



## 3D finite element analysis of particle-reinforced aluminum

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### Abstract

Deformation in particle-reinforced aluminum has been simulated using three distinct types of finite element model: a three-dimensional repeating unit cell, a three-dimensional multi-particle model, and two-dimensional multi-particle models. The repeating unit cell model represents a fictitious periodic cubic array of particles. The 3D multi-particle (3D-MP) model represents randomly placed and oriented particles. The 2D generalized plane strain multi-particle models were obtained from planar sections through the 3D-MP model. These models were used to study the tensile macroscopic stress–strain response and the associated stress and strain distributions in an elastoplastic matrix. The results indicate that the 2D model having a particle area fraction equal to the particle representative volume fraction of the 3D models predicted the same macroscopic stress–strain response as the 3D models. However, there are fluctuations in the particle area fraction in a representative volume element. As expected, predictions from 2D models having different particle area fractions do not agree with predictions from 3D models. More importantly, it was found that the microscopic stress and strain distributions from the 2D models do not agree with those from the 3D-MP model. Specifically, the plastic strain distribution predicted by the 2D model is banded along lines inclined at 45° from the loading axis while the 3D model prediction is not. Additionally, the triaxial stress and maximum principal stress distributions predicted by 2D and 3D models do not agree. Thus, it appears necessary to use a multi-particle 3D model to accurately predict material responses that depend on local effects, such as strain-to-failure, fracture toughness, and fatigue life. © 2002 Elsevier Science B.V. All rights reserved.

**Keywords:** Discontinuously reinforced aluminum; Finite element analysis; Mechanical response; Plastic strain distribution; Triaxial stress; Principal stress

### 1. Introduction

Discontinuously reinforced aluminum (DRA) has been the subject of research and development for many years and is currently in service in select structural applications in the automotive, aerospace, and sporting goods industries such as: drive shafts, engine blocks, brake systems, aircraft access doors and ventral fins, and bicycle frames. These are primarily stiffness or wear critical applications. Ceramic particles are the most widely used form of discontinuous reinforcement, in part due to their availability from the abrasives industry. DRA is not typically chosen for use in toughness critical applications because of its relatively poor fracture toughness and strain-to-failure. This is the subject of current research; how to engineer DRA with improved

fracture toughness and strain-to-failure without sacrificing strength. Since these material characteristics are determined by local events such as intense local slip bands in the matrix and particle cracking or particle debonding, it is necessary to be able to predict these types of local events with a model. Analytical models, such as the ones presented in [1–5], are capable of predicting the material response under greatly idealized conditions or rely on simplifying assumptions. Thus, numerical models are needed to realistically represent particle reinforcement systems that are typically heterogeneous. It is actually quite difficult to obtain a detailed description of most particle reinforcement systems because the particles can have different shapes, any orientation, a range of sizes, and different properties, as well as be distributed in a not entirely random fashion in that particle clustering is common. Clusters cause localized deformation to occur [6].

The range of numerical models that has been adopted to represent DRA and other particle-reinforced metal

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$$\text{Strain - Deformation, } \varepsilon_{ij} = \frac{1}{2}(F_{ki}F_{kj} - \delta_{ij}) \quad (2)$$

$$\text{Elastic regime, } d\varepsilon_{ij}^E = \frac{1}{E}[(1 + \nu)d\sigma_{ij} - \nu d\sigma_{kk}\delta_{ij}] \quad (3)$$

$$\text{Plastic regime, } d\varepsilon_{ij}^P = d\lambda s_{ij} \quad (4)$$

where repeated indices imply summation,  $\delta_{ij}$  is the Kronecker delta,  $E$  is Young's modulus,  $\nu$  is Poisson's ratio,  $\sigma_{ij}$  is the Cauchy stress tensor,  $s_{ij}$  is the deviatoric part of the stress tensor, the strain tensor is  $\varepsilon_{ij} = \varepsilon_{ij}^E + \varepsilon_{ij}^P$ , and the deformation gradient is  $F_{ij} = F_{ik}^E F_{kj}^P$ . Evolution due to isotropic hardening is defined by

