National Aeronautics and Space Administration



Overview of NASA's Next Generation Air Transportation System (NextGen) Research





- NASA's Aeronautics Research Portfolio
- Fundamentals of the Current Air Transportation System
- Air Transportation Research Challenges
- Current Research Highlights and Significant Accomplishments
- Concluding Thoughts

NASA Aeronautics Programs

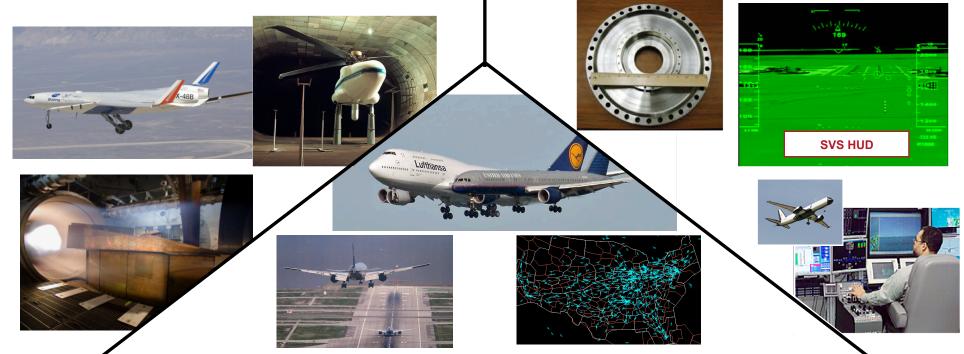


Fundamental Aeronautics Program

Conduct cutting-edge research that will produce innovative concepts, tools, and technologies to enable revolutionary changes for vehicles that fly in all speed regimes.

Aviation Safety Program

Conduct cutting-edge research that will produce innovative concepts, tools, and technologies to improve the intrinsic safety attributes of current and future aircraft.

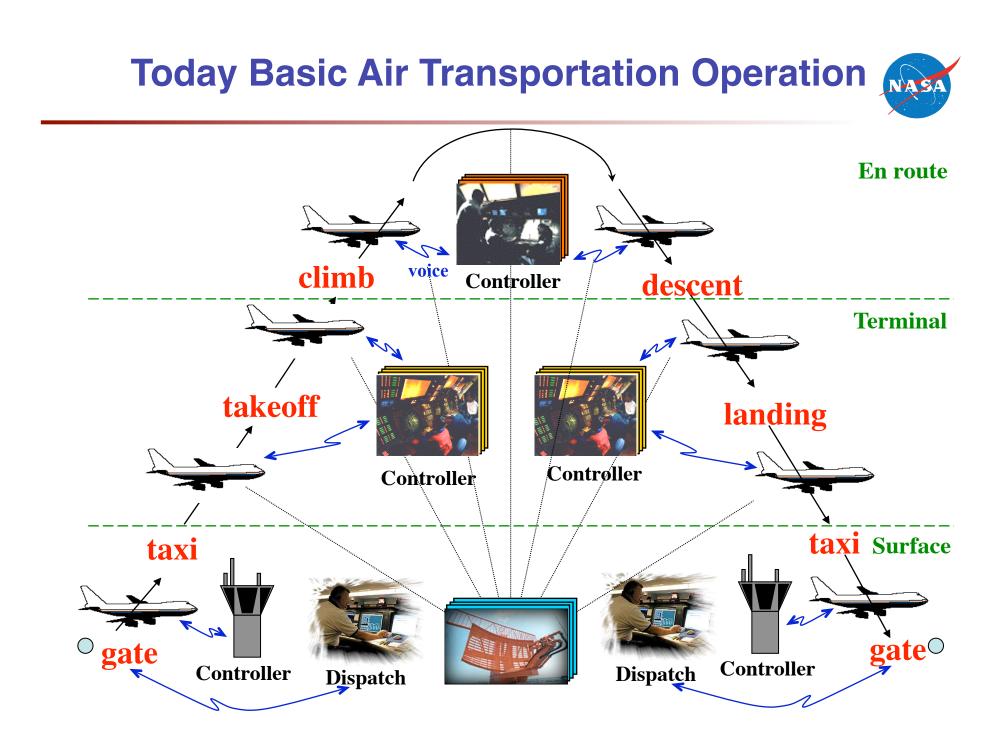


Airspace Systems Program

Directly address the fundamental ATM research needs for NextGen by developing revolutionary concepts, capabilities, and technologies that will enable significant increases in the capacity, efficiency and flexibility of the NAS.



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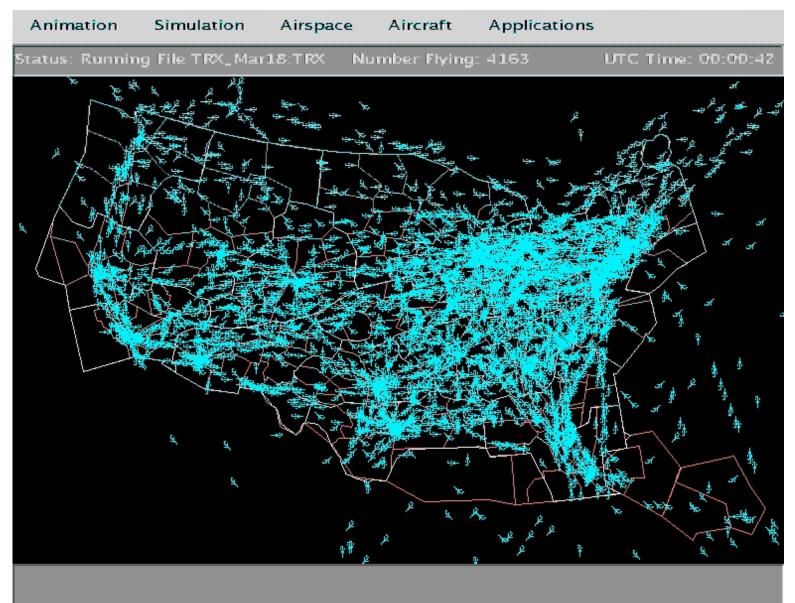


Flight Across the United States Airspace from San Francisco (SFO) to Washington DC (IAD)

Animation Simulation Airspace Aircraft Applications

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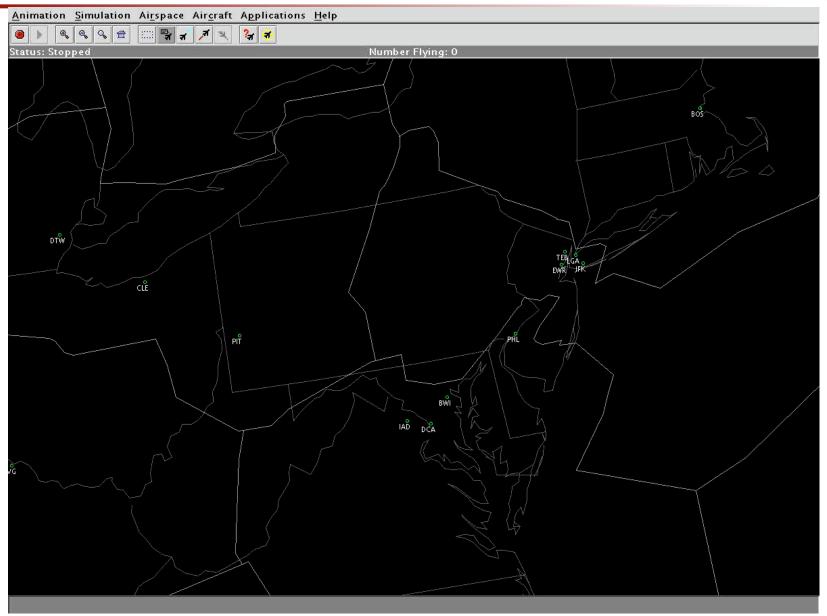
One Day of En-route Operations in the United States (starting at 7 pm Eastern)



