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Abstract

The internal electric field of an illuminated liquid droplet is studied in detail using both wave theory and ray theory. The internal field attains its maximum values on the caustics within the droplet. Ray theory is used to determine the equations of these caustics and the density of rays on them. The Debye series expansion of the interior field Mie amplitudes is used to calculate the wave theory version of these caustics. The physical interpretation of the sources of stimulated Raman scattering and fluorescence emission within a liquid droplet is then given.

Introduction

When a spherical liquid droplet is illuminated by a light source, the light is partially focused inside the droplet, forming patterns of caustic lines. The purpose of this paper is to analyze these patterns using a reformulation of Mie theory. One limitation of Mie theory is that it often gives little physical insight as to the origins of various scattering effects. As an alternative, ray theory often provides physical insight into the scattering process. However, ray calculations are incomplete and do not take into account wavelike phenomena such as diffraction and interference. The gap between Mie theory and ray theory can be bridged with the use of the Debye series expansion. The Debye series rearranges the Mie equations into an infinite series of internal reflection and transmission terms. Since the Debye series is mathematically equivalent to the Mie equations, it is exact and accounts for all the mechanisms that produce scattering. Its usefulness comes from calculating the individual terms of the series because they are analogous to reflected and transmitted light rays. These terms of the Debye series provide the physical insight into the origin of the caustic patterns inside the spherical droplet.

This paper represents the first time to our knowledge that the Debye series has been used to study in detail the interior scattering pattern of illuminated liquid droplets. This paper also makes use of the full Mie theory, as well as ray theory to analyze the internal caustic structure of a liquid droplet. The

results of stimulated Raman scattering (SRS) and fluorescence emission experiments are investigated as well, and the physical mechanisms that produce the sources of SRS and fluorescence in the droplet are determined using the above analytical methods.

Ray Theory of the Caustics within a Liquid Droplet

In this section we consider a spherical droplet of radius a and refractive index n whose center is at the origin of the coordinate system and which is illuminated by a family of rays propagating parallel to the positive Z axis. This geometry is shown in Fig. 1. Consider a ray whose angle of incidence at the droplet is θ_i . The fraction of this ray's intensity that is transmitted into the droplet is $T(\theta_i)$ and the fraction that is reflected at the surface is $R(\theta_i)$. For $a \gg \lambda$, the droplet surface is approximately flat, thus $T(\theta_i)$ and $R(\theta_i)$ are given by the Fresnel coefficients.¹ For the ray that is transmitted into the droplet, the fraction of its intensity that is internally reflected at each subsequent interaction with the droplet surface is also $R(\theta_i)$.

We define the p -ray family to be those rays within the droplet for all θ_i that have made $p - 1$ internal reflections. For example, when the incident rays are transmitted into the droplet, they become the $p = 1$ rays, after their first reflection inside the droplet they become the $p = 2$ rays, etc. Figure 2 shows several families of rays (line drawings) for p -values ranging from one to eight. The value for the index of refraction is taken as $n = 1.36 + 0i$ (i.e. an ethanol droplet) and the incident light is traveling from left to right in the figure. The line drawings of Fig. 2 show many different caustics. These drawings are two dimensional sections of the spherical droplet. The full ray picture is given by a figure of revolution about the Z axis for each drawing. Thus, the points where the $p = 1$ caustic intersects the droplet surface (on the shadow side) is a caustic ring when the figure is rotated. A photograph of the $p = 1$ caustic ring on an 18.4 cm diameter thin-wall spherical glass globe filled with water is shown as Fig. 3a. The $p = 2$ caustic in Fig. 2 is a cusp of revolution whose focal point is on the Z axis at $r = 0.61a$ when $n = 1.36$. A photograph of the $p = 2$ cusp of revolution for the thin-wall water-filled glass globe is shown as Fig. 3b. For each higher value of p , the caustic is also a cusp of revolution that winds further and further around the sphere, intersecting itself on the axis a number of times. These caustics will be referred to as the cusp caustics of the droplet.

Employing the definition of a caustic as the envelope of the p -ray family,² we find in Appendix A that the coordinates of the p -ray cusp caustic are

$$z = (-\sin(\gamma) \sin(\theta_r) + \kappa \cos(\gamma) \cos(\theta_r)) a \quad (1)$$

$$\rho = (-\cos(\gamma) \sin(\theta_r) - \kappa \sin(\gamma) \cos(\theta_r)) a$$

where from Fig. 1, ρ is the distance perpendicular to the Z axis, θ_r is the angle of refraction of the ray whose angle of incidence is θ_i ,

$$n \sin(\theta_r) = \sin(\theta_i) \quad (2)$$

$$\gamma = \theta_i + p\pi - (2p-1)\theta_r \quad (3)$$

and

$$\kappa = \left[2p-1 - n \frac{\cos(\theta_r)}{\cos(\theta_i)} \right]^{-1}. \quad (4)$$

The cusp points of the caustics of Fig. 2 have the coordinates

$$z_c = \frac{(-1)^p}{2p-1-n} a \quad (5)$$

$$\rho_c = 0$$

and near a cusp point, the cusp takes the usual Pearcey form³

$$\frac{z - z_c}{a} = W \left[\frac{\rho}{a} \right]^{2/3} \quad (6)$$

where

$$W = \frac{(-1)^p}{2} \left\{ \frac{9 [(2p-1-n)^3 - (2p-1-n) + n(n^2-1)]}{(2p-1-n)^4} \right\}^{1/3}. \quad (7)$$

Since the caustics of Fig. 2 and eq. (1) correspond to p-rays transmitted into and reflecting within a single cross section of the sphere, the equations of the analogous ray theory caustics for a circular cylinder illuminated by normally incident rays are identical.

In addition to the cusp caustic, a second type of caustic that is not readily apparent in Fig. 2, also exists within the droplet. It is the axial caustic. This caustic corresponds to focusing on the Z axis of light rays that enter the sphere along a ring of constant θ_i . Thus for any p-family of rays, an axial caustic will be present if the p-rays cross the Z axis. For the droplet depicted in Fig. 2, it can be seen that p-values of two and larger have the required internal axis crossing which forms the axial caustic. Also note in Fig 2 that the axial caustic never extends across the full length of the droplet's axis since rays never cross the Z axis near the center of the droplet.

The coordinates of the p-ray axial caustic within the sphere

$$z = -a \frac{\sin(\theta_r)}{\sin(\gamma)} \quad (8)$$

$$\rho = 0,$$

are calculated in Appendix A. A photograph of the internal p = 2 axial caustic for the thin-wall water-filled glass globe is shown as Fig. 3c. For $\theta_i = 0$, the p-ray axial caustic begins at the cusp point of eq. (5). For increasing θ_i it proceeds along the axis inside the cusp of revolution toward the surface. For large p, it continues back and forth along the droplet axis with turning points at the locations where the cusp caustic intersects itself. This behavior is shown in Fig. 4 for the p = 5 axial caustic. In the

figure, the incident rays are labeled with the letters A-P, and the crossing point of each ray on the axis is labeled with the same letter. The exiting rays are denoted by the prime and are shown so that the direction of travel of the ray can be seen. Note in the figure that there are regions where the axis crossings by the p-rays are more dense: points A to D and K to N. It is within the second of these regions that the turning point (L) of the axial caustic occurs. Also note that some of the $p = 5$ rays do not cross the axis. These are rays that enter the droplet at angles greater than the entering angle of ray H and less than the entering angle of ray I; and also for rays that enter at angles greater than the entering angle of ray P.

One important difference between the axial caustics and the cusp caustics is the degree of focusing that each represent. For the cusp caustics, incident rays that enter the droplet over a small element of the droplet's surface area contribute to the caustic over a small element of the caustic's surface area. For the axial caustics, incident rays entering the droplet over an element of the droplet's surface area (in this case it is in the shape of an annular ring) contribute to the axial caustic over an element of the axial caustic's one-dimensional length. This mapping (surface \rightarrow line) for the axial caustic corresponds to a higher degree of focusing than does the mapping (surface \rightarrow surface) for the cusp caustics of revolution. As a result, the axial caustics are expected to be the largest contributor to the intensity on the droplet axis. The turning points of the axial caustics represent an even higher degree of focusing since at these points, incident rays entering the droplet over an annular ring are focused at the turning point rather than along a line. The method of Ref. 4 is employed in Appendix B to calculate the density of rays on the axial caustics. We find that the ray theory intensity of the p-ray axial caustics is given by

$$\frac{dF_p}{dz} = \left| \frac{2\pi a^2 n \kappa T(\theta_i) R^{p-1}(\theta_i) \sin(\theta_i) \cos(\theta_r) \sin^2(\gamma)}{\cos(\gamma) \sin(\theta_r) + \kappa \sin(\gamma) \cos(\theta_r)} \right| \quad (9)$$

where κ is given in eq. (4), and for unpolarized light $T(\theta_i)$ and $R(\theta_i)$ are given by the averages of eqs. (18-38,39) of Ref. 1 respectively.

Wave Theory of the Caustics within a Liquid Droplet

For a plane wave of wavelength λ incident on a spherical droplet, the components of the interior electric and magnetic fields in Mie theory are given in Refs. 5-6,51-52. They are written as an infinite series of partial wave contributions which contain the Mie interior partial wave amplitudes⁷ c_l and d_l . Each of the partial wave amplitudes in turn may be written as another infinite series corresponding to interactions with the droplet surface of the radially propagating spherical multipole partial waves. This series expansion of c_l and d_l is analogous to the situation for a flat thin film where the transmitted and reflected electric fields are decomposed into a series of terms corresponding to multiple internal reflections.⁸ This series expansion for c_l and

d_ℓ is known as the Debye series, and it provides the link between wave theory and the ray theory results for the p-ray families of Fig. 2.⁹⁻¹⁰ The Debye series has been used for far-field scattering to isolate one component of the far-field intensity from interference effects produced between it and all the other components, and to suggest physical mechanisms for various scattering phenomena.¹¹⁻²⁰ It also provides a theoretical justification for the physical optics model for light scattering from bubbles.²¹⁻²⁴

Using the notation of Ref. 11, the Debye series expansions of the Mie theory partial wave amplitudes a_ℓ and b_ℓ for the scattered fields are

$$\begin{Bmatrix} a_\ell \\ b_\ell \end{Bmatrix} = \frac{1}{2} \left(1 - R_\ell^{22} - \frac{T_\ell^{21} T_\ell^{12}}{1 - R_\ell^{11}} \right) = \frac{1}{2} \left(1 - R_\ell^{22} - \sum_{p=1}^{\infty} T_\ell^{21} (R_\ell^{11})^{p-1} T_\ell^{12} \right), \quad (10)$$

and the Debye series expansions of the Mie theory partial wave amplitudes c_ℓ and d_ℓ for the interior fields are

$$\begin{Bmatrix} c_\ell \\ d_\ell \end{Bmatrix} = \frac{T_\ell^{21}}{1 - R_\ell^{11}} = \sum_{p=1}^{\infty} T_\ell^{21} (R_\ell^{11})^{p-1}. \quad (11)$$

In these expansions, region 1 is the interior of the sphere, region 2 is outside the sphere, T_ℓ^{21} is the amplitude transmission coefficient for the ℓ spherical multipole partial wave to be transmitted from region 2 into region 1, R_ℓ^{11} is the amplitude transmission coefficient for the ℓ partial wave to be internally reflected from region 1 back into the same region,

$$T_\ell^{21} = \frac{-2i/x^2}{(t_\ell^1 + t_\ell^2) + i(t_\ell^3 - t_\ell^4)}, \quad (12)$$

and

$$R_\ell^{11} = \frac{-(t_\ell^1 - t_\ell^2) - i(t_\ell^3 + t_\ell^4)}{(t_\ell^1 + t_\ell^2) + i(t_\ell^3 - t_\ell^4)}. \quad (13)$$

For a_ℓ and c_ℓ , the t_ℓ^i are given by

$$\begin{aligned} t_\ell^1 &= xy \left[j_\ell(x) j_{\ell-1}(y) - n j_{\ell-1}(x) j_\ell(y) + \frac{\ell(n^2-1)}{y} j_\ell(x) j_\ell(y) \right] \\ t_\ell^2 &= xy \left[n_\ell(x) n_{\ell-1}(y) - n n_{\ell-1}(x) n_\ell(y) + \frac{\ell(n^2-1)}{y} n_\ell(x) n_\ell(y) \right] \\ t_\ell^3 &= xy \left[n_\ell(x) j_{\ell-1}(y) - n n_{\ell-1}(x) j_\ell(y) + \frac{\ell(n^2-1)}{y} n_\ell(x) j_\ell(y) \right] \\ t_\ell^4 &= xy \left[j_\ell(x) n_{\ell-1}(y) - n j_{\ell-1}(x) n_\ell(y) + \frac{\ell(n^2-1)}{y} j_\ell(x) n_\ell(y) \right], \end{aligned} \quad (14)$$

and for b_ℓ and d_ℓ , the t_ℓ^i are given by

$$\begin{aligned}
t_\ell^1 &= xy [nj_\ell(x) j_{\ell-1}(y) - j_{\ell-1}(x) j_\ell(y)] \\
t_\ell^2 &= xy [nn_\ell(x) n_{\ell-1}(y) - n_{\ell-1}(x) n_\ell(y)] \\
t_\ell^3 &= xy [nn_\ell(x) j_{\ell-1}(y) - n_{\ell-1}(x) j_\ell(y)] \\
t_\ell^4 &= xy [nj_\ell(x) n_{\ell-1}(y) - j_{\ell-1}(x) n_\ell(y)]
\end{aligned} \tag{15}$$

where

$$x = \frac{2\pi a}{\lambda}, \tag{16}$$

$$y = nx, \tag{17}$$

and where j_ℓ and n_ℓ are spherical Bessel functions and spherical Neumann functions respectively. (These are also known as spherical Bessel functions of the first and second kind.) In addition, the amplitude transmission and reflection coefficients satisfy

$$|R_\ell^{22}| = |R_\ell^{11}|, \tag{18}$$

$$T_\ell^{12} = \frac{T_\ell^{21}}{n}, \tag{19}$$

and

$$\frac{|T_\ell^{21}|^2}{n} + |R_\ell^{11}|^2 = 1. \tag{20}$$

The physical interpretation of the various terms in eq. (10) are given in Ref. 11. For the interior of the droplet, the p term in eq. (11) corresponds to spherical multipole partial waves that have entered the droplet and have made $p - 1$ internal reflections. The Mie theory equivalent of the p -ray family of the previous section is the evaluation of the internal fields employing the p term in eq. (11) for c_ℓ and d_ℓ .

Over the years, one concern in numerical Mie theory computations has been the determination of the partial wave ℓ_{\max} at which the Mie series is truncated. For far-field scattering, Dave's criterion²⁵ is that the Mie scattering amplitudes a_ℓ and b_ℓ satisfy $|a_{\ell_{\max}}|^2 + |b_{\ell_{\max}}|^2 \leq 10^{-14}$. Occasional numerical difficulties with this criterion and the need for faster Mie calculation algorithms led Wiscombe to improve the criterion²⁶ to $\ell_{\max} = x + 4.05 x^{1/3} + 2$, which insures that $a_{\ell_{\max}}$ and $b_{\ell_{\max}}$ are each of order 10^{-8} . For internal source function calculations, previous authors have used either the first²⁷⁻²⁸ or second²⁹⁻³⁰ criterion. However, each of these criteria leads to less precision for interior field calculations than for far-field scattering calculations. This can be seen from the Debye series as follows. For high partial waves (i.e., $\ell > x$) we have $T_\ell^{21} \rightarrow 0$ and $R_\ell^{11} \rightarrow 1$. Assume that for such a partial wave

$$T_\ell^{21} \sim \epsilon \ll 1. \tag{21}$$

Then substituting eq. (21) into eq. (20) and Taylor series expanding, we get

$$R_l^{11} \sim 1 - \frac{\epsilon^2}{2n}. \quad (22)$$

From eq. (11) the interior Mie amplitudes are of order

$$c_l \sim d_l \sim \epsilon, \quad (23)$$

while from eq. (10) the scattering Mie amplitudes are of order

$$a_l \sim b_l \sim \epsilon^2. \quad (24)$$

As a result, the Mie interior amplitudes which are calculated with c_l and d_l converge slower than the Mie scattering amplitudes which are calculated with a_l and b_l . To obtain the same precision for interior field computations that one demands for far-field scattering computations, more terms than indicated by Dave's or Wiscombe's criteria must be employed in the Mie series. A related situation occurs when searching for morphological scattering resonances in the far-field scattering amplitudes.^{22,31} Using the formalism of Ref. 32, we find that the series cutoff

$$l_{\max} = x + 7x^{\frac{1}{3}} + 2 \quad (25)$$

ensures that $c_{l_{\max}}$ and $d_{l_{\max}}$ are of the order 10^{-8} for $35 \leq x \leq 10,000$ and for $\text{Im}(n) \leq 0.1$.

