



## High Energy Power and Propulsion (HEP & P) Capability Roadmap

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April 7, 2005

**Disclaimer:** 

This report presents the status of work-in-progress. The contents of this report represent a consensus opinion of the CR-2 HEP & P Team members, and is not the official view of NASA or DOE.





- Introduction Tom Hughes
- Capability Roadmaps
  - Solar Systems Rao Surampudi (for Henry Brandhorst)
  - Energy Storage Systems Rao Surampudi
  - Radioisotope Systems Bob Wiley
  - Nuclear Fission Systems Sherrell Greene
- Conclusion/Summary Joe Nainiger





### **Co-Chairs**

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- External: Tom Hughes, Penn State, Applied Research Lab
- DOE: John (Jack) P. Wheeler, DOE HDQs

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  - Jose Davis, NASA Glenn Research Center
  - Jeff George, NASA Johnson Space Center
  - Rao Surampudi, Jet Propulsion Laboratory
  - Sherrell Greene, Oak Ridge
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  - George Schmidt, NASA Marshall Space Flight Center
  - Bob Wiley, DOE HDQs
  - Wayne Bordelon, NASA Marshall Space Flight Center

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- Industry
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#### **Coordinators**

- Directorate: Overall: Doug Craig, ESMD, Technical: Raynor Taylor, ESMD, (Day-to Day, Jay Jenkins, ESMD)
- APIO: Perry Bankston, Jet Propulsion Laboratory

### Red = Sub-team lead





 The High Energy Power and Propulsion (HEP & P) capability roadmap addresses the systems, infrastructure and associated technologies necessary to provide power and propulsion for human and robotic exploration of space and to provide power for human and robotic exploration of planetary surfaces.

## Capability Breakdown Structure – HEP&P





High Energy Power and Propulsion Systems could:

- Enable extended human missions and presence
- Enable advanced propulsion (NEP, SEP, NTP, REP)
- Allow longer missions
- Allow reduced transit times
- Allow more extensive and powerful science mission instruments
- Reduce required spacecraft mass or increases available payload mass
- Enable exploration where solar energy is limited or absent
- Enable In Situ Resource Utilization (ISRU)

## HEP & P Relevance to Exploration – Aldridge Commission Recommendation



- Aldridge Commission Report: "Finding 4: The Commission finds that successful development of identified enabling technologies will be critical to attainment of exploration objectives within reasonable schedules and affordable costs. There was significant agreement that helped the Commission identify 17 areas for initial focus....we identify the following enabling technologies...
  - Advanced power and propulsion primarily nuclear thermal and nuclear electric, to enable spacecraft and instrument operation and communications, particularly in the outer solar system, where sunlight can no longer be exploited by solar panels....

**Recommendation 4-1:** 

- The commission recommends that NASA immediately form special project teams for each enabling technology to:
- Conduct initial assessments of these technologies
- Develop a roadmap that leads to mature technologies
- Integrate these technologies into the exploration architecture; and
- Develop a plan for transition of appropriate technologies to the private sector"





- Created 4 sub-teams; Solar, Storage, Radioisotope and Fission
- Developed strawman requirements and assumptions in consultation with SRC-13 and other capability teams
- Sub-teams developed initial "independent" Capability Roadmaps based on strawman requirements and assumptions, current state-of-technologies and projected trajectories of advancing technologies
  - Sub-team roadmaps "rolled up" into overall roadmaps in an iterative process that continues
- Process of highlighting decision points (choices) and technology gaps is current focus



## **Current State-of-the-Art for Capabilities**



### op Level Summary

- Fission Systems
  - Power (US Only)
    - SNAP-10A (1965)
    - SP-100 (1980-1992)
    - Ground tests of power conversion candidates (Brayton, potassium Rankine, etc.) in previous programs
  - Propulsion
    - Ion Isp 3300 sec, Efficiency 70%, Life 10,000 hrs, Power 2.7 kW, TRL 9 (Deepspace 1)
    - Hall Isp 1640 sec, Efficiency 67%, Life 4,000 to 8,000 hrs, Power 1.2 kW, TRL 9 (SMART 1)
    - MPD Isp 1000 to 10,000 sec, Efficiency 45 to 60%, Life 500 hours, Power 1000 to 10,000 kW, TRL 3
    - PIT Isp 4000 to 6000 sec, Efficiency 50%, Life pulsed, Power MW/pulse, TRL 3
    - Rover/Nerva Program 1959-1972, Highest Power 4100 MWt, Isp 875 sec, Continuous Operation 62 min.
- Radioisotope Systems
  - Power
    - RTG with GPHS specific power 5.3 We/kg, efficiency = 6.6%
  - Propulsion
    - Same as ion above
- Solar Systems
  - Power
    - Solar array specific power 40-60 We/kg, Solar cell efficiency 26 to 28%
  - Propulsion
    - Same as above
- Energy Storage Systems
  - Primary Batteries Specific Energy 90-250 Wh/kg, Mission Life 1-9 years
  - Rechargeable Batteries Specific Energy 24-35 Wh/kg, Cycle Life > 50,000 @ 25% DOD, Mission Life > 10 years
  - Adv. Rech. Batteries Specific Energy 90 Wh/kg, Cycle Life > 400 @ 50% DOD, Mission Life > 2 years
  - Fuel Cells Specific Power 90 We/kg, Maintenance Frequency 2600 hours (Shuttle)





- Nuclear power will be required to fulfill the VSE
- Advanced propulsion will be required to fulfill the VSE
- Solar power systems are effective in many applications
- Sub-capabilities such as PMAD, power conversion, heat rejection and materials technology are cross-cutting and apply to all roadmap capabilities
- Each roadmap path is intended to be technically achievable in a focused effort
- Roadmap paths will continue to be developed during the ongoing dialog with other capability and strategic roadmap teams
- New and emerging technologies must be pursued and integrated into the roadmaps in an organized fashion
- Power roadmap developed for CEV, but not shown due to current CEV acquisition
- Power and propulsion advanced concepts recognized as part of roadmap, but not yet included



## **Driving Missions for HEP & P**



- Scientific
  - Lunar and Mars Orbiters
  - Planetary Landers
  - Outer Planetary Probes
  - Jupiter Icy Moons Orbiter(JIMO) and other outer planetary missions requiring high power and/or high degree of maneuverability/multiple destinations, Interstellar Probe
- Human Exploration
  - Crew Exploration Vehicle
  - Lunar and Mars Surface Power
  - Piloted and cargo propulsion systems

### Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap Science/Robotic



### Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap Science/Robotic

#### **Assumed Robotic Science Missions:**



Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap



Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap







## Capability 2.1.1, 2.2.1, 2.3.1 2.4.1 2.6.1: Solar Power

# Presenter: Rao Surampudi, JPL Henry W. Brandhorst, Jr., Auburn University Chair, Solar Sub-Team

# April 7, 2005

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- Solar power system provides electrical power to space missions by converting solar energy into electrical energy either by direct or indirect conversion.
- Two types of solar power systems
  - Photovoltaic Power System/Solar Cell and Arrays
  - Solar Thermal Power System
- A <u>photovoltaic power system</u> converts converts solar illumination to electricity directly through the photovoltaic effect.
  - The key components: solar cells , substrate / panel, array structure and deployment mechanisms (and energy storage)
  - Photovoltaic power systems have been widely used in robotic science and human exploration missions
- A <u>solar thermal power system</u> converts input solar illumination to heat. The heat is then used to power either a <u>thermal-to-electric power</u> <u>conversion</u> subsystem for the spacecraft or surface application.
  - Static (Direct Current): (thermoelectric, TPV, TI)
  - Dynamic (Alternating Current): (Brayton, Rankine or Stirling)
  - Note: PMAD, Thermal, structures are not included



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2 kW Solar Thermal System

## Applications of Photovoltaic Power Systems

- Used on >99%\* of the space missions launched to date:
  - Near sun Venus, Mercury...
  - Outbound Mars, Asteroids...
  - Earth: Comsats, earth observing, weather, ISS, DoD…
  - SEP: Smart 1, Deep Space 1...
  - Surface: MERs, Pathfinder, ALSEP
- Other benefits
  - Modular, reliable
  - Established manufacturing base
  - Cost effective











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# Potential Future Missions for Solar



- Mission Types Considered
- Orbital Missions
  - Earth & Mars
  - Outer planets
  - Inner planets
- Surface Missions
  - Moon
  - Mars
- SEP Missions
  - Robotic science: asteroids.
  - Lunar cargo
  - Mars cargo & Human transp (considered for the purpose: this study)





EP Lunar Cargo



### Space Interferometry Mission





# Wasa What is / Why Solar Spacecraft Power?

- Solar spacecraft power converts sunlight into electricity for robotic and human uses
  - Key Subsystems
    - Photovoltaic arrays provide electric power
    - Power management distributes and conditions power
    - Energy storage
    - Thermal management for PMAD
- Used on ~99% of space missions
  - Crewed and robotic systems
  - Modular, evolvable, early availability at high power levels
  - Major leverages from prior/ongoing developments
    - DoD, Industry, DoE
  - Supports other exploration sectors





Hubble Space Telescope





Swift Gamma Ray Telescope







Includes DoD & Commercial

Spacecraft power levels have **doubled** every 5.5 years





History/State of Practice	Capabilities Identified
Candidate Advanced Technologies <ul> <li>Solar cell technologies</li> </ul>	<ul> <li>Missions/Strategic Drivers Identified         <ul> <li>Earth/Moon/Mars</li> </ul> </li> </ul>



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- Photovoltaic arrays convert solar energy into electricity to accelerate a propellant in a thruster
  - SOA (less than about 7 kW)
  - Exploration capabilities need 0.2 to10 MW
- Key Subsystems
  - Solar arrays provide electric power
  - Power management & conditioning distributes and conditions thruster input power
  - Electric thrusters convert power/propellant to thrust
  - Thermal Management For Power Management
  - Structure





- Planetary Missions
  - Deep Space 1 (US)
    - 2.7 kW, asteroid/comet rendezvous

Status of Solar Electric Propulsion

- Concentrator array
- Ion propulsion
- HAYABUSA (Japan)
- Lunar and Earth OTV
  - Smart 1 (ESA)
    - Planar array
    - Ion propulsion
- High Power Earth Orbital
  - ComSats (6 kW)

Elite (USAF – 27







# **Electric Propulsion SOA/SOP**



Thruster Concept	SOA/SOP		Capability Goal		
lon	Isp (s): h <sup>3</sup> : Life (kh): Power (kW): TRL:	3300 0.7 10 2.7 9(Deepspace-1)	lsp (s): h : Life (kh): Power (kW):	2000 - 8000 0.7 30-100 200 - 500	
Hall	Isp (s): h : Life (kh): Power (kW): TRL:	1640 0.67 4-8 1.2 9 (SMART-1)	lsp (s): h : Life (kh): Power (kW):	2000 - 3500 0.7 8-30 200 - 500	MPD
MPD <sup>1</sup>	Isp (s): h : Life (kh): Power (kW): TRL:	1000 - 10000 0.45 - 0.6 0.5 1000 - 10000 3	Isp (s): h : Life (kh): Power (kW):	4000 - 8000 0.65 5 - 10 250 - 2500	
PIT <sup>2</sup>	Isp (s): h : Life (kh): Power: TRL:	4000 - 6000 0.5 Pulsed MW/pulse 3	lsp (s): h : Life (kh): Power (kW):	4000 - 8000 0.65 >10 50 - 1000	
Advanced Concepts	Isp (s): h : Life (kh): Power (kW):	Not measured " 300 - 3000	lsp (s): h : Life (kh): Power (kW):	2000 - 10000 0.55 - 0.65 >10 200 - 5000	

### **Asa SEP Can Reduce IMLEO for Lunar Exploration**



Round Trip Time (d)

<u><1 year round trip</u>, <u>50 kW for 2 MT</u>
 payload

Reusable, capability useful for other





# What is Solar Surface Power?



- Solar surface power converts sunlight into electricity for robotic and human uses
  - Solar Photovoltaic
  - Solar Thermal
    - Moon only
- Key Subsystems
  - Photovoltaic arrays provide electric power
  - Solar collectors collect sunlight and provide heat to a conversion unit that produces electricity
  - Power management distributes and conditions power
  - Energy storage
  - Thermal management
  - Structures
- Megawatt-class terrestrial photovoltaic and thermal power systems are operating around the world



100 kW Terrestrial Array with Si Cells (TX)







25 kW Solar Stirling (CA)

Solar Surface Powe	r Advanced Planning & Integration Office
story/State of Practice • Lunar	Capabilities Identified
<b>Candidate Advanced Technologies</b> <ul> <li>Robust power systems for lunar and Mars surface operation</li> </ul>	• Missions/Strategic Drivers Identified eration