One Day of World Wide Air Transportation Operations

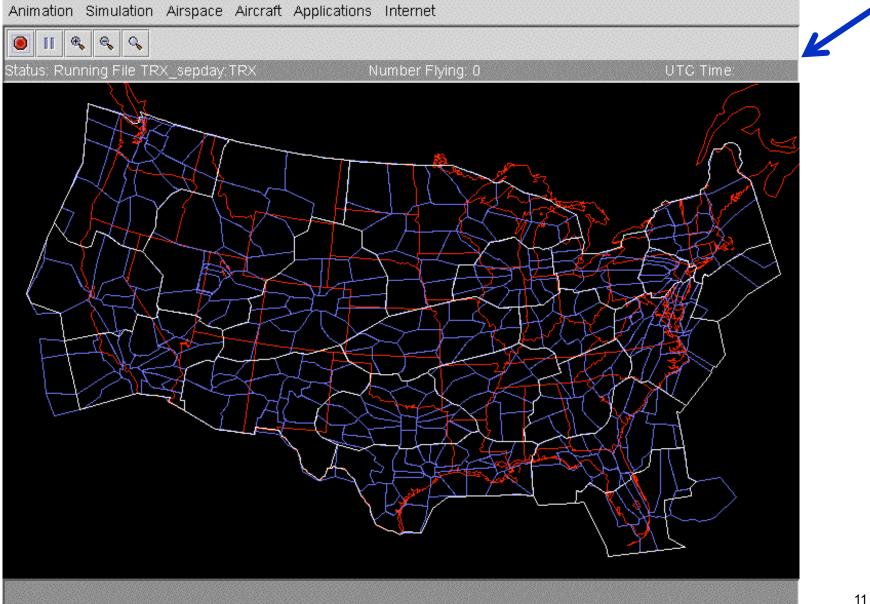


Weather Impacts for Air Transportation Traveling to the New York Area (starting around 7 am local time)



Weather Impacts for Air Transportation Traveling to the NAS Dallas Texas Area (starting around 3 am local time) ÷ Times 10 Replay: 0656 NO REROUTES

Extremely Off-Nominal Conditions (Look for it aound 13:30 UTC 10:30 am local EDT)



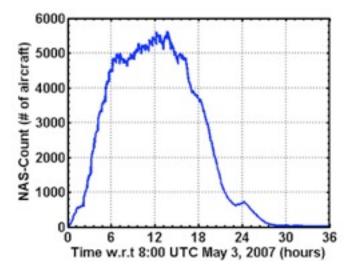
National Airspace System Aggregate Statistics Per Flight



	Time (minutes)	Distance (Nautical- miles)	Fuel Burnt (lbs)
Surface	19	5	474
Climb	16	76	1,911
Cruise	70	465	6,787
Descent	14	68	349

	Min. Time (minutes)	Max. Time (minutes)	Min. Fuel Burnt (lbs)	Max. Fuel Burnt (lbs)
Surface	11	43	274	1,073
Climb	5	56	520	18,704
Cruise	0	1,039	0	375,350
Descent	4	40	175	1,942

	CO2	H₂O	SΟχ	NΟ _X	CO	HC
	(lbs)	(lbs)	(lbs)	(lbs)	(lbs)	(lbs)
Surface	1,659	650	0.5	7	1.6	0.2
Climb	6,698	2,626	2.1	28	6.4	0.6
Cruise	23,781	9,324	7.5	98	22.6	2.3
Descent	1,223	480	0.4	5	1.2	0.1



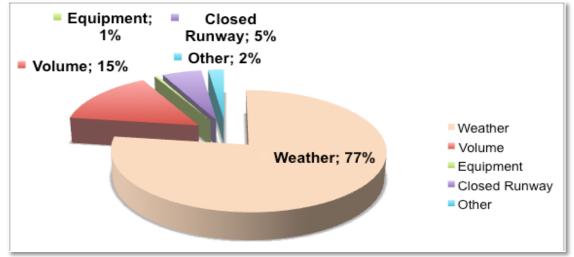
- 24-hours from 8:00 UTC May 3 to 8:00 UTC May 4, 2007.
- High-volume, low-delay day, 56,267 flights (71% jets, 17% turboprops,12% piston).

Complex operations – Multiple facilities, aircraft, people, and equipment Any improvements need to consider many angles – makes vital R&D