The cutoff of eq. (25) was used with the internal field formulas of Ref. 5-6 to determine the source function of a sphere

$$S(\vec{r}) = \frac{\vec{E}^*(\vec{r}) \cdot \vec{E}(\vec{r})}{E_0^2} \quad (26)$$

where E_0 is the incident field strength, for size parameter

$$x = 100.0 \quad (27)$$

and real refractive index

$$n = 1.36 \quad (28)$$

illuminated by unpolarized plane waves. The spherical Bessel functions that occur in the radial dependence of the electric field and in the evaluation of c_l and d_l were computed by downward recursion. The spherical Neumann functions were computed by upward recursion.

The full Mie interior source function is shown in Fig. 5 in three different formats. Figure 5a is a 100 x 100 point carpet plot and shows the strong interior field enhancements on the sphere's axis at $r \approx \pm 0.8a$. These enhancements in the source function are compatible with the locations of the enhancements found in previous Mie theory calculations^{17,33-39} and will be addressed in the next section. Figure 5b is the same source function calculated on a 500 x 500 point grid and logarithmically mapped into 64 shades of gray: black indicating the most intense fields and white the least intense. This gray level plot emphasizes the interference structure of the interior source function. Figure 5c

shows the source function on the sphere axis. The enhancements at $r \approx \pm 0.8a$ appear to be a number of closely spaced individual enhancements.

The contribution to the source function provided by the individual $p = 1$ to 8 terms of the Debye series of eq. (11) were also calculated for unpolarized incident light and are shown as the gray level plots in Fig. 2 along with the ray theory predictions. A common rule of thumb has been that ray theory becomes a good approximation to the far-field primary rainbow caustic for $x \geq 2000$.⁴⁰ Furthermore, when rainbows of higher order are observed, larger values of x are required before these rainbows are well formed.²⁵ For the interior caustic structure of Fig. 2, ray theory is already a qualitatively good approximation to wave theory at $x = 100$ for all values of p up to at least 8.

Fig. 2 shows a number of other interesting features. As with all other wave theory optical caustics,⁴¹ the infinite intensity of ray theory caustics is rendered finite and is surrounded by diffraction and interference structure. For $p = 2$, the interior portion of the first order rainbow is visible in both the ray picture and the Debye series gray level plot. It begins somewhat above and below the $p = 2$ cusp point and extends to the sphere surface, where it continues into the far-field to become the familiar first order rainbow. This behavior is shown more visibly in the $p = 2$ carpet plot of Fig. 6. Also surprisingly visible in Fig. 6 are the first few supernumerary rainbows inside the droplet. One other feature to note in Fig. 2 is how the interference structure in the gray level plots corresponds to regions of intersecting rays in the line plots. For example, the gray level plot for $p = 3$ shows much interference structure on the illuminated side of droplet (from $r \approx -1.0a$ to $r \approx -0.27a$) and a smooth decrease in intensity on the shadow side (from $r \approx -0.27a$ to $r \approx +1.0a$). The corresponding line plot for $p = 3$ shows many rays intersecting one another in the region on the illuminated side and the rays diverging in the region on the shadow side.

In order to assess the quantitative agreement between the caustics of ray theory and the individual terms of the Debye series expansion of the interior electric field, the source function was calculated along the Z axis for the $p = 1$ to 10 terms of the Debye series. The results are shown in Fig. 7 as the solid curves. It is remarkable that for large p , the source function for an individual term in the Debye series, ranges over as many as sixteen orders of magnitude. This large variation is easily understood. From the previous section, the intensity of a p -ray is proportional to $T(\theta_i)R^{p-1}(\theta_i)$. Consider the refractive index of eq. (28) and $p = 10$. The intensity at the center of the sphere is due to rays incident near the sphere axis with $\theta_i \approx 0$, $T \approx 0.977$, $R \approx 0.023$, and $TR^9 \approx 10^{-15}$. But the intensity near the surface of the sphere is due to rays near grazing incidence with $\theta_i \approx \pi/2$, and perhaps $T \approx 0.01$ and $R \approx 0.99$ giving $TR^9 \approx 10^{-2}$, thirteen orders of magnitude larger than at the center. In other words, rays near grazing incidence have a low probability of being transmitted into the droplet. But once inside, the probability of their surviving many internal reflections is quite large. On the other hand, rays near normal incidence are very likely to be transmitted into the droplet. But

once inside, they are again very likely to be transmitted back out. Since the cusp points of the p-ray caustics are due to rays near normal incidence, this argument explains why they are the locations on the caustics of nearly the weakest intensity, rather than the strongest. The illusion in the ray theory pictures of Fig. 2 that the cusp point is the most intense location on the caustic results from incorrectly drawing all the ray lines with equal darkness or thickness. Since $T(\theta_i)R^{p-1}(\theta_i)$ continues to grow in going from normal incidence to grazing incidence, the axial caustics become more intense as they progress from the center of the droplet to the perimeter.

In the previous section, we argued that on the Z axis, the electric field should be dominated by the contribution provided by the axial caustics. Figure 7 shows the density of rays on the axial caustics (data displayed as points) given by eq. (9) multiplied by a single scaling factor for all p. The locations on the curves that are multivalued represent regions where the axial caustic has turned back on itself. As is seen in this figure, at $x = 100$, the ray theory model of caustics within a liquid droplet is a quantitatively good approximation to the caustic structure predicted by wave theory. At the turning points of the axial caustics, the ray theory intensity becomes infinite while the Debye series intensity undergoes a rapid increase by a number of orders of magnitude and is accompanied on one side by an interference structure, a behavior typical of diffraction caustics.⁴¹ For larger x the interference structure becomes finer and the caustics become quite well defined.

Comparison with SRS and Fluorescence Emission

The transition rates for stimulated Raman scattering (SRS) and fluorescence emission at a given location within a sample are proportional to the square of the stimulating electric field at that location, i.e. the source function.⁴² Exploiting this fact, these inelastic light scattering processes have been used to probe the distribution of electric field strength within spherical liquid droplets illuminated by laser light.^{33-34,43-44} Three regions of enhanced source function within the droplet have been observed. The first region is on the droplet's shadow side at $r \approx +0.8a$. The second region is on the droplet's illuminated side at $r \approx -0.8a$. The third region is the entire circumference of the droplet. This last region of strong emission, in contrast to the first two, is observed only for certain diameter droplets and corresponds to the situation where the diameter of the droplet, its refractive index, and the wavelength of the incident light satisfy the conditions for the occurrence of a morphological scattering resonance.⁴⁵⁻⁴⁷

The analysis of the previous two sections and Fig. 7 can be used to explain the first region of enhanced source function on the droplets shadow side. The regions of most intense focusing on the shadow side of the droplet axis in Fig. 7 occur at focal point of the $p = 2$ rays, and also at the maxima of the Debye series interference patterns associated with the outermost turning points of the axial caustics at $r \approx +0.80a$ for $p = 6$ and $r \approx +0.78a$ for $p = 10$. Other turning points in this region are the outermost

turning points of the $p = 5$ and 9 axial caustics. But these are lower than those for the $p = 6$ and 10 by about two to five orders of magnitude. As a result we attribute the $r \approx +0.8a$ source of SRS and fluorescence emission to the $p = 2$ focal point amplified by the near-identical turning points of the $p = 6, 10, 14, \dots$ axial caustics.

In Refs. 33-34, 43 the shadow side source of SRS and fluorescence emission seems to be on the droplet axis. However in Ref. 44 it appears instead on the surface of the droplet at the position of the $p = 1$ caustic ring. Kerker and his co-workers have pointed out that the electric and magnetic field boundary conditions at the surface of the droplet must be satisfied not only by the radiation at the incident wavelength, but also by the radiation at the inelastically scattered wavelength.^{42,48-49} This causes the inelastically scattered radiation to be preferentially emitted in certain directions, and that these directions vary for stimulated molecules at different locations within the droplet. Since the observations of Ref. 33-34,43 and Ref. 44 were made at different scattering angles, this directionality of the inelastically scattered radiation may provide the explanation for the difference between the observations. This point, however, requires further study.

The second region of SRS and fluorescence emission on the illuminated side of the droplet (at $r \approx -0.8a$) is said to be caused by the focusing on the axis of rays that have internally reflected twice within the droplet³⁵ (i.e. $p = 3$ rays). This interpretation can be seen in Figs. 2, 7 to be incorrect since for $n = 1.36$, eq. (5) predicts that the $p = 3$ cusp point should occur at $z = -0.27a$. This corresponds to the very small enhancement on the full Mie plot in Fig. 5c at $z \approx -0.27a$. The locations of the outermost turning points of the axial caustics at $r \approx -0.80a$ for $p = 4$ and at $r \approx -0.78a$ for $p = 8$ closely correspond to the maximum source function enhancement in Fig. 5c. As a result we attribute the $r \approx -0.8a$ source to the nearly identical locations of the turning points of the $p = 4, 8, 12 \dots$ axial caustics.

It has been noted that when observing the sources of SRS and fluorescence emission within liquid droplets, the curvature of the droplet surface causes the apparent source of the emission to be shifted from its actual location.^{33,50} This shift in position is calculated in Appendix C using geometrical optics and is shown in Fig. 8 for $n = 1.333$. Also shown in Fig. 8 are the results of an experimental verification of the position shift. The 18.4 cm diameter thin-wall glass globe described earlier was fitted with a scale along its diameter. The globe was photographed from the side, then filled with water and photographed again. The shifts in the positions of the grid marks on the scale between the two photographs were measured. The agreement with the results of Appendix C is good.

We applied the method of Appendix C to determine the actual locations of the sources of SRS and fluorescence emission in the photographic observations of Refs. 33-34, 43. The results are given in Table 1. The experimental results agree with the locations of the $p = 2$ cusp point and the outermost turning points of the $p = 4, 6, 8, 10, \dots$ axial caustics found in Fig. 7.

Conclusions

In the past, the Debye series expansion of the far-field Mie scattering amplitudes has provided a valuable method by which a scattering mechanism can be computationally isolated from all the other effects that interfere with it. In this paper we show that the Debye series expansion of the interior field Mie amplitudes has a physical interpretation of equal richness. The individual terms of the Debye series correspond to families of rays inside the droplet. The caustics of ray theory are reproduced by the Debye series calculations and decorated with diffraction structure typical of wave theory treatments. Further, the Debye series analysis provides the physical explanation of the source function hot spots observed using inelastic light scattering.

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Appendix A

Consider the p-ray which enters the liquid droplet with the angle of incidence θ_i at the coordinates

$$\begin{aligned} z_i &= -a \cos(\theta_i) \\ \rho_i &= +a \sin(\theta_i). \end{aligned} \tag{A1}$$

The location where this ray intersects the sphere surface at the $p - 1$ internal reflection is

$$\begin{aligned} z_{p-1} &= -a \cos(\theta_i + 2(p-1)\phi) \\ \rho_{p-1} &= +a \sin(\theta_i + 2(p-1)\phi) \end{aligned} \tag{A2}$$

and the location where it intersects the sphere surface at the p internal reflection is

$$\begin{aligned} z_p &= -a \cos(\theta_i + 2p\phi) \\ \rho_p &= +a \sin(\theta_i + 2p\phi), \end{aligned} \tag{A3}$$

where

$$\phi = \frac{\pi}{2} - \theta_r \tag{A4}$$

and θ_r is the angle of refraction given in eq. (2). The equation of this p-ray is

$$\rho = \rho_{p-1} - [\tan(\gamma)] (z - z_{p-1}), \tag{A5}$$

where γ is given in eq. (3). Consider the intersection of this ray with the p-ray whose angle of incidence is $\theta_i + \epsilon$. The angle of refraction of this second p-ray is $\theta_r + \delta$ where

$$\delta \approx \frac{\epsilon \cos(\theta_i)}{n \cos(\theta_r)} \tag{A6}$$

for small ϵ . In the limit as $\epsilon \rightarrow 0$, the intersection point of the first and second p-ray is the location on the caustic given by eq. (1).

For the axial caustic, consider the location where the p-ray crosses the Z axis. Eq. (A5) with $\rho = 0$ gives

$$Z = z_{p-1} + \frac{\rho_{p-1}}{\tan(\gamma)}. \tag{A7}$$

Substituting eq. (A2) into (A7) gives eq. (8).

Appendix B

Let dF_{inc} be the flux incident on the annular ring of width $d\theta_i$ centered at the angle of incidence θ_i and let dz be the progress along the p-ray axial caustic that results from increasing the angle of incidence from $\theta_i - d\theta_i/2$ to $\theta_i + d\theta_i/2$. Then

$$dF_{inc} = 2\pi a^2 \sin(\theta_i) \cos(\theta_i) d\theta_i. \quad (B1)$$

The fraction of this incident flux dF_p that survives $p-1$ internal reflections is

$$dF_p = dF_{inc} T(\theta_i) R^{p-1}(\theta_i). \quad (B2)$$

Then following the procedure of Ref. 4,

$$\frac{dF_p}{dz} = \frac{\frac{dF_p}{d\theta_i}}{\frac{dz}{d\theta_i}}. \quad (B3)$$

The expression for z is given in eq. (8). Differentiating it with respect to θ_i and inserting the result into eq. (B3) gives eq. (9).

Appendix C

Consider a point on the axis of the sphere at the location

$$Z_s = w a \quad (C1)$$

as in Fig. 9a. Assume that this point source emits light rays in all directions. Consider the light ray that leaves the source at an angle α with respect to the ρ axis. Then the z coordinate of the intersection of this light ray with the surface of the sphere is

$$Z_{out} = u a \quad (C2)$$

where

$$u = w + [w^2 + 1 - 2w \cos(\beta)]^{1/2} \cos(\beta + \theta_i) \quad (C3)$$

and

$$\sin(\theta_i) = \frac{w \sin(\beta)}{[w^2 + 1 - 2w \cos(\beta)]^{1/2}}. \quad (C4)$$

The angle that the exiting ray makes with the Z axis is

$$\xi = \beta + \theta_r \quad (C5)$$

where

$$\sin(\theta_r) = \frac{n w \sin(\beta)}{[w^2 + 1 - 2w \cos(\beta)]^{1/2}}. \quad (C6)$$

As is shown in Fig. 9b, many such light rays leave the source S , pass through a lens. These rays are then imaged at the point S on a viewing screen parallel to the Z axis as shown in Fig 9c. These rays, such as rays A, B, and C in Fig. 9b appear to originate from the virtual source S' within the droplet. Consider ray B which passes through the center of the lens. If d is the distance from the droplet to the lens, then from Fig. 9c the angle that this ray makes with the Z axis is approximately

$$\xi = \frac{\pi}{2} + \arctan\left(\frac{Z_{out}}{d}\right). \quad (C7)$$

In the limit $d \gg a \geq Z_{out}$, combining eqs. (C5, C7) gives

$$\cos(\beta) = \sin(\theta_r) \quad (C8)$$

or

$$w^2 + 1 - 2w \cos(\beta) = n^2 w^2 \tan^2(\beta). \quad (C9)$$

Finally, given the actual position of the source w , one numerically solves eq. (C9) to obtain β . This is then inserted into eq. (C4) to find θ_i , and the result is substituted into eq. (C3) to find u . If $a \ll d$, this is also the apparent position of the virtual source S' .