## **Potential Photovoltaic Array Advances**







## 2.1.1, 2.2.1, 2.3.1, 2.4.1: Spacecraft & Surface Power Roadmap







### **2.6.1 Solar Electric Propulsion Roadmap**











- Solar power/propulsion is routinely used for all space sectors
  - Power levels and electric propulsion applications increasing
  - Established for use from LEO to Mars surface, a robust supporting base exists
- Major improvements are being realized in cell, array and propulsion technologies that can translate into:
  - Significant mission performance increases
  - Realizable new missions for NASA, commercial, DOD and others (spin offs)
  - Can provide early availability for robotic science and lunar SEP
  - Supports later lunar and Mars missions as well
- High power systems (MW class) will require focused solar and other technology thrusts:
  - Large, high power, radiation robust, low cost solar arrays
  - High power electric propulsion systems
    - Ground test facilities
  - In-space operations (e.g. assembly, refueling, refurbishment...)
  - GN&C, advanced structure and thermal management concepts
  - Surface power adaptations for the moon and Mars
- Reusable SEP could have a major impact on the exploration infrastructure
- Advanced concepts were not included in this briefing
  - Several may well have substantial impact over the next decade





# Energy Storage System Capability Roadmap

Rao Surampudi, JPL Energy Storage System Sub-Team Chair Henry Brandhorst, Auburn University Energy Storage System Sub-Team Co-Chair

April 7, 2005
#### **Capability Breakdown Structure – HEP&P**



2.6.2.2 Thermal Propulsion

# Types of Energy Storage Systems

#### Electrochemical Energy Storage Systems

- Capacitors
- Primary Batteries
- Rechargeable Batteries (Secondary)
- Fuel Cells ( Primary)
- Regenerative Fuel Cells

#### Mechanical Energy Storage Systems

- Energy Flywheels
- Energy / Momentum Flywheels

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## **Capabilities and Applications**



System	Capability	Application
Capacitors – Double-layer, ultra super	Stores very low amounts of energy. Provides high power for short duration (seconds). Can be recharged electrically several times.	RPS Powered Missions.
Primary Batteries Ag-Zn, Li-SO <sub>2</sub> , Li-SOCI <sub>2</sub>	Provides up to several watts to hundreds of watts of power for several minutes/ hours to days. Can not be recharged. One time use only.	Launch vehicles, probes, and astronaut equipment.
Rechargeable Batteries – Ni-Cd, Ni-H <sub>2</sub> , Li-Lion	Can store up to tens of kWh of energy. Can be recharged electrically several times.	Earth / Mars Orbital Missions; Outer / Inner Planetary Orbiters; Surface Missions; Astronaut Equipment





System	Function	Application
Fuel Cells – Alkaline, PEM	Provide medium – high power (hundreds of W to several kW) for several days. Can be recharged with chemicals.	Surface Missions; Shuttle / CEV
Regenerative Fuel Cells – Alkaline, PEM	Can store up to several MWh of energy. Can be recharged electrically several times	Lunar Habitat; Mars Habitat
Flywheels – Energy only; Energy and momentum	Can store up to tens kWh of energy. Provide power during eclipse periods and peak power demands. Can be recharged electrically several times.	Earth Orbital Missions (GEO & LEO);

#### Energy Storage Systems: MASAMetrics/Requirements for Space Applications



#### **General Requirements**

**Mass and Volume Efficiency** 

-High Specific Energy (Wh/kg)

-High Energy Density (Wh/I)

High Power Capability (Peak/continuous)

-High Specific Power (W/kg)

-High Power Density (W/I)

High Charge/Discharge Efficiency

-Charge/discharge Efficiency(%)

#### **Charge Retention**

#### Mission Dependent Requirements

Long Operational and Storage Life

-Cycle Life ( cycles@ % DOD):

-Calendar Life ( Years)

**Operation at low and high temperatures** 

> -Operational capability (with minimal performance losses) at low temperatures

 Operational capability with minimal performance losses ) at high temperatures.



#### Energy Storage Systems: Current State-of-Practice



System	Technology	Mission	Specific Energy, Wh/kg	Energy Density, Wh/I	Operating Temp. Range, °C	Cycle Life	Mission Life (yrs)	Issues
Primary Batteries	Ag-Zn Li-SO2, Li-SOCl2	Delta Launch Vehicles Cassini Probe MER Lander Sojourner Rover	90-250	130-500	-20 to 60	1	1-9	<ul> <li>Limited operating temp range</li> <li>Voltage delay</li> </ul>
Rechargeable	Ni-Cd, Ni-H2	TOPEX HST Space Station	24-35	10-80	-5 to 30	> 50,000	>10	<ul><li>Heavy and bulky</li><li>Limited</li></ul>
Batteries					@25%DOD		temp range	
Adv. Rech. Batteries	Li-Ion	Spirit & Opportunity Rovers	90	250	-20-30	> 400 @ 50% DOD	>2	Cycle Life
			Power Rating (kW)	Specific Power (W/kg)	Power Density (W/I)	Efficiency %	Maintenance Frequency (hrs)	
Fuel Cells	Alkaline H2-O2	Apollo, Shuttle	10	90	155	70%	2600	Heavy and Bulky Limited to short missions

#### Energy Storage Systems: Past Applications





Energy storage systems have been used in 99% of the robotic and human space missions launched since 1960

# Energy Storage Systems:















Future human and robotic exploration missions require advanced energy storage systems.

• Critical capability requirements include: mass and volume efficiency (2-10 X Vs SOP), long life and the ability to operate in extreme environments.



Human Lunar/Mars Surface H	abitat
Image: Note of the second se	Capabilities Needed •Power 20-40 kW,
abilities of State of Practice Systems . Status of Adv. Energy Storage Systems • • <b>Pystemal Systemsgeregatteria</b>	Five H <sub>2</sub> -O <sub>2</sub> Fuel Cells, Adv. Rechargeable Li-Ion Batteries,

### Astronaut In space and Surface Mobility/EVA





Capabilities Needed

#### Astronaut Suit, EVA Tools & Instruments

age Systems bilities of State of Practice Systems (EVA) al Systems: Small Fuel Cells, Li-Ion / Polymer Batteries

### Robotic/Human Landers / Rovers







Robotic & Human Rovers

Landing Systems / Capabilities Needed

•Power : 0.1 to 5.0 kW

bilities of State of Practice Systems Ion batteries, Polymer Batteries/Fuel Cells



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System: Ni-H<sub>2</sub> Batteries ٠

#### Radioisotope Powered Robotic Osbital /Surface Missions





Capabilities Needed

•Power : 100-200 W

pablilities of State of Practice Systems

Potential Systems: Li-Ion/Li-Polymer System: Li-ion Batteries











- Critical capability requirements for future space missions include:
  - Mass and volume efficiency (2-10 X Vs SOP)
  - Long life (> 15 years)
  - Ability to operate in extreme environments
- NASA has modest energy storage technology development programs. These programs are insufficient to meet future missions needs
  - ESMD program is reasonably strong, but requires modest augmentation
  - SMD has no technology development program
- DOD/DOE/Commercial industry are developing advanced energy storage systems specific to their needs.
  - NASA has unique requirements that are different from DOD/DOE
  - NASA may benefit significantly by working with AFRL/DOD, wherever synergism exists
- Building a strong robust energy storage technology program at NASA will have a significant impact on future missions





### Radioisotope Power System (RPS) Capability Roadmap Status

### Bob Wiley, DOE HQ RPS Sub-Team Chair April 7, 2005

Disclaimer: This report presents the status of work-inprogress. The contents of this report represent a consensus opinion of the CR-2 RPS Sub-Team members, and is not the official view of NASA or DOE.



2.6.2.2 Thermal

### Past NASA Missions Using RPS -**Including Moon and Mars**





Galileo

Apollo



Viking



Voyager



Cassini

Since 1961, 40 RTGs have been used on 22 US space systems.

### Many Potential Future Science Missions Require RPS



#### Near-term (2006 to 2015)

- New Horizons Pluto-Kuiper Belt Explorer (launch ~2006)
- Mars Science Laboratory (launch by 2009)
- Mars Scout Missions (launches 2011 & 2015)
- Solar Probe (launch ≥2012)

#### Vision Missions (≥2015)

- Medium Size (New Frontiers)
  - Trojan/Centaur Recon Flyby
  - Asteroid Rover/Sample Return
- Flagship Class
  - Europa Lander
  - Titan Explorer
  - Neptune-Triton Explorer
  - Uranus Orbiter with Probes
  - Saturn Ring Observer

- Io Observer
- Ganymede Observer
- Mercury Sample Return
- Comet Cryogenic Sample Return
- Interstellar Probe
- Venus Sample Return

















\* From NRC Space Science Decadel Surveys: "New Frontiers in the Solar System (2003)," and "The Sun to the Earth and Beyond (2003)."

# State-of-the-Practice Radioisotope Thermoelectric Generator



### **GPHS-RTG**



### **State-of-the-Practice General Purpose Heat Source (GPHS)**



- Pu-238 dioxide fuel
  - Nominal 250 Wt from 4 fuel pellets
  - Alpha-emitter, 87-year half life
  - Nonweapons material
  - Highly insoluble
- Ir Cladding (encases the fuel)
  - Fuel containment (normal operations or accidents)
  - High melting point -- thermal protection
  - Ductile -- impact protection
- Graphite aeroshell (protects fuel & cladding)
  - Impact shell -- impact protection
  - Insulator -- protect clad during re-entry
  - Aeroshell -- prevent burnup during re-entry
- Mass 1.6 kg (0.6 kg Pu-238)
- Dimensions 10 cm x 9.3 cm x 5.8 cm



### Mass, Efficiency and Life are the Key RPS Metrics



	BOM Power	BOM Specific power	System efficiency	Lifetime
State of Practice (GPHS-RTG)	296 We	5.3 We/kg	6.6%	30 years (Voyager)
Milliwatt/ multi-watt class	10-100 mWe 1-20 We	Low - TBD	5-20%	5-14 years
SOA 100 We class	110+ We	3-4 We/kg	6-20%	14+ years
Advanced 100 We class	110+ We	8-10 We/kg	8-40%	14+ years
Kilowatt class	1-2 kWe	8-10 We/kg	8-40%	5-14 years
Multi-kilowatt class	5 kWe	10-12 We/kg	30-40%	5+ years



### **Milliwatt and Multiwatt RPS**





### Milliwatt-class RPS

- 10-100 mWe of interest
- RHU-based heat source





- Multiwatt-class RPS
  - 1-20 We of interest
  - GPHS-based heat source
- DOE to issue solicitation in 2005 for system design and non-nuclear testing of engineering-type units
- Funded by NASA Science Mission Directorate



### State-of-the-Art 100 We Class RPS











### **Advanced RPS Options**





- Capability option for Spiral 2 robotic missions (landers/rovers), Spiral 3-5 human missions, and radioisotope electric propulsion (REP)
- Based on GPHS heat source
- Development candidates
  - Advanced 100-We class @ 8-10 We/kg
  - Kilowatt-class (1-2 kWe) @ 8-10 We/kg
  - Multikilowatt-class (5 kWe) @ 10-12 We/kg
  - Technology gaps exist for such lightweight systems need improved conversion systems, heat rejection and PMAD
  - Lightweight RPS enhances mission payload fraction and REP performance
  - Application of kilowatt and multikilowattclass capability may be limited by GPHS processing throughput

### Multi-kilowatt RPS Option for Spiral 3-5 Missions





- 5 kWe module; ~ 400 kg
- Provides both power and heat
- Only modest shielding or separation distance needed to limit radiation dose
- Relatively low-risk development needs
  - High efficiency energy conversion
  - Light-weight thermal management and PMAD



- Pu-238 Required Per Module
   Is Comparable to Total
   Flown on Cassini
  - Cassini: 3 x 18 = 54 GPHS modules

# NASA

### **RPS Research and Technology Development**



- Ten competitively awarded NRA contracts aimed at improving efficiency, specific power and reliability of future RPS
  - Five research (TRL•3) and five development-focused (TRL•5) for milliwatt (~40 mW) and nominal (~100 W) systems (scalable to 1-10 W)
  - Contracts initiated in 2003. Each consists of three 1-year phases
- Selections covered Stirling, thermoelectric, thermophotovoltaic (TPV), and Brayton power conversion technologies
- Of development contracts, only Stirling continuing

