# Sequential Design of a Linear Quadratic Controller for the Deep Space Network Antennas 

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A new linear quadratic controller design procedure is proposed for the NASA/JPL Deep Space Network antennas. The antenna model is divided into a tracking subsystem and a flexible subsystem. Controllers for the flexible and tracking parts are designed separately by adjusting the performance index weights. Ad hoc weights are chosen for the tracking part of the controller and the weights of the flexible part are adjusted. Next, the gains of the tracking part are determined, followed by the flexible controller final tune-up. In addition, the controller for the flexible part is designed separately for each mode; thus the design procedure consists of weight adjustment for small-size subsystems. Since the controller gains are obtained by adjusting the performance index weights, determination of the weight effect on system performance is a crucial task. A method of determining this effect that allows an on-line improvement of the tracking performance is presented in this article. The procedure is illustrated with the control system design for the DSS-13 antenna.

## I. Introduction

A linear quadratic (LQ) controller design procedure for the Deep Space Network (DSN) antennas is presented. Alvarez and Nickerson [1] have used the LQ approach for controller design of the DSS-14 antenna. In the Alvarez and Nickerson approach, the gearbox flexible mode was included in the rigid-body model of the antenna. In recently designed antenna structures (such as the DSS-13 antenna), significant flexible deformations are observed during tracking operations. The antenna rate-loop model described in [2] consists of 21 flexible modes up to 10 Hz . Controllers for these antennas should suppress flexible motion while following the tracking command. The method presented in this article allows the design of a controller with a flexible
motion suppression capability through sequential adjustment of the weights of the LQ performance index.

An LQ controller is optimal in the sense of minimization of the performance index. The tracking performance requirements are reflected in the definition of the performance index through proper adjustment of weights. Indeed, the closed-loop system performance depends heavily on the choice of the weighting matrix, as illustrated with the DSS-13 antenna in Fig. 1. In case 1, the weight 10 for the integral of the antenna position, the weight 1 for the position itself, and the weight 0 for the flexible modes have been chosen. The antenna performance, characterized in this case by its step response in Fig. 1 (solid line), shows
excessive flexible motion. In case 2, the weights are the same as those in the previous case, but the weights of the flexible modes are now set equal to 0.001 . The closed-loop antenna performance in Fig. 1 (dashed line) shows a significant deterioration of the antenna tracking capabilities.

The procedure presented in [1], as well as other procedures frequently used in antenna design [3], separates controller design for the elevation and azimuth drives. This approach, effective for slow and/or rigid antennas, cannot be justified for fast and/or flexible antennas. In the latter case, the flexible properties of the full antenna significantly differ from the properties of the elevation-only or azimuth-only model of the antenna; thus the separate design of controllers for elevation and azimuth drives would result in system instability. For flexible antennas, there is a quasi-separation of the flexible and tracking motions. This property is used to simplify the controller design procedure. A controller for the flexible part is designed first, followed by a controller for the tracking part, with additional corrections of the controller for the flexible part. The design of the controller for the flexible part is of a sequential nature as well: a controller for each mode is designed separately. The design consists of weight adjustment; it is crucial, therefore, to accurately determine the effect of weight on system performance. The analysis of the impact of weight on system performance is presented in this article. It allows on-line improvement of the tracking performance. The procedure is illustrated with the control system design of the DSS-13 antenna.

## II. Properties of a Generic DSN Antenna Model

In this section, study of the properties of an open-loop model (called also a rate-loop model) of a generic Deep Space Network antenna is based on the DSS-13 antenna model. This antenna represents the new generation of 34-meter-diameter antennas. Dynamics of these antennas include non-negligible flexible motion [2], which must be taken into account while designing the tracking controller.

The balanced state-space representation $\left(A_{p}, B_{p}, C_{p}\right)$ of the DSS-13 antenna is derived in [2]. Its rate command input is denoted $u_{p}^{T}=\left[u_{p e} u_{p a}\right]$, where $u_{p e}$ and $u_{p a}$ are elevation and azimuth rate commands, respectively, and output is denoted $y_{p}^{T}=\left[\begin{array}{ll}y_{p e} & y_{p a}\end{array}\right]$, where $y_{p e}$ and $y_{p a}$ are elevation and azimuth angles. The state vector $x_{p}$ includes integrator states $x_{i}^{T}=\left[\begin{array}{ll}x_{i e} & x_{i a}\end{array}\right]^{T}$ in elevation and azimuth (rate inputs and position outputs indicate the presence of integrators), and flexible coordinates $x_{f}$ of dimension $n_{f}$; thus,

$$
x_{p}^{T}=\left[\begin{array}{ll}
x_{i} & x_{f}^{T} \tag{1a}
\end{array}\right]
$$

The respective state triple is obtained:

$$
A_{p}=\left[\begin{array}{cc}
0 & 0  \tag{1b}\\
0 & A_{j}
\end{array}\right], \quad B_{p}=\left[\begin{array}{c}
B_{p t} \\
B_{p f}
\end{array}\right], \quad C_{p}=\left[\begin{array}{ll}
C_{p t} & C_{p f}
\end{array}\right]
$$

where 0 denotes a zero matrix of proper dimensions.
The matrix $C_{p}$, which describes a relationship between the balanced states of the rate-loop model and the elevation and azimuth angles, is small, typically $\left\|C_{p}\right\|<10^{-3}$ (here and later $\|\cdot\|$ denotes a Euclidean norm). This means that the outputs of the rate-loop system (position angles of the antenna) are much smaller than its states. This property is used later in the controller design procedure.

