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#### **COMPUTER SIMULATION OF THE EFFECTS OF A LISTRIBUTED ARRAY ANTENNA ON SYNTHETIC APERTURE RADAR IMAGES FINAL REPORT UNDER CONTRACT NAS9-17228**

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#### I. INTRODUCTION

#### A. Background

Under National Aeronautics and Space Administration Contracts NAS9-15401 and NAS9- 15211, Subtask 1, Applied Research Laboratories, The University of Texas at Austin (ARL:UT), developed a computer model of an orbiting synthetic aperture radar (SAR). This model enables the user to create a terrain model of point reflectors on an arbitrarily specifiable planet. The antenna is modeled by its gain pattern in azimuth and elevation and is fixed to the orbiter in accordance with the platform attitude and rates of change of attitude. The transmitter and receiver are specified by frequency, pulse repetition rate (PRF), impulse response characteristics, sampling rate, and range pulse compression codes. Orbital conditions are specified, as well as the area on the planet to be mapped and the geometry of the radar with respect to the area to be mapped. Synthetic in-phase and quadrature (IPQ) video data are then produced as the convolution of received scatterer echoes with the transmitted waveform. The effects of antenna illumination,  $R^4$ attenuation, ambiguous ranging, and Doppler sampling are all taken into account. The IPQ video data are then processed through an SAR processor, and the resulting digital images are available for display and analysis. While the scope of the model is limited in some respects, it provides a powerful tool for certain parametric analyses (e.g., the effects of a parameter or part of an SAR may be investigated in an ideal system).

The computer model developed and documented under the aforementioned contracts is known as the orbital SAR simulation (OSS). Currently, the OSS consists of approximately fifty subroutines and programs and over 4500 lines of code written in FORTRAN IV and implemented un the ARL:UT CYBER 180/830. Since the completion of the OSS

version documented in Ref. 1, the OSS has been adapted to two subsequent tasks. 2,3

#### B. Distributed SAR Simulation

This report under NAS9-17228 describes the effort to assess the effects of degradation in a distributed array antenna on SAR images. This study was performed in conjunction with the Physical Science Laboratory, New Mexico State University (PSL/NMSU). PSL/NMSU studied the effects of manufacturing tolerances of a distributed array antenna on its gain pattern. Amplitude and phase variations in the transmit/receive module were considered as were mechanical deformations. Then PSL/NMSU generated computer compatible tapes with three-dimensional gain patterns from arrays with various levels of degradations.

The OSS was modified to use the antenna patterns generated by PSL/NMSU. A series of simulations representative of the shuttle imaging radar (SIR-C) were then executed. The antenna studies and the simulations were performed at C-band, 5.3 GHz. Two computer compatible tapes containing five antenna patterns each were received from PSL/NMSU; a summary of the data received is presented in Table I. The approach taken in this study was to set up a simulation and execute it using the ideal antenna pattern (tape DSAR2, file 1). The same simulation was then run with degraded antenna patterns and the resulting images were compared.

In previous SAR studies ARL:UT adopted a set of "image quality" parameters to characterize an SAR image.<sup>4</sup> Most of these parameters (i.e., resolution, geometric fidelity, crispness, speckle, etc.) are a function of orbit geometry, radar hardware, or SAR processing parameters, and they would not be affected by variations in the antenna gain patterns. However, the extraction of backscatter levels  $(\sigma^0)$  from the terrain is very likely to be affected by degradations in the antenna gain patterns. By placing fields with known values of  $\sigma^0$  in the terrain and

#### TABLE <sup>I</sup> PSL/NMSU GENERATED ANTENNA PATTERNS

#### Data Files on Tape DSAR1



#### Data Files on Tupe DSAR2

#### 288 x 12 Element DSAR Array 22 dB Taylor Elevation Taper Array dimensions 12.4 x 0.54 m



then extracting the values for  $\sigma^0$  from the images, the effects of the antenna degradations on the measurement of  $\sigma^0$  can be assessed. It is important at this point to note that the shape of the antenna gain pattern affects the measurement of  $\sigma^0$  within an image as a function of both range and azimuth. If the exact pattern is known, however, then the  $\sqrt{2}$  alues for  $\sigma^0$  may be properly adjusted (assuming there is no asymmetrical structure). We are concerned here with the degradations which cause the antenna pattern to deviate from what was assumed. In other words, we are interested in the effects on an SAR image due to the antenna degradations after the pattern has been measu.ed.