Table 1⁺

Ref.	Liquid	Droplet Radius	Shadow Side Enhancement	Illuminated Side Enhancement
33	ethanol $n=1.36$	$35\ \mu\text{m}$	$0.66a \leq r \leq 0.79a$	$-0.78a \leq r \leq -0.70a$
34	methanol $n=1.33$	$25\ \mu\text{m}$	$0.67a \leq r \leq 1.00a$	$-1.00a \leq r \leq -0.63a$
43	ethanol ^(a) $n=1.36$	not given	$0.42a \leq r \leq 1.00a$	$-1.00a \leq r \leq -0.26a$

+ The experimentally observed locations of the sources of SRS and fluorescence emission in liquid droplets.

(a) P. Chýlek, private communication.

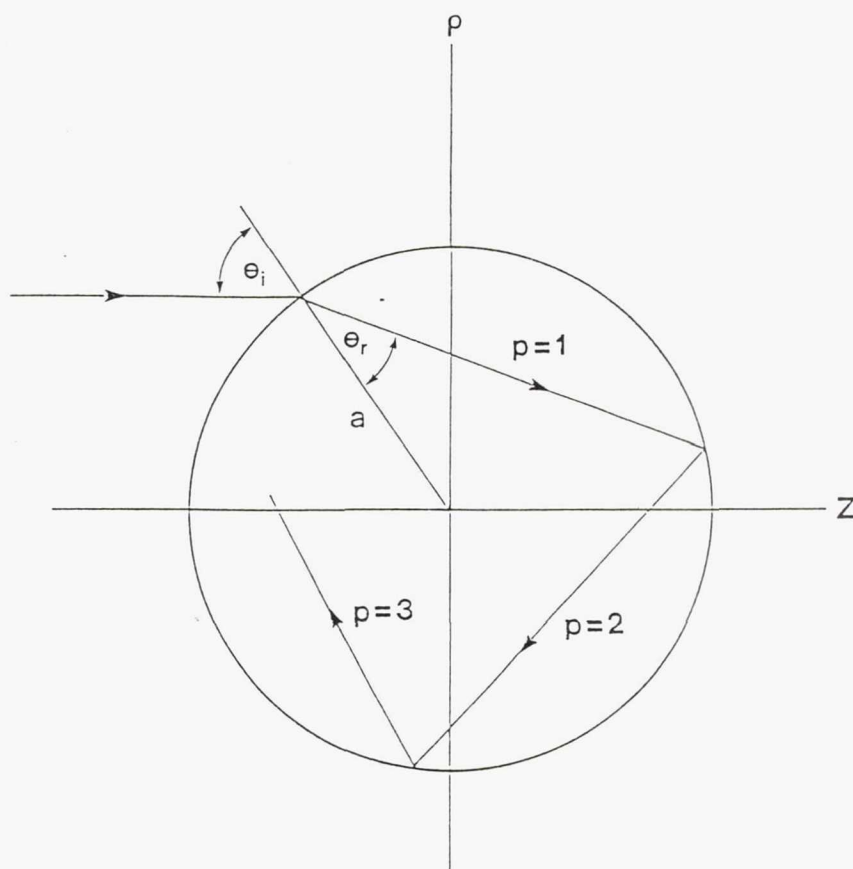


Figure 1 A geometrical light ray with an angle of incidence θ_i entering and internally reflecting within a liquid droplet of radius a .

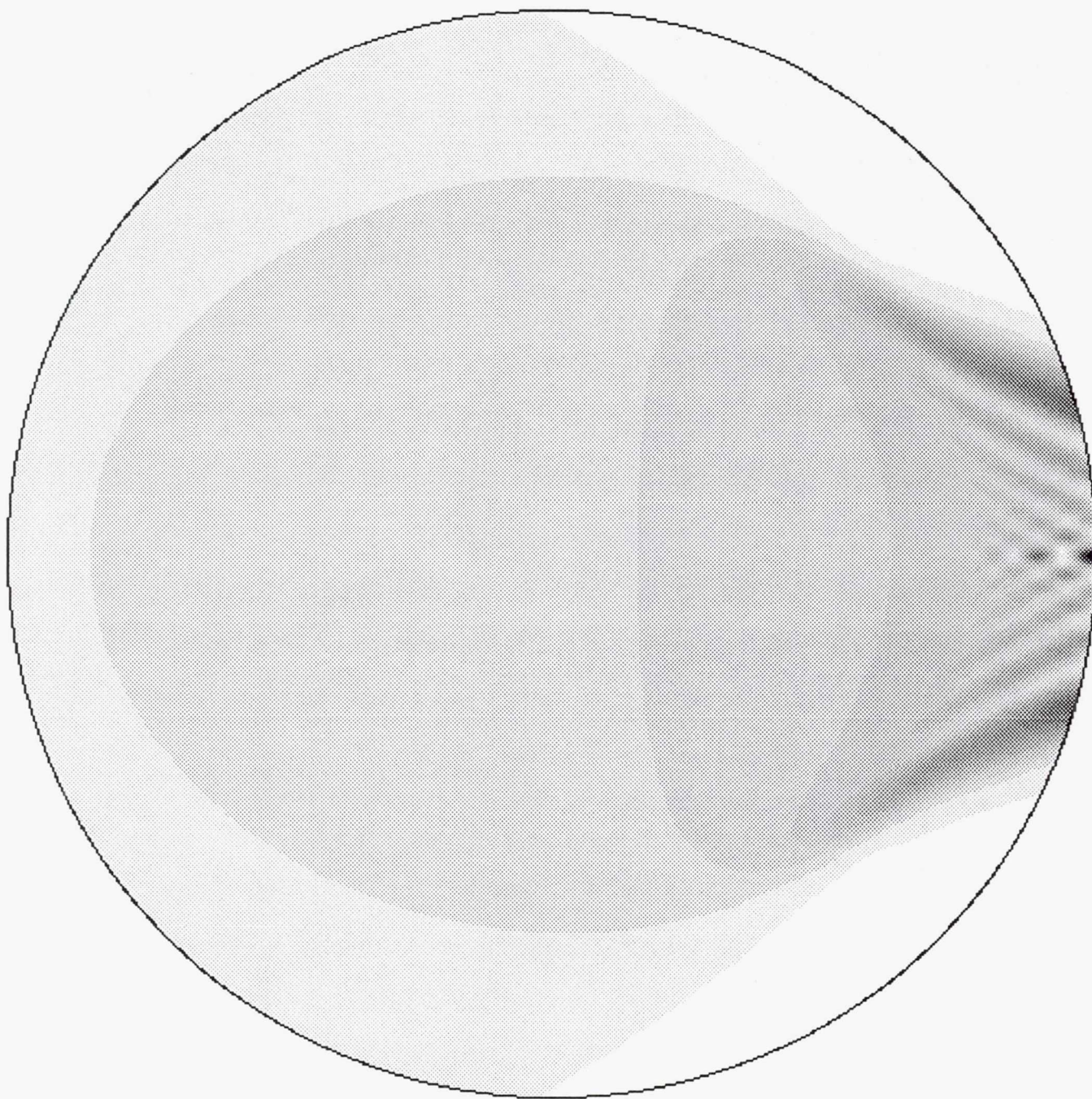


Figure 2a - Gray level plot of the $p = 1$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

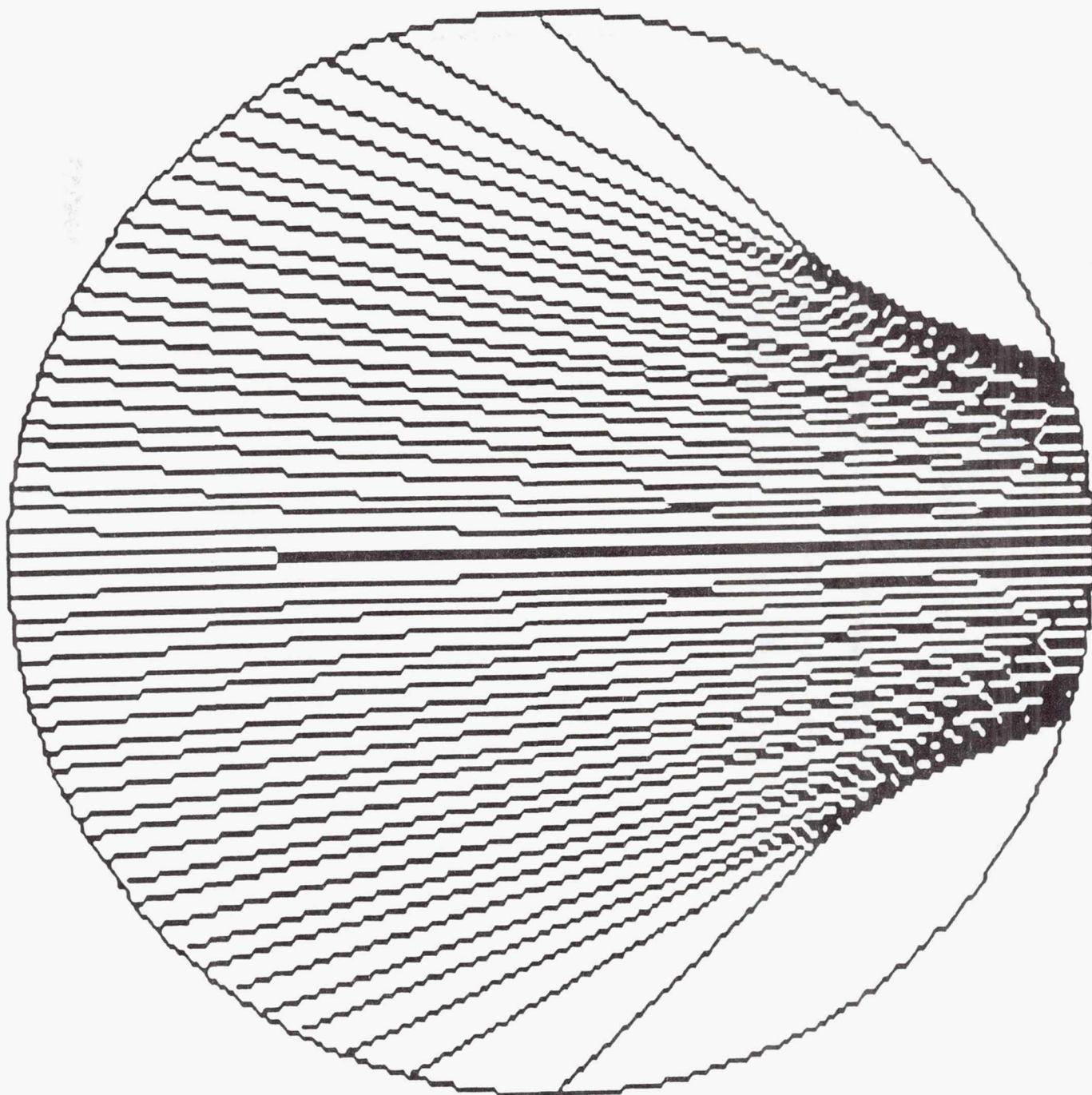


Figure 2b - Line Drawing of the geometrical $p = 1$ ray family within the droplet for $n = 1.36$.

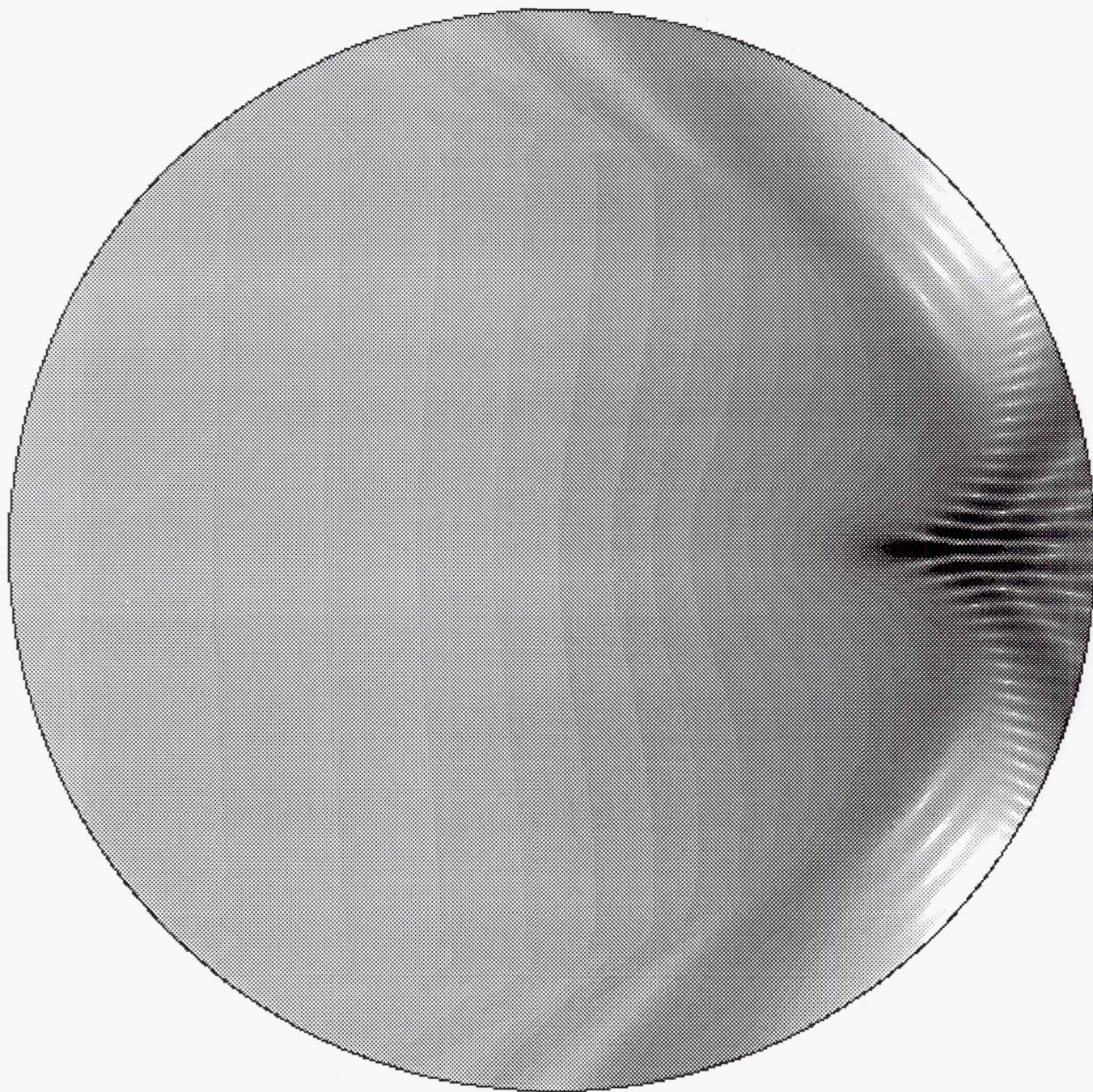


Figure 2c - Gray level plot of the $p = 2$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