$$d\lambda = \frac{3}{2} \frac{d\varepsilon_e^P}{\sigma_e} \quad (5)$$

where

$$\sigma_e = \sqrt{\frac{3}{2} s_{ij}s_{ij}} \quad (6)$$

and

$$d\varepsilon_e^P = \sqrt{\frac{2}{3} d\varepsilon_{ij}^P d\varepsilon_{ij}^P} \quad (7)$$

where  $\sigma_e$  and  $d\varepsilon_e^P$  denote equivalent stress and equivalent plastic strain increment, respectively. Yielding is predicted by the von Mises yield criterion,  $\sigma_e = \sigma_y(\varepsilon_e^P)$ , where the yield strength depends on equivalent plastic strain.

The overall stress and strain are calculated by using volume averages,

$$\bar{\varepsilon}_{ij} = \frac{1}{V} \int_V \varepsilon_{ij} dV = \frac{1}{V} \sum_{m=1}^N \varepsilon_{ij}^{(m)} V^{(m)} \quad (8)$$

$$\bar{\sigma}_{ij} = \frac{1}{V} \int_V \sigma_{ij} dV = \frac{1}{V} \sum_{m=1}^N \sigma_{ij}^{(m)} V^{(m)} \quad (9)$$

where  $V$  is volume, superscript  $m$  denotes the element number, and  $N$  is the total number of elements in the model. The boundary conditions for the 3D models are shown in Table 1.

Table 1  
Boundary conditions

Model face	Boundary conditions
Right	$u_1 = \text{constant}$
Left	3D-UC, $u_1 = 0$ , 3D-MP, $u_1 = \text{constant}$
Top	$u_2 = 0.02a$
Bottom	$u_2 = 0$
Front	$u_3 = \text{constant}$
Back	3D-UC, $u_3 = 0$ , 3D-MP, $u_3 = \text{constant}$

3D-UC,  $a = 7.70 \mu\text{m}$ ; 3D-MP,  $a = 50.0 \mu\text{m}$ .

Table 2  
Constituent properties

	$\rho$ ( $\text{g cm}^{-3}$ )	$E$ (GPa)	$\nu$
SiC	3.10	450	0.17
6061-T6	2.67	68.9	0.33

We model silicon carbide (SiC) particles in the aluminum alloy 6061-T6. The elastic properties for the isotropic constituents are given in Table 2. Rate-independent plasticity is applied to the 6061-T6 aluminum. The yield strength of 6061-T6 is 276 MPa and the tangent modulus is constant, 200 MPa, thereafter (linear hardening). All simulations are at room temperature. Particles and matrix are well bonded and particle cracking is not considered. Particles take the form of 24-faced solids as shown in Fig. 2.

### 2.1. 3D unit cell finite element model

The 3D unit cell (3D-UC) model shown in Fig. 3, which contains a single particle at the center and has a 17.5% volume fraction, was created to compare with the results of the 3D-MP model that is discussed in the next section. The size of the cubic unit cell,  $a = 7.70 \mu\text{m}$ , is dictated by the symmetry conditions, the particle size, and the volume fraction. Periodicity in each of the three coordinate directions is assumed in the 3D-UC model. Thus, it is only necessary to analyze a single repeating unit cell. Additionally, symmetry conditions are employed such that only one eighth of the unit cell needs to be analyzed. The model was constructed and meshed with ten-node tetrahedral elements.

### 2.2. 3D multi-particle finite element model

Recognizing that DRA has complex particle distributions rather than periodic ones, we now describe a 3D-MP finite element model that is intended to be more realistic than the 3D-UC model. The size of the cubic

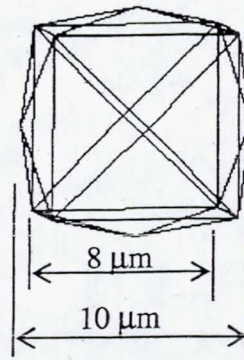


Fig. 2. Idealized particle shape (24 faces) and size.