Period: September'08 – August'09 (Source FAA), Roughly 25% aircraft get delayed

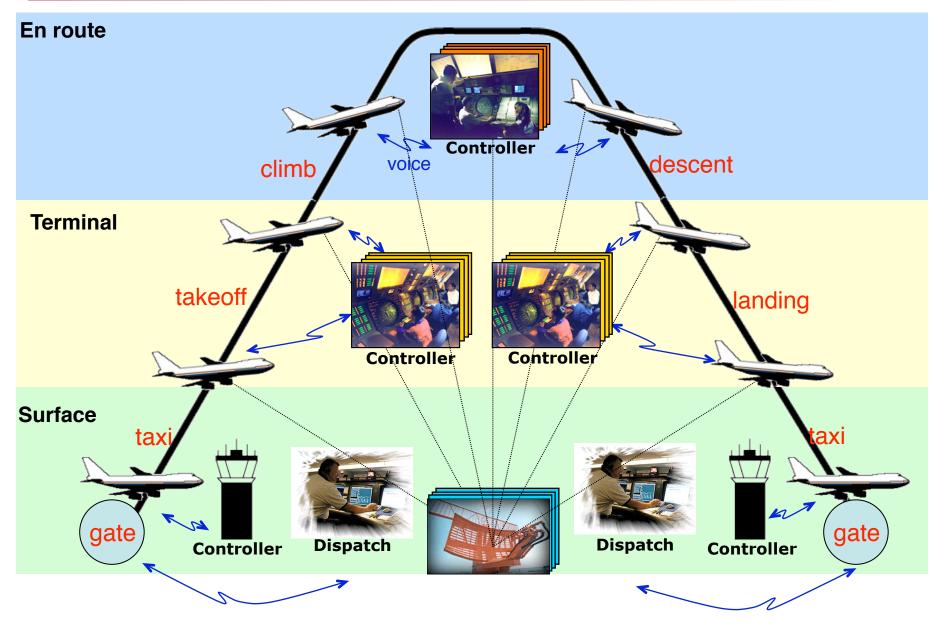


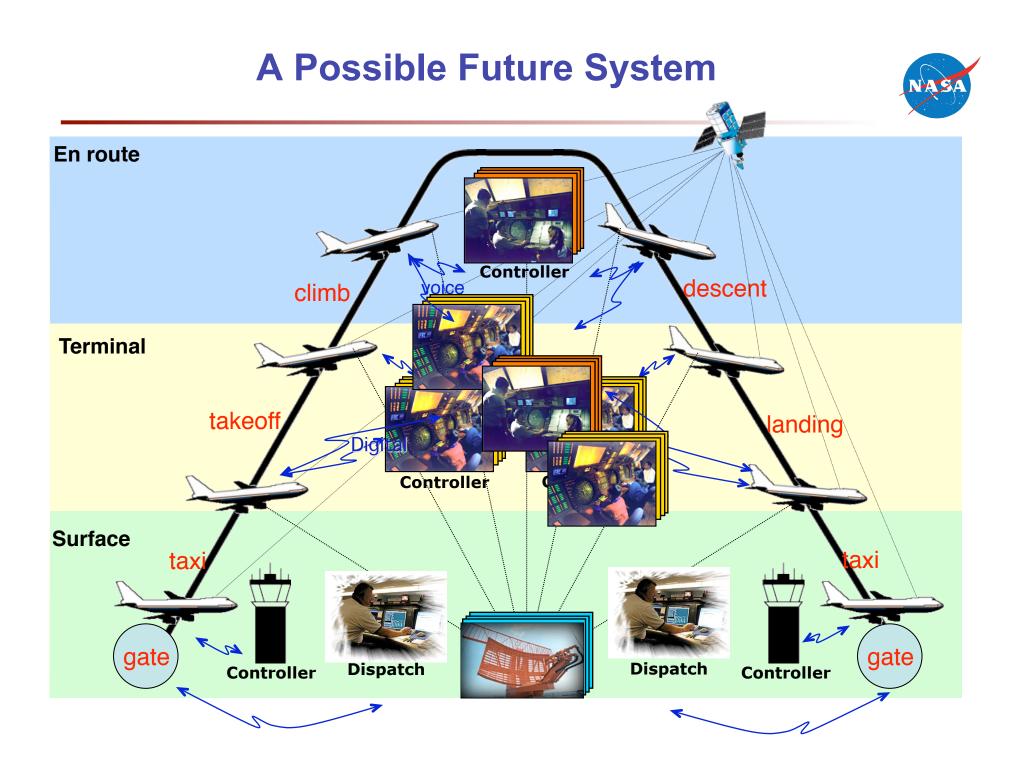
Transportation Systems Analysis Model (TSAM) Predictions

	Daily Flights		Percentage	
	2006	2025	2004	2025
Commercial	28,404	48,349	58.1%	63.9%
Domestic	25,211	41,498	51.6%	54.8%
International	3,193	6,851	6.5%	9.0%
General Aviation	18,052	23,329	36.9%	30.8%
Cargo/Freight	2,419	4,036	4.9%	5.3%
Total	48,875	75,714	100%	155%

Weather is a big delay contributor – Can't change it but we can optimize around it









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NextGen-Airspace Project: Air Transportation Needs to Research



Needs

- On-time arrival/departure (schedule integrity)
- Reduce operator costs (fuel)
- Increase system productivity (aircraft/ operator)
- Minimize impact on environment
- **Design for scalability**
- Safety
- Predictability

Challenges

Weather uncertainty Human workload limits capacity, throughput, and precision delivery

Interactions: arrivals, departures, and surface; and metroplex

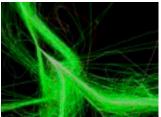
Prediction uncertainty (trajectory, aircraft count, aircraft location)

Mixed equipage

Trade-off between environment and capacity/throughput







Research Threads

Conflict detection and resolution algorithm and analysis

Functional allocation

Safety assessment

Arrival Operations (integrated scheduling, sequencing, and merging and spacing)

Integrated arrival and departure operations

Modeling, simulation and optimization techniques to minimize total system delay

Decision-making under uncertainty (weather integration)

Capacity management

Trajectory requirements Trajectory uncertainty prediction

Trajectory interoperability Trajectory validation

System level impact assessment

Interactions between key research focus areas

Research Focus Area

Separation Assurance

Airspace Super Density Operations

Traffic Flow Management

Dynamic Airspace Configuration

Trajectory Prediction, Synthesis, and Uncertainty

System-level Design, Analysis, and Simulation Tools

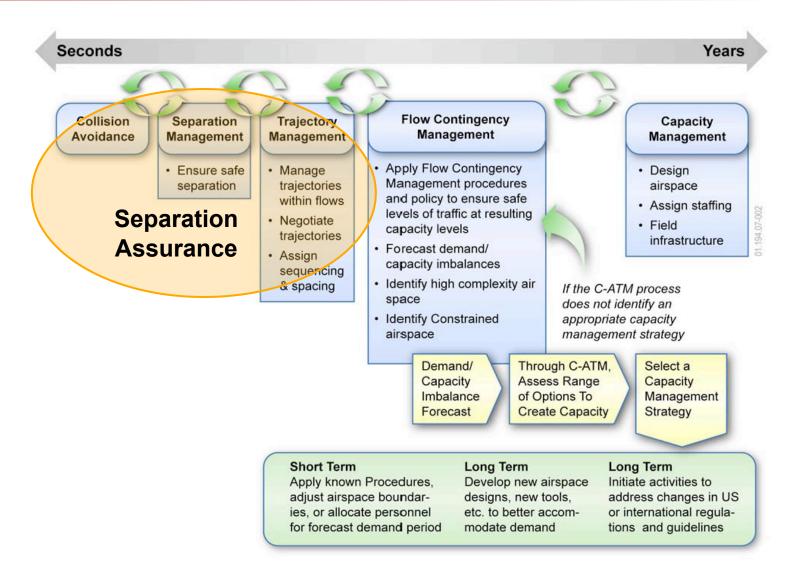
Domain and operations are complex and require sustained R&D to address challenges. NASA has the skills and experience to change the Airspace System.



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SA Elements of Automation for a Future Airspace System





"ATM Decisions-Interactive and Integrated Across Time Horizons" JPDO Concept of Operations for the Next Generation Air Transportation System, Version 2.0, June 13, 2007.