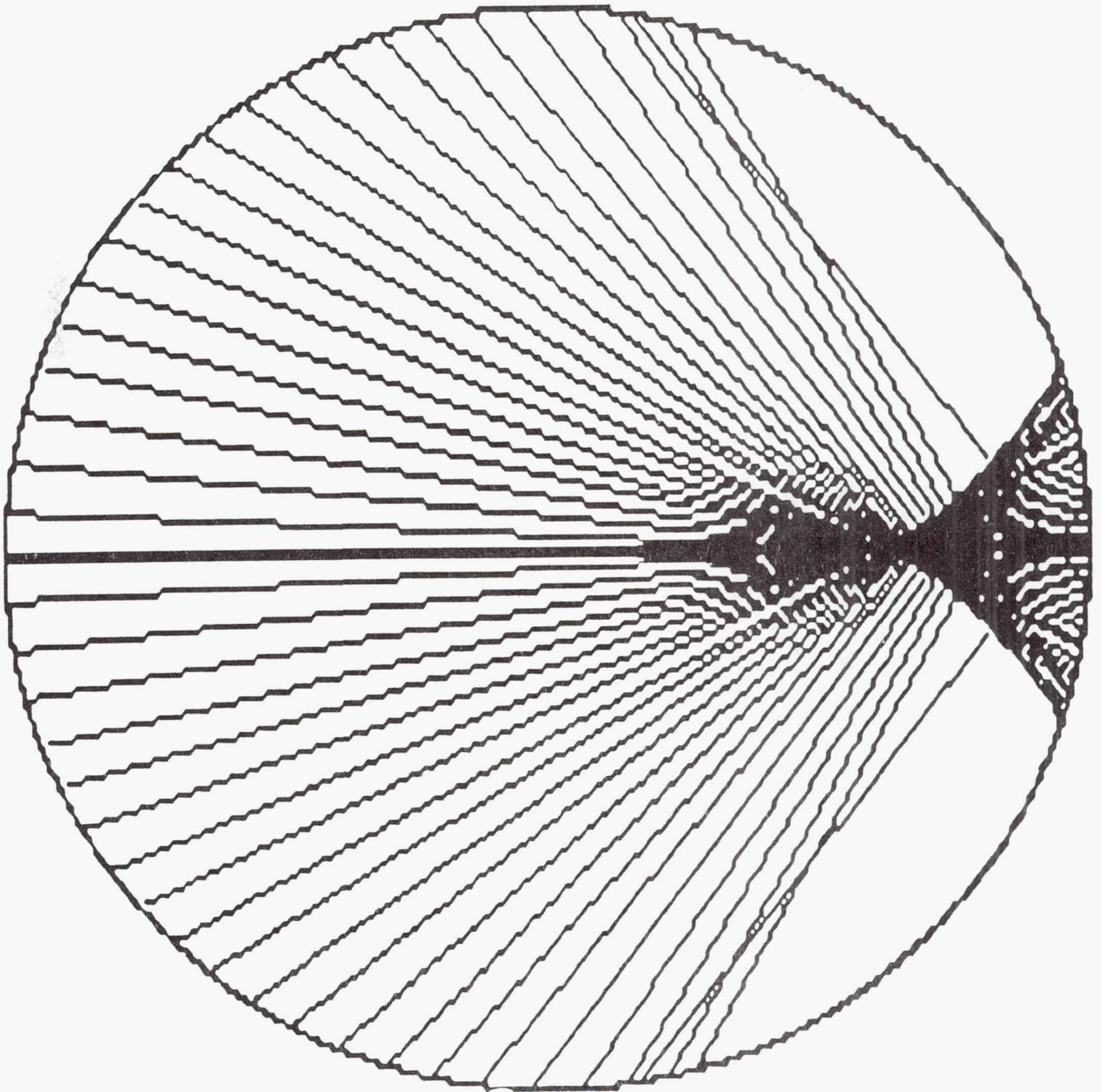


Figure 2d - Line Drawing of the geometrical $p = 2$ ray family within the droplet for $n = 1.36$.

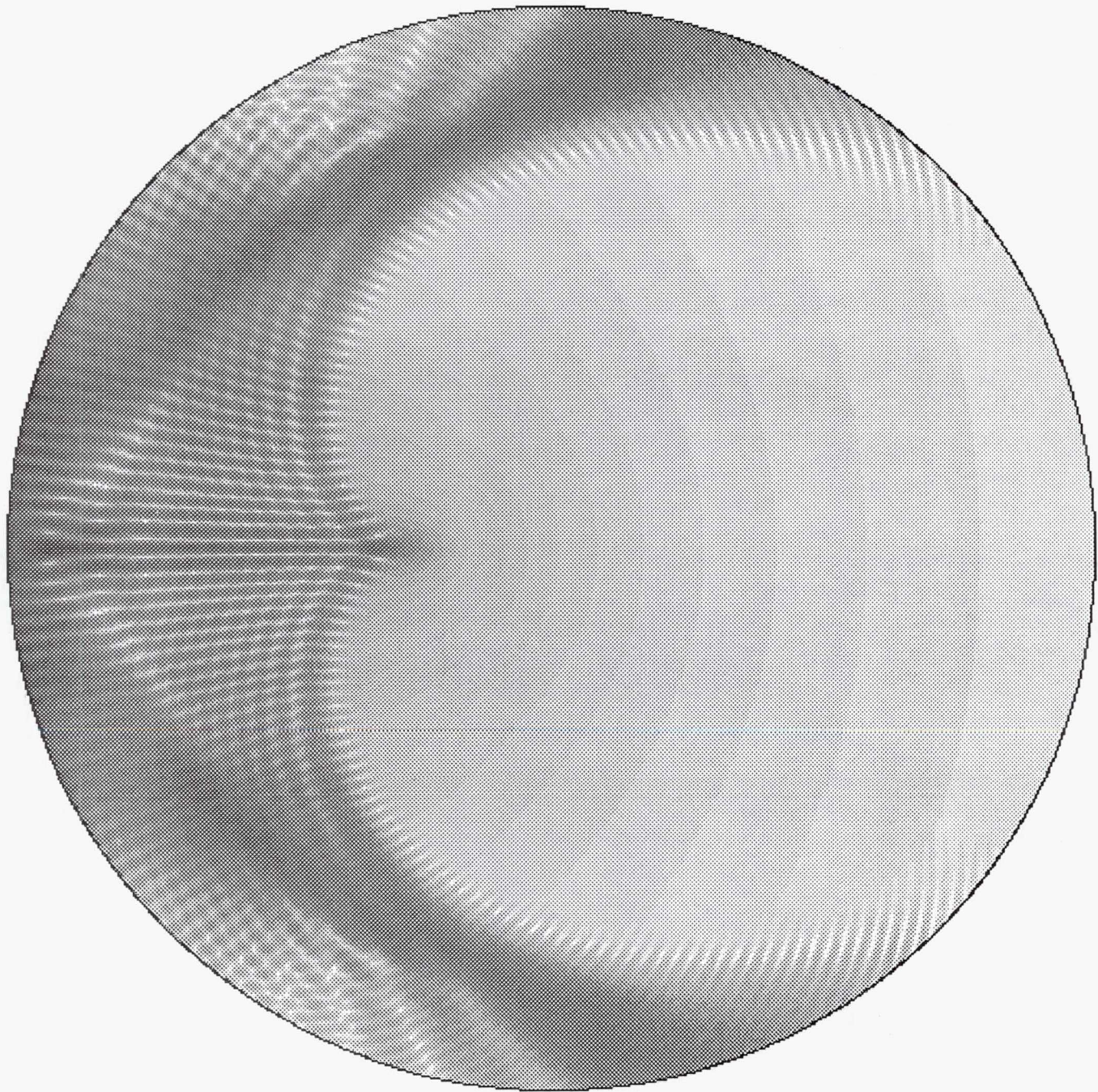


Figure 2e - Gray level plot of the $p = 3$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

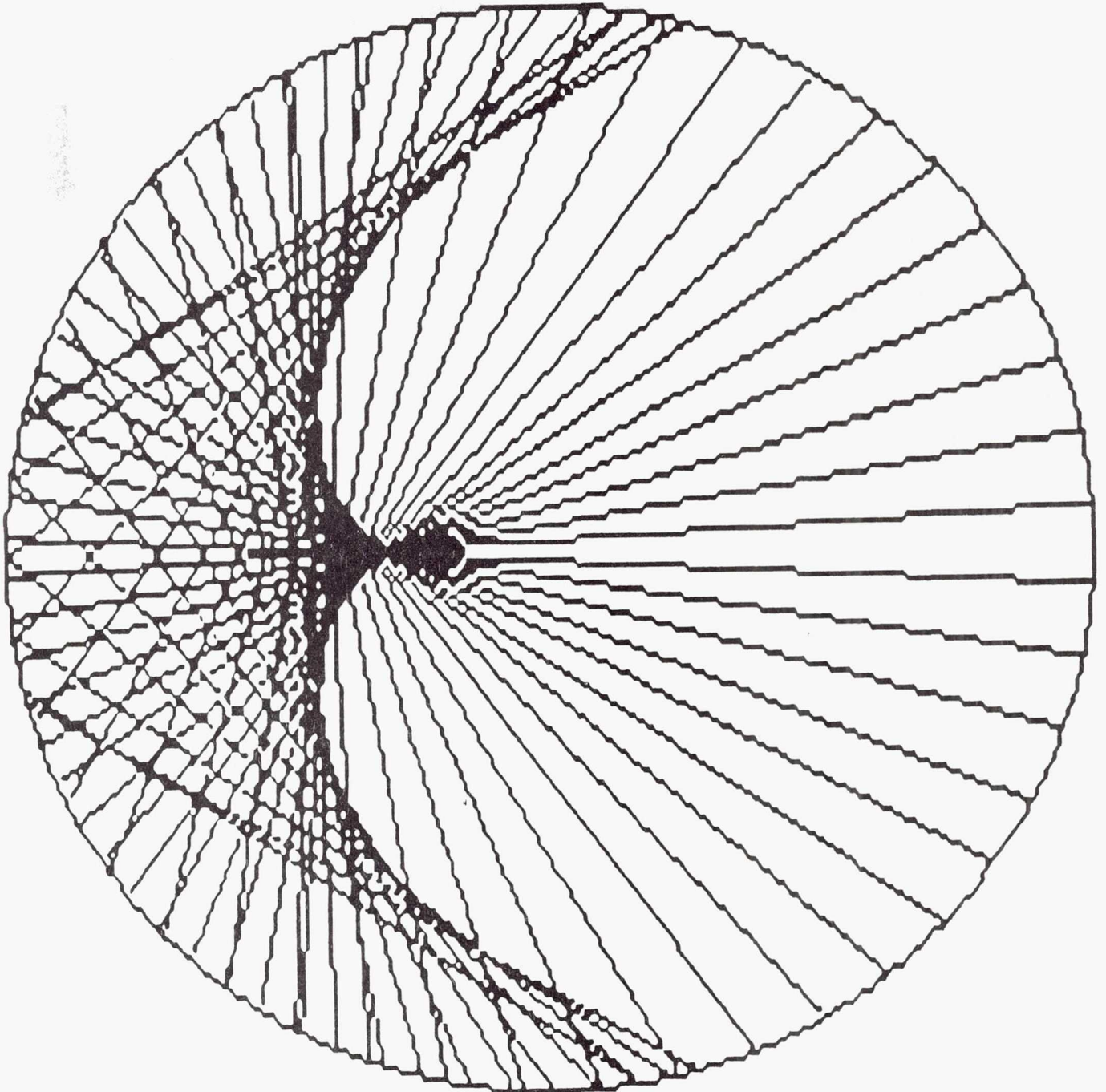


Figure 2f - Line Drawing of the geometrical $p = 3$ ray family within the droplet for $n = 1.36$.

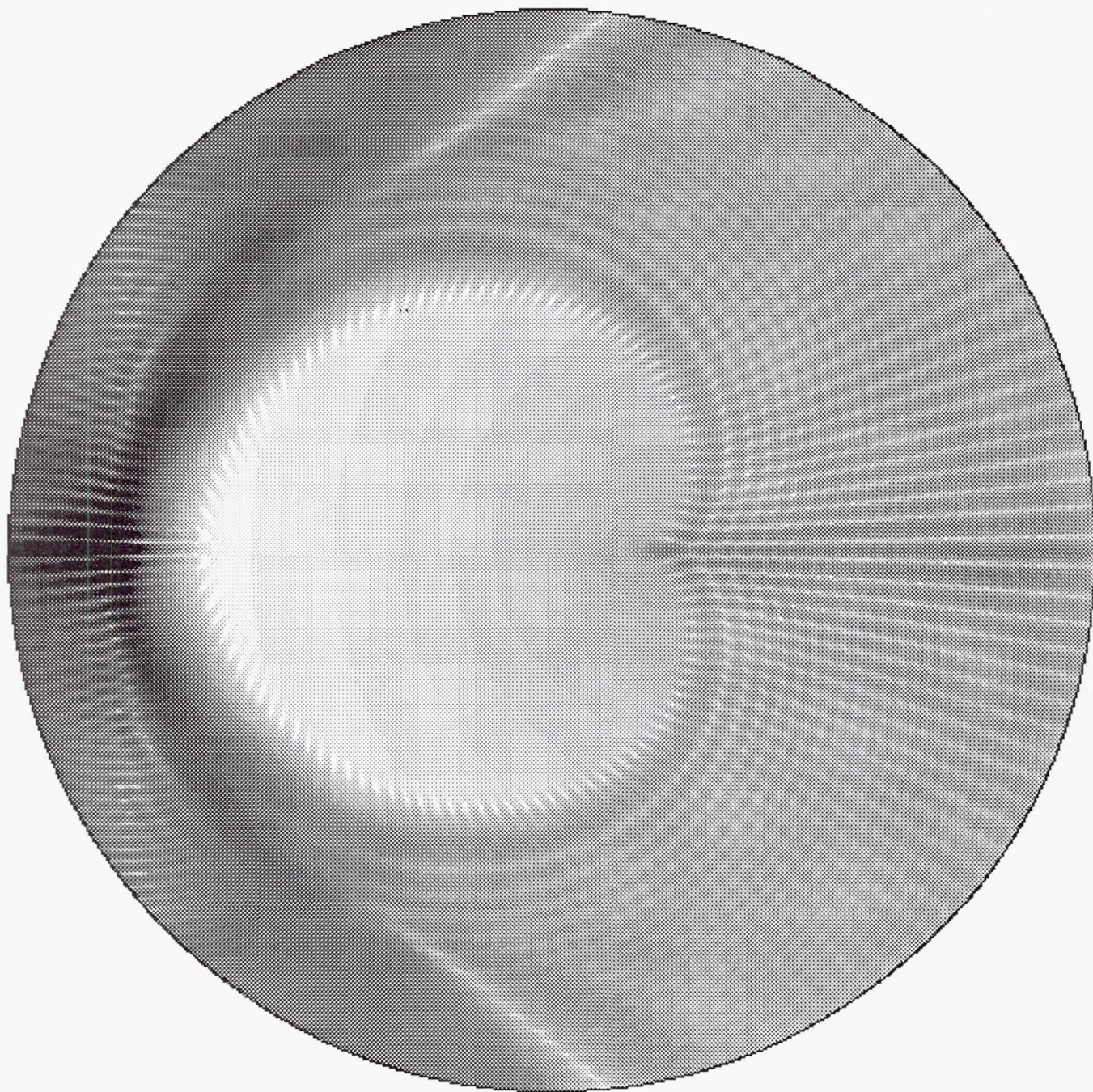


Figure 2g - Gray level plot of the $p = 4$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

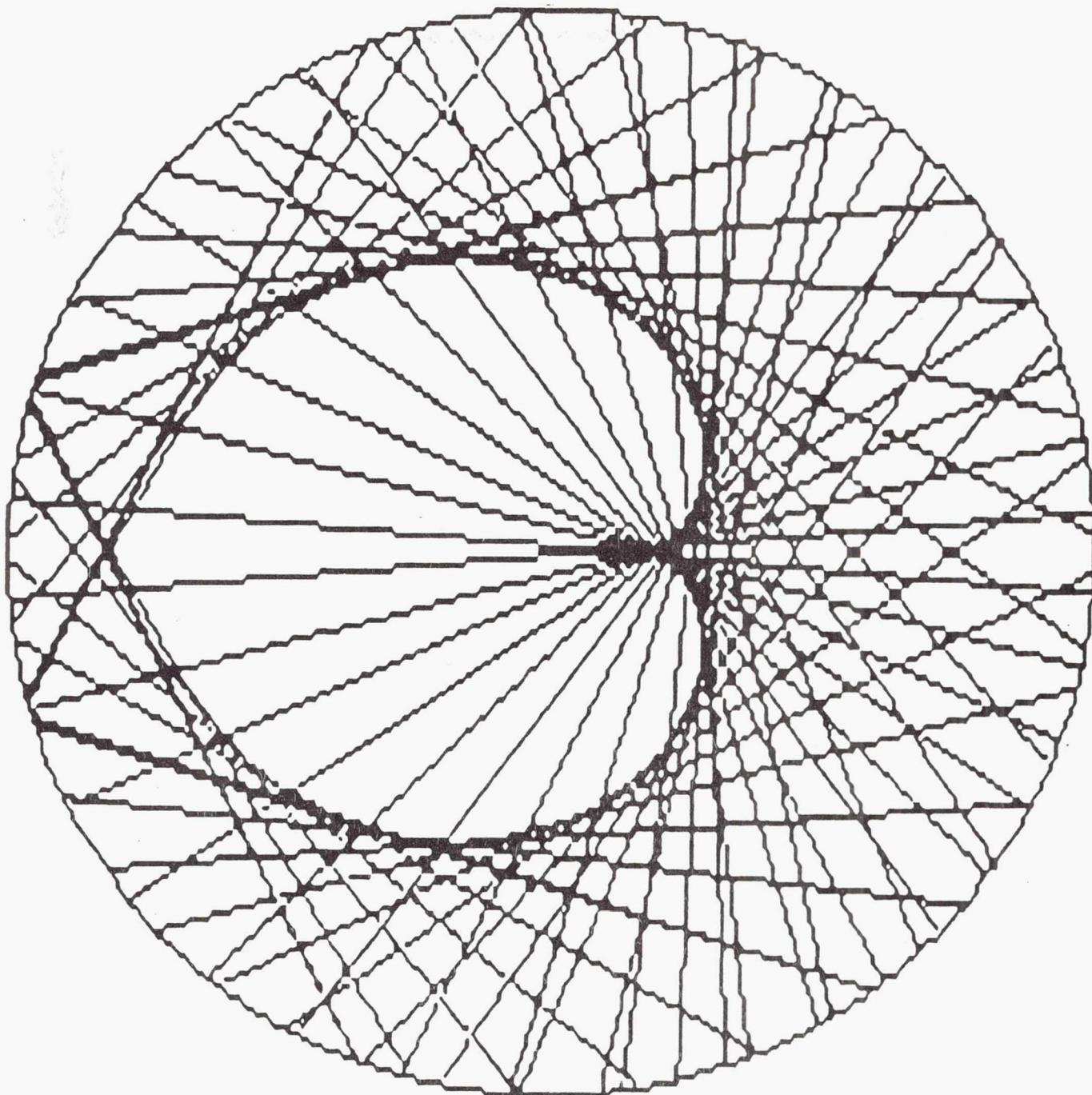


Figure 2h - Line Drawing of the geometrical $p = 4$ ray family within the droplet for $n = 1.36$.