#### **Segmented Thermoelectric Research @ JPL**

- Direct-funded research on higher efficiency thermoelectric technology
- Demonstrated 12.5% efficiency with single unicouple: skutterdite/Bi2Te3 at 700-87  $^\circ\text{C}$  T
- Developing sublimation-inhibiting coatings and insulation

Advanced Stirling Research @ NASA GRC

- Direct-funded research on technologies for 2nd Generation SRG
- Achieved 36% engine efficiency (AC out) on 85-watt testbed at 650-30 °C T
- Focus on potential use of higher temperature materials, mass reduction, and improvement in controller reliability/operation
- Identified and evaluated candidate materials
- Developed simulation of new controller operation











### Capabilities Provided by REP



- REP best suited for science missions employing robotic spacecraft
- With existing medium launchers, could enable rendezvous with small planetary bodies and deep space objects
  - Launch system boosts spacecraft to velocities above earth escape (positive C3)
  - REP provides portion of in-space acceleration, deceleration and maneuvers about target
  - Small spacecraft with up to several 100's kg payloads
- With existing heavy launchers, could provide propulsive augmentation for orbital missions to outer planets
  - Chemical and/or solar electric propulsion serves as main propulsion up to distance of Mars/asteroid belt
  - REP used for "end game" propulsion maneuvers for deceleration and orbital changes about planetary body
- Implementation requires modest investment in technologies that could be fielded by end of this decade
  - High-specific power radioisotope generators based on advanced Stirling engine or segmented thermoelectric technology currently under development
  - Long-lived 100 We to ~1 kWe-class electric thrusters capable of 5-10 year lifetimes
  - Lightweight bus and payload technologies







### **RPS Capability Roadmap**







- For many Science missions, the RPS (power and heat) is enabling.
  - Most outer planet and beyond spacecraft
  - Certain solar and inner planet missions
  - Certain Mars and other surface applications
- For Exploration:
  - RPS can be fielded to support early lander/rover missions.
  - RPS is an option for entry-level power and heat for Spiral 3-5 human missions and surface operations.
- Multimission RPS (MMRTG and SRG) are being developed with SMD funds, but no RPS is currently in production.
- Improved RPSs can be developed to provide full range of capabilities.
  - Robotic spacecraft and surface missions
  - Radioisotope Electric Propulsion (REP)
  - Spiral 3-5 human surface missions
- Lightweight components are needed to fill technology gaps for RPS system development.
  - High-efficiency energy conversion (reduced Pu-238 cost)
  - Heat rejection
  - PMAD





### High Energy Power and Propulsion Fission Sub-Capability Roadmap Status

Sherrell Greene CR-2 Fission Sub-Team Chair Oak Ridge National Laboratory

> Presented to National Research Council

> > April 7, 2005

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- Space Fission Propulsion and Power Introduction
- Nuclear Electric Propulsion (NEP)
- Surface Power (SP)
- Nuclear Thermal Propulsion (NTP)
- Bi-Modal Nuclear Thermal Propulsion (BNTP)
- Summary



#### This Presentation Addresses All Space Fission Power and Propulsion Capabilities Within CRC-2 Scope



Propulsion





- Fuel energy densities  $\sim 10^7$  that of chemical systems
- In-space Power and Propulsion
  - Power and propulsion independent of proximity to sun or solar illumination
    - Constant power level available for thrusting and braking
  - Go where you want, when you want
    - Expanded launch windows
    - Enhanced maneuverability
    - Faster trip times / reduced human radiation dose
- Surface Power
  - Provides power-rich environments
    - Telecom
    - Habitat
    - Insitu Resource Utilization / Propellant Production (ISRU / ISPP)
  - Enables planetary global access
  - Enables Lunar overnight stays




- **Power:** Thermal and/or electric power generated by system
- Mass: Total power or propulsion system mass
- Lifetime: Length of time of operation at full power (or equivalent)
- Specific Mass (a): Ratio of total power and/or propulsion system mass to electric power distributed to spacecraft
- Engine Thrust-to-Weight: Thrust produced per unit engine mass
- Initial Mass In Low Earth Orbit (IMLEO): Total spacecraft mass launched and assembled in low earth orbit (LEO) prior to mission start
- **Specific Impulse (Isp):** Thrust per unit mass flow of propellant
- Efficiency (h): Ratio of electric or jet power input to thermal power





- Highly-Enriched-Uranium (HEU) fuel
- Mass
- Power densities and temperatures
- Fuels / coolants / materials systems
- Power conversion and heat rejection technologies
- Shielding technologies
- Automated or autonomous operation and control
- Limited or no maintenance & refueling
- Space or planetary operational environments



# U.S. Has Pursued Several Aerospace Nuclear Development Programs Since 1945







# Significant Space Fission Technology Development Has Been Conducted



# No U.S. Flight and Ground Test Experience Since 1972

- Nuclear Thermal Propulsion
- 20 Ground Test Reactors Operated

- Space Power
- 36 Systems Flown (1 U.S., 35 Russian)
- 5 U.S. ground test reactors operated





### Nuclear Fission Flight System Development Programs Require Sustained Effort





# CRC-2 (HPE&P) Is Developing Fission Sub-Capability Roadmap



- Philosophy
  - Address scope of VSE
  - Update prior major studies (SEI, CRAI, etc.) strategies and recommendations to accommodate
    - Current technology status
    - Current infrastructure status
    - Current thinking with respect to likely missions and mission architectures
- Process
  - Develop initial "independent" MMW-NEP, Surface Power, and NTP and BNTP Capability Roadmaps
    - Assume no resource constraints
    - Only technology and knowledge constraints
  - Integrate four roadmaps to leverage synergisms, identify intersections and off-ramps, and eliminate gaps
  - Integrate Prometheus-I/II plan as available

Overlay strategic objectives, mission bogies, funding profiles as information becomes available





- Compact system capable of providing spacecraft propulsion and electrical power for deep space robotic missions or near-Earth cargo and piloted Mars missions.
- Primary subsystems include: reactor system, power conversion unit(s), power management and distribution unit, heat rejection system, and electric thrusters.
- Characterized by extended operation and minimum propellant mass.









- Propulsion and electrical power from single system
- Constant power source for on-board life support and science instruments
- High specific impulse enables low initial propellant mass and resupply mass
- High power system (1-10 MWe) supports large cargo, deep-space science, and short trip times for piloted missions to Mars.
- Provides increased flexibility for launch

# Correction of the second se







Mission	Specific Mass (kg/kWe)	Power (MWe)	Specific Impulse (ks)	Lifetime (yr)
Orbital transfer	10 – 30	0.1 – 1.0	2 – 8	3 – 10
Robotic interplanetar	30 – 50 y	0.1 – 1.0	5 – 10	10 – 12
Lunar cargo	10 – 20	0.5 – 5.0	3 – 10	3 – 10
Mars cargo	10 – 20	2 – 10	5 – 10	2 – 10
Mars piloted	< 10	5 – 40	5 – 10	2 – 10

\*NASA TM 105707, "Summary and Recommendations on Nuclear Electric Propulsion Technology for the Space Exploration Initiative," April 1993



Preliminary Planning Assumptions: NEP Mission & Performance Evolution



# Science/Human/Cargo NEP Mission Studies

- 2002 JIMT
- 2002 DRM
- 2002 DRM
- 1994 Clark
- 1993 George
- 1992 George
- 1992 McDonald Douglas
- 1991 Boeing

### Science/Human/Cargo NEP Missions

- Lunar Orbiter
- Jupiter Moon Tour
- Outer Planets
- Kuiper Belt

- Lunar Cargo
  - Mars Cargo
  - Mars Piloted



- 200 kWe
- 3-yr life
- 70 kg/kWe
- Robotic
- Isp = 5000 s

# <u>High-End Human/Cargo</u> <u>NEP Performance</u>

- 5 MWe
- 5-yr life
- 10 kg/kWe
- Human
- Isp = 10000 s





- Reactor subsystem (U.S. only)
  - 44 kW(t)/530 W(e) SNAP-10A (1965)
  - 2400 kW(t)/100 kW(e) SP-100 (1984-1992)
- Power conversion subsystem
  - Stirling: 12.5/25 kWe NASA MTI, Commercial SOA 10s-100s We
  - Brayton: 10 kWe, 1144 K PCS tested for 38,000 hr
  - LM-Rankine: 200 kWe K-Rankine turbine tested ~ 4000 hrs in MPRE (1962-67), ~ 160,000 hrs component tests
- Power management and distribution subsystem
  - 160 V; 57+ kWe; 400 K ISS





Subsystem	Current CRL	Development Needs for MMW NEP
Reactor	6 @ 43 kWt* 2 @ 2 MWt 1 @ 25 MWt	High temperature fuel (1500-2000 K) High burnup fuel (>10%) High temperature structural materials (1500 K) Rad-hard I&C (10 <sup>23</sup> n/cm <sup>2</sup> and 100 Mrad) Robust shield material
Power Conversion	5-6 For static* 3-4 For dynamic	Refractory metal components (1500 K) High temperature bearings and seals Rad-hard alternator insulation Two-phase flow management (LM-Rankine)
PMAD	5-6 @ < 10 kWe 2 @ > 100 kWe	High temperature semiconductors (600-700 K) High power Rad-hard electronics
Heat Rejection	6 @ ~ 100 kWt**	High temperature, low mass materials High temperature heat pipes
Electric Thrusters	6 @ < 10 kWe 2 @ > 100 kWe	Scaling to high power Or development of high power concepts





		Development Targets			
Subsystem	Figure of Merit	Entry-Level (Science)	Long-term (Human Exploration)		
Fission Power Source	Power	1 MWt	25 MWt		
	Specific Mass	50-70 kg/kWe	5-10 kg/kWe		
	Lifetime*	3 yr	5 yr		
Power Conversion	Power Conversion Efficiency		35%		
	Lifetime*	3 yr	5 yr		
PMAD	Temperature	500 K	700 K		
	Specific Mass	30 kg/kWe	3 kg/kWe		
	Power	100 kWe	1 MWe		
Heat Rejection	Areal Density	10 kg/m²	2 kg/m <sup>2</sup>		
	Temperature	500 K	900 K		
Electric Thrusters	Power	100 kW	1 MW		
	Specific Impulse	2 – 8 ks	2 – 10 ks		
	Efficiency	70%	>60%		

\*Lifetimes exceeding 10 yr are required for many NEP science missions.















# Surface Fission Power Capability Description





Surface fission power provides the primary power generation and distribution for both robotic pathfinder and human exploration missions to the surface of the moon





# Surface Fission Systems Provide Power-Rich Environment



- Significant power (10s 100s kWe)
  - Life support
  - Telecom
  - ISRU/ISPP
- Power independent of the sun
  - AU
  - Latitude
  - Diurnal cycle
  - Topography
- Enables repeat or extended mission durations with continuous source of power
- Compact, flexible, high-energy density power source





# Key Mission Architecture Assumptions and Strategies



### **Assumptions**

Robotic system operation should not preclude later human presence

### All systems must incorporate robust autonomous control

Must demonstrate operability prior to crew arrival Must be capable of ISRU/ISPP and providing continual power for life support system (habitat) in absence of crew Should not require astronaut's attention

## Safety & Reliability are technical focus

To ensure power is available for human life support **Strategies** 

Gain early success on the moon

Minimize technical development risk

Provide high reliability and minimize mass (max performance)

Assure extensibility to human Mars applications







Mission	Power (kWe)	Lifetime (yr)	Landed Mass (kg)
Kobotic Lunar	10 – 30	3 – 10	5000
Outpost Human Lunar Base	30 – 100	5 – 10	5000
Robotic Mars Outpost	10 – 30	3 – 10	2000
Human Mars Base	30 – 100	5 – 10	2000

\*NASA Exploration Team (NEXT) Human Exploration Requirements For Future Nuclear Systems, Version 1.0, 12/19/02



Current Roadmap Planning Assumptions: Mission Evolution and Performance Levels



# Surface Power Mission Studies

- 2002 NEXT Study
- 1992 FLO
- 1989 "90-Day Study"
- 1971 Lunar Base Synthesis Study
- 1959 Project Horizon

Surface Power Mission Evolution

- Lunar Human Base
- Mars Human Base



#### Entry Level

- 30 kWe
- 3 yr life
- 10000 kg
- Human-rated
- Stationary
- Lunar
  - <u>"Beta" Level</u>
- 50 kWe
- 7 yr life
- 12000 kg
- Human-rated
- Stationary
- Mars



### Differences Between Moon and Mars Must Be Considered in Design of Surface Fission Power System

Parameter	Earth	Moon	Mars
Surface gravity, m/s <sup>2</sup>	9.78	1.62	3.69
Mean atmospheric pressure, millibars	1013	None <sup>a</sup>	1Š10
Average atmospheric density, kg/m <sup>3</sup>	1.2	None <sup>a</sup>	0.02
Average atmospheric temperature, K	288	None <sup>a</sup>	210
Diurnal atmospheri c temperatu re range, K	184Š242	None <sup>a</sup>	140Š270
Day length	24 h	27.3 d	24 h 37 min
Minimum atmospheric temperature, K	183	None <sup>a</sup>	140
Maximum atmospheri c temperatu re, K	329	None <sup>a</sup>	340
Atmospheric composition (by volume) Trace	79% N <sub>2</sub> 20% O <sub>2</sub> 0.93% Ar 0.03% CO <sub>2</sub> Neon Methane Helium Krypton Hydrogen Xenon	Hydrogen <sup>a</sup> Helium <sup>a</sup> Neon <sup>a</sup> Argon <sup>a</sup> Trace	95.32% CO <sub>2</sub> 2.7% N <sub>2</sub> 1.6% Ar 0.13% O <sub>2</sub> 0.08% CO 210 ppm H <sub>2</sub> O Neon Krypton Zenon
Atmospheric optical depth Wind speed, m/s Atmospheric mean molecular weight, g/mole	0.01Š3 >90 29	None None	0.1Š10 2Š30 43.34



Note: Regolith chemical and isotopic compositions not shown.











