On the Influence of a Fuel Side Heat-Loss ("Soot") Layer on a Planar Diffusion Flame

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A model of the response of a diffusion flame (DF) to an adjacent heat loss or "soot" layer on the fuel side is investigated. The thermal influence of the "soot" or heat-loss layer on the DF occurs through the enthalpy sink it creates. A sink distribution in mixture-fraction space is employed to examine possible DF extinction. It is found that (i) the enthalpy sink (or "soot" layer) must touch the DF for radiation-induced quenching to occur, and (ii) for fuel-rich conditions extinction is possible only for a progressively narrower range of values of the characteristic heat-loss parameter, \( N_a \Delta Z_a \). Various interpretations of the model are discussed. An attempt is made to place this work into the context created by previous experimental and computational studies.

NOMENCLATURE

- \( a \): constant (see Eq. 13) describing reaction-zone heat loss (Eq. 14)
- \( a_R \): same as \( a \) with radiation included
- \( A \): preexponential factor
- \( b \): reduced Damköhler number, see Eq. 14
- \( b_E \): reduced Damköhler number at extinction
- \( B \): \( E/3RT_e \), see Eq. 26
- \( c \): an \( O(1) \) constant in the definition of \( \eta \), see Eq. 13.ii
- \( D_i \): diffusion coefficients for species \( i \)
- \( D \): Damköhler number
- \( D_R \): Damköhler number with radiant losses from the reaction zone
- \( DF \): "diffusion flame"
- \( e \): 2.718...
- \( E \): activation energy
- \( h \): enthalpy
- \( H \): "excess" enthalpy function, generally non-zero
- \( H_o \): same as \( H \) with no losses; \( H_o = 0 \)
- \( HC \): "hydrocarbon"
- \( i_{bl} \): blackbody spectral intensity given by Planck's formula
- \( k \): soot surface growth rate
- \( K \): function defined in Eq. 25 and evaluated in Sec. III.E
- \( L \): width of the physical domain
- \( L^* \): density-coordinate reduced version of \( L \), see Eq. 10
- \( Le_i \): Lewis number of species \( i \)
- \( m \): mass generated (destroyed) per unit volume per second (Sec. V)
- \( N \): "soot" number density, particles/volume
- \( N_R \): radiation number, see Eq. 2 et seq.
- \( P \): pressure
- \( P(x) \): polynomial defined by Eq. 21
- \( Q_F \): heat released by combustion of fuel
- \( Q_R \): nondimensional \( Q_F \), \( \bar{Q}_F = (1 + \phi) \), see Eq. 2 et seq.
- \( Q_{FR} \): nondimensional radiant heat flux
- \( r \): ratio of reduced Damköhler numbers at extinction with and without radiation, \( r = b_{E,R}/b_E \). Also, reaction term in Eqs. 2
- \( R \): universal gas constant
- \( S \): difference of temperature and enthalpy excess, \( S = T - H \)
- \( S_T \): stagnation point
- \( t \): time
- \( T \): temperature
- \( u \): velocity
- \( U_o(x) \): heaviside step function

\[
U_o(x) = \begin{cases} 
0, & x < 0 \\
1, & x > 0 
\end{cases}
\]

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creation/destruction terms: phenomenology must therefore be abandoned and a set of kinetic equations for soot formation derived, along with a reasonable nucleation criterion.

The approach used herein will be to examine a physically simple model thoroughly. The emphasis will be placed on making mathematically definite statements that can be translated into statements of physical fact, given the limitations of the model. We keep firmly in mind the principle that we are attempting to describe—not simulate—the influence on DFs of radiant heat losses from particulates.

We begin in Sec. II with the formulation of the problem including the heat loss function and the radiation term. In Sec. III we examine the results of the model predictions, including flame movement, extinction formulas, and bounds for radiant extinction. Then in Section IV we discuss the results, indicating points of strength and weakness in the model. The relation of our work to previous studies is discussed in Sec. V. Although literature reviews are usually placed in introductions we felt that for our model the literature review would be more useful if it placed the work in focus after the analysis was complete. Otherwise too many conceptual difficulties should confront the reader at the outset, serving only to obscure the subsequent deductions. We have attempted in most cases to examine the main features of the references we have cited. Finally, Sec. VI presents a short set of point-form conclusions.

II. FORMULATION

II.A. Physical Discussion

The following simplifications are employed in this study: geometrically, we consider the one-dimensional “stagnant film” diffusion flame (DF). The porous fuel wall, at temperature $T_f$, is located at $x = 0$, parallel to the oxidizer wall, which also has $T = T_f$ and is located at $x = L$. The mass fractions of fuel and oxidizer at these walls are $Y_{ff}$ and $Y_{oo}$, respectively (see Fig. 1). Dynamically, we limit ourselves to the case of zero mean flow, so that the movement of species occurs strictly by diffusion. In addition, we neglect the thermophoretic flow that is known to occur with particulates in regions of high thermal gradients, because we wish to examine the thermal and chemical influences of heat losses without factoring in dynamical complications that might make our efforts more difficult. In summary, we have a one-dimensional stagnant-film DF with no mean flow ($u = 0$) and no thermophoretic flow ($\mathbf{u}_T = 0$). We also impose the steady-state condition ($\partial(\cdot)/\partial t = 0$). For the combustion chemistry we assume that the reaction at the DF occurs through a single irreversible step, $F + vO \rightarrow (1 + v)P$ (on a mass basis), with high activation energy. The “soot-formation mechanism,” which we hypothesize occurs on the fuel side of the DF, is assumed not to consume fuel. That is, only “trace” amounts of fuel are required to make “soot” particulates. Also, since the “soot distribution” will be specified, we do not require a separate soot species equation. Nor is it necessary to consider a number-density equation, since we assume that our “soot” particulates are simply a collection of immobile radiating masses located in a preassigned region on the fuel side of the DF. Strictly speaking, there is no need even to discuss “particulates” like “soot” because none of the explicit features commonly associated with particulates appear in our analysis. The relevant features of our “soot particulate layer” are exclusively thermal; it produces only a region of enthalpy loss that may alter the DF structure and cause extinction.
suggest defining $S = \tau - H$, giving

