General Disclaimer

One or more of the Following Statements may affect this Document

- This document has been reproduced from the best copy furnished by the organizational source. It is being released in the interest of making available as much information as possible.
- This document may contain data, which exceeds the sheet parameters. It was furnished in this condition by the organizational source and is the best copy available.
- This document may contain tone-on-tone or color graphs, charts and/or pictures, which have been reproduced in black and white.
- This document is paginated as submitted by the original source.
- Portions of this document are not fully legible due to the historical nature of some
 of the material. However, it is the best reproduction available from the original
 submission.

Produced by the NASA Center for Aerospace Information (CASI)

W76 7 452

AND EVALUATION

DEVELOPMENT

(NASA-CR-157069)

OF DIE AND CCNTAINER MATERIALS Quarterly Progress Report, 1 Oct. - 31 Dec. 1977 (Battelle Columbus Labs., Ohio.) 41 p HC A03/MF A01

FIRST QUARTERLY PROGRESS REPORT

(Covering the Period October 1, 1977 to December 31, 1977)

on

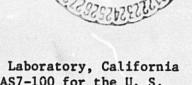
DEVELOPMENT AND EVALUATION OF DIE AND CONTAINER MATERIALS

JPN Contract No. 954676 Silicon Sheet Task Low Cost Silicon Solar Array Project

to

JET PROPULSION LABORATORY
CALIFORNIA INSTITUTE OF TECHNOLOGY

January 18, 1978



This work was performed for the Jet Propulsion Laboratory, California Institute of Technology, under NASA Contract NAS7-100 for the U.S. Department of Energy, Division of Solar Energy.

The JPL Low-Cost Silicon Solar Array Project is funded by DOE and forms part of the DOE Photovoltaic Conversion Program to initiate a major effort toward the development of low-cost solar arrays.

by

R. R. Wills, Principal Investigator. D. E. Niesz, Program Manager

BATTELLE Columbus Laboratories 505 King Avenue Columbus, Ohio 43201

FIRST QUARTERLY PROGRESS REPORT

(Covering the Period October 1, 1977 to December 31, 1977)

on

DEVELOPMENT AND EVALUATION OF DIE AND CONTAINER MATERIALS

JPL Contract No. 954876 Silicon Sheet Task Low Cost Silicon Solar Array Project

to

JET PROPULSION LABORATORY
CALIFORNIA INSTITUTE OF TECHNOLOGY

January 18, 1978

This work was performed for the Jet Propulsion Laboratory, California Institute of Technology, under NASA Contract NAS7-100 for the U.S. Department of Energy, Division of Solar Energy.

The JPL Low-Cost Silicon Solar Array Project is funded by DOE and forms part of the DOE Photovoltaic Conversion Program to initiate a major effort toward the development of low-cost solar arrays.

bν

R. R. Wills, Principal Investigator. D. E. Niesz, Program Manager

BATTELLE Columbus Laboratories 505 King Avenue Columbus, Ohio 43201

TABLE OF CONTENTS

							Pa	age
ABSTRACT								1
ACKNOWLEDGEMENT								3
INTRODUCTION		•			•			4
TECHNICAL DISCUSSION OF RESULTS THIS QUARTER								7
Evaluation of Si ₃ N ₄ , Al ₂ O ₃ , SiO ₂ Powders .								7
Handling and Storage						•	•	7
Chemical Analysis						•	•	7
Thermalgravimetric Analysis								7
Physical Characteristics			•				. 1	.2
Morphology of Si ₃ N ₄ , Al ₂ O ₃ and SiO ₂ Powders					•		. 1	.2
Preparation of High Purity Aluminum Nitride			•		•,	·	. 1	.9
Preparation of AlN by Reaction of Ammonia With Aluminum Trichlori					: •		. 1	.9
Preparation of AlN by Reaction of Ammonia With Aluminum Monochlor		•	. •	•	•	•	. 2	:6
Solution Thermodynamics	•		•	•	• .		. 2	9
PLANS FOR WORK NEXT QUARTER			•	.•	•	•	. 3	5
NEW TECHNOLOGY	• •		•,.		•		. 3	5
REFERENCES							3	16:

LIST OF TABLES

			Page
TABLE	1.	SUPPLIERS AND GRADES OF COMMERCIAL Si3N4, Al2O3 AND SiO2 POWDERS	. 8
TABLE	2.	EMISSION SPECTROGRAPHIC ANALYSES OF Si ₃ N ₄ , Al ₂ O ₃ AND SiO ₂ POWDERS	. 9
TABLE	3.	MASS SPECTROGRAPHIC ANALYSES OF Si ₃ N ₄ , Al ₂ O ₃ AND SiO ₂ POWDERS	. 10
TABLE	4.	SURFACE AREAS OF Si ₃ N ₄ , Al ₂ O ₃ AND SiO ₂ POWDERS	. 14
TABLE	5.	VAPOR SPECIES OBSERVED FROM MOLTEN SILICON IN CONTACT WITH SILICA AT 1700 K	. 31
TABLE	6.	VAPOR PRESSURE OF SIO AS A FUNCTION OF TEMPERATURE	. 32

