

A comparison of HALOE V19 with SAGE II V6.00 ozone observations using trajectory mapping

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Abstract. We apply trajectory mapping to an eight-year intercomparison of ozone observations from HALOE (V19) and SAGE II (V6.00) for the months March, May, June, September, October, and December from the period December 1991 – October 1999. Our results, which represent the most extensive such intercomparison of these two data sets to date, suggest a root-mean-square difference between the two data sets of greater than 15% below 22 km and of 4 – 12% throughout most of the rest of the stratosphere. In addition, we find a bias with HALOE ozone low relative to SAGE II by 5 – 20% below 22 km between 40°S and 40°N. Biases throughout most of the rest of the stratosphere are nearly nonexistent. Finally, our analysis suggests almost no drift in the bias between the data sets is observed over the period of study. In the course of our study, we also determine that the employment of the Wang-Cunnold criteria is still recommended with the V6.00 SAGE II ozone data. Results with the new versions of the data sets show significant improvement over previous versions, particularly in the elimination of mid-stratospheric biases and the elimination of the previously observed drifts in the biases between the data sets in the lower stratosphere. Since HALOE V19 and V18 ozone are very similar, these changes can likely be attributed to improvements in the SAGE II retrieval.

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1. Introduction

An important problem in stratospheric research remains the nature of the mechanism responsible for the observed negative trends in midlatitude column ozone [Stolarski *et al.*, 1991; Hollandsworth, *et al.*, 1995]. Ozone photochemistry is highly dependent upon altitude, with NO_x , HO_x , and ClO_x all serving as dominant loss mechanisms at different altitudes [e.g., Jackman, *et al.*, 1986]. As a consequence, understanding the cause of observed negative trends in the column requires knowledge of the changing shape of the ozone profile. While the Total Ozone Mapping Spectrometer (TOMS) instrument has provided a long and reliable global data record of the total column amount of ozone, it is unable to comment on the distribution of ozone within the column. To answer the question of the midlatitude trends in the vertical profile of ozone, data from other instruments are required.

Two such instruments that supply high-resolution vertical profiles of ozone over a wide range of latitudes are the Halogen Occultation Experiment (HALOE) [Russell *et al.*, 1993] and the Stratospheric Aerosol and Gas Experiment (SAGE) II [Mauldin *et al.*, 1985; Chu *et al.*, 1989]. Both instruments use the solar occultation technique to derive their measurements of ozone and other atmospheric constituents. (Further details about these instruments can be found below.) To develop confidence in these two data records and to determine their value to diagnosing the midlatitude trends, we would like to evaluate how well they agree with another, and to what extent that agreement changes with time.

Recently both the SAGE II and HALOE instrument teams released new versions of their data sets. While HALOE V19 ozone is very similar to the V18 data, the SAGE II V6.00 ozone represents a major revision to the SAGE II retrieval process. Evaluation of

the differences between version 6.00 of the SAGE II data sets and a variety of correlative measurements is the subject of an upcoming special section of the *Journal of Geophysical Research*. The evaluation of previous versions of these data have been the subject of numerous previous publications including the *SPARC Report* [1998], part of which addresses the issue of the consistency of the SAGE II (V5.96) and HALOE (V18) ozone data records.

Due to the measurement technique that both of these two instruments employ and the orbital parameters of the satellites on which they ride, they do not often make their observations near the same geographic locations at the same time. Traditional validations efforts have often been frustrated, particularly in the tropics, where infrequent observations by both instruments lead to statistically insignificant results. As a result, the studies presented in the *SPARC report* struggle to produce statistically significant results when employing traditional coincident observations and zonal means to make comparison between the HALOE and SAGE II observations.

In the remainder of the paper, we discuss the use of and employ trajectory mapping (TM) as described by *Morris et al.* (1995) to compare the two new versions (HALOE V19 and SAGE II V6.00) to one another as well as the previous versions (HALOE V18 and SAGE II V5.93) to one another. Our study includes the months of March, May, June, September, October, and December for the eight-year period of December 1991 – October 1999, i.e., 6 months in each of 8 years for a total of 48 months of data. TM produces robust results for the comparison of ozone observations between HALOE and SAGE II over a range of latitudes from $\sim 60^{\circ}\text{S}$ to $\sim 60^{\circ}\text{N}$. We compare these two data sets using three statistical measures: the root-mean-square (RMS) difference,

the bias (or offset) between the HALOE and SAGE II observations, and the drift in the bias as a function of time. Particularly important to the negative midlatitude trend in the vertical ozone profile is the drift in the bias statistic. While offsets between the instruments may be troubling, if the instruments exhibit no drift relative to one another, then the trend calculations performed using both data sets remain valid.

We show in this paper that the latest versions of ozone observations from these two instruments exhibit little if any statistically significant drift with respect to one another over the 8-year record analyzed here. With the difficulties experienced by the SAGE II instrument in the late Summer and Fall of 2000 after 16 years of service, our results provide hope that we can extend and enhance the long and unique SAGE II ozone profile data record by using HALOE, which continues to function aboard the UARS satellite.

In addition to examining the latest versions of these data sets, we present results from a comparison of the last publicly available versions of each data set (HALOE V18 and SAGE II V5.93) to establish a baseline by which to judge present versions of the retrieval algorithms and to compare with earlier published comparisons of the two data sets. We note that the latest versions of the data sets have resulted in a significant reduction in the magnitude of the drift between HALOE and SAGE II, particularly in the lower tropical stratosphere, and a reduction of the earlier observed biases between the two data sets in the mid and upper stratosphere in the tropics and northern midlatitudes. Since HALOE V18 and V19 ozone profile data products are nearly identical, these results suggest that significant improvements have been realized in the SAGE V6.00 product over the V5.93 product.

1.1. HALOE

HALOE rides on the Upper Atmosphere Research Satellite (UARS) which was deployed September 14, 1991 from the Space Shuttle Discovery. UARS was launched into an orbital plane inclined 57° to the Equator and circles the Earth at an altitude of 585 km with an orbital period of about 96 minutes. The orbit precesses at a rate of 5° per day. Ten times per year, the satellite performs a yaw maneuver, which rotates the platform 180° relative to its orbital velocity vector. These orbital parameters permit HALOE to make its observations from roughly 30°S to 70°N or 30°N to 70°S in each UARS "month," although the precise latitudes covered vary from month to month. For further information on the UARS platform, see *Reber et al.* [1993].

HALOE uses the solar occultation technique to make constituent measurements twice per orbit: once at sunrise and once at sunset. The instrument makes measurements with a vertical resolution of ~ 2 km, a horizontal field of view of 5 km (measured at the surface) perpendicular to the line-of-sight, and a limb path length of 300 km [*Russell et al.*, 1993].

Since UARS completes approximately 15 orbits each day, HALOE returns 30 profiles daily: 15 at sunrise, 15 at sunset. This measurement strategy provides good longitudinal coverage on two latitude circles each day, one corresponding to the sunrise locations, the other corresponding to the sunset locations. Latitude coverage of HALOE varies continuously over each UARS month.

To derive constituent profiles, HALOE observes absorption bands in the infrared part of the spectrum between 2.45 and $10.04\text{ }\mu\text{m}$, with $9.6\text{ }\mu\text{m}$ being used for ozone re-

trievals [Russell *et al.*, 1993]. By measuring the absorption lines in the spectrum of well-known background source, the sun, HALOE measurements are practically self-calibrating and highly precise. In order to derive mixing ratios, a temperature versus pressure profile is retrieved from HALOE data over the 5 to 85 km range using the CO₂ 2.8 μ m band. Observations are tied to the National Center for Environmental Prediction (NCEP), temperature, pressure, and altitude data for altitudes below 35 km [Russell, *et al.*, 1993]. The presence of aerosols from the eruption of Mt. Pinatubo (from launch through 1993) complicates the retrieval procedure, especially below 30 km.

Due to problems with the satellite's solar array, limited data were retrieved during June and early July 1992. Outside of this period, the satellite has performed admirably, and HALOE has operated nearly continuously since it was turned on about 4 weeks after launch. For further information, see Russell *et al.* [1993].

