_2^2/\nu_\alpha^2 \approx 8/3\pi$ for $\nu_\alpha \ll 1$. \(^{17}\) Using Eq. (3-11) for $N$ we have

*The units of the independent variable have been changed from radians/mm to cycles/mm in comparison to Trabka's Equation (6).
\[ N_0 = \frac{32}{3\pi^2} \frac{\sigma_T^2 A_A}{\sigma_0^2} = 1.08 \frac{\sigma_T^2 A_A}{\sigma_0^2} \]  

(3-23)

which is analogous to Eq. (3-21) developed for slits. We note that both equations can also be expressed in terms of the rms density \( \sigma_0 \) through use of the approximation

\[ \sigma_T = 2.37 \sigma_0. \]  

(3-24)

3.3.2 RMS Noise for One-Dimensional Simulation

If the image data system is represented by one-dimensional model we must determine an equivalent rms noise, \( \sigma_e \), to be added to the signal to represent the film granularity or noise. Since we simulate at intervals, \( \Delta \), the simulated signal is band-limited by the Nyquist frequency, \( \nu_N \equiv \frac{1}{2\Delta} \), we should only add noise inside this bandpass. In this case

\[ \sigma_e^2 = N_0 (2 \nu_N) = \frac{N_0}{\Delta} \]  

(3-25)

We can relate \( \sigma_e \) to Kodak measured granularity by using Equations (3-21) or (3-23) depending upon the shape of the aperture we designate in scanning the image to obtain the one-dimensional trace. Consequently,

\[ \sigma_e^2 = \left\{ \begin{array}{ll}
\frac{\sigma_T^2 A_A}{\nu_\Delta} & \text{(long and narrow slits)} \\
1.08 \frac{\sigma_T^2 A_A}{\sigma_0 \Delta} & \text{(circles)}
\end{array} \right. \]  

(3-26a)

(3-26b)

If Kodak's published granularity values are used for \( \sigma_0 \), then \( A_A \) in Equations (3-26a) or (3-26b) is the area of the aperture used by Kodak in making the measurements.
SECTION 4
SOFTWARE IMPLEMENTATION

To use Equation (3-2) requires calculation of the partial derivatives $\frac{\partial s(x,A)}{\partial A_i}$. There are doubtless image data systems for which the system can be represented analytically and the derivatives of the output signal obtained directly. But for a multi-element system which contains nonlinear elements and where it is desired to arbitrarily vary the response of individual elements, an analytic approach is intractable. Consequently, a computer program was written which simulates the system in order to calculate the derivatives and the Cramer-Rao variance bound.

4.1 Image Data System Simulation (IDSS)

The primary function of the Image Data System Simulation (IDSS) is to compute the Cramer-Rao bound on the parameter estimation error introduced by a multi-element image data system composed of an arbitrary sequence of linear elements, nonlinear gains, and additive noise. A second capability of IDSS is to provide graphic display of test signals as they appear after every element of the image processing system so that the effect of individual elements can be visually assessed. A third is to determine the region of approximate linearity of the lumped-multielement system which contains individual nonlinear elements; the bounds of the "small signal" or "low contrast" linearizing approximation can be determined.

Although IDSS was developed as a tool necessary for solution of the quality control problem, application is in no way restricted to the NDPF system. The program could equally well be used in analysis of entirely photographic, electro-optic, or digital systems.

Program IDSS is written in Fortran IV for use on Calspan's IBM 370/168 computer. It requires 250K core and of the twenty three subroutines, eleven are called from the 370 system library.
What the program actually does is create a numerical isomorphism to the image processing system being studied, create test signals, propagate those signals through the simulated system, and perform the mathematical operation on the processed output signal which yield the estimation error variances.

The system simulation is one dimensional. A two dimensional simulation would involve no conceptual difficulties, but would greatly increase program size and running time. The one dimensional simulation is adequate for most conceivable purposes, but can given rise to certain subtle difficulties regarding the two dimensional photographic grain noise field which will be discussed in the second part of this section.

Input

Most image data systems can be represented by a sequence of elements whose performance is characterized by either OTF's (linear elements), nonlinear gains, and/or additive gaussian noise. The data defining the response of each element is required as input.

For the linear elements, the spread function rather than its Fourier transform, the OTF, is used for computational convenience. The spread function is of course always real valued, complex valued OTF's being manifested as asymmetrical spread functions. The effect of each linear element is thus determined by performing the convolution of its spread function with its input. The spread function defining the performance of each linear element is read as input.

The nonlinear gains encountered are typically the photographic H-D curves. A table of output density versus input density values defining each nonlinear elements H-D curve must be read as input. The input signal is converted into density space (= -log (signal)), transformed to output density by linear interpolation of the table, and converted to transmission by exponentiation ($10^{-D_{out}}$).
The noise is characterized by the standard deviation, which for the photographic granularity must be computed from Equation (3-26). A standard random number generating subroutine is used, its distribution scaled to the correct standard deviation, and the resulting white Gaussian noise added to the signal.

Any input target type can be generated that is expressible as a function of position and a set of orthogonal parameters. The number of parameters and the magnitude of each is required as input. For this study, targets with four or less parameters are adequate. Consequently, the routine that creates targets, Subroutine GEN, currently produces: 1) One parameter target - constant level \( A(1) \), 2) Two parameter target - two delta functions separated by distance \( A(2) \) on background level \( A(1) \), 3) Three parameter target - step at location \( A(3) \) between levels \( A(1) \) and \( A(2) \), or 4) Four parameter target - square pulse of width \( A(3) \) and location \( A(4) \) on background level \( A(1) \) with pulse level (height) \( A(2) \). These targets were illustrated previously in Figure 3-1.

In all computations, the signal level is represented on a scale of 0 to 1 and will henceforth be referred to as "transmission". The 0 level corresponds to zero ground radiance. The 1 level corresponds to the radiance input which yields full scale output voltage for the particular active sensor. This scale factor is defined as \( R_m \). Therefore all standard deviations in estimation of \( A_i \) representing radiometric parameters are in units of \( R_m \). The 0 to 1 "transmission" scale is actual photographic transmission at stages where photographs exist.

**Output**

The program operates in any one of three modes. In all modes of operation the values of computational parameters and the functions defining the response of each simulated system element are printed out. In mode 1 operation a table of the values and a table of errors for each of the target parameters \( A(I) \), is printed out. The process is repeated for new system element performance data as desired.
In mode 2 operation, the noise power spectral density is computed, and $N_0$ and the pre-whitening filter spread function are printed out. Mode 3 operation yields a plot of the target function as it appears after passing through each system element. Punched output of the processed target for auxiliary analyses (e.g., edge gradient spectral analysis) is optionally available in any mode.

**Program Operation**

For logical convenience, the simulation separates the system into a number of blocks each containing sequentially a spread function, non-linear gain, and additive noise. A set of control cards determine whether one, two, or all of the three possible element types are present in any particular block so that any permutation of element types is achievable. A second set of control cards determines whether data is to be changed for each element so that the program user can vary the performance of any element or any combination of elements as desired.

**Mode 1**

A block diagram showing the major steps in the variance calculation mode is given in Figure 4-1. Control parameters, constants, and system element performance data are first read in. Each spread function is normalized to unit area; the spread function is integrated and then divided point by point by the value of the integral. Normalization yields conservation of energy (unity gain) in the subsequent convolution operations. Values of each of the $A(I)$ are set and a test target generated. This target is propagated through the simulated system by the correct sequence of convolution with spread functions, and alteration by non-linear gains. Additive noise elements are omitted in this mode. The resultant output signal is stored. The operation is repeated for the same target, but with each of the $A(I)$ in turn altered by a differential increment. The partial derivatives of the output signal with respect to each $A(I)$ are then computed by subtraction of the first stored output signal from each of the...
Figure 4-1 BLOCK DIAGRAM OF IDSS VARIANCE CALCULATION MODE
respective differentially altered output signals and division by the respective differential elements. The derivatives thus computed are labeled $DYDA(I, X)$. The program next evaluates the integrals over $X$ of $DYDA(I, X) \times DYDA(J, X)$ for $I, J=1, \ldots, NA$ where $NA$ is the number of $A(I)$. The matrix thus created is then inverted and diagonal elements of the inverse matrix are extracted. These elements are labeled $SIG(I, I)$. Each is multiplied by the white noise power density, yielding new values replacing the old $SIG(I, I)$. The quantities $SIG(I, I)$ thus arrived at are the variances in the respective $A(I)$.

The program then returns, assigns new values to the $A(I)$ and repeats the computations for as many sets of $A(I)$ values as desired.

When all target $A(I)$ values have been exhausted, the program returns, reads new system element performance data where desired, and repeats the foregoing operations for the new simulated system. It stops when no new system element performance data remains to be read.

Mode 2

This mode is used to evaluate the noise characteristics at the output of the system. The initial data input is the same as Mode 1. A one parameter (constant level) target is generated. The target is propagated through the simulated system, in this case with the noise elements included. No differentials or matrix elements are calculated. Rather the autocovariance function of the output signal is computed and Fourier transformed to yield the power spectrum and $N_o$. The operation is repeated several times (the number of replications is specified as input), and the results averaged. The prewhitening filter, given by the square root of the reciprocal of the power spectrum, is computed and inverse Fourier transformed to yield the pre-whitening filter spread.
function. This spread function must be used in Mode 1 operation as the last in the system simulation sequence if the power spectrum shows that the net noise is non-white. The value of \( N_o \) can also be used as input to Mode 1 for the double-ended white noise power density.

As in Mode 1, the operations are repeated for different target parameters and system element performance data as desired.

**Mode 3**

This mode is used to obtain plots of the target function at the output of various system elements. The initial data input is the same as Mode 1. Targets are generated and propagated through the system as in Mode 1. The signal is plotted as it appears after each system element. No operations are performed on the output signal.

As in the other two modes, the operations are repeated for different target parameters and system element performance data as desired.

User descriptions, including a listing and flowchart of IDSS program, are given in Appendix B.
SECTION 5

NDPF MODEL

The initial step in applying IDSS to an image processing system is to specify its data flow and construct a model of the system. We will illustrate this procedure using the NDPF. The data flow in the NDPF Bulk Processing or Initial Image Generating Subsystem was discussed previously in Section 2.2. In this section, the NDPF will be broken down into elements whose performance can be characterized by OTF's (frequency responses), additive gaussian noise, or nonlinearities consisting of signal dependent gains. The results obtained from IDSS using this model are presented in the next section.

5.1 Element Performance Characterization

NDPF Input

The input is videotape records of telemetry from the satellite sensors. The telemetry and recording process are linear and characterized by frequency response functions (OTF's). White gaussian noise is added to the signal in transmission and recording. The RBV and MSS are linear devices characterized by OTF's and white gaussian noise.

Playback

The playback videotape recorders are linear and characterized by OTF's and white gaussian noise. The D/A conversion of MSS data and subsequent filtering is similarly characterized.

EBR Electronics

EBR Electronics are linear and characterized by an OTF and white additive gaussian noise.
**EBR Preemphasis Nonlinearity**

In order to obtain linearity between input radiance and archival transparency transmittance, and because of processing constraints on the EBR film, signal dependent gain is applied to the electronic input signal to the EBR.

**Photographic Processors**

The non-linear photographic process is defined by the Hurter-Driffield (H-D) curve which can be specified as a plot of output density as a function of input density

\[ D = \log \frac{I}{T} \]  

(5-1)

where \( D \) = density and \( T \) = transmittance. Since the linear elements of the system are linear in intensity (radiance), they are linear in \( T \), so that the H-D curve represents a signal dependent gain. Henceforth, the relationships defining the photographic processor performance as well as that of the EBR preemphasis nonlinearity will be referred to as nonlinear gain.

**Contact Printers**

The physical process involved in contact printing is coupled multiple scattering within the master-duplicating film sandwich. That process yields a nonlinear image transfer in the general case, but has not been adequately studied to be useful in the present application. As part of a study\(^{16}\) of the Kodak Niagara Printer, one of the authors (EKS) showed that in the limit of infinitessimally thin continuous emulsions, the contact print would be the Fresnel diffraction pattern of the master. Fresnel fringes for edge targets were in fact observed by Yeadon.\(^{18}\)
Diffraction phenomena result from discontinuities in the electric field. However, as edge gradients become finite and sufficiently low, the diffraction effects become less pronounced and the printing process approaches linearity. For the sensor imposed bandlimit of approximately 50 cycles/mm sufficient edge blur is expected that the linear model be valid. Should future payloads have increased OTF cutoff frequencies, this contact printer approximation must be re-examined.

In the present application, therefore, the contact printing process is considered to be a linear process with white gaussian noise (film granularity). The film MTF is lumped together with the printer OTF and referred to as a film-printer OTF.

**Bulk Enlarger**

The Bulk Enlarger is a linear device characterized by an OTF. That OTF is expected to vary as a function of field position, but since its magnitude at the sensor cutoff frequency (≈12 cycles/mm on 9.5 in. format) should be high, field position variation can be neglected. Barring catastrophic occurrences, the enlarger's aberrations are constant, and the source of OTF variation expected in normal operation would be defocus. (Note that if the enlarger system is misaligned, there will be defocus as a function of field position, which cannot be neglected.)

The film MTF is lumped with the enlarger MTF so that the subsystem is modeled as a linear element characterized by its OTF, and additive white gaussian noise.

**5.2 Model Constraints**

Several constraints were imposed on the analysis of the NDPF:
1. As the RBV sensor in the current payload has been inoperative, the MSS configuration of the image processing system is emphasized.

2. The bulk processing (Initial Image Generating Subsystem) only is analyzed. Precision processing (Scene Correction Subsystem), the prime purpose of which is large scale geometric modification for mapping purposes, is not included in model manipulation.

3. Paper prints are not likely to be used quantitatively and are not considered.

4. The bulk processing is modeled only through the third generation transparency which is the prime product delivered to users for quantitative study.

5. Sensor-telemetry noise can be lumped together, and NDPF electronic element noise can be neglected.

6. Telemetry and recording apparatus can be assumed transparent (no effect on signal).

5.3 MSS Configuration Model

In terms of the subsystem element performance descriptors discussed, and under the preceding constraints, the NDPF model for processing MSS imagery is as follows:

<table>
<thead>
<tr>
<th>Element</th>
<th>Measurable Performance Descriptor</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Sensor</td>
<td>OTF</td>
</tr>
<tr>
<td>Electronics Noise</td>
<td>Standard deviation (gaussian, white)</td>
</tr>
<tr>
<td>2. EBR Control Electronics</td>
<td>OTF</td>
</tr>
<tr>
<td>3. Digital to Analog (D/A)</td>
<td>OTF</td>
</tr>
<tr>
<td>conversion</td>
<td></td>
</tr>
<tr>
<td>4. Bessel Filter</td>
<td>OTF</td>
</tr>
<tr>
<td>5. EBR Electronics</td>
<td>OTF</td>
</tr>
<tr>
<td>EBR Preemphasis non-linearity</td>
<td>Nonlinear gain</td>
</tr>
</tbody>
</table>
This model is represented by a series of 9 blocks identified above.
Input values for each of the performance descriptors are presented
in Section 6.1.

5.4 RBV Configuration Model

<table>
<thead>
<tr>
<th>Element</th>
<th>Measurable Performance Descriptor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sensor</td>
<td>OTF</td>
</tr>
<tr>
<td>Electronics noise</td>
<td>Standard deviation (gaussian, white)</td>
</tr>
<tr>
<td>EBR Control Electronics</td>
<td>OTF</td>
</tr>
<tr>
<td>EBR Electronics</td>
<td>OTF</td>
</tr>
<tr>
<td>EBR Preemphasis nonlinearity</td>
<td>Nonlinear gain</td>
</tr>
<tr>
<td>4-7, Same as MSS elements 6-9</td>
<td></td>
</tr>
</tbody>
</table>

5.5 Large Scale Errors
If all of the system elements introduced no degradation
into the signal, the ERTS/NDPF system would only introduce a scale
change into the ERTS imagery. However, effects of payload attitude, sensor optics, and distortion internal to the NDPF result in a film plane geometry distorted compared to the ground. The NDPF was designed to correct for this mapping distortion (correction mechanisms are outlined in Appendix A); however, some residual geometric mapping distortion error results. This error applies to measurement of distances of the order of magnitude of the frame size.

If the NDPF is described by a pseudo spread function of the entire lumped data processing system, the geometric estimation error introduced by the NDPF element performance descriptors is separable from the large scale geometric mapping distortion described above; the two error sources can be treated independently. The errors calculated assess the small scale, not the large scale geometric error.

Similarly the radiant input to the sensor would be mapped into a related radiance scale at the output product for an ideal system. However, a radiance mapping error exists over distances of the order of the frame size due to sensor and processing nonuniformities. The correction mechanisms for this error are also discussed in Appendix A, and the residual errors resulting from application of the algorithm can be calculated directly. Again, this radiometric error is separable from the parameter estimation errors of concern in this study.