Research Focus Area Separation Assurance



Problem

- Human controller workload limits current airspace capacity
- Mixed equipage must be safely managed

Major Research Threads

- Conflict detection and resolution algorithm development and analysis (aircraft and ground-based)
- Functional allocation
- Safety assessment

Research Being Pursued

- Automation and operating concepts for separation, metering, and weather avoidance in en route and transition airspace
- Concepts and algorithms for higher levels of separation assurance automation
- Efficient (conflict-free) arrivals into capacity constrained airspace
- Airborne and ground-based separation assurance concepts and technologies
- Separation assurance and collision avoidance algorithm compatibility

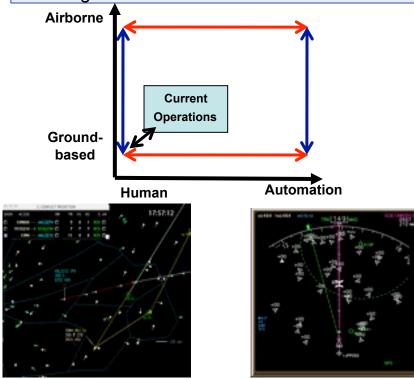
Partners: Lockheed Martin, GE, NRAs (Purdue, Stanford, UC Santa Cruz, L3, LMI, CSU Long Beach, Raytheon, Sensis)

Research Thread: Functional Allocation



Problem/Need

- Identify characteristics, strengths, and weaknesses of various SA concepts
 - Humans vs. automation and aircraft vs. ground-based



Approach

 A series of human-in-the-loop simulations that examine homogeneous, mixed, nominal and off-nominal conditions

Progress

- Functional allocation examination approach planned
- First study preparations underway

Next Step

- Produce comparable results from coordinated studies
- Develop mixed operational concepts
- HITL coordinated concept evaluations (e.g., homogeneous operations, mixed operations)
- Nominal and off-nominal operations

In-trail Climb/Descent Procedures

(Development of ASAS applications in procedural airspace)



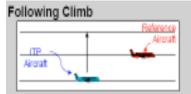
Problem/Need

- Development of airborne
 separation assistance applications
- Specifically focused on in-trail climb/descent procedures in oceanic airspace

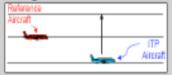


 Developed ADS-B based in-trail climb/descent procedures

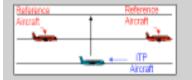
Partners: FAA, Quantas and Air Services Australia







Combined Leading-Following Climb



Following Descent		
ITP	Reference	
Aircraft	_ Arcraft	
	L	
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Combined Leading-Following Descent

Reference	ITP	Л
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Results

- ICAO approved the procedures
- Documentation is completed
- Technology transition

Next Step

 FAA and partner airlines to do a field test in FY11

Aircraft will be able to fly at efficient altitudes and won't be stuck behind slow aircraft due to controller workload – increased productivity and efficiency

Automated Separation Assurance Simulation with Common Definitions



- Functional allocation (air and ground)
- Ground-based and airborne concepts, algorithms, and analysis need to be comparable



Approach

 Develop experiment plans with common scenarios and metrics to enable comparisons

Progress

- Common definitions, scenarios, and metrics have been identified
- Technical plans are approved by project

Next Step

 Simulations in December 2009 and February 2010

Functional allocation research has implications on costs, roles/responsibilities, and architecture

Research Transition Team En Route Descent Advisor (EDA)



Needs/Why Care?

EDA gives speed

clearances and path

stretching advisories

to meet the times

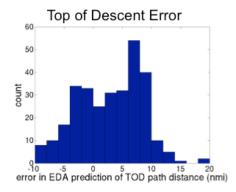
Fuel efficient descents that meet efficient, time-based constraints set up for demand/ capacity imbalance

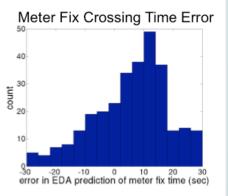
Focus

Technology transfer of En Route Descent Advisor



September 2009 Denver ARTCC Field Test - Preliminary Results





Progress/Results

Field test at Denver Center for descent trajectory prediction accuracy (15 days, 360 flights)

Participants: United and Continental B757, B737, and A319/320, and FAATC's Bombardier

Results: (median: Top of Descent accuracy = 5.5nm, meter fix accuracy = 12 sec) – As compared with current state-of-the art = 1 min

Lesson Learned: Need better top of descent predictions, meter fix accuracy is good

Next Steps

Complete hardware integration testing and overall readiness for HITL

Continue scenario development and testing

Technology transition package

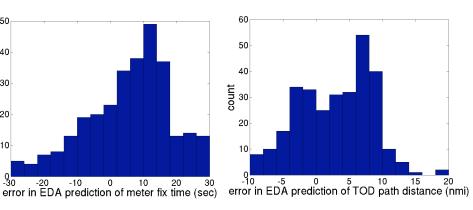
Partners

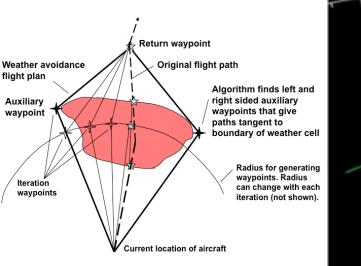
FAA, Sensis, Boeing, United, Continental Airlines, MITRE

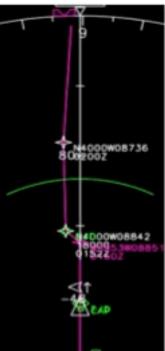
Significant Accomplishments

- Field test to support En Route **Descent Advisor**
 - tunos 20 - Better understanding of trajectory uncertainties (median: meter fix 12 sec, Top of Descent 5.5 nm)
 - May require air/ground coordination for TOD
- Separation assurance technologies are maturing (ground based and aircraft based)
 - Traffic, time, and weather constraints



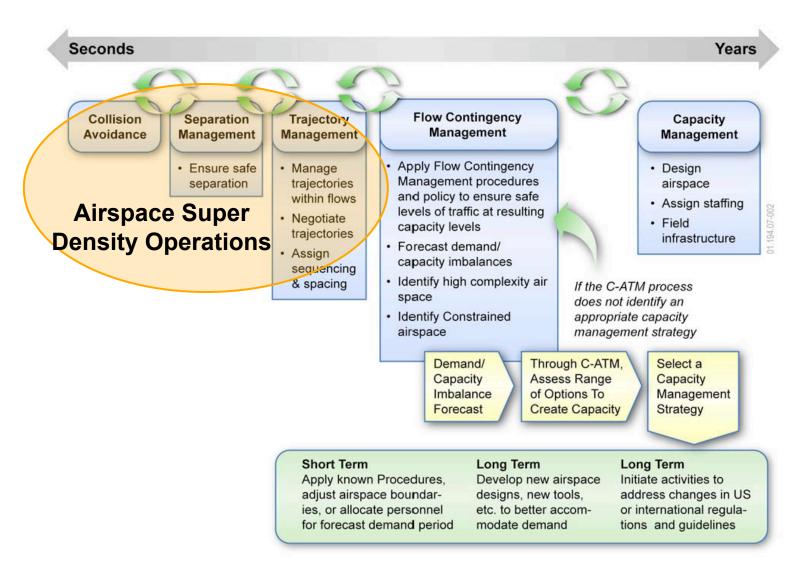






ASDO Elements of Automation for a Future Airspace System





"ATM Decisions-Interactive and Integrated Across Time Horizons" JPDO Concept of Operations for the Next Generation Air Transportation System, Version 2.0, June 13, 2007.