$$(i) \quad S_{zz} = -\left(1 - \phi \right)D_r, \quad S(0) = S(1) = 0.$$  

$$(ii) \quad H_{zz} = N_R\left(-d\tilde{Q}_R/dZ\right), \quad H(0) = H(1) = 0.$$  

where $r(H, S, Z) = [1 - Z - (1 - Z_f)S] \cdot [Z - Z_f S] \exp\left[-\beta (1 - S - H)/(1 - \alpha (1 - S - H))\right]$. It is clear that some rather interesting behaviors may be expected, especially in the general case when the radiation term is a complicated function of $Z, \tau$, and perhaps other variables. However, we shall examine only the simple case when $\tilde{Q}_R$ is a prescribed function of $Z$. We shall see that even for this case many complexities arise.

II.C. The Form of $H(Z)$:

The enthalpy defect $H(Z)$ is obtained by integrating Eq. 4 or Eq. 7.ii twice. We consider the simple case when the radiant heat transfer term is a known, specified function of position. Then the integrations may be carried out explicitly. Because of the eventual double integration, we do not need to be particular in our choice for the radiant heat flux, $d\tilde{Q}_R(Z)/dZ$. Hence, we let

$$-\frac{d\tilde{Q}_R}{dZ}(Z) = U_\phi(Z_R-) - U_\phi(Z_R+),$$

as shown in Fig. 2a. The quantities $Z_R-$ and $Z_R+$ are the boundaries of the heat loss zone. We note that $Z_R \geq Z_f$ and that there are no restrictions on $Z_R-$ other than $Z_R < 1$, i.e., $Z_R-$ does not have to be "close" to $Z_R-$. From Eq. 8 we see that $d\tilde{Q}_R/dZ$ is a "well" function, and that $-d\tilde{Q}_R/dZ$ is a "top-hat" profile. The solution for $H(Z)$ (see Fig. 2b) is

$$H(Z) = \begin{cases} 
0, & 0 \leq Z < Z_{R-}, \\
\frac{1}{2}(Z - Z_{R-})(Z_{R+} - Z), & Z_{R+} \leq Z \leq Z_{R+}, \\
0, & Z_{R+} < Z \leq 1.
\end{cases}$$

Note that $H_Z = 0$ and $H = -N_R(Z_{R-} - Z_{R+})^2/8$ at $Z = (Z_{R+} + Z_{R-})/2$, also shown in

\[ \beta \] for the adiabatic flame temperature. We also observe that with heat losses the flame temperature will assuredly not rise to its theoretical maximum even when $\beta \to \infty$. Hence, we may expect a slight redefinition of the Damköhler number $D$ in terms of a flame temperature somewhat lower than $T_f$.

We note that the derivation of an excess-enthalpy function may be achieved "physically." The conservation equation for the enthalpy takes the form of Eq. 4 when convective transport and body force effects and preferential species transport (Le $i \neq j$) are neglected. Since $h = \sum_i h_i Y_i$ and $h_i = h_i^0 + C_p(T - T_0)$, it is easy to recover our nondimensional $H$.

Finally, we observe that even in the most difficult and general case, such as when the radiation term depends on the spatial coordinate and the temperature and the fuel mass fraction, as long as no fuel $- soot$ depletion terms enter the species equations (Eqs. 1.ii and 1.iii) we can still define the mixture fraction variable $Z = (\phi Y_F + 1 - \phi) = 1$ that satisfies $Z_{ee} = 0$ with $Z = 0$ at $\xi = 1$ and $Z = 1$ at $\xi = 0$. This provides an important simplification of the governing equations. The solution for $Z$ is $Z = 1 - \xi$, whereby

\[ \begin{align*}
(i) \quad & y_O = (1 - Z) - (1 - Z_f)(\tau - H), \\
(ii) \quad & y_F = Z - Z_f(\tau - H),
\end{align*} \]

where $Z_f = (1 + \phi)^{-1}$ is the DF location in the $Z$-coordinate system. Then the equation for $\tau$ (the first of Eqs. 2) and the equation for $H$ (Eq. 4) become

\[ \begin{align*}
(i) \quad & \tau_{zz} = -\left(1 - \phi \right)D_r(H, \tau, Z) + N_R\left(-d\tilde{Q}_R/dZ\right), \quad \tau(0) = \tau(1) = 0, \\
(ii) \quad & H_{zz} = N_R\left(-d\tilde{Q}_R/dZ\right), \quad H(0) = H(1) = 0.
\end{align*} \]

Hence, the solution for $\tau, y_F,$ and $y_O$ is reduced to the solution of two coupled nonlinear equations, Eqs. 6.i and 6.ii. Equations 5 and 6
This result, used in the definition of $\mathcal{N}_R$ after Eqs. 2 and 3, gives

$$\mathcal{N}_R = 4 \left( \frac{\rho_o}{\rho_R} \right) L^* \kappa_p (T_R, 1) \frac{Y_{fR}}{Y_{fF}} \times \frac{\sigma (T_R^4 - T_0^4)}{\rho_o \alpha_o (Q_f/(1 + \phi)) / L^*}, \quad (10)$$

where $L^* = z_o / \rho_o$ is a length scale of the order of $L^* / L = L^{-1} \int_0^L (\rho / \rho_o) \, dx$, $T_0 - T_o = Q_f Y_{fF} / \rho_o C_p (1 + \phi)$ and $\alpha_o = \lambda_o / \rho_o C_p$. Hence, $N_R$ is the ratio of the blackbody radiant heat flux at $T = T_R$ to the flame heat flux, reduced by the length ratio $L^* / L_{RAD} = L^* \kappa_p (T_R, 1) < O(1)$ and the ratio $Y_{fR} / Y_{fF}$.

We shall see in the subsequent developments that it is not $N_R$ by itself that is important, but the product of $N_R$ and the "soot layer" thickness $\Delta Z_f$ in mixture-fraction space.

Numerical estimates for $N_R$ can be made. We write $\rho_o / \rho_R = T_R / T_o$ and let $T_o = 300$ K, $T_R = 2000 T$ K, where $T$ is a nondimensional temperature. We also estimate $Y_{fR} / Y_{fF} \sim 1 / 20$ and take $Q_f = 11355$ cal/g (for methane). Then using $\rho_o = 3 \times 10^{-3}$ g/cm$^3$ and $\alpha_o = 1.24$ cm$^2$/s we find $N_R = 1.2 L^* (L^* \kappa_p (1 + \phi) T^2$, where $L^*$ is in centimeters. We expect $L^* \sim 2$ cm and $L^* \kappa_p \sim O(10^{-4})$, whereby $N_R = 0.14 (1 + \phi) T^2$. The factor $1 + \phi$ can range from 1 for $\phi < O(1)$ to approximately 20 when $\phi$ is large, so that the quantity multiplying $T^2$ can range between 0.25 and approximately 5. A 10% increase of $T_R$ (i.e., $T = 0.9$) decreases $N_R$ by 40%, giving $N_R \sim 0.08 (1 + \phi)$, whereas a 20% decrease of $T_R$ decreases $N_R$ by about 70%. Consequently we expect $N_R$ to range from $O(10^{-1})$ to $O(10^{-1})$ for small $\phi$, to $O(1) - O(10)$ values for large $\phi$.

III. RESULTS

III.A. Temperature and Mass-Fraction Profiles in the Outer (Non-Flame) Regions

On the oxidizer side $y_f = 0$ in the lowest approximation, giving $y_o = 1 - Z / Z_f$ from Eqs. 5.i and 5.ii and $\tau = H + Z / Z_f$. Now if the "soot" layer is distinct from the reaction zone, i.e., if $Z_o > Z_r$, it has no influence on the temperature on the oxidizer side and $\tau = Z / Z_r = d\tau / dZ = 1 / Z_r$ there. In this case the oxidizer side is exactly the same as for a "nonsooting" flame. If, however, the leftmost edge of the soot layer and the flame zone slightly overlap, i.e., $Z_o < Z_r$, then $Z_r = Z_f$ in Eq. 9 (we anticipate that due to "oxidation" the difference between $Z_r$ and $Z_f$ will be small), giving

$$\tau = \frac{Z}{Z_f} + \left\{ \begin{array}{ll}
0 & 0 \leq Z < Z_r = Z_f, \\
- \frac{N_R}{2} (Z - Z_f) (Z_r - Z), & Z_r \leq Z.
\end{array} \right.$$ \quad (11)

and

$$\frac{d\tau}{dZ} = \frac{1}{Z_f} + \frac{dH}{dZ} = \frac{1}{Z_f} - \frac{N_R \Delta Z_R}{2}$$

as $Z$ approaches $Z_f$ from the oxidizer side. Hence, the influence of the heat losses is to decrease the temperature gradient on the oxidizer side from its undisturbed value, $1 / Z_f$. We observe that since $d\tau / dZ$ must be positive, we obtain an upper bound for $N_R \Delta Z_R$, $N_R \Delta Z_R \leq 2 / Z_f = 2 (1 + \phi)$. This criterion may be interpreted as stating that when the heat losses become large the thickness $\Delta Z_f$ can decrease: in other words, as $N_R$ increases we no longer need a thick "soot" layer to produce large heat losses from the flame. This argument can, of course, be generalized to parameters within $N_R$. For instance, suppose that $N_R \Delta Z_R$ at extinction has been determined and that $\Delta Z_R$ is fixed, thereby fixing $N_R$. Consequently if $T_R$ is changed at constant pressure, constant stoichiometric index $\phi$, constant $Q_R$, constant $b$, and so on, we must have $\kappa_p (T_R, 1) Y_{fR} T_R^3 \Delta Z_R$ constant; if $\kappa_p = $ constant, then a slight increase (or decrease) of $T_R$ can be accompanied by a large decrease (or increase) of $Y_{fR}$. Physically, as the mean "soot" layer temperature increases fewer soot particles are needed to produce the same heat loss. A 10% increase of $T_R$, for example, decreases $Y_{fR}$ by 38%.

We now examine the fuel side. Here we have $y_o = 0$ in the lowest approximation, giving $y_f = (Z - Z_f) / (1 - Z_f)$ and $\tau = H + 1$
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We demonstrate this by writing the flame location as

\[ Z_t = \frac{1}{1 - \phi} = 1 - \frac{z_t}{z_o} = 1 - \frac{\int_0^{\tau_t} \rho d\tau}{\int_0^{\tau} \rho d\tau} . \]

This can be rearranged to yield

\[ \int_0^{\tau_t} \rho d\tau = \phi \int_0^{\tau} \rho d\tau . \]

which we examine for the cases with and without heat losses. If the flame zone is negligibly thin we can write \( \rho = \rho_o - (\rho_o - \rho_f)(L - x)/(L - x_f) \) on the oxidizer side, giving \( \rho(x_f) = \rho_f \) and \( \rho(L) = \rho_o \) and yielding \( \phi(L - x_f)(\rho_o + \rho_f)/2 \) for the RHS of the above equation. For the LHS (the fuel side) we can use two different \( \rho \) distributions, the linear profile without heat losses, \( \rho_1 = \rho_o - (\rho_o - \rho_f)x/x_f \), and a nonlinear profile with losses, \( \rho_2 = \rho_o - (\rho_o - \rho_f)x/x_f^2 \); \( \rho_2 \) is always larger than \( \rho_1 \). Substitution into the LHS above yields \( x_f(\rho_o + \rho_f)/2 \) and \( x_f(\rho_o + 2(\rho_o + \rho_f))/3 \), respectively, from which we find, after equating to the RHS, \( x_f/L )^{(1)} = \phi/(1 + \phi) \) and \( x_f/L )^{(2)} = \phi \phi/(\rho_o/3 + \rho \phi + 2/3) \), where \( \phi = (\rho_o + \rho_f)/2 \). We then form the difference.

\[ \left( \frac{x_f}{L} \right)_{(1)} - \left( \frac{x_f}{L} \right)_{(2)} = \frac{\phi M}{(1 + \phi)(1 + \phi + M)} ; \]

\[ M = \frac{1}{3} \left( \frac{\rho_o - \rho_f}{\rho_o + \rho_f} \right) > 0 . \]

Hence, case 2 with heat losses has a smaller value of \( x_f/L \), indicating that the flame is displaced to the fuel side. Although our demonstration has employed simple \( \rho \) distributions, it is generally valid whenever \( \rho \) on the oxidizer side is unchanged by the heat losses and when the nondimensional reaction layer thickness, \( \Delta x_f/L \), is small.

Because our analysis is performed in terms of the mixture fraction, \( Z \), the influence of heat losses on \( Z_f \) must be examined before making definite conclusions. In any case, the flame movement caused by density changes does not alter the flame location in the \( Z \)-coordinate, since \( Z_f = (1 + \phi)^{-1} \) is unchanged.

III.C. The Chemical Reaction Zone

First we analyze the case \( Z_R = Z_f \), so that \( H(Z) = 0 \). We employ Eqs. 7.i, 9 with \( Z_R = Z_f \), 11, and 12. The use of the "S-equation" is of considerable benefit, because in all results except the presence of \( H \) in the exponential it resembles the standard DF "structure" equation [1]. The gradients of \( S \) in the outer zones are identical to those for the zero heat-loss case, viz \( S_Z = (\tau - H)_Z = 1/\tau_f \) on the fuel side (compare to Eq. 11 for \( \tau_2 \)) and \( S_Z = (\tau - H)_Z = -1/(1 - Z_f) \) on the oxidizer side (compare to Eq. 12). We therefore define stretched variables.

\[ \begin{align*}
(1) & \quad S = 1 - (\Phi + a_T)/b_\beta, \\
(2) & \quad \eta = (Z - Z_f)/c.
\end{align*} \]

as for standard DF asymptotic analysis [2]. We observe that \( S \) is presumed to approach unity in the reaction layer since \( S \sim (\tau = H) \) and \( H \) = 0 there, enabling \( \tau \rightarrow 1 \) as \( \beta \rightarrow \infty \). We shall subsequently see that when \( H(Z_f) \neq 0 \) we may still use Eq. 13.i because this implies \( \tau_{max} = 1 + H(Z_f) < 1 \), so that the flame temperature cannot attain its zero-heat-loss peak value. With the above substitutions the quantity in the argument of the exponential of Eq. 7.i becomes

\[ \frac{\beta (1 - S - H)}{1 - \alpha (1 - S - H)} = \frac{\left[ -(\Phi + a_T)/b + \beta H \right]}{1 - \beta \left( \frac{\Phi + a_T}{b} - \beta H \right)} \]

which is in danger of being swamped by the heat loss term \( \beta H \) if appropriate measures are not taken. For \( Z \) near \( Z_f \), we write

\[ H(Z) = H(Z_f) - (Z - Z_f) \frac{dH(Z_f)}{dZ} + \frac{(Z - Z_f)^2}{2!} \frac{d^2H(Z_f)}{dZ^2} + \ldots \]

With \( H(Z_f) = 0 \), and \( dH(Z_f)/dZ = N_A Z_f/2 \), and Eq. 13.ii, we find

\[ H(Z) = \ldots \]
When \( H(Z_f) = 0 \), there is real overlap between the flame and the "soot" layer, so that \( Z_{soot} < Z_f \). Nevertheless, the preceding analysis carries through in almost the same manner if we replace \( \beta \) in Eqs. 13.i and 13.ii by \( \beta_R = \beta T_r / T_{fr} \). Here \( T_{fr} \), the flame temperature with radiant heat losses, is smaller than \( T_f \). It is obtained from the relation
\[
\tau = 1 + H(Z_f),
\]
giving
\[
T_{fr} / T_f = 1 + a H(Z_f).
\]
The Arrhenius exponent becomes
\[
\beta (1 - S - H) / (1 - \alpha (1 - S - H)) = - \frac{\beta (1 - \tau)}{1 - \alpha (1 - \tau)} - \frac{1}{b} [\phi + a_R \eta]
\]
\[+ O(\beta^{-1}).\]
The term \(-\beta (1 - \tau) / [1 - \alpha (1 - \tau)] = -(E/R) (1/T_{fr} - 1/T_f)\) produces a modified Damköhler number, \( D_R = D \exp \left(-E/R\right) \cdot (1/T_{fr} - 1/T_f)\), that is smaller than \( D\). After some algebra we once again obtain the problem given by Eqs. 14, with \( a_R \) given by Eq. 15. \( a = 2 Z_f - 1, \) \( b/c = 2 Z_f (1 - Z_f), \) and \( b = [4(1 + \phi) D_R (Z_f (1 - Z_f) / \beta_R^3)]^{\frac{1}{3}}, \) in which \( D \) and \( \beta \) have been replaced by \( D_R \) and \( \beta_R \). We note that since \( D_R < D \) and \( \beta_R > \beta \) the present reduced Damköhler number is always smaller than for the non-"sooting" flame.

### III.D. Extinction Criteria

We deduce the criteria for the DF with heat losses from the "soot" layer. There are at least two ways to do this. Both produce the same extinction zone on an \( N_R \Delta Z_R \) vs. \( a \) (or \( Z_f \)) plot, but the second method allows more physical interpretation.

In the first method we observe from Eq. 16 that (i) \(-1 < a_R < +1 \) and (ii) \( 0 < |a_R| < 1 \). Since \( a_R = 2 Z_f - 1 + (N_R \Delta Z_R) Z_f (1 - Z_f), \) we find for criterion (i) after a short algebraic calculation that \( 1/2 < Z_f < 1 \) gives \( 0 < N_R \Delta Z_R < 1/Z_f \) and \( 0 < Z_f < 1 \) gives \( 0 < N_R \Delta Z_R < 2/Z_f \). Thus, for the entire range of \( Z_f \), \( N_R \Delta Z_R \) must be positive and smaller than \( 2/Z_f \). With criterion (ii) we are able to produce a lower limit for \( N_R \Delta Z_R \) that exceeds zero when \( 0 < Z_f < 1/2 \). We find the same limits for \( N_R \Delta Z_R \) as in (i) when \( 1/2 < Z_f < 1 \) but when \( 0 < Z_f < 1/2 \) we obtain \( 2(1 - 2Z_f)/(Z_f (1 - Z_f)) < N_R \Delta Z_f < 2/Z_f \). The extinction boundaries deduced here are shown in Fig. 4. They bound the lined region. The width of the extinction interval varies from 2 as \( Z_f \to 0 \) to 4 at \( Z_f = 1/2 \) back to 2 as \( Z_f \to 1 \).

Observe also that extinction appears to be easier when \( 1/2 < Z_f < 1 \) because the \( N_R \Delta Z_R \) values required are fairly small. The physical reason for this is that the heat losses by conduction from the reaction zone to the fuel side are greater than to the oxidizer side when \( 1/2 < Z_f < 1 \). We see this by writing Eq. 16 as
\[
1 - a = 2(1 + \gamma), \quad \gamma = |\tau|_\text{fuel} / |\tau|_\text{oxid};
\]
hence, \( \gamma > 1 \) when \( 0 < a < 1 \). When the "soot" layer is on the fuel side the heat losses to it are amplified, making extinction easier. When \( 0 < Z_f < 1/2 \) these two factors act in opposition, making extinction more difficult.

In the second method we examine the extinction criteria for Eq. 14 in detail. It is well known that for a fixed value of \( a_R \), Eq. 14 behaves as follows: when \( b > b_E \), where \( b_E \) is the "extinction" Damköhler number, there are two solutions, only one of which we can accept as "physically realistic". When \( b < b_E \) there are no solutions. Each value of \( a \) produces a single value of \( b \), enabling the determination

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**Fig. 4.** \( N_R \Delta Z_R \) versus \( a \) and \( Z_f \). When \( T_{fr,E} < T_{f,E} \) extinction can occur only in the lined region. The dashed vertical lines at \( Z_f \sim 0.08 \) and \( Z_f \sim 0.4 \) indicate bounds proposed by Kennedy et al. [14], outside of which they assert that the soot growth rate is zero. When \( T_{fr,E} > T_{f,E} \) extinction must occur in the cross-hatched region.
At extinction we can equate our two ratios $r_E$ to obtain

$$r_E = \frac{f'(1 - |a_R|)}{f'(1 - |a|)}$$

$$= \frac{T_{fR,E}}{T_{f,E}} \exp \left( \frac{-E}{3\alpha_T T_{f,E}} \left( \frac{T_{f,E}}{T_{fR,E}} - 1 \right) \right). \quad (22)$$

There are two cases. $T_{fR,E} < T_{f,E}$ and $T_{fR,E} > T_{f,E}$.

**Case (i) $T_{fR,E} < T_{f,E}$**

Here we have $r_E < 1$. This can be satisfied only when $1 - |a_R| < 1 - |a|$, or $|a_R| > |a|$ (see Fig. 5). We recall from Eq. 15 that $a_R > a$ is always true. Hence, extinction can occur only when the two inequalities $a_R > a$ and $|a_R| > |a|$ are satisfied. When $a > 0$ these inequalities are obviously satisfied and produce the admissible range of values $0 \leq N_R \Delta Z_R \leq 2/Z_f = 4/(1 + a)$. When $a < 0$ (or $0 < Z_f < 1/2$) we have $a_R > a$, but $|a_R| > |a|$ requires $|a_R| = a + (N_R \Delta Z_R) Z_f (1 - Z_f) > |a| = -a$, whereby $N_R \Delta Z_R > 8(-a)/(1 - a^2) = 2(1 - 2Z_f)/(Z_f(1 - Z_f))$, which is the same set of limits deduced before. In summary,

$$N_R \Delta Z_R > \begin{cases} \frac{Z_f(1 - 2Z_f)}{Z_f(1 - Z_f)} = \frac{8(-a)}{1 - a^2}, \\
0 < Z_f \leq \frac{1}{2}, \\
0, \\
\frac{1}{2} \leq Z_f < 1, \end{cases} \quad (23)$$

and

$$N_R \Delta Z_R < \frac{2}{Z_f} = \frac{4}{1 + a}; \quad 0 < Z_f < 1. \quad (24)$$

This produces the same lined region of Fig. 4 as previously, but with the added restriction $T_{fR,E} < T_{f,E}$. Here the DF with heat losses extinguishes at a lower flame temperature than the DF without heat losses.

**Case (ii) $T_{fR,E} > T_{f,E}$**

Here we have $r_E > 1$, so that $a_R > 1$ and $|a_R| > |a|$. These conditions restrict us to $-1 < a < 0$ ($0 < Z_f < 1/2$), where we find the lower limit $N_R \Delta Z_R = 0$ and the upper limit $N_R \Delta Z_R = 2(1 - 2Z_f)/(Z_f(1 - Z_f))$, which coincides with the lower limit in Eq. 23 for the case $-1 < a < 0$. This extinction region is shown in Fig. 4 as the cross-hatched zone.

We therefore have demonstrated that this approach allows us to describe the region below $N_R \Delta Z_R = 2/Z_f$.

Our results raise two questions. (1) Does the region produced by $T_{fR,E} > T_{f,E}$ make "physically sense"? This is debatable, because for conditions other than extinction Eq. 20 demonstrates that $r(Z_f) = b_R/b$ is always less than unity. How then is it possible for $b_R/b$ at extinction to suddenly rise to above-unity values? We suspect that this region is indeed nonphysical. (2) Can fuel-poor DFs with $\phi < 1$ (or $1/2 < Z_f < 1$, or $0 < a < 1$) even produce enough "soot" to self-extinguish? According to our model they can indeed. In practice, however, the condition $\phi < 1$ places tight restrictions on possible extinction conditions. For the general hydrocarbon-oxygen reaction

$$C_n H_m + (n + m/4)(O_2 + nN_2)$$

$$\rightarrow nCO_2 + (m/2)H_2O$$

$$+ (n + m/4)nN_2,$$

we have $\nu = 32(n + m/4)/(12n + m)$, which ranges from 3.08 to 4.23 when $m/n$ ranges from 1 to 5. Hence, $\phi < 1$ implies $Y_{FF}/Y_{OO} < 1/\nu$, which ranges from 1/4 to 1/3. For air ($Y_{OO} = 0.23$) this means $Y_{FF} \in (0.055, 0.075)$, which is a rather narrow interval, possibly below the extinction limit and certainly so fuel poor that expectations of "soot" production may be in vain. For elevated-oxygen environments perhaps enough "soot" may form to weaken the DF.

On the fuel-rich side of Fig. 5 the "physically realistic" interval for extinction (the lined region in Fig. 4) becomes progressively more difficult to attain as $Z_f$ decreases. For HC-air
It is perhaps more useful to focus on \( \Delta = \alpha |H(Z_f)| \) since this is the temperature decrement produced by the heat-loss zone. For the same \( Y_{FF} \) and parameter values, the results for \( \Delta \) vs. \( N_R \Delta Z_R - (N_R \Delta Z_R)_{\text{min}} \) are shown in Figs. 7a–7c. Observe the similarity to Figs. 6a–6c. The correlation analogous to Fig. 6d is shown in Fig. 7d.

### IV. DISCUSSION OF RESULTS

We have made numerous simplifications during our analysis. It is necessary to examine these in order that future studies might introduce the necessary refinements.

The radiation term in Eq. 1 (or Eq. 4, or Eq. 7.ii) was simplified by ignoring its functional dependence on \( r \), \( y_F \), etc. It was replaced by the simple model function of Fig. 2b that allows decoupling of Eq. 7.i and 7.ii and facilitated the subsequent analysis. Later, in Sec. II.D, we assumed the soot layer was an optically thin medium, which allowed us to relate \( dQ_R/dZ \) in Eq. 7.ii to the soot mass fraction \( Y_s \).
limit when $\kappa^{-1} d(\varepsilon)/dx \ll 1$. The advantage of this formulation is that the integro-differential equation of radiant transfer is replaced by a nominally tractable ordinary differential equation. This might enable analytical solutions to be found, or at least, numerical results to be obtained without employing sophisticated and expensive computational software and hardware. Just as importantly, it preserves the modeling imperative, the notion that in modeling we wish to describe what happens, not simulate it.

From these discussions of the purely radiative features we see that much “structure” for $S$ and $H$ remains to be uncovered from Eqs. 7.i and 7.ii. We have, through the specification of $H(Z)$, produced a simplified theory that only touches the surface of this “structure.”

The approximation made for the reaction chemistry was standard, in contrast with studies that include detailed chemistry. In order to produce a model for the chemical reaction processes that describes fuel breakdown and soot formation, however, we do not require a detailed numerical simulation, unless we are certain that soot production is initiated upon the appearance of a specific “precursor.” All we require is a qualitative reaction sequence that resembles the actual sequence in its most important features. With our fast-chemistry model, we determined that the “soot” layer had to touch the reaction zone to influence it. This conclusion may change when more than trace amounts of fuel are required to produce “soot,” but the implications are clear: the dependence in the reaction zone on $T$ through the Arrhenius factor $\exp(-E/RT)$ is strong enough to drive the chemical reaction to completion even when broad regions of heat loss exist nearby. Only when the losses severely interrupt the zone of maximum reaction rate, which for $\phi < 1$ (fuel-poor or oxygen-rich) occurs slightly to the oxidizer side of $Z_f$, and for $\phi > 1$ occurs slightly to the fuel side of $Z_f$, does the possibility of DF extinction exist:

The extinction mechanism is thermal because the sole influence of “soot” is to create a heat-loss zone. In fact, there is no reason to presume that our virtual radiating particulates have their origins in soot. The heat-loss zone is generic and may perhaps be best thought of as follows: imagine a collection of small chemically inert spherules distributed on the fuel side of the domain, because oxidation destroys them when they penetrate the flame. Above a certain temperature they radiate energy out of the domain $0 < x < L$. We may assume that the heat flux leaving each point of the heat-loss zone is identical. giving for $-dQ_R/dZ$ the “top-hat” profile already discussed in Sec. II.D. This amounts to defining a characteristic loss-zone temperature, $T = T_R$, viz, $T_R^2(T^2 - T_s^2) = \Delta Z_R^{-1} \int Z_s^2 T(T^2 - T_s^2) dZ$; the constancy of $Y_s$ on the fuel side would eliminate the need for a “soot” mass profile like the one described by the “top hat” profile of Fig. 2a. The result would be a radiant heat-loss zone between two temperatures (the minimum, $T_{s_{\text{min}}}$ and the maximum, $T_{fr}$) separated by the distance $\Delta Z_R$ in mixture fraction space.

In this article we have moved the “soot layer” at will or, reverting to the above interpretation, we have defined the bounding temperatures of the heat-loss layer at will. Heat-loss regions in real DFs, however, usually locate themselves through a web of interactions involving nucleation, particle growth, convective flow, thermophoresis, etc. If we presently ignore the details of nucleation and particle growth and assume that mature particles spontaneously appear, we must still account for the imbalance of physical forces. In our study we have neglected convection and thermophoresis. It is known that convective flows of fuel toward the DF and thermophoretically induced particulate motion away from the DF strike a balance that dictates the soot layer location. Oddly enough, without convection but with thermophoresis, the stable condition for our problem is a steady thermophoretic flow of particulates toward the fuel wall. Thus, the entire fuel side would be populated with carbon particulates streaming steadily towards the fuel wall. This suggests locating the soot zone according to strictly thermophoretic, which in fact appears to be the most physically self-consistent way to interpret the model examined in this article.

For the flow field there are many complications. In this study they have been eliminated by ignoring the flow, though it would be relatively straightforward to introduce a convective
Kent et al. [9], with their Wolfhard-Parker burner, found that the soot particulates are generated very close to the reaction zone, that the soot formation rate has its peak values about 2–3 mm from the reaction zone on the fuel side, that the soot volume fraction and particle diameter profiles peak at about 5 mm on the fuel side of the T-peak, that near the flame base the soot formation rate becomes negative (oxidation), and that the soot particulates can be convected through the DF.

The 1985 study by Smyth et al. [10] has an extensive bibliography (59 references) and certain succinct and direct conclusions, one of which we now quote. With their Wolfhard-Parker burner for CH4/air DFs these authors determine that "...condensation reactions are found in a localized region approximately 2–3 mm on the fuel side from the zone of maximum temperature. These intermediate HCs exhibit maximum concentrations at temperatures of approximately 1300–1650 K. The earliest soot particles are detected at the high temperature edge of this region, which is rich in aromatic compounds and other unsaturated HCs." The Kent et al. [9] and Smyth et al. [10] studies are in general agreement over the proximity of the soot layer to the reaction zone. But Wolfhard-Parker burners have substantial rates for free and forced convection, perhaps negating the thermophoretic flows and producing a bias towards proximate soot layers and DFs.

The studies of Santoro and Colleagues examined soot growth along individual particle paths. They used a coannular DF and performed extensive particle, temperature and velocity-field measurements using laser velocimetry (LV) [11]. They estimate a maximum thermophoretic velocity \( u_{T,\text{max}} \) of 4 cm/s and observe that in the region where \( u_T = u_{T,\text{max}} \) the radial velocity ranges between 10 and 25 cm/s. Hence, forced and free convection dominate thermophoresis. Soot is formed in the annular region of the flame, where the soot volume fractions are maximum, \( \Phi_{\text{max}} \). As the burner flow rate increases the path line for \( \Phi \) "shifts" outward to larger radial values. The velocity field is dominated by buoyancy, making it insensitive to changes in the fuel flow rate, but the soot field is strongly dependent on \( t \), through the fuel flow rate. The two major contributions to increased soot formation are increased \( t \), and changes in the flame shape caused by the "shift" of \( \Phi_{\text{max}} \). Increases in the fuel flow rate do not increase soot formation rates. The values of \( \Phi_{\text{max}} \) are \( O(10) \) to \( O(100) \) times larger than near the centerline of the flame axis, except for the region close to the flame tip, suggesting that soot is indeed able to penetrate (diffuse?) to the center of the flame cone given sufficient time. Unfortunately, there is no information on the figures of the relative locations of the soot, flame, and oxidation regions. Nevertheless, it is clear that increased fuel flow rates enable soot particles to move on path lines with larger radial values, indicating that regions of soot formation respond to a change in flow rates.

A recent study that supports this statement is that of Jackson et al. [12], for \( \mu g \) droplet burning of heptane and heptane/monochloroalkane mixtures. The latter fuel soots more than the former because the Cl radical "scavenges" the OH- atoms that usually inhibit soot formation. These authors suggest that the spherical "soot shell" is relatively close to the droplet surface. Hence, contrary to the previous studies [8–11] it appears the inwardly directed thermophoretic forces can compete with the outward convective flow. They indicate that "soot shell" formation occurs where \( F_D + F_T = 0 \), where particle drag and thermophoretic forces cancel, that the force balance depends on agglomerate size and gas properties (mostly the viscosity \( \mu \) and the diffusion coefficient \( D \)), and that the soot formation can be minimized by ensuring the formation of smaller particulates that do not break through the DF, but are consumed by it. This study raises questions about the thermophoresis-convection competition. The force balance suggests that thermophoresis can be very important. If soot particulates nucleate at temperatures above 1650 K (as suggested in [10]), there must be a rather vigorous migration from the high-T near-DF region to the low-T near-surface region. Can such rapid migration away from the regions abundant in aromatic compounds and unsaturated HCs [10] still allow
not include $T$ in their calculation of $\Phi$, so that although $T$ drops once the flame is crossed from the fuel to the oxidizer side, the corresponding drop in $\Phi$ is much smaller than it might be. An ad hoc attempt to include the $T$ effect (which they say simulates the temperature drop due to radiation) improves the agreement only slightly. They also find that $\Phi$ depends strongly on $k$, increasing by a factor of 10 when $k$ increases only by a factor of 2.5. They also note that $k$ is actually a function of $Z$ and time $t$, and that $k$ decreases as $t$ increases. Because "young" soot has a higher surface growth rate than "old" soot. They conclude by drawing attention to the need for accounting more precisely for the influences of radiation on the $T$ distribution in sooting flames. A means must be found to introduce variable $T$ into the equations for $\Phi$, $N$, etc., and radiative loss terms as functions of $\Phi$, $N$, ... must also be derived.

The study of Pagni and Okoh [15] examines certain fundamental questions of soot-flame interaction using a two-dimensional laminar boundary-layer flame on a pyrolyzing slab. This is really a multidimensional version of Fig. 1. Perhaps because of the strong convective flows they find negligible thermophoretic influences. They employ a simplified phenomenological expression of Arrhenius form to describe the soot growth rate. Based on existing empirical data they state that the "...optically thin approximation is valid for incorporating particle radiation in the gas boundary layer equations."

The previous study with perhaps the most relevance to our work is that of Leung et al. [16]. It advances a mechanism for soot formation in DFs that with refinement should be able to eventually absorb the phenomenological models. The authors begin by making several observations: (i) "...measurements indicate that soot formation is dependent on the breakdown path of the fuel and the presence of pyrolysis products such as acetylene... and polyunsaturated cyclic $\text{HC}_3$ such as benzine..." (ii) pyrolysis products "tend to show similar profiles with different magnitudes..." so that numerous "critical species" exist, like $\text{C}_2\text{H}_2$, $\text{C}_6\text{H}_6$, $\text{C}_4\text{H}_4$, etc.; and (iii) the detailed models are too complex to use in "flows of practical importance for years to come" and the global (phenomenological) models are of dubious validity "under conditions different from those under which they were originally formulated." They construct a soot formation mechanism for DFs containing five components. The first component is the chemical reaction mechanism that predicts at least qualitatively correct levels of $\text{C}_2\text{H}_2$, the "precursor" they define as the conduit to sooting. The second component is the formation of incipient particles in regions of high precursor concentration. Although something less than 10% of the total soot mass consists of these incipient particles, they are important because they provide the initial conditions for the subsequent growth phase. The second step, the "nucleation rate," is assumed proportional to the precursor concentration, $\text{C}_2\text{H}_2$. The minimum particle size is taken to be 100 C atoms. The third step is surface growth by adsorption. For their model they employ the results of previous experimental studies [17–19]. The fourth step is soot oxidation to CO, which the authors assert occurs in a narrow region close to the flame on the oxidizer side. They also state that their "...predictions are comparatively insensitive to this reaction step." They employ $\text{O}_2$ as the oxidizer, though strong arguments have been made for OH. The fifth component of their model is a particle agglomeration step. Interestingly, they employ detailed kinetic equations that have been derived for adiabatic flames: hence, their detailed mechanism should be applicable for lightly sooting flames. For the model equations only thermophoretic transport is specified for the soot, and a rather simple ad hoc correction was used to simulate the radiant heat loss from the flame: for the temperature distribution they wrote $T = T_{\text{sd}}(1 - C(T_{\text{sd}}/T_{\text{max}})^4)$, where $T_{\text{sd}}$ is the local temperature calculated adiabatically and $T_{\text{max}}$ is the maximum value of $T_{\text{sd}}$. Obviously this correction cannot change the qualitative shape of the temperature curve; it cannot add an inflection point in regions of high heat loss, for instance, as we have in Figs. 3a and 3b. Nevertheless, $\Phi$ is fairly well predicted, with discrepancies attributed to "uncertainties in the gas-phase chemistry and the simplified treatment of the nonadiabaticity..." They conclude that for the
strong temperature gradients in the particulate layer.

7. Although our model is a highly simplified version of any available physical (experimental) counterpart, our discussion of the literature shows that many of our simplifications, restrictions and assumptions are consistent with empirical observations. Placing the "soot" layer adjacent to the reaction zone is in reasonable accord with the evidence. It is likely that (convection) \( \gg \) (thermophoresis) in the studies we have reviewed, except for Ref. 12.

8. Arguments were presented supporting the need for a reliable and physically reasonable physicochemical mechanism for soot formation. The phenomenological approach, with its strong intuitive appeal, requires the existence of a convective flow. Although our zero-convective limit may not be realistic, it focuses attention on the deficiencies of phenomenological modeling.

9. An interesting set of equations (Eqs. 7.i, 7.ii) was obtained for describing the interaction of a DF and an enthalpy-loss region. When \( H = H(\tau, Z) \), only these two equations need be examined. When the radiation term \( dQ_R/dZ \) also depends on the soot mass fraction, which itself depends at the very least on the fuel mass fraction and the temperature, we have a coupled system of three nonlinear ordinary differential equations. In the general case the situation is even more complicated, for the soot mass fraction depends on the "initial conditions" provided by the pyrolysis chemistry, the nucleation sequence, the surface growth rate, etc. As always, the number of coupled nonlinear equations increases.

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APPENDIX
Here we generalize the formulation of Sec. II vis à vis \( H(Z) \). First, however, we reexamine the functional form we used there in slightly greater detail. We observe the following: (i) The derivative of \( H(Z) \) is discontinuous at \( Z_R^- \) and \( Z_R^+ \) with values \( \pm N_R^\Delta Z_R/2 \) inside
If $Y/Y_R$ does not vanish, $H_{zz}$ is positive everywhere on the interval $0 < Z < 1$. It is zero only at the endpoints. Hence, $H$ topologically resembles Fig. 2b with $Z_{R_0} = 0$ and $Z_{R_1} = 1$. In reality, $Y/Y_{R_0}$ will vanish at least on the side $Z < Z$, due to oxidation. If it vanishes abruptly, as in Fig. 2b, no further discussion is necessary: but if it vanishes smoothly, producing a "dog ear" near $Z = Z$, we may argue that the oxidation of the soot produces a local heat source. On the fuel side we do not expect any such heat sources: hence, either $Y$, vanishes abruptly or it remains non-zero to the wall, where the condition $T = T_w$ puts an end to the region $H_{zz} > 0$. In either case, $H_{zz}$ should approach zero with a nonzero slope. This is qualitatively identical to what we have already done in Fig. 2.