LIST OF FIGURES

	٠.		Page
FIGURE	1.	THERMOGRAVIMETRIC ANALYSIS OF VACUUM DRIED Si ₃ N ₄ , Al ₂ O ₃ AND SiO ₂ POWDERS	. 11
FIGURE	2.	THERMOGRAVIMETRIC ANALYSIS OF AS RECEIVED Si ₃ N ₄ AND VACUUM DRIED Si ₃ N ₄ EXPOSED TO AIR FOR SEVERAL HOURS	. 13
FIGURE	3.	PARTICLE SIZE DISTRIBUTION OF Si ₃ N ₄ , Al ₂ O ₃ AND SiO ₂ POWDERS	. 15
FIGURE	4.	COMPACTION CURVES FOR Si ₃ N ₄ , Al ₂ O ₃ AND SiC ₂ POWDERS	. 16
FIGURE	5.	LARGE AGGREGATE IN S102 POWDER	
FIGURE	6.	DIFFERENT SIZE AGGREGATES IN SiO ₂ POWDER	. 18
FIGURE	7.	SPHERICAL Si ₃ N ₄ PARTICLES	. 20
FIGURE	8.	0.1 - 0.7μm SPHERICAL Si ₃ N ₄ PARTICLES	. 21
FIGURE	9.	IRREGULAR SHAPED A1203 PARTICLES	. 22
FIGURE	10.	0.2 - 0.75µm IRREGULAR SHAPED A1203 PARTICLES	. 23
FIGURE	11.	APPARATUS FOR INVESTIGATING A1C1 ₃ + NH ₃ REACTION FOR PREPARATION OF A1N	. 24
FIGURE	12.	APPARATUS FOR INVESTIGATING A1C1 + NH ₃ REACTION FOR PREPARATION OF AIN	. 28
FIGURE	13.	KNUDSEN CELL CRUCIBLE AND LID	. 30
FIGURE	14.	VAPOR PRESSURES OF Si, Si ₂ AND Si ₃ SPECIES	. 33

WIRST QUARTERLY PROGRESS REPORT

(Covering the Period October 1, 1977 to December 31, 1977)

on

DEVELOPMENT AND EVALUATION OF DIE AND CONTAINER MATERIALS

JPL Contract No. 954876
Silicon Sheet Task
Low Cost Silicon Solar Array Project

to

Jet Propulsion Laboratory California Institute of Technology

from

BATTELLE Columbus Laboratories January 18, 1978

ABSTRACT

This First Quarterly Progress Report on JPL Contract No. 954876 covers the work during the period October 1 to December 31, 1977. Commercial high purity ultrafine Si₃N₄, Al₂O₃ and SiO₂ powders were vacuum dried and stored under nitrogen in sealed containers. Extensive analysis of the chemical, physical and morphological characteristics of these powders has been performed.

The preparation of high purity AlN powder is being investigated by elevated temperature gas phase nucleation reactions involving (1) the reaction of AlCl₃(g) and NH₃ and (2) the reaction between AlCl(g) and NH₃. While optimization of reaction (1) has not been completed, it appears that this process will only give low yields. Preliminary evaluation of reaction (2) has not yet proceeded far enough to permit definitive analysis of results.

The interaction of molten silicon with fused quartz was examined in a Knudsen cell using a mass spectrometer. The solubility of oxygen at the melting point of silicon was calculated to be $1.78 \times 10^{18} \text{ atoms/cm}^3$, and the activity coefficients of oxygen and silicon monoxide, the major vapor species, were calculated to be 4.83×10^{-24} and 7.01×10^{-5} , respectively.

ACKNOWLEDGEMENT

The authors gratefully acknowledge the capable assistance of the following individuals in the performance of the work and preparation of this report. Mr. I. Sekercioglu, Mr. M. F. Browning, Mr. W. J. Wilson, Dr. C. A. Alexander, Mr. J. A. Ogden, Mr. A. J. Roese, Mr. P. V. Johnson, Mrs. E. T. Clark and Mrs. D. M. Knight.

INTRODUCTION

The JPL Low Cost Silicon Solar Array Project has been established with the goal of decreasing the cost of solar photovoltaic arrays for electrical power generation. Methods of producing silicon sheet for solar cells are under active development as one of several tasks designed to achieve this objective. In the crystal growing processes a refractory crucible is required to hold the molten silicon while in the ribbon processes an additional refractory shaping die is needed to enable silicon ribbon to be produced. In several ribbon processes the high temperature materials are a limiting factor in the development of the technique.

The objective of this study is to develop and evaluate refractory die and container materials. The performance targets for the die and container materials are given in the statement of work as:

- (A) The material must be mechanically stable to temperatures greater than the melting point of silicon (1412 C). Thus it must not melt or undergo other destructive phase changes below this temperature.
- (B) Materials in contact with molten silicon must be dimensionally stable, to 0.5 mils over a 24 hour period in case of dies. This is necessary to maintain dimensional control of the processed silicon strip, and is to include erosion, corrosion, or growth of surface reaction products. With container materials, acceptable reaction rates will be controlled by permissible impurity level.
- (C) The die and container material must not excessively contaminate silicon processed through it. Present indications suggest that 10¹⁵ atoms/cc is an upper limit for general impurities. Exceptions to this are: aluminum, phosphorus, boron, arsenic, and gallium, which may be present one or two orders of magnitude higher, and carbon,

oxygen, and nitrogen, which may be present in amounts dictated by ecosion rates (approximately 10^{19} atoms/cc). However, revision of these numbers may occur as knowledge of their specific effects is developed. For example, there are indications that structural imperfections result from carbon levels greater than 1×10^{18} atoms/cc.

- (D) The process or processes developed must be amenable to the fabrication of dies and containers with close tolerances and of varying geometries.
- (E) The die to be produced and evaluated on this program, shall be capable of producing and maintaining a capillary column of silicon 1 to 3 cm wide x 0.01 cm thick to a height of at least 2.5 cm. Experience with other materials has indicated that a contact angle of less than 80° is required.

This study has been initiated to attempt to meet these requirements. The general approach involves the determination of the solution thermodynamics of several refractory materials in contact with molten silicon together with the development and assessment of silicon metal oxynitride ceramics.

Four silicon aluminum oxynitride (Sialon) materials will initially be fabricated and assessed as potential die and containers. These materials are based on two solid solutions of general formulae:

$$Si_{6-x}$$
 Al_x N_{8-x} O_x where $x \le 4.2$ at 1760 C

$$Si_{2-x}$$
 Al_x N_{2-x} O_{1+x} where $x \le 0.4$ at 1760 C.

The first material, frequently called β '-Sialon, is a solid solution between β -Si $_3$ N $_4$ and Al $_2$ O $_3$, AlN. The second material referred to as O'-Sialon is a solid solution between Si $_2$ N $_2$ O and Al $_2$ O $_3$.