Research Focus Area Airspace Super Density Operations

Problem

- Human control of spacing, merging, and separation assurance limits the capacity of the terminal airspace
- · Mixed equipage must be safely managed
- Interactions between arrivals and departures

Major Research Threads

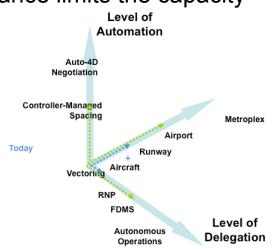
- Arrival Operations (integrated scheduling, sequencing, and merging and spacing)
- Integrated arrival and departure operations
- Metroplex operations optimization

Research Being Pursued

- Algorithms that simultaneously solve/optimize the sequencing, merging, deconfliction and spacing
- Regional resource utilization or metroplex operations
- Closely spaced parallel runways

Partners: FAA, UPS, MITRE, ACSS, NRAs (MIT, Purdue, Metron, SJSU, Mosaic ATM)







Super-Density Operations Vision

Continuous Descent Arrivals (CDAs) for individual aircraft

Optimized for single aircraft

Optimized for multiple

Optimized for multiple airports

aircraft

- Efficient arrivals from top of descent to meter fix or runway threshold with other (interfering) traffic
- CDAs with merging multiple aircraft flows to one airport
 Using ANSP 4D trajectory management to schedule
 - complex, conflict-free flows to the runway
 - Using Flight Deck merging and spacing capability to enable efficient multiple CDAs/TAs to runway threshold
 - Closely spaced parallel approaches where possible
- Integrated arrival, departure, and surface operations that maximize efficiency and throughput
- Integrated arrival, departure, and surface operations including runway balancing for metroplex operations (multiple airports) with efficient airspace allocation









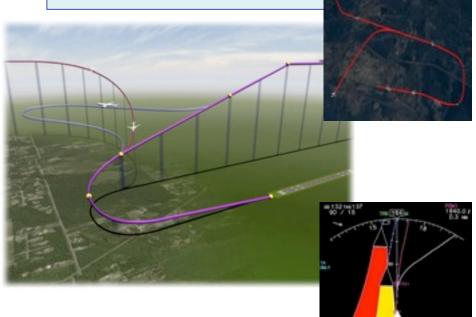


Research Thread: Arrival Operations



Problem/Need

 Develop new concepts, procedures and algorithms to maximize arrival rates to a single airport, as well as reduce fuel burn, emissions, and noise



Partners: FAA, UPS, MITRE, and NRAs (MIT, Metron, Purdue, SJSU, Mosaic ATM)

Approach

 Develop concepts, algorithms, and examine feasibility and benefits for variety of capabilities

Progress

- Developed multiple concepts across the entire ASDO domain
- Evaluated several concepts independently
 - Scheduler development
 - Flight Deck Merging and Spacing
 - Controller Managed Spacing Scenarios
 - Very Closely Spaced Parallel Runways Operations
 - Tactical Conflict Prediction and Resolution Algorithms

Next Steps

 Scheduling tool must consider integrated perspective

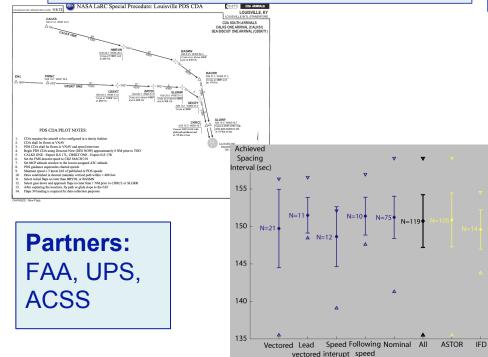
On-time arrival, reduce fuel burn, and increase productivity

Algorithms and Procedures for Merging and Spacing Operations to Single Runway



Problem/Need

- Increase throughput and execute efficient profile
- Develop and verify acceptance of procedure
- Examine performance



Approach

- Conduct human-in-the-loop simulation to determine performance and acceptability
- Off-nominal (vectors, speed, spacing)



Results

- Precise spacing (±5 sec)
- Acceptable and stable

TRACON Operational Error Analysis

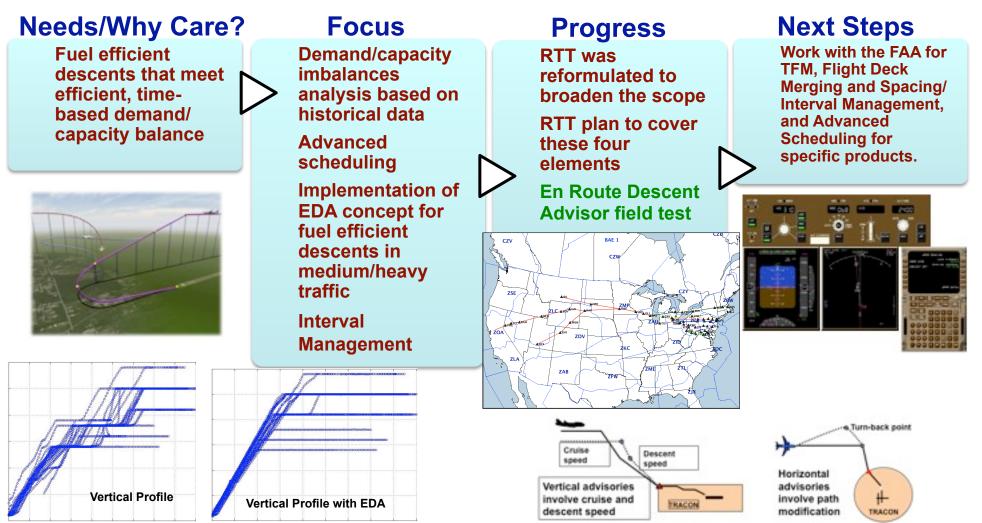


 Problem/Need Increase safety in TRACON airspace Human workload limits 	 Approach 73 DFW TRACON operational errors investigated to understand the specific nature of each incident and categorized to develop a 	
Summary of Operational Error Taxonomy• 59 involved arriving aircraft• 44 involved aircraft on final approach (compression)• 15 involved metroplex traffic	taxonomy of situations, causes and resolutions	
 15 involved metroplex traffic 4 involved arrival/departure interaction at same airport 3 were procedural in nature 	 Progress/Results TRACON Operational error data analysis – detected all conflicts Median lead time = 38 sec. Next Step Wider data set, intent information, 	
0.3 0.2 0.1 0 -120-105 -90 -75 -60 -45 -30 -15 0 Time Relative to First LOS, seconds	sensitivity, false alert and stochastic	

Research Transition Team Efficient Flow into Congested Airspace



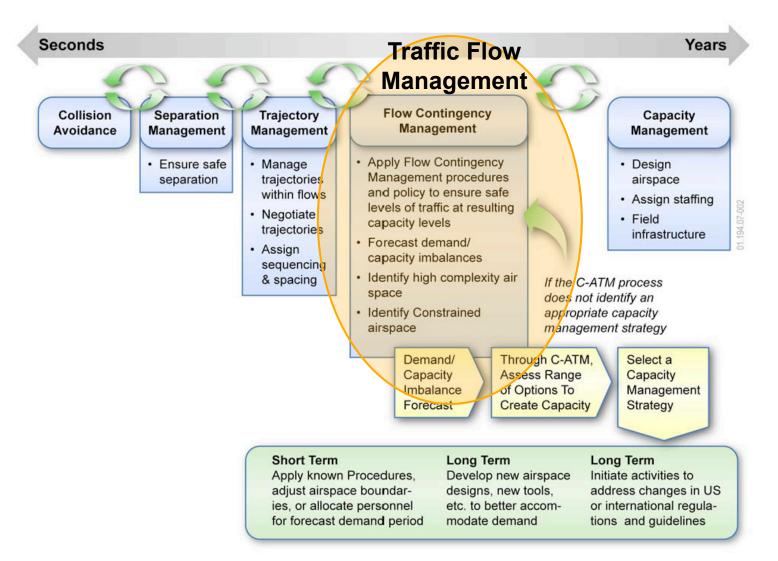
Overview



Flow management, scheduling, and merging and spacing needed to increase arrival efficiency in congested airspace

TFM Elements of Automation for a Future Airspace System





"ATM Decisions-Interactive and Integrated Across Time Horizons" JPDO Concept of Operations for the Next Generation Air Transportation System, Version 2.0, June 13, 2007.

