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SHOT NOISE LIMITED DETECTION OF OH USING THE TECHNIQUE OF LASER INDUCED FLUORESCENCE

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This paper reports nearly shot-noise limited detection of OH using the technique of laser-induced fluorescence. A LIDAR-configuration. The last and a surface state is used to excite fluorescence in a large volume and a narrow-bandwidth where and a surface interference filter provides spectral discrimination. This arrangement all reviates the effect of ozone sinterference and facilitates a image process—and facilitates a image process—and facilitates a image process—and facilitates in its interference and facilitates a image process—and facilitates in its interference and facilitates a image process—and facilitates a image process—

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I. INTRODUCTION

Over the past ten years, the technique of laser-induced fluorescence [1] has been under intense development for possible measurements of the • • • • hydroxyl (OH) concentration in the troposphere. This activity reflects the belief that the hydroxyl radical plays a pivotal role in the chemical transformation of minority species in the troposphere, but its concentrations we are there has not been established with any certainty. The range of daytime concentrations of OH is thought to be from 10^5 to 10^7 molecules/cm³, depending on meteorological conditions and other parameters assumed in the model. This range is generally too low to be detected by conventional means. The technique of laser-induced fluorescence has promised unprecedented sensitivity and selectivity [3], but has proved to be susceptible to a number of -interference problems [4], depending on the ambient conditions...The most serious interference encountered so far has been that arising from the photodissociation of ozone by the laser beam, leading to the production of Timetastable -atomic oxygen [5]. We Subsequent reaction with water molecule promises with with duces Off-which is then detected by the same laser spulse . Under certains is the same laser. operating conditions, the level of this interference effect may be orders of magnitude higher than the OH concentration actually present in ambient Pair. This interference has been a serious problem in a number of field problem in a sumber to fifteld problem in a serious problem. - measurements [4, 6] undertaken without appropriate means to alleviate this effect. The technique [7, 8] which we have been developing takes advantage of the fact that the level of ozone interference is directly proportional to the intensity (watts/cm²) used for excitation [4], and that it can be.

reduced to a negligible level, without reducing the total fluorescence signal, by using a sufficiently large beam cross section for excitation.

type configuration for collinear excitation and collection of fluorescence.

Since OH is too reactive to allow conventional sampling, this LIDAR-type configuration allows the air to be measured in-situ. However, this and to reactive to allow comparatively high levels of solar radiation to reverse solar radiation to reverse solar radiation to reverse solar level of this solar background by appropriately terminating the line-of-sight of the telescope. For the system used in ground based measurements, the black-painted wingtip of the aircraft itself serves this purpose.

The use of spectral filtering is imperative in reducing the broadband solar background and the nonresonant fluorescence background, since the shot noise associated with this background determines the limit of the limit of the results reported in this paper, spectral filter in the single is done with a narrowband interference filter. Preliminary results obtained in Dearborn indicated a detection limit of better than 1 x 10⁶

OH/cm³ for ground-based operations of this system. A comparable detection and limit was also observed for aircraft operations of this system under favorable conditions.

In Sections II and III, a brief description of the detection scheme and the experimental setup will be given. Section IV outlines the procedure

for data analysis. Results of OH measurements in Dearborn, as well as those from preliminary flights on board the NASA CV-990 aircraft, will be presented in Section V. New results on ozone interference will be presented, and discussed in Section VI. Finally, in Section VII, the viability of our technique for OH measurements is discussed in light of these results.

II. DETECTION SCHEME

The technique of laser-induced fluorescence has been described in detail elsewhere [8]. It involves excitation of the OH radical using the $Q_1(2)$ line near 2,821 Å in the $\frac{2}{\pi}(v''=0) + \frac{2}{\Sigma}(v'=1)$ transitions and observing the fluorescence emission associated with that $\frac{2}{\pi}(v''=1)$ transitions near 3,090 Å. A successful application of this technique depends upon knowledge of the fluorescence spectrum and other physical and spectroscopic parameters involved in the absorption and reemission and and spectroscopic parameters involved in the absorption and reemission are processes, many of which are now creasonably well documented in the chiterative for the fluorescence signal are related as follows:

(OH signal) =
$$C \frac{\sigma_0(OH)}{\Delta v} \eta (\Delta n/\eta) [OH]$$

Here (OH signal) is the fluorescence signal due to an OH-concentration of the concentration of the OH population residing in the rotational level from which the exciting transition originates of incaddition; Cristian constant which the concentration of the concentration originates of incaddition; Cristian constant which the concentration of the concentration originates of incaddition; Cristian constant which the concentration or is determined by the overall excitation; and collection efficiencies. It concerts the concentration of the concentration o

$$(N_2 \text{ signal}) = C [N_2] \sigma_R(N_2)$$
 (2)

where $[N_2]$ is the ambient nitrogen concentration, and $\sigma_R(N_2)$ is the cross section for Raman scattering of nitrogen. Elimination of C in Eqs. (1) and (2) gives

$$[OH] = D \frac{(OH \text{ signal})}{(N_2 \text{ signal})} OH/cm^3$$
(3)

where D = $\{\sigma_R(N_2)[N_2]\Delta v / \sigma_0(OH)_{\eta} (\Delta n/n)\}$

as may be seen from Eqs. (1) and (2). Equation (3) describes a "normalization process" whereby the OH-fluorescence signal is normalized to that of the corresponding Raman scattering of nitrogen. In this manner, uncertainties in laser power, collection efficiencies, and some other parameters are eliminated from measurement results, thus improving the relative precision of the measurements. With the current best values for the parameters [8] substituted in Eq. (3), one calculates that

$$D = 2.4 \times 10^{10} P, \tag{4}$$

where P is the ambient pressure in Bar. Based on the uncertainties of the parameter values used in evaluating D, we estimate the uncertainty of continuous in D to be on the order of 20%; to 30%. It is evidently desirable to continuous calibrate the fluorescence instrument used in OH measurements against some known source of OH under ambient conditions. One possible means for such a calibration is to make simultaneous measurements of OH incompany ambient air using both the fluorescence technique and the absorption technique. The possibility of using this scheme for calibration has been described elsewhere [12].

III. EXPERIMENTAL

Although the basic detection scheme remains unchanged, the present instrument package has incorporated a number of important improvements over that described previously [8]. Figure 1 depicts the present experimental setup used for both airborne and ground-based measurements of -OH. The output from a doubled, Nd-YAG laser is used to pump a tunable dye _ __ laser system consisting of an oscillator and an amplifier. The output from this dye laser system is sent through a crystal of deuterated KDP. (Potassium 3; deotars Dihydrogen Phosphate) to generate second harmonic radiation near 2,821 A. Out I amount To assure that the exciting radiation is in resonance with the intended OH transition, a small portion of this second harmonic radiation is sent through a-discharge cell containing water vapor, and the OH fluorescence excited therein is then detected by a photomultiplier. The main part of and the control of the c the second harmonic radiation is expanded and sential ongothe axis of has a mosent rich a -telescope, through a quartz aircraft window, to excite resonance fluorescence ... of OH in the outside air. The fluorescence light emitted in the backward direction is collected through the telescope, re-collimated, and directed toward a high-gain photomultiplier with appropriate filtering for detection, near 3,090 Å. A portion of the re-collimated output from the telescope is split off, passed through an interference filter with a passing band near 3,020 $^{\rm A}$, and detected by another photomultiplier in order to monitor the Ramph signed of nationesh. Raman signal of nitrogen.

For a given system of detection optics used to provide spectral discrimination, the telescope can be chosen to optimize the detection

sensitivity. Our system evolved around an 8" telescope with f/8 optics, which was originally chosen to interface with a 3/4-meter Spex spectrometer operated to provide a passing bandwidth of 30 Å. Optimal results were obtained with a sample volume extending from 50 feet to 130 feet away from the telescope. Under this arrangement, the demagnified image of the laser beam cross section is reduced sufficiently to be admitted by the spectrometer and the optimal for aircraft operations when a large beam cross section is used. The sample volume extends only from 25 feet to 50 feet, and the demagnified image becomes the domain the spectrometer has been replaced by a narrowband (24 Å), high transmission (~30%) interference filter with a dimaeter of 3".