For controller design purposes, the position angles of the antenna $y_{p}$ are required to be the first states in the state-space representation. Thus the state $x_{p}$ is transformed accordingly, so that the new state is

$$
x_{p n}^{T}=\left[\begin{array}{ll}
y_{p}^{T} & x_{f}^{T} \tag{2}
\end{array}\right]
$$

Since $y_{p}=C_{p} x_{p}$, one obtains the transformation $P$ such that $x_{p n}=P x_{p}$, where

$$
P=\left[\begin{array}{l}
C_{p}  \tag{3}\\
C_{r}
\end{array}\right]=\left[\begin{array}{cc}
C_{p t} & C_{p f} \\
0 & I_{n f}
\end{array}\right]
$$

and $C_{r}=\left[\begin{array}{ll}0 & I_{n f}\end{array}\right]$ ( $I_{n f}$ is an identity matrix of dimension $n_{f}$ ). The new state-space representation $\left(A_{a}, B_{a}, C_{a}\right)$ is obtained:

$$
\begin{equation*}
\left(A_{a}, B_{a}, C_{a}\right)=\left(P A_{p} P^{-1}, P B_{p}, C_{p} P^{-1}\right) \tag{4}
\end{equation*}
$$

where

$$
\begin{align*}
& A_{a}=\left[\begin{array}{cc}
0 & C_{p f} A_{f} \\
0 & A_{f}
\end{array}\right], \quad B_{a}=\left[\begin{array}{l}
B_{y} \\
B_{f}
\end{array}\right] \\
& C_{a}=\left[\begin{array}{ll}
I & 0
\end{array}\right], B_{y}=C_{p} B_{p} \tag{5}
\end{align*}
$$

Additionally, for the controller design purposes, the plant is augmented with the state variables $y_{i}=\left[y_{i e}, y_{i a}\right]^{T}$ -an integral of the elevation and azimuth position [1,2]. Thus, by defining the state vector $x$ as

$$
x=\left[\begin{array}{ll}
x_{\imath}^{T} & x_{f}^{T} \tag{6}
\end{array}\right]^{T}
$$

where $x_{t}=\left[\begin{array}{ll}y_{i}^{T} & y_{p}^{T}\end{array}\right]^{T}$, one obtains finally the rate-loop representation $(A, B, C)$ :

$$
A=\left[\begin{array}{cc}
A_{t} & A_{t f}  \tag{7a}\\
0 & A_{f}
\end{array}\right], B=\left[\begin{array}{l}
B_{t} \\
B_{f}
\end{array}\right], C=\left[\begin{array}{ll}
C_{t} & 0
\end{array}\right]
$$

where

$$
\begin{align*}
& A_{t}=\left[\begin{array}{ll}
0_{2} & I_{2} \\
0_{2} & 0_{2}
\end{array}\right], \quad A_{t j}=\left[\begin{array}{c}
0 \\
C_{p f} A_{f}
\end{array}\right]  \tag{7b}\\
& B_{t}=\left[\begin{array}{c}
0 \\
C_{p} B_{p}
\end{array}\right], \quad C_{t}=\left[\begin{array}{ll}
0_{2} & I_{2}
\end{array}\right]
\end{align*}
$$

The rate-loop representation in Eq. (7a) is shown in Fig. 2, where the flexible and tracking parts are distinguished. In this representation, $B_{t}$ is small in comparison with $B_{f}$ (typically $\left\|B_{t}\right\| /\left\|B_{f}\right\|<10^{-6}$. Also $A_{t f}$ is small in comparison with $A_{t}$ and $A_{f}$ (typically $\left\|A_{t f}\right\|<10^{-3}$, $\left\|A_{f}\right\|>10$, and $\left\|A_{t}\right\|=1$ ). Both properties are the result of a small value of $\left\|C_{p}\right\|$, shown earlier. Thus, the states of the tracking part are much weaker than the states of the flexible part. The strong and weak signal flows are shown in Fig. 2. The strong states of the flexible subsystem and the weak states of the tracking subsystem are shown in Fig. 3, which presents the transfer function plots of the rate-loop systems due to elevation rate command. This property is a foundation of the control design strategy described below.