Research Focus Area Traffic Flow Management



Problem

- Planning involves multiple time scales (local, regional, and national)
- Multiple decision with different goals (pilots, dispatchers, ATSP flow managers)
- Decision making under uncertainty (e.g., weather)

Research Threads

- Modeling, simulation and optimization techniques to minimize total system delay (deterministic and stochastic)
- Decision-making under uncertainty (weather integration)
- Collaborative traffic flow management

Research Being Pursued

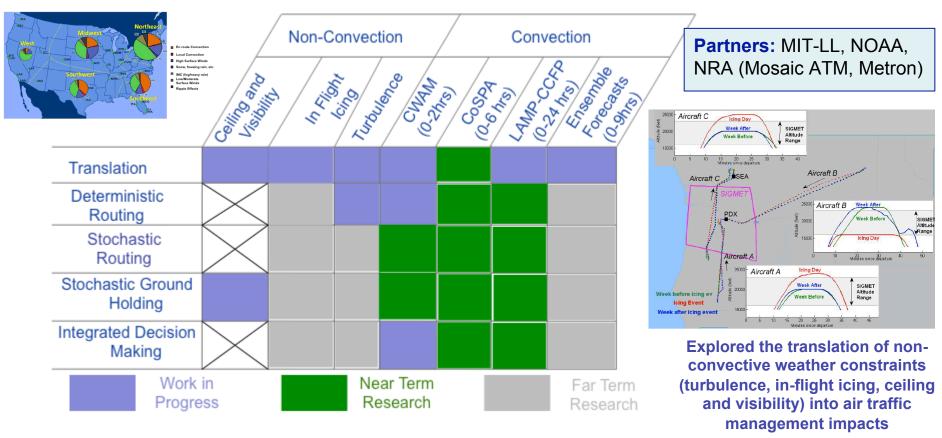
- Optimization methods for advanced flow management
- Probabilistic and stochastic methods to address system uncertainties
- Weather Translation
- Collaborative Traffic Flow Management

Partners: FAA, MIT-LL, NOAA, NRAs (MIT, GMU, UC Berkeley, GaTech, UofM, Mosaic, OSI, Metron, Washington State)

Demand/capacity imbalance needs to be addressed with demand management options as efficiently as possible

TFM Research Threads Progress: Decision Making Under Uncertainty





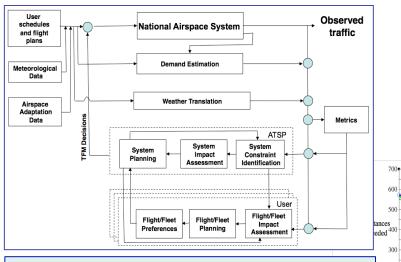
- Reliable weather forecasts products under development for the 2+ hr time horizon
- Significant computational challenges remain for solving NAS-wide TFM problems with a 6+ hr planning horizon under uncertainty

Early Integrated TFM Concept Definition and Development



Problem/Need

- Understand integrated impact of current TFM operations controls (e.g., ground holding, airborne holding, rerouting)
- Develop and test an integrated TFM architecture



Partners: MIT-LL, NOAA, NRA (Mosaic ATM, Metron, UC Berkeley, University of Michigan)

Approach

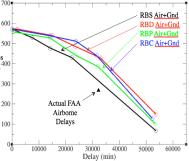
 Completed several experiments testing different strategies and applying specific TFM controls

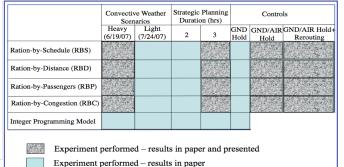
Results

- Scheduling algorithms effective at alleviating sector congestion
- Tactical rerouting dominant for avoiding en route weather

Next Step

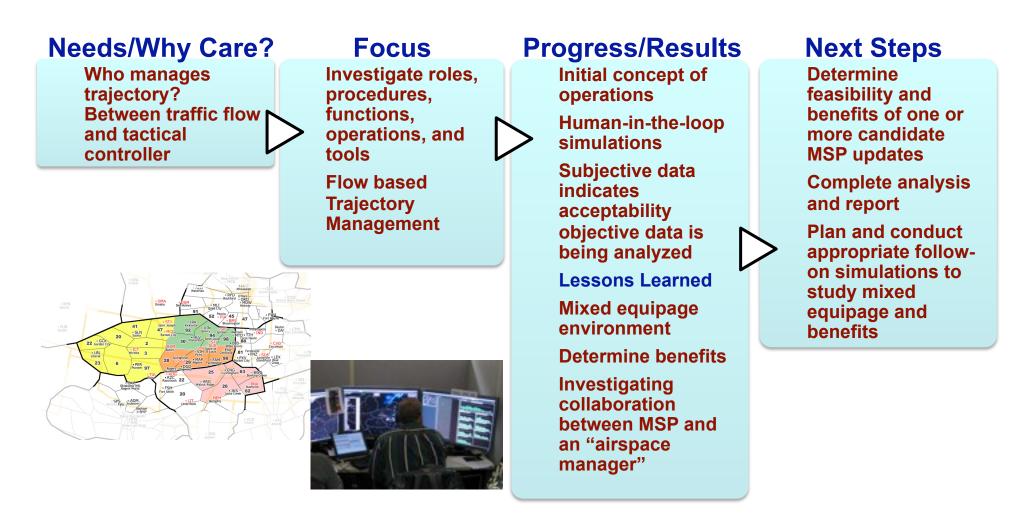
• Compare with actual operations





Need to develop flow management strategies to reduce delays

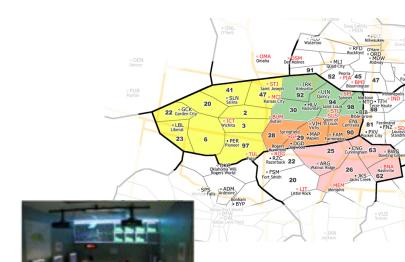
Research Transition Team Flow-Based Trajectory Management (FBTM) [Multi Sector Planner]

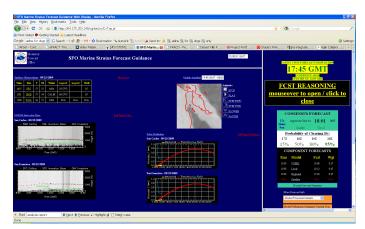


Capabilities, roles, and responsibilities for trajectory management need to be addressed

Significant Accomplishments

- FAA is investigating the use of San Francisco Stratus algorithms (NRA research)
 - Potential savings: \$2.9M/ year
- Multi-sector planner investigations are helping FAA
 - Roles and responsibilities
 - Functions