For the measurements reported here, the laser was operated at ten

Tipulses per second, and the laser output near 2,821- A typically measured 2mJ (20 1 to 2)

in energy per pulse, about 7 nseconneduration, and 0.1 cm linewidth a constant of the constant

Under most operating conditions, solar background and, to a lesser and the extent, nonresonant fluorescence emitted by other absorbing species, proved and at some to be undesirably large. To obtain an OH signal in the presence of this, in the comparatively much larger background, the exciting radiation was tuned on and off the OH resonance after every ten laser shots, and the detection electronics were gated for about 70 nsec during the laser excitation. For diagnostic purposes, the solar background was also measured 2 microseconds

It was desirable to verify independently that the entire detection is system operated satisfactorily. In some of our ground-based experiments, this was done by placing a small propane torch near the path of the excitation of the flame; an OH signal, and the equivalent to an average concentration tranging from high 10 flato 10 flood OH/cm³ cover the region of excitation produkt sheepsily tobserved. As will be described to the in a later section, an unexpanded laser beam also generates enough ozone interference in the range of 10 flood OH/cm³ to verify that our system is working.

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IV. DATA PROCESSING AND ANALYSIS

The analysis procedure to be outlined below is aimed at extracting the OH fluorescence signal from the vastly larger solar background and the nonresonant fluorescent background emitted by other absorbing species.

For simplicity, we have opted to analyze our data using statistical tech-us a solar simplicity appropriate for stationary processes. Recognizing that the back- and other quantities measured vary with time, we have chosen sufficiently short time intervals ifor racquisition and analysis of adatassolar and that the assumption of stationary processes wis reasonable on a processes is reasonable on a processes in reasonable of the recognizing of the recognization.

It is convenient to cast the various signals observed in our experiments in a form frequently employed in statistics. When the laser frequency is tuned in resonance with the desired OH transition; the observed signal near 3090 $^{\circ}$ A, y_1 , is given by

$$y_1 = [Solar] + [NRF]x_1 + [OH]x_1$$
 (5)

where x_1 is the corresponding laser power, [Solar] represents the solar background within the detection bandwidth, [NRF] x_1 is the nonresonant fluorescence background, and [OH] x_1 s the fluorescence signal due to OH: Tue Similarly, when the laser frequency is off resonance, the corresponding signal, y_2 , is given by

$$y_2 = [Solar] + [NRF]x_2$$
 (6)

where x_2 is the laser power for off-resonant excitation. Subtraction of Eq. (6) from Eq. (5) gives

$$y_3 = [OH]x_1 + [NRF]x_3$$
 (7)

$$y_3 = y_1 - y_2$$
 (8)

$$x_3 = x_1 - x_2$$
 (9)

Equation (7) describes a linear equation whose two parameters can be determined through a least-squares fit according to standard procedures [15]. Accordingly, the OH concentration is given by

$$[OH] = \{ [y_3x_1][x_3^2] - [x_1x_3][y_3x_3] \} / \Delta = 2$$
 (10)

$$\Delta = [x_1^2][x_3^2] - [x_1x_3]^2 \tag{11}$$

and the corresponding variance is given by :

$$V(OH) = s^2 [s_3^2] / \Delta$$
 (12)

Here the square brackets denote the sum of the products of the quantities

therein over the set of observations and s² is the sample variance. Since

the laser operates for ten shots between frequency switching and there are

a total of 2,000 laser shots per run, one may form from each run 100 groups,

each of which contains ten pairs of on-off observations, and apply the

above equations to each group. The resulting 100-OH values are then

processed in the usual manner to yield a mean value and standard deviation.

V. PRELIMINARY RESULTS

To ascertain the validity of the statistical analysis of the previous section, a number of tests may be performed on the data. First of all, the possibility that the data are shot-noise limited may be tested by plotting uncertainty versus, data develoaintig, 2, the moise leveloate is in the computed according to Eq. (12) is plotted as a function of the total signal level (solar plus fluorescence) observed near 3,090 Å in the experiments. C'Astistated previously, each data point depresents the Lawerage forma rups of ents light again 32,000 Taser shots. A least-squares fitting of these results yields a slope of the secretary. The second of the secretary is the second of the secretary is a slope of the second of th of 0.59 \pm 0.02, as may be compared to a slope of 0.5 for purely shot-noise $\cdot - \cdot \cdot$ limited detection. This dependence indicates that the noise associated and the second control of the second co with these signals is largely shot-noise-like, but with perhaps a small component linearly proportional to the background level. This latter component is duer-most likely to the schanging abackground level; which contributes a medial of buted to Eq. (12) through incomplete cancellation between on- and off-resonance readings. Despite this lack-of-complete cancellation, it should be possible to realize further improvements in the signal-to-noise by averaging over longer periods of data acquisition, political of data as a society