## III. Quasi-Separation of the Flexible and Tracking Subsystems

In the LQ design, the feedback $u=-K x$ is determined such that the performance index $J$,

$$
\begin{equation*}
J=\int_{0}^{\infty}\left(x^{T} Q x+u^{T} R u\right) d t \tag{8}
\end{equation*}
$$

is minimal. The minimum of $J$ is obtained for the gain $K=R^{-1} B^{T} S$, where $S$ is a solution of the Riccati equation [4]:

$$
\begin{equation*}
A^{T} S+S A-S B R^{-1} B^{T} S+Q=0 \tag{9}
\end{equation*}
$$

In the above equations, $R$ is a positive definite input weight matrix, while $Q$ is a positive semidefinite state weight matrix. It is assumed that $R=\rho I$, since both inputs (elevation and azimuth commands) are of equal importance. The further assumption that $\rho=1$ is made without loss of generality. Divide $S$ and $K$ into parts related to the triple ( $A, B, C$ ) in Eq. (7a):

$$
S=\left[\begin{array}{cc}
S_{t} & S_{t f}  \tag{10}\\
S_{t f}^{T} & S_{f}
\end{array}\right], K=\left[\begin{array}{ll}
K_{t} & K_{f}
\end{array}\right]
$$

so that Eq. (9) can be written as follows:

$$
\begin{gather*}
A_{t}^{T} S_{t}+S_{t} A_{t}-S_{t} B_{t} B_{t}^{T} S_{t}+Q_{t}-\Delta_{t j}=0  \tag{11a}\\
A_{t}^{T} S_{t j}+S_{t j} A_{f}+S_{t} A_{t f}-K_{t}^{T} K_{f}=0  \tag{11b}\\
A_{j}^{T} S_{f}+S_{f} A_{f}+S_{f} B_{f} B_{f}^{T} S_{f}+Q_{f}-\Delta_{f t}=0 \tag{11c}
\end{gather*}
$$

where

$$
\begin{gather*}
K_{t}=B_{t}^{T} S_{t}+B_{f}^{T} S_{t f}^{T}  \tag{12a}\\
K_{j}=B_{t}^{T} S_{t f}+B_{f}^{T} S_{f}  \tag{12~b}\\
\Delta_{t f}=S_{t} B_{t} B_{f}^{T} S_{t f}^{T}+S_{t j} B_{f} K_{t}  \tag{12c}\\
\Delta_{f t}=A_{t f}^{T} S_{t f}+S_{t f}^{T} A_{t f}-S_{t j}^{T} B_{t} K_{j} \\
+S_{f} B_{j} B_{t}^{T} S_{t f} \tag{12d}
\end{gather*}
$$

Taking a closer look at Eqs. (12), one can notice that there exist weights $Q_{t}$ and $Q_{f}$ such that the gain $K_{f}$ depends on the flexible subsystem only. Namely, for a large enough matrix $Q_{f}$, such that $\left\|Q_{f}\right\| \gg\left\|\Delta_{f t}\right\|$, the solution $S_{f}$ of Eq. (11c) is independent of the tracking subsystem, and for small matrix $Q_{t}$ one obtains $\left\|B_{t}^{T} S_{t f}\right\| \ll\left\|B_{f}^{T} S_{f}\right\|$. In terms of Eq. (12b), the latter inequality means that the gain $K_{f}$ depends only on the flexible subsystem. However, due to the weak-strong relationship between flexible and tracking subsystems, the situation is not quite symmetric: There are no $Q_{t}$ and $Q_{f}$ such that the gain $K_{t}$ depends only on the tracking subsystem. To understand this, note that the term small has a different meaning for $Q_{f}$ and $Q_{t}$. Magnitudes of a small matrix $Q_{f}$ and a small matrix $Q_{t}$ are of a different order, namely $Q_{f}$ is small if $\left\|Q_{f}\right\|<10^{-7}$
and $Q_{t}$ is small if $\left\|Q_{t}\right\|<1$. Therefore, increasing $Q_{t}$ to obtain $\left\|Q_{t}\right\| \gg\left\|\Delta_{t j}\right\|$, one obtains $\left\|B_{j}^{T} S_{t j}\right\|$ and $\left\|B_{t}^{T} S_{t}\right\|$ of the same magnitude. According to Eq. (12a), the latter fact means that the gain $K_{t}$ depends on the flexible subsystem as well as on the tracking subsystem, and the solution $S_{t}$ of Eq. (11a) is dependent on the flexible subsystem. This property can be validated by observation of the closed-loop transfer functions for different weights as shown in Fig. 4. It follows from the plots that the variations of $Q_{f}$ changed the properties of the flexible subsystem only, while the variations of $Q_{t}$ changed the properties of both subsystems.

The independence of the flexible subsystem gains from the tracking subsystem properties is a consequence of small values of $B_{t}, A_{t f}$, and $Q_{t}$. However, it is required that the weight $Q_{t}$ be large enough to achieve the required pointing performance. But the increase of $Q_{t}$ causes the increasing dependency of the flexible subsystem gains on the tracking subsystem. This phenomenon in controller design changes the above independence into a quasi-independence (conditional independence). This property results in a separation of the flexible and tracking parts in the first stage of controller design. Thus the design consists of initial determination of the controller gains of the flexible subsystem followed by adjustment of weights of the tracking subsystem and a final tuning of the flexible weights.