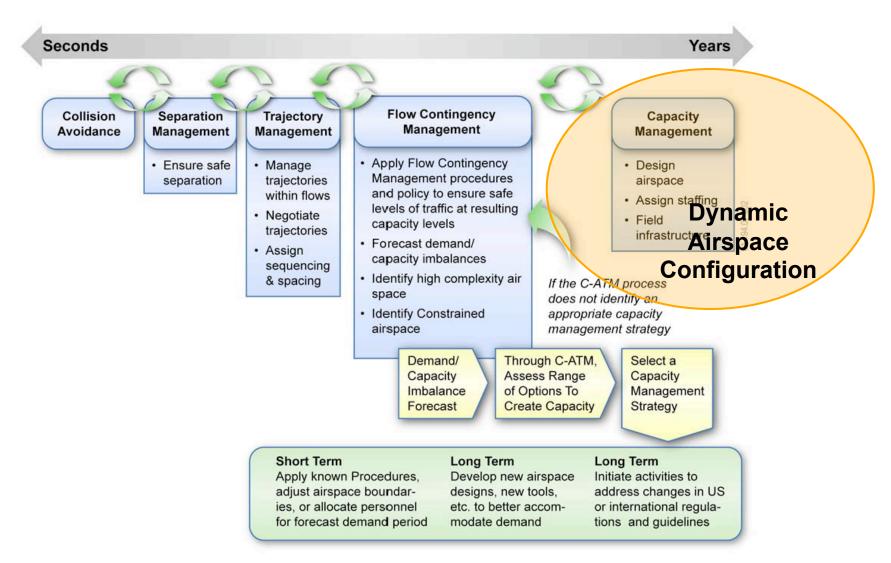






DAC Elements of Automation for a Future Airspace System





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Research Focus Area Dynamic Airspace Configuration



Problem

- Limited degrees of freedom for airspace changes (e.g., combine two adjoining sectors) and controller interchangeability
- Substantial time to modify airspace (years) and train controllers (months)

Research Thread

Capacity management

Research Being Pursued

- Structure of the airspace (e.g., corridors-in-the-sky)
- Algorithms for airspace configurations benefits and feasibility considerations
- Generic airspace

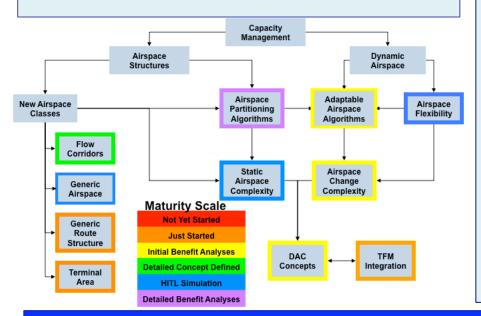
Partners: FAA, MITRE, NRAs (Metron, Mosaic ATM, and CSSI)

Research Thread: Airspace Capacity Management



Problem/Need

- Determine airspace structures, their feasibility and benefits
- Develop algorithms for airspace changes and examine feasibility and benefits
- Define generic airspace concepts and assess their feasibility and benefits



Approach

Concepts, algorithms, analysis, and simulations

Progress

- Develop concepts and algorithms for corridors-in-sky
- Develop algorithms for airspace boundary adjustments
- Early human-in-the-loop simulations to study boundary adjustments
- Generic airspace concepts

Next Step

- Feasibility and benefits of corridor
- Detailed feasibility and benefits of adaptable airspace
- Feasibility, benefits, and applicability of generic airspace

Manage Demand/Capacity imbalance by capacity adjustments rather than demand management ⁴¹

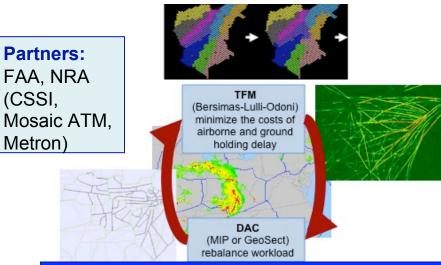
Airspace Redesign Benefits Analysis



Problem/Need

- Benefits of airspace redesigns are less understood
- Airspace allocations and TFM interactions need to be studied

-	Recovered Throughput	Reduced Delay	Complexity Balancing	Demand/ Capacity Balancing	Number of Sectors
Current Day			16.8	0.22	470
Flight Clustering	55%	59%	13.4	0.16	1031
Voronoi Genetic	50%	63%	14.0	0.17	565
Mixed Integer Programming	31%	33%	12.5	0.18	593



Approach

- Number of airspace partitioning approached were combined using common scenarios
- Use simplified complexity metrics
- Examine airspace changes and flow restrictions

Results

- Airspace redesigns reduce delay
- Opens up airspace
- Iterations not converging

Next Steps

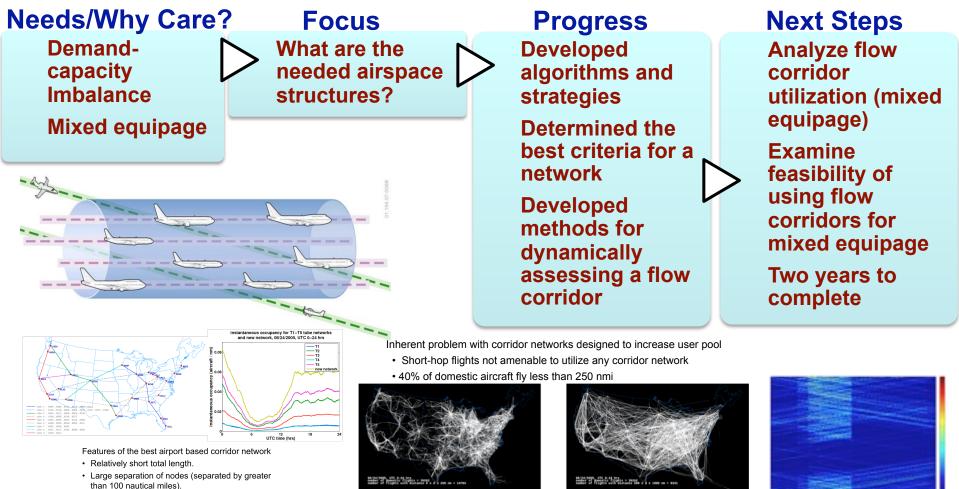
- Detailed benefits analysis
- Frequency of changes and limit number of sectors
- DAC-TFM interactions

Airspace and flow management need to be coordinated

Research Transition Team Dynamic Airspace Configuration (DAC)



Flow Corridors



Corridors may be useful for dedicated and segregated operations to increase efficiency – Still don't know if they are beneficial and necessary?