### 2.3. 2D finite element models

2D finite element models are often used since the size of 3D models (having multiple particles) is large, which causes long run times, if the correct solution can be found at all. 2D models have been constructed for the minimum area fraction (9% at  $z = -13 \mu\text{m}$ ), called 2D-09; the area fraction matching the volume fraction (17.5% at  $z = 15 \mu\text{m}$ ), called 2D-18; and the maximum area fraction (26% at  $z = -21 \mu\text{m}$ ), called 2D-26. The microstructures of these three sections are shown in Fig. 6. These sections were meshed with six node quadratic triangular elements. The boundary conditions are the same as those applied to the left, right, top, and bottom faces of the 3D-MP model (Table 1). Plane stress, generalized plane strain, and plane strain analyses

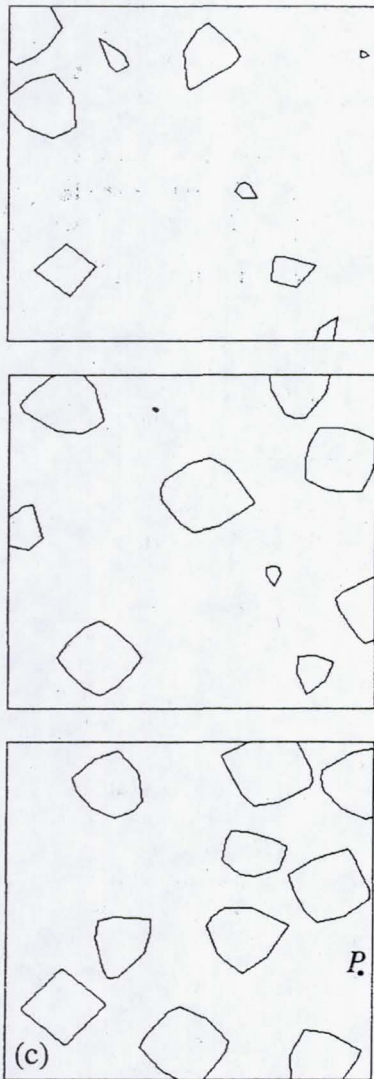


Fig. 6. Geometry of 2D sections; (a)  $z = -13 \mu\text{m}$ —model 2D-09, (b)  $z = 15 \mu\text{m}$ —model 2D-18, (c)  $z = -21 \mu\text{m}$ —model 2D-26.

were conducted, but only generalized plane strain results are presented herein because these were found to be in the best agreement with the 3D results [29].

### 2.4. Software and hardware

The geometry and mesh for the 3D-MP and 3D-UC models were created using the SDRC I-DEAS software [30]. The 3D-MP model was cut three times along planes parallel to the  $z$ -axis. Each section was discretized using SDRC I-DEAS. All the models are solved using the general purpose ABAQUS finite element code [31]. SDRC I-DEAS was used for all post-processing. All analyses were run on an IBM SP, which is a network of RISC 6000 processor nodes. Both single and multi CPU nodes are used with memory ranging from 128 to 4000 MB, clock speeds from 67 to 332 MHz, and performance ratings from 250 to 660 MFlops.