## IV. Properties of the LQ Controller for Flexible Structures

The properties of an LQ controller for a flexible subsystem are discussed in this section. In this application, a linear system with distinct complex conjugate pairs of poles and small real parts of the poles is considered a flexible structure. In the following, a balanced state-space representation of a flexible structure is discussed. The balanced representation of flexible structures is close (but not identical) to a modal one [5,6,7]. For LQ synthesis purposes, a balanced rather than modal representation is recommended since the balanced reduction (necessary in controller design) yields more accurate results than the modal reduction, especially for closely spaced poles [8].

Since the LQ controller for the flexible subsystem is determined separately from the tracking subsystem, in this section only the flexible subsystem is considered. Its state-space representation $(A, B, C)$ is controllable and observable (the subscript $f$ is dropped in this section for simplicity of notation), and its controllability ( $W_{c}$ ) and observability ( $W_{o}$ ) grammians are equal and diagonal, $W_{c}=W_{o}=\Gamma$, where $\Gamma$ is a positive definite diagonal matrix that satisfies the following Lyapunov equations:

$$
\begin{equation*}
A \Gamma+\Gamma A^{T}+B B^{T}=0, A^{T} \Gamma+\Gamma A+C^{T} C=0 \tag{13}
\end{equation*}
$$

For a balanced flexible system with $n$ components (or $2 n$ states), the balanced grammian has the following form:

$$
\begin{equation*}
\Gamma \cong \operatorname{diag}\left(\gamma_{1}, \gamma_{1}, \gamma_{2}, \gamma_{2}, \cdots, \gamma_{n}, \gamma_{n}\right) \tag{14}
\end{equation*}
$$

and the matrix $A$ is almost block diagonal $[6,7]$, with dominant $2 \times 2$ blocks on the main diagonal:

$$
A \cong \operatorname{diag}\left(A_{i}\right), \quad A_{i}=\left[\begin{array}{cc}
-\zeta_{i} \omega_{i} & -\omega_{i}  \tag{15}\\
\omega_{i} & -\zeta_{i} \omega_{i}
\end{array}\right], i=1, \cdots, n
$$

where $\omega_{i}$ is the $i$ th natural frequency of the structure and $\zeta_{i}$ is the $i$ th modal damping. The combination of Eqs. (13) and (15) gives

$$
\begin{equation*}
\gamma_{i}\left(A_{i}+A_{i}^{T}\right) \cong-B_{i} B_{i}^{T} \cong-C_{i}^{T} C_{i} \tag{16}
\end{equation*}
$$

For the LQ controller defined by Eqs. (8) and (9), it is assumed that

$$
\begin{equation*}
Q=\operatorname{diag}\left(q_{i} I_{2}\right), \text { and } 0<q_{i} \ll 1, i=1, \cdots, n \tag{17}
\end{equation*}
$$

Denote

$$
\begin{equation*}
\beta_{i}=\sqrt{1+2 q_{i} \gamma_{i} / \zeta_{i} \omega_{i}} \tag{18}
\end{equation*}
$$

then one obtains Proposition 1.
Proposition 1. $S \cong \operatorname{diag}\left(s_{i} I_{2}\right)$ is the solution of Eq. (9), where

$$
\begin{equation*}
s_{i}=-0.5 \gamma_{i}^{-1}\left(1-\beta_{i}\right), \quad i=1, \cdots, n \tag{19}
\end{equation*}
$$

Proof is presented in the Appendix.
The plots of $s_{i}$ with respect to $\zeta_{i} \omega_{i}$ and $\gamma_{i}$ are shown in Fig. 5. They show $s_{i}$ increases with the weight $q_{i}$ increase, and $s_{i}$ decreases with $\gamma_{i}$ or $\zeta_{i} \omega_{i}$ increase.

Next it will be shown that weighting as in Eq. (17) shifts the $i$ th pair of complex poles of flexible structure, and leaves the remaining pairs of poles almost unchanged. Only the real part of the pair of poles is changed (moving the pole apart from the imaginary axis (see Fig. 6).