These materials will be prepared by the following reactions:

(1)
$$\operatorname{Si}_{3} \operatorname{N}_{4} + \operatorname{Aln} + \operatorname{Al}_{2} \operatorname{O}_{3} + \beta' \operatorname{Sialon}$$

(2)
$$si_3N_4 + Al_2O_3 + sic_2 + O'$$
 Sialon.

In this first three months commercial high purity ${\rm Si_3N_4}$, ${\rm Al_2O_3}$ and ${\rm SiO_2}$ powders have been obtained and analyzed. Ultrafine high purity AlN is being prepared at Battelle's Columbus Laboratorias.

TECHNICAL DISCUSSION OF RESULTS THIS QUARTER

Evaluation of Si₃N₄, Al₂O₃, SiO₂ Powders

Handling and Storage

A special procedure was adopted so that the characteristics and reactivity of each powder would remain unchanged t'roughout the program. High purity ultrafine powders obtained from commercial vendors (see Table 1) were transferred into clean dry glass jars and then vacuum dried at 200 C. After cooling the oven was backfilled with nitrogen and the containers tightly sealed. Powder samples needed for analysis were obtained by opening the sealed containers under a nitrogen atmosphere in a glove bag.

During the vacuum drying of the $\mathrm{Si}_3\mathrm{N}_4$ powder a deposit formed on the glass door of the oven. This deposit was chemically analyzed and found to be ammonium chloride.

Chemical Analysis

Spark Source Mass Spectrographic analysis and Optical Emission Spectroscopic analysis were performed in order to identify cation and arion impurities. The major impurities as indicated in Tables 2 and 3 are mainly in the range 10-100 ppmw with the exception of the Cl impurity in Si_3N_4 , which is 5000 ppmw. In Si_3N_4 the other major impurities are Na(10) and Mo(12). The main impurities in the SiO_2 are Na(20), Cl(14), Ca(30) and Fe(30), and in the Al_2O_3 powder they are Na(40), Mg(20), Si(50), P(20), Cl(100), Fe(50) and Ca(100).

Oxygen analysis was performed on two samples of the $\mathrm{Si}_3\mathrm{N}_4$ powder using the inert gas fusion method. The average value was 2.90 percent.

Thermalgravimetric Analysis

Small weight losses were recorded for all three powders (see Figure 1). These are probably due to evolution of the remaining chloride,

TABLE 1. SUPPLIERS OF COMMERCIAL POWDERS

Vendor
Reynolds Metal Co.
Cabot Corporation
GTE Sylvania, Inc.

TABLE 2. EMISSION SPECTROGRAPHIC ANALYSES OF Si₃N₄, Al₂O₃, SiO₂ POWDERS

Powder				Iı	npurit	ies (PPN	W)		
		<u>Ca</u>	<u>S1</u>	Mg	Мо	<u>A1</u>	<u>Fe</u>	Ga	Ni
si ₃ N ₄		nd	Major	<5	10	10	nd	nd	nd
sio ₂		nd	Major	<5	<10	10	nd	nd	nd
A1 ₂ 0 ₃	* v 2	10	100	5	nd	Major	50	300	<10

TABLE 3. MASS SPECTROGRAPHIC ANALYSES OF \$1314. \$102, and \$1203 (ppmw)

Sample Designation \$1384 33616-100 \$102 33615-200 A1203 33616-300 S 1 3 N 4 3361 0 - 100 \$10₂ 33616-200 A1203 33616-300 E1 ement Element <0.004 <0.004 <0.4 <0.2 <0.2 <0.2 <0.07 Li Be <0.006 0.4 <0.002 Sn <0.004 <0.02 Sb <0.1 B F 0.06 0.1 0.06 Te <0.2 <0.06 0.1 0.6 0.4 ī <0.06 <0.07 <0.1 lla 10 20 40 Ċs <0.2 <0.4 <0.2 Mg Al(a) Si <20 20 Ba <0.1 <10 <0.1 <0.1 <0.04 <0.1 <0.07 <u><10</u> <u><</u>10 Major <0.1 La 50 20 <0.04 0.2 Major Major 46 <0.6 1 Pr < 0.1 <0.07 <0.02 <0.3 <0.2 <0.2 <0.2 S C1 Ca Sc T1 <u>≤1</u> 5000 4 Nd <0.2 Sm <0.2 <0.1 14 100 <0.2 <6 0.8 6 Eu 0.2 1, ټه 30 Ġá Tb <0.1 <0.1 ₹0.2 <0.7 <0.1 <0.02 <0.06 <0.03 Dy Ho Er <0.3 <0.2 <0.2 <2 <0.3 <0.2 <0.1 <1 <0.2 V Cr Mn Fe 0.2 ۲۱ <0.04 <0.03 2 0.4 <0.1 <0.2 <0.2 <0.1 <0.3 <5 0.06 ٤3 Tm <0.6 ٠Ì 50 30 Yb < 0.3 <0.1 Co N1 0.3 ō.01 <0.3 <0.07 <0.06 < 0.04 0.25 O.C Lu <0.3 <1 HP <0.1 <0.2 <4 <2 <2 Ta <2 <0.2 <0.2 <2 <0.1 <1 0,2 Cu Zn Ga Ga 0.2 W S 100 Re <0.4 <0.06 <0.06 <0.7 <0.6 05 < 0.1 <0.6 <0.1 <0.3 <0.2 <0.6 <0.3 <0.04 ₹3 <0.2 <0.04 <0.2 0.1 Ir Pt <0.2 <3 4 <0.4 <0.2 <0.1 As Se Br Rb Sr Y <0.2 Au Hg T1 <0.06 <0.03 <0.1 <0.2 0.2 <0.06 <0.07 <0.2 <0.1 <0.1 ۲, <0.3 <0.1 ۲) <0.2 0.2 <0.1 <0.3 < 0.04 Рb 0.1 Zr <0.04 <0.6 <0.2 81 <0.07 <0.03 <0.02 <0.2 <0.1 No Ru <0.1 <0.04 ۲۱ Ťh <0.03 <0.07 12 <0.2 <0.4 <0.3 <0.2 <0.1 <0.7 <0.03 <0.2 <0.1 <0.2 Rh <0.02 Pd < 0.7 <0.2 <0.2 <0.06 Ag Cd <0.2 <0.7 <0.3 <0.04 Įη < 0.1

REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR

⁽a) Memory from previous sample

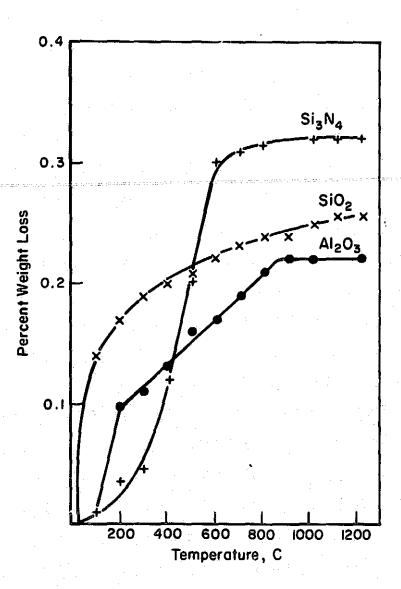


FIGURE 1. THERMOGRAVIMETRIC ANALYSIS OF VACUUM DRIED S13N4, A12O3 AND S1O2 POWDERS

other absorbed gases and some water vapor picked up in transferring the powders to the thermal gravimetric apparatus. The $\mathrm{Si}_3\mathrm{N}_4$ powder is particularly unstable in this respect. Figure 2 shows the results for the vacuum dried powder after it has been exposed to air for a few hours. The weight loss increased to >5 percent. Also in Figure 2 are the data for the as received $\mathrm{Si}_3\mathrm{N}_4$ powder. These results support the need for the vacuum drying and storage procedure discussed earlier.

Physical Characteristics

The particle size distribution was determined by a sedimentation technique (Mine Safety Appliances, Inc.) and the surface area measured by nitrogen adsorption using the single point BET method. The surface area data are given in Table 4 and the particle size data in Figure 3. The average powder particle sizes are much higher than suggested by the surface area data probably because the sedimentation technique is measuring the agglomerate size and not ultimate particle size. The presence of agglomerates was confirmed in the compaction behavior of all three powders.

Figure 4 shows the bulk densities, tap densities and change in densities as a function of pressure. The breaks in the straight line graphs (arrowed on the diagram) indicate the presence of weak agglomerates. The low bulk density of the SiO₂ powder (0.0316 g/cm³, 1.30 percent theoretical) makes it extremely difficult to handle and to disperse in hexane, which is to be used for milling. Consequently, a high purity SiO₂ powder of higher bulk density and particle size is being sought.

Morphology of Si₃N₄, Al₂O₃ and SiO₂ Powders

All powders were examined by transmission electron microscopy. ${\rm Si_3N_4}$ and ${\rm Al_2O_3}$ powder adhered to the specimen holder, but the ${\rm SiO_2}$ powder required dispersing in collodion from which a thin film was made and transferred onto the copper grid.

The ${\rm SiO}_2$ powder contained strongly bound aggregates of varying size. Figure 5 shows an aggregate approximately 1.5 μm size and the smaller particles in Figure 6 are 0.05 μm aggregates. Individual particles, calculated to be 0.007 μm in size, are difficult to detect.

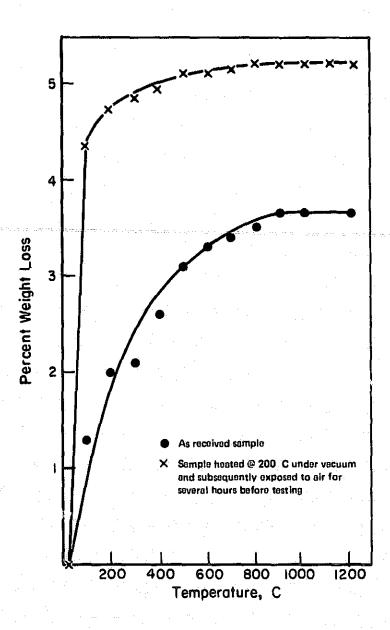
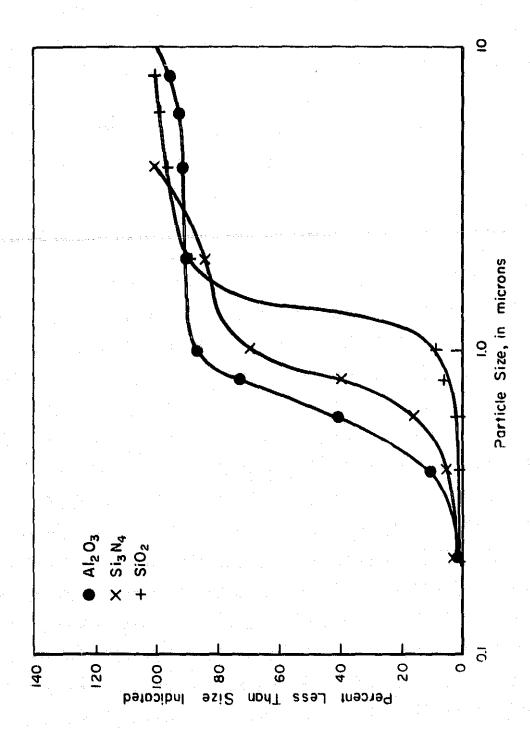