Air traffic traveling 500 to 1000 nmi

Best candidate corridor network users

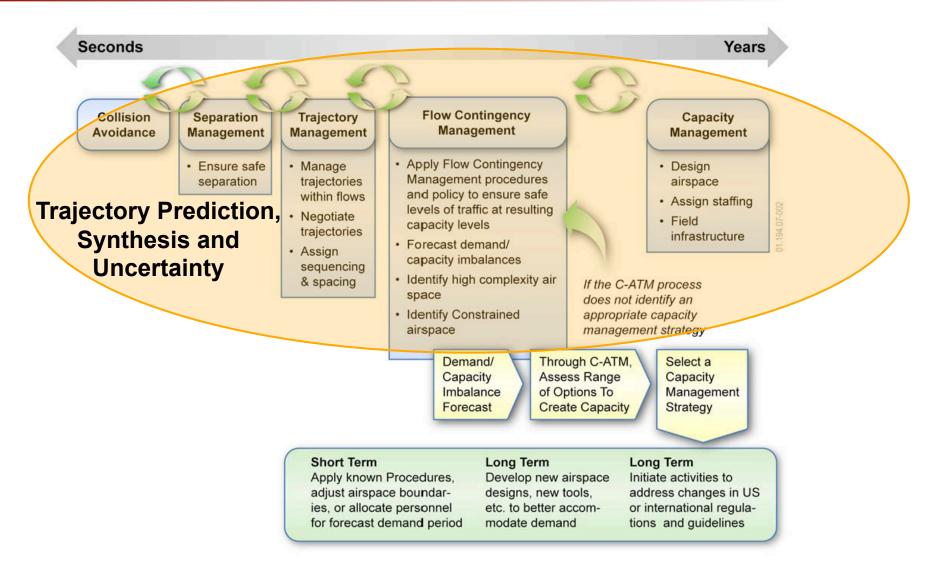
Air traffic traveling less than 250 nmi

Not amenable to tube utilization

· Follows domestic air traffic flows relatively well

TPSU Elements of Automation for a Future Airspace System





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Research Focus Area: Trajectory Prediction, Synthesis and Uncertainty

Problem

- · Lack of understanding of trajectory uncertainty characteristics
- · Lack of functional specific requirement and standards
- Lack of interoperability of trajectory prediction techniques

Research Threads

- Trajectory requirements
- Trajectory uncertainty prediction
- Trajectory interoperability
- Trajectory validation

Research Being Pursued

- Trajectory predictions accuracy as a function of time, model parameters, meteorological effects and aircraft intent modeling
- Trajectory modeling requirement, analysis, and validation

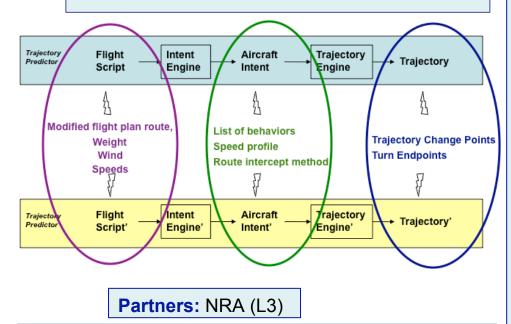
Partners: Lockheed Martin and NRAs (L3, U of Minnesota)

Research Thread: Interoperability



Problem/Need

- Lack of interoperability of trajectory prediction techniques
 - Trajectory based operations
 - Precise trajectories for reducing uncertainty (e.g., Separation assurance vs. TFM)



Trajectories need to be interoperable to ensure maximum precision and compatibility

Approach

- Improve trajectory prediction of disparate system through the exchange of trajectory information
- Examine real-time data exchange needs for different applications

Progress (10%)

- Identified candidate trajectory predictors for data exchange
 4D-FMS and CTAS
- Standalone trajectory generators developed

Next Steps

- Identify critical information for exchange
- Implement common data exchange language and real-time exchange
- Involve industry (e.g., FMS)

Complex Combination of Constraints



Problem/Need

4D-FMS – RNP Pilot Interface Lateral, Vertical and Longitudina

 Develop capability to handle multiple constraints in altitude, speed and time (needed for better predictability)

Approach

- Ground-based Center-TRACON Automation System
- Aircraft based Flight Management System

Results

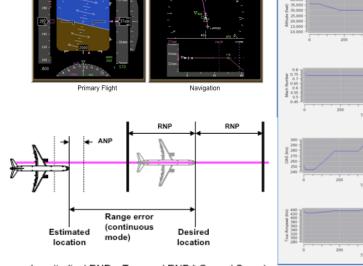
 Initial capabilities for 4DFMS and CTAS are developed

Next Step

- Conduct research to determine needs and implications on interoperability
- Validation is necessary

Partners: GE

Ability to meet complex combination of constraints to support 4D trajectory-based operations



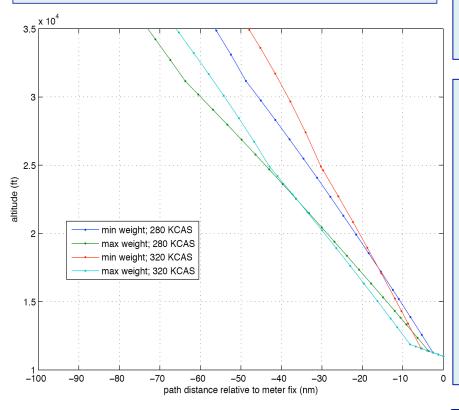
Longitudinal RNP = Temporal RNP * Ground Speed
 Temporal ANP = Longitudinal ANP / Ground Speed

Trajectory Uncertainty Modeling for EDA



Problem/Need

 Examine trajectory uncertainty with look-ahead time



Approach

 Develop model of the trajectory prediction error due to weight, wind and performance as a function of look-ahead time

Progress/Results

- Developed initial method to model uncertainty
- Developed application for En Route Descent Advisor

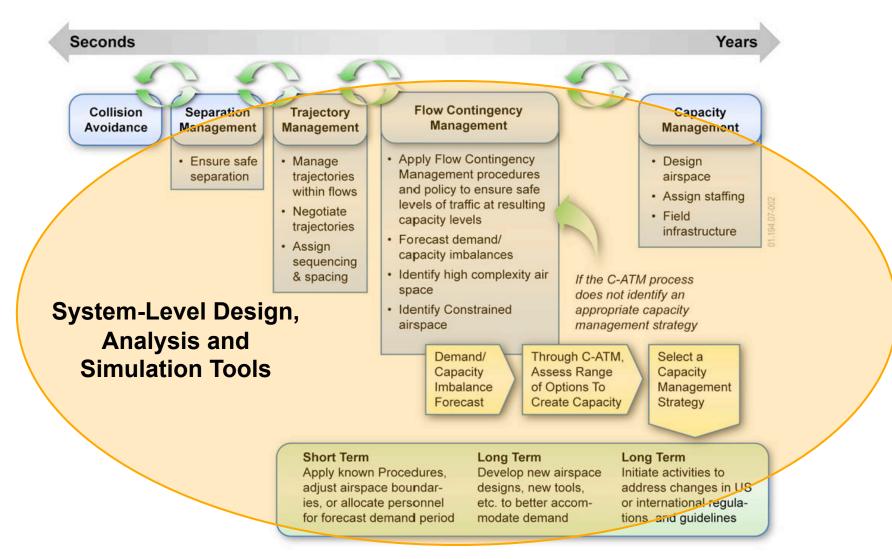
Next Steps

- General model for trajectory uncertainty prediction
- Validation

Partners: NRA (L3) and Lockheed Martin

SLDAST Elements of Automation for a Future Airspace System





"ATM Decisions-