5.6 Modeling Summary

The NDPF and sensors have been modeled in terms of accepted, measurable descriptors of the performance of system elements. These descriptors can be utilized as a sequence of operators to obtain system output as a function of input to the sensor. To develop or assess quality control tolerances requires determination of the effect of changes in the performance descriptors on parameter estimation errors.
SECTION 6
RESULTS

The results of application of the technique to the NDPF are presented in this section. The first part discusses the input data base identifying the specific values assigned to the descriptors (functions) which characterize the performance of each element of the NDPF model delineated previously. In the subsequent discussion, the nominal performance of the NDPF/MSS configuration is established and examined in detail. The effects of off-nominal element performance are determined. The results expected from the RBV configuration are considered by comparison to the MSS. The implications of these results on procedures for quality control of the NDPF elements are subsequently examined. Finally, a technique for calibrating ERTS imagery in order to relate transmittance to ground radiance or reflectance is illustrated.

6.1 Input Data for Element Performance

Valid quantitative definition of each spread function, nonlinear gain, and noise standard deviation are obviously required as input to IDSS. Approximate accuracy of input data is adequate to determine the influence of changes in system element performance or changes in estimation error, which is of course, the prime objective. However, accuracy of the calculated estimation errors as a measure of absolute system performance requires high confidence level input data.

Although some simple measurements of element performance were made by us, for the most part it was necessary to rely on external information sources. Sufficient confidence in the approximate accuracy stipulated is asserted; the best data found to be available was used. But it must be emphasized that the reader keep in mind that inferences of absolute system performance are affected by absolute accuracy of input data.
Input data used and the manner in which it was acquired for each system element are given below. All spread functions plotted have been normalized to unit area. The unit of length used in computations is the micrometer and distances refer to the 70 mm format. All nonlinear gains are presented as plots of input density vs output density. Noise sources, always gaussian and white, are characterized by the applicable standard deviation.

a) **Payload Sensors**

1. **MSS** - the sensor spread function was obtained by inverse Fourier transform of the MTF given in the User's Handbook. No phase data were available. The curve given appears to have been fitted to only four data points. The resulting MSS spread function is plotted as Figure 6-1(a).

2. **RBV** - the sensor spread function was obtained by inverse Fourier transform of an "eyeball average" of the three characteristic MTF's given in the User's Handbook. No phase data were available. The spread function is plotted as Figure 6-1(b).

b) **Telemetry and Recording** - Assumed Transparent.

c) **Playback Video Tape Recorder** - Assumed Transparent.

d) **Video Tape Recorder Control Electronics** - Assumed Transparent.

e) **EBR Control Electronics (EBR CTL)** - Spread function obtained by inverse Fourier transform of MTF given in ERTM-H-81. Plotted as Figure 6-1(c).

f) **Digital to Analog Converter (D/A)** - As in (e) above. See Figure 6-1(d).
Figure 6-1  SPREAD FUNCTION INPUTS (PART 1)
g) **Bessel Filter** - As in (e) above. See Figure 6-1(e).

h) **EBR Electronics** - As in (e) above. See Figure 6-1(f).

i) **EBR Preemphasis Nonlinearity** -

Two sources of information were used:

1) **User's Handbook.** The system is designed to obtain a net $\gamma = -1$ between log of the ground radiance and archival density. Further, the archival film is processed to $\gamma = 2$ (with "slight" deviation from linearity at end of the H-D curve, the actual shape not being given). Thus, the EBR preemphasis nonlinearity has $\gamma = \frac{1}{2}$. Mapping the 0-1 (relative) earth radiance scale onto the Handbook prescribed archival density range yields the curve shown in Figure 6-2(a).

2) **Measurements** - A current quality control procedure utilizes a twenty-one step gray scale at the head and tail of each roll of film processed. A sample was obtained and measured, and an archival processor H-D curve obtained. Each frame of imagery includes a fifteen step gray scale written by the EBR for radiometric calibration. The densities were read on an archival frame. The relative log radiance of the gray scale was obtained from the **User's Handbook** and an H-D curve for relative log ground radiance to archival density thus obtained. This curve together with the curve for archival processing alone allowed determination of the EBR preemphasis nonlinear gain plotted in Figure 6-2b.

j) **EBR Beam**

The EBR beam spread function was obtained from ERTM-H-81. It is Gaussian in shape and plotted in Figure 6-3a.

k) **Film spread functions**

The film spread functions were measured from sample data. The edge of the large square in a standard Air Force tribar target currently used in quality control at the NDPF was scanned with a microdensitometer on archival, second, and third generation 70 mm
Figure 6-2  EBR PRE-EMPHASIS NON-LINEAR GAIN FUNCTIONS
Figure 6-3 SPREAD FUNCTION INPUTS (PART 2)
transparencies. The edge spectra were computed by an edge gradient technique described in Appendix A. Each spectrum was divided by that of the previous generation and the resultant MTF Fourier transformed to yield the spread function of a single duplication process. The MTF's were compared to the limited section of the film MTF given in the User's Handbook (Figure H-5) and found to be in agreement. It was concluded that the combined contact printer plus film MTF is approximately equal to the film MTF alone. Consequently the resulting spread function was used for both the archival film and for the second and third generation contact printer/film combinations. This spread function is plotted as Figure 6-3b. Edge ringing (Fresnel diffraction) was not observed on the samples examined which provides support for the validity of the linear contact printer model approximation.

1) **Film Nonlinearities**

Three approaches were used:

1. User's Handbook. The design goal or nominal NDPF performance were obtained from the Handbook (Figure H-4) and are plotted in Figures 6-4 a-c for the first through third generations.

2. The twenty-one spot quality control gray scale was measured on samples of each generation. The H-D curves thus obtained are plotted in Figure 6-5 a-c for the first through third generations.

3. The fifteen level calibration gray scale was measured for each generation. The H-D curves for the second and third generation processing thus obtained are plotted as figures 6-6 a, b. This approach could not be applied to the first generation as no independent measure of the EBR preemphasis nonlinearity could be obtained and the 15 step density input to the first generation processor is unknown. The obvious disagreement between the three sets of data will be examined later.
Figure 6-4  NOMINAL FILM H-D CURVES (HANDBOOK)
Figure 6-5 MEASURED FILM H-D CURVES (21 STEP GREY SCALE)
Figure 6-6  MEASURED FILM H-D CURVES (15 STEP CALIBRATION GREY SCALE)
m) **Electronic Noise**

Total electronic noise at the NDPF is assumed \(^1\) to yield electronic noise standard deviation equal to \(10^{-5}\). Electronic noise added by NDPF elements is neglected. \(^1\) This noise amplitude is small compared to the photographic grain noise.

n) **Film Grain Noise**

The rms granularity values given in the User's Handbook \(^2\) (Figure H-6) can be used to calculate \(\sigma_e\), the rms noise fluctuation. The value of \(\sigma_e^2 A_A\), presented in Figure 6-7 for either the EBR (archival) or copy film, is substituted into equation (3-26) to compute \(\sigma_e\). It is recognized that some noise sources encountered may be signal-dependent; nevertheless, the perturbation technique can still yield correct results if a standard deviation corresponding to a nominal or worst case is utilized.

### 6.2 Nominal Performance - MSS Configuration

Referring to the MSS image processing configuration model established in Section 5.3, the operations entered in a sequence of 9 blocks in IDSS to simulate the system are:

1. Convolution with the payload spread function.
2. Convolution with the EBR Control Electronics spread function.
3. Convolution with the D/A Conversion spread function.
4. Convolution with the Bessel Filter spread function.
5. Convolution with the EBR Electronics spread function.
6. Level change by the EBR preemphasis nonlinearity.
7. Convolution with the EBR Beam spread function.
8. Convolution with the film spread function.
9. Level change by the nonlinear first generation development process (H-D curve)
10. Convolution with the film-contact printer spread function.
Figure 6-7 NOMINAL INPUT FOR SIMULATING GRAIN NOISE
11. Level change by the nonlinear second generation development process (H-D curve)

12. Convolution with the film-contact printer spread function.

13. Level change by the nonlinear third generation development process (H-D curve)

Operation No. 10 is replaced by convolution with the Bulk Enlarger spread function for 9.5 inch products. Distances refer to the 70 mm format unless explicitly stated otherwise.

Recalling the disparity between the User's Handbook and measured H-D curve data, a decision was required on what to accept as "nominal". Since the measured data was taken from a small sample of NDPF products, and there is no evidence confirming the data as nominal or even typical, the Handbook data were chosen. This data is, however, clearly idealized, so the measured data were also used as input and the results compared. Other sources indicate that the first generation nonlinear gain or $\gamma$ is about 1.5 in agreement with the measured data.

In order to estimate the noise statistics the IDSS program was run in Mode 2 with nominal element performance data detailed in Section 6.1. The noise spectrum was found to be white and a pre-whitening filter spread function need not be included in subsequent computation.

To understand the noise propagation behavior in more detail, consider the last several steps in the data flow of the NDPF:

- additive noise (archival film grain)
- film-printer film spread function
- non-linear gain
- additive noise (copy film grain)
- film-printer spread function
- non-linear gain
- additive noise (copy film grain)

\[ \text{2nd Generation Negative} \]
\[ \text{3rd Generation Positive} \]
The input to these steps is a bandlimited, low-noise signal. Since the noise amplitude is small compared to the signal, the nonlinear gains do not modify the noise spectrum. Now the film-printer OTF cutoff frequencies are large compared to the cutoff of the signal imposed by the sensor. The first grain noise is filtered by two OTF's, the second by one, and the third not at all. Small changes in the performance of either film or printer, will consequently have negligible effect on $N_o$. Therefore, for the current ERTS system, $N_o$ is independent of reasonable changes in NDPF element performance.

Another benefit is obtained from the insensitivity of $N_o$ to NDPF system performance. From equation (3-2)

$$\sigma_{A_i}^2 \geq N_o (M^{-1})_{ii}$$

with the equality holding for the sufficiently high signal-to-noise ratio. The constancy of $N_o$ for slightly off nominal element performance allows relative error comparisons, namely:

$$\frac{\sigma_i^2 (\text{off nominal})}{\sigma_i^2 (\text{nominal})} = \frac{(M^{-1})_{ii} (\text{off nominal})}{(M^{-1})_{ii} (\text{nominal})}$$

where $\sigma_i^2 \equiv \sigma_{A_i}^2$ to simplify notation. Thus the quality control problem for the current ERTS image processing system can be studied by computing only the $(M^{-1})_{ii}$ as a function of element performance. Therefore initially only the relative performance was examined. The effect of $N_o$ was included later to relate relative performance to absolute values.

6.2.1 Edge Target Results

The three parameter "edge" target, where $A_1$ = one radiance level, $A_2$ = other radiance level, and $A_3$ = the position of the edge between $A_1$ and $A_2$ will be used to describe the change in image quality as a function of changes in subsystem element performance for the following reasons:
1. It is the simplest target that yields both radiometric and geometric estimation errors.

2. It corresponds to a most common user estimation task.

3. The spectrum of the output edge gradient can be used to determine system radiometric linearity.

6.2.1.1 Linearity

In order to study system linearity IDSS was used to generate the nominal MSS output for the twenty targets shown in Table 6-1.

The NDPF system output for each of these targets was subsequently used as input to an edge gradient spectrum computation program (Appendix D) and the spatial frequency response of the system thus obtained.

If a system is linear, then its frequency response is independent of input. A nonlinear system on the other hand is characterized by harmonic generation, the presence of signal at higher frequencies is observed, and the apparent frequency response is input dependent. The region over which the response of a nonlinear system is independent of input is defined as the "small signal" or quasi-linear region which is useful to those who want to approximate the nonlinear system by a linear one.

The nominal NDPF is designed such that the four cascaded nonlinearities give a net processing $\gamma = -1$ so that ground radiance should be directly proportional to third generation transmission. However, each nonlinearity generates harmonics while the OTF of the following linear element can eliminate some of those harmonics. Applying an inverse nonlinear function to the modified signal might not yield the initial input again. Therefore, the presence of the linear filters (OTF's) between the nonlinearities may cause the system to behave in a nonlinear manner even though the net $\gamma$ is held equal to minus one.
# Table 6-1. Edge Target Parameters

(100 \( \mu \text{m} \) records)

<table>
<thead>
<tr>
<th>Target Designation</th>
<th>( A_1 ) (normalized radiance level)</th>
<th>( A_2 ) (normalized radiance level)</th>
<th>( A_3 ) (edge location)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1.00</td>
<td>0.0001*</td>
<td>50.</td>
</tr>
<tr>
<td>2</td>
<td>&quot;</td>
<td>0.2</td>
<td>&quot;</td>
</tr>
<tr>
<td>3</td>
<td>&quot;</td>
<td>0.4</td>
<td>&quot;</td>
</tr>
<tr>
<td>4</td>
<td>&quot;</td>
<td>0.6</td>
<td>&quot;</td>
</tr>
<tr>
<td>5</td>
<td>&quot;</td>
<td>0.8</td>
<td>&quot;</td>
</tr>
<tr>
<td>6</td>
<td>0.25</td>
<td>0.0001</td>
<td>&quot;</td>
</tr>
<tr>
<td>7</td>
<td>&quot;</td>
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<tr>
<td>11</td>
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<td>15</td>
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<tr>
<td>16</td>
<td>0.65</td>
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<tr>
<td>20</td>
<td>&quot;</td>
<td>0.8</td>
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</table>

(*The value 0.0001 was used instead of zero because the program must compute \( \log \frac{1}{A_2} \) in the nonlinear gain subroutine.*)
The edge gradient spectra computed are shown in Figure 6-8. Note that the spectrum of all the edges except those with the very low (.0001 or .05) radiance levels are identical and that the others all contain higher modulations as frequency increases. The system thus seems to be behaving in a linear manner over a wide but bounded target radiance range. From the results obtained this range is at least, 0.2 to 0.8 (normalized radiance).

In order to understand the cause of this result, the program was run in the signal tracing mode which yielded the plots shown in pages 214 to 233 of the data, Appendix C, corresponding to edges 1-20 respectively. Distortion of edges 1, 6, 11, 16 is obvious. Distortion can be seen in edges 2 through 5 as well, although it is less pronounced. From the plots, the distortion occurs at either the electronic preemphasis or first generation development nonlinearities. Inspection of the EBR preemphasis nonlinearity curve, Figure 6-2 shows that the linear portion of the curve extends only as high as input density \( D_{in} = 1.146 \) which is equivalent to a normalized input signal (transmission) of 0.07. Thus, the edges for which the system exhibits nonlinear response have at least one radiance level on the portion of the electronic preemphasis nonlinear gain curve which approaches the asymptote at \( (D_{in}, D_{out}) = (\infty, .85) \). (In computation, infinity was taken as \( D = 1000 \)).

As the subsequent nonlinearity H-D curves are chosen such that any input signal which lies on the linear portion of the electronic preemphasis nonlinearity gain curve must also lie on the linear portion of all succeeding H-D curves, it is concluded that the system behaves in a linear manner if the signal is not clipped by the 0.07 breakpoint of the electronic preemphasis nonlinearity.

If the four H-D curves do not indeed distort spectra, the spectrum representing the linear input range in Figure 6-8 should
Figure 6-8  EDGE GRADIENT SPECTRA FOR NOMINAL PERFORMANCE
be matched by that obtained by the system with the nonlinearities removed (composite system OTF).

The four H-D curves were removed from the simulation model and the edges run again. The edge gradient spectra were computed as before and are plotted in Figure 6-9. The spectra for all edges are identical, equal to those in Figure 6-8, corresponding to edges 7-10, 12-15, 17-20.

It follows that the ERTS nominal system can be characterized by an end-to-end frequency response. One useful result of this conclusion is that if the NDPF is constrained to nominal performance, the OTF of the sensor in the payload can be monitored by measuring, on the output products, the edge gradient of a ground object. However, it is fallacious to ignore the nonlinear elements in computation of estimation errors as the following section shall show.

Before proceeding, it is instructive to compare the edge gradient spectra of the input to that at later stages within the NDPF. The edge targets in the quasi-linear region were generated: 1) after the MSS, 2) after D/A conversion, 3) on the archival product, and 4) on the third generation product. Edge gradient spectra were computed and are plotted in Figure 6-10. The D/A conversion is logically lumped as an integral part of the payload, even though it occurs on the ground. The NDPF processing is shown to modify the spectrum very little thus supporting the approximations made earlier in the report that were based on the signal bandlimit occurring before the addition of significant noise sources.
Figure 6-9  EDGE GRADIENT SPECTRA – COMPOSITE SYSTEM OTF
Figure 6-10  COMPARISON OF EDGE GRADIENT SPECTRA AT VARIOUS SYSTEM POINTS
6.2.1.2 Nominal Product Quality

The nominal error bounds for the estimation of the three parameter edge targets were calculated as measures of product quality. The targets outside the linear range defined in the preceding section were eliminated resulting in a new set of 12 targets having a record length = 100 micrometers, a sampling increment = 0.5 micrometers, and a distance unit = micrometer. The target set is defined in Table 6-2.

<table>
<thead>
<tr>
<th>Designation No.</th>
<th>$A_1$ (normalized radiance level)</th>
<th>$A_2$ (normalized radiance level)</th>
<th>$A_3$ (edge location)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>.25</td>
<td>.2</td>
<td>50.</td>
</tr>
<tr>
<td>2</td>
<td>&quot;</td>
<td>.4</td>
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<td>3</td>
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</tbody>
</table>
These targets can be grouped according to the normalized radiance level difference \( \frac{\Delta R}{R_m} = |A_1 - A_2| \), a measure of target contrast. This results in the following classification:

<table>
<thead>
<tr>
<th>( \frac{\Delta R}{R_m} )</th>
<th>Designation No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>.05</td>
<td>1, 6, 11</td>
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<tr>
<td>.15</td>
<td>2, 7, 12</td>
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<tr>
<td>.25</td>
<td>5, 10</td>
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<tr>
<td>.35</td>
<td>3, 8</td>
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<tr>
<td>.45</td>
<td>9</td>
</tr>
<tr>
<td>.55</td>
<td>4</td>
</tr>
</tbody>
</table>

IDSS computed the matrix elements \((M^{-1})_{ii}\) for \(i = 1, 3\). \((M^{-1})_{11}\) and \((M^{-1})_{22}\), proportional to the error in estimating radiance levels, remained constant for all edges. They can be made arbitrarily small by increasing the record length—it is the change in their values as a function of subsystem element performance for a fixed record length that must be examined to evaluate quality control procedures. 