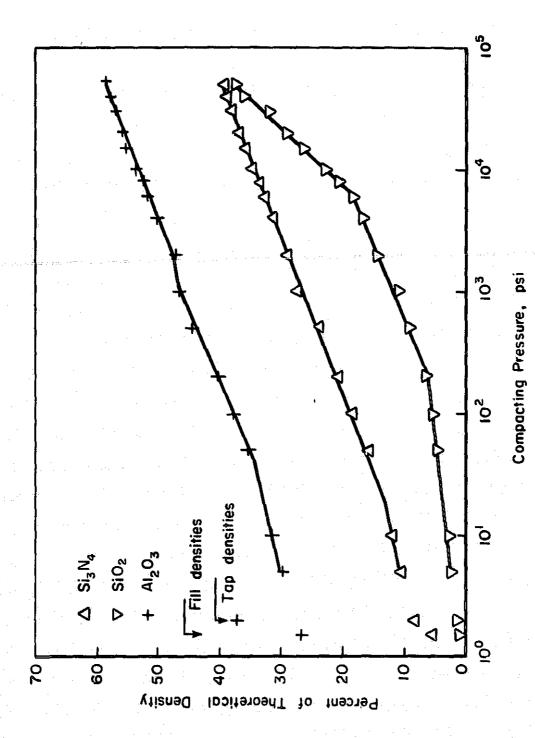
FIGURE 2. THERMOGRAVIMETRIC ANALYSIS OF AS RECEIVED Si₃N₄ AND VACUUM DRIED Si₃N₄ POWDER EXPOSED TO AIR FOR SEVERAL HOURS

TABLE 4. SURFACE AREAS OF Si3N4, Al2O3, SiO2 POWDERS

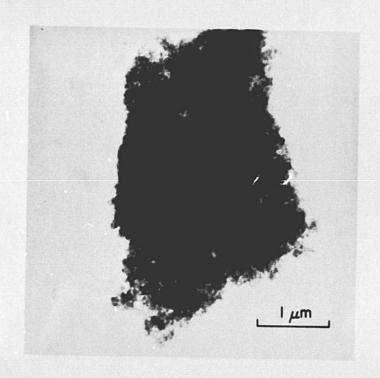
Powder		Surface Area (m²/grm)
si ₃ N ₄		28.3
sio ₂		384.0
A1 ₂ 0 ₃		3.78



PARTICLE SIZE DISTRIBUTION OF SI3N4, A1203 AND SIO2 POWDERS FIGURE 3.



COMPACTION CURVES FOR S13N4, A1203 AND S102 POWDERS FIGURE 4.



REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR ...

FIGURE 5. LARGE AGGREGATE IN ${\rm Sio}_2$ POWDER.

REPRODUCIBLITY OF THE ORIGINAL PAGE IS POOR

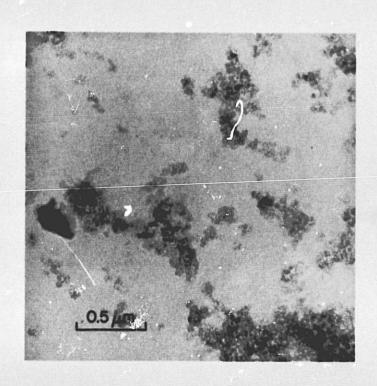


FIGURE 6. DIFFERENT SIZE AGGREGATES IN S102 POWDER.

In Figures 7 and 8 the spherical shape of the $\mathrm{Si_3N_4}$ powder is shown. There is some evidence of bonding between particles, but this does not appear to be particularly strong according to the compaction curve. The particle size range is 0.1-0.75 $\mu\mathrm{m}$.

The ${\rm Al}_2{\rm O}_3$ powder (Figures 9 and 10) consists of irregular shaped particles usually weakly bound together. The ultimate particle size is in the range 0.2-0.75 μm .

Preparation of High Purity Aluminum Nitride

A review of literature and BCL experience suggests that the most promising candidate systems for preparing a high-purity AlN submicron sized powder are:

- (1) $AlCl_3(g) + 1/2N_2(g)^* + 3/2H_2(g) \xrightarrow{1000 \text{ C}} AlN(s) + 3HCl(g) \Delta G = +6.15$ kcal/mole
- (2) $A1F_3(g) + 1/2N_2(g)^* + 3/2H_2(g) \xrightarrow{1000 \text{ C}} A1N(s) + 3HF(g) \Delta G = +25.04$ kcal/mole
- (3) AlC1(g) + $1/2N_2(g)^*$ + $3/2H_2(g) \xrightarrow{1200 \text{ C}} \text{AlN(s)} + \text{HC1(g)} + H_2(g) \Delta G = -24.02 \text{ kcal/mole.}$

Thermodynamically, the most favored reaction of the above is No. (3). However, because of the higher reaction temperature and the inherent complications of the system, it was decided to investigate initially one of the first two systems. In view of the thermodynamic advantage, the chloride system [No. (1)] was chosen.

Preparation of AlN by Reaction of Ammonia With Aluminum Trichloride

Since thermodynamically the AlCl₃ reaction [No. (1) above] is not especially favorable, it was desirable to use NH₃ in considerable excess (4 to 18 times) of that required stoichiometrically. Experiments were performed under these conditions at 1000 C in a free space reactor system (Figure 11). Two separate gas streams were introduced into a

REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR



FIGURE 7. SPHERICAL Si3N4 PARTICLES.

REPRODUCIED ITY OF THE ORIGINAL PAGE IS POOR

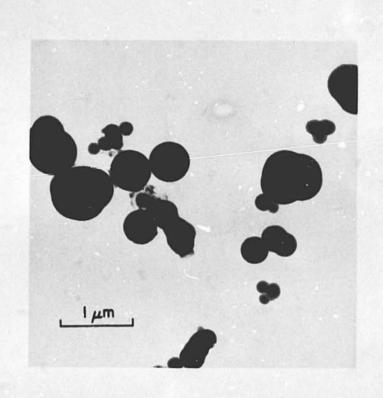


FIGURE 8. 0.1-0.7 μm SPHERICAL Si $_3N_4$ PARTICLES.

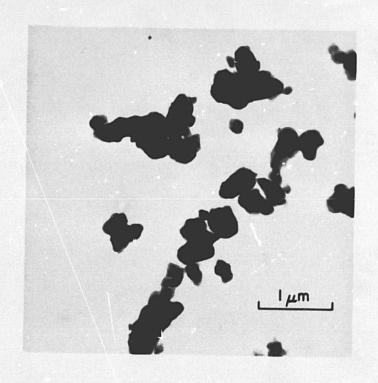


FIGURE 9. IRREGULAR SHAPED A1203 PARTICLES.