# Current Capability Readiness for Surface Fission Power



- Current CRL for Integrated SRPS for surface power 2
- Comparable to in-space flight fission systems?
  - Fuels UO<sub>2</sub> and UN near-term options
  - Materials
    - Viable concepts with SS/superalloys for low temp designs
    - Refractory systems for high temp operation requires development
  - Infrastructure
    - No fast-flux fuel and materials irradiation facilities in U.S.
    - Available system test facilities limited no new facilities for space power since early 1970's
  - Lander / deployment issues TBD
- Technology based on SNAP, SP-100, and terrestrial reactor (LMFBR & GCR) programs
- Limited design/assessment for surface fission power applications
  - Most previous mission studies "assumed" use of SP-100 reactor
  - Recent efforts by DOE-NE developed 3 preliminary conceptual designs



Robotic – 3 kW(e) – Homer Heat Pipe Rx w/Stirling 381 kg/kW(e)



Robotic – 12.5 kW(e) – PRESTO Boiling Liquid Metal Rx w/Stirling 160 kg/kW(e)



Human – 50 kW(e) – LMR Pumped Liquid Metal Rx w/Brayton 289 kg/kW(e) – not optimized



# Maturity Level – Technologies for Surface Fission Power

2



# Mission Architecture (power requirements as function of mission phase and duration) influence reactor and PCS

#### **Reactor Candidate Technologies**

- Liquid-metal TRL 3 (TRL 9 in 60's-70's for Russia and U.S.)
  - Technology pedigree established (SNAP, SP-100, MPRE, LMFBR) and scalable
  - Flexible with Stirling, Brayton, Rankine, TE
  - Freeze/thaw and system complexity issues
  - Flown but not landed
- Gas-cooled TRL 3
  - Technology pedigree from terrestrial program/scalable
  - Naturally couples only with closedcycle Brayton PCS
  - Larger mass than LMR
- Heat-pipe TRL 2

SP

- Passive cooling system/fewer dynamic components than LMR and GCG
- Scalability questions above 100 kWe

#### **Power Conversion Technologies**

- Thermoelectric TRL 9 (flying today)
  - Most mature RTG pedigree, static system
  - Highest mass/lowest efficiency (5-8%)
  - Used on SNAP 10A
- Stirling TRL 4
  - Free piston configuration operating with helium as working fluid/high efficiency Maintain uniform hot head temperature Efficiency: 20-25%
  - Rad-tolerance: TBD
  - Brayton TRL 3-5
    - Substantial experience with open-cycle systems
    - Space system employs closed cycle
    - 38,000 hr ground test by NASA
    - Efficiency: 15-20%
    - Large radiators
    - Rad-tolerance: TBD
- Rankine TRL 3-4
  - Water Rankine systems used in most of world's 440 operating power reactors
  - Liquid-metal Rankine turbine ground demos in SNAP and and MPRE (4000 hr turbine test)
  - Efficiency: 15-25%
  - Smallest radiators
    Rad-tolerance: TBD

#### Surface Power Capability Roadmap (2005 - 2015)Advanced Planning & Integration Office **1st Crewed** Key DRM CEV Test (2008) CEV Flight (2014) Milestones: Capability Demonstration Fuel Fab Infrastructure SP EDU-1 SP EDU-2 Fuel Irr Establishment Line Facility (2011)(2014)2.1.1 Surface Power **GPU PD GPU FD** ID GPU SRTP/PR Evals SP-1 CD SP-1 PD SP-1 FD 2.1.1.1 SP Rx Power System ID = Identify Prelim Irr 🔺 LTA Irr/PIE 🖌 LUA Irr/PIE Qualified 2.1.1.1.1 Fuels GPU = Ground Prototypic Unit Qualified Chem/rad/mech Mat'l-coolant 2.1.1.1.2 Materials CD = Conceptual Design tests PD = Preliminary Design Breadboard/Engr Model Rad-hard Sensor R&D 2.1.1.1.3 I&C Sensors FD = Final Design AC Subsys Test Acesigs Here w/EDU-2 2.1.1.1.4 Autonomous Control AC Irr = Irradiation Design/Model 2.1.1.2 PHTS Component LTA = Lead Test Assembly Dev&Test LUA = Lead Unit Assembly Component Dev&TestScalability Rx-PCS System 2.1.1.3 PCS Trades Design/Test Design PIE = Post-irradiation examination **Eval and test** 2.1.1.4 HRS Design Env Chamber Test AC = Autonomous Control Mat'l&Coolant Analysis Eval = Evaluate 2.1.1.5 Shield Design Analysin **Concept Des/** Material Qual **Fab Process** EDU = Engineering Development Mat'l Testing Qual Unit (non-nuclear) 2.1.1.6 PMAD EDU-2 Ops Zero Power "0"Crits Ops EDU-1 Ops 2.1.1.7 Integrated Testing **ID EDU** Crits/ EDU Sites Designs

2010

2005

2015

# Surface Power Capability Roadmap (2015–2030)





# NTP Stage Integrates Nuclear and Non-Nuclear Subsystems





Expendable TLI Stage for First Lunar Outpost Mission using Clustered 25 klb<sub>f</sub> Engines -- "Fast Track Study" (1992)

**Full Scale** 

Mockup a NERVA Engine





NTP/BNTP



# **Bimodal Nuclear Thermal Propulsion** (BNTP) Adds Electrical Power Capability





NASA 50 kW<sub>e</sub> BNTP Mars Crew Transfer Vehicle Designs. A 5 kW<sub>e</sub> Photovoltaic Array is shown above for Size Comparison



Pumps/valves/turbine/compressor/plumbing Heat Exchanger Radiator PMADS



Propulsion

Havanced Planning & Integration Office







# **NTP & BNTP Provide Many Benefits**



- NTP
   Capable of high thrust, high thrust/mass ratio, and high specific impulse (2 times the best chemical rocket systems)
   Reduced transit times (reduced exposure for manned missions)
   Reduced IMLEO requirements
   Greater mission flexibility for VSE Mars (cargo and especially piloted) missions with respect to departure windows
   Potential for single small engine design to satisfy a broad variety of exploration missions
   Operated for only short duration (hours/mission) vs months for
  - Operated for only short duration (hours/mission) vs months for other systems
- BNTP Provides continuous onboard power for spacecraft/crew
  - Provides power for refrigeration of coolant to reduce boiloff
  - Facilitates artificial gravity during transit flights
  - One propulsion system capable of meeting <u>"broad range" of robotic and piloted exploration missions</u>
  - Allows hybrid mission-combining rapid transit times with NEP maneuverability





Requirements Missions	Engine thrust (klb <sub>f</sub> )	T/W <sub>eng</sub>	T <sub>ex</sub> (°K)	I <sub>sp</sub> (s)	No. engines	P <sub>elec</sub> [kW(e)]	* Tin (K)	Power mode duration (days)	Total burn duration (hr)	No. burns
Robotic science	15	3	2550	875	1	< <b>10</b> ~	1150	~28–12.6 years	<0.5	1
Lunar cargo	15	3	2550	875	1–2	< <b>10</b> ~	1150	7–14	0.5–1.0	2–3
Lunar piloted	15–25	3–4	2550– 2700	875–900	1–2	25	1150	45–90	~1.0	3
Mars cargo	15	3	2700	900	2–3	10–25	1150	270-300	0.5–1.0	2–3
Near Earth asteroid (NEA) piloted	15	3	2700	900–915	3	50	1150-1300	365	<1.5	3–4
Mars piloted	15–25	3–4	2700	900–925	3	50	1150-1300	545-900	<2.0	4–5

\*Tin: Turbine Inlet Temperature.



## Assumed NTP & BNTP Mission Evolution and Target Performance



## NTP Mission Studies

- 2004 RASC (Mars Orbital)
- 1999 DRM 4.0
- 1998 DRM 3.0
- 1995 Fast Outer Planets
- 1993 DRM 1.0
- 1992 First Lunar Outpost
- 1990-91 SEI
- 1989 "90-day Study"

# NTP Mission Evolution

- NTP Lunar Cargo
- NTP Mars Cargo
- NTP Piloted Mars

# NTP / BNTP Mission Evolution

- BNTP Lunar Cargo
- BNTP Piloted Mars

### Entry Level NTP & BNTP

- •15 klb<sub>f</sub> (single engine)
- •1-hr Burn-time
- •0.5-hr max. single burn
- •3 restarts/mission
- •T/W  $(klb_f/klb_m) = 3$
- •Isp = 875 s
- •15 kWe (BNTP only)

# "Beta" Level NTP & BNTP

- •25 klb<sub>f</sub> (single engine)
- •1.5-hr Burn-time
- •0.5-hr max. single burn
- •8 restarts/mission
- •T/W  $(klb_f/klb_m) = 3+$
- •Isp = 925 s
- •25 kWe (BNTP only)



# **Current NTP / BNTP State-of-the-Art**

# Basic Engineering Feasibility of NTP Has Been Demonstrated Integration Office

- Estimated current NTP CRL (stage) is 3-4 (?)
- NTP Pedigree
  - From 1959- 1972, 20 Nuclear Thermal Reactors were built and tested (17 test reactors, 1 safety test, 2 ground test engines) as part of the Rover/NERVA Program
    - Best Parameters Achieved:

<ul> <li>Highest Power</li> </ul>	4100 MWt
<ul> <li>Peak Fuel Temperature</li> </ul>	2750 K
<ul> <li>Max. Hydrogen Exhaust Temperature</li> </ul>	2550 K
Specific Impulse	875 s
<ul> <li>Maximum Restarts</li> </ul>	28
<ul> <li>Accumulated Time at Full Power</li> </ul>	109 minutes
<ul> <li>Continuous Operation</li> </ul>	62 minutes

- Rover/NERVA program reached a technical maturity level sufficient to begin planning for a Reactor In-Flight Test (RIFT)
- Additional fuel and materials tests conducted in Space Nuclear Thermal Propulsion Program (SNTP), GE 710 Program, and ANL Cermet Nuclear Rocket Program
- High Temperature and pressure non-nuclear rocket components developed for the Space Shuttle and LOX/LH<sub>2</sub> Centaur in-space stage may have applicability to NTP
- Demonstration of conformance with extant safety requirements (e.g. fuel fission product release, water/sand immersion criticality, etc.) will be required
- BNTP introduces additional issues
  - Short duration high power operation + long-duration low power operation
  - Clustering (if small engine)
- BNTP designs have been proposed but no technology development or demonstration
  - Estimated BNTP CRL (stage) is 2-3 (?)



# NTP Technology Readiness



TRL levels assessed relative to first mission (single-engine lunar cargo).