1.2. SAGE II

The Stratospheric Aerosol and Gas Experiment II (SAGE II) was launched in October 1984 aboard the Earth Radiation Budget Satellite (ERBS). Like HALOE, SAGE II is a solar occultation instrument. SAGE II, however, makes its ozone observations in the visible portion of the spectrum, primarily using data from the center of the Chappuis absorption band measured by the instrument's 0.6 μ m channel. ERBS orbits the Earth along a circular path at a distance of 610 km above the surface of the Earth with an inclination of 56°. Over the course of approximately one month, SAGE II records observations at latitudes between 70°S and 70°N, although the precise coverage varies from month to month. Like HALOE, SAGE II observations are made twice per orbit: once at sunrise and once at sunset. The SAGE II observations, therefore, are also limited to two

narrow latitude bands each day. Further details on the SAGE II instrument can be found in *Mauldin et al.* [1985] and on the original SAGE II retrieval algorithm in *Chu et al.* [1989]. The SAGE II instrument has been collecting data nearly continuously since the launch of the ERBS satellite.

Modeling of the original retrieval algorithm by *Chu et al.* [1989] suggests that below 20 km, aerosol contributes more than half of the signal at 0.6 μm . As a result, the presence of aerosols from the eruption of Mt. Pinatubo made use of the SAGE II ozone data below 25 km nearly impossible for an extended period after the eruption. A study by Wang and Cunnold yielded Table 2.2 in the *SPARC Report* [1998] which delineates periods and altitude ranges for which use of the SAGE II ozone data are not recommended. A recent failure of the instrument in summer of 2000 temporarily interrupted the data record after some 16 years of nearly flawless operations.

1.3. Trajectory Mapping

We use TM to compare HALOE and SAGE II ozone. Since both instruments are solar occultation instruments, the two data sets are sparse when compared to data sets gathered from emission instruments such as the Microwave Limb Sounder (MLS) [*Barath et al.*, 1993] or the Cryogenic Limb Array Etalon Spectrometer (CLAES) [*Roche et al.*, 1993]. The nature of the occultation instrument data sets makes comparisons using traditional techniques difficult. For example, in the study of *Morris et al.* [2000], only ~10% of the 1500 measurements made by HALOE and SAGE II in a two-month-long period in 1995 could be correlated using a traditional coincidence approach. TM substantially increases the number of coincidences between the two satellite instruments and expands the latitude range of coincidences beyond traditional techniques. Furthermore, by

taking into account dynamical variability, the trajectory technique often improves the statistics of coincident comparisons relative to more traditional approaches [*Morris et al.*, 2000].

TM has been described in detail by *Morris et al.* [1995] and thoroughly evaluated in a recent study by *Morris et al.* [2000]. In brief, TM takes advantage of quasi-conserved quantities following air parcel motion, namely the mixing ratio and potential temperature. The first use of trajectory calculations to map satellite observations appeared in the mapping of the Halogen Occultation Experiment (HALOE) data set by *Pierce et al.* [1994].

To create a synoptic trajectory map from satellite data, we initialize an air parcel in our trajectory model [*Schoeberl and Sparling*, 1994] at the time and location of each satellite observation. The model then isentropically advects these air parcels forward or backward in time to the time at which we desire an output synoptic map. In general, we employ a combination of forward and backward trajectory calculations as recommended by *Morris et al.* [2000]. By including both forward and backward trajectory calculations, we minimize the impact of neglected diabatic processes and greatly enhance the density of coverage in our trajectory maps, and hence, the chances that we will be able to compare measurements from the two sparse satellite data sets. Furthermore, by accounting for dynamical changes in the atmosphere between observation times, TM provides better representations of the measured constituent fields than other schemes (e.g., asynoptic maps or fourier maps) [*Morris et al.*, 1995].

To compare ozone data from the SAGE II and HALOE instruments, we first create a series of synoptic trajectory maps from one of the data sets. We then sample the synoptic maps created from the first instrument at the times and locations of the observa-

tions of the second instrument. We consider those trajectory-mapped measurements appearing within a specified distance of the observations of the second instrument to be coincident. A maximum time difference between the observations is also specified to limit the duration of trajectory calculations. Details on the specific parameters chosen for our study are provided below.

Ideally, we would create a trajectory map at the time of each new observation by the second instrument. In so doing, we account for movement of air parcels in which the first instrument made its observations. Because of the number of observations included in our 8-year study period, however, this practice is impractical. Instead, we use data from both instruments to produce trajectory maps twice per day, once at midnight and once at noon Universal time (UT). All new observations from the one instrument appearing in a given trajectory map are then compared with the surrounding, trajectory-mapped measurements from the other instrument. The “new” observations are thus advected for less than 12 hours by the model, a timescale short enough that it should have little to no negative impact on our statistical comparisons of the data from the two instruments [Morris *et al.*, 1995].

2. Methodology

In this section we detail the specific parameters of the trajectory technique that we employ to compare HALOE V19 to SAGE II V6.00 ozone data and HALOE V18 to SAGE II V5.93. Our study examines data from the months of March, May, June, September, October, and December for the eight-year period of December 1991 – October 1999.

In order to yield global coverage in our trajectory maps of HALOE and SAGE II data, we use isentropic trajectories of up to 14 days duration as calculated with balanced winds computed from meteorological data provided by the National Centers for Environmental Prediction (NCEP) [Newman *et al.*, 1988; Randel, 1987]. Using calculations of up to 14 days duration enables TM to produce coincidences between the two instruments at nearly all latitudes, a result unattainable by traditional approaches (e.g., SPARC, 1998). For each month included in the study, we initialize and advect air parcels corresponding to all observations made during the period from two weeks prior to the beginning of the month through two weeks after the end of the month. Each observation taken in the month of interest can be correlated with observations from the other instrument made within a four-week period centered on the time of the observation. By using a combination of forward and backward trajectory calculations, we tend to reduce the bias that can be introduced when using isentropic trajectory calculations to validate a trace gas with a vertical gradient such as ozone [Morris *et al.*, 2000]. While the isentropic approximation degrades with the length of the trajectory calculations, numerous studies have cited a period of 7 – 10 days as a reasonable upper limit on isentropic trajectory analyses [Schoeberl and Sparling, 1994; Sutton *et al.*, 1994; Morris *et al.*, 1995]. Morris *et al.* [2000] show that by using a combination of forward and backward trajectories to construct trajectory maps, even calculations of 10 – 14 days can be employed in validation efforts with small to negligible impact on the bias statistic (less than 2% for the water vapor study presented in that paper).

We run isentropic trajectories at 8 vertical levels spanning an altitude range from ~15 to ~40 km (precisely, the trajectories were run on the 400, 450, 500, 550, 600, 800,

1000, and 1200 K potential temperature surfaces). Again to minimize errors introduced in TM validation efforts, we employ a coincidence criterion of 400 km as recommended by the results of previous studies [*Morris et al.*, 2000; *Rex et al.*, 1998] and as is consistent with the limb path length of the occultation instruments.

We initialize each HALOE observation in a tight cluster of 5 parcels (forming a cross with parcel separation of 40 km) and advect these particles forward and backward in time to the location of the SAGE II observations. We repeat the process by initializing each SAGE II observation as a tight cluster of 5 parcels and advect the SAGE II air parcels forward and backward in time to the location of the HALOE observations. The results presented in this paper represent a statistical combination of those produced with each of these two scenarios. Differences between the results computed from these two scenarios provide an estimate of the uncertainty in statistics.

Statistics are computed using trajectories of 1.5-, 3-, 5-, 7-, 10-, and 14-days duration. The results presented in this paper also are derived with a statistically weighted combination of the results calculated from each of these different duration TM runs. TM coincidences are given a statistical weight equal to the inverse of the trajectory duration. For example, 1.5-day trajectory correlations are given twice the weight in computing the RMS statistic as are 3-day trajectories and over 9 times the weight of a correlation found with a 14-day trajectory. In this way, we heavily weight our statistics toward the shortest available TM coincidences in each latitude band and rely on the longest trajectories only in those latitude bands where no shorter trajectories produce coincidences.

Figure 1 shows the mean duration of all trajectories that result in coincidences as a function of latitude and as computed for trajectories on the 800 K potential temperature

surface. (We note that analysis of this same quantity on other potential temperature surfaces shows little difference with the 800 K surface, though trajectories in the lower tropical stratosphere tend to run slightly longer (~ 1 day) than those at 800 K.) Error bars in the figure represent one standard deviation difference from the mean as calculated from the data within each latitude bin. Where no error bars appear, the error bars are smaller than the size of the plot symbol. The boundaries of the latitude bins appear in Table 1 and are the same as those used to analyze our three statistics (RMS, bias, and drift) outlined below. With the exception of the tropics (24°S to 24°N), mean trajectory calculations are on the order of 5 – 7 days. Even within the tropics (except from 8°S to 8°N) the trajectories are of less than 7 – 10 days duration, a previously acknowledged acceptable limit for isentropic trajectory calculations. Only in the latitude bin closest to the equator do our trajectory calculations frequently exceed the 7 – 10 day duration. Results from *Morris et al.* [2000], however, suggest that calculations of up to 14 days can be useful statistically in evaluating the agreement between two data sets. Figure 1 therefore suggests that, on average, the trajectory data employed to produce the figures and conclusions outlined below should not be discounted for having been produced with unreasonably long trajectory calculations.

We employ three statistical tests in our evaluation of the agreement between the HALOE and SAGE II ozone data records: the root-mean-square (RMS) difference, the bias (or offset) between the instruments, and the drift in time of the bias. In computing each statistic, we first bin the data both horizontally and vertically. Horizontally, we bin the data every 4° latitude between 68°S and 32°S and between 32°N and 70°N and use somewhat larger bins in the tropics between 32°S and 32°N . The precise boundaries of

the latitude bins are provided in Table 1 and are selected in attempt to more evenly distribute the coincident observations between the latitude bins than would be produced by equally spaced latitude bins. Vertically the data are in ~2.5 km bins (i.e., the potential temperature surfaces listed above).

The RMS difference between the HALOE and SAGE II data records is expressed as a percentage of the SAGE II observations and is computed as follows:

$$RMS(\%) = 100 \times \frac{\sum_{i=1}^N \left(w_i \sqrt{(H_i - S_i)^2} / S_i \right)}{\sum_{i=1}^N w_i}$$

where N is the total number of coincidences in each bin, H_i is the HALOE data, S_i is the SAGE II data, and w_i is the weight given due to the trajectory length (the inverse of the trajectory duration in days that results in each coincidence: 1/1.5, 1/3, 1/5, etc.). We compute the RMS statistic defined above in two ways. First, we define H_i as the mean of the trajectory mapped HALOE observations that satisfy our coincidence criteria around the i^{th} SAGE II observation (S_i). We then recompute the RMS statistic defining S_i as the mean of the trajectory mapped SAGE II observations that satisfy our coincidence criteria around the i^{th} HALOE observation (H_i). The RMS statistic that appears in the figures in this paper is the statistically weighted mean of the RMS statistic as computed both ways. In the figures shown below, we have converted potential temperature to pressure altitude by using the mean pressure of the air parcels advected into each bin.

We compute the bias (Δ) of HALOE relative to SAGE II expressed as a percentage of SAGE II observations through the relationship

$$\Delta(\%) = 100 \times \frac{\sum_{i=1}^N (w_i (H_i - S_i) / S_i)}{\sum_{i=1}^N w_i}$$

where the variables are defined as for the RMS statistic above. Although we compute the bias relative to the SAGE II data, we in no way imply that the HALOE data is more or less accurate than the SAGE II data. We choose this formulation of the bias to be consistent with previous studies (e.g. *SPARC Report*, 1998) in which all other ozone data sets are compared to the SAGE II data. As is the case with the RMS statistic, the HALOE and SAGE II data are binned by latitude and altitude before computation of $\Delta(\%)$.

Finally, we compute the drift in the bias statistic using a simple, least-squares weighted linear regression model of the bias statistic with time. For each latitude/altitude bin, we create a time series from the bias data. We then fit a straight line of the form

$$\Delta_t(\%) = At + B$$

where Δ_t is the modeled bias at time t and A represents the drift in the bias and is computed using the formulation

$$A = \frac{\left(\sum_{i=1}^N w_i t_i^2 \right) \left(\sum_{i=1}^N w_i \Delta_i \right) - \left(\sum_{i=1}^N w_i t_i \right) \left(\sum_{i=1}^N w_i t_i \Delta_i \right)}{\left(\sum_{i=1}^N w_i \right) \left(\sum_{i=1}^N w_i t_i^2 \right) - \left(\sum_{i=1}^N w_i t_i \right)^2}$$

where Δ_i is the bias (as defined above) of H_i relative to S_i at time t_i .

3. Results and Analysis

Figure 2 shows the zonal mean, RMS differences between SAGE II V6.00 and HALOE V19 ozone measurements combined for the entire 8-year study period. RMS differences between HALOE and SAGE II ozone observations below 22 km exceed 15%. Above 22 km, the RMS differences are roughly 4 – 12% from 60°S to 60°N latitude, with higher values poleward of 60° and a region of slightly higher values around 15°N latitude and 31 km altitude. The RMS differences between HALOE V19 and SAGE II V6.00 show only minor changes from those found between HALOE V18 and SAGE II V5.93 (not shown, but which reveals a slightly higher, on the order of 1% or less, RMS statistic above 22 km from 60°S to 60°N).

Previous validation efforts have shown results generally in agreement with our findings in Figure 2, though no previous analyses have been carried out over as extensive a range of observations as presented in this work. A previous trajectory analysis of selected SAGE II ozone profiles in the northern midlatitudes (45° – 50°N) during 1993 showed 10 – 20% differences above ~22 km [Lu *et al.*, 2000] between new observations and those advected by their dynamical model. These differences are somewhat larger on average than those that we find between HALOE and SAGE II at the same latitudes.

Figure 3a shows the bias of HALOE V19 ozone data as compared to SAGE II V6.00. The regions marked by dash-dot lines and containing vertical stripes of stars (*) represent regions of statistically insignificant differences: in these regions the bias is smaller than the difference between the biases computed with the HALOE to SAGE II trajectory maps and those computed with the SAGE II to HALOE trajectory maps, as described earlier. As can be seen in the figure, the trajectory results indicate a bias of 5 – 20% with HALOE lower than SAGE II below 22 km in the tropics and subtropics, and

lower midlatitudes, between 40°S and 40°N latitude. A localized region with HALOE biased 4 – 8% low compared to SAGE II also appears at 60°S and 25 km. Throughout most of the remainder of the stratosphere, little to no bias is observed between the data sets from 60°S to 60°N. These results differ from those found in the comparison of HALOE V18 with SAGE II V5.93 shown in Figure 3b. We note that with the new versions of these data used in the production of Figure 3a, the regions in Figure 3b in which HALOE appears biased high compared to SAGE II (in the mid to upper stratosphere: 0° – 30°N, 26 – 32 km; 60°N above 27 km; and 60°S above 32 km) have entirely disappeared.

We also note that between Figure 3b and Figure 3a, we see large decreases in the bias at latitudes between 40° and 60° below 20 km in both hemispheres. This decrease in the bias suggests some improvement has been made in the lower stratosphere at these latitudes by the latest SAGE II retrieval. Since changes between HALOE V18 and V19 are relatively minor, most of the observable differences between Figures 3b and 3a can be attributed to changes in the SAGE II retrieval algorithm. (In fact, we have examined HALOE V19 versus SAGE V5.93 maps and find little difference from the maps of HALOE V18 versus SAGE V5.93 shown in this paper.) In the tropics and subtropics between 30°S and 30°N below 24 km, we observe little change in the bias statistic.

Numerous previous validation studies have found biases similar to those that appear in Figure 3. *Cunnold et al.* [2000] found differences of more than 10% between SAGE II (V5.96) and HALOE ozone observations in the lower stratosphere (below 20 km) with HALOE low compared to SAGE II and with the largest differences occurring in the tropics. Such results seem consistent with Figure 3, where we also find the largest

differences, well exceeding 10% in the tropical lower stratosphere, with HALOE again low compared to SAGE II. Comparisons of SAGE II observations with ozonesondes have repeatedly shown SAGE II observations exceeding sonde observations in the lower stratosphere by 5 – 20% [SPARC, 1998; Veiga *et al.*, 1995]. Comparisons of the SAGE II ozone observations with lidar show SAGE II high by 4.5 – 9.5% \pm 4% at 20 km [SPARC, 1998] and by around 2.5% in a 3-year study comparing SAGE II ozone to the lidar in Lauder, New Zealand [Brinkma, *et al.*, 2000]. Results shown in Figure 3a show 1 to 5% differences around the latitude of Lauder (45°S), in rough agreement with the Lauder lidar. Comparisons with Umkehr data show SAGE II high by 10% below layer 3 (~15 km) [SPARC, 1998] and SAGE II low compared to Umkehr in layers 5 and 6 (~23 – ~32 km) by 3 – 8% [Newchurch *et al.*, 1995]. At 18 km we find SAGE II higher than HALOE by similar amounts. Between 23 and 32 km, we generally find HALOE V18 higher than SAGE II V5.93 by 0 – 4% (Figure 3b), but little to no bias is evident between HALOE V19 and SAGE II V6.00 at these altitudes (Figure 3a).

The *SPARC Report* [1998] also compares SAGE II V5.96 with HALOE V18 and finds general agreement of the two ozone data sets to within 5% between 25 and 50 km. Below 22 km, however, differences up to and exceeding 20% are reported. A study by Atkinson and Hollandsworth produced Figure 2.32 of the *SPARC Report* [1998], which shows a comparison of HALOE and SAGE II ozone observations using coordinate mapping (CM), a dynamical approach to data validation developed by Schoeberl and Lait [1993]. Their figure can be most directly compared with our Figure 3. The CM results reveal biases in the lower stratosphere (below the 500 K potential temperature surface or ~22 km) of HALOE more than 10% lower than SAGE II across most latitudes, in agree-

ment with our results. CM also finds a region of slight bias with HALOE high in the tropical middle stratosphere. This region of high HALOE bias extends to higher altitudes through the northern midlatitudes. Again, we observe a similar feature in the TM results of Figure 3. One feature that seems to have been eliminated in the current versions (Figure 3a) from the previous versions (e.g., Figure 3b) of the algorithms is an area of bias of HALOE high relative to SAGE II at 60°S in the middle and upper stratosphere. This region of bias appears in both the CM data and in the TM data for the HALOE V18 to SAGE II V5.93 comparison (Figure 3b) but does not appear in the HALOE V19 to SAGE II V6.00 comparison (Figure 3a).

The observed pattern of SAGE II ozone observations high relative to most other ozone observations in the lower stratosphere has been a subject of prior investigation. Previous versions of the SAGE II retrieval algorithm contain problems related to aerosols, as identified by *Steele and Turco* [1997] and *Cunnold, et al.* [1996b]. In particular, *Steele and Turco* [1997] determine that the SAGE II retrieval algorithm contains errors that cause retrieved ozone values to be erroneously high (by as much as 15%) in the presence of substantial volcanic aerosol. The eruption of Mount Pinatubo in 1991 created such conditions that affected the lower stratosphere through 1994. Just correcting for this aerosol retrieval problem, however, will not alone eliminate the observed differences between SAGE II and other instruments at low altitudes. As we observe in Figure 3a, even the latest version of the SAGE II retrieval, for which substantial changes have been made in light of the discoveries of problems with previous versions of the retrieval algorithm, reveals a lower stratospheric bias. Although not shown in this paper, we compute statistics for the period after the subsidence of the Pinatubo aerosols (1995 – 1999) separately

to determine the impact of the aerosols on our results. We find that the pattern and magnitude of the bias during the 1995 – 1999 period (not shown) is very similar to that represented in Figure 3a for the entire 8-year study period. Under background aerosol conditions, *Steel and Turco* [1997] suggest that the earlier SAGE II retrieval algorithm produced ozone values too low by up to 15% in the lower stratosphere. If this error were the only one affecting SAGE II ozone, the bias between SAGE II and HALOE would actually grow after correcting for it. Furthermore, we employ in our analysis the criteria developed by Wang and Cunnold [*SPARC*, 1998] to effectively eliminate the influence of data contaminated by the presence of the Pinatubo aerosols during the period immediately following the eruption. SAGE II observations that would be affected by the retrieval error identified by *Steele and Turco* [1997] therefore are not included in our analysis, yet the bias remains apparent.

Figure 4a shows the drift between HALOE V19 and SAGE II V6.00 ozone observations over the period December 1991 through October 1999. We computed the mean drift by applying the simple linear trend model discussed earlier to the bias statistic of HALOE relative to SAGE II. Figure 5 shows an example of the bias vs. time for a single bin of Figure 4a at 600 K from 32° to 36°S. The line represents the best fit to the data as computed using the drift formulation above. Similar fits were found for bins at all latitudes and altitudes in our study to complete the construction of Figures 4a and 4b. In Figures 4a and 4b, the regions marked by dash-dot lines and containing vertical stripes of stars (*) represent regions of statistically insignificant differences: in these regions the drift in bias is smaller than the difference between the drift-in-bias statistic computed with the HALOE to SAGE II trajectory maps and that computed with the SAGE II to

HALOE trajectory maps. TM results suggest essentially no drift of HALOE V19 relative to SAGE II V6.00 over the ~15 – 40 km altitude range. These results represent a significant improvement relative to those found with the previous versions of these data sets.

Figure 4b shows the same statistic as determined with TM applied to the HALOE V18 and SAGE II V5.93 data sets. With the previous version of these data sets, we observed drifts of 2 – 5% per year in the lower stratosphere with HALOE increasing relative to SAGE II. As this region is one in which HALOE V18 observations are observed to be lower than SAGE II V5.93, the drift suggests that the observations of the two instruments were drifting together over time. The presence of any drift between the two instruments raises cause for some alarm in efforts to evaluate trends in the ozone profile shape with SAGE V5.93. While the offsets between the new versions of these data sets are still potentially worrisome in and of themselves (Figure 3a), the lack of an observable drift between the data are encouraging for the use of these data in trend calculations. Looking at Figure 4a in the lower tropical stratosphere, we see a large drift, whereas in Figure 4b in the same region, we see little to no drift. This difference suggests an improvement has been made in the SAGE II V6.00 retrieval of ozone in the lower stratosphere.

The *SPARC report* [1998] also examines the drift of the HALOE V18 ozone data set relative to SAGE II V5.96. Findings reported in that study show drifts of less than 0.5%/year at most latitudes and altitudes with a few exceptions: 1) 55°S where the drifts were 1%/year; 2) 15°N and 55°S where the drifts were 1.5 to 2%/year; and 3) at 20 km where the drifts were 2 – 4%/year. We note that these conclusions were obtained through use of much smaller subsets of the data than are employed in the present study. Further-

more, with so few coincidences, the report could not identify any useful results in the tropics. Nevertheless, in those regions on which the *SPARC report* did comment, we find general agreement with our results of Figure 4b. Comparing the *SPARC* results with Figure 4a, however, we find few remaining regions where drifts of larger than 1%/year exist.

We examine the impact of the criteria of Wang and Cunnold [*SPARC*, 1998] on our results using the latest versions of the two data sets. While we find that not employing the Wang and Cunnold criteria has only small impact on the RMS and bias statistics, we note that the drift statistic in the lower stratosphere becomes less coherent, and regions of 2 – 4%/year drift appear in the tropical lower stratosphere (not shown). We therefore recommend continued use of the Wang and Cunnold criteria as outlined in the *SPARC Report* [1998] for trend calculations.

We also investigate the effect of the choice of meteorological wind field on our results. As shown in *Morris et al.* [1995], the choice of wind fields has the largest potential impact on the results of the trajectory calculations. We ran trajectories for the same set of months (March, May, June, September, October, and December) for the 7 year period from December 1991 – December 1997 using wind fields from the National Centers for Environmental Prediction (NCEP) and the United Kingdom Meteorological Offices (UKMO). In this study we use HALOE V18 and SAGE II V5.93 data. With minor exceptions the results achieved with the UKMO meteorological data (not shown) were the same as those achieved with the NCEP data. The good agreement between the results achieved with the two different wind fields enhances our confidence in our methodology and in the conclusions cited above. While we did not repeat this study with the latest versions of the data or through the extended study period (through October 1999), we do not

believe our conclusions would change. The meteorological wind field choice does not appear to have a substantial impact on the results of this study.

4. Summary and Conclusions

In conclusion, we have presented the most complete and wide-ranging comparison of the HALOE and SAGE II ozone profile data sets to date. Using TM, we are able to comment on the RMS differences, the biases, and the drift in those biases with time between these two important data sets over an 8-year period from 1991 – 1999. We find typical RMS differences between HALOE V19 and SAGE II V6.00 of 4 – 12% through most of the stratosphere, with large differences (>15%) in the tropical lower stratosphere (below 22 km). The RMS statistic shows little improvement when compared with that generated by previous versions of the two data sets. The bias statistic shows generally small biases (< 1%) through most of the stratosphere, but large biases (> 10% with HALOE low compared to SAGE II) persisting in the tropical, subtropical, and lower mid-latitude lower stratosphere (below 22 km and between 40°S and 40°N). These results represent improvement over previous versions of the data, particularly in the lower stratosphere between 40° and 60° in each hemisphere and in the mid to upper stratosphere in the tropics and midlatitudes, where earlier versions show the existence of a bias between the two data sets. Finally, we see virtually no drift of HALOE V19 ozone observations relative to SAGE II V6.00. The results with SAGE V6.00 represent a significant improvement over previous versions of the data, particularly in the lower stratosphere where drifts of 2 – 5%/year are found relative to HALOE. The modifications to the SAGE II retrieval algorithm for V6.00 appear to have eliminated the previously problematic drift in the lower stratosphere. Should SAGE II fail before the launch of SAGE III,

our results suggest that HALOE could provide a reliable data bridge between the two SAGE data sets.

Where available, results from previous studies are compared to our TM statistics and show generally good agreement, confirming the integrity of the TM technique. TM results are not sensitive to the choice of meteorological wind field analysis, as demonstrated by the similar results generated using UKMO and NCEP wind fields for the same time period. Finally, for trend calculations we continue to recommend use of the Wang and Cunnold criteria [SPARC, 1998] for filtering of the SAGE II ozone data in the lower stratosphere due to contamination by Pinatubo aerosols.

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Figure Captions

Figure 1. Trajectory calculation time as a function of latitude averaged over the nine levels in the study. The error bars represent one standard deviation as established by the variance in the trajectory length with altitude. These results are for the 8-year (December 1991 – October 1999) trajectory mapping intercomparison of HALOE and SAGE II ozone observations.

Figure 2. Zonal mean root-mean-square (RMS) differences (see text for definition) between HALOE and SAGE II ozone observations as computed from an 8-year, 6-month intercomparison (December 1991 – October 1999) using trajectory mapping. (a) HALOE V19 and SAGE V6.00. (b) HALOE V6.00 and SAGE V5.93. Large differences (>15%) are observed below 22 km with smaller differences in the middle stratosphere. A reduction in the RMS statistic appears in (a) relative to (b) in the middle stratosphere, northern subtropics.

Figure 3. Trajectory mapping results of the zonal mean bias statistic (see text for definition) for ozone observations of HALOE relative to SAGE II for the same study period as in Figure 2. The regions bounded by the dash-dot lines and containing stripes of vertical stars (*) are regions not statistically significantly different from 0%, as defined in the text. (a) HALOE V19 and SAGE II V6.00. (b) HALOE V18 and SAGE II V5.93. We see biases of 4 – 20% of HALOE low compared to SAGE II below 22 km in the tropics and subtropics. Throughout most of the remainder of the stratosphere, only small differences are observed.

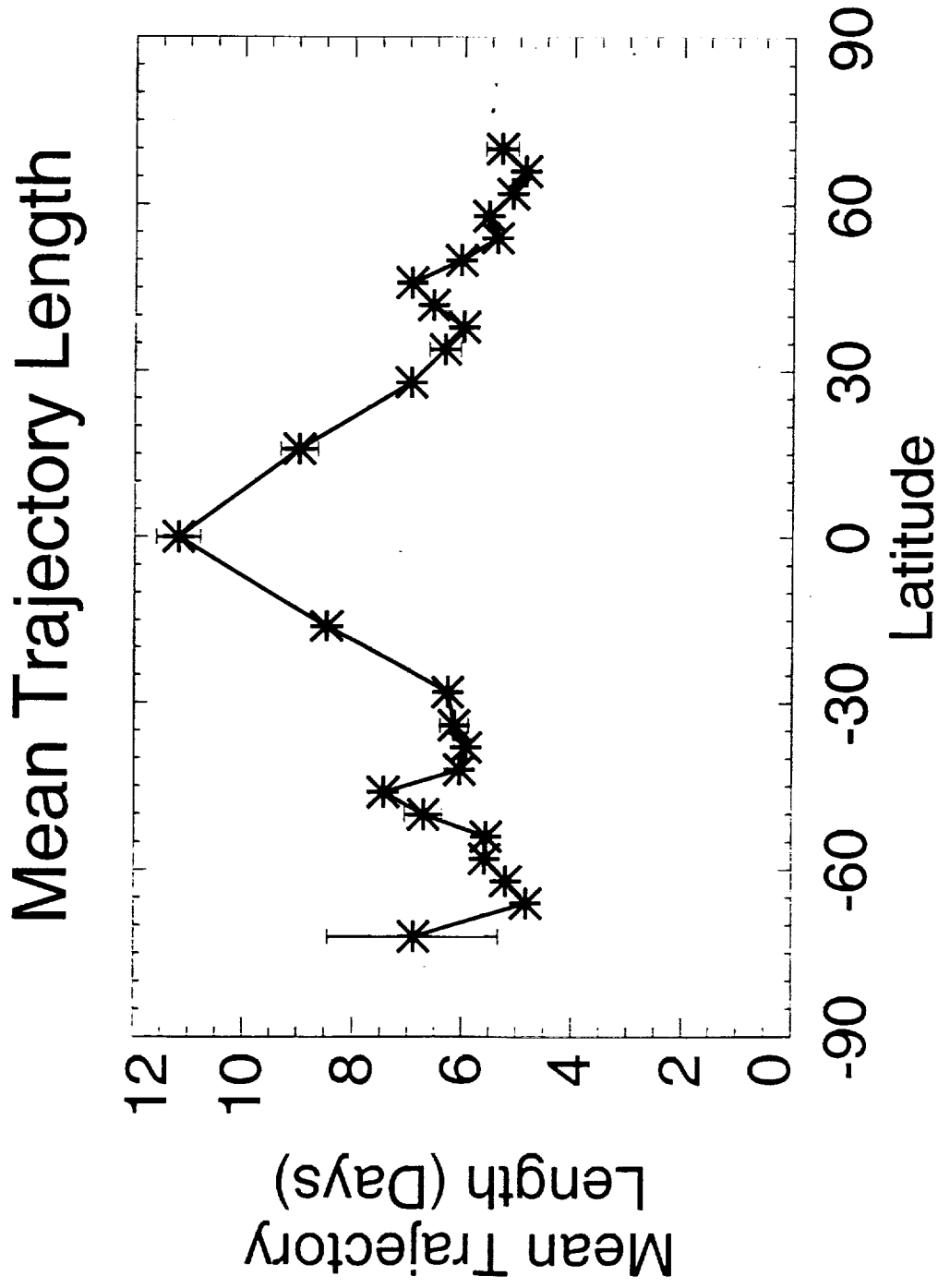
Figure 4. Trajectory mapping results of the drift in the ozone bias (see text for definition) of HALOE relative to SAGE II expressed in percent per year. The regions bounded by the dash-dot lines and containing stripes of vertical stars (*) are regions not statistically significantly different from 0%, as defined in the text. (a) HALOE V19 and SAGE II V6.00. (b) HALOE V18 and SAGE II V5.93. We find only small drifts of HALOE relative to SAGE II throughout the stratosphere in the latest versions of the retrievals (a). The previous retrievals (b) showed some drift of HALOE increasing relative to SAGE II in the lower stratosphere, a region where HALOE appeared biased low compared to SAGE II, as shown in Figure 3b.

Figure 5. A scatter plot of the bias of HALOE relative to SAGE II (as defined in the text) as a function of time. The solid line represents the line of best fit. The slope of this line represents the drift statistic. The data for this plot come from trajectory mapping done on the 600 K potential temperature surface in the bin from 32° to 36°S.

Table 1. The latitude boundaries used to compute the zonal means of the statistics presented in the figures of this paper.

74° – 68°S	64° – 72°N
68° – 64°S	64° – 68°N
64° – 60°S	60° – 64°N
60° – 56°S	56° – 60°N
56° – 52°S	52° – 56°N
52° – 48°S	48° – 52°N
48° – 44°S	44° – 48°N
44° – 40°S	40° – 44°N
36° – 40°S	36° – 40°N
32° – 36°S	32° – 36°N
24° – 32°S	24° – 32°N
8° – 24°S	8° – 24°N
	8°S – 8°N

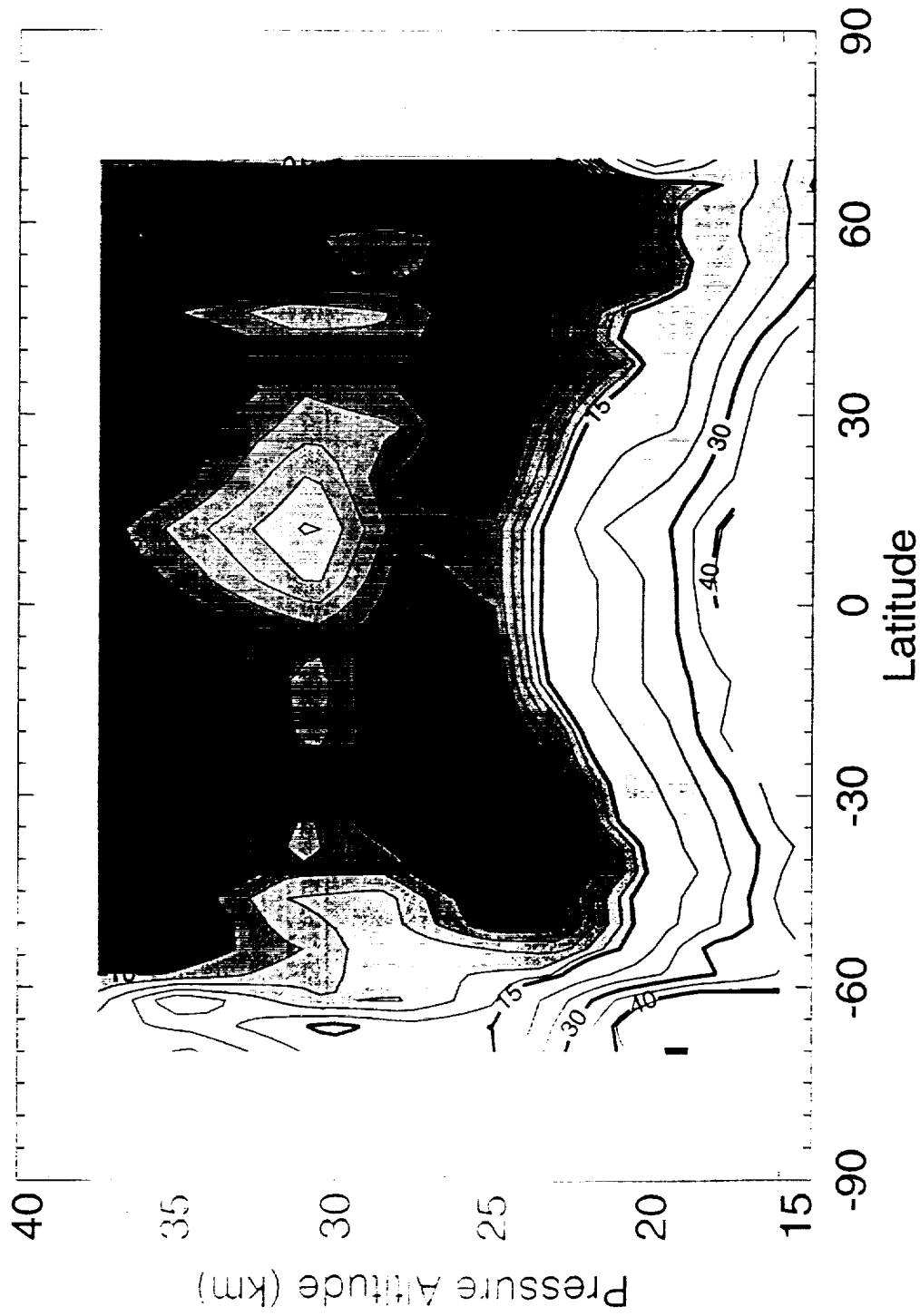
HALOE(V19) vs SAGE(V6.00) Ozone Six Month Study (Dec. 1991 - Oct. 1999)



Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

HALOE(V19) vs SAGE(V6.00) Ozone Six Month Study (Dec. 1991 - Oct. 1999)

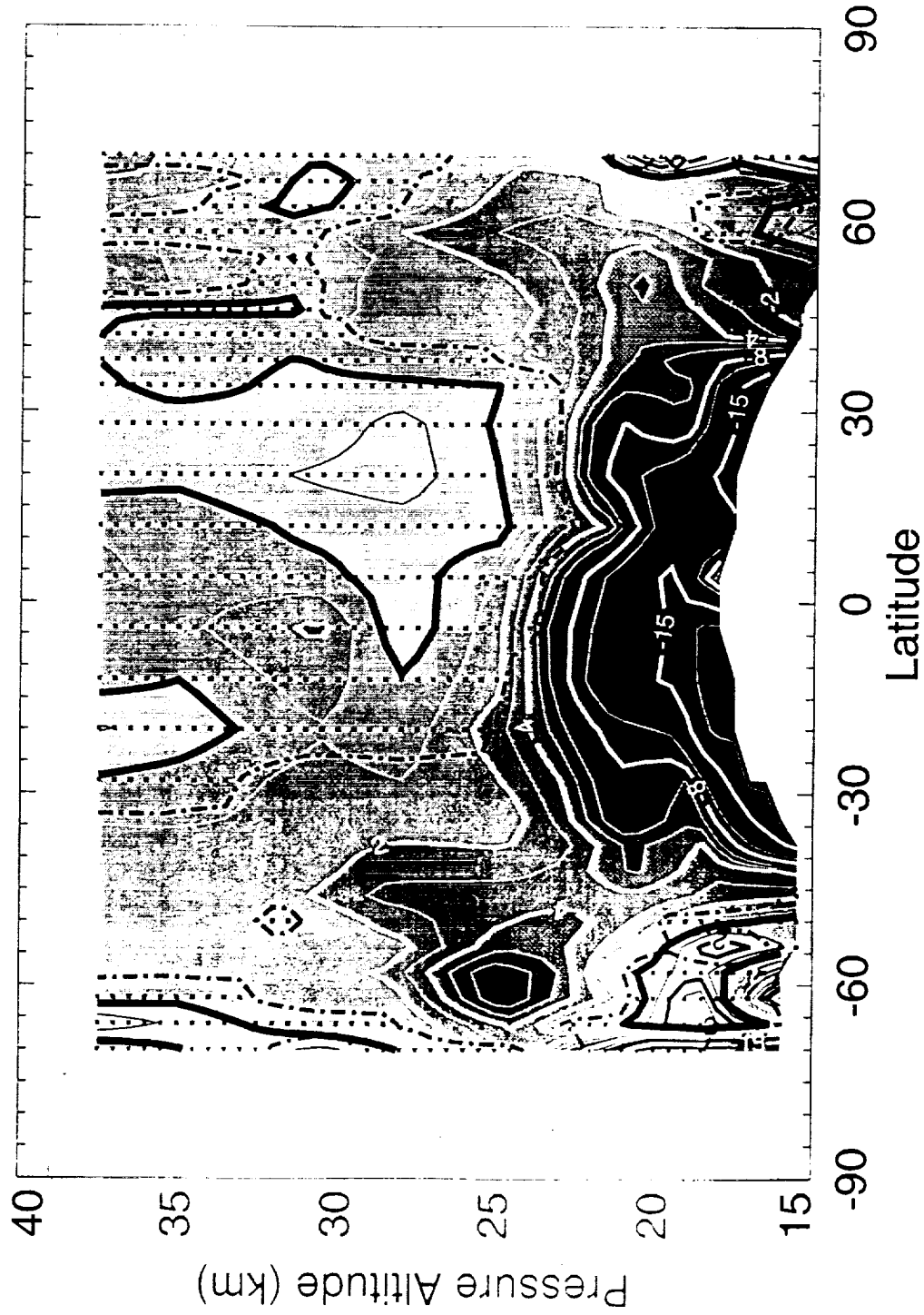
RMS Difference



Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

HALOE(V19) vs SAGE(V6.00) Ozone Six Month Study (Dec. 1991 - Oct. 1999)

BIAS

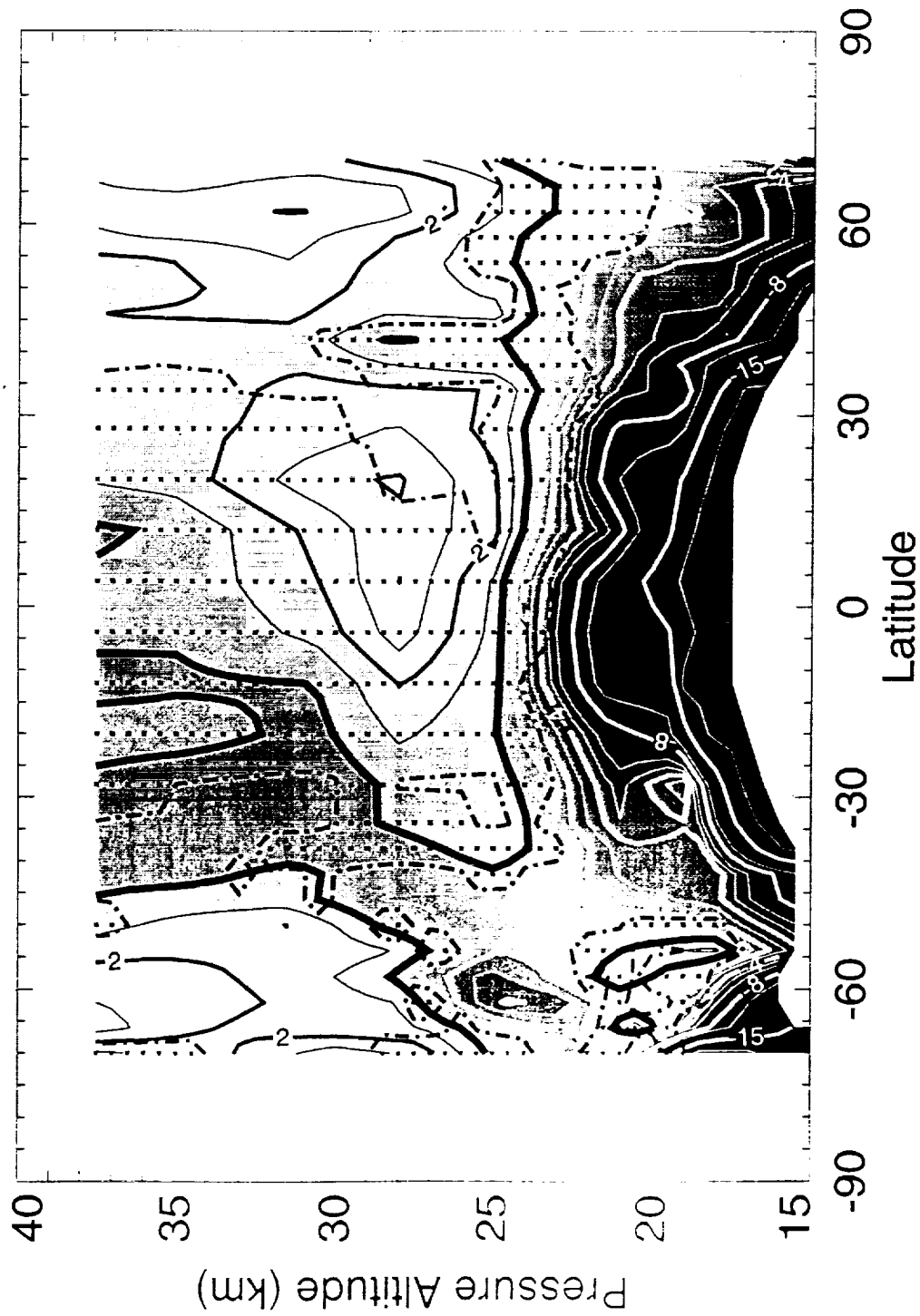


Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

Fig 3a

HALOE(V19) vs SAGE(V5.93) Ozone Six Month Study (Dec. 1991 - Oct. 1999)

BIAS

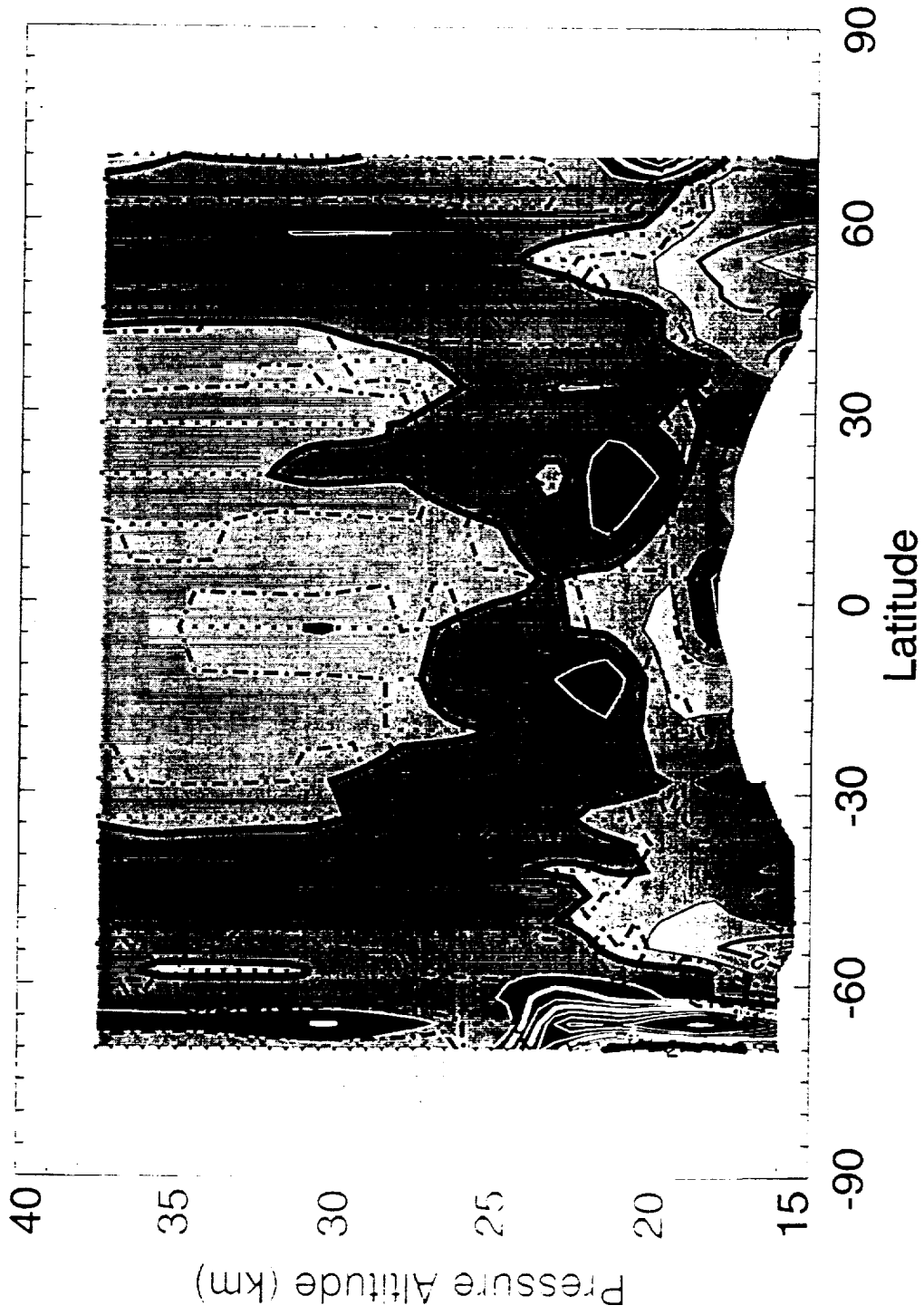


Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

Fig 35

HALOE(V19) vs SAGE(V6.00) Ozone
Six Month Study (Dec. 1991 - Oct. 1999)

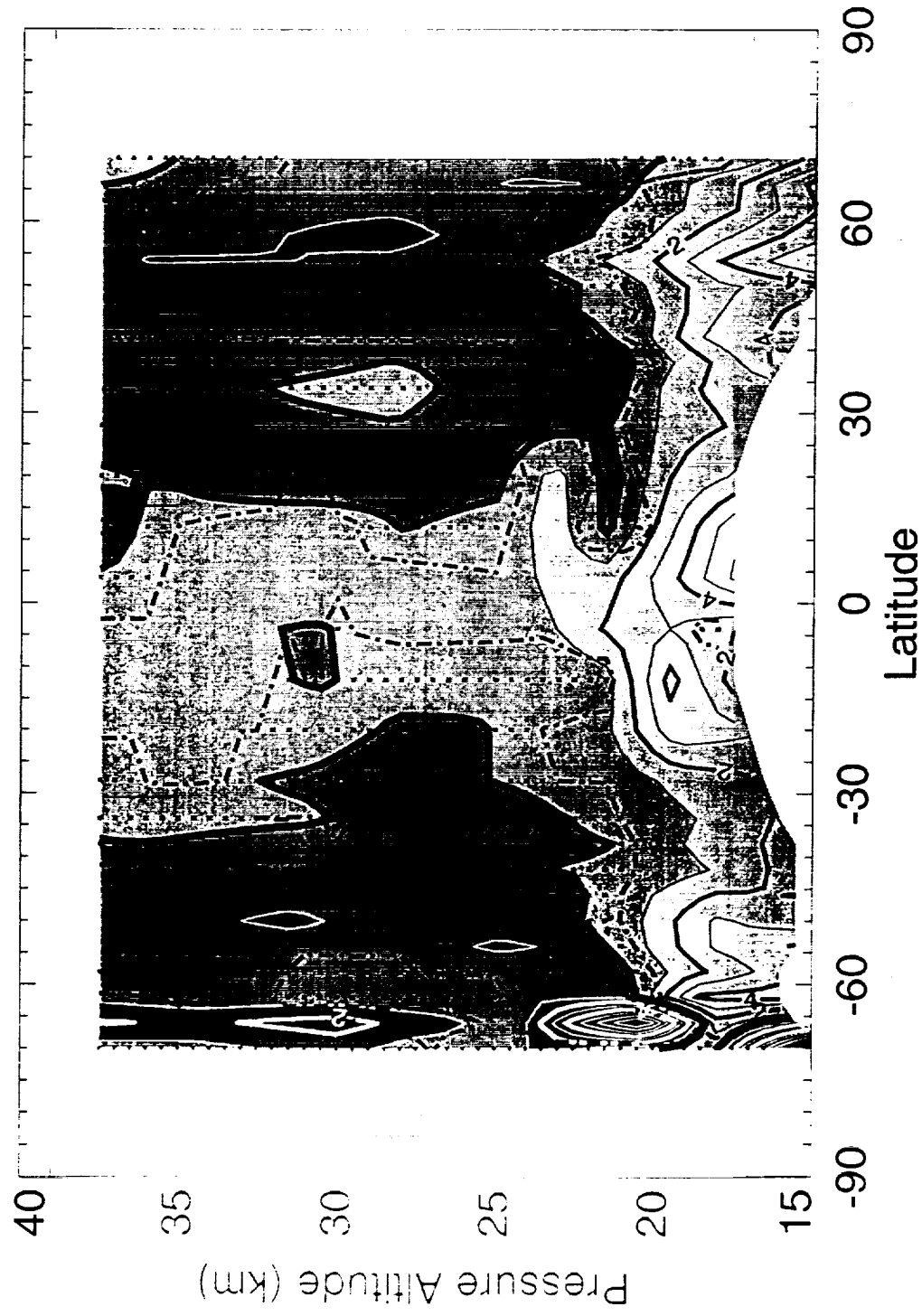
DRIFT



Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

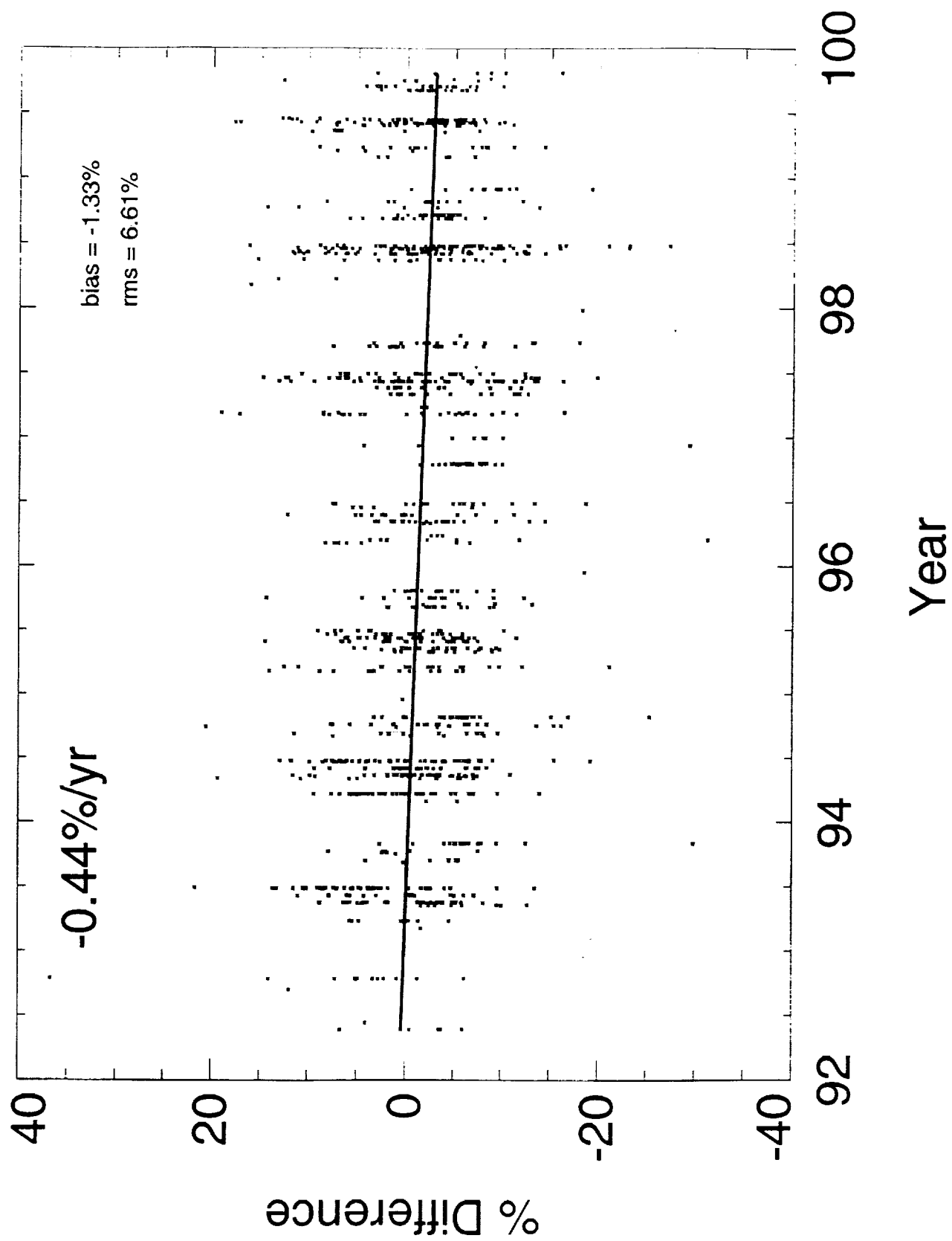
HALOE(V19) vs SAGE(V5.93) Ozone
Six Month Study (Dec. 1991 - Oct. 1999)

DRIIFT



Forward/Backward Combined
1.5- to 14-day NCEP trajectory runs

-36° to -32°



NASA Public Summary

A comparison of HALOE V19 with SAGE II V6.00 ozone observations using trajectory mapping

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Mark R. Schoeberl³, and M. Patrick McCormick⁴

This study presents the most extensive comparison to date of the ozone profile data sets from the Halogen Occultation Experiment (HALOE) aboard the Upper Atmosphere Research Satellite (UARS) and from the Stratospheric Aerosol and Gas Experiment II (SAGE II) aboard the Earth Radiation Budget Satellite (ERBS). Due to the different satellite orbits, the number of coincident measurements available for ozone profile comparison is quite small. We combined meteorological data with the satellite ozone profile measurements to increase the number of coincident measurements available for analysis. Our study shows good agreement between the two data sets throughout the stratosphere with the exception of tropical lower stratosphere (15 – 22 km), where HALOE is significantly lower (by 10% or more) than SAGE II. The differences between the two data sets remain nearly constant with time over our study period (December 1991 – October 1999), which lends credibility to ozone profile trend calculations performed with either data set. Our results suggest that profile trends calculated from SAGE II V6.00 ozone or HALOE V19 ozone are not due to changes in instrument performance, but rather more likely due to real atmospheric changes.

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