## 3. Results

### 3.1. Macroscopic response

The macroscopic true stress-true strain responses predicted by the 3D-MP and 3D-UC models are shown in Fig. 7. Also shown in the figure are the constituent stress-strain responses for the SiC particles and the 6061-T6 aluminum matrix. The 3D-MP predicted Young's modulus of 93.6 GPa lies between the Hashin-Shtrikman bounds [32] of 90 and 112 GPa. The 3D-MP model predicted the 0.2% offset yield strength of approximately 314 MPa. For comparison, McDanel [33] reported Young's modulus and a range of 0.2% yield strengths of 87 GPa and 280–310 MPa, respectively, for a 15% particle volume fraction and 105

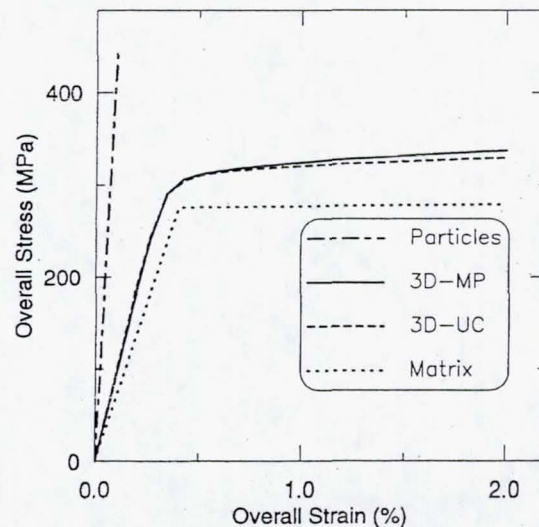


Fig. 7. Overall stress-strain response—3D model predictions.



Table 3  
Convergence study results

Model	Number of elements	Number of nodes	Equivalent plastic strain (%)	GEEN (%)	CPU time (s)
3D-UC1	1046	2035	7.22	16.3	65
3D-UC2	2391	4278	8.35	14.6	213
3D-UC3	4597	7832	9.34	13.2	602
3D-UC4	11 752	19 056	9.15	11.7	6563
3D-MP1	5170	10 796	2.57	31.5	638
3D-MP2	19 797	35 775	3.28	22.8	7095
3D-MP3	44 997	65 495	3.12	18.4	34 552
3D-MP4	63 121	92 975	3.18	16.9	59 521

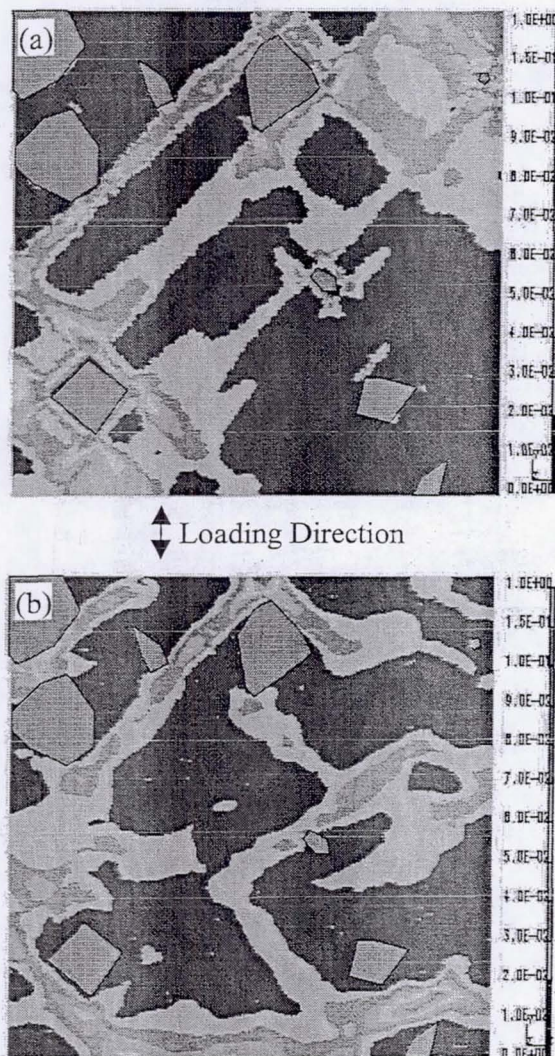


Fig. 9. Equivalent plastic strain distribution at  $z = -13 \mu\text{m}$  predicted by (a) the 2D-09 model and (b) the 3D-MP model.

plastic strain distributions predicted by the 3D-UC and 3D-MP models are much different.