REPRODUCIBILITY OF THE ORIGINAL PAGE IS POOR

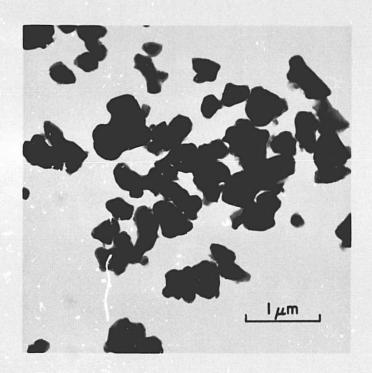


FIGURE 10. IRREGULAR SHAPED ${\rm A1_{2}0_{3}}$ PARTICLES 0.2-0.75 μm .

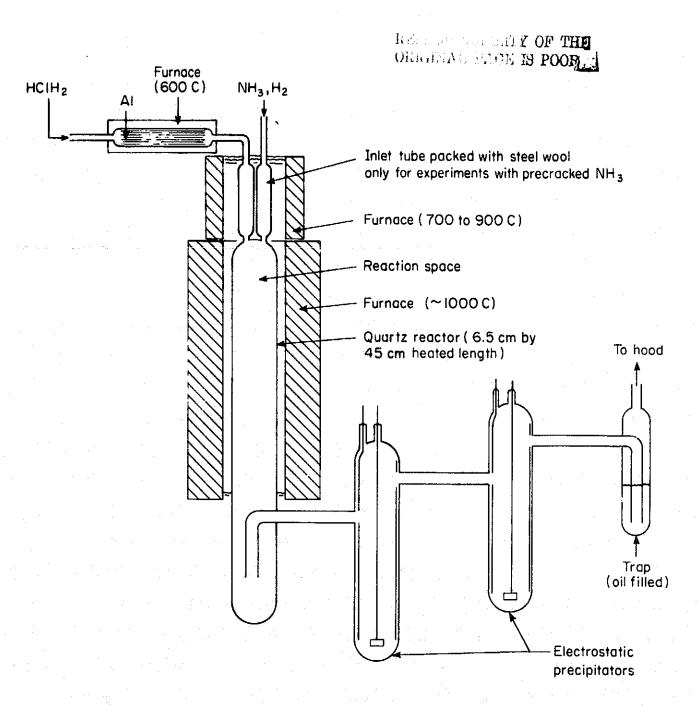


FIGURE 11. APPARATUS FOR INVESTIGATING A1C13 + NH3
REACTION FOR PREPARATION OF A1N

6.5-cm-diameter by 45-cm-long chamber. One of these streams was an unprecracked mixture of $\mathrm{NH_3}$ and $\mathrm{H_2}$ and the other was the reaction product (AlCl $_3$) from an adjacent chamber in which aluminum was hydrochlorinated at ~ 600 C. The powder formed in the main reaction chamber maintained at the desired temperature with a wire wound furnace was entrained in a reaction product gas stream which exhausted into a glass trap and then into two electrostatic precipitators. The system was isolated from the atmosphere by means of an oil-filled trap.

A considerable quantity of white submicron powder was prepared by this technique in the equipment described, but it was determined that the powder collected was primarily $\mathrm{NH_4Cl_3}$. This was based mainly on the fact that most of the powder, >90 percent, could be vaporized at 1200 $\bar{\mathrm{C}}$. It was tentatively concluded that the product probably contained AlN and some $\mathrm{Al_2O_3}$, but in view of the small AlN yield and the inherent difficulty of separating it from the relatively large quantity of chlorides present, it was decided to explore a modification of the technique before expending funds on a more exhaustive analysis of the product.

To improve the yield and reduce the excessive quantity of NH₄Cl in the product, the coating system was modified to ensure that the NH₃ was completely cracked immediately before being introduced into the main reaction chamber. This has the potential of providing nitrogen in the most active form and minimizing the formation of NH₄Cl by limiting the availability of NH₃ to only the small amount that escaped uncracked.

In preparation for the above described approach to forming AlN, experiments were performed to provide minimum conditions for cracking NH_3 so that the time between cracking and introduction into the reaction chamber could be minimized. This should maximize the availability of active nitrogen by minimizing time for conversion to molecular nitrogen. It was determined that at a gas flow of 290 cm³/minute ($\mathrm{NH}_3/\mathrm{Ar}$ of ~ 5) in a quartz cracker (10-cm diameter by 28 cm) filled with steel wool and maintained at a temperature of 800 C. NH_3 was completely (>99 percent) dissociated.

 $^{^{*}}$ NH $_{3}$ is used as the reactant to take advantage kinetically of atomic hydrogen; however, N $_{2}$ + H $_{2}$ are the predominent equilibrium species.

The powder forming system used in the initial work was modified to provide an NH₃ cracker adjacent to the main reaction chamber. A preheater temperature of 700 to 900 C was used with a reaction chamber temperature of 1000 C. Other portions of the system were as used earlier for reacting ammonia without precracking.

The product from the most promising run with the first system (i.e., with uncracked ammonia) was evaluated by heating slowly to 450 C and holding for 4 hours in an inert gas stream. The residue was then heated to 1200 C for 3 hours to increase the crystallite size for X-ray diffraction studies. The final residue represented about 8 percent of the initial sample. The residue gave poor X-ray patterns indicating it was not highly crystalline and only weak patterns for corundum (Al₂O₃) and delta Al₂O₃ could be identified. This result does not prove that AlN was not present, only that it was not in a crystalline form. However, the analysis does indicate a disappointingly low yield.

The ratio of reactants for the run in which the above product was (NH₃/AlCl₃) 18. This value was varied from 18 down to four without significant change in results. A product from the second variation of the aluminum trichloride reaction (i.e., with precracked ammonia) at a ratio (NH₃/AlCl₃) of three was evaluated in a similar fashion. To promote a further increase in crystallite size, this product was heated in argon to 1400 C. Since only 2 percent of the sample remained, the residue was not examined by X-ray diffraction studies. The results of the second approach seem to confirm the results of the initial experiments in that the formation of adequate quantities of AlN by reaction of NH₃ with AlCl₃ would probably be time consuming because of low yields and would require considerable development to optimize.