appropriate statistic for these measurements, it is useful to look at the distribution of OH values given by Eq. (10) when the sample average for these values is nearly zero. This distribution should center around zero to with a width proportional to the standard deviation of Eq. (12). Figure 3a depicts the histogram for such a distribution. Here the level of OH signal

is expressed in units of the standard deviation of the distribution and the number of occurrences of a given level is plotted as a function of this level. The data points in Fig. 3a each represent a value deduced from The distribution of these points is seen to be approximately symmetric and has a mean value well within the standard deviation of the mean. It is also seen that, of the total of: 400 data points, 293 (or: 73%) and the proare located within one standard deviation, from the center of the distribu- , tion. This may be compared to the case of a normal distribution, for which roughly 68% fall within one standard deviation. The number of points lying between one and two standard deviations, and beyond two standard deviations was to away from the center, represent about 20% and 6%, respectively; these values are not quite the same, but are comparable to the corresponding values of ---29% and -2%-for-a normal distribution. We are unsure of the cause for this . . ··deviation from mormal distribution, but it is very likely that this apparent deviation is due to inclusion of data points far out in the wings of the distribution in Fig. 3a, which are often associated with high backgrounds are sequenced. rlevels.ralfathese points are excluded in the computation, the standards are excluded in deviation for the distribution in Fig. 3a would become less by a factor of 1.3, and the shape would also approximate more closely that of a normal distribution. district in

As a further attempt to elucidate the nature of the distribution and to demonstrate that our system is free from any bias arising from possible inbalance in the wavelength tuning, extensive measurements were made at night or at high altitudes under very dry conditions when little OH was expected.

The results of these measurements are shown in Fig. 3b, where the level of OH signal averaged over a run of 2,000 laser shots is expressed in units of the associated standard deviation for the run, and the number of occurrences of a given signal level is plotted as a function of that level. This distribution in Fig. 3b is also seen to be approximately normal and centered around zero, and the width of the distribution is approximately one (standard deviation). Based on these observations, it is concluded that the statistical analysis as outlined in the last section is applicable to the results of our experiments, and the standard deviation as computed in Eq. (12) is a reasonable, albeit conservative, estimate of the uncertainties in the experimental determination.

Table I summarizes the results of ground-based OH measurements—conducted on September 17, 1983 in Dearborn, Michigan. For each of the time periods listed, the value of OH concentration represents the average of tenderuns each containing 2,000 laser shots. It is seen that, for the period near local moon, the signal is statistically significant. However, no OH signal discernible from the statistical uncertainty was observed for other periods. (It should be pointed out that, while it was sunny at noon, clouds which moved in during the afternoon undoubtedly accounted for some of the lack of signal during that time.) The OH signal averaged over the entire monitoring period may be calculated from the table to be (0.61.± 0.29) x 10⁶ OH/cm³.

The last row in Table I was obtained with an unexpanded beam, and the corresponding signal should be due entirely to ozone interference.

However, for normal monitoring during the periods listed, the laser beam was expanded by about a factor of 400 in area. One would thus expect that the ozone interference level should be on the order of 110 x 10^6 / 400 = 0.27 x 10^6 OH/cm³ when comparable ozone and water levels were involved during monitoring. This level is lower than the detection limits listed in Table I by about a factor of two.