Proposition 2. For the weight $Q$ as in Eq. (17) the closed-loop pair of flexible poles ( $\lambda_{c r i}, \pm j \lambda_{c i i}$ ) is obtained from the open-loop poles ( $\lambda_{o r i}, \pm j \lambda_{o i i}$ ):

$$
\begin{equation*}
\left(\lambda_{c r i}, \pm j \lambda_{c i i}\right)=\left(\beta_{i} \lambda_{o r i}, \pm j \lambda_{o i i}\right), \quad i=1, \cdots, n \tag{20}
\end{equation*}
$$

where $\beta_{i}$ is defined in Eq. (18).
For proof see the Appendix.
The real part of the poles is shifted by $\beta_{i}$, while the imaginary part of the poles remains unchanged. The plots of $\beta_{i}$ with respect to $\zeta_{i} \omega_{i}$ and $\gamma_{i}$ are shown in Fig. 7. They show relatively large values of $\beta_{i}$ even for small values of $q_{i}$, i.e., a significant pole shift to the left. Also, since $\beta_{\mathrm{i}}$ increases with $\gamma_{i}$ and decreases with $\zeta_{i} \omega_{i}$, there is a significant pole shift for highly observable and controllable modes with small damping. In terms of the transfer function profile, the weight $q_{i}$ suppresses the resonant peak at frequency $\omega_{i}$ while leaving the natural frequency unchanged (see Fig. 8 for $i=1$ ). Due to weak coupling between modes, the assignment of one mode insignificantly influences other modes. Therefore, the weight assignment is performed either simultaneously for all modes or for each mode separately.

## V. Controller Design Algorithm

The LQ controller configuration for the DSN antenna model is shown in Fig. 9(a). The tracking command $y_{c}$ is compared with the antenna position $y_{p}$, and the error $\epsilon=y_{p}-y_{c}$ and the integral of the error are the controller inputs.

The procedure for the antenna LQ controller design is sequential. First, for the ad hoc (but relatively small) chosen weights of the tracking subsystem, the weights of the flexible subsystem are determined. Second, the adjustment of the weights of the tracking system is performed, followed by the final adjustment of the weights of the flexible system. The weights of the flexible subsystem are determined sequentially, simplifying the procedure.

The controller order is determined as a part of the weight tuning process. Only the modes that influence the plant performance are considered. If the number of flexible modes is $n_{f}$, the number of disregarded modes is $n_{o}$, and the size of the tracking system is $n_{t}$, then the controller order $n_{c}$ is

$$
\begin{equation*}
n_{c}=n_{t}+2\left(n_{f}-n_{o}\right) \tag{21}
\end{equation*}
$$

The following LQ controller design algorithm is proposed:
(1) Determine the plant state-space representation, consisting of flexible and tracking parts, in the form of Eq. (7).
(2) Choose ad hoc but reasonably small weights for the tracking part $Q_{t}=Q_{\text {tah }}$.
(3) For each balanced coordinate of the flexible part, choose the weight $q_{i}\left(i=1, \cdots, n_{f}\right)$, and define the weight matrix $Q_{f i}=\operatorname{diag}\left(0,0, \cdots, q_{i}, q_{i}, 0,0\right.$, $\cdots, 0$ ) so that the closed-loop system performance for the weight $Q_{i}=\operatorname{diag}\left(Q_{\mathrm{tah}}, Q_{f i}\right)$ is maximized. For example, determine the weights $q_{i}$ to impose the required pole shift or to suppress the $i$ th resonant peak to the required level without depreciating other properties of the closed-loop transfer function. Note that for small values of $q_{i}$, only the $i$ th pair of poles is shifted (to the left), and the remaining poles are almost unaffected. Disregard the modes for which the weighting does not improve the closed-loop system performance. The resulting weight for the flexible subsystem is

$$
\begin{equation*}
Q_{f}=\sum_{i=1}^{n_{f}} Q_{f i} \tag{22}
\end{equation*}
$$

(4) For the already determined weight $Q_{f}$, tune weight $Q_{t}$ to obtain improvements in tracking properties of the antenna.
(5) Adjust the weights of the flexible subsystem, if necessary.

## VI. Closed-Loop System

Equations for a closed-loop system with the LQ controller, and with the LQ controller and observer, are derived.

The closed-loop system configuration is shown in Fig. 9(a). The equations for the plant triple $(A, B, C)$, given by Eq. (7) are

$$
\begin{equation*}
\dot{x}=A x+B u_{f}, \quad y_{p}=C_{p} x, \quad x_{f}=C_{f} x \tag{23}
\end{equation*}
$$

Denoting the output error $\epsilon$ and the integral of the error $z$, one obtains

$$
\begin{equation*}
\epsilon=y_{p}-u=C_{p} x-u, \quad \dot{z}=\epsilon \tag{24}
\end{equation*}
$$

thus

$$
\begin{equation*}
u_{f}=k_{f} C_{f} x-k_{o} C_{p} x-k_{i} z+k_{o} u \tag{25}
\end{equation*}
$$

Defining the closed-loop state $x_{c l}=\left[z x^{T}\right]^{T}$, one obtains the closed-loop equations

$$
\begin{equation*}
\dot{x}_{c l}=A_{c l} x_{c l}+B_{c l} u, \quad y_{p}=C_{c l} x_{c l} \tag{26a}
\end{equation*}
$$

where

$$
\begin{align*}
& A_{c l}=\left[\begin{array}{cc}
0 & C_{p} \\
-B k_{i} & A-B k_{f} C_{f}-B k_{o} C_{p}
\end{array}\right] \\
& B_{c l}=\left[\begin{array}{c}
-I \\
B k_{o}
\end{array}\right],  \tag{26b}\\
& C_{c l}=\left[\begin{array}{ll}
0 & C_{p}
\end{array}\right]
\end{align*}
$$