Preparation of AlN by Reaction of Ammonia with Aluminum Monochloride

In view of the low yield obtained in the above work involving the use of AlCl3, attention was turned to an alternative approach for the

preparation of AlN involving reaction of NH_3 (or possibly N_2) with AlC1. The following are the simplified reactions:

(1) A1 + HC1
$$\xrightarrow{1200 \text{ C}}$$
 A1C1 + A1C1₃ + H₂ $^{\circ}$ 75% $^{\circ}$ $^{\circ}$ 25%

The reaction is not balanced in view of the varying ratio of products with temperature.

•	1027 C	1127 C	1227 C
A1C1(g)	21.6	38.86	55.20
A1C1 ₃ (g)	26.97	16.58	6.78
H ₂ (g)	51.26	44.31	37.73
HCl(g)	0.17	0.25	0.29

(2)
$$1/2N_2^* + 3/2 H_2 + AlCl(g) \xrightarrow{1000 C} AlN(s) + HCl(g) + H_2(g) \Delta G = -24.02 \text{ kcal/mole}$$

It should be noted that thermodynamically this reaction is much more favorable than that involving AlCl₃ discussed earlier. It was deferred to second consideration, however, because the higher temperatures and the handling of molten aluminum make for more complicated apparatus and slower experimental turn around time.

The AlN powder forming system (Figure 12) assembled for evaluating AlCl as a precursor consisted of a vertically oriented quartz chamber (9-cm diameter by 90-cm long) enclosing a graphite crucible. The top portion of this crucible was used for reacting NH_3 with AlCl and the bottom for forming the AlCl by hydrochlorination of molten aluminum. Both portions were heated to \sim 1200 C inductively. The residue and powder handling portion of this system was essentially the same as that used in the earlier work.

^{*} Although $NH_3(g)$ was used in the feed stream to take kinetic advantage of atomic nitrogen, N_2 and H_2 are the equilibrium species which must be so stated if the ΔG comparisons are to have meaning.

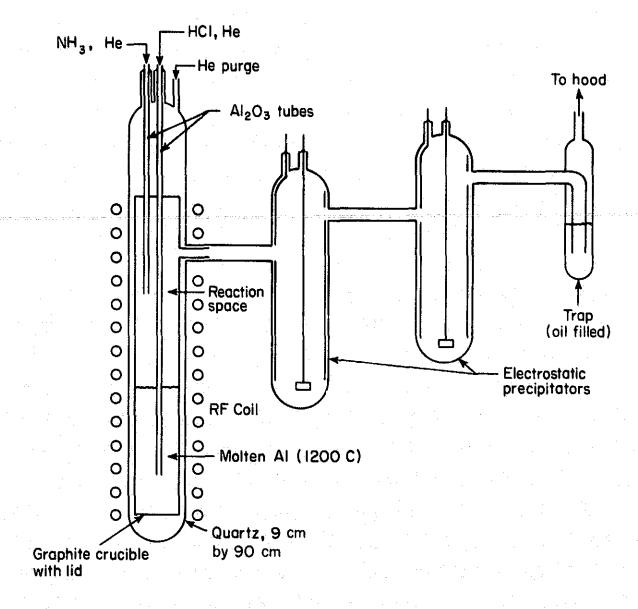


FIGURE 12. APPARATUS FOR INVESTIGATING ALC1 + NH₃
REACTION FOR PREPARATION OF AIN

The AlC1 system has been shown to be operable but the investigation has not yet proceeded far enough to permit definitive analysis of results. Light gray powder has been obtained but is as yet undetermined in yield and composition.

Solution Thermodynamics

A fused quartz crucible and crucible lid were fabricated from tubing and plate quartz to the dimensions given in (Figure 13). Although the dimensions of the crucible are not critical, the narrow channel in the lid required to generate Knudsen flow conditions must be accurately machined. This was done by diamond drilling.

Initially a silver calibration was conducted by placing 4.5mg of silver in the SiO₂ cell and then completely vaporizing the silver while monitoring the silver signal from the Nuclide mass spectrometer. This calibration serves as a reference for any other material being vaporized from this cell, provided the relative ionization cross sections of the species are known. The mass spectrometric investigation of the reaction between silicon and silicon dioxide was subsequently carried out using high purity silicon (Texas Instruments, Inc. 118 ohmcm-N type). The results are given in Tables 5 and 6. The observed pressures tor the main silicon species are in good agreement with those of Hultgrew, et al. (1) (see Figure 14). From these data the calculated pressure of oxygen for the system at 1700 K is 10⁻²⁴ atm.

The oxygen concentration of the silicon after melting in fused quartz is 112PPM by weight, (196PPM atomic). This determination was performed by placing a single grain from the silicon melt in a tungsten crucible. The grain of Si was carefully selected from the center of the melt so that it had no direct contact with the fused quartz. This operation was performed in the room atmosphere, so there may be some surface oxygen contributing to this 196PPM. The tungsten Knudsen cell is placed in the mass spectrometer and the SiO signal is monitored continuously as the sample is heated up to 1410 C. (The phase diagram indicates that about 5 percent Si will dissolve in tungsten. This solution probably reduces