WBS	TRL rating	Basis for rating	Comment
Stage Propellant Storage and Delivery System I&C	7-8 7-8	- Centaur - S IV-B - Centaur	<ul> <li>Relevant cryogenic stages have flown</li> <li>Reactor radiation environment</li> <li>minimal</li> </ul>
Engine Reactor Fuel Moderator/Structural Materials Propellant Feed System Nozzle Regen Rad. cooled extension I&C	3 3 7 7-8 5-6 4-5	Fuel fission product release Infrastructure & fabrication status -J-2, RL-10 -SSME RL-10 B-2 Rover/NERVA	Recapture improve fabrication and infrastructure - Radiation assessment on components needed. - Radiation assessment - 300:1 deployed nozzle ratio - Radiation environment assessments
External Nuclear Shield	4-5	SP-100 XE	- Design, but no fab
Thrust Vector Control/Structure	7-8?	Centaur S IV-B	Reactor radiation environment



# NTP & BNTP Technology Needs (Gaps)



		■ dvanced ■ lanning & ■ ntegration		
Hardware Tree Element	Need	Why		
Stage	- Clustering	- Small engine		
Propellant Storage and Delivery System	- Radiation environment testing	- Reactor		
I&C	- Radiation environment testing	- Reactor		
Engine	- Water/sand immersion subcriticality	Nerva-derived design		
Reactor Fuel Moderator/Structural Materials	Fuel fission product retention	Clustering (Coupled physics & I&C) Bimodal operation		
	Recapture/improve fabrication	<ul> <li>Degraded infrastructure</li> </ul>		
Propellant Feed System Nozzle Regen. Cooled	- Radiation environment testing	- Reactor		
Rad. Cooled extension	Radiation environment testing			
I&C	Radiation environment testing	Reactor Bimodal operation		
External Nuclear Shield	- Realigerence materials fabrication Bimodal and Clustering Control	Capability and infrastructure not currently present at DOE		
Thrust Vector Control/Structure	- Radiation environment testing	Reactor		
Power Conversion	10s kWe Power Capability Transition/Control demonstration Radiation env. & life testing	Bimodal operation Reactor		
Heat Rejection	- Radiation env. & life testing	Reactor		
PMAD	10s kWe Power Capability Radiation env. & life testing	Reactor		

NTP/BNTP



# NTP Small-Engine Development Approach Maximizes Leverage of Legacy Technology



- Assumed Development Approach
  - Adapt Pewee engine design
    - Lower thrust
    - Adapt for water immersion sub-criticality
  - Utilize composite fuel
    - Adapt for acceptable fission product retention
    - Develop required coatings
    - Carry cermet fuel as backup
  - Nuclear furnace (NF) is not a precursor to first engine
    - Effort to qualify NF fuel refocused on qualification of engine fuel
    - Rely on expanded suite of separate-effects testing
    - Bypasses schedule and budget impacts of NF for initial mission
  - Ground test engines (developmental and flight)
    - Small engine may be testable in existing facilities
  - First flight
  - Post-flight Option: Build NF and expand fuels R&D as desired to enhance capability
    - Use fuel developed for first engine as NF driver fuel
- Use Strategy
  - Single non-human-rated engine for science and lunar cargo
  - Cluster non-human-rated engines for lunar or Mars cargo
  - Cluster human-rated engines for human Mars or asteroid exploration

# NTP Capability Roadmap





# NTP Capability Roadmap

Spiral 2

**Key DRM Milestones** 



Spiral 4



Spiral 3
## BNTP Capability Roadmap (2005–2015)







#### **BNTP Capability Roadmap** (2015–2030)









- Fission power and propulsion enable/enhance key elements of VSE
- Fission surface power and propulsion systems <u>can be available</u> to support human exploration and science missions within timeframes envisioned by the VSE...

Spiral 3 (2020+) – Surface power & NTP cargo for long-duration human lunar missions Spiral 4 (2025+) – NTP, BNTP, & NEP for cargo & piloted missions to Moon and Mars Spiral 5 (2030+) – Surface power & NTP/BNTP/NEP for human Mars surface missions <u>IF</u> aggressive and sustained technology development efforts are initiated immediately...

- Fuels
- Materials
- Shielding
- **Power Conversion**
- Power Management & Distribution (includes NEP Power Processing)
  - Heat Rejection
  - Propulsion

Significant, but dated technology base exists

Technology (knowledge and art) recapture will be a key

Infrastructure development can pace technology development

**Opportunities exist to leverage technology investments** 





## **Concluding Charts**

#### Joseph J. Nainiger, NASA Glenn Research Center, Chair

**Disclaimer:** 

This report presents the status of work-in-progress. The contents of this report represent a consensus opinion of the CR-2 Team members, and is not the official view of NASA or DOE.

#### Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap Science/Robotic



#### Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap Science/Robotic

#### **Assumed Robotic Science Missions:**



Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap



Capability Team 2: High Energy Power & Propulsion (HEP&P) Top Level Capability Roadmap



## NASA

## **HEP & P Capability Technical Challenges**



- Fission Systems
  - Infrastructure reestablishment (separate chart)
  - Technology capture (i.e., Rover, Nerva, SP-100...)
  - High temperature fuels and materials
  - Shielding
  - Autonomous control
  - Lifetime
  - Dynamic power conversion
  - Heat rejection
  - PMAD
  - High power thruster technology
  - Ground Testing (subsystems and systems)
- Radioisotope systems
  - Lightweight components (power conversion, heat rejection, PMAD)
  - High efficiency power conversion (reduce PU-238 cost)
  - Sub-kW electric propulsion sub-system
  - Infrastructure (separate chart)

- Solar Systems
  - Very large (100s of kWe to MWe), high specific power (300 to 500 W/Kg) solar arrays
  - Ground testing of very large, deployable arrays
  - Radiation resistant solar cells
  - High power thruster technology
- Energy Storage
  - Fuel Cells: Medium power PEM Fuel Cells, Regenerative fuel cells, Small fuel cells
  - Primary Batteries: High specfic energy, RAD hard Low temperature batteries
  - Secondary Batteries: High Specfic energy, Long Life, RAD Hard, Low Temp. Batteries
  - Fly wheels:



## **Infrastructure/Facility Needs**



#### Fission Systems

- Fuels and materials fabrication
- Fuels & materials irradiation facilities
- Physics criticals facilities
- Ground test facilities
- Fast-spectrum Test Reactors
- Large EP thruster test facilities
- Vehicle integration facilities
- Launch site facilities
- Fuel & reactor shipping & transportation facilities
- Hot hydrogen test facilities
- Radioisotope Systems
  - Domestic production of Pu-238 (5 kg/year)
  - Increase purchase quantity of Russian PU-238 to supplement
  - Increase capabilities to assemble larger RPSs
- Solar Systems
  - Testing of large photovoltaic arrays
  - Large EP thruster test facilities
- Energy Storage Systems











- The High Energy Power and Propulsion (HEP & P) Roadmap Team has been pleased to present to the NRC panel our interim roadmap results to date
- We have addressed the four questions given to this panel for evaluation, i.e.,
  - Do the capability roadmaps provide a clear pathway to (or process for) technology and capability development?
  - Do the capability roadmaps have connection points to each other when appropriate?
  - Are technology maturity levels accurately conveyed and used?
  - Are proper metrics for measuring the





- Adjust roadmaps as appropriate based on verbal feedback from NRC review
- Initiate more interaction with other Capability Roadmap Teams to exchange capability requirements and data
- Receive the draft Strategic Roadmaps
- Review and assess all applicable Strategic Roadmaps and their requirements for HEP & P capability
- Adjust HEP & P roadmaps as appropriate to ensure consistency with Strategic Roadmaps requirements
- Develop rough order of magnitude cost estimates for the HEP & P Capability Roadmap
- Prepare for 2<sup>nd</sup> NRC Review which will address 4 additional questions:
  - Are there any important gaps in the capability roadmaps as related to the strategic roadmap set?
  - Do the capability roadmaps articulate a clear sense of priorities among various elements?
  - Are the capability roadmaps clearly linked to the strategic roadmaps, and do the capability roadmaps reflect the priorities set out in the strategic roadmaps?
  - Is the timing for the availability of a capability synchronized with the scheduled need in the associated strategic roadmap?





## **Backup Slides for Introduction and Conclusion For CR-2**

## Click to add subtitle



- Each sub-team has been given the same set of initial requirements from which more detailed requirements will be determined
  - Lunar Roadmap Framework: Short Stay
  - Lunar Roadmap Framework: Long Stay
  - Lunar DRM TP2001
  - Lunar Robotic Science DRM
  - Mars Roadmap Framework
  - Mars FY03 NEP Architecture
  - Mars NASA SP2
  - Mars NASA SP-6107
  - Mars TP 2002
  - Mars Robotic Science DRM
  - Outer Solar System Science DRM



## **HEP & P Relevance**





SEP/Chemical Mars Transport Stage







Nuclear Fission Mars Power Systen Radiosotope Powered Cart



**Nuclear Thermal Propulsion Piloted Vehicle** 



Photovoltaic Powered Robotic Lunar Lander

High Energy Power & Propulsion



Nuclear Fission Lunar Power System



Photovoltaic Powered Mars Rove









Radioisotope Powered **Deep Space Probe** 



Photovoltaic Mars Power System





- The Spiral definitions given by ESMD were used as a basis for implied power and propulsion requirements/needs for human exploration
- Develop a human-rated lunar fission power system that is extensible to Mars for long-duration missions
- The current NASA Prometheus Nuclear Program has initiated preliminary technology development in advanced power conversion and electric propulsion
- Roadmap activity will highlight the need for capability choices/decisions without actually making those decisions
- Although cognizant of cost/budget issues, the team has not yet prioritized developments based on budget
- Multi-hundred kW to MW size space solar arrays are achievable



## **Strategic/Capability Relationship Example**

	Fo B - Na			S	rat	egi	с К	oac	lm	sde			
	e Added: notech	Universe Origins, Evolution & Destiny	Sun-Farth System Science	Space Station Assembly & Research	Space Shuttle/New Launch Transition	Solar System Science & Exploration	Nuclear Systems	Mars Science & Exploration	Lunar Exploration	Extrasolar Planet Science & Exploration	Exploration Transportation System	Earth System Science	Air Transportation
6	. Modeling, Simulation & Analysis												
-	onomous Systems & Robotics												
	h Capacity Telecom. & Info. Transfer												
	u miningi i o no i o no in												
	nan Exploration Systems & Mobility												
	nan Health & Support Systems												
	nan Planetary Landing Systems												
	situ Resource Utilization												
	space Transportation												
	nch Vehicles												
	ootic Access to Planetary Surfaces												
<u> </u>	מדווות ודסוימדותים מיססוס												
	tceports/Launch Ranges												
- <del>?</del> -	. Eng. Risk & Analysis												

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#### HEP & P Connection Points with Other Capability Roadmaps



High Energy Power and Propulsion	Capability Flow and Criticaltiy	Related Roadmap	Nature of Relat
Sub-Topic or Subsidiary Capability		Sub-Topic or Subsidiary Capability	
		Human Exploration Systems &	
Surface Power (PV/Radioisotope)		Crew Mobility/Surface rovers	Power sources requ crew surface rovers
Surface Power (PV/nuclear fission)		In-Space Assembly Large & Intermediate Scale Assy	High Power needec cranes, tools, etc.
Component Technologies/PMAD	$\rightarrow$	In-Space System Deployment Electrical & Data Interconnects	Power managemer distribution equipme
		In Situ Resource Utilization	
Surface Power (PV/nuclear fission)		Resource Extraction; excavation, drilling, etc. Resource Processing; consumable(O2), fuel, feedstock, etc. production In situ manufacturing	All of these ISRU pr will depend upon hig power/enegry sourc
		Human Health & Support Systems	
Component Technologies; Batteries, PMAD		Life Support & Habitation; EVA(Portable Life Support Systems)	Advanced batteries power supplies
Surface Power(PV/nuclear fission)		Life Support & Habitation; Advanced life support, habitats	High power system: needed to support ł activities
		In-Space Transportation	
Component Technologies: Fuel tanks & ancillary components, guidance & nav, avionics, vehicle health management		All Human & Robotic Earth, lunar, and planetary ascent and descent stages	Advanced technolo: components will ent may enable In-Spac Transportation cap∉
		Nanotechnology	
Component Technologies		Advanced Nano-Scale Materials & Concepts for Nano-Scale Devices; Nano-to-Micro Systems Integration	Battery electrode m quantum dot PV, et

# NASA

### HEP & P Connection Points with Other Capability Roadmaps (continued)



	Robotic Access to Planetary	
Surface Power (PV/Radioisotope)	Surface Access: Mobility	Power sources requ surface rovers, clim
Surface Power (PV/Radioisotope)	Surface Material Access and Processing	Power for drilling, co sample acquisition, transfer.
Component Technologies (PV, GPHS, batteries, PMAD)	Aorial Systems	Power for planes, g balloons, etc.
	System Engineering Cost/Risk	
All Sub-topics in High Energy Power & Propulsion	All system engineering sub-topics.	All elements of syste engineering can be to conceptual decis design, developmen cost & risk analyses
	Communications & Navigation	
Component Technologies/PMAD	All communications systems types; power supplies	High efficiency pow are often needed fo performance comm
High Energy Propulsion Systems/Guidance & Nav	Comm/navigation	Comm system play: in nav.
	Advanced Telescopes &	
Component Technologies (solar cells, photovoltaic arrays, energy storage,thermal heat rejection, power management and distribution, material and structures, guidance and Nav, avionics)	Filled aperture systems, interferometers, formation flying, microwave systems, gravity wave observatories	All advanced telesc observatories requi component technolo described. Future v systems will require power capabilities. technologies in thes would be enhancing
	Transformaitonal Spaceport/Range Technologies	
Surface Nuclear Fission Power Systems High Energy Nuclear Electric & Nuclear Thermal Propulsion Systems	Vehicle-Independent Spaceport System Capabilities: Advanced Servicing Systems, Rapid Transportation, Handling & Assembly, Inspection & System Verification Integrated Space- & Ground-based Pange System Capabilities: Decision	New/large nuclear s may require new sp capabilities.
Red - Critical		
Blue - Moderate		