The antenna states are not directly measured; thus the state observer is included in the closed-loop system in Fig. 9(b). Based on plant Eq. (23), the estimator equations are obtained:

$$
\begin{align*}
& \dot{\hat{x}}=A \hat{x}+B u_{f}+K_{e}\left(y_{p}-\hat{y}_{p}\right)  \tag{27}\\
& \hat{y}_{p}=C_{p} \hat{x}, \quad \hat{x}_{f}=C_{f} \hat{x}
\end{align*}
$$

The integrator equation

$$
\begin{equation*}
\dot{z}=\epsilon=y_{p}-u=C_{p} x-u \tag{28}
\end{equation*}
$$

and the nodal equation

$$
\begin{equation*}
u_{f}=-k_{f} \hat{x}_{f}-k_{o} \epsilon-k_{i} z \tag{29}
\end{equation*}
$$

along with Eqs. (23) and (27) give the equations for the closed-loop system with the state observer

$$
\begin{equation*}
\dot{x}_{c o}=A_{c o} x_{c o}+B_{c o} u, \quad y=C_{c o} x_{c o} \tag{30a}
\end{equation*}
$$

where the closed-loop state is $x_{c o}=\left[\begin{array}{lll}z & x^{T} & \hat{x}^{T}\end{array}\right]^{T}$ and

$$
\begin{align*}
& A_{c o}=\left[\begin{array}{ccc}
0 & C_{p} & 0 \\
-B k_{i} & A-B k_{o} C_{p} & -B k_{f} C_{f} \\
-B k_{i} & K_{e} C_{p}-B k_{o} C_{p} & A-K_{e} C_{p}-B k_{f} C_{f}
\end{array}\right] \\
& B_{c o}=\left[\begin{array}{c}
-1 \\
B k_{o} \\
B k_{o}
\end{array}\right], \quad C_{c o}=\left[\begin{array}{lll}
0 & C_{p} & 0
\end{array}\right] \tag{30b}
\end{align*}
$$

## VII. LQ Controller Design for the DSS-13 Antenna

The DSS-13 antenna model consists of two tracking states (azimuth and elevation angle), and 13 flexible modes (or 26 balanced states). The preliminary weights $q_{i e}=$ $q_{p e}=q_{i a}=q_{p a}=1$ for the tracking subsystem (for $y_{i}$ and $y_{p}$ ) and zero weights for the flexible subsystem ( $q_{1}=q_{2}=\cdots=q_{13}=0$ for all 13 modes) have been chosen for the LQ controller design. The closed-loop system step response is presented in Fig. 10 (elevation and azimuth encoder reading due to elevation and azimuth command) and the magnitudes of the closed-loop transfer function in Fig. 11. Both figures show that flexible motion of the antenna is excessive, and should be damped out. This is achieved by adjusting weights for the flexible subsystem. For the same tracking weights as before, the weight for the first mode ( 2.32 Hz ) is chosen to be $q_{1}=10^{-7}$, and the remaining weights are zero; this obtains the closed-loop system responses shown in Figs. 12 and 13. One can see that the $2.32-\mathrm{Hz}$ resonance peak in the azimuth command response (Fig. 12) has disappeared, as well as most of the flexible motion in the azimuth step response (Fig. 13). The elevation motion is unaffected however, since the azimuth gearbox mode is almost nonexistent in the elevation motion.

The weight should be chosen carefully. Too small a weight (e.g., $3 \times 10^{-9}$ in the case considered) will not suppress the resonant peak, Fig. 14(a). Too large a weight (e.g., $1 \times 10^{-5}$ ) will deteriorate the tracking performance: For the overweighted mode, the transfer function is pressed down within a wide frequency range, Fig. 14(b). The proper weight suppresses the resonant peak, leaving the other peaks unchanged (Fig. 8).

Next, assuming $q_{i e}, q_{i a}, q_{p e}, q_{p a}$ and $q_{1}$ are as given above and setting a weight for the second mode ( 2.64 Hz ) $q_{2}=10^{-7}$ while the remaining weights are zero, one obtains the LQ control system responses shown in Figs. 15 and 16 . As a result of a nonzero weight, the $2.64-\mathrm{Hz}$ resonant peak has disappeared in the elevation command motion.

Similar procedures have been applied for the third $(4.26-\mathrm{Hz})$, fourth ( $3.77-\mathrm{Hz}$ ), fifth ( $7.88-\mathrm{Hz}$ ), sixth (4.47$\mathrm{Hz})$, seventh $(3.38-\mathrm{Hz})$, eighth $(5.98-\mathrm{Hz})$, ninth $(7.92-\mathrm{Hz})$, and tenth ( $9.48-\mathrm{Hz}$ ) modes, with weight $10^{-7}$ for each mode. As a result, suppression of the remaining flexible motion and resonant peaks is observed in Figs. 17 and 18. Weights for the remaining modes (eleventh through thirteenth) have been set to zero. According to Eq. (21), the controller order is 24 for the plant order of 30 .