#### II. IMPLEMENTATION

#### Α. OSS Modifications

The basic change required to the OSC was a modification of the program which uses the antenna pattern (program ECHO). The original simulation used elevation and azimuth principal plane cuts to model the antenna. Program ECHO and subroutine ANTWT were modified to use the three-dimensional antenna patterns generated by PSL/NMSU (redesignated PECHO and PANTWT). The antenna patterns were comprised of 181 conical cuts (361 samples per cut,  $1^{\sf o}$  in  $\phi$  per sample) of 0.5 $^{\sf o}$  or 0.25 $^{\sf o}$  in  $\uptheta$  per cut. Since the 3 dB beamwidth in azimuth of the full array was on the order of 0.25<sup>0</sup>, an interpolation scheme was also included in program PECHO. For efficiency, the original version of the OSS did not use an interpolation scheme; instead the desired antenna pattern was sufficiently sampled so that interpolation was not necessary. However, in the present case, sufficient sampling of the antenna pattern would have generated more data than could fit into memory. A quadratic fit through three points was used for interpolation.

A program (ANTPSL) was also written to generate the file for use by program PECHO. ANTPSL takes the antenna patterns generated by PSL/NMSU on 0-track computer compatible tapes and decodes them into a binary file. <sup>1</sup> More operator interaction is required in the modified antenna simulation than in the original model, however, because the modified antenna simulation was not fully integrated into the OSS.

#### B. Image Analysis

The current version of the OSS provides no software for the extraction of image statistics needed for image quality analyses. The only post-processing routines available (POST) generated a tape for offline image display on an ARL:UT graphics system, which is no longer

available. A version of program POST (PPOST) was generated which creates a computer tape of the output SAR image for use on an HP 21MX minicomputer with a brinnel color graphics display (we used only gray scale and an attached Tektronix hard copy unit).

Another version of program POST (PPOSTST) was created which generates a binary file of the SAR image. The structure of the file is compatible with that generated by an SAR processor which uses real radar data.<sup>5</sup> Programs were available from previous work<sup>6</sup> which allowed extraction of statistics (max, min, mean, and standard deviation) from the SAR images. For this study, these programs were used to print the SAR image pixel values from which the locations of the fields in the images could be deduced. Next, the portions of the images containing the fields were statistically analyzed, and normalized cross-section measurements were obtained.

#### C. Current Simulation Model

For the simulations performed, the planet modeled was earth. The orbit parameters were chosen to correspond as closely as possible with the shuttle's, 250 km altitude, near-polar orbit. The frequency was C-band  $(5.3 GHz)$ , the ground range resolution was  $25 m$  with a sample ratio of 2 (i.e., sample interval 1/2 of resolution), and the overlay ratio was 2 (two "looks"). Two simulations were performed at a  $20^{\circ}$  nadir angle and one at a  $60^{\circ}$  nadir angle.

The area of the terrain model to be imaged is shown in Fig. 1. For the 20<sup>0</sup> nadir angle simulation (using the full array) the ambiguity locations were computed (see p. 4/60 of Ref. 1 for a discussion of ambiguity calculations); fields were then placed at these locations so that the ambiguities would be introduced into the images. The antenna pattern and SAR parameters act to suppress these ambiguities. The complete terrain model, used for all three simulations, is shown in Fig. 2. The ambiguity locations for the  $60^{\circ}$  nadir angle simulation are

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**FIGURE 1** AREA OF TERRAIN MODEL IMAGED ALL FIELDS CONTAIN 4 SCATTERERS PER RESOLUTION CELL (25 m x 2f m), WHICH ARE RANDOMLY DISTRIBUTED



**FIGURE 2 COMPLETE TERRAIN MODEL** 

different than for the 20<sup>0</sup> nadir angle simulation. However, the unambiguous sector imaged was small, and some of the fields in ambiguity locations fall in the azimuth sidelobes of the antenna pattern. Therefore, the same terrain model could be used for all the simulations.