The triaxial stress contours,  $1/3(\sigma_{11} + \sigma_{22} + \sigma_{33})$ , for the  $z = -21 \mu\text{m}$  plane as predicted by the 2D-26 and

3D-MP models are shown in Fig. 13 and reveal that the triaxial stress is concentrated in the regions where particles are closely spaced along the loading direction, such as in the top right region of the model at  $z = -21 \mu\text{m}$ . High triaxial stress in the matrix can lead to void nucleation. It is noteworthy that there are localized triaxial stresses that are compressive as well as tensile, even though the overall deformation is elongation. The triaxial stress distributions predicted by the two models are clearly different. The maximum triaxial stresses, 2100 MPa for 2D-26 and 1600 MPa for 3D-MP, even occur at different locations. Even though 2D-26 predicts a higher maximum triaxial stress, that stress occurs in a particle. 2D-26 does not predict the highly concentrated triaxial stress in the matrix predicted by 3D-MP in the upper right corner of Fig. 13b.

The distribution of the maximum principal stress for the  $z = -21 \mu\text{m}$  plane is shown in Fig. 14 for the 2D-26 and 3D-MP models. Particle fracture is often attributed to large tensile principal stress. Thus, Fig. 14 can be used to predict which particles will fracture. Again, there are significant differences in where the 2D-26 model and the 3D-MP model predict the large principal stresses to occur. The maximum principal stresses predicted by 2D-26 and 3D-MP are 5800 and 3000 MPa, respectively. These stresses occur in the matrix. However, 2D-26 does not predict the principal stress in the particles to be as high as that predicted by 3D-MP. It is interesting that the maximum triaxial stress and the maximum principal stress occur at the same location for the 3D-MP model and that the same is true for the 2D-26 model.

#### 4. Discussion

The 3D-UC model predicts that large plastic strains occur between particles in the loading direction and at the particle corner at  $\sim 45^\circ$  as shown in Fig. 12a. Although not shown, it also predicts that the maximum triaxial stress occurs between particles in the loading direction. These results correspond very well with predictions for composites having spherical particles by Song et al. [34], who used a unit cell finite element