## **Backup Charts For Solar Systems**





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#### **Teledesic Solar Array**



#### **Ultraflex Array**



#### Hubble Space Telescope



PUMA rigid array





## **Capability for Exploration Propulsion**



#### • SEP Lunar Cargo Vehicles

- 0.1 1 MWe Spacecraft power (dependent on payload mass)
- 50 100 kW versions of SOA thruster concepts (Hall/Ion)
- Near term solar arrays (500 W/kg)
- 200 kW advanced array comparable in size to ISS arrays
- 1 year round trip, reusable

- SEP Mars Transportation Vehicles
  - 2 10 MWe envisioned for Lunar/Mars Applications
  - 500 kW MW thrusters (Hall/Ion/Advanced)
  - Advanced solar arrays (1000 W/kg)
  - Large, lightweight deployable structures
  - ~ 2 year trip time, possible reuse



0.5 MWe SEP Mars Cargo (1999)\*







## **Backup Charts for Energy Storage** Systems



#### **Future Mission Requirements for Capability Area: Energy storage**



Category	Mission Type	Driving Requirements	SOP Capability	
Human Exploration Missions	Lunar/Mars Surface Mission: Habitat/Outposts	Very High (MWh) energy Storage Capability & High Specific Energy (>500 Wh/kG)	Hundreds of Kwh 30-90 Wh/kg	10X Energy storage capability 5-10 X Higher Specific Energy
Human Exploration Missions	EVA: Suit, Astronaut Equipment	Very High Specific Energy Rechargeable Battery/Fuel Cell ( > 300 Wh/kg) with Long Life	100 Wh/kg with six month operational life	3x Higher specific energy Longer life
Human Exploration Missions	Crew Transportation Vehicle: CEV	High power (5-30 kW), Low Mass (> 200 W/kg) and Low Maintenance Fuel Cells, 5000 hours Operating life	10 kW, 90 W/kg alkaline fuel cells that require periodic maintenance( 2600 hours)	2-3 X Hgher specific power Long Life
Robotic and Human Exploration Missions	Solar powered surface missions: Rovers, Landers	High Specific Energy (>200 Wh/kg) rechargeable batteries with low temperature operational capability (<-80 C)	-20 C rechargeable batteries ( 70 Wh/kg)	2X Higher specific energy Very low temperature operation
Robotic Exploration Missions	Outer Planetary Probes and sensor networks	Low mass and compact primary batteries(500 Wh/kg) with low temperature operational capability (<-80 C)	-20 C primary batteries (150 Wh/kg)	2-3 X Higher specific energy Long life, Very low temperature operation
Robotic Exploration Missions	Orbital Spacecraft: Earth Orbiters. Planetary Orbiters	Low mass (> 100 Wh/kg) rechargeable batteries with Long Life Capability (>20 years), •Radiation resistance (5-20 M Rads)	30 Wh/kg with > 15 year life	2-3 X Higher specific energy Long life Rad hard
Robotic Exploration Missions	Inner Planetary Probes	High Temperature Primary and Rechargeable Batteries (400 C)	0-60 C	High Temperature operation



#### Candidate Advanced Storage Systems and Capability Readiness Levels of SOA Systems

	Driving Capability Requirements	Candidate Adv.	Current	Required	
Mission Type		Technology	CRL	Date for CRL3	Planning & Integration Office
Lunar/Mars Surface Missions: Habitat	MWh energy Storage Capability	Regenerative Fuel Cells	2	2015	•
/Out Posts		Fly wheels			
EVA: Suit, Astronaut Equipment	Low mass and compact rechargeable energy storage system ( > 300 Wh/kg)	Adv Rechargeable Batteries Small Fuel cells	2	2015	
Crew Transportation Vehicle: CEV	High power (20-40 kW) Low Mass (> 200 W/kg) Low Maintenance Fuel Cells	PEM Fuel Cells and Advanced Hydrogen and Oxygen Storage	2	2010	
Solar powered surface missions: Rovers, Landers	Low mass(>150 Wh/kg) rechargeable batteries with low temperature capability (<-80 C)	Adv Li rechargeable Batteries	2	2012, 2015	
Outer Planetary Probes and sensors	Low mass (> 500 Wh/kg) and compact primary batteries with low temperature operational capability (<- 80 C)	Advanced Li rimary batteries	2	2010, 2015	
Orbital spacecraft: Earth orbiters, Lunar Orbiters, Planetary Orbiters	Low mass (> 150 Wh/kg) rechargeable batteries with Long Life Capability (>20 years), •Radiation resistance (5-20 M Rads)	Adv. Li-Ion/Li- Polymer Rechargeable Batteries	2	2010-2015	-
Inner Planetary Probes	High Temperature Primary and Rechargeable Batteries (400 C)	High Temperature Na/Li Batteries	1		



#### Summary of Energy Storage Technology Needs of Robotic Science Missions

- Low temperature primary(<-100°C) and rechargeable (<-60°C) batteries for planetary probes and mars surface missions
- High temperature batteries (> 475 ° C) for inner planetary missions
- Long calendar life ( >15 years), high specific energy ( >120 Wh/kg) & radiation tolerant rechargeable batteries for outer planetary missions
  - Long cycle life (>30,000 cycles) and high specific
    energy (>120 Wh/kg) rechargeable batteries for
    Mars and earth orbital SEC, SEU & origins missions
    High specific energy primary batteries (>500 Wh/kg)
    for comet/asteroid probes



















## haracteristics of SOP Primary Batteries



	Application	Mission	Specific	Energy	Operating	Mission	SSUCS Lanning &
Туре			Energy, Wh/kg (b)	Density, Wh/l (b)	Temp. Range, °C	Life (yrs)	
	Cell		238	375	-40 to 70	<10	
Li-SO <sub>2</sub>	Battery	Galileo Probe Genesis SRC MER Lander Stardust SRC	90-150	130-180	-20 to 60	9	Voltage Delay
	Cell		390	878	-30 to- 60	>5	
Li-SOCI <sub>2</sub>	Battery	Sojourner Deep Impact DS-2 Centaur Launch batteries	200-250	380-500	-20 to 30	< 5	Severe voltage delay
Li-CF <sub>x</sub>	Cell		614	1051	-20 to 60		Poor power capability

#### **Limitations**

- Moderate specific energy (100-250 Wh/kg)
- Limited operating temp range (-40 C to 70°C)
- Radiation tolerance poorly understood
- Voltage delay





#### Characteristics of SOA Primary Pattories

Туре	Application	Voltage (a)	Specific Energy, Wh/kg (b)	Energy Density, Wh/I (b)	Specific Power, W/kg (c)	Operating Temp. Range, °C	Capacity Loss % Per Year	Mission Life (yrs)	Manufacturer	Configuration
Ag-Zn	Cell	1.61	200	550	1100	0-55	60	1	Yardney	Prismatic
	Typical Launch Vehicle	28	119	283	118	5 to 40	60	1	Eagle Picher	Manually Activated
Li-SO <sub>2</sub>	Cell	2.9	238	375	682	-40 to 70	<2.5			Cylindrical
	Galileo Probe Battery	38	91	147	261	-15 to 60	<2.5	9	Alliant Tech	Three 13 cell batteries
	Genesis Battery	24	142	127	402	-20 to +30	<2.5	6	SAFT	Two 8 cell batteries
	MER	30	136	388	390	0 to 60	<2.5	5	SAFT	Five 12 cell batteries
	Stardust	20	192	182	519	-26 to +50	<2.5	10	SAFT	Two 8 cell batteries
Li-SOCl <sub>2</sub>	Cell	3.2	390	878	139	-30 to- 60	<1			Cylindrical
	Sojourner	9	245	514	102	-20 to 30	<1	5	SAFT	Three 3 cell batteries
	Deep Impact	33	221	380	106	-20 to +30	<1	4	SAFT	Three 13 cell batteries
	DS-2	14	128	339	64	-80 to +30	<1	4	Yardney	Two 4 cell batteries
	Centaur Launch batteries	30	200	517	83	-20 to +30	<1	6	Yardney	One 9 cell batteries
Li- BCX	Cell	3.4	414	933	148	-40 to 70	<2		Wilson GB	Cylindrical
	Astronaut Equipment	6	185	211	115	-40 to +72	<2	3	Wilson GB	2 cell radio batteries
Li-CF <sub>x</sub>	Cell	2.6	614	1051	15	-20 to 60	<1		Eagle Picher	Cylindrical DD
	Range Safety battery	39	167	149	14	-20 to 60	<1		Eagle Picher	15 Cell Battery



## **Characteristics SOP Rechargeable Batteries**

Technology	Mission	Specific Energy, Wh/kg	Energy Density, Wh/l	Operating Temp. Range, <sup>o</sup> C	Design life, Years	Cycle life	Gues Planning & Integration Offic
Ag-Zn	Pathfinder Lander	100	191	-20 t0 25	2	100	Electrolyte Leakage Limited Life
Ni-Cd	Landsat, TOPEX	34	53	-10 to 25	3	25-40K	Heavy Poor Low Temp. Perf.
Super Ni -Cd	Sampex Battery, Image	28-33	70	-10 to 30	5	58K	Heavy Poor Low Temp. Perf
IPV Ni -H <sub>2</sub>	Space Station, HST, Landsat 7	8-24	10	-10 to 30	6.5	>60K	Heavy, Bulky Poor Low Temp. Perf
CPV Ni-H <sub>2</sub>	Odyssey, Mars 98 MGS, EOS Terra Stardust, MRO	30-35	20-40	-5 to 10	10 to 14	50 K	Heavy, Bulky Poor Low Temp. Perf
SPV Ni -H <sub>2</sub>	Clementine, Iridium	53-54	70-78	-10 to 30	10	<30 K	Heavy Poor Low Temp. Perf
Li-lon	MER -Rover	90	250	-20to 30	1	>500	Limited Life

#### Limitations of Ni-Cd & Ni-H2 batteries:

- Heavy and bulky
- Limited operating temp range (-10°C to 30°C)
- Radiation tolerance poorly understood.