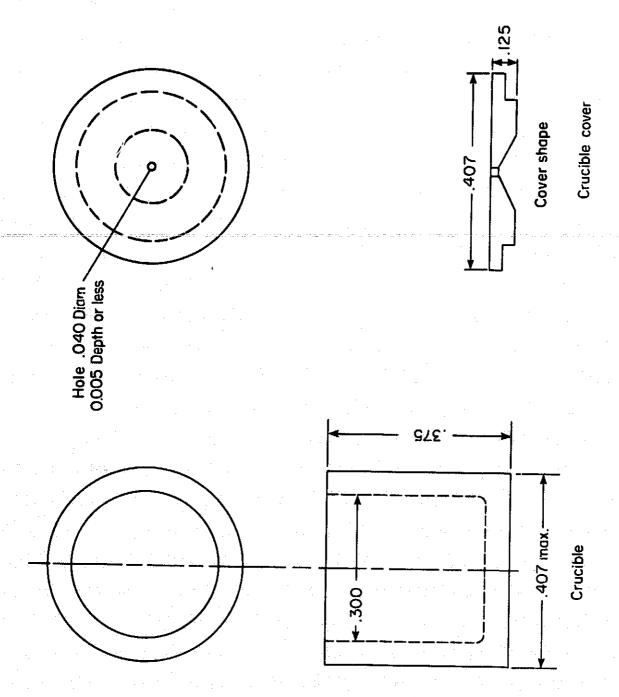


FIGURE 13. KNUDSEN CELL CRUCIBLE AND LID

TABLE 5. VAPOR SPECIES OBSERVED FROM 0.0580g S1
IN 0.7825g SiO₂ AT 1700 K

Species	Pressure
Si	4.18×10^{-7} atm
SiO	2.32×10^{-5}
si ₂	1.37×10^{-9}
sio ₂	2.04×10^{-10}
Si ₂ 0 ₂	1.1 x 10 ⁻¹⁰
Si ₃	4.7×10^{-10}
si ₄	1.5×10^{-10}
si ₅	1 x 10 ⁻¹¹
Si ₆	6×10^{-12}

TABLE 6. VAPOR PRESSURE OF S10 AS A FUNCTION OF TEMPERATURE .0580g S1 IN 0.7825g S102

Temp	Species	Pressure
1490 к	SiO	1.43 x 10 ⁻⁷ atm
1556	SiO	6.64×10^{-7}
1615	SiO	2.27×10^{-6}
1664	SiO	5.32×10^{-6}
1648	SIO	4.0×10^{-6}
1690	S10	7.63×10^{-6}
1704	SiO	8.88×10^{-6}

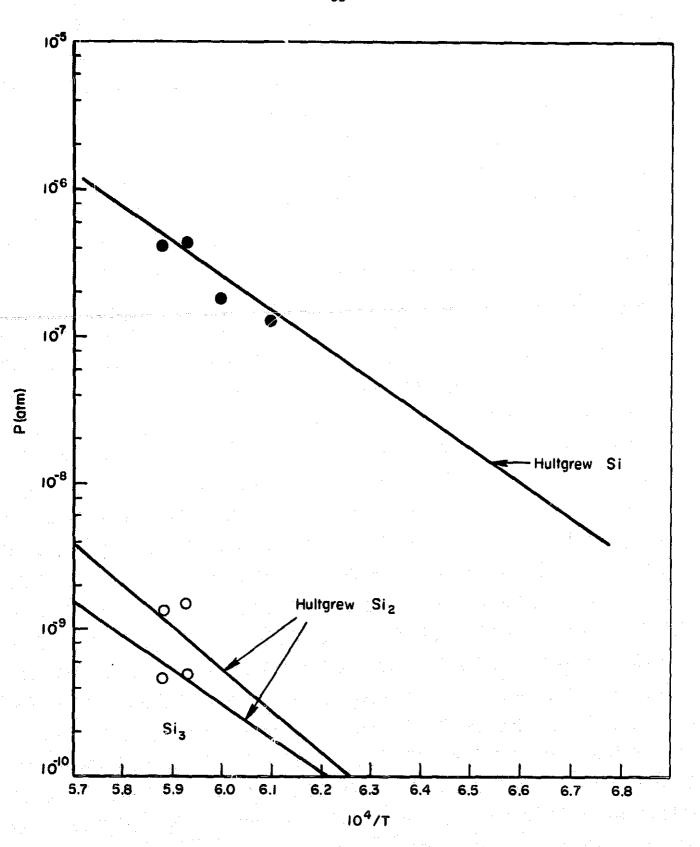


FIGURE 14. VAPOUR PRESSURES OF S1, S12 AND S13

the SiO from the Si.) The total SiO signal was compared to the SiO calibration (where the total signal is measured from a known amount of SiO) to determine the oxygen content.

Summary of Data at 1700 K

P Si0 =
$$2.9 \times 10^{-5}$$
 atm
P Si = 4.3×10^{-7} atm
P O₂ = 10^{-24} atm
[0] in Si = 196PPMA

The measured pressure of SiO is a function of the oxygen available to the silicon, and as such is an indicator of the oxygen in solution in the melt at any time. It is a function of the area contact with the crucible, the crucible material, and the grain structure of the crucible. As such it can then become a most valuable figure of merit in evaluative crucible-molten silicon interactions.

The concentration of oxygen (196PPMA) is equivalent to 1.78 x 10^{18} atoms/cm³. This is in good agreement with the value of $\sim 1.8 \times 10$ found by Kaiser & Keck⁽²⁾ who related the absorption at 9 μ m in the infrared to the oxygen concentration as determined by vacuum fusion analysis.

The activity coefficient (f) is calculated from the following relation:

f = concentration in the vapor phase concentration in the molten silicon

Using the value of 196PPMA as the concentration of oxygen [0] or silicon monoxide in silicon at 1700 K the activity coefficients of silicon monoxide and oxygen monoxide were calculated to be 7.01 x 10^{-5} and 4.83 x 10^{-24} , respectively.

These data together with similar data for Al, Be, N and C, which will be generated in the next quarter, will be used to aid the selection of die and container materials and also the selection of optimum compositions of the 8' and 0' Sialon solid solutions.

PLANS FOR WORK NEXT QUARTER

- (1) Fabricate initial Sialon compositions by hot pressing.
- (2) Perform initial evaluation of hot pressed Sialon materials.
- (3) Determine the solution thermodynamics of Al, C, Be, N using ${\rm Al}_2{\rm O}_3$, BeO, SiC and ${\rm Si}_3{\rm N}_4$ crucibles in contact with molten silicon.

NEW TECHNOLOGY

No items of new technology have been specifically reported so far.

REFERENCES

- Hultgrew, Orr, Anderson and Kelly, "Selected Values of Thermodynamic Properties of Metal". Wiley & Sons Publishers.
- 2. W. Kaiser and P. M. Keck, Journal of Applied Physics, 28, 1957, p 822.