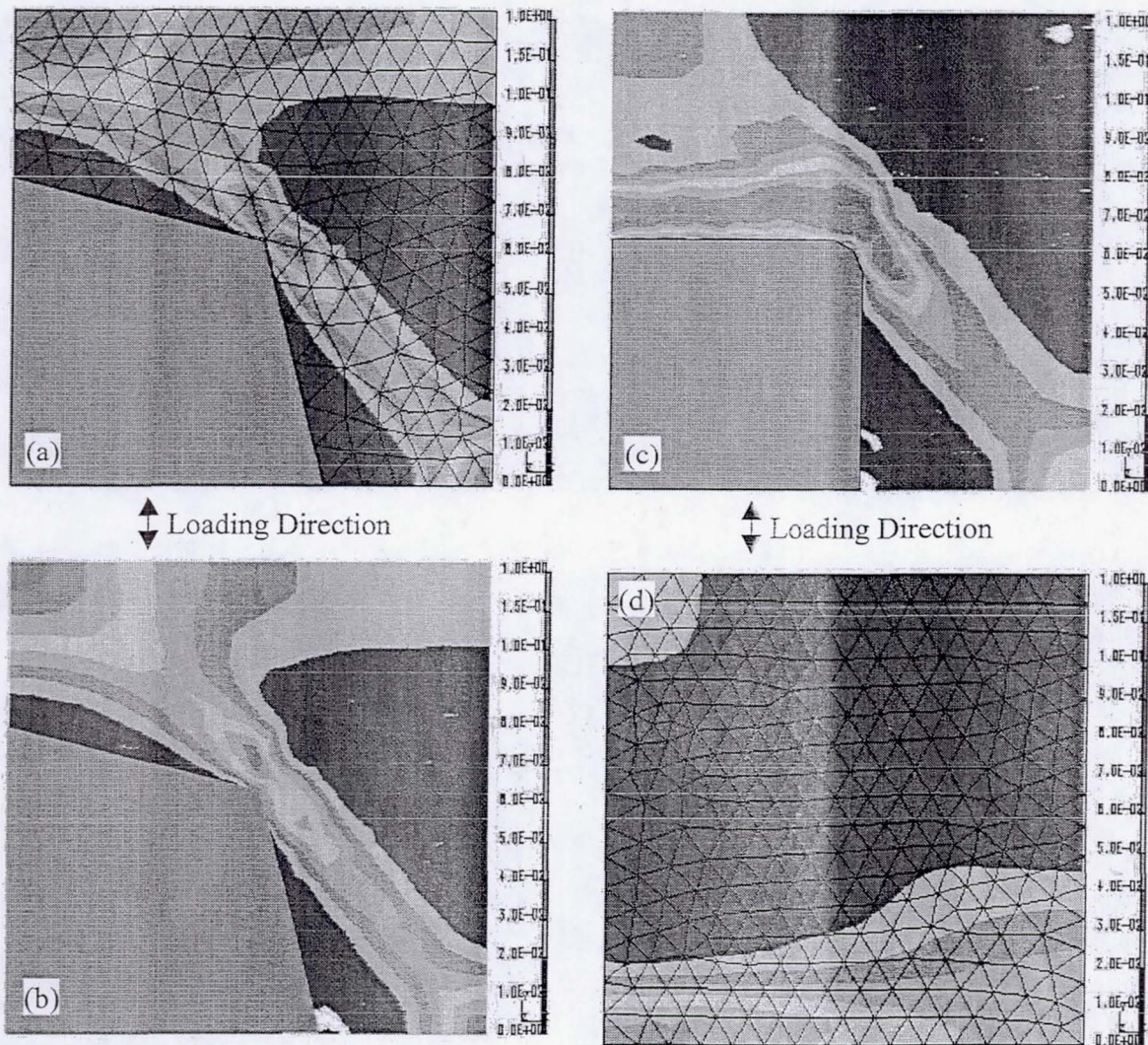


Fig. 12. Equivalent plastic strain distribution predicted by the 3D-UC model at (a)  $z = 0$ , (b)  $z = 1 \mu\text{m}$ , (c)  $z = 3.85 \mu\text{m}$ , (d)  $z = 7.7 \mu\text{m}$ .

models are necessary to understand the material response and improve it. Finite element models of particle-reinforced aluminum in 2D and 3D have been constructed and have lead us to the following conclusions.

Equivalent plastic strain distributions in the matrix predicted by 2D models are significantly different from those predicted for the same plane by a 3D model. While both 2D and 3D models predict intense localized deformation, the 2D models predict much more networked banding than does the 3D model.

The triaxial stress distributions, which are important for void nucleation in the matrix, predicted by the 2D models do not agree with that predicted by the 3D model. These models suggest void nucleation will occur at different locations and the 2D models underestimate the triaxial stress concentration in the matrix.

The distributions of maximum principal stress, which are important for particle fracture, predicted by the 2D models do not agree with that predicted by the 3D model. These models predict different particles will fracture first and the 2D models underestimate the maximum principal stress concentration in the particles.

2D models obtained from planar sections through the 3D-MP model give macroscopic results that are not representative of the material if the particle area fraction of the plane analyzed is not equal to the particle volume fraction. The area fraction ranged from 9 to 26% for a volume containing 41 particles and a volume fraction of 17.5%. While this range is expected to decrease for models containing more particles, analyzing larger 3D models is impractical at this time.

3D-UC models containing a single particle and 2D generalized plane strain models containing many parti-



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