Technology	Use	No of	Ah	Operating	Specific	Energy	Operating	Design	Cycle life	Manufacturer
		Batteries /	Rated/actual	Voltage	Energy,	Density,	Temp.	life,	to Date	
		Cells in Bat		_	Wh/kg	Wh/I	Range, °C	Years		
Ag-Zn	Cell	1	40/58	1.5	128	248	-20 to 25			BST
	Pathfinder Lander	1/18	40/58	27	100	191	-20 t0 25	2	100	Yardney
Ni-Cd	Standard 50 Ah	1	50/62	1.25	37	111	-20 to 25	3		Gates
	Landsat	3/22	50 /60	22-36	34	53	-20 to 26	3	25K	MDAC
	TOPEX	3/22	50/60	22-36	34	53	-10 to 30	3 to 5	40K	MDAC
Super Ni-Cd	9 Ah Cell	1	9/12	1.25	31	93	-20 to 30	15		EPI
	50 Ah Cell	1	50/63	1.25	32	100	-20 to 30	15		EPI
	Sampex Battery	1 /22	9/12	28	28	72	-20 to 30	5	58K	EPI
	Image	1/ 22	21/24	28	33	71	-20 to 30	5	14K	
IPV Ni-H <sub>2</sub>	IPV Cell	1	98/83	1.25	48	71	-10 to 30		10	EPI
	Space Station	6/76	81/93	48	24	8.5	-10 to 30	6.5	11K	Boeing
	HST	6/22	80/85	28	8	4	-10 to 30	5	65K	EPI
	Landsat 7	2/17	50 / 61.7	24			-10 to 30	5	>50K	LMAC
CPV Ni-H <sub>2</sub>	CPV Cell	2	16/17.5	2.50	43.4	77	-10 to 30	10		EPI
	MIDEX MAP	1/11	16/17.5	28	36	21	-10 to 30	5	50K	
	Odyssey	2/11	16/17.5	28	36	21.1	-3 to 8	10 to 14	1K	LMAC
	Mars 98	1/11	16/17.5	29	37	41	5-10	3		LMAC
	MGS	2/16	20/23	20	35	25	5-10	1 Mars Vr	50K	LMAC
	FOS Terra	2/54	50/	67		21	-5 to 10	5		
	Stardust	1/11	16/17.5	28	36	21	-5 to 11	7	1135	LMAC
		.,					0.0.1	-	davs	
									<i>j</i>	
SPV Ni-H <sub>2</sub>	SAR 10065	1/12	50/60	28	54.6	59.3	-10 o 30	10		JCI/EPI
	Clementine	1/22	15/18	28	54.8	78	-10 to 30	200	200	JCI/NRL
				_		_		cvcles	cvcles	
	Iridium	1/22	60/70	28	53.4	67.7	-20 to 30	3 - 5	50K	JCI/ EPI
Li-Ion	Cell	1	8.6/10	4.0	133	321	-20 to 30	1		Yardney
	MER-Rover	2/8	16-20	28	90	250	20 to 30	1	n/a	Yardney









## Fuel Cells In Space



Туре	Gemini	Apollo	Shuttle
No. Flights	7 (#5 -12)	All	All
Manufacturer	General Electric	Pratt & Whitney	United Technologies
Туре	PEMFC	AFC	AFC
Fuel Cell Modules	3 - 350 W	3	3
Peak Power	1 kW	2.3 kW	36 kW
Power Module (continuous)	500-620 W	1.5 kW	14 kW
Cell temperature (°C)	40 to 60	200-250	83 - 105
Voltage	23.3 - 26.5V	26 - 31 V	26.5 - 32.5V
Fuel Cell Stack Mass	31	110	91
H <sub>2</sub> Storage pressure	210 to 250 psi	245 psi	290-290 psi
O <sub>2</sub> Storage pressure	800 psi	900 psi	850-950 psi
Electrolyte	Sulfonated polystyrene	85% KOH	30 - 40 % KOH
Efficiency	50 - 60%	60%	61.8% @6 kW
Service life	400 - 800 Hrs@ 0.5kW	400 -1500 Hr@ 1kW	2000 Hrs@ 4.5 kW
Time in Space	840 Hrs	1995 Hrs	Serviced 2000Hrs

# Characteristics of SOA Alkaline



Characteristic	Alkaline Fuel Cell
Specific Power,	90
Watts/kg	155
Power Density,	
Watts/liter	
Efficiency	70%
Maintenance frequency	Every 2600 h
Differential Pressure	41 kPa
Limit	
Operating Temperature	90°C
Failure Mechanisms	Attack of epoxy frames and
	Noryl insulator plates by
	КОН.



#### Technology Status

- Small capacity cells & batteries are being used in several commercial applications( > 100 Wh/kg, <500 cycles)</li>
- Work in progress to develop cells and batteries for aerospace & DoD applications
  - Low temperature (-20 C) & limited cycle life (1000 cycles) batteries developed and qualified for Mars surface missions (TRL 8-9)
  - Technology infused to Mars surface missions (Spirit and Opportunity rovers)
  - Batteries under qualification for aircraft applications
- TRL: Long life batteries (3-4), -60 C batteries (2-3)

**Mission Benefits** 

- Enabled MER (3-4 X mass and volume savings, -20 C)
- Outer planetary orbiters/fly-by (Mass and volume)
- Mars/Earth orbiters (Mass and volume)
- Mars surface missions( Low temp.operation)

#### **Technical Issues**

- Limited Cycle Life
- Limited Calendar Life
- Safety





Battery Level:	SOA Li -lon	Adv. Li-lon
Specific Energy (wh/kg)	90	200
Energy Density (wh/l)	180	400
Cycle Life (30% DOD)	15 K	> 30 K
Calendar Life (years)	3	> 15
Operating Temperature	-20 to 30	-60 C to 60 C


## **Li-Polymer Batteries**

- Two types: Gel Electrolyte, Solid Polymer
- Gel polymer electrolyte batteries in use in commercial applications( > 120 Wh/kg, <500 cycles). Similar to Li-Ion batteries
- True solid polymer electrolytes under development
  - SOA electrolytes:  $10^{-5}$  S/cm (Goal :  $10^{-3}$  S/cm )
  - TRL: (1-2)

### Advantages

- Mass and volume savings ( 4-5 X Vs SOP)
- Long Life ( > 15 years)

### **Mission Benefits**

- Outer planetary orbiters/fly-by (mass & volume)
- Mars/Earth orbiters (mass & volume)

### **Technical Issues**

- Poor electrolyte conductivity
- Hermetic sealing of cells
- Life



(Current Collector)

Electrolyte

### **Potential Capabilities**

Cathode

Battery Level:	SOA (Gel)	Adv. Polymer
Specific Energy (wh/kg)	100	150
Energy Density (wh/l)	200	300
Cycle Life (30% DOD)	5k	> 30 K
Calendar Life (years)	2	15



## Li-Solid Electrolyte Batteries

### chnology Statu

- Micro-batteries, with 70 microAh/cm<sup>2</sup> have been developed for memory back-up and low-power MEMS applications.
- Long cycle life (> 20 K) demonstrated
- TRL: 1-2

### **Advantages**

- Mass and volume savings ( 4-5 X Vs SOP)
- Long Life ( > 20 years

### **Mission Benefits**

- Outer planetary orbiters/fly-by (mass & volume)
- Mars/Earth orbiters (mass & volume)

### **Technical Issues**

- Poor electrolyte conductivity
- Low area-specific capacity
- Scale up to large capacity cells





### **Potential Capabilities**

Battery Level:	SOA	Adv. Solid State			
Specific Energy (wh/kg)	n/a	>200			
Energy Density (wh/l)	n/a	>300			
Cycle Life (30% DOD)	20 K	100 K			
Calendar Life (years)	> 3Y	20			
Operating Temperature	0 to 40°C	0 to 100 °C			

## ASA PEM Fuel Cells

#### Technology Status

- > 30 kW PEM fuel cell systems developed for EV applications
- 50-500 W Hydrogen-air systems are under development for DOD applications
- 5-10 kW PEM fuel system is being developed for RLV applications
- TRL: 4

#### **Technical Issues**

- H2 & O2 storage
- System complexity
- Life validation

#### **Advantages**

- High specific energy (500 Wh/kg)
- Mission Benefits
  - Crew Exploration Vehicles
  - Human Lunar Exploration Missions
  - Human Mars Exploration Missions

### Current programs

DOE EV program NASA RLV Program



Catalytic electrodes

### **Potential Capabilities**





- Two Types:
  - Fixed-Axis Energy-Only System
  - Fixed-Axis Energy/Momentum System
- Engineering model units fabricated and tested (25-30 Wh/kg)
- TRL: 3

Advantages

- High usable Specific energy (> 75 Wh/kg)
- Long cycle Life (> 50,K Cycles @ high DoD)
- Wider operating temperature range (-40 C to 100 C)
- Probable radiation tolerance (> 5 Mrads)

**Mission Benefits** 

LEO/GEO missions

Space Station

### **Technical Issues:**

- Miniaturization
- Safety
- Reliability

### Current programs

- NASA Code-R Program
- AFRL FACETS Program



Fixed-Axis Energy-Only System

### **Potential Capabilities**

### **Characteristics of Advanced Rechargeable Batteries**



Characteristic	SOP Ni-H <sub>2</sub>	Li-Ion with	Li-Solid	Li-Solid
		liquid	Polymer	Inorganic
		electrolyte	Electrolyte*	Electrolyte*
Technology	10	5-9	3	1-2
Readiness Level				
Specific energy	30-40	100-150	>200	> 200
(Wh/kg)				
Energy density (Wh/l)	40-50	200-300	300-450	> 300
Cycle life	60,000	1500	1500	>10,000
	(at 30%	(at 100%	(at 100%	at 100%
	DOD)	DOD)	DOD)	DOD
Operating temperature	-5-30 C	-60 to 80 C	0-80 C	0-80 C
Self discharge rate		1% /	0.25% /	0.1% month
		month	month	
Shape factor /packing eff	Poor	Good	Excellent	Excellent





	Ni-H2	Lithium Teo		
Characteristics	Present	Present	Goal	Goal
	State of	State of	5 years	10
	Practice	Practice		years
Specific Energy	30	100	120	200
(Wh/kg)				
Energy Density	10	200	200	400
(Wh/liter)				
Cycle Life at 30%	50,000	10-15,000	30,000	50,000
DOD *				
Calendar Life (years)	15	3	10	15

\* DOD = Depth-of-discharge





Primary Energy Storage	Present	Goal	Goal (10
Characteristics	State of	(5	years)
	Practice	years)	
Specific Energy at 0°C	250	400	600
(Wh/kg)			
Specific Energy at –40°C	100	200	300
(Wh/kg)			
Specific energy at -80°C	50	100	200
(Wh/kg)			
Discharge rate (hrs)	> 20	> 20	> 20



	Lithium Ion Technology							
Characteristics	Present State-of-	5 years	10 years					
	Practice							
Specific energy at 0°C	100	120	200					
(Wh/kg)								
Life Time (yrs)	5 yrs	10yrs	15 yrs					
Cycle Life (# of cycles)	> 500	> 500	> 500					
(80%DOD)								
Low Temperature								
Performance								
Specific Energy at –20°C	70	100	160					
Specific Energy at –40°C	40	80	140					
Specific Energy at –60°C	0	65	120					
Specific Energy at –80°C	0	40	80					
Discharge rate (hours)	>10	> 10	> 10					

## Projected Capabilities of Fly Wheels



	(	Current Values	5	Post-2013			
Parameter	NiH <sub>2</sub>	Li ion	Flywheels	Li-Ion	Flywheels		
energy density	35	35	44	150	70		
orbit time	100	100	100	100	100		
eclipse time	35	35	35	35	35		
DOD	0.35	0.35	0.89	0.35	0.89		
RT efficiency	0.8	0.8	0.95	0.93	0.95		
charge/discharge efficiency	0.9	0.9	0.95	0.9	0.95		
delivered energy	2900	2900	2900	2900	2900		
stored energy	9206	9206	3430	9206	3430		
required energy	4475	4475	3382	3850	3382		
spacecraft power	5524	5524	5233	5524	5233		
battery replenish	4131	4131	3122	3554	3122		
% energy before taper		70	N/A	70	N/A		
% insolation time before taper		55	N/A	55	N/A		
P1		4674		4523			
P2		223		215			
Total Array Power	9655	9655	8355	10047	8355		
storage mass <sup>(1)</sup>	263.0	263.0	78.0	61.4	49.0		
electronics mass <sup>(2)</sup>	27.6	27.6	included	27.6	included		
Subtotal	290.7	119.7	78.0	89.0	49.0		
array mass <sup>(4)</sup>	50.8	50.8	44.0	52.9	44.0		
Subtotal	341.5	173.4	122.0	141.9	93.0		
attitude control sys mass <sup>(3</sup>	47.4	47.4	N/A	47.4	N/A		
Total System Mass	388.9	388.9	122.0	189.3	93.0		
array power density <sup>(5)</sup>	190						
battery electronics density	200						



### Rough Estimated Cost for the Development Long life Rechargeable Batteries

Task	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total
Materials & Cell R&D (TRL 1-3)												
Li Ion Technology-1	1	1	1	1	1							5
Li Polymer/SolidstateTechnology-2	2	2	2	2	2	2	2	1				15
Tech Maturaration TRL(4 to 6)			2	2	2	2	2	2	2	2	2	18
Total Development Cost	3	3	5	5	5	4	4	3	2	2	2	38
DOD Cost Share for Tech Maturation			1	1	1	1	1	1	1	1	1	9
NASA Cost Share	3	3	4	4	4	3	3	2	1	1	1	29

## Low Temperature Rechargeable Battery



### Rough Estimated Cost for the Development Low Temperature Rechargeable Batteries

Task	2005	2006	2007	2008	2009	2010	2011	2012	2013	Total
Materials & Cell R&D (TRL 1-3)										
Li Technology-1	0.6	0.6	0.6	0.6						2.4
Li Technology-2	0.8	0.8	0.8	1.2	1.2	1.2				6
Tech Maturaration TRL(4 to 6)			1	1	1	1.5	1.5	1.5	1.5	9
Total Cost	1.4	1.4	2.4	2.8	2.2	2.7	1.5	1.5	1.5	17.4





### Rough Estimated Cost for the Development Low Temperature Primary Batteries

Task	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	Total
Materials & Cell R&D (TRL 1-3)											
Li Technology-1	0.6	0.6	0.6								1.8
Li Technology-2	0.6	0.6	0.6	0.6	0.6	0.6					3.6
Tech Maturaration TRL(4 to 6)			1	1	1	1	1	1	1	1	8
Total Cost	1.2	1.2	2.2	1.6	1.6	1.6	1	1	1	1	13.4























## Radioisotope Power System (RPS) Capability Roadmap Status

## **Backup Charts**

Disclaimer: This report presents the status of work-inprogress. The contents of this report represent a consensus opinion of the CR-2 XXX Sub-Team members, and is not the official view of NASA or DOE.