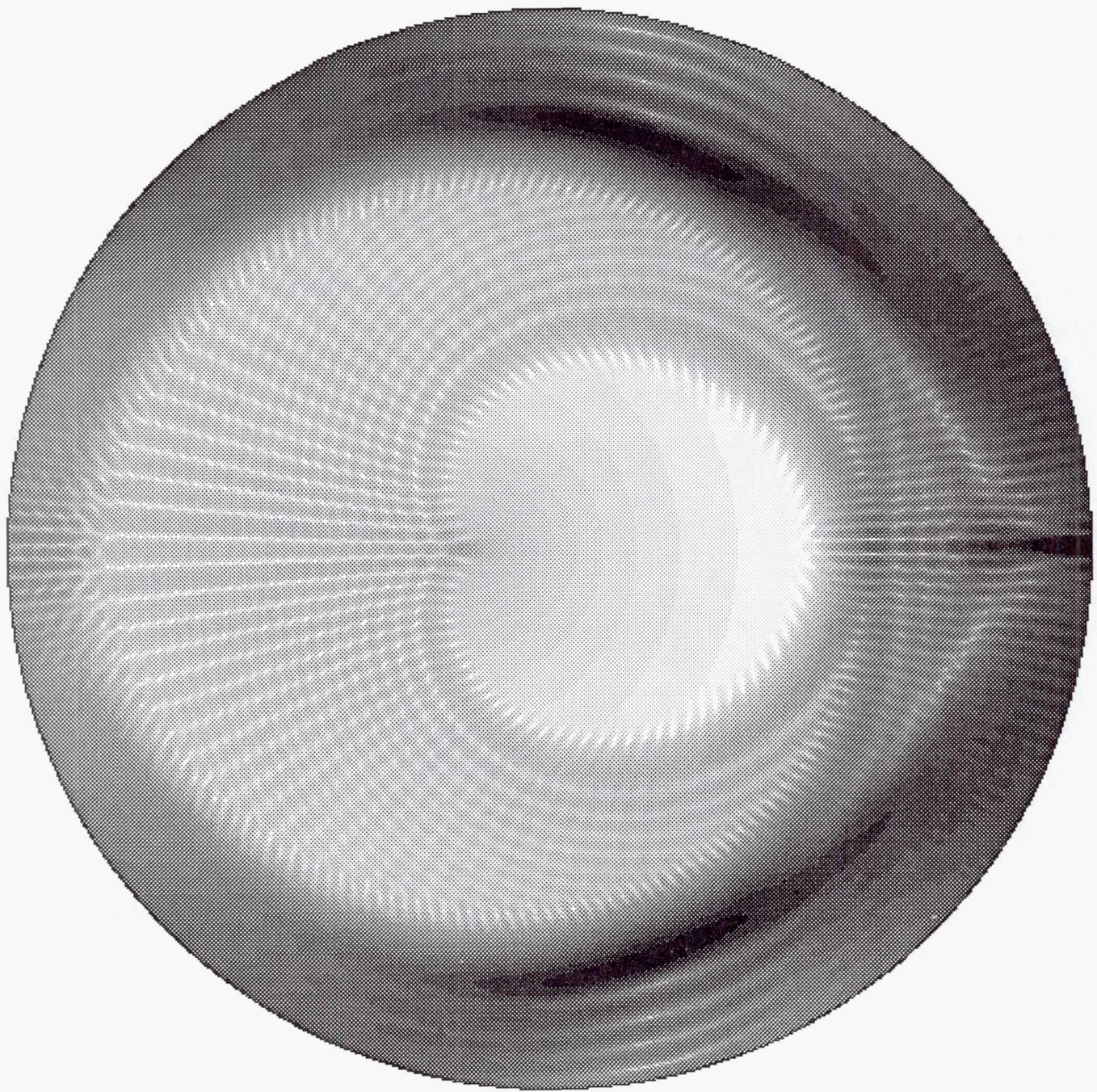


Figure 2i - Gray level plot of the $p = 5$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

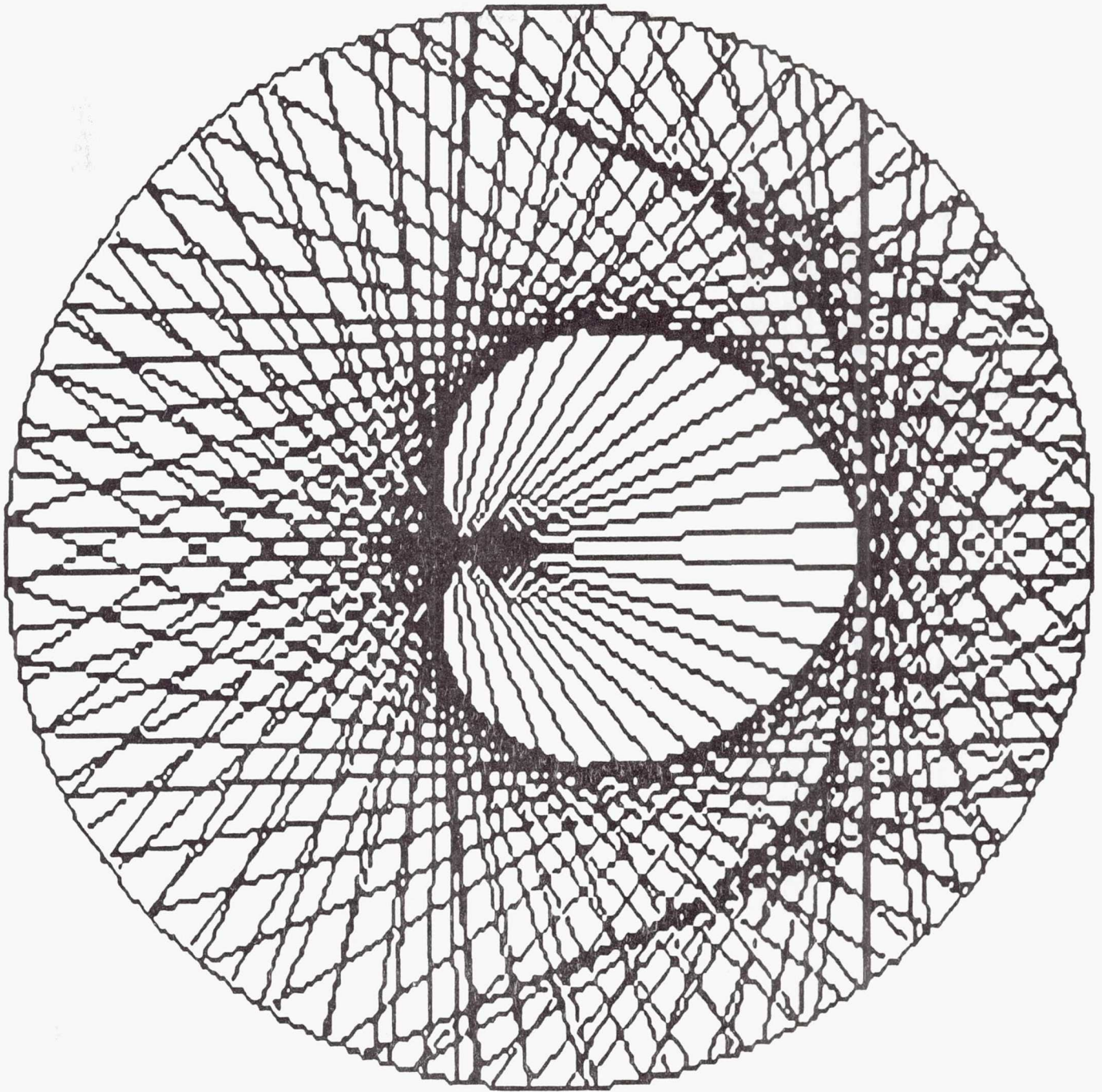


Figure 2j - Line Drawing of the geometrical $p = 5$ ray family within the droplet for $n = 1.36$.

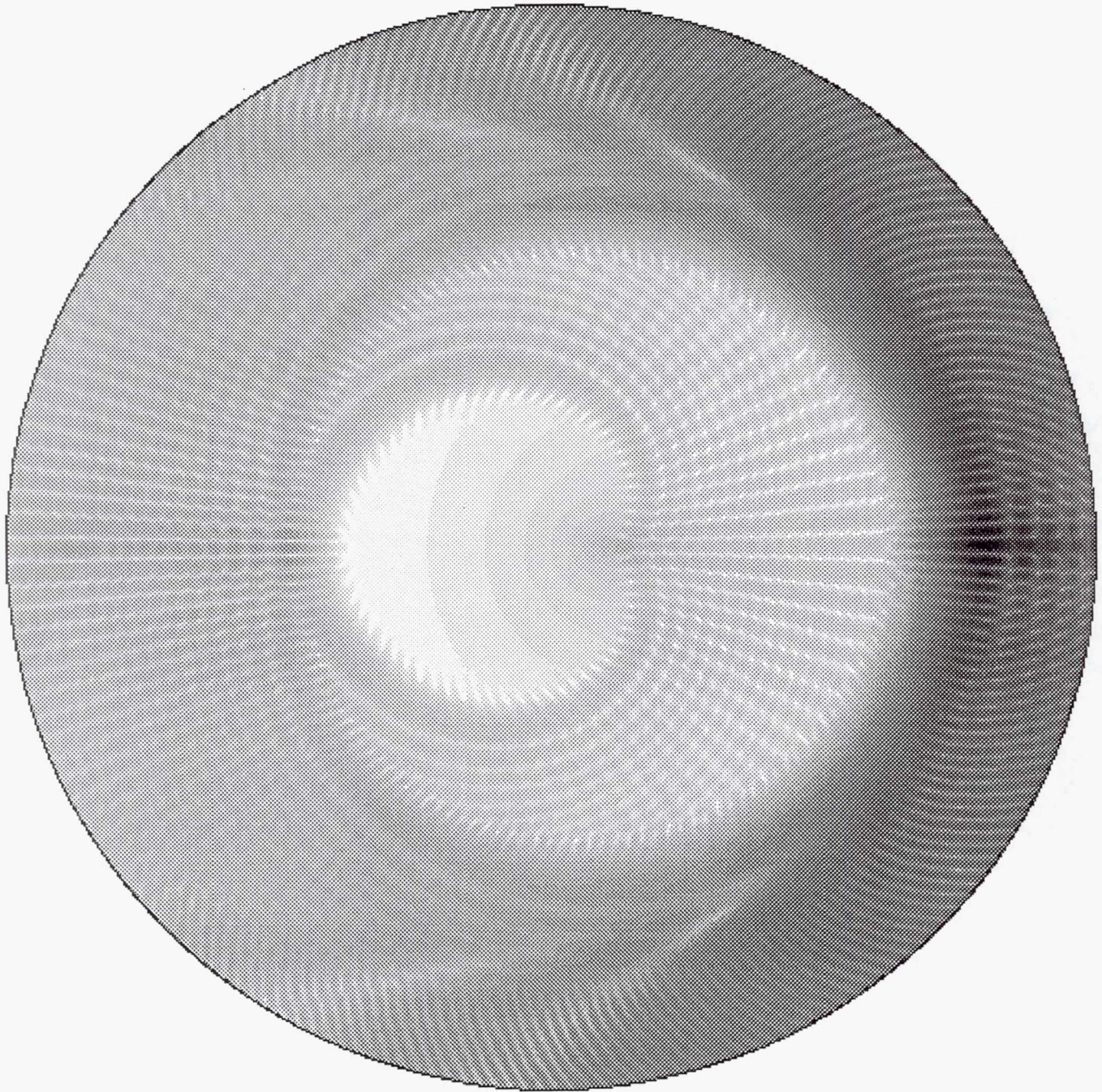


Figure 2k - Gray level plot of the $p = 6$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

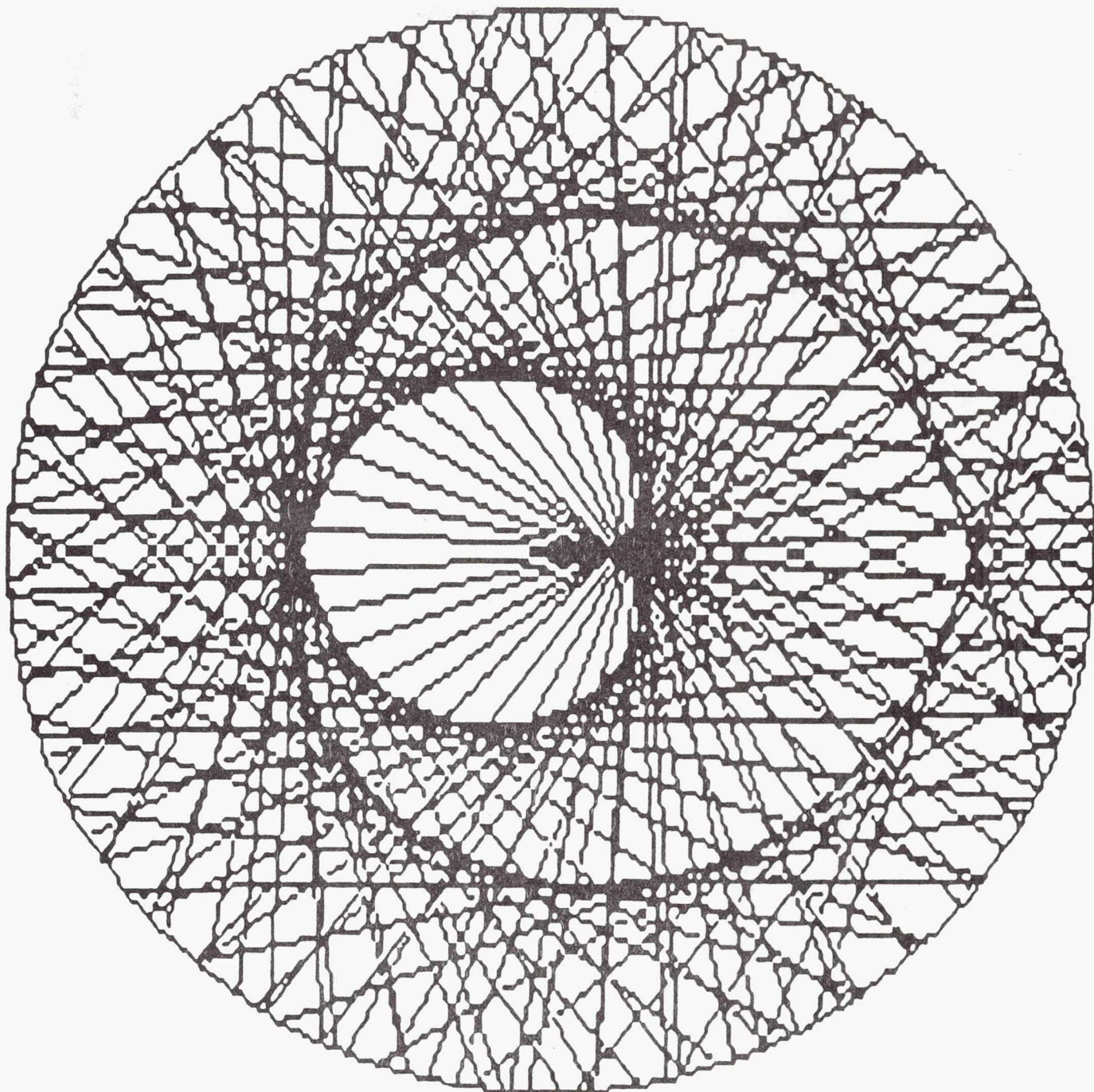


Figure 2L - Line Drawing of the geometrical $p = 6$ ray family within the droplet for $n = 1.36$.