The root locus of the closed-loop system due to weight variations of the $7.92-\mathrm{Hz}$ mode is shown in Fig. 19. The figure shows the horizontal departure of poles into the lefthand side direction (stabilizing property). It confirms the properties of the weighted LQ design described previously.

In the next step, the tracking properties of the system are improved by proper weight setting of the tracking subsystem. Namely, setting the integral weight to $q_{i e}=q_{i a}=$ 70 and the proportional weight to $q_{p e}=q_{p a}=100 \mathrm{im}-$ proves the system tracking properties, as shown in Fig. 20 (small overshoot and settling time) and in Fig. 21 (extended bandwidth-up to 2 Hz ). However, by improving the tracking properties, the transfer function has been raised dramatically in the frequency region of 1 to 3 Hz , which forces the first two modes located in this region to appear again in the step response. By sacrificing a bit of
the tracking properties, the flexible motion in the step response is reduced. This is done by increasing slightly the weights of the flexible subsystem, setting them as follows: $q_{1}=q_{2}=q_{3}=q_{4}=q_{5}=q_{6}=10^{-6}, q_{7}=q_{8}=10^{-7}$, and $q_{9}=q_{10}=10^{-5}$. The closed-loop system response with the satisfactory tracking performance is shown in Figs. 22 and 23 (small overshoot, small settling time, and $1-\mathrm{Hz}$ bandwidth are observed).

## VIII. Conclusions

A new procedure for the DSN antenna controller design has been proposed. The antenna model is divided into flexible and tracking parts rather than into elevation and azimuth parts. In a sequential design strategy, a controller for the flexible subsystem is designed first, followed by a controller design for the tracking subsystem. This approach results in a significant improvement of the performance of the antenna closed-loop system through a sequential weight adjustment of the state vector. The properties of the weight adjustment have been quantified in this article. The controller reduction is inherent in this approach. The minimal-order controller is determined through monitoring the closed-loop performance for each flexible mode. The DSS-13 antenna tracking controller design has been used to illustrate the procedure.

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Flg. 1. Step response of the DSS-13 antenna with LQ controller for different welghts.


Fig. 2. System configuration.


Fig. 3. Magnitude of transfer function of tracking and flexible subsystems for the elevation rate input.


Fig. 4. Magnitude of transfer function of the closed-loop system for different weights: $Q_{t}=I_{4}$, $Q_{f}=0 \times I_{26}$ (solid line); $Q_{t}=I_{4}, Q_{f}=10^{-7} \times I_{26}$ (dashed line); and $Q_{t}=10 \times I_{4}, Q_{f}=0 \times I_{26}$ (dot-dashed line).


Fig. 5. Solution of the Riccati equation $s$ versus: (a) weight $q$ and Hankel singular value $\boldsymbol{\gamma}$ and (b) weight $q$ and modal damping $\zeta \omega$.


Fig. 6. Location of poles of a flexible structure.


Fig. 7. Pole shift coefficient $\boldsymbol{\beta}$ versus: (a) weight $q$ and Hankel singular value $\boldsymbol{\gamma}$ and (b) welght $q$ and modal damping $\zeta \omega$.


Fig. 8. VIbratlon suppression with a single welght.


Fig. 9. LQ controller configuration: (a) antenna closed-loop system and (b) antenna closedloop system with an observer.


Fig. 10. Closed-loop response to step Input for unit proportional and unit Integral weights In azimuth and elevation, and zero weight for the flexible subsystem: (a) elevation encoder angle to elevation step command; (b) azimuth encoder angle to elevation step command; (c) azimuth encoder angle to azimuth step command; and (d) elevation encoder angle to azimuth step command.


Fig. 10 (contd).


Fig. 11. Closed-loop transfer function for unit proportional and unit integral weights in azimuth and elevation, and zero welght for flexible subsystem: (a) elevation and azimuth encoder angles to elevation step command and (b) elevation and azimuth encoder angles to azimuth step command.


Fig. 12. Transfer function (azimuth and elevation encoder angles to azimuth command) for weights the same as those in Fig. 10, but $q_{1}=1 \times 10^{-7}$.


Fig. 13. Azimuth encoder angle step response to azimuth command for welghts the same as those in Fig. 12.


Fig. 14. The first component: (a) underweighted and (b) overweighted.


Fig. 15. Elevation encoder angle step response to elevation command for weights the same as those in Fig. 10, but $q_{1}=q_{2}=1 \times 10^{-7}$.


Fig. 16. Transler function (azimuth and elevation encoder angles to elevation command) for weights the same as those in Fig. 15.