- Small RPS (milliwatt/multiwatt) class
  - Small probe and distributed lander applications
  - System design to begin in 2006
- 100 We class
  - Multiple Mars/solar system Science missions + Spiral 1-2 landers/rovers
  - State-of-the-art multi-mission generators
    - Multi-mission RTG (MMRTG under development)
    - Stirling Radioisotope Generator (SRG under development)
  - Advanced (lower mass than SOA + enables REP)
    - No system development planned
    - Low-mass, high-efficiency power conversion under NASA's Radioisotope Power Conversion Technology (RPCT) program
- Kilowatt class (1-2 kWe)
  - Flagship Science missions, REP, Spiral 2-5
  - No system development planned
- Multikilowatt class (5 kWe module)
  - Power/heat option for Spiral 3-5
  - No system development planned









- Recent uses for thermal control
  - MER 03 16
  - Mars Pathfinder (Sojourner) 3
  - Cassini 117
  - Galileo 120
- ~ 70 LWRHUs stored at Los Alamos

## **DOE's Current RPS Production** Infrastructure



## DOE maintains infrastructure

- Nuclear facilities
  - LANL and INL
- Heat source hardware production Stirling
  - ORNL
- Safety analyses
- Pu-238 supply
  - Storing neptunium-237 (Np-237) at INL
  - Interim Russian purchase (using NASA funds)

## NASA funds (through DOE) mission-specific development

- System design/development
- Flight hardware
- Production/acquisition cost of Pu-238







- Consolidation would be complete and operational in late 2010 or 2011
- Storage of Np-237
- Domestic production of 5 kg/year of Pu-238
- Heat source production
  - -Purification of Pu-238 for pellet fabrication
  - -Encapsulation of pellets in Ir
- GPHS module assembly
- RPS assembly and testing
- RPS delivery to NASA

### Non-nuclear heat source hardware production 4





- Increase quantity purchase of Russian Pu-238 to supplement the 5 kg/year domestic production
- Increased purification and encapsulation production rates
- Increased capabilities to assemble larger RPSs
- With appropriate planning and commitment of resources, RPS infrastructure could support expanded exploration missions

	SUCCESSFULLY LAUNCHED BY THE UNITED STATES (1961 - 2003)											
Launch Date	Spacecraft	Mission Type	User	Type of RTG		Initial Average RTG Power	Total Initial Spacecraft Power (W)					
6/29/61	Transit 4A	Navigational	USN / APL	SNAP-3B7	1	2. Odvanced Pla	ming & ntegation Office					
11/15/61	Transit 4B	Navigational	USN / APL	SNAP-3B8	1	2.7	2.7					
9/28/63	Transit 5BN-1	Navigational	USN / APL	SNAP-9A	1	>25.2	25.2					
12/5/63	Transit 5BN-2	Navigational	USN / APL	SNAP-9A	1	26.8	26.8					
4/14/69	Nimbus III	Meteorological	NASA / Goddard	SNAP-19B3	2	28.2	56.4					
11/14/69	Apollo 12	Lunar	NASA / Johnson	SNAP-27	1	73.6	73.6					
1/31/71	Apollo 14	Lunar	NASA / Johnson	SNAP-27	1	72.5	72.5					
7/26/71	Apollo 15	Lunar	NASA / Johnson	SNAP-27	1	74.7	74.7					
3/2/72	Pioneer 10	Outer Planets	NASA / Ames	SNAP-19	4	40.7	162.8					
4/16/72	Apollo 16	Lunar	NASA / Johnson	SNAP-27	1	70.9	70.9					
9/2/72	Triad	Navigational	USN / APL	Transit-RTG	1	35.6	35.6					
12/7/72	Apollo 17	Lunar	NASA / Johnson	SNAP-27	1	75.4	75.4					
4/5/73	Pioneer 11	Outer Planets	NASA / Ames	SNAP-19	4	39.9	159.6					
8/20/75	Viking 1	Mars Lander	NASA / Langley	SNAP-19	2	42.3	84.6					
9/9/75	Viking 2	Mars Lander	NASA / Langley	SNAP-19	2	43.1	86.2					
3/14/76	LES-8*	Communications	USAF / Lincoln Labs	MHW-RTG	2	153.7	307.4					
3/14/76	LES-9*	Communications	USAF / Lincoln Labs	MHW-RTG	2	154.2	308.4					
8/20/77	Voyager 2	Outer Planets	NASA / JPL	MHW-RTG	3	159.2	477.6					
9/5/77	Voyager 1	Outer Planets	NASA / JPL	MHW-RTG	3	156.7	470.1					
10/18/89	Galileo	Jupiter System	NASA / JPL	GPHS-RTG	2	288.4	576.8					
10/6/90	Ulysses	Solar Polar	NASA / JPL	GPHS-RTG	1	283	283					
10/15/97	Cassini	Saturn System	NASA / JPL	GPHS-RTG	3	295.7	887					

\* Two Spacecraft on one Launch

RTGs = 21 Successful Launches with 22 Spacecraft containing 40 RTGs





		Aborted	Launches (All	Launch Vehicle	Problems)		
4/21/64	Transit 5BN-3	Navigation	USN / APL	SNAP-9A	1	25	25
5/18/68	Nimbus B-1	Meteorology	NASA / Goddard	SNAP-19B2	2	28	56
4/11/70	Apollo 13	Lunar	NASA / Johnson	SNAP-27	1	73	73

3 Aborted Launches / 3 Spacecraft / 4 RTGs – 1heat source burned up as designed (Pu metal), 2 heat sources recovered (fuel reused), 1heat source with graphite impact case on ocean floor

RHUs = Galileo (101in FSAR), Cassini (117), Apollo 11 (2 – 15W RHUs), Mars Pathfinder (3), MER03A (8), MER03B (8)





	<u>MMRTG</u>	SNAP	<u>SNAP-19</u>	
		Viking	Pioneer	
Beginning of life (BOL) powe	er (We) 123	42.5	41.2	
Voltage (volts)	28	4.4	4.0	
Mass (kg)	43	15.2	13.6 28 L x 51 D	
Envelope (cm)	66 L x 64 D	40 L x 59 D		
<b>BOL specific power (We/kg)</b>	2.9	2.8	3.0	
<b>BOL thermal inventory (Wt)</b>	2000	683	648	
BOL system efficiency (%)	6.2	6.2	6.3	
BOL T <sub>HJ</sub> /T <sub>CJ</sub> (°C)	535/208	546/174	512/167	
Number of couples	768	90	90	
Couple dimensions (cm)				
N leg	0.589 D x 1.26 L PbTe	e 0.985 D x '	0.985 D x 1.27 L PbTe	
P leg	0.467 D x 0.531 L PbS	SnTe 0.686 D x (	0.254 L SnTe	
-	0.467 D x 0.711 L TA	GS 0.686 D x	1.016 L TAGS	



Alı

# Stirling Radioisotope Generator (SRG110)





<b>Projected powe</b>	er
• BOM	112 We
<ul> <li>14 years</li> </ul>	94 We
• Mass	34 kg
• Length	89 cm
• Diameter	27 cm
• Hot junction 6	б50 •С
<ul> <li>Cold junction</li> </ul>	80 • C
Voltage	28 Volts dc
<ul> <li>Frequency</li> </ul>	80 Hz
<ul> <li>Mean pressure</li> </ul>	370 psia
Design lifetime	14 years



## **PbTe/TAGS** Thermoelectrics







# Hi-Z 676 Element Series-Parallel







Cold side showing series-parallel interconnects

- 26 x 26 elements, 0.010" x 0.010" cross section
- Module size 0.29" x 0.29" x 0.9"
- Welded interconnects

### Series/parallel design

### NASA Radioisotope Power Conversion Technology Program



TE

### **Development Projects**

– Breadboard (TRL 5) demos funded at several \$M/year

TE	Teledyne	Improve performance and manufacturability of segmented Bi-Te with PbTe, PbSnTe and TAGS unicouples. Demonstrate 10-12% efficiencies and >5 W/kg specific powers.	
TPV	Creare	Demonstrate selective emitter-based TPV power generator with simulated radioisotope thermal source. Target 15-20% converter efficiency and ~15 W/kg specific power.	
TPV	Edtek	Demonstrate TPV power generator employing improvements in GaSb PV cell, Frequency Selective	Stirling

## State-of-the-Practice Electric Propulsion for REP

- Missions:
  - Routine use on US and foreign COMSATS (stationkeeping and final insertion)
  - Increasing use for planetary missions
    - Asia (HAYABUSA)
    - Europe (BELI-COLOMBO, SMART-1)
    - USA (DEEP SPACE-1, DAWN)







- Systems (single string)
  - Hall thrusters for lsp less than ~2500 sec
  - Ion thrusters for lsp greater than ~2500 to 3300 sec
  - Powers 2.5 kWe
  - Efficiencies (thruster + PPU) 60%
  - Specific masses (thruster + gimbal + PPU + cabling) 15 kg/kWe



## **REP Performance – Total Spacecraft Mass**



- ~ 500 kg spacecraft to Neptune Orbit (depending on power level)
  - Except for all-Chem (could only deliver 80 kg)
  - REP includes 1.6 kWe spacecraft power, all others 0.2 kWe
- Launch on Delta IV M+(4,2)



## **REP allows lowest total spacecraft mass**







## **Backup Charts for Fission** Systems





## **Exploration Spirals**



- <u>Spiral 1</u> (2008-2014)
  - Provide precursor robotic exploration of lunar environment
  - Deliver a lunar capable human transportation system for test and checkout in LEO
- <u>Spiral 2</u> (2015-2020)
  - Execute <u>extended duration</u> human lunar exploration missions
  - Extend precursor robotic exploration of Mars environment
- <u>Spiral 3</u> (2020+)
  - Execute a <u>long-duration</u> human lunar exploration campaign using the Moon as a testbed to demonstrate systems (e.g., lander, habitation, surface power) for future deployment at Mars
- <u>Spiral 4</u> (~2025+)
  - Execute human missions to vicinity of Mars
- <u>Spiral 5</u> (~2030+)
  - Execute initial human Mars surface exploration mission


## **Space Fission Systems Have Many Developmental Milestones (Demos)**



- All Fission Power and Propulsion Systems
  - Fuel performance
  - Mass, Power, temperature, lifetime, reliability
  - Radiation tolerance
  - Water- and sand-immersion kinetics (Safety Requirements)
  - Startup, power control, transient behavior
  - Shield performance
- NEP
  - PMAD / PPU
  - Thruster performance
- Surface Power
  - Landing
  - Environmental compatibility
  - PMAD
- NTP
  - Engine clustering (if small engine)
- BNTP
  - Bi-modal operation







TRL Level	Definition
9	Actual system "flight proven" through successful mission operations
8	Actual system completed and "flight qualified" through test and demonstration (ground or flight
7	System prototype demonstration in a space environment
6	System/subsystem model or prototype demonstration in a relevant environment (ground or space)
5	Component and/or breadboard validation in relevant environment
4	Component and/or breadboard validation in laboratory environment
3	Analytical and experimental critical function and/or characteristic proof-of-concept
2	Technology concept and/or application formulated
1	Basic principles observed and reported



## **NASA Capability Readiness Levels**



7	Capability Operational
	Readiness
6	Integrated Capability Demonstrated in
0	an Operational Environment
5	Integrated Capability Demonstrated in a
5	Relevant Environment
Λ	Integrated Capability Demonstrated in a
	Laboratory Environment
3	Sub-Capabilities* Demonstrated in a
5	Relevant Environment
2	Sub-Capabilities* Demonstrated in a
2	Laboratory Environment
1	Concept of Use Defined, Capability,
	Constituent Sub-capabilities* and
	Requirements Specified

\* Sub-capabilities include Technologies, Infrastructure, and Knowledge (process, procedures, training, facilities)



\*500-Day Constraint relaxed to 700 days (30 day stay)

**Source**: NASA's Office of Aeronautics, Exploration and Technology, presented to Stafford Synthesis Team in 1991