Figure 2m - Gray level plot of the $p = 7$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

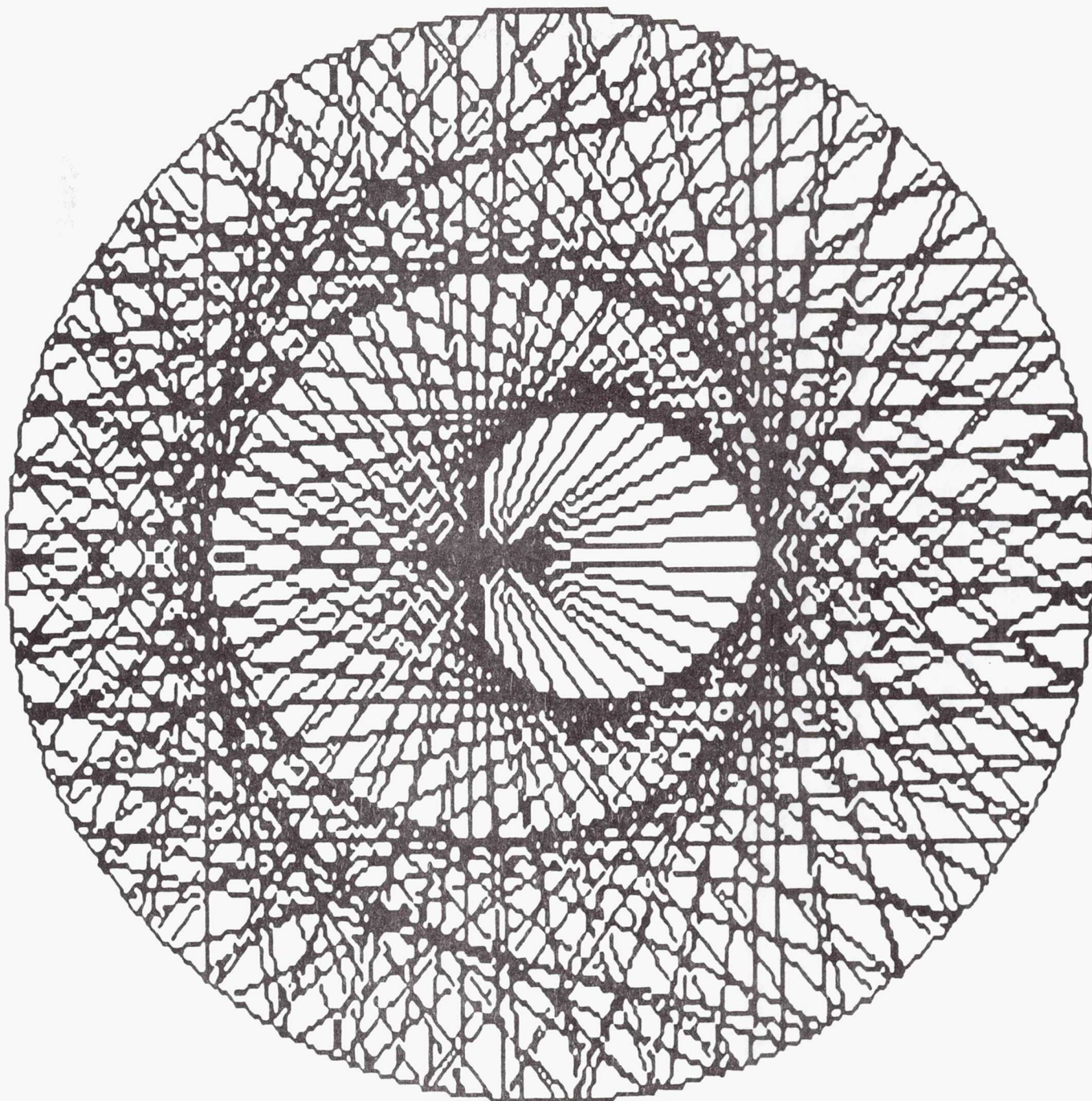


Figure 2n - Line Drawing of the geometrical $p = 7$ ray family within the droplet for $n = 1.36$.

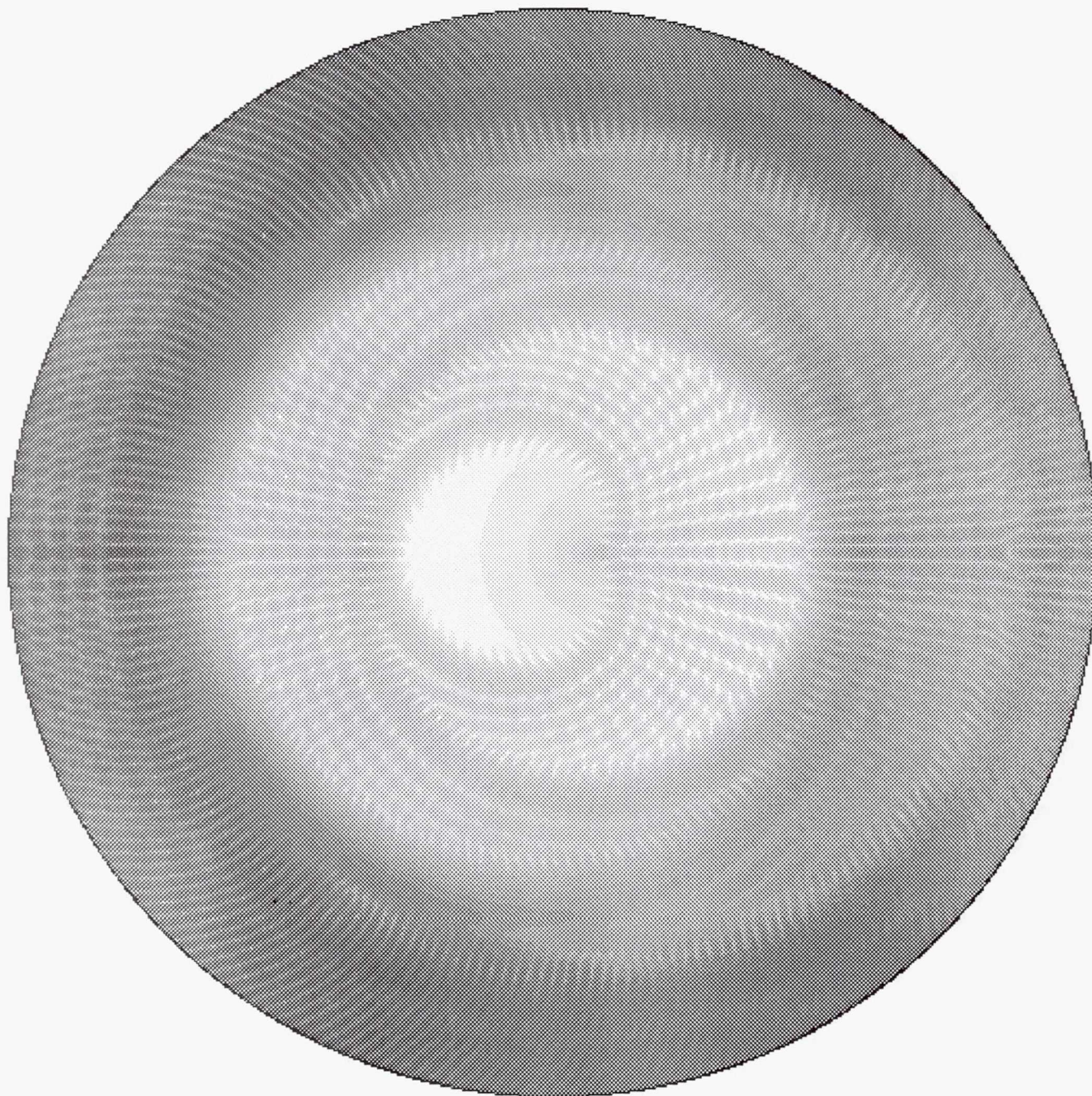


Figure 2o - Gray level plot of the $p = 8$ term of the Debye Series interior source function within the droplet for $x = 100$ and $n = 1.36$, for unpolarized light.

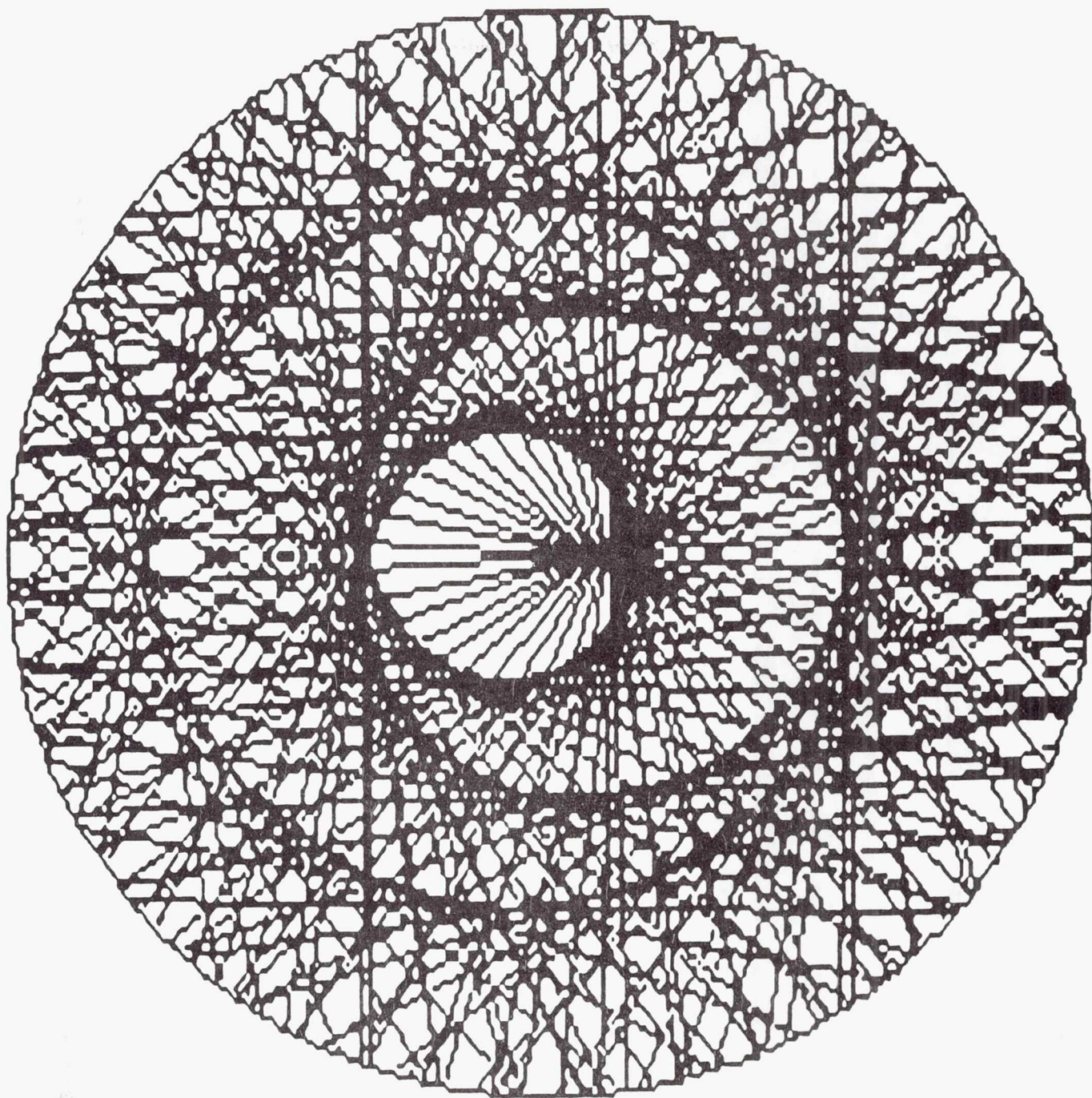
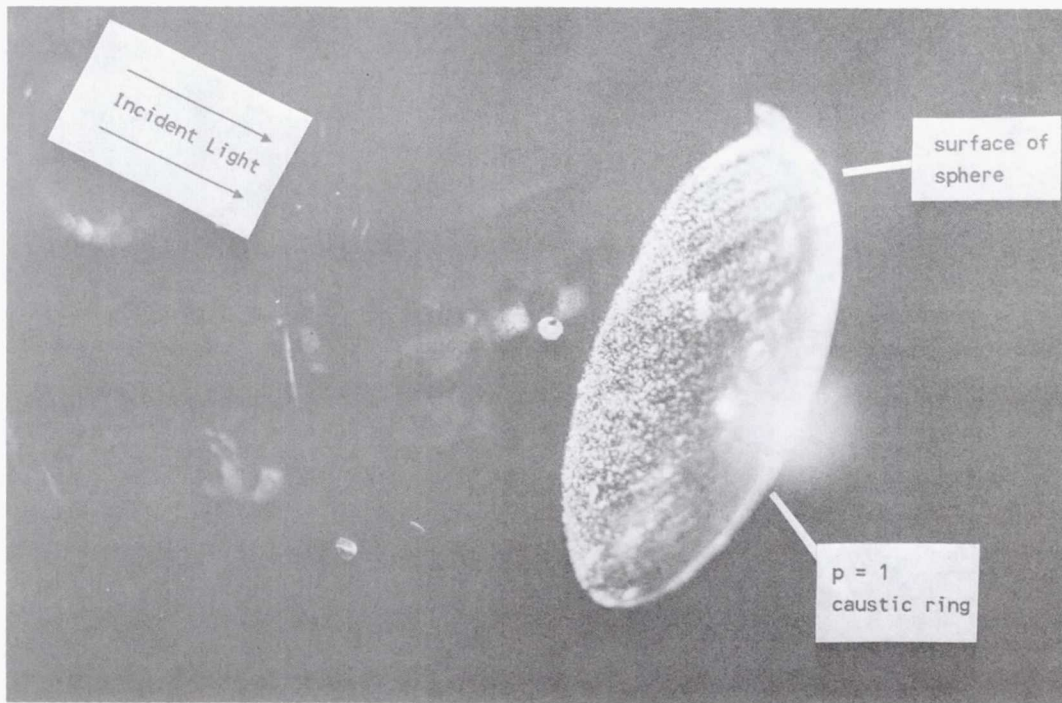
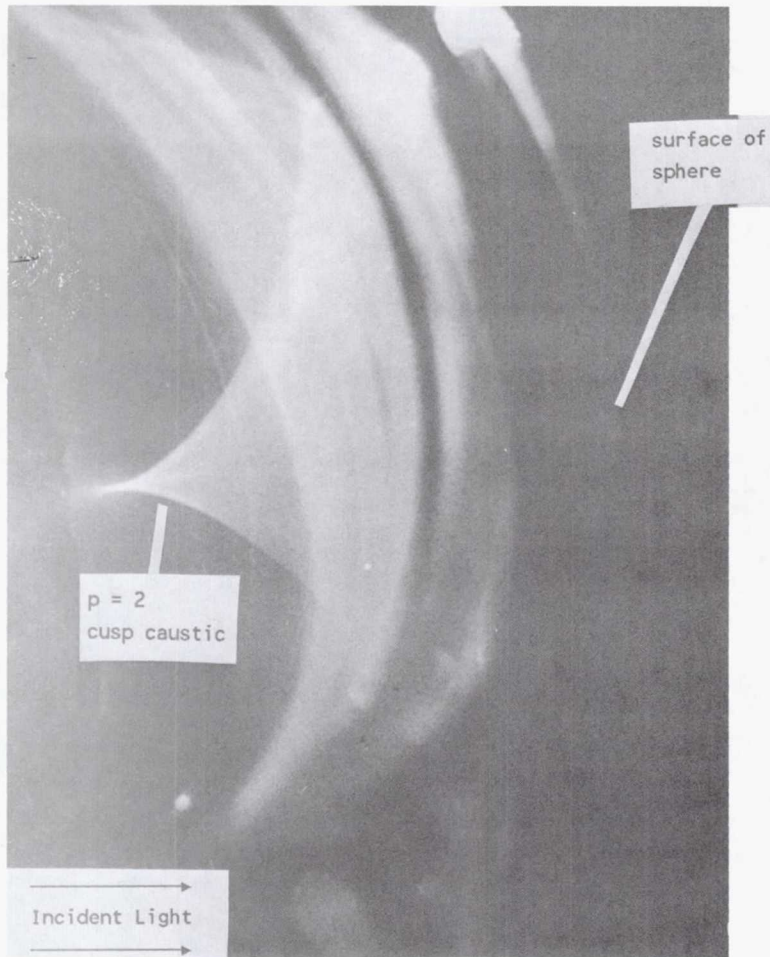