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VI. OZONE INTERFERENCE

Ozone interference involves dissociation of ozone molecules following absorption of the uv radiation used for exciting the resonance fluorescence of OH. The dissociation products of ozone are molecular oxygen and metastable atomic oxygen O(\begin{align*} D\end{align*}). Under ambient conditions, most of the metastable oxygen atoms thus formed are de-excited to the ground state through collisions with nitrogen and oxygen molecules, but a small fraction reacts with water molecules to form OH in the ground electronic state. It is shown on the basis of a rate equation analysis [5] that the average OH concentration generated and "seen" by a square-top laser pulse is given by

$$[OH] = [OH]_{S} \{ F(B\Delta t) - (B/A)F(A\Delta t) \} / (1 - B/A)$$
 (13)

with
$$[OH]_s = (1/A) \sigma_0 k[H_2O][O_3]E$$
 (14)

and
$$F(x) = 1 \frac{(15(2/x)[1-(1/x)(1-e^{7x})]}{(15)}$$

Here the bracketed quantities refer to concentrations of the various species involved; A and B are, respectively, the rate of de-excitation of (0, 0) and the rate of rotational relaxation of the generated (0, 0) both concentrations being proportional to the partial pressure of the various species present in the excitation region; σ_0 is the absorption cross section; E is the energy per unit area per pulse; and Δt is the laser pulsewidth. In order to apply Eq. (13) to actual experiments, it was conject tured [7] that the population in a given low-lying rotational level consists

tured [7] that the population in a given low-lying rotational level consist of a component resulting from-exchange of energy with the translational

degree of freedom [13], and another component due to rotational relaxation from higher rotational levels into which OH molecules are first formed as to relate the reaction product of O(\big|D) and water. The former component is associated with a relaxation rate comparable to A, but the latter component may be associated with much longer rotational relaxation times since cascade.

The processes from one rotational relaxation times since cascade that this latter component is small peque (13) may be simplified to become a relaxation.

$$(0H) = \{A'_c F(A t)\} [0H]$$
 $(0H) = \{A'_c F(A t)\} [0H]$ (16)

where A'_c is the fraction of the OH population generated in the particular rotational level from which absorption originates. The product A'_c $F(A\Delta t)$ embodies the correction from steady-state results, and may be identified with the correction factor introduced previously [8].

In Fig. 4, Eq. (15) is shown graphically. It has been noted previously [7] that $F(A\Delta t) \sim 0.8$ for a 10 nanosecond laser at ambient pressure. Moreover, this function may decrease drastically as the pressure and/or pulsewidth is reduced. Progressingle; when the pressure is reduced to a 1% of the ambient for a 10 nanosecond laser, a comparable decrease in the value of F(x) is noted. This decrease with pressure forms the basis for interference reduction in some of the detection schemes which have been proposed [14].

Taking advantage of the fact that water vapor and ozone concentrations were measured concurrently with our airborne measurements of OH, we have made a re-determination of this correction factor. Excluding those runs for which reliable water vapor measurements were not available, the

results of our ozone interference measurements are shown in Fig. 5 as a function of the product of ozone and water vapor concentrations divided by the ambient pressure. These measurements are seen to follow a linear dependence as expected; the scattering of data is due in part to the uncertainty in the measurements of the water vapor, the statistical uncertainties of the OH signal computed, and to a lesser extent, fluctuations in the value of other parameters such as the laser power. The correction factor for Eq. (16) is determined from these data to be 0.1 ± 0.01 with possibly an additional uncertainty of 20% due to factors discussed in Section II. This new value is consistent with the value 0.1 ± 0.05 determined previously.

VII. CONCLUSIONS AND DISCUSSION

Using a tunable laser system combined with a terminated telescope path and a narrowband interference filter, we have demonstrated a working scheme for measurements of OH in ambient air. With the laser system operating at 10 Hz, the integration time needed in order to obtain a detection limit of about 10⁶ is typically about 40 minutes. A correspondingly shorter integration time would be possible if a higher pulse repetition rate is a implemented for the laser system.

background signal consists mainly of solar radiation Rayleigh-scattered into the collection optics. For airborne operations, the black-painted wing tip used to terminate the line-of-sight of the telescope also scatters a significant amount of additional light into the collection optics, increasing the background-signal by as much as a factor of ten under adverse a conditions.

As may be seen from the results presented in the previous sections, our system appears to be free from systematic bias. Analysis of the null results also indicates that our detection limit is determined essentially by the shot noise associated with the background signal. For ground-based operations, a detection limit of better than lx 10⁶ OH/cm³ was demonstrated in Dearborn. For airborne operations, the detection limit may be comparable under favorable conditions, but may be degraded by as much as a factor of four if an adverse solar angle relative to the detection optics is encountered.