\((M^{-1})_{33}\), the edge location estimation component, was found to be independent of the absolute values of \(A_1\) and \(A_2\), but to depend on \(\Delta R/R_m\) only. The results are plotted in Figure 6-11. The shape of the curve suggests the log-log plot of Figure 6-12. Note that Figure 6-12 shows the data to be exactly colinear with slope of exactly -2. Now:

\[ \sigma^2_{A_i} \sim (M^{-1})_{ii} \]  

by equation (3-2)

so that the data demand:

\[ \sigma^2_{\Delta A_3} \sim \left( \frac{R_m}{\Delta R} \right)^2 \]  

where: \( \sim \) means "is directly proportional to"

or

\[ \sigma_{\Delta A_3} \sim \frac{R_m}{\Delta R} \]
Figure 6-11 VARIATION OF EDGE POSITION ESTIMATION ERROR WITH TARGET CONTRAST (NOMINAL MSS CONFIGURATION)
SLOPE = -2.0

Figure 6-12 LOG-LOG PLOT OF FIGURE 6-11
This relationship is expected for a linear system and can, in fact, easily be derived from equation (3-2) analytically. It confirms that this region of input-target radiance levels represents a quasi-linear performance region for the NDPF.

To determine the effect of the non-linear gains, these steps were removed from the simulated system and the set of test targets was run again. The results are plotted in Figure 6-13 and 6-14. Notice that the proportionality

$$\sigma_3 \sim \frac{R_m}{A R}$$

is preserved, but that the proportionality constant is roughly an order of magnitude lower. Thus we can conclude that the presence of the four nonlinear gains increases the estimation error, even though the frequency response is not distorted.

6.2.2 Square Bar Target Results

Although edge targets will be used in examining off nominal system performance, the square-bar target was also considered during the nominal performance analysis as an additional descriptor. The following set of targets were generated where $A_1 =$ background radiance level, $A_2 =$ bar radiance level, $A_3 =$ bar width and $A_4 =$ bar location:

- $A_1$ was held constant. $A_1 = 0.1$
- $A_2$ was varied in steps of .2 between $A_2 = .15$ and $A_2 = .95$
- $A_3$ was varied between $A_3 = 15$ and $A_3 = 105$ micrometers.
- $A_4$ was held constant at 75 micrometers, the record midpoint.

Signal trace plots for these targets were shown in Appendix C, Pages 234 through 278. Plots of the matrix elements $(M^{-1})_{22}$, $(M^{-1})_{33}$, and $(M^{-1})_{44}$ as a function of bar width for each $A_2$ value are shown in Figures 6-15 through 6-17. Both the radiometric and mensuration
Figure 6-13 VARIATION OF EDGE POSITION ESTIMATION ERROR WITH TARGET CONTRAST (NON-LINEAR ELEMENTS REMOVED)
Figure 6-14  LOG-LOG PLOT OF FIGURE 6-13.

SLOPE = -2.0
Figure 6-15  VARIATION OF BAR TARGET RADIANCE LEVEL ESTIMATION ERROR WITH TARGET WIDTH AND CONTRAST
Figure 6-16 VARIATION OF BAR TARGET WIDTH ESTIMATION ERROR WITH TARGET WIDTH AND CONTRAST
Figure 6-17 VARIATION OF BAR TARGET LOCATION ESTIMATION ERROR WITH TARGET WIDTH AND CONTRAST
accuracies represented by these relative errors begin to increase for targets less than 40 micrometers and become asymptotic for targets smaller than 25 micrometers. This corresponds to ground distances of approximately 134 and 84 meters respectively. From Figure 6-15 we see that the variance in the radiometric measurement is independent of target contrast, $\Delta R/R_m$, while the variance in measurement of bar width (Figure 6-16) and location (Figure 6-17) both depend upon this contrast. If these data are presented on a plot of \(\log(\frac{1}{M^{-1}_{11}})\) versus \(\log(\Delta R/R_m)\) we find that both terms have the inverse square dependence on contrast described earlier in connection with the variance in locating edges. We note that the variance in target width measurement is an order of magnitude larger than the variance of the location measurement and is about twice the variance in edge location (Figure 6-11). The later result indicates that target width measurement is equivalent to two independent edge location measurements for sufficiently wide targets.

6.2.3 Delta Function Target Results

In order to obtain an estimate of nominal system "resolution", two parameter targets consisting of two narrow bars on a constant background were used. Signal trace plots of these results are shown in Appendix C, pages 279 through 283. The two bars are no longer distinguishable at a separation of 25 micrometers which corresponds to a distance of about 84 meters on the ground in agreement with the results from the square bar targets presented above.

6.3 Effect of Off Nominal NDPF Element Performance

The effect of variation in performance of the NDPF subsystem elements is now considered for the nominal (Handbook nonlinearity data) NDPF system.

76
6.3.1 Electronics

The spread functions for the EBR Control Electronics and for the EBR electronics are practically delta-functions. They are unlikely to vary and a great change would be required before the signal was affected. These two spread functions were therefore not varied in the sensitivity analysis.

The D/A conversion spread function is defined by the type of device used. Small variations in its shape are not likely to occur; either it works or it doesn't. The same holds for the Bessel filter. Variation in the performance of these two devices is therefore not examined here. However, both devices are related to the payload performance—if a new, higher performance sensor were used in the future, both devices would also be redesigned. In this sense, they can be considered part of the payload. The effect of higher payload performance on product quality will be examined later.

6.3.2 EBR Beam

The EBR beam can change shape due to variations in the electron optics, misalignment, contamination, etc. The effect of EBR beam width was examined. The set of test edges (see page 66) was run for EBR beam spread functions 20%, 50%, and 100% wider than nominal shown in Figure 6-3a. The off nominal EBR spread functions are shown in Figure 6-18.

The effect of EBR beam width increase on $\sigma_1^2$ and $\sigma_2^2$ is shown in Figure 6-19a. The effect on $\sigma_3^2$ is shown in Figure 6-19b. The graphs represent the data for all twelve test edges; the variation among the targets is shown by the vertical bar.
Figure 6-18 OFF NOMINAL EBR BEAM SPREAD FUNCTIONS

20% OFF NOMINAL (a)

50% OFF NOMINAL (b)

100% OFF NOMINAL (c)
Figure 6-19  EFFECT OF EBR BEAM SPREAD FUNCTION INCREASE ON LOCATION AND RADIOMETRIC ERROR
The variation in $\sigma_3^2$ is the largest. However, the standard deviation in edge location estimation for a 100% EBR beam width increase is only ten percent larger than the nominal value. This insensitivity indicates that aberrations causing asymmetry of the beams and also minor beam misalignment need not be monitored. The monitoring procedure need only be capable of detecting gross changes in the beam width or alternatively in the EBR OTF.

6.3.3 Film-Printer Spread Functions

The sensitivity of the estimation errors to changes in the film-printer spread functions was next examined. The edge target set was propagated through the system for 20%, 50% and 100% increase in each of the three film stages (archival film, second or third generation printer-film). Figure 6-20 shows plots of the three off nominal film or film-printer spread functions. The effects on the estimation errors were identical regardless of which of the three elements were degraded. The results for all twelve edges are shown in Figure 6-21a and b. The estimation errors have very low sensitivity to the film element's performance.

The performance of the three film/film-printer system elements were next all made off nominal at once in the 20%, 50% and 100% amounts. The results for the twelve test edges are shown in Figure 6-22a and b. The critical position estimation error parameter, $\sigma_3^2$ exhibits only a 20% increase over the nominal value when all three spread functions at once are 100% too wide. A 20% increase in the variance of course corresponds to only a 10% increase in the standard deviation $\sigma_3$. 
Figure 6:20 OFF NOMINAL FILM/FILM-PRINTER SPREAD FUNCTIONS
Figure 6.21 EFFECT OF ONE OFF NOMINAL FILM OR FILM-PRINTER SPREAD FUNCTION ON ESTIMATION ERRORS
Figure 6-22  EFFECT OF HAVING ALL FILM OR FILM-PRINTER SPREAD FUNCTIONS SIMULTANEOUSLY OFF NOMINAL IN EQUAL AMOUNTS
6.3.4 **EBR and Film/Film-Printer Spread Functions Off Nominal Simultaneously**

The film and film-printer spread functions were considered in combination with a 20% off nominal EBR spread function. The 20% value was chosen simply because it is quite gross and would be easy to detect but nonetheless not so gross a degradation as to be totally unlikely to occur. The results of the computation for 20%, 50% and 100% width increase of a single film-printer spread function are shown in Figure 6-23. Comparison of these data with the preceding shows little difference. Thus to hold a reasonably coarse tolerance an EBR performance does not preclude the even coarser tolerances indicated for the film/film-printer spread functions.

6.3.5 **Film Development - H-D Curve**

The following target edges were generated in the computations discussed in this subsection.

<table>
<thead>
<tr>
<th>Designation No.</th>
<th>$A_1$ (normalized radiance level)</th>
<th>$A_2$ (normalized radiance level)</th>
<th>$A_3$ (edge location)</th>
</tr>
</thead>
<tbody>
<tr>
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<td>.2</td>
<td>50.</td>
</tr>
<tr>
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<td>.8</td>
<td>&quot;</td>
</tr>
<tr>
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<tr>
<td>4</td>
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<td>.8</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>$\Delta R/R_m$</th>
<th>Designation No.</th>
</tr>
</thead>
<tbody>
<tr>
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<td>1</td>
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<tr>
<td>.15</td>
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<td>.45</td>
<td>3</td>
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</table>
Figure 6.23

EFFECT OF HAVING EBR 20% OFF NOMINAL AND ONE FILM-PRINTER OFF NOMINAL SIMULTANEOUSLY

\[ \frac{\sigma_1^2}{\sigma_1^2(\text{NOMINAL})} \]

FRACTIONAL EDGE LOCATION VARIANCE

\[ \frac{\sigma_3^2}{\sigma_3^2(\text{NOMINAL})} \]

FRACTIONAL RADIANCE LEVEL VARIANCE

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PERCENT SPREAD FUNCTION WIDTH INCREASE

- 100
- 50
- 20
- 10
- 0

PERCENT SPREAD FUNCTION WIDTH INCREASE

- 100
- 50
- 20
- 10
- 0
The processing $\gamma$ of each development process was varied and the change in variances computed.

**First Generation**

The set of H-D curves used is shown in Figure 6-24. Nominally $\gamma_1 = 2.0$. Computations were performed for off nominal $\gamma_1 = 1.8, 1.9, 2.1$ and 2.2. The results are shown in Figure 6-25.

Note: 1) The very large sensitivity to $\gamma$ compared to the preceding spread function results.

2) The difference in sensitivity of each target edge to the processing changes. The system begins to exhibit nonlinear response for small departures from nominal $\gamma$.

3) Edges 2 and 4 which both have $A_2 = .8$ have rapidly increasing estimation errors for $\gamma > 2.1$. The increased $\gamma$ evidently causes saturation and clipping at this radiance level.

4) Increasing $\gamma$ actually decreases the estimation error to some extent before the catastrophic increase for the high radiance level targets occurs.

**Second Generation**

Nominal second generation processing is to $\gamma_2 = 1.0$. Computations were performed for $\gamma_2 = .8, .9, 1.1, \text{ and } 1.2$. Results are shown in Figure 6-26. Sensitivities are very high for all targets. From the $\sigma_i^2$ sensitivities, it is clear that the high radiance values are being forced into saturation and are clipping. Consideration of the $\sigma_j^2$ sensitivities indicates that the only target with relative insensitivity to $\gamma_2$ is target number one with normalized radiance values .25 and .2. Target number four which has the highest radiance level is most sensitive to an increase in $\gamma_2$. The graphs show that it is critical to hold this processing element as close to the nominal value as possible.
Figure 6-24  FIRST GENERATION H-D CURVES
Figure 6-25  EFFECT OF OFF NOMINAL FIRST GENERATION PROCESSING $\gamma$
Figure 6-26: EFFECT OF SECOND GENERATION PROCESSING $\gamma$
Third Generation

Nominal third generation processing is to \( J' = 1.0 \). Computations were performed for \( J' = 0.8, 0.9, 1.1 \), and 1.2. Results are shown in Figure 6-27. Sensitivities are very high for all targets as noted for the second generation processing.

6.3.6 Measured Nonlinearity Data

The simulation was run using the H-D curves measured from NDPF samples discussed in Section 6.1 and the set of twelve edges defined in Table 6-2. Using the data obtained from the fifteen step calibration grey scale on an actual frame of imagery yielded the plot of edge position estimation error shown in Figures 6-28 and 6-29. Note that the data points lie quite close to the nominal results. The spread of estimation errors at each \( \frac{\Delta R}{R_m} \) value indicates that the system is not linear - the estimation error depends on the absolute \( A_1, A_2 \) values as well as \( |A_1 - A_2| \). There is no evidence that the frames examined are typical NDPF products.

The twenty-one step quality control grey scale H-D data was also used. For this data, the system exhibited extreme nonlinearity which resulted in severe clipping. Figure 6-30 is a plot of edge position estimation error versus \( \frac{\Delta R}{R_m} \). It is included to dramatize the effect processing control can have on the image quality. As the 21 step grey scale data are inconsistent with both the Handbook (nominal) and the measured 15 step grey scale data, it is likely not typical of NDPF performance.
Figure 6-27  EFFECT OF THIRD GENERATION PROCESSING Υ
Figure 6-28 VARIATION OF EDGE POSITION ESTIMATION ERROR WITH EDGE CONTRAST-MEASURED (15 STEP) NONLINEARITY DATA
X'S ARE COMPUTED POINTS, NUMBERS DESIGNATE TARGETS

SOLID LINE IS CURVE OBTAINED USING HANDBOOK NONLINEARITY DATA

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Figure 6-29 LOG-LOG PLOT OF FIGURE 6-28
Figure 6-30  VARIATION OF EDGE POSITION ESTIMATION ERROR WITH EDGE CONTRAST- MEASURED NONLINEARITY DATA (21 SPOTS)
6.4 **RBV Output Product Quality**

Since the RBV sensor has been inoperable during the ERTS-A mission, the RBV configuration NDPF performance was not as thoroughly examined as for the MSS. Referring back to Figure 6-1, we see that the RBV spread function is somewhat worse than the MSS spread function. However, when the D/A conversion and Bessel filter operations are added to the MSS sensor performance, the RBV input signal, which is analog to begin with, has somewhat better frequency content than the MSS input signal. Consequently, the estimation errors for the RBV products would be slightly smaller than those for the MSS presented above. In addition, the sensitivity of the estimation errors to changes in the EBR and film-printer spread function would be somewhat greater. But since these sensitivities are so low and the difference between the RBV and MSS analog inputs so small, repetition of the computations for the RBV was not required. It is concluded that quality control procedures adequate for the MSS products are adequate for the RBV products as well.

6.5 **Effect of Better Sensor Performance**

It was desired by NASA to obtain an estimate on the improvement in image quality that might be obtained with better sensor performance (Figure 6-31). It is emphasized that use of a better payload would require reexamination of two approximations valid for the current system:

1. The assumption that $N_0$ remains constant with small changes in subsystem element performance becomes invalid when the processing element spread functions are not narrow compared to the sensor spread function.

2. The linearity of the contact printing process is questionable for imagery with higher cutoff frequency than that imposed by current sensors.
Figure 6-31  HYPOTHETICAL IMPROVED SENSOR SPREAD FUNCTIONS
If the assumptions were to remain valid, and the sensor performance were improved by factors of two and four, the edge position estimation errors would improve as shown in Figure 6-32. The RBV spread function was used to obtain these data in order to avoid having to change the D/A converter and Bessel filter spread functions together with the MSS sensor. Clearly doubling the sensor "resolution" does not reduce the variance by a factor of two.

We believe that the first assumption could be invalid for the improved cases considered. However, the technique is still valid: the $N_0$ term would simply have to be computed as a function of element performance as well, which involves slightly more time, but involves no conceptual difficulties. If the payload spread function becomes close to processing element spread function sizes, it may be necessary to use a two dimensional simulation in order to correctly determine the effect of element performance on the two dimensional noise field.

Should the contact printer linearity become invalid, a better mathematical model for that physical process than currently exists will have to be found.