Figure 2p - Line Drawing of the geometrical $p = 8$ ray family within the droplet for $n = 1.36$.

a



b



c

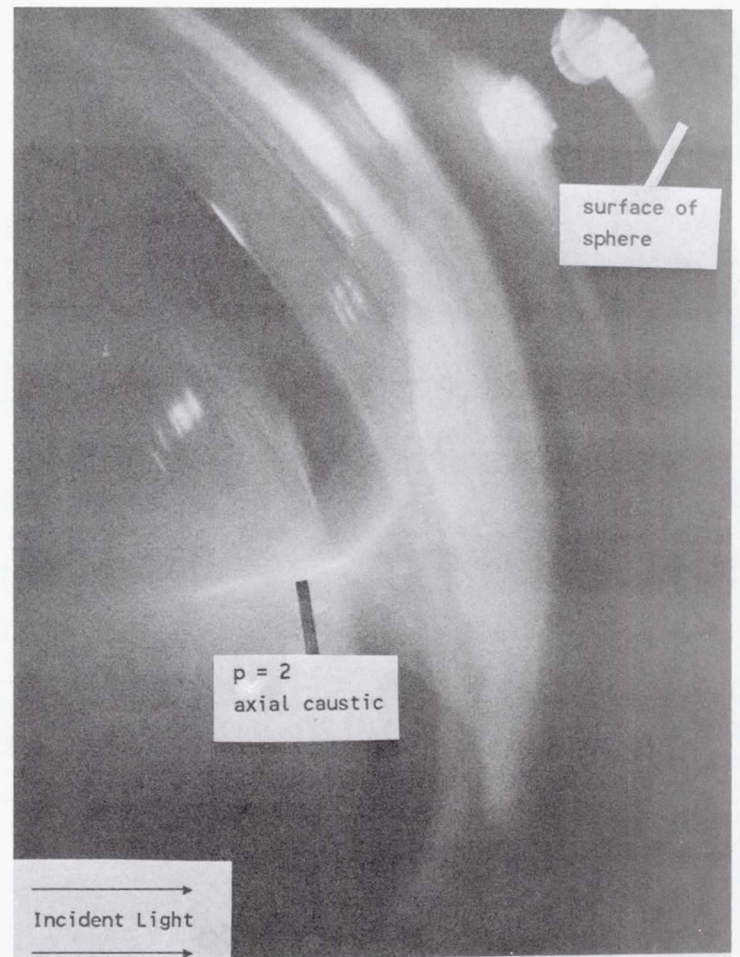


Figure 3 (a) The $p = 1$ caustic ring, (b) the $p = 2$ cusp caustic of revolution, and (c) the $p = 2$ axial caustic for an 18.4 cm thin-wall water-filled spherical glass globe.

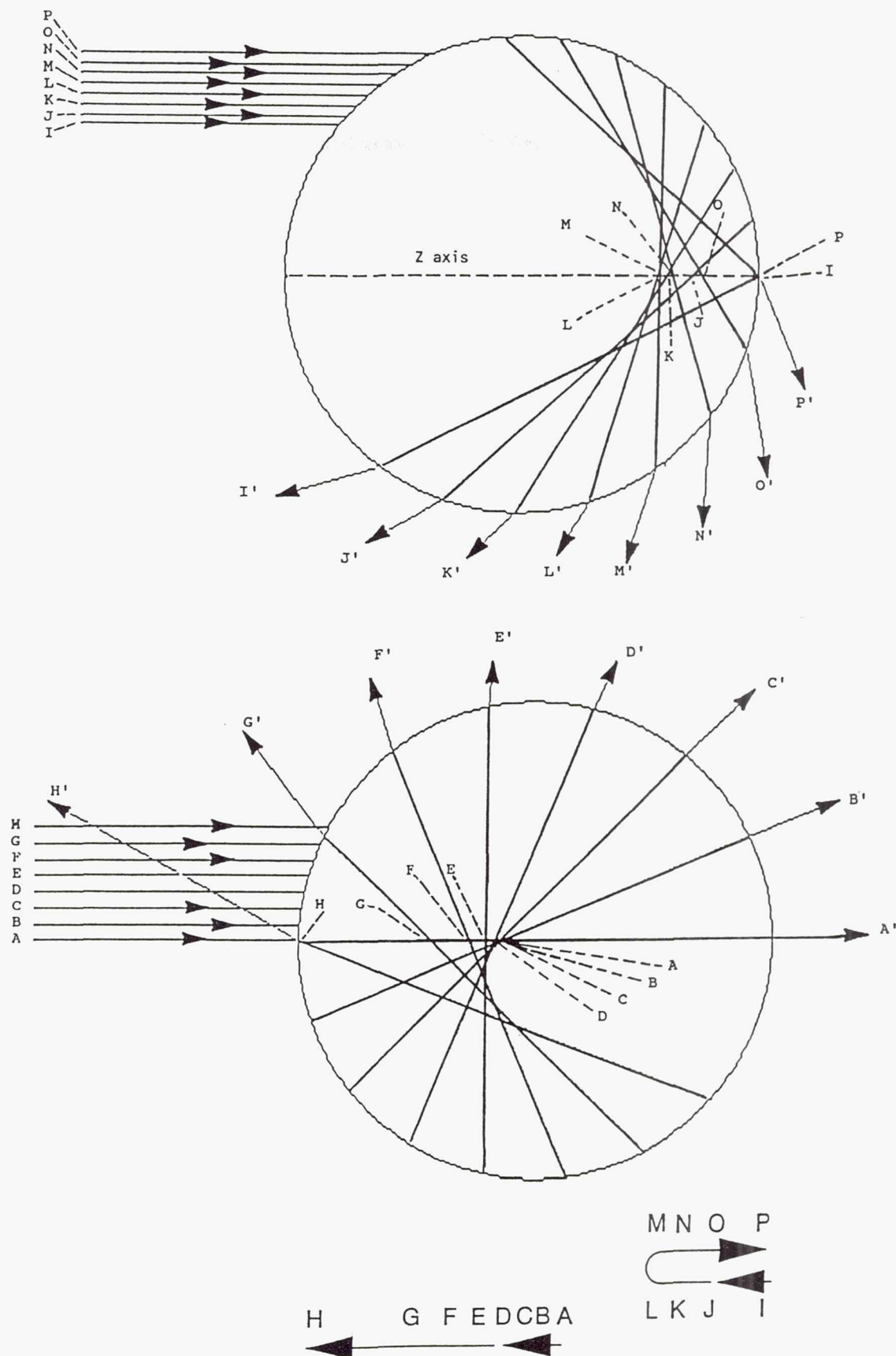
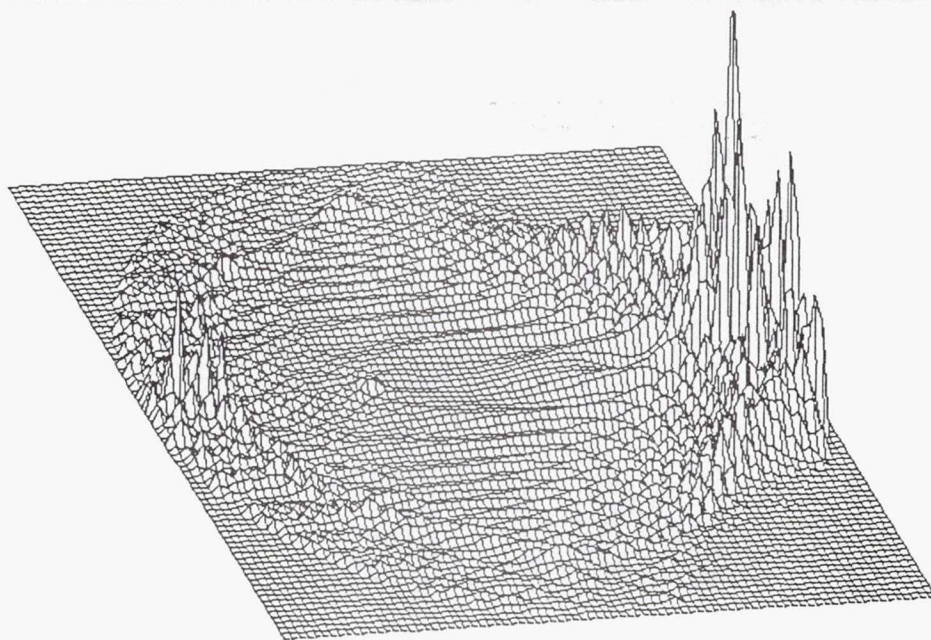
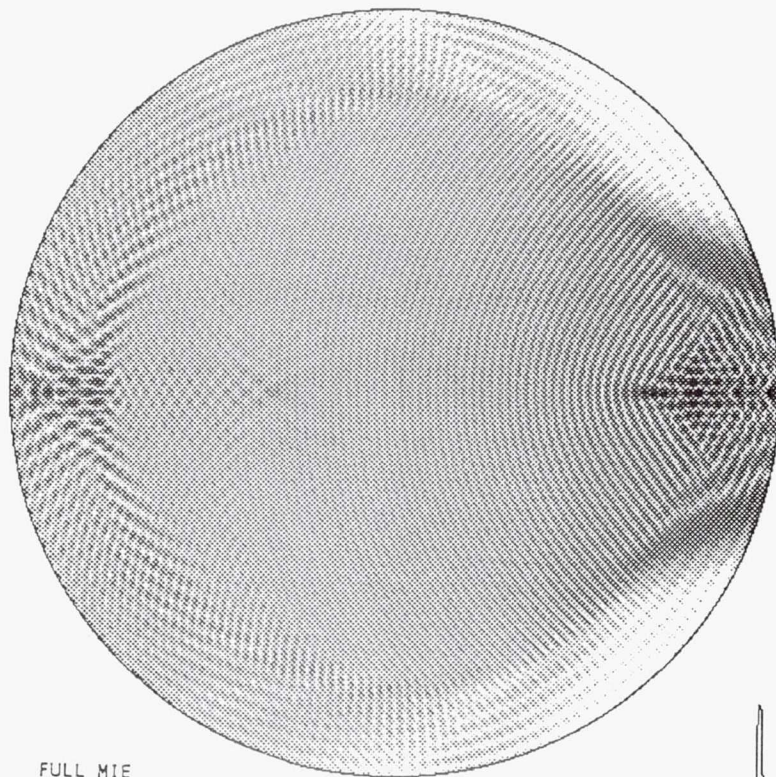


Figure 4 Formation of the $p = 5$ axial caustic for various incident ray angles θ_i . Turning points occur at the locations where the $p = 5$ cusp caustic intersects itself on the Z axis. The arrows below the figure show the progression of the axial caustic for the rays A-H and I-P.

a.



b.



c.

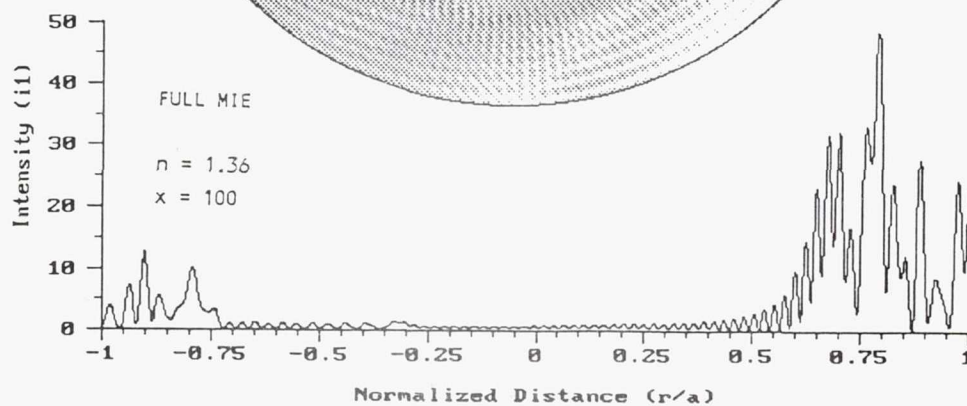


Figure 5 The interior source function in Mie theory for a sphere with $x = 100$, $n = 1.36$, and for unpolarized incident light shown (a) as a carpet plot, (b) as a gray-level plot, and (c) along the Z axis of the droplet.

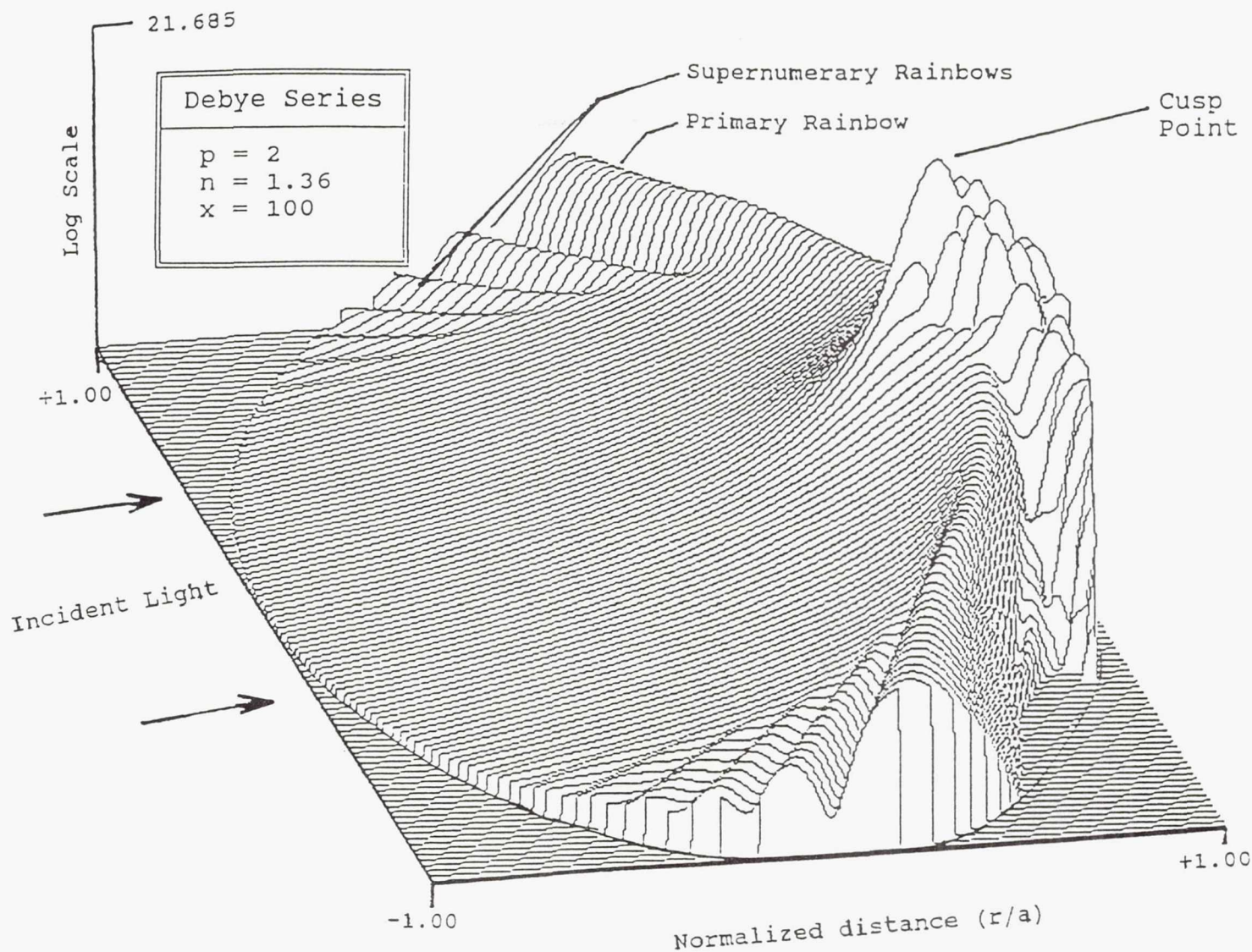


Figure 6 The $p = 2$ Debye series contribution to the interior source function showing the $p = 2$ cusp point and the interior portion of the first order rainbow and supernumerary rainbows.