As part of the flight measurements, we have redetermined the numerical factor used in computing the level of ozone interference. The results are in agreement with the less accurate results determined previously, and are also consistent with the published theoretical account of the phenomenon.

The detection sensitivity which we have demonstrated in our experiments should be sufficient for routine measurements in areas where the OH concentration is in the range of high 10⁶ molecules/cm³ or higher. Indeed, we have performed OH measurements in the Intertropical Convergence Zone concentration (ITCZ) near the South Pacific (and in such moderately polluted areas as the source of the San Joaquin Valley. The results of these measurements will be published elsewhere.

for the should be noted that the detection sensitivity for the system of the state configuration described in this paper could, in principle, be improved by using narrower detection bandwidth. The idea is to discriminate more against the continuous spectrum of solar background in favor of the groups of discrete OH fluorescence lines. For shot-noise limited detection, however, any apparent advantage may be offset by the difference in the way the signal-to-noise Fratio depends on the levels of OH signal and solar backgrounds. The signal of the levels of the signal and solar backgrounds. to-noise ratio is proportional to, the level of OH signal, but is proportional to the inverse square root of the background level. Consider, for example, Freducing the bandwidth by a factor of 100. Too the extent that the solar is the extent spectrum is flat over the spectral range of interest, this reduction would lower the solar background by the same amount; but would lower the associated shot noise by a factor of ten only. Consequently, any concomitant reduction in the OH signal must be kept less than a factor of ten in order to realize any improvement in the signal-to-noise ratio. In practice, the use of a narrower bandwidth for detection also necessitates a reduction of the usable

solid angle for collection, resulting in a reduction of the throughput (etendue) of the collection optics. Based on the fluorescence spectrum of OH, we estimate that a detection bandwidth of 20 cm⁻¹ would be optimal and would give a signal-to-noise ratio slightly better than that described in this paper. A much narrower bandwidth would be impractical in terms of the solid angle for collection, and would reduce the signal-to-noise ratio even the if it could be implemented.

It would be desirable to achieve another order of magnitude

improvement either in enhanced sensitivity or in reduced integration time

so as to facilitate routine measurements in clean air at the ground level where the OH concentration may be very low. An improvement of this magnitude would be possible if measurements could be performed at pressures lower than about 7 torr. This possibility can be understood through an examination of Eq. (16) and Fig. 5, which indicate that the ozone interference level at this reduced pressure with be reduced by about two orders of magnitude while the fluorescence efficiency is increased by the same amount.

Lowering the system pressure clearly requires sampling the air by some means without contaminating the air or affecting the relative concentration of OH. It is not clear such a means can be found easily.

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Inc. of Westford, Massachusetts, in supplying the narrowband interference

filters described in this paper? Their timely delivery of these outstanding filters contributed to the success of the experiment. This research has been supported in part by National Aeronautics and Space Administration

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Table I. Summary of results of OH measurements on September 17, 1983

in Dearborn, Michigan. The last row marked with an asterisk
in the table represents values obtained with an unexpanded
laser beam; they should be due entirely to ozone interference.

Time (EDT)	OH (10 ⁶ molecules/cm ³)	
11:49 - 12:31	0.27 ± 0.68	
13:03 - 13:54	10.00 ± 0.68	
14:32 - 15:16	(ル・ツ ・ - 0.58 ± 0.63	0.58 + 5
15:58 - 16:43	0.56 ± 0.63	
17:37 - 18:23	-0.34 ± 0.63	-
18:46 - 18:54*	110 ± 2	•

FIGURE CAPTIONS

- Figure 1. Schematic of the experiment.
- Figure 3. (a) Histogram for the distribution of a sample of OH measure—

 ments for which the sample average was nearly-zero Each data to point represents an OH value deduced according to Eq. (10) from a country and the sample average was nearly-zero and Eq. (10) from a country and the sample average was nearly-zero and the sample of two seconds.
- Figure 4.7 Plot of F(x) in Eq. (15) as an function of the dimensionless.
 - Figure 5. Plot of ozone interference signal as a function of the product

 of ozone (in ppbv) and water vapor (in mb) divided by the

 ambient pressure (in 1000mb).