6.6 **Absolute Performance**

To relate the relative measurements considered thus far to absolute estimation errors requires determination of $N_0$. A reliable estimate of the sensor noise level was not available, and, consequently, the following "absolute" performance calculations are for illustration only. It is clear from Section 3.3 that $N_0$ depends on the aperture used in the measurements. The long, narrow slit approximation will be used here for illustration. For the current ERTS system, this approximation applies to slits narrower than seven micrometers.
Figure 6-32  HYPOTHETICAL EFFECT OF IMPROVED SENSOR PERFORMANCE ON EDGE POSITION ESTIMATION
The values for $\sigma_T^2 A_A$ presented earlier in Figure 6-7 for the "copy film" were substituted into Equation 3-21 to compute $N_0$ for slit lengths of 30, 150 and 300 micrometers. This corresponds to targets about 100, 500 and 1000 meters long on the ground. The resulting $N_0$ values are shown in Figure 6-33. These data were combined with the results for the bar target radiance level (Figure 6-15) and bar width (Figure 6-16) using Equation 3-2 to obtain the absolute percentage errors for the three lowest contrast targets. The high contrast targets were excluded to permit the use of an $N_0$ value at the average signal level (transmittance) of the target and avoid the dependence of the noise upon signal level. The resulting percentage errors are shown in Figure 6-34 as a function of the width of the target in meters on the ground for a target 500 meters long. Both the radiance and width estimation errors decreases rapidly with increasing target width as would be expected. The independence of $M^{-1}_{22}$ on target contrast, $\Delta R/R_m$, combined with the increase of noise power with radiance or transmittance cause the percentage error in radiance estimation to increase rather than decrease with contrast or radiance level. The width estimation error, on the other hand, decreases with increasing contrast. The reader is reminded that these error estimates assume optimal data use and that their accuracy depends directly upon the accuracy of the system element performance descriptors used as input to the IDSS Program.

6.7 **Geometric Mapping Error**

The positional estimation errors calculated apply to local measurements. For measurements across the frame format, geometric mapping error must be added. No new work was done in this study to assess the magnitude of this error. Residual errors remaining after application of correction algorithms currently employed by the NDPF are summarized in Appendix A.
Figure 6-33 NOMINAL WHITE NOISE POWER DENSITY
Figure 6-34  TYPICAL VALUES FOR PERCENTAGE ERROR IN TARGET WIDTH AND RADIANCE MEASUREMENT FOR A RECTANGULAR TARGET 500 METERS IN LENGTH.
6.8 Radiometric Estimation Error and Calibration

The radiance estimation errors calculated yield only the local error and must be added to the large scale error. Algorithms for correction of non-uniform sensor sensitivity are currently used in the NDPF. They are summarized in Appendix A along with the residual errors.

To determine the target radiance level users must relate output product transmission to ground radiance by removing the effects of the atmosphere and contamination of sensor optics. For the past several years we have been developing techniques to calibrate the transmittance of aerial photographs in terms of ground reflectance. We examined the application of these techniques to some typical ERTS imagery and found that they might be successfully applied.

The calibration techniques use analyses of scene shadow areas to establish the relationship between sensor irradiance and ground reflectance. Very briefly, a linear relationship exists between the radiance just inside and outside a shadow. The parameters of this relationship are related to the component of radiance due to atmospheric scattering or flare and to the ratio of sunlight to skylight irradiance. Thus, the relationship between sensor irradiance and scene radiance or reflectance can be established by measuring sunlight and shadow radiance for a set of shadows and determining the parameters of the fit to the data set. The shadow calibration techniques require no atmospheric modeling (and consequent model parameter measurement); rather, the calibration proceeds entirely from the sensor record of shadow elements within the scene to determine the atmospheric component of radiance.

As a result, a calibrated sensor record is obtained in which sensor response is directly proportional to scene reflectance. Absolute calibration requires knowledge of only one element in the scene,

similar to laboratory use of a MgO standard or its equivalent. It should be noted that the calibration process can be automated so that data tapes are immediately corrected for the effects.

Our previous analyses have been at sufficiently large image scales that shadows from buildings and boulders could be utilized. The scale and resolution of ERTS imagery preclude use of such shadows; however, we have found that shadows on terrain with large relief and certain cloud shadows can be used to calibrate ERTS imagery successfully.

The first step in the calibration procedure is to reduce the measured film densities or sensor voltages to relative exposure or radiance by means of a D-log E or instrument response curve. Analyses then proceed from these relative exposure values. It is important to note that it is not necessary to have a relationship between sensor response and absolute exposure. All analyses can proceed from a relative exposure relationship; hence, the problems currently existing with the MSS mirrors (contamination) have no effect on the calibration process.

The resultant exposures are dependent upon meteorological conditions, altitude of measurement and illumination conditions such as proportion of sunlight to skylight and the amount of "airlight" (the contribution to exposure by illumination scattered to the sensor by the atmosphere beneath the satellite). These effects are depicted in Figure 6-35.

All of these effects can be approximately coupled into three parameters for a given spectral band: \( \alpha, \alpha', \) and \( \beta \). The parameter \( \alpha \) is proportional to atmospheric transmittance and total (sunlight + skylight) irradiance; \( \alpha' \) is proportional to atmospheric transmittance and skylight irradiance; and \( \beta \) is proportional to the amount of air light in the scene. The exposure in sunlight, \( E \), for an object with reflectance \( R \) is
Figure 6-35  ATMOSPHERIC AND ILLUMINATION EFFECTS INVOLVED IN ESTABLISHING EXPOSURE OF A TERRAIN ELEMENT
\[ E = \alpha R + \beta, \quad (6-1) \]

while the exposure of the same object in shadow, \( E' \), is

\[ E' = \alpha' R + \beta \quad (6-2) \]

Measurements of terrain reflectance thus requires knowledge of \( \alpha, \alpha' \) and \( \beta \) in each sensor spectral band. These parameters can be determined in a straightforward manner using a shadow calibration procedure called the Scene color Standard (SCS) technique.

Calibration is accomplished by densitometry of the illumination discontinuities at shadow edges. It is convenient to write \( \alpha = \sigma + \alpha' \) with \( \sigma \) a term proportional to solar irradiance only. The discontinuity measured at shadow edges on two different terrain elements then determines \( \beta \) and \( \sigma/\alpha' \) as follows.

In the sunlight just outside a shadow the exposure, \( E \), is

\[ E = (\sigma + \alpha') R + \beta \quad (6-3) \]

The \( R \) is the terrain reflectance. Just inside the shadow the exposure \( E' \) is given by Eq. (6-2). Eqs. (6-2) and (6-3) yield

\[ E = (1 + \frac{\sigma}{\alpha'}) E' - \beta \frac{\sigma}{\alpha'} \quad (6-4) \]

Eq. (6-4) is a linear relationship between \( E \) and \( E' \) with slope \( (1 + \frac{\sigma}{\alpha'}) \) and intercept \(-\beta \frac{\sigma}{\alpha'}\). Two similarly oriented shadows determine the slope and intercept, and hence \( \beta \) and \( \frac{\sigma}{\alpha'} \). In practice a number of shadows are analyzed, and a least squares fit is made to the data.

The atmospheric conditions have now been determined. One aspect remains -- that of establishing an absolute level of reflectance, akin to laboratory use of a MgO standard or its equivalent. A tar or sheet asphalt scene element in sunlight (roadway, roof) is usually used to establish the value of \( \alpha \) and complete the calibration.
These elements are used as: (1) their reflectances are spectrally flat; (2) their reflectance remains constant to good approximation over the year; and (3) their reflectance can be easily estimated or measured. Other objects more appropriate for a particular image can, of course, be used.

The SCS technique was applied to several frames of ERTS-A imagery. An example of calibration using terrain shadows is given in Part a of Figure 6-36, a print of Band 6 (IR) ERTS MSS image of Antarctica taken 14 February 1973. Some typical shadows used for calibration are depicted by arrows on the figure. About twelve shadows were used in the calibration, although easily fifty shadows could be found and so utilized. The reflectance of the snow was established at 70% and used as the standard in this case.

Figure 6-37 displays the linear fit to sunlight and shadow exposures for the Antarctica scene. The parameters beta and illumination ratio show that the atmospheric component of radiance at the satellite is equivalent to a 7% scene reflector, and that the ratio of sunlight to skylight irradiance is 3.2. Equivalent atmospheric flare of 7% means that a perfectly black object (zero reflectance) would appear to be a 7% reflector, a true 5% reflector would appear as a 12% reflector, etc.

The snow covered areas in the scene are regions of 70% reflectance. Even for these bright areas, 10% of the scene radiance is due to atmospheric flare. The rocky peaks are measured from the ERTS image to have a 17% reflectance so that over 40% of the apparent radiance is due to atmospheric flare. ERTS investigators using the multispectral characteristics of scene objects clearly must take great care to remove the effects of atmospheric flare from their data before analyses.
Figure 6-36 ERTS MSS IMAGERY USED TO DEMONSTRATE RADIOMETRIC CALIBRATION
Figure 6-37  RELATIONSHIP BETWEEN SENSOR RADIANCE IN SUN AND SHADOW FOR BAND 6 OF ANTARTICA SCENE
ILLUMINATION RATIO = 0.88
BETA = 0.9

Figure 6-38 RELATIONSHIP BETWEEN SENSOR RADIANCE IN SUN AND SHADOW FOR BAND 4 OF LAKE ONTARIO SCENE

ORIGINAL PAGE IS OF POOR QUALITY
SECTION 7
CONCLUSIONS AND RECOMMENDATIONS

In order to predict image data system performance and evaluate product quality a new technique was developed, implemented and demonstrated using the NASA Data Processing Facility (NDPF). The technique has wide potential application, not only in quality control, but in system design and performance prediction.

Quality was defined as the limiting accuracy in estimating information from an output product in terms of the Cramer-Rao error bound. An Image Data System Simulation (IDSS) software program was written to compute this error bound in terms of accepted system element performance descriptors. The development and implementation of this technique are major results of the study. Insights into quality control requirements for the NDPF are also among the significant results.

7.1 NDPF Quality Control Requirements

As a result of the application of the IDSS program to the NDPF requirements for quality control were reviewed. These requirements are discussed below in terms of OTF monitoring and photographic processing control.

7.1.1 OTF Monitoring

The sensitivities of estimation errors to changes in spread function widths have been shown to be quite small. Changes of fifty percent are possibly tolerable, changes of twenty percent are certainly tolerable. Since the increase in spread function width is manifested in frequency space as an equal fractional decrease in OTF cutoff frequency, rough methods for detecting changes in cutoff frequency are adequate. Resolution targets, accepting the variability inherent in the human element, can be used and are recommended because of the speed of diagnosis and relatively simple operator task involved.
In addition, an edge gradient spectrum computation program has been written (Appendix D) and can be used in diagnosis. Edge target signals can be generated electronically and used as input to the EBR. These targets can be measured on an output (3rd generation) product to define the quasi-linear region of signal input as demonstrated in Section 6.2. Under nominal conditions the NDPF is linear over a signal range at least from 0.2 to 0.8 normalized radiance. Measurements of these targets on intermediate products will permit the assessment of the frequency degradation for each stage.

If the system is constrained to nominal (Handbook) performance, the edge gradient procedure can also be applied to traces of ground objects to yield the overall system frequency response. When the system behaves linearly, changes in the sensor OTF can thus be remotely monitored.

Most of the spatial frequency or resolution degradation occurs prior to production of the archival copy, i.e. at the spacecraft sensors. Improvement in sensor OTF will produce an improvement in product quality. With improved sensor performance, the need to monitor system OTF will become more significant.

7.1.2 Photographic Processing Control

The simulation results showed the estimation errors to be highly sensitive to changes in H-D curve \( \gamma \). Deviations of less than 10% in \( \gamma \) decreased product "quality" by a factor of two. The shape of the individual processing element H-D curves must therefore be as tightly controlled as is possible. Current quality control procedures measure the H-D curves and routinely perform a polynomial fit to the measured data. However, the entire curve is not observed, rather the values of only certain selected points are checked.
It is recommended that the complete curve be monitored. A graphics output could be attached to the computer which currently performs the polynomial fit, or if that is not feasible, a programmable desk calculator with graphic output could be substituted for the computer in this application. In any event, the results show that it is essential that the entire H-D curve be constrained to remain within tight bounds if the loss in quality and departure from linearity is to be avoided.

It would also be useful to monitor composite \( \mathcal{P} \); that is, to plot third generation density against the log of the EBR input signal. Measurements could be made on the fifteen step calibration grey scale. The composite \( \mathcal{P} \) should, of course, equal minus one, and the shape of the curve should be very nearly a straight line over the input signal range. Since the input signal that generates the grey scale does not change with time, it would only be necessary to measure third generation density. Plotting and mathematical processing could easily be accomplished with a programmable desk calculator equipped with a plotter attachment.

7.2 Potential Radiometric Calibration Procedure

Output product transmission cannot be related to ground reflectance correctly unless atmospheric and payload optics contamination scattering effects can be compensated. The SCS technique described in Section 6.8 could be applied, either by the user, or perhaps at the NDPF to obtain relative reflectance values from transmission measurements. A reflectance standard on the ground would be required to relate the relative scale thus obtained to absolute values. The precision with which this technique can be applied to ERTS imagery has not been fully established, and additional empirical work would be required before an estimate of the error in ground reflectance can be given.
7.3 Comments on User "Optimality"

The variances given by the Cramer-Rao bound as applied to the ERTS NDPF quality control problem characterize the estimation error that would be obtained by using an optimal information extraction procedure. It is likely that the errors actually obtained by most users will not be as small as these.

The term "optimal" has been used in a strict communication theoretical sense. That the user's equipment is working properly, he uses the calibration grey scale properly, etc. is taken for granted. It is implicit that the user has a priori knowledge of the type of object he intends to observe and that he has knowledge of the system performance, and noise spectrum. Unless his detection technique makes use of this knowledge, the estimation errors predicted by the Cramer-Rao bound will not be achieved.

7.4 NDPF Nominal Product Quality

The IDSS program was used to evaluate the nominal quality of the NDPF 3rd generation imagery, a primary ERTS product. The quality assessment summarized here depends on the absolute accuracy of the data base supplied as hypothesis by NASA. Although we cannot stipulate the accuracy of that data, we state the product quality deduced for completeness. Quality was measured in terms of the limiting errors in estimating target apparent radiance, size and location. The percentage error in both the metric and radiometric measurements exhibited the expected variation with target size showing a rapid increase for widths less than 120 meters. For widths greater than 120 meters the target size measurement errors varied from 2% to 30% and target apparent radiance measurement errors from 0.5% to 3.5% depending upon target size and contrast. The radiometric accuracy due to large scale effects (e.g. EBR non-uniformity, printer shading, film sensitivity variations, etc.) is
stated to be 5% in the Data Users Handbook. Thus the ability to measure the transmittance of the photographic product and knowledge of the transmittance to apparent radiance calibration curve have about the same magnitude errors. For the larger targets the imprecise knowledge of the calibration curve could limit the radiometric accuracy if the user employs near "optimum" measurement techniques.

7.5 **Recommendations**

As a result of this study the following recommendations are made.

1. More complete monitoring of the NDPF film processing functions should be developed.

2. The use of the shadow-sunlight measurement technique for radiometrically calibrating ERTS imagery should be studied to establish its utility and accuracy.

3. Application of the technique developed under this study to other image data system design/analysis problems ought to be considered.
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3. The paragraph in which reference 3 appeared has been subsequently deleted.


19. ERTM-H-81, "Bulk Processing Modulation Transfer Function".


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120


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126


APPENDIX A
BACKGROUND INFORMATION

To provide background information for this study a number of surveys were performed at its onset. These included a detailed survey of the NASA Data Processing Facility (NDPF) operations to identify the image data flow, radiometric and geometric correction procedures, element performance specifications and quality control procedures. A survey of the state-of-the-art in image quality analysis and evaluation was made to identify potential measures of product quality and element performance descriptors. Finally a review of users' requirements for product quality was made. The information obtained from these surveys is contained in this appendix and summarized in the main body of this report.

A.1 NDPF DESCRIPTION

The information on NDPF operations was obtained from on-site visits, discussions with NASA personnel, NASA furnished documentation and the Data Users Handbook.

A.1.1 SUBSYSTEM ELEMENTS AND DATA FLOW

The initial task undertaken was identification of the NDPF subsystem elements and data flow. The only information source available initially was the NASA ERTS Data User's Handbook. Subsequently, a conference was held at Goddard SFC for the purpose of obtaining fundamental information for the study. In addition to the verbal information, copies of a number of NASA documents and Bendix Corp. technical memos were obtained. The information contained in some of these documents was not necessarily current. The User's Handbook on the other hand is kept up to date; consequently, that documents plus verbal information received at the technical conference served as the major quantitative information source during the first phase of this study. However, some of the data contained in the Handbook is clearly idealized. The data were ultimately supplemented by measurements from ERTS data products.

Note: Superscript numbers refer to the References in Section A.4.
This survey was explicitly limited to consideration of the NDPF video to photographic output product conversion process. NDPF digital output products were excluded. The input to the NDPF is video tape recordings of telemetry from the ERTS payload. Payload and telemetry link elements are external to the NDPF. However, characterization of the video tape input will be found to enter the analysis of the NDPF per se and is given a priori.

A block diagram of the NDPF data flow is given in Figures A-1 to A-3. This block diagram served as a basis for system modeling. The following comments refer to the circled numbers in Figures A-1 to A-3.

The Return Beam Vidicon (RBV) consists of three boresighted RCA vidicon systems in three different spectral bands. Radiometric and geometric calibration capability exists in the payload.