Fig. 17. Closed-loop response to step input for unit proportional and unit integral weights in azimuth and elevation, and weights for the flexible subsystem equal to $1 \times 1^{-7}$ : (a) elevation encoder angle to elevation step command; (b) azimuth encoder angle to elevation step command; (c) azimuth encoder angle to azimuth step command; and (d) elevation encoder angle to azimuth step command.


Flg. 17 (contd).


Fig. 18. Closed-loop transfer function for welghts the same as those in Fig. 17: (a) elevation and azimuth encoder angles to elevation step command and (b) elevation and azimuth encoder angles to azimuth step command.


Fig. 19. Root locus for $7.92-\mathrm{Hz}$ mode versus welght.


Fig. 20. Closed-loop response to step input for proportional weight 100 and integral welght 70 (both in azimuth and elevation), and weights for the flexible subsystem equal to $1 \times 10^{-7}$ : (a) elevation encoder angle to elevation step command; (b) azimuth encoder angle to elevation step command; (c) azimuth encoder angle to azimuth step command; and (d) elevation encoder angle to azlmuth step command.


Flg. 20 (contd).


Fig. 21. Closed-loop transfer function for welghts the same as those in Fig. 20: (a) elevation and azimuth encoder angles to elevation step command and (b) elevation and azimuth encoder angles to azimuth step command.


Fig. 22. Closed-loop response to step input for proportional weight 100 and Integral weight 70 (both In azimuth and elevation), and weights for flexible subsystem $q_{1}=q_{2}=q_{3}=q_{4}=q_{5}=\boldsymbol{q}_{6}$ $=1 \times 10^{-6}, q_{7}=q_{8}=1 \times 10^{-7}$, and $q_{9}=q_{10}=1 \times 10^{-5}$ : (a) elevation encoder angle to elevation step command; (b) azimuth encoder angle to elevation step command; (c) azimuth encoder angle to azimuth step command; and (d) elevation encoder angle to azimuth step comitiand.


Fig. 22 (contd).


Fig. 23. Closed-loop transfer function for weights the same as those in Fig. 22: (a) elevation and azimuth encoder angles to elevation step command and (b) elevation and azimuth encoder angles to azimuth step command.

## Appendix <br> Proofs

Proof of Proposition 1. For a flexible structure in the balanced representation, the state matrix $A$ is diagonally dominant (with $2 \times 2$ blocks on the main diagonal), and for $R=I$ and $Q$ as in Eq. (17), the solution $S$ of the Riccati Eq. (9) is also diagonally dominant with $2 \times 2$ blocks $S_{i}$ on the main diagonal:

$$
\begin{equation*}
S_{i}=s_{i} I_{2}, \quad s_{i}>0, \quad i=1, \cdots, n \tag{A-1}
\end{equation*}
$$

Thus, Eq. (9) turns into a set of the following equations:

$$
\begin{equation*}
s_{i}\left(A_{i}+A_{i}^{T}\right)-s_{i} B_{i} B_{i}^{T}+q_{i} I_{2}=0, \quad i=1, \cdots, n \tag{A-2}
\end{equation*}
$$

For a balanced system $B_{i} B_{i}^{T} \cong-\gamma_{i}\left(A_{i}+A_{i}^{T}\right)$, see Eq. (16), and for $A_{i}+A_{i}^{T}=-2 \zeta_{i} \omega_{i} I_{2}$, see Eq. (15). Therefore, Eq. (A-2) is now

$$
\begin{equation*}
s_{i}^{2}+\gamma_{i} s_{i}-0.5 q_{i} / \zeta_{i} \omega_{i} \gamma_{i}=0, \quad i=1, \cdots, n \tag{A-3}
\end{equation*}
$$

There are two solutions of Eq. (A-3), but for $q_{i}=0$ it is required that $s_{i}=0$. Therefore, Eq. (19) represents the unique solution of Eq. (A-3).

Proof of Proposition 2. For small values of $q_{i}$, the matrix $A$ of the closed-loop system is diagonally dominant: $A_{o}=\operatorname{diag}\left(A_{o i}\right), i=1, \cdots, n$, and

$$
\begin{equation*}
A_{o i}=A_{i}-B_{i} B_{i}^{T} s_{i} \tag{A-4}
\end{equation*}
$$

By introducing Eq. (16) to Eq. (A-4), one obtains

$$
\begin{equation*}
A_{o i}=A_{i}+2 s_{i} \gamma_{i}\left(A_{i}+A_{i}^{T}\right) \tag{A-5}
\end{equation*}
$$

and introducing $A_{i}$ as in Eq. (15) to Eq. (A-5) one obtains

$$
A_{o i}=\left[\begin{array}{cc}
-\beta_{i} \zeta_{i} \omega_{i} & -\omega_{i}  \tag{A-6}\\
\omega_{i} & -\beta_{i} \zeta_{i} \omega_{i}
\end{array}\right]
$$

with $\beta_{i}$ as in Eq. (18).