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#### III. RESULTS

## A. 60<sup>0</sup> Nadir Angle Simulation

The parameters used for this simulation are shown in Fig. 3. The simulation was exercised for the antenna patterns from tape DSAR2, files 1 and 5 (see fable I). The SAR images produced by the OSS are shown in Fig. 4. The normalized cross-section values from the imaged fields are shown in Table II for the two antenna patterns. Two areas, Al and A2, are outlined in the SAR images of Fig. 4. These are areas where no targets were placed; the values obtained for Al and A2 represent ambiguously imaged fields.

The variation in the computed scattering levels for imaged fields within one image is a function of the antenna gain pattern shape and the position of the radar at the start of sampling. The two-way, 3 dB width of the distributed array is approximately  $0.2^{\circ}$ ; consequently, at a slant range of 530 km, only 1.8 km of the terrain is illuminated. The synthetic array length was 0.7 km. In a real system these variations could be accounted for and need not concern us here. The differences in ithe values obtained for the ideal and degraded patterns do not take into account the difference in the gain of the two patterns indicated in Table I. For full array patterns (DSAR2) the difference in gain between the two patterns used was 0.45 dB; this translates to a 0.9 dB overall reduction in the degraded pattern results. If a calibration were not performed after deployment of the antenna, this could be significant.

The values obtained for sections Al and A2 give some idea of the ambiguity level to be expected. It is important to note that the terrain <sup>i</sup> illuminated by the antenna sidelobes was not filled with targets, and therefore the values for Al and A2 do not represent the integrated sidelobe ratio. However, the fields in the terrain model do fall in the first few major sidelobes, and it is reasonable to expect that the values

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FIGURE 3 SARCON DATA 60° NADIR ANGLE SIMULATION

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FIGURE 4<br>SAR IMAGES FROM 60° NADIR ANGLE SIMULATION

(b) DEGRADED PATTERN<br>DSAR 2, FILE 5

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# TABLE II

#### EXTRACTED VALUES FROM SAR IMAGES FOR THE 60° NADIR ANGLE SIMULATION



for Al and A2 are within 2-3 dB of the total ambiguity level. From these data we can infer a value for dark target contrast (ratio of the mean level of an area of very low reflectance to the mean level of the entire image) of approximately -14 dB. It appears that most of the energy is from a field ambiguously imaged in the main lobe.

### B. 20<sup>0</sup> Nadir Angle Simulation

Tne parameters used for this simulation are shown in Fig. 5. The simulation was performed using files 1 and 5 from DSAR2. The SAR images produced are shown in Fig. 6, Table III is a list of the extracted values from the images. As pointed out in Section III.A, the variation in reflectivity level, in azimuth, across the image is due to the shape of the antenna gain pattern.

Fer this simulation, the values for ambiguous areas Al and A2 are much lower than in the previous simulation. The pulse repetition frequency (PRF) for this simulation unambiguously images 0.5<sup>0</sup> at the main For this simulation, the values for ambiguous areas<br>nuch lower than in the previous simulation. The pul<br>frequency (PRF) for this simulation unambiguously images 0.<br>beam, instead of the 0.3<sup>0</sup> imaged in the previous simulat

# C. 20<sup>0</sup> Nadir Angle Simulation, Short Array

The geometry of this simulation was identical to the previous 20<sup>0</sup> nadir angle case. The antenna patterns used, however, were from tape DSARI (see Table I). These patterns were created from one panel (out of six) of the distributed array antenna and have a corresponding beamwidth six times that of the full array in azimuth (elevation dimensions are identical). To avoid ambiguity problems, a higher PRF was used.

The parameters used for this simulation are shown in Fig. 7. The SAR images produced using the antenna patterns from DSAR1, files 1, 4, and 5, are shown in Fig. 8. The corresponding values for normalized cross-section are presented ir Table IV.



# FIGURE 5

# SARCON DATA 20° NADIR ANGLE SIMULATION

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SAR IMAGES FROM 20° NADIR ANGLE SIMULATION FIGURE 6

(b) DEGHADED PATTERN<br>DSAR 2, FILE 5





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# TABLE III

#### EXTRACTED VALUES FROM SAR IMAGES FOR THE 20° NADIR ANGLE SIMULATION





FIGURE 7

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SARCON DATA 20° NADIR ANGLE SIMULATION, SHORT ARRAY



# FIGURE 8<br>SAR IMAGES FROM 20° NADIR ANGLE SIN.ULATION, SHORT ARRAY

(c) **PEGRADED PATTERN**<br>DSAR1, FILE 5

(b) DEGRADED PATTERN DSAR 1, FILE 4







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# TABLE IV

# EXTRACTED VALUES FROM SAR IMAGES<br>FOR THE 20<sup>0</sup> NADIR ANGLE SIMULATION, SHORT ARRAY



#### IV. CONCLUSIONS AND RECOMMENDATIONS

The OSS has been modified to use three-dimensional antenna patterns (specified as conical cuts). The simulation analyzed in this report was exercised on three configurations with seven complete simulations. A copy of the OSS modifications and programs used to analyze the images has been sent to NASA/JSC on computer compatible tape, along with another copy of the original OSS.

The results summarized in Tables II-IV show very little effect due to distortions in the shape of the antenna patterns. The effect on the images due to pattern distortions is on the order of a few tenths of a decibel. Additionally there is a reduction in overall gain of up to 0.9 dB for the degraded 7atterns (see Table I for the one-way gain reductions). A comparison of the antenna patterns received from PSL/NMSU shows virtually no perceptible main beam distortion or pointing error.

The results of this study show that, for the electrical variations in T/R modules on the order of +1.8/-3 dB and  $\pm 10^{\sf o}$  phase, the effects on the SAR images are negligible except for a gain factor which would presumably be measured after antenna construction and before deployment. Implicit in the previous statement is the assumption that the electrical veriations are the result of manufacturing tolerances and are not time dependent. It is appropriate to note here that the antenna patterns were modeled as gain versus angle, and that if there are any phase distortions with angle across the main beam, then several parameters in an image including resolution and SAR beamforming could be affected. The mechanical deformations which were modeled, however, represent symmetrical variations in the manufacture of the antenna and may not be representative of errors in the deployment of the antenna in space. Asymmetrical mechanical deformations may be more serious than what have been modeled to date.

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Effects on the SAR image not fully modeled in this study include the effects of amuiguities. The PRFs proposed for the SIR-C (1200- 1900 pulses/s) will not allow unambiguous imaging of the main lobe of the antenna pattern. In the  $60^0$  nadir simulation performed in this study, the PRF was 1570 Hz, which corresponds to an unambiguous imaging coverage of  $0.3^{\circ}$ . The one-way 5 dB width of the main lobe of the full array is approximately  $0.3^\circ$ , so that for this set-up ambiguous returns on the order of -12 dB would be observed. The other mechanism for ambiguous imaging is the reception of scattered energy in the sidelobes of the antenna. Without shading, the azimuth sidelobes are on the order of -13 dB from the peak. Since ambiguous imaging through azimuth sidelobes occurs from both transmission and reception through the sidelobes, the received energy will be 26 dB below the peak. In the case of range ambiguities which occur by eclipsing of the received pulses, however, energy transmitted on several pulses is received through the range sidelobes of each pulse, thus effectively changing the range sidelobe pattern by up to 10 dB. The range sidelobes of the patterns generated by PSL/NMSU were 22-24 dB below the peak (22 dB Taylor weighting was used). For the single panel case generated by 'SL/NMSU, with T/R modules driving rows of 48 elements, the range sidelobes were only 19 dB down from the peak. Depending on the configuration, these may be unacceptably high.

Future studies could take two paths. One would be to more closely mode'. the proposed SIR-C and investigate the effects of ambiguities and mechanical deformations of the antenna. The second line of research would be to investigate the possible effects of mechanical deformations due to deployment, thermal gradients, and other time varying factors on the SAR images. Since measurement of the antenna gain pattern after ueployment does not seem possible, these time varying tactors can create irrecoverable errors in the SAR images.

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