Radiometric Calibration Images (RCI's) consisting of white, gray, and black constant field illumination are taken before and after each sequence of exposures (10-15 minute period). These images are processed in the NDPF as if they were real scenes and consequently yield a radiance map that can be fed back into the system for updating the radiometric correction. Currently, the NDPF assumes that the camera radiometric response is slowly varying, hence the feedback or updating is applied to the processing of subsequent ground image sets, not to processing of the set corresponding in time to the particular calibration images.

Geometric correction is provided by analysis of a reseau fixed at each of the vidicon faceplates. The reeseaus allow correction for geometric optical distortion and boresight errors. A record is being kept of individual camera relative position and distortion.
Figure A-1 NDPF DATA FLOW BLOCK DIAGRAM (PART 1)
Figure A-2  NDPF DATA FLOW BLOCK DIAGRAM (PART 2)
Figure A-3  NDPF DATA FLOW BLOCK DIAGRAM (PART 3)
The RBV telemetry \( \text{(2)} \) is analog with 3.5 mHz bandwidth. The signal to noise ratio on the videotape record is 12-13 db (Ref. 2). The three channels are exposed simultaneously, but broadcast sequentially. The band limit of the RBV videotape record is given in Figure A-4 (Ref. 1, p. A-5).

The Hughes Multispectral Scanner (MSS) \( \text{(3)} \) has four conjugate linear detector arrays, each in one spectral band. No geometric distortion is introduced by the instrument between the individual bands (registration), however a mapping error can be introduced. Two procedures take place in the payload for the purpose of radiometric calibration; a lamp provides a linear intensity wedge, and a pinhole image of the sun is passed over the array. The MSS telemetry \( \text{(4)} \) is digital with 16 MHz bandwidth. The error rate on the videotape is \( 1/10^5 \) (Ref. 2). The band limit of the MSS videotape record is given in Figure A-5 (Ref. 1 p. A-13). MSS data is D/A converted \( \text{(5)} \) in the playback operation. Radiometric corrections are applied in the digital domain before conversion.

The Bulk Image Annotation Tape (BIAT) \( \text{(6)} \) generates tick marks, denotes the scene, and provides input for geometric correction for payload attitude. Attitude data are provided for nine points north to south across each frame. The frame format is divided by a nine by nine grid, and attitude correction is linear over each sector (only translation and rotation operations line by line within each sector). Attitude correction is the only geometric correction applied to MSS data. Attitude correction for RBV data is only part of the total geometric correction.
NOTE: RESPONSE SHOWN IS FOR TYPICAL VALUES, MEASURED AT CENTER OF VIDICON, IN THE HORIZONTAL WITH NO APERTURE CORRECTION USING A HIGH CONTRAST TARGET.

Figure A-4  MEASURED RBV SYSTEM SQUARE WAVE RESPONSE
Figure A-5  MEASURED MSS SYSTEM SQUARE WAVE RESPONSE

NOTE: NOMINAL MULTIPLEXER OUTPUT: NO PROCESSING ERRORS

GROUND RESOLUTION METERS/BAR

SQUARE WAVE RESPONSE (%)
The Electron Beam Recorder Image Correction (EBRIC) tape provides input for radiometric and geometric correction of RBV imagery.

Radiometric correction data are derived from the Radiometric Calibration Images (RCIs) described in the comments on the RBV. The Bulk processed RCIs are taken to the Precision Processing Subsystem where EBRIC radiometric correction tapes are generated. These EBRIC tapes are subsequently applied to new Bulk imagery.

Geometric correction results from checking the reproduced reseaus in Precision. Comparison of the actual reseau coordinates with a priori knowledge results in generation of geometric correction coefficients for the EBRIC tape.

The only effect EBRIC tapes have on MSS imagery is correction for errors internal to the NDPF.

The Electron Beam Recorder (EBR) produces all archival latent images. The film type used is Kodak SO-438 (Ref. 2). A fifteen step gray scale is put on each image.

The first processor is a Kodak Versamat used only for processing archival images.

The quality control blocks (QC) consist of standard Kodak chemistry quality control plus the placing of a special target on the head and tail of each roll processed. The target consists of a gray scale,
five equal constant density patches over the format, and a standard Air
Force bar target in the frame center. The gray scale is read and a
Hurter-Driffield (H-D) curve fit to the data points. The density values
at two exposure levels are plotted and deviation from nominal values
used as a processing quality criterion. The constant density is read at
the five format positions to provide uniformity data. The Air Force
tri-bar target allows determination of on axis resolution.

The Bulk Enlarger ① is a modified Miller-Halzwarth

The Strip Printers ② are Kodak Colorado printers.

The processors ③ are Kodak Versamats and the paper processor ④ is likewise a standard Kodak device.

The selective printing process ⑤ is a hand
operation. Three Mark III printers are employed.

Registration ⑥ of color composites is accomplished
by punching holes in the black and white transparencies and fitting these
holes on locating pegs in the special Bendix Color Composite Printer ⑦. Registration accuracy is one pixel.

The color processing is done in a Kodak 1811 Versamat ⑧.

Ground control points ⑨ are provided by film chips cut
from a contact print of a master plate.
The Viewer/Scanner, Video Processor, and Scanner Printer are analog devices. The Viewer/Scanner image is digitized and the correlation and fit takes place in the digital domain. The Precision Processing Subsystem generates either precision images or EBRIC tapes. No radiometric correction is performed on products in precision. The system is expected to meet geometric specifications but not radiometric specifications (due to the optical transfer functions of the various devices). Accuracy within each precision processed frame is two hundred feet with respect to ground control points. Absolute ground location accuracy is not specified.

The annotation tapes provide tick marks and annotation for Universal Transverse Mercator (UTM) projection.

Input to precision results from cutting out selected second generation negatives, pasting them to a plastic sheet, and contact printing to produce a third generation positive.

The photographic processing subsystems have a capability (\(\Delta D = \text{photographic density error}\)) but this precision inhibits throughput. Processing to \(\gamma = -1\) is sought for the system as a whole (including the EBR as well). All film (except in the EBR) is Kodak SO-467. Chemistry is Kodak 641 or 2420.

A.1.2 BULK PRODUCT GEOMETRIC AND RADIOMETRIC CORRECTIONS

The ERTS Data Users Handbook (Ref. 1) discusses system performance including output product geometric and radiometric accuracy. Two types of geometric accuracy are quantified; mapping accuracy and spatial registration accuracy. The error sources are classified into three categories; errors external to the sensor, internal sensor errors and processing errors. Table A-1 summarizes the external and internal errors for both the RBV and MSS sensors. These
TABLE A-1 GEOMETRIC ERROR SOURCES

<table>
<thead>
<tr>
<th>ERROR</th>
<th>RBV</th>
<th>MSS</th>
</tr>
</thead>
<tbody>
<tr>
<td>External</td>
<td>723</td>
<td>710</td>
</tr>
<tr>
<td>Internal</td>
<td>1355</td>
<td>26</td>
</tr>
<tr>
<td>Net-NDPF Input</td>
<td>1536</td>
<td>710</td>
</tr>
<tr>
<td>Bulk Product-Mapping</td>
<td>766</td>
<td>743</td>
</tr>
<tr>
<td>Bulk Product-Registration</td>
<td>336</td>
<td>159</td>
</tr>
</tbody>
</table>

combine to yield the net error in the input signal to the NDPF. During the input video-to-film conversion corrections are applied to reduce the resulting error in the bulk image products. These corrections are partially negated by degradations introduced by the NDPF. In addition, the NDPF does not attempt to correct for all of the input error sources; specifically external sources including exposure time spacecraft ephemeris and altitude errors are not corrected. Figure A-6 presents schematics of the image correction procedures for both the RBV and MSS sensors. The net mapping and registration rms errors in the bulk film products after correction are also included in Table A-1.

RBV radiometric calibration is accomplished through the EBRIC tape which contains the EBR beam adjustment information. These data are derived from two sources: 1) the pre-flight RBV radiance mapping and 2) the on-board erase lamps which produce in-flight Radiometric Calibration Images (RCI's). The radiometric error in bulk processed RBV imagery is 9% of full scale sensor voltage. The equivalent error in radiance varies with level because RBV voltage is not linearly proportional to apparent radiance.
Figure A-6  BULK PROCESSING IMAGE CORRECTION SCHEMATIC
MSS radiometric calibration is accomplished through an internal radiance source and sun scans. The in-flight internal calibration data are obtained every MSS scan and it is used to obtain the optimum linear relationship between radiance in and voltage out for each detector. The internal calibration source was initially measured on the ground. Long term drift in the absolute radiance calibration is corrected through periodic sun scans. The MSS radiometric error in bulk processed MSS imagery is 5% of full scale sensor count or apparent radiance.

A.2 SURVEY OF GENERAL USERS' REQUIREMENTS

That the image quality characterization resulting from this study contain sufficient information for users to determine the adequacy of ERTS imagery for individual needs is a necessary condition. Users' ability to define "image quality" is not established and completeness of an image quality characterization based on users requirements would not be expected. But a general understanding, at least, of what tasks users of ERTS imagery would like to accomplish is certainly required if the "quality" which is controlled is to have relevance.

A literature search was consequently conducted. A large variety of journal articles and symposium proceedings were sampled to survey aerial and satellite imagery users' activities and needs. (Those not referenced in the text are included in the bibliography.) In many instances the user's requirements were stated or implied only in general terms. Other cases provided specific, but qualitative, definitions of image quality parameters. Finally, a few authors stated specific, quantitative, image quality requirements.

It should be noted that some of the papers concern aerial remote sensing, with its inherent capability for image resolution superior to that of ERTS imagery; thus they cannot be directly applied to
ERTS data user requirements. They do, however, provide additional background on the use of remote sensing in the field discussed.

The surveyed articles were grouped into broad classes: land use studies, vegetation and crop studies, geology and geography, oceanography and hydrology, and oil pollution surveys. User requirements as deduced from the articles listed in the bibliography will be discussed class by class.

A.2.1 Land Use Studies

More articles on land use surveys were available than on any of the other topics. In addition, this class of articles contained some of the most specific and quantitative statements of user image quality requirements. In one article concerning a study performed to determine the effects of various environments on the levels and types of information retrieved from orbital-acquired (Gemini and Apollo) imagery, the authors employed a technique of placing an artificial grid of resolution cells over the image and then counting the number of image elements in that cell. They concluded that for land use mapping the minimum resolution requirement is that at least 50% of the cells contain only one element. In terms of ground resolved distance (GRD) they stated:

<table>
<thead>
<tr>
<th>Level of interpretation</th>
<th>GRD</th>
</tr>
</thead>
<tbody>
<tr>
<td>General identification of terrain</td>
<td>300 ft.</td>
</tr>
<tr>
<td>Precise identification</td>
<td>15 ft.</td>
</tr>
<tr>
<td>Description</td>
<td>5 ft.</td>
</tr>
</tbody>
</table>

Another study with a similar purpose discussed space photographs simulated by artificially reducing the resolution of aerial photographs by various degrees. The photos were then subjected to human photo-

143
The results indicated that simulated ERTS data (GRD of 250 ft.) contain sufficient information to allow an interpreter to discriminate among woody vegetation, grassland and water bodies. For more detailed information such as species identification of the woody vegetation, the imagery must have a ground resolved distance of 50 feet which is beyond current ERTS capability. A third study supports the above results with its own conclusion that ERTS is most useful for broad land use mapping in regions such as the Great Plains which is dominated by large blocks of natural categories. Additional articles in this area discuss high resolution aerial imagery or land use classification schemes.

A.2.2 Geology and Geography

Another area for potential ERTS data users is that of geology and geography. An example of a remote sensing project where both the radiance and size of a small object is required in given by Vincent and Thomson. Silicate concentration in rocks is estimated to within 14% using a technique that relies on the SiO$_2$ concentration dependence of the shape of the emissivity spectrum of silicate rocks. Radiometric fidelity of 1$\mu$m in wavelength is required. A resolution cell of less than 100 ft. is preferred, but the authors feel this requirement can be reduced through the use of visible and near IR sensors. Another paper compares aerial and space-acquired (Gemini IV) imagery for use in geological mapping. The authors concluded that the space photography identification performance was good, but not equal to that of aerial photography because of reduced resolution on the space photographs.
A.2.3 Oceanography and Hydrology

Stevenson \(^{40}\) gives a list of specific qualitative image derived parameters as well as some quantitative estimates of the desired precision in these parameters. Cited as of interest to oceanographers are: ocean color, sea-surface roughness, sea-surface temperature, slope of the ocean surface and of significant waves, atmospheric profiles of temperature, moisture and CO\(_2\), and lunar magnitude of tide producing forces. Estimates of accuracy required in image quality are 100\(\AA\) in wavelength; 1\(^\circ\)C in temperatures; spatial resolutions of 10km\(^2\) for islands, coasts, and current boundaries, 500km\(^2\) for open oceans, 25km\(^2\) for ocean surface patterns, 5m for wave heights, and 100m for surface features and required repetition intervals of 24 hrs. near coasts, 5 days in the open ocean.

Hydrological data goals as given by Bock \(^{41}\) were stated in broad terms as: study of the hydrological cycle; ice and snow, saline, water pollution mapping; and coastal surveys.

A.2.4 Oil Pollution Surveys

Another field for potential users of ERTS data is oil pollution monitoring. Several articles have implications for the relevance of ERTS data to this field. Wobber \(^{42}\) suggest satellite coverage for use in monitoring open sea slicks, major coastal spills, and highly probable spill areas (pipelines, ports). Aerial coverage, however, is preferred for its speed and flexibility in covering a sudden oil spill. In addition, this and other articles place the useful region of the EM spectrum for oil pollution monitoring at visible blue to ultra-violet. Thermal infrared can also be useful if ground truth data are available.
A.2.5 Crops and Vegetation Monitoring

Crop disease surveys and vegetation mapping are another area where use of aerial remote sensing makes it a candidate for an ERTS data user. Philpotts discuss crop disease patterns revealed by moderate scale color IR photos.

A.2.6 Summary

Based on the literature sampled, no definition of "image quality" useful in accomplishing the study objectives can be drawn from the users. Surely, one might adopt the terms "radiometric fidelity", "geometric fidelity", "resolution", but these terms have different meanings to different people and are certainly not sufficiently well defined to provide a useful basis for quality control criteria. They are general terms which classify rather than specify the ability to make certain measurements on photographs.

One can look at the users' tasks just described once again however, and ask exactly what sort of measurements is each user making. The following seem to span the tasks:

1. How accurately can a boundary between different transmission levels be located on a photograph?
2. How well can the radiance, size, and location of small objects be measured?
3. How well can the separation between two objects or boundaries on a photograph be measured?
4. How well does that separation represent the separation on the earth?
5. How well can the transmission of a photograph be measured?
6. How is that transmission related to radiance at the earth?

Clearly, any working definition of "image quality" adequate for the task at hand must be capable of obtaining quantitative answers to such questions and must relate those answers to measurable properties of elements of the NDPF.

A.3 SYSTEM ELEMENT PERFORMANCE CHARACTERIZATION AND "IMAGE QUALITY"

This section is to delineate techniques commonly employed to characterize image processing system element performance and to predict the quality of the images produced. The purpose is to establish some concepts that will subsequently be used and to point out why some others are unsatisfactory for the study objective.

In order to ensure familiarity with the current state of the art, a literature search covering the period 1968 - date was undertaken. This section reflects the content of the resulting bibliography.

Four overlapping categories, optical transfer function, resolution, noise, and subjective image quality, provide convenient headings for discussion.

A.3.1 Optical Transfer Function

The optical transfer function has been shown to be a useful tool to characterize the performance of many image processing devices. Some techniques for its measurement are pointed out in this section.
The blur introduced by an optical system linear in intensity can be characterized by a "point spread function" \( s(\vec{x}) \) defined implicitly by the convolution:

\[
i(x) = o(x) \ast s(x)
\]

(A-1)

where: \( i(x) \) = image brightness, \( o(x) \) = object brightness, and \( x \) = position coordinates in plane orthogonal to optical axis. (\( \ast \) denotes convolution).

Thus:

\[
I(\vec{v}) = O(\vec{v}) \otimes \tau(\vec{v})
\]

(A-2)

where: \( I(\vec{v}) = i(\vec{x}) \), \( O(\vec{v}) = o(\vec{x}) \), \( \tau(\vec{v}) = s(\vec{x}) \), and \( \otimes \) denotes a Fourier transform.

\(|\tau(\vec{v})|\) is the optical transfer function (OTF). It is in general a two-dimensional, complex valued function. \(|\tau(\vec{v})|\) is the modulation transfer function (MTF). It is emphasized that the OTF is not a measure of image quality but merely the frequency response function of a linear device and consequently a measurable property of the performance of that device.

Application of the OTF concept to photo-optical systems requires linearization of the generally non-linear development process. Generally the OTF concept is applied to the object to exposure image transfer process.

Methods of measurement of the OTF of photo-optical systems are well-documented in the literature.\(^{44-47}\) Such measurement obviously requires use of object targets with known Fourier spectra. The three most commonly employed are considered here in brief.
1) **Sine Wave Targets** - Sine wave targets consist of objects whose brightness varies sinusoidally with distance (Figure A-7). The targets are photographed, image transmission is measured and transmission values are converted to exposure space through the Hurter-Driffield (H-D) curve. The ratio of the exposure contrast to the target contrast is the value of the MTF at the target spatial frequency. The phase component of the OTF cannot be measured unless a method of measuring the displacement of the image sine wave peaks from the optic axis (or other convenient datum) is provided.

\[
|\tau(\nu)| = \frac{\text{IMAGE CONTRAST}}{\text{TARGET CONTRAST}}
\]

![Figure A-7 SINE WAVE TARGETS](image)

2) **Square Wave Targets** - Square wave targets consist of objects whose brightness consists of a periodic discontinuous variation between brightness levels (Figure A-8a). When the widths of the two levels are the same, the targets can be used in the same manner as sine waves. The "square wave modulation" obtained is not the OTF. A relationship between square wave modulation and the modulus of the OTF is: \[48\]
\[ |\gamma(\nu)| = \frac{\pi}{4M_0} \left\{ S(\nu) + \frac{S(3\nu)}{3} - \frac{S(5\nu)}{5} + \ldots \right\} \]

\[ \gamma(\nu) = \frac{\text{IMAGE SPECTRUM}}{\text{TARGET SPECTRUM}} \]

Figure A-8 SQUARE WAVE TARGETS
\[ |\gamma(\nu)| = \frac{\pi}{M_0} \left\{ S'(\nu) + \frac{S(3\nu)}{3} - \frac{S(5\nu)}{5} + \ldots \right\} \]  

(A-3)

where \( M_0 \) is the target contrast and \( S(\nu) \) is the image square wave contrast.

Another use of square wave targets results from observing that a target consisting of a finite number of square bars of arbitrary width and spacing can be represented by an infinite periodic array of Dirac \( \delta \) functions convolved with a square pulse equal to the bar width and multiplied by a square pulse equal to the target width (Figure A-8b). The target spectrum is consequently given by an infinite periodic array of \( \delta \) functions convolved with the Sinc \( \left( \frac{\sin \pi \nu x}{\pi \nu x} \right) \) function corresponding to the target width and multiplied by the Sinc function corresponding to the bar width. The photographic image can be scanned with a microdensitometer, converted through the H-D curve to exposure space and Fourier transformed. The ratio of the calculated exposure image spectrum to the known target spectrum is the OTF.

3) Edge Targets - Knife edge targets consist of a step function in object brightness (Figure A-9). Since the derivative of a step function is a \( \delta \) function, the spectrum of the target gradient is a constant. Consequently, the OTF is given by the Fourier transform of the derivative of the exposure image of an edge obtained by scanning the photograph with a microdensitometer and using the H-D curve for conversion to exposure space. Edge targets have found wide acceptance because they are easy to produce, analysis is straightforward, and they have the additional attractive property of often being found in natural aerial photographic scenery.

It should be noted that the targets discussed all yield one-dimensional transfer functions.
The accuracy of OTF measurement in photo-optical systems is related to system photographic (grain) noise. Four independent studies of the relationship of noise to OTF accuracy are referenced. All concern delineation of proper filtering techniques to minimize the uncertainty introduced by grain noise. The work of Kinzly and Mazurowski \textsuperscript{44} deserves special mention since, in addition to application of the technique suggested by Blackman\textsuperscript{49}, it develops a promising adaptive filtering technique. All four references have primary application to edge gradient techniques. In consideration of optimum methods for reducing error due to noisy data, the obvious should not be overlooked: since the measurement techniques are one-dimensional, noise in the data can be reduced by increasing the length of target and scanning (slit) aperture in the direction orthogonal to the scan.
Two image quality assessment parameters based on the OTF are the Strehl definition and Shade's equivalent passband. The Strehl definition is the ratio of the volume enclosed by the measured MTF to the volume enclosed by the diffraction limited MTF. Shade's equivalent passband is the volume enclosed by $\left| \mathcal{F}(\mathcal{F}) \right|^2$. Roetling, et al. 52 have shown that Shade's equivalent passband is equivalent to acutance (mean square edge density gradient) and is consequently useful as an expression of detail rendition as perceived by a human observer.

A. 3.2 Resolution

Resolution is the ability to distinguish between two adjacent objects in an image. A number of resolution criteria are in use.

Rayleigh's criterion is that the diffraction limited images of two points are just resolved if the central maximum of one lies on the first minimum of the Airy disc of the other. Thus, Rayleigh's criterion can be expressed:

$$ R = \frac{0.61 \lambda}{NA} \quad \text{where:} \quad \lambda = \text{wavelength} $$

$$ NA = \text{numerical aperture} \quad (A-4) $$

A perceptible dip exists between point images separated by Rayleigh's criterion. The dip disappears at

$$ R = \frac{0.5 \lambda}{NA} \quad (A-5) $$

which is Sparrow's criterion.

Both the Rayleigh and Sparrow criteria are clearly related to the spread function and can thus be derived if the OTF is known.
A number of resolution criteria are simply defined by an observer's ability to distinguish the existence of a particular target. Such criteria depend not only on the properties of the image but on the properties of the detection process as well. The most common is the standard Air Force Tri-Bar target. One can obtain a "modulation detectability curve" by having a number of subjects observe tribars of varying spacing and contrast and plotting the statistical detection threshold contrasts versus spatial frequency. "Resolution" can then be defined as the intersection of an MTF and a modulation detectability curve. Uncontrolled variables and experience produce uncertainty in this measure of resolution. One summary measure of "image quality" that is in use is the area enclosed between the modulation detectability curve and the MTF.

The term "resolution" is sometimes applied to the ability of an optical system to "resolve" a specified object. This definition is similar to the preceding one but requires recognition as well as detection.

The concept "resolution" can be more precisely expressed as estimation error as a function of object properties and imaging system performance. In this case we eliminate the subjective detection process in order to obtain increased predictive validity.

A.3.3 Noise

In photo-optical systems, the major noise source is the granularity of the emulsion and is expressed in the granularity constant, G. The rms density fluctuation observed in scanning a uniform density area is

\[ \sigma_g = \frac{G}{\gamma A} \]

where: \( A \) = area of scanning aperture \hspace{1cm} (A-6)
In actuality the emulsion records the continuous exposure distribution as a discrete, thin but nevertheless three-dimensional, distribution of silver particles. The photographic macro-image is a continuous intensity distribution which results from multiple scattering of photons traversing the developed emulsion. If the photographic image is observed over a very small area very close to the emulsion surface, it is not clear how the observed intensity is related to the intensity distribution which exposed the emulsion; in other words, the micro-image is not yet adequately understood.

The approach usually followed is to model a micro-image scan as a continuous signal to which white gaussian noise has been added. Although a model postulating a photographic micro-image to be the result of a continuous signal having modulated a white noise "carrier" might be somewhat closer to the actual physics, the standard signal plus noise model is adopted for this study. It is emphasized that this approach is taken not in support of the standard model but simply because to establish a better model exceeds the study scope.

For the electronic image processing system elements, an additive white gaussian noise model is theoretically as well as pragmatically acceptable.

A.3.4 Subjective Image Quality

Efforts have been made in a number of studies to define subjective assessment of image quality in a quantitative manner. Such techniques by definition include human variables which are not well defined. It is not surprising that a universal subjective measure has not been accepted although correlation of subject response with measurable parameters within the limits of specific photographic product uses has been shown.
Subjective image quality efforts are directed to achieving a causal relationship between measurable system element performance properties: frequency response (OTF), signal-to-noise ratio, and the ability of the user to make subjective judgments (usually in the form of detection/recognition decisions) on the output product. The motivation of such efforts is consistent with the objective of this study. However, the estimation error is the result not only of an estimation error introduced by the image processing system but also of an error introduced by that subject's own detection process. There is no way to separate the two unless an independent value for either can be obtained.

To determine quality control procedures for the NDPF requires determination of the estimation error due to the system itself. Thus, subjective image quality measures are useless unless a valid model of the human subject "receiver" properties and a statement of its optimality were to be available. As this model is not established, subjective techniques necessarily lack the predictive validity required for this study's purposes.

It should be recalled that some of the performance measures previously point out: resolution, MTFA, etc. are subjective.

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APPENDIX B
IDSS PROGRAM: LIST AND FLOWCHART
THIS PROGRAM SIMULATES IMAGE PROCESSING SYSTEMS FOR THE PURPOSE OF
CALCULATION OF VARIANCES IN PARAMETER ESTIMATION FROM MEASUREMENTS
MADE ON OUTPUT PRODUCTS AS A FUNCTION OF SUBSYSTEM ELEMENT
PERFORMANCE. ANY SYSTEM WHICH CAN BE REPRESENTED BY AN ARBITRARY,
SEQUENCE OF LINEAR ELEMENTS, NONLINEAR GAINS, AND ADDITIVE NOISE CAN
BE SIMULATED. INPUT TARGETS GENERATED HAVE THE FORM Y = AX + N
WHERE THE AI'S ARE TARGET PARAMETERS AND X DISTANCE (CURRENTLY
1.E.+4). THE CRAMER-RAO BOUNDS ON THE VARIANCES OF THE AI'S
ESTIMATES ARE CALCULATED.

THE PROGRAM OPERATES IN ONE OF THREE MODES
MODE=1 IMPLIES CALCULATION OF VARIANCES
MODE=2 IMPLIES CALCULATION OF NOISE SPECTRAL DENSITY AND PRE-WITHEING
FILTER SPREAD FUNCTION
MODE=3 IMPLIES TARGET IS PLOTTED AFTER EACH SYSTEM ELEMENT
UNITS: THE UNITS OF THE CALCULATED VARIANCES = THE UNITS OF THE
RESPECTIVE AI'S SQUARED

DEFINITIONS
NP=OUTPUT TARGET PUNCHES ON CARDS
MR=NUMBER OF REPLICATIONS FOR NOISE POWER SPECTRAL CALCULATION
P=WHITE NOISE POWER SPECTRAL DENSITY
IREF=RANDOM NUMBER GENERATOR SEED
R=NUMBER OF A'S OF INPUT TARGET
DIF=DECIMAL FRACTIONAL CHANGE IN EACH A FOR PARTIAL DIFFERENTIAL
COMPUTATION
IF ANY AI REPRESENTS POSITION, THEN DIFA MUST BE CHosen SUCH THAT:

DIFAI+1/DIFAI = INTEGER

x1=NUMBER OF VALUES OF AI
x2=INCREMENT IN VALUE OF AI
x3=INITIAL VALUE OF AI

CHOOSE X3, X1, AND X2 EQUAL TO INTEGER MULTIPLES OF DELTA
X=NUMBER OF POINTS IN TARGET GENERATED (CURRENTLY X=LE.2001
DELTA=DISTANCE BETWEEN POINTS IN X DIRECTION
IBLOCK=NUMBER OF SYSTEM BLOCKS = ONE SYSTEM BLOCK+LINEAR ELEMENT.
NBLOCK=NUMBER OF BLOCKS IN SYSTEM BLOCK+ADDITIVE NOISE (CURRENTLY BLOCK=LE.20)
OPT=J=0 IMPLIES SYSTEM ELEMENT TYPE J DYNADEC IN I TH SYSTEM BLOCK
J=1 REFERS TO LINEAR ELEMENT
J=2 REFERS TO NONLINEAR ELEMENT
J=3 REFERS TO ADDITIVE NOISE
NB=NUMBER OF SYSTEM BLOCK PERFORMANCE CONFIGURATIONS
INTIN=0 IMPLIES NO CHANGE IN SPREAD DATA FOR I TH BLOCK
INLYIN=0 IMPLIES NO CHANGE IN INLI LINEAR FOR I TH BLOCK
INLYIN=1 IMPLIES NO CHANGE IN NOISE FOR I TH BLOCK
NBC=NUMBER OF POINTS IN SPREAD FUNCTION
SFCON=SPREAD FUNCTION OF PARTICULAR SYSTEM BLOCK
SFC=SET THE NUMBER OF POINTS IN EACH SPREAD FUNCTION
M=THE X INCREMENT OF SPREAD MUST ALSO LOCAL DELTA
NB=NUMBER OF POINTS IN H-D CURVE FOR BLOCK 1
C  INPUT LISTING

C  DINH, J1  INPUT DENSITY VALUES FOR H-O CURVE OF BLOCK 1
C  DOUT1, J1  OUTPUT DENSITY VALUES FOR DINH, J1
C  SIGNAL STD. DEVIATION OF NOISE
C  DIMENSION NI+1, DI+1, L+1, J+1, Y1+1, DI+1, Y1+1, DI+1, Y1+1, DI+1
C  COMMON N, X, N, BLOCK, T, TRAN, IB, DIFA, DELX, OPI+1, 31, ICON+1, 20, ILE
C  Y1+1, 20, 1, INH1+1, 20+2, 31, XPI+1, 1, Y1+1, 9991, DYP1+1, 9991, SIG1+1, 41, 5F
C  LOC+1, 200, 3, SIG1+1, NBE, IERR, CLEV1+1, 20, 41, IND1,
C  INH1+1, 20+1, DOUT1+1, 20, 31, HD1+1, 5s
C  CONTINUE
C  WRITE(16, 201)
C  INITIALIZE CONSTANTS AND CONTROL INDICES
C  READ15, 1001, CMD=2001
C  READ15, 1001
C  READ15, 1001
C  GO TO 121, 22, 231, 1400
C  WRITE(16, 2014)
C  GO TO 24
C  WRITE(16, 2015)
C  GO TO 24
C  WRITE(16, 2010)
C  CONTINUE
C  WRITE(16, 2011)
C  READ15, 1010
C  CALL GRAND1REEFG1
C  READ15, 1001
C  D1+1+BFA
C  READ15, 1001
C  N1+1, N1+1
C  readFile, 16, 2000
C  N1+1, N1+1
C  WRITE(16, 2011)
C  N1+1, N1+1
C  READ15, 1004
C  BLOCK, HAUN
C  WRITE(16, 2012)
C  DO 13 1=1, IN
C  READ15, 1002
C  N1+1, N1+1, HAUN
C  WRITE(16, 2017)
C  N1+1, N1+1
C  A1+1, A1+1
C  CONTINUE
C  N1+1, N1+1
C  IF (N1+1) .LE. 21
C  WRITE(16, 2012)
C  IF (N1+1) .LE. 31
C  WRITE(16, 2013)
C  IF (N1+1) .LE. 41
C  WRITE(16, 2014)
C  N1+1, N1+1
C  READ15, 1001
C  DO 14 1=1, N1+1
C  READ15, 1001+1
C  DO 15 1=1, N1+1
C  READ15, 1006
C  ICON+1, J1+1, NOBLOCK
C  READ15, 1006+1
C  ILEV1+1, J1+1, NOBLOCK
C  READ15, 1006+1
C  INS1+1, J1+1, NOBLOCK
C  CONTINUE
C  C SORT 14, 12, 13+1, 14+1, 43+1
C  READ15, 10001
C  DO 99 1=1, N1+1
C  WRITE(16, 2002)
C  WRITE(16, 2003)
C  C SET SYSTEM ELEMENT PERFORMANCE PARAMETERS/FUNCTIONS
C  N1+1, N1+1
C  DO 20 1=1, N1+1
111 IF Iעצבינ ( tumblr, t.3, .Eq. 0) GO TO 103
112 READ S,1,0031 fFCH1, J, J=1,15)
113 C Normalize SFCH
114 S-SFCH1, J+15/2.
115 DO 50 J=2, 15
116 50 S-SFCH1, J
117 S=S+DELT.
118 DO 51 J=1, 15.
119 SFCH1, J+SFCH1, J+1/S.
120 WRITE(6,2004)
121 WRITE(6,2005) (SFCH1, J, J=1,15)
122 CONTINUE
123 IF Iעצבינ ( tumblr, t.3,. Eq.0) GO TO 105
124 READ S,1,0021 (J)
125 NND=N01(1)
126 READ S,1,0031 D011., J, J=1,15)
127 READ S,1,0031 D011., J, J=1,15)
128 WRITE(6,2018)
129 WRITE(6,2020) (D011., J, J=1,15)
130 WRITE(6,2019) (D011., J, J=1,15)
131 2018 FORMAT (1H0, 'BLOCK', 14.2X, 'NONLINEAR LEVEL TRANSFER (LAVE)'
132 2019 FORMAT (1H0, 'DIN', 20F6.3)
133 2020 FORMAT (1H0, 'DIN', 20F6.3)
134 105 CONTINUE
135 IF Iעצבינ ( tumblr, t.3,. Eq.0) GO TO 107
136 READ S,1,0031 SIGA=1.01A
137 . SIGA=SIGA+1.
138 WRITE(6,2071) (SIGA)
139 CONTINUE
140 DO 101.
141 C. SET VALUES OF THE AI
142 IF Iצבזע 1.0 WRITE(6,2010)
143 DO 111=1,N1
144 AII+B11=AII-1+1=1+1=AII+1
145 IF Iצבזע 1.0 GO TO 10
146 DO 2 J=1, N2
147 A21+B21=A21+1+1=A21+21
148 IF Iצבזע 1.0 GO TO 10
149 DO 3 J=1, N3
150 A31+B31=A31+1+1=A31+3
151 IF Iצבזע 1.0 GO TO 10
152 DO 4 J=1, N4
153 A41+B41=A41+1+1=A41+4
154 CONTINUE
155 DO 111=1,N1
156 11 A11=A11
157 IF Iצבזע 1.15=1
158 IF Iצבזע 1.11 GO TO 6
159 C. COMPUTE DIFFERENTIAL INPUT FOR EACH AI
160 N=N+1
161 DO 5 J=1, N1
162 GO TO 16,7,8,9,12,15
163 CONTINUE
164 AP=1=A(5)
165 AP=A(4)-B(4)
166 GO TO 6

164
167  9 CONTINUE
168   FP(2)+A(2)
169   FP(3)+B*FP(3)
170   GO TO 6
171  8 CONTINUE
172   FP(1)+A(1)
173   FP(2)+B*FP(2)
174   GO TO 8
175  7 CONTINUE
176   FP(1)+B*FP(1)
177  6 CONTINUE
178  C GENERATE TARGET AND PROPAGATE THROUGH SIMULATED SYSTEM
179   CALL GEN
180   IF(NODE.EQ.2) GO TO 64
181   DO 67 H=2,1,1
182   DO 67 H=1,1,1
183  GO TO 67
184  5 CONTINUE
185   M=M+1
186  4 CONTINUE
187   N=N+1
188  3 CONTINUE
189   CALL SYSTEMPULSCH
190   IF(NODE.EQ.1) GO TO 5
191   IF(NODE.EQ.3) GO TO 4
192   IF(H.NE.4*NODE) GO TO 60
193   DO 41 I=1,1,N
194  2 CONTINUE
195   GO TO 4
196  1 CONTINUE
197  C COMPUTE PARTIAL DERIVATIVES WRT THE A(I)
198   CALL DIF
199  C COMPUTE INTEGRAL OVER X OF EACH PRODUCT COMBINATION OF PARTIAL
200  C DERIVATIVES WRT THE A(I) TAKEN TWO AT A TIME
201   CALL INT
202   IF(TERR,IEQ.0) GO TO 4
203  C INVERT MATRIX OF INTEGRALS
204  C NARY MATRIX INTO VECTOR FOR INPUT ACCEPTABLE TO IHYM 155P!
205   K=0
206   DO 40 J=1,NA
207   DO 40 I=1,NA
208   K=K+1
209  40 Y(K)=S(I,J)
210   CALL RNCV,IV,IA,IR,EL,MJ
211  C EXTRACT DIAGONAL ELEMENTS FROM VECTOR INVERTED INVERSE MATRIX
212   K=1
213   DO 41 I=1,NA
214   SIG(I)=Y(K)
215   SIG(I)=SIG(I)+KPO
216  41 K=K+1
217   NTERM(2000)=N(I),I=1,NA
218   NTERM(2000)=SIG(I),I=1,NA
219  4 CONTINUE
220  3 CONTINUE
221  2 CONTINUE
222  1 CONTINUE

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CONTENTS

111 00 CONTINUE

220 99 CONTINUE
221 1001 FORMAT(4,F8.3)
222 1002 FORMAT(4,F1O.4)
223 1003 FORMAT(F8.3)
224 1004 FORMAT(14)
225 1005 FORMAT(1012)
226 1010 FORMAT(19)
227 1011 FORMAT(10,3)
228 2000 FORMAT(LHO,'NHO',12,2X,'DIFN=',F8.3,4X,'NHO',14,2X,'DELN=',F6.3)
229 2001 FORMAT(LHO,'1REFG=',19)
230 2002 FORMAT(LHO,'7RAE=',14)
231 2003 FORMAT(LHO,'NEW SYSTEM ELEMENT PERFORMANCE DATA')
232 2004 FORMAT(LHO,'BLOCK',F4.2X,'FSCN')
233 2005 FORMAT(LHO,'FIO8.31')
234 2006 FORMAT(LHO,'BLOCK',F4.2X,'CLEV1=',F6.3,2X,'CLEV2=',F6.3,2X,'CLEV3=
235 1',F6.3,2X,'CLEV4=',F6.3)
236 2007 FORMAT(LHO,'BLOCK',F4.2X,'SIGNA=',F6.3)
237 2008 FORMAT(LHO,'EIO1.3')
238 2009 FORMAT(LHO,4X,E10.3)
239 2010 FORMAT(LHO,'A111',6X,'A121',6X,'A141',5X,'SIGA1',4X,'S111',4X,'S121',
240 11G12',4X,'SIGI3',4X,'SIG14',6X)
241 2011 FORMAT(LHO,'CONSTANTS')
242 2012 FORMAT(LHO,'A111',5X,'A121',5X,'A141')
243 2013 FORMAT(LHO,'PROGRAM STPS')
244 2014 FORMAT(LHO,'MODE',12,2X,'CALCULATION OF VARIANCES')
245 2015 FORMAT(LHO,'MODE',12,2X,'CALCULATION OF NOISE SPECTRAL DENSITY AND'
246 1 PRE-HI EleviNG FILTER SPREAD FUNCTION'
247 2016 FORMAT(LHO,'MODE',12,2X,'TRACE PLOT OF TARGET PROPAGATED THROUGH S'
248 1 (TURBID SYSTEM)
249 2017 FORMAT(LHO,'4Z,2FI0.3')
250 2020 CALL EFFILOT
251 2020 STOP
252 END

253 SUBROUTINE GEN
254 C THIS SUBROUTINE GENERATES ONE OF FOUR POSSIBLE TARGETS
255 C NA=1 IMPLIES CONSTANT LEVEL OF A111
256 C NA=2 IMPLIES TWO DELTA PINS ON BACKGROUND LEVEL A111 SEPARATED BY
257 C DISTANCE A121
258 C NA=3 IMPLIES STEP AT A131 BETWEEN LEVELS A111 AND A121
259 C NA=4 IMPLIES CAR OF LEVEL A121 ON BACKGROUND OF LEVEL A111 WITH
260 C MIDWAY A131 AND CENTER POSITION A141
261 COMMON NA,IN,INOCH,15,TRAN,10,DIFN,DELX,OP+20,31,ICON,20,20,10,
262 1V120,201,TND1S120,201,A111,AP4111,T220,3931,DOR14,3931,SIG14,41,5F
263 ICON,2001,1SIGI4201,MODE,TRAN,CLEV1201,MOX.
264 IDIN,20,301,ROUT120,301,NO1201,NS
265 GJ TO 1300,302,303,304,NA
266 301 CONTINUE
267 DO 300 IC=1,IC
268 Y15,111+AP11
269 306 CONTINUE
270 300 CONTINUE
271 301 CONTINUE
272 DO 312 IC=1,IC
273 312 CONTINUE
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CALL F1APPR115J

100 CONTINUE

101 CONTINUE

IF (INPUTH.NC.0),AND.(115.EQ.115) AND (17,3000).AND.(15,1,1)

3000 FOR K (10,F5)

RETURN

END

SUBROUTINE CON

AUTHOR - H.J. KAZJOHANNIS

DIMENSION CF(1999)

COMMON FPAK,M,NEBLOK,15,TRUN,19,DFPA,DELTX,OF20,3I,ICOM(20,20),ILE

1V(20,20),THOS1(20,20),A(4),AP(4),Y(20,999),DFDA(4,999),SIGA(4,1),SF

ICN(20,20),SIGM(20),NODE,FMRA,CELY20,4,MONTE,

IDIN(20,30),OUT(20,30),NO120,NS

N=NS/2

DO 700 I=1,NX

CF(I)=0

DO 700 J=1,NS

K=N+1-J

IF (K.II,J) K=1

IF (K.GT.NX) K=NX

700 CF(I)=CF(I)+SCF(10,J) J=I5, KI

DO 701 J=1,NX

701 Y(J,1)=CF(J)*DELTX

RETURN

END

SUBROUTINE YLEV

C THIS SUBROUTINE MODIFIES THE SIGNAL BY A PHOTOGRAPHIC MANNER-

C DRAFIELD HI-DI CURVE

COMMON FPAK,M,NEBLOK,15,TRUN,19,DFPA,DELTX,OF20,3I,ICOM(20,20),ILE

IV(20,20),THOS1(20,20),A(4),AP(4),Y(20,999),DFDA(4,999),SIGA(4,1),SF

ICN(20,20),SIGM(20),NODE,FMRA,CELY20,4,MONTE,

IDIN(20,30),OUT(20,30),NO120,NS

1=18

K=MOD(I)

DIM=XIN(1),K

DIM=XIN(1),K

DI=900 I=1,NX

DY=ALG110(J) 1I

IF (DY<0,0) DY=MIN

IF (DY.LE.DRMIN) DY=DRMIN

J=J+2

902 IF (DY.LE.DRMIN,J1) GO TO 901

J=J+1

GO TO 902

901 CONTINUE

J=J-1

DS=12Y-DIN(1) J1/DIN(1), J1-DIN(1), J1

DY=OUT(1), J1=OUT(1), J1=OUT(1), J1

900 Y(JJ),J1=10,4+1-DR

RETURN

END

SUBROUTINE YNOISE

COMMON FPAK,M,NEBLOK,15,TRUN,19,DFPA,DELTX,OF20,3I,ICOM(20,20),ILE

IV(20,20),THOS1(20,20),A(4),AP(4),Y(20,999),DFDA(4,999),SIGA(4,1),SF

ICN(20,20),SIGM(20),NODE,FMRA,CELY20,4,MONTE,
*** CONTENTS ***

10/04/73

101 IDIN,20,301, ROUT120, 301, N01,201, NS
102 I = 10
103 DO 401 IX = 1, JX
104 CONTINUE
105 RETURN
106 END

SUBROUTINE DIF
107 COMMON NA, NX, NELock, IS, IRUN, IE, DPI, DELTA, OP120, 31, IC0M20, 201, ILE
108 IY120, 201, IH15, 15, 201, 200, IT120, 09991, D1020, 1, 41, 1, 41
109 IC0M20, 2001, SIGMA20, I0ME, ERR, CLEV120, 41, ION12, 41
110 IDIN, 20, 301, ROUT120, 301, N01,201, NS
111 DO 401 J = 1, JN
112 DO 402 J = 1, JX
113 CONTINUE
114 RETURN
115 END

SUBROUTINE INT
116 COMMON NA, NX, NELock, IS, IRUN, IE, DPI, DELTA, OP120, 31, IC0M20, 201, ILE
117 IH15, 15, 201, 200, IT120, 09991, D1020, 1, 41, 1, 41
118 IC0M20, 2001, SIGMA20, I0ME, ERR, CLEV120, 41, ION12, 41
119 IDIN, 20, 301, ROUT120, 301, N01,201, NS
120 IE=0
121 DO 401 IX = 1, JX
122 DO 402 J = 1, JN
123 CONTINUE
124 RETURN
125 END

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NO

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ENTS

447
AIV20, 201, IND1 120, 201, R141, AP141, Y120, 9931, DTG14, 9911, SIG14, 41, SF

448
ICM120, 2031, SIGM14, 201, NORE, LECR, CLEY120, 41, NORE,

449
IDUN120, 201, DOUT120, 201, ND1201, NS

450
1F15, NE, 0) GO TO 10

451
5 KNT=0

452
CALL PLOT .O., O, OJ

453
T+7.0

454
DO 6 1=1, B

455
T+7.0, 6

456- 6 CALL NOREL0, 5, 10, 2, 5, 0, 2, 0, 26, 01

457
6 CALL NOREL0, 5, 10, 2, 5, 0, 2, 5, 1J

458
10 KNT-KNT+1

459
IF (KNT.GT.151) GO TO 5

460
XOR=8.5-240001KNT-1, 5J

461
YOR=7.7-2.64001 (KNT-11)/31

462
CALL PLOT ICOR, YOR, -3J

463
DO I5 1=1, IX

464
YP(IJ)=2YPI15, IJ

465
15 XP(IJ)=2, 5A1, 0-D1/IX

466
CALL LINE1YP, XP, NA, 1J

467
CALL PLOT ICOR, YOR, -3J

468
RETURN

469
END

470
SUBROUTINE POSFEC

471
C

472
C

473
C

474
DIMENSION FJ(2001, PS(1101, PH 1 101, PCR11 101, PCR12 201, PH1 101

475
CONDAR, NA, N, BULK, FS, IRUN, IB, DIPA, RELX, OP120, 31, ICON120, 201, ILE

476
IC120, 201, THO15, 201, A, 41, AP141, Y120, 9931, DTG14, 9911, SIG14, 41, SF

477
IC(120, 200, SIGM1201, NORE, LECR, CLEY120, 41, NORE,

478
IDUN120, 201, DOUT120, 201, ND1201, NS

479
1F15, NE, 1) GO TO 5

480
WRITE(6, 2020) NORE

481
2020 FORMAT (HO, 'MONTE=', I41

482
CALL CLEARF(IJ, PS(I1O))

483
NMPN=0, 0

484
55=0.0

485
5 IX-BELTX

486
AV=0.0

487
DO 10 1=1, IX

488
FI!I(l=15, 1J

489
10 AV=AV+EL

490
AV=AV/NI

491
NF=0.1NX

492
N=N2NF=1

493
DO 30 J=1, NF

494
NJ=NX-J=1

495
SN=0.0

496
DO 20 I=1, NJ

497
I=I-1J

498
20 SUM=SUM+FF(IJ)-AV+PFI(IJ)-AV

499
30 PCR1J+SUM/NJ

500
A PCR1J=PCR(J)

501
DO 40 I=2, NF

502
1B+NF-1, I

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<td>10+NF=1-1</td>
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<tr>
<td>504</td>
<td>FC=1*\cos(1.5708*11.-11.\times F1)+2</td>
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<td>505</td>
<td>ACPR(1+ACF(1))FAC</td>
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<td>506</td>
<td>* ACPR(1+ACF(1))FAC</td>
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<tr>
<td>507</td>
<td>CALL FOURKRA (ACPA, HP, HA, PA, PS, PH, DF, NF, DI)</td>
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<td>508</td>
<td>FC=1./FS/1</td>
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<td>509</td>
<td>SF=0.</td>
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<td>510</td>
<td>DO 50 I=1,NH</td>
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<td>511</td>
<td>FS(I)=FCPS(I)</td>
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<td>512</td>
<td>FC=1+PS(I)+FS(I)</td>
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<td>513</td>
<td>SF=S+ACF(I)</td>
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<td>514</td>
<td>IF(I, NE, MONTE)</td>
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<td>515</td>
<td>RETURN</td>
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<td>516</td>
<td>DO 50 I=1,NH</td>
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<td>517</td>
<td>PS(I)=1./SORT(I)F1</td>
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<td>518</td>
<td>CALL CLEAR (PHI(I), PHI(I))</td>
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<td>519</td>
<td>CALL FORTRAN (PS, PH, HA, DF, NF, DI)</td>
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<td>FC=1.//F(11/2)</td>
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<td>DO 52 I=1,NF</td>
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<td>522</td>
<td>P1(I)=FCA(I)</td>
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<td>HATIE(16, 2017)</td>
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<td>HATIE(16, 2005)F1(I), I=1, N1</td>
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<td>HATIE(16, 2018)IF, SS</td>
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<td>HATIE(17, 1011)IF, H1F1(I)</td>
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<td>527</td>
<td>DO 51 I=1,NH</td>
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<td>528</td>
<td>IF(I, 1, 100)I1</td>
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<td>529</td>
<td>RETURN</td>
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<td>530</td>
<td>END</td>
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<td>SUPPORT THE FOURKRA (DATA, HA, PA, PS, PH, DELIN, NHAP, NHT)</td>
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<td>AUTHOR - R. J. MAZUREK</td>
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<td>DIMENSION DATA(I), I=11, PH(II), F1950+1, N1950+1, B1950+1</td>
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<td>* VCO(1570, 501951)</td>
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<td>IF(HNAT/2.2, EQ, (DATA(I++)/2) GO TO 0</td>
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<td>536</td>
<td>DATA+DATA=1</td>
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<td>DATA+DATA=1</td>
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<td>538</td>
<td>B NHAP, NHTA=2</td>
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<td>539</td>
<td>P1(I) = DATA+DATA</td>
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<td>DATA+DATA=0</td>
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<td>541</td>
<td>P1(NHAP+1) = DATA+DATA</td>
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<td>542</td>
<td>DATA+DATA=0</td>
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<td>DATA+DATA=1</td>
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<td>544</td>
<td>NHAP = NHAP+1</td>
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<td>545</td>
<td>NHAP = NHAP+1</td>
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<td>546</td>
<td>RETURN</td>
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<td>547</td>
<td>END</td>
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<td>SUPPORT THE FOURKRA (DATA, HA, PA, PS, PH, DELIN, NHAP, NHT)</td>
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<td>P1(NHAP+1) = DATA+DATA</td>
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<td>NHAP = NHAP+1</td>
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This program simulates image processing systems for the purpose of calculation of variances in parameter estimation from measurements made on output products as a function of subsystem element performance. Any system which can be represented by an arbitrary sequence of linear elements, non-linear gains, and additive noise can be simulated. Input targets generated have the form \( y = f(x, a(t)) \) where the \( a(t) \) are target parameters and \( x \)-distance (currently 1.0). The error and bounds on the variances of the \( a(t) \) estimates are calculated.

The program operates in one of three modes:
- **Mode 1**: Implies calculation of variances
- **Mode 2**: Implies calculation of noise spectral density and pre-Hi filter spread function
- **Mode 3**: Implies target is plotted after each system element

Units: The units of the calculated variances are the units of the respective \( a(t) \) squared

**Definitions**
- **Npunch**: Yields output target punched on cards
- **Number**: Number of repetitions for noise power spectrum calculation
- **Npdp**: White noise power spectral density
- **Ref**: Random number generator seed
- **Na**: Number of a's of input target
- **Difa**: Decimal fractional change in each a for partial differential computation

If any \( a(t) \) represents position, then \( \text{Difa} \) must be chosen such that:

\[
\text{Difa} \times \text{A}(1)/\text{Delt} = \text{Integer}
\]

\( \text{A}(1) \): Number of values of \( a(t) \)

\( \text{Delt} \): Increment in value of \( a(t) \) increase

\( \text{A}(1) \): Initial value of \( a(t) \)

Choose \( a(1), a(1) \) equal to integer multiples of \( \text{Delt} \)

\( \text{Np} \): Number of points in target generated (currently \( \leq 200 \))

\( \text{Delt} \): Distance between points in \( x \)-direction

\( \text{Nblock} \): Number of system blocks -- one system block-linear element, non-linear element, additive noise (currently \( \leq 20 \))

\( \text{Op} \): J=0 Implies system element type \( J \) bypassed in \( i \)th system block

- \( J=1 \) refers to linear element
- \( J=2 \) refers to non-linear element
- \( J=3 \) refers to additive noise

**Note**: Number of system block performance configurations

**Icon/Icon**: \( J=0 \) implies no change in data for \( i \)th block
ILEV1[RUN,j]=0 implies no change in nonlinearity for j\textsuperscript{th} block
INOR[RUN,j]=0 implies no change in noise for j\textsuperscript{th} block
NS=number of points in SFC
SFCN=SFC N function of particular system block
SET THE NUMBER OF POINTS IN EACH SFC\textsuperscript{N/X}
THE X INCREMENT OF SFC\textSUPERScript{N} MUS\textSUPERScript{N} ALSO EQUAL \delta X
NO[1]=number of points in H-D curve for block 1
DIN[i,j]=input density values for H-D curve of block i
DOUT[i,j]=output density values for DIN[i,j]
SIGMA=STD. deviation of noise
DIMENSION(H(4),DAI(1),L(14),NI(14),X(I(16),B(14),TM(201)
COMMON(NI,NH,NOBLOCK,15,TRUN,15,DIFX,DELTX,DP(120,31),ICOM(120,201),
X(120,201),NIC(120,201),R(4),API(1),Y(120,2991),BY(14,9991),SIG(14,41),SF
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DIM1(200,510),DIM(120,501),DIM1(201,15)
2018 FORMAT(HO, 'BLOCK', 14, 2X, 'NOISE LEVEL TRANSFER CURVE')
2019 FORMAT(HX, '0001', 20FG.F3)
2020 FORMAT(HX, 'DIN', 20FG.F3)
1001 FORMAT(14, F9.3)
1002 FORMAT(14, 2F10.4)
1003 FORMAT(10FG.F3)
1004 FORMAT(14)
1005 FORMAT(1012)
1010 FORMAT(14)
1011 FORMAT(FH0, 10, 3D)
2001 FORMAT(HX, 'PREF=', 14)
2002 FORMAT(HX, 'RUN=', 14)
2003 FORMAT(HO, 'ELEV SYSTEM ELEMENT PERFORMANCE DATA')
2004 FORMAT(HO, 'BLOCK', 14, 2X, 'SFCH')
2005 FORMAT(HX, 10FG.F3)
   , FG, 3, 2X, 'CLEV4=', FG, 3)
2007 FORMAT(HO, 'BLOCK', 14, 2X, 'SIGMA=', FG, 3)
2008 FORMAT(HX, 1F10.3)
2009 FORMAT(HX, 40X, 1E10.3)
2010 FORMAT(14, 3X, 'AI=', 8X, 'RI1=', 8X, 'RI2=', 8X, 'RI3=', 8X, 'RI4=', 5X, 'SIG01=', 4X, 'SIG02',
   4X, 'SIG03=', 4X, 'SIG04=', 4X)
2011 FORMAT(HO, 'CONSTANTS')
2012 FORMAT(HO, 'N11=', 5X, 'N12=', 5X, 'N13=', 5X)
2013 FORMAT(HX, 'PROGRAM SIPS')
2014 FORMAT(HO, 'MODE', 12, 2X, 'CALCULATION OF VARIANCES')
2015 FORMAT(HO, 'MODE', 12, 2X, 'CALCULATION OF NOISE SPECTRAL DENSITY AND
   PRE-WITNENING FILTER SPREAD FUNCTION')
2016 FORMAT(HO, 'MODE', 12, 2X, 'TRACE PLOT OF TARGET PROPAGATED THROUGH S
   IMULATED SYSTEM')
2017 FORMAT(HX, 14, 2F10.3)
COMMON HA, HK, HLOCK, 15, IWIN, IB, DIFA, DELTX, OP120, 3J, ICON120, 2D1, ILE
Y120, Z01, 1H051, 120, 201, R(4).R147, Y120, 999, BY594, 999, SIG44, 47, SF
C1H120, 2D01, SIG11201, NODE, 1ER; CCEY120, 41, NO41E,
B1H120, 301, DOUT120, 301, N81201, FG
3000 FORMAT (LOP8, 5)
DIMENSION CP1999
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V1, 20, 201, IND1, 20, 201, A(4), 8P(4), Y1, 20, 9991, B(D)(4), 9991, 51644, 41, 1S
CM(20, 2001), SIGNAL, 201, NODE, IERR, C0YV(20, 4), 1RINE,
DIN(20, 301), DOUT(20, 301), NB(20), NS
COMMON IA, IA, BLOCK, 15, IUN, IA, BIFA, RELTX, OP(20, 31), ICON+20, 201, ILE
Y(20, 201), H015120, 201, AL(41), AP(41), Y(20, 999), B(80(4), 999), SIG(4, 41, 5F
CNI(20, 2001), SIG(41201), MODE, IERR, CLEV(20, 41), HOME,
BIN(20, 30), DOUT(20, 30), NO(20), NS

10/04/79

AUTOFLOW CHART SET - CALISPAN

PAGE 21

CHART TITLE - NON-PROCEDURAL STATEMENTS
BEGIN DO LOOP
IRDJ
IRREJ
D1D = D/2.
NO = IE - 1
END OF DO LOOP

NOTE 05
BEGIN DO LOOP
403 IE = 0, NO.

NOTE 06
BEGIN DO LOOP
402 IE = 4, NO.

LIST = TRUE

NOTE 10
WRITE TO DEV
VIA FORMAT
FROM THE LIST

NOTE 12
WRITE TO DEV
VIA FORMAT
FROM THE LIST

NOTE 13
LIST = T, J

NOTE 14
ERR = 1

END OF DO LOOP

NOTE 16
END OF DO LOOP

NOTE 18
END OF DO LOOP

END OF DO LOOP
CHART TITLE - NON-PROCEDURAL STATEMENTS

COMMON NA, N, NODE, 15, (RUN, 10, DIF, 11, OPE, 20, 31, ICON, 20, 29, ILE
V120, 201, IHOI5, 20, 201, A1(41, AF1(41, T120, 991, DTA4, 9991, SIG(4, 4), SF
CN120, 2001, SIGH(4)1, MODE, IERR, CLEY(20, 4), HONE,
Dl(120, 301, D3UT-120, 301, HD12017, N5
2038 FORMAT (IH, AF10.3)
4069 FORMAT (IH, +40K, 'SIG', I2, 12, 'J=0. CALCULATION TERMINATED').
DIMENSION F(200), FS(110), MH(110), ACF(110), ACFA(220), PSA(110)

COMMON NA, Ny, IBLK(15), FBLK(16), DIF, DDELX, OP120, 3, ICON(20, 20), ILE

Y(120, 201), ING(120, 201), R(41), RP(41), XI(20), 9994, BYR(41), 9991, SIG(41, 41, 5F)

GN(120, 200), SIGNAL(20), NUDE, IERA, CLEV(20, 41, 301, 301, 10201)

DIM 120, 201, DAY(120, 301, 10201, 10201, 10)

2020 FORMAT (10I0, "POWTEX", 146)

1003 FORMAT (10F8.3)

1011 FORMAT (10F8.3)

2005 FORMAT (10F8.5)

2017 FORMAT (10H0, "NORMALIZED POWER SPECTRUM")

2018 FORMAT (10H0, "MP", E1G, 5, 2X, "STOPT SQUARE", E1G, 5)

2019 FORMAT (10H0, "PRE-WHITENING SPREAD FUNCTION")
DIMENSION PATAIL, FLTR, F1(950), B1(950), A1(950), B1(950),
VCO(576), VSIN(576)
DIMENSION Tau15001, Phi15001, Func10001, Al15001, B15001, YCO512551, VSin12551, SinF15011
APPENDIX C
SIGNAL TRACE DATA

Notes on data in this Appendix:

(1) Progression of target through system is down consecutive columns, the input target signal being shown as the upper left-hand corner plot.

(2) All ordinates are calibrated from 0 to 1 arbitrary full scale radiance unit, $R_m$.

(3) All abscissas are calibrated in units of length, the size/division being given before each set of data.

(4) The plots show the effect of each ERTS image processing system element (excluding noise) in the MSS nominal configuration defined in Section 7.1.

The plots on pages 214 through 233 are for three parameter edge targets. Record length is 100. micrometers and abscissa calibration is 10. micrometers/box.

The plots on pages 234 through 278 are for the four parameter square pulse target. Record length is 150. micrometers and abscissa calibration is 15. micrometers/box. Note that the record length is a bit short to see the entire blur of the widest (105 mm) target.

The plots on pages 279 through 283 are for the two parameter pseudo delta function or "resolution" target. Record length is 150. micrometers and abscissa calibration is 15. micrometers/box.
APPENDIX D

EDGE GRADIENT SPECTRUM PROGRAM
The Fortran computer program EGA determines the line spread and transfer function of an optical system using a digital representation (in density, transmission or exposure) of an edge. An edge is taken to be two adjacent regions each of constant density.

The program works in exposure space; thus, if the input edge array is in transmission or density space the program first converts the array to exposure space, and the H-D curve must also be inputted. The next major portion of the program is the input array smoothing. This step is optional and the effective frequency cutoff of the smoothing is controlled by an input constant. The smoothing is performed by convolving a triangle with the input record. (This is equivalent to multiplying the input power spectrum by a sinc squared function.

The next step is the computation of the line spread function; first according to:

$$S(x) = \left( \frac{dE}{dx} \right) / \Delta E$$

where $\Delta E$ is the exposure difference between the two sides of the edge.

Then the optical transfer function, its modulus and phase are calculated as:

$$FTM(\nu_n) = \left( CO_n^2 + SI_n^2 \right)^{1/2}$$

$$\Phi(\nu_n) = \arctan \left( SI_n / CO_n \right)$$

where

$$SI_n = \sum_{k=1}^{N} S(x_k) \sin \left[ \frac{2\pi \nu_n}{N} (k-1) \right]$$

$$CO_n = \sum_{k=1}^{N} S(x_k) \cos \left[ \frac{2\pi \nu_n}{N} (k-1) \right]$$

Finally, the line spread function is normalized by the distance increment:

$$S(x) = S(x) / \Delta x$$
The following table is a list, with definitions, of the fortran variables used in the program. In addition, a list of the fortran coding and an "autoflow" flow chart of the program are included.

<table>
<thead>
<tr>
<th>Variable</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>N</td>
<td>number of points in the input edge array</td>
</tr>
<tr>
<td>DX</td>
<td>spacing (in distance) of the points in the input edge array</td>
</tr>
<tr>
<td>L</td>
<td>filter control; integer; the smaller the number, the lesser the smoothing; $L = 1$ implies no smoothing</td>
</tr>
<tr>
<td>IND</td>
<td>controls preliminary processing of input array; integer; $IND &lt; 0$ implies transmission input to be converted first to density, then exposure; $IND = 0$ implies density input to be converted to exposure; $IND &gt; 0$ implies exposure input</td>
</tr>
<tr>
<td>ID</td>
<td>integer label for the input edge</td>
</tr>
<tr>
<td>E</td>
<td>input edge data array; may be exposure, density, or transmission as a function of distance</td>
</tr>
<tr>
<td>ES</td>
<td>the E array after smoothing</td>
</tr>
<tr>
<td>S</td>
<td>spread function array</td>
</tr>
<tr>
<td>DC, EC</td>
<td>density, exposure for the film used; inputted if density to exposure conversion is required</td>
</tr>
<tr>
<td>FTM</td>
<td>modulation transfer function array</td>
</tr>
<tr>
<td>PHI</td>
<td>phase (of the transfer function) array</td>
</tr>
<tr>
<td>WF</td>
<td>weighting factor used in smoothing operation</td>
</tr>
</tbody>
</table>
PORTAH MODULE (I LISTING)

CARD NO CONTENTS
1 DIMENSION E(1000), ES(1000), S1(1000), DC1251, EC1251, FTH110001.
2 INTH11001, HFI11001
3 5 READ 15, 102, ENO=999, H.N, L, INO, 10
4 READ 15, 1011, E(11), J=1, H, N
5 IF J>9 THEN 21
6 9 DO 10 J=1, H
7 10 C=C + LOG1011/E(11)
8 19 READ 15, 1037, KC.
9 READ 15, 102) HEC111, J=1, H
10 DO 30 J=1, H
11 J=2
12 20 IF (J=1) THEN 58 (G0 TO 30)
13 25 J=J+1
14 IF (L1.LT.N) GO TO 20
15 30 E(11)=E(11)-EC11J-11+EC1J1-11/10C1J1-11+EC1J1-11
16 31 K=NJ+1
17 IF (L.L EQ 11) GO TO 46
18 J=J+1
19 DO 40 J=1, L
20 DO 40 J=1, L
21 IF J.LT.NB INF IF 55
22 J=J+L
23 INF(J)=FLOAT(11/SEVN)
24 GO TO 10 40
25 35 INF(J)=FLOAT(J-11+1/DEN)
26 40 CONTINUE
27 DO 45 J=1, K
28 INF(J)=0.
29 DO 45 J=1, L
30 45 INF(J)=INF(J)+INF(J+1-J-11)
31 GO TO 48
32 46 DO 47 J=1, N
33 47 INF(J)=E(J)
34 48 NW=K
35 INF(J)=0.
36 INF(J)=0.
37 DO 49 J=1, NK
38 INF(J)=INF(J)+INF(J)
39 49 INF=INF+INF=1-11
40 INF INF=INF=INF=1+11
41 50 INF(J)=INF(J)-INF(J-11)
42 51 NW=K-1
43 DO 50 J=1, H
44 50 S(K)=E(S11-1)-E(S11-1)/DE
45 NW=K+1
46 PH111=0.
47 48 INF J=J+1
48 48 INF=INF+593/FLOAT(11)
49 GO TO J=J+1
50 52 S(N)=0.
53 DO 55 J=1, X
54 55 Z=Z(2+FLOAT111)}
10/04/73
INPUT LISTING

CARD NO  ****  CONTENTS  ****
55  CO+CO+(1)+CO5+CO5
55  S1+S1+(1)+S1H123
57  F1M1I=SM1I1C0M1+2+S1P1ASC
58  DO 65 I=1,1
60  S1I1+S1I1/10
61  WRITE (6,201)
62  WRITE (6,110) L,BY,L,TILS,TI0
63  WRITE (6,201)
64  WRITE (6,111) IE111,1=1,NI
65  IF (L.EQ.1) GO TO 70
66  WRITE (6,201)
67  WRITE (6,111) IES111,1=1,IK
68  70 WRITE (6,204)
69  WRITE (6,112) IS111,1=1,IK
70  WRITE (6,205)
71  WRITE (6,111) IF1H11(1)+1,1,NI
72  NPIT (6,206)
73  WRITE (6,111) IF1H11(1)+1,1,IK
74  GO 10 S
75  99 STOP
76  100 FORMAT (110,F10.5,110,110,110)
77  101 FORMAT (10F8.5)
78  102 FORMAT (6F10.5)
79  103 FORMAT (110)
80  110 FORMAT (' ',110,F10.5,3110)
81  111 FORMAT (' ',10F8.5)
82  112 FORMAT (' ',6F10.5)
83  200 FORMAT ('1 RDOF PTS DELTA X FILTER INDICATOR 10')
84  201 FORMAT ('D INPUT EDGE DATA')
85  202 FORMAT ('D LOC(F) DATA')
86  203 FORMAT ('D SMOOTHED EDGE DATA')
87  204 FORMAT ('D SPREAD FUNCTION')
88  205 FORMAT ('D MODULATION TRANSFER FUNCTION')
89  206 FORMAT ('D PHASE FUNCTION')
90  END

288
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Chart Title: Non-Procedural Statements
CHART TITLE - NON-PROCEDURAL STATEMENTS

DIMENSION I(I000),E(I000),S(I000),DC1251,EC1251,F111000,
PM11000,TF11000
100 FORMAT (110,F10.5,110,110,110)
101 FORMAT (10F5.5)
102 FORMAT (16F5.5)
103 FORMAT (11I1)
110 FORMAT (1,F10.5,3I100)
111 FORMAT (1,F10.5,3I100)
112 FORMAT (1,F10.5)
200 FORMAT (1)' NO OF PTS DELTA X FILTER INDICATOR 1D'
201 FORMAT (1)' INPUT EDGE DATA'
202 FORMAT (1)' R LOGICI DATA'
203 FORMAT (1)' SMOOTHED EDGE DATA'
204 FORMAT (1)' SPREAD FUNCTION'
205 FORMAT (1)' MODULATION TRANSFER FUNCTION'
206 FORMAT (1)' PHASE FUNCTION'

ORIGINAL PAGE IS OF POOR QUALITY