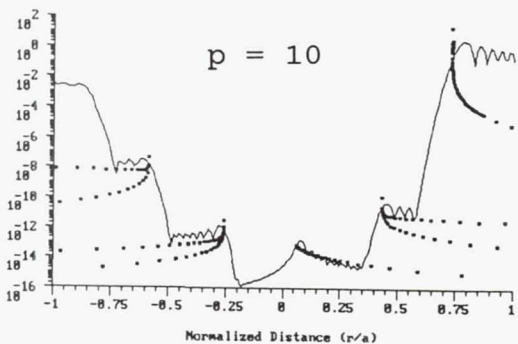
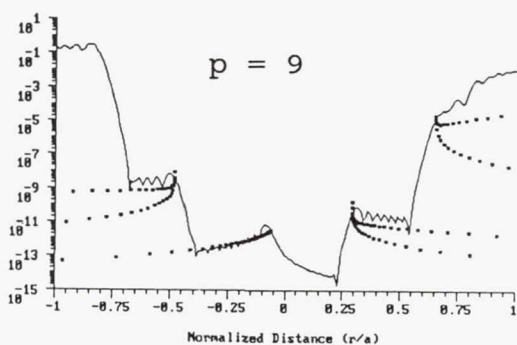
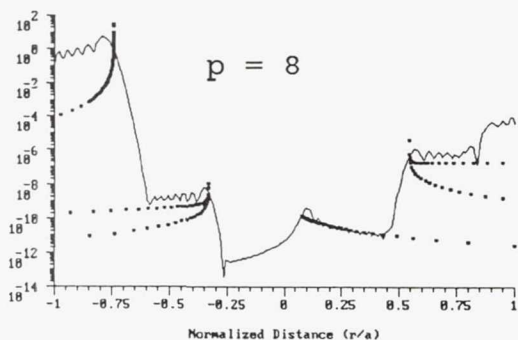
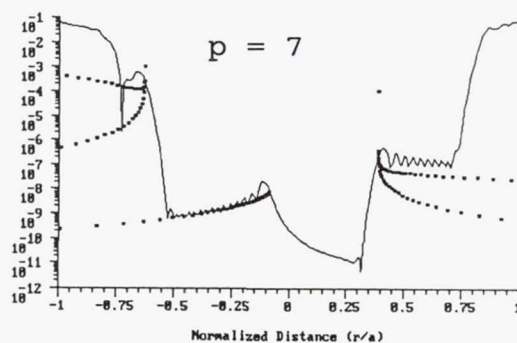
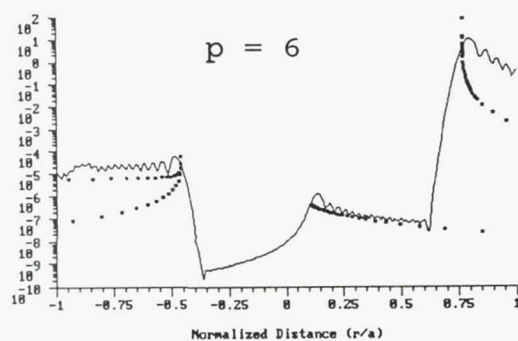
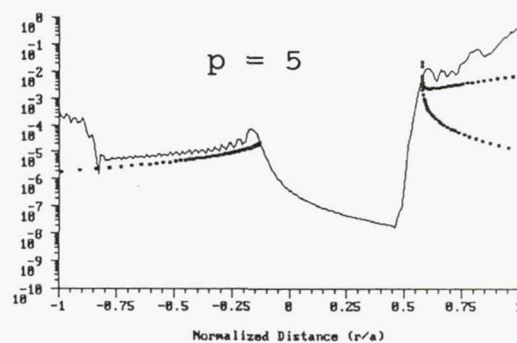
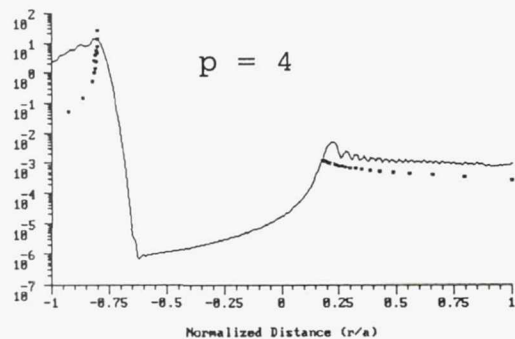
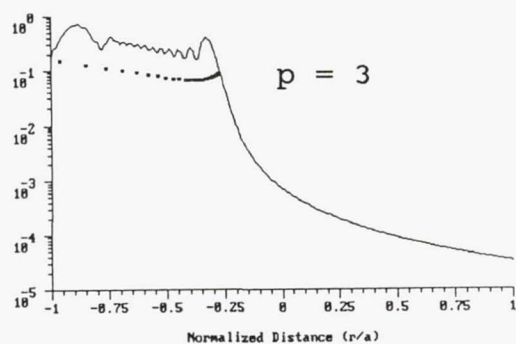
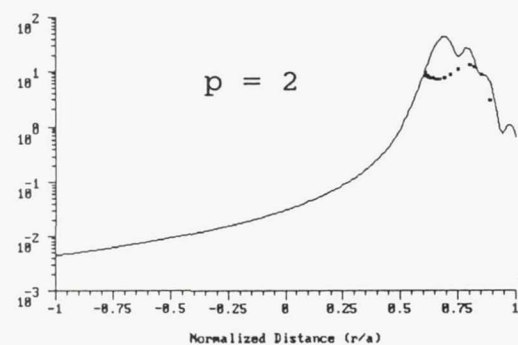


Figure 7 -

The contribution of the p -term of the Debye series to the interior source function on the droplet axis for $p = 2$ to 10 (curve) and the density of geometrical rays on the axial caustic from eq. (9) (points).

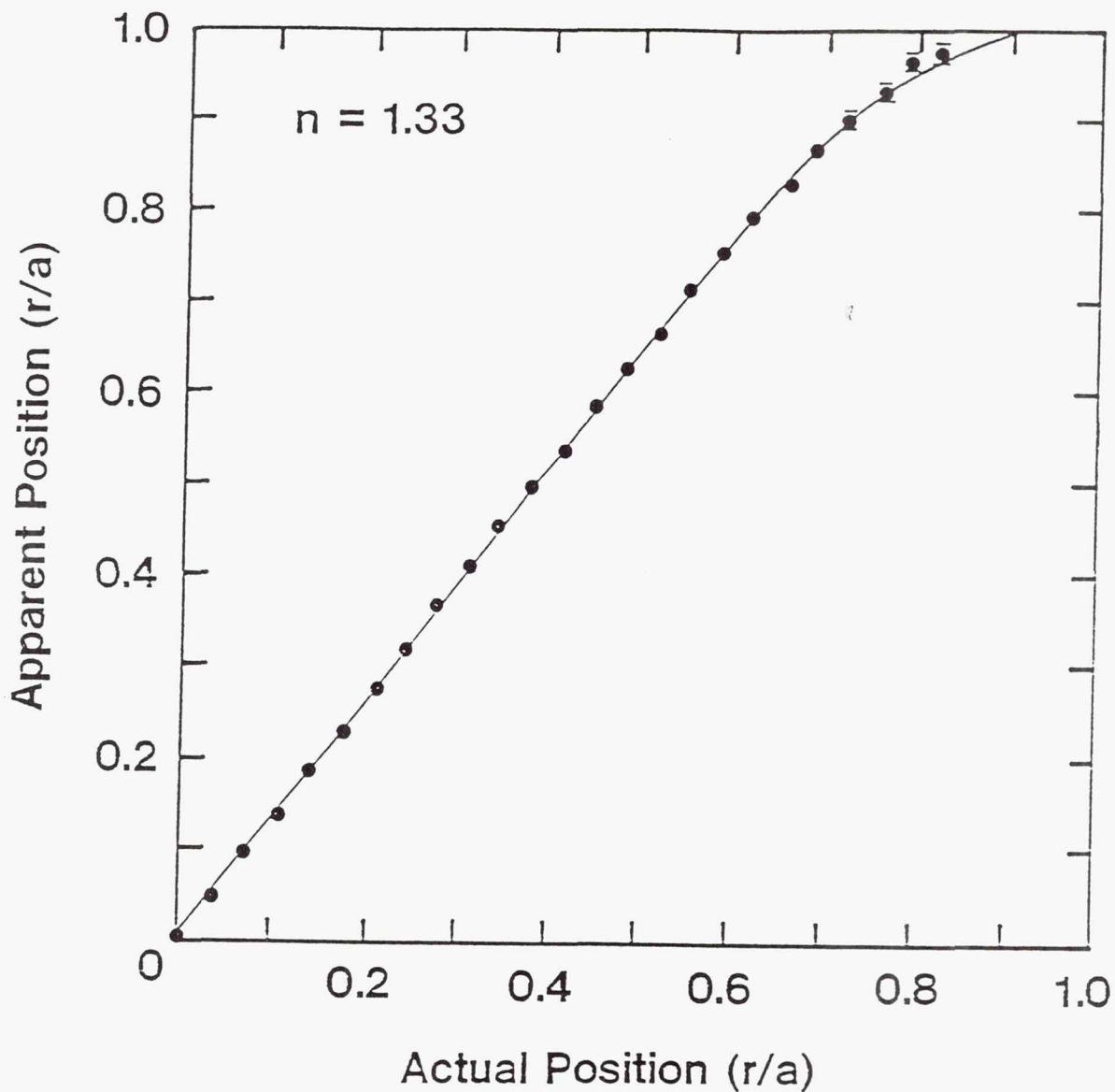


Figure 8 The apparent position of a light source on the droplet axis as a function of the actual position for the geometric optics model of Appendix C and $n = 1.33$. The solid circle data points are the measured positions of grid marks on a scale fitted along the diameter of an 18.4 cm thin-wall glass globe when empty (actual positions) and filled with water (apparent positions).

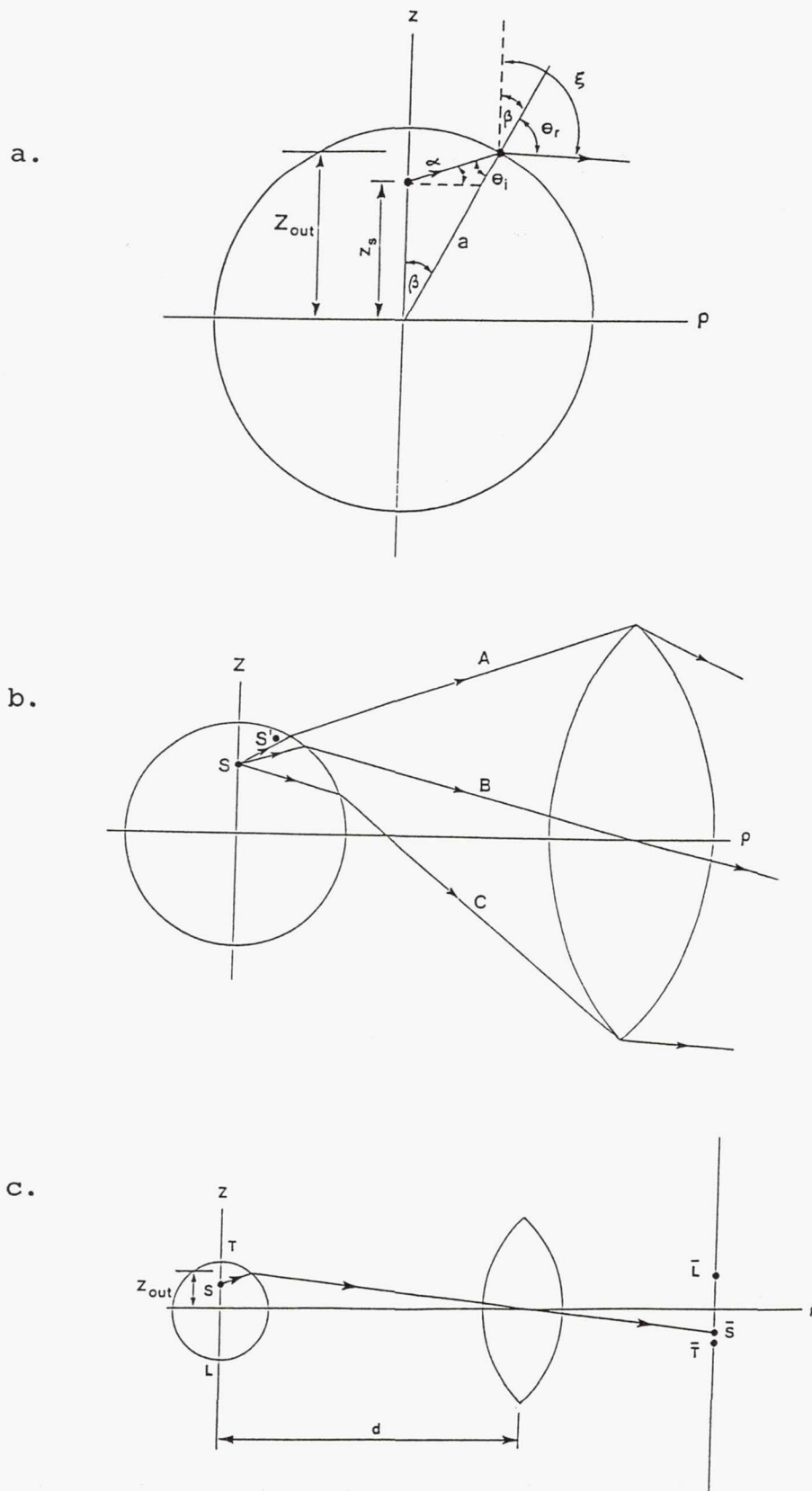


Figure 9 (a) A light ray leaving a source at the coordinate z_s on the axis of a spherical droplet. (b) Three such rays leaving the source S and imaged by a lens. The virtual source of the rays A , B , and C is S' . (c) The imaging of the spherical droplet by a lens. The image of the topmost portion of the droplet T is \bar{T} . The image of the lowermost portion of the droplet L is \bar{L} . The image of the source S is \bar{S} .

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13. ABSTRACT (Maximum 200 words) The internal electric field of an illuminated liquid droplet is studied in detail using both wave theory and ray theory. The internal field attains its maximum values on the caustics within the droplet. Ray theory is used to determine the equations of these caustics and the density of rays on them. The Debye series expansion of the interior field Mie amplitudes is used to calculate the wave theory version of these caustics. The physical interpretation of the sources of stimulated Raman scattering and fluorescence emission within a liquid droplet is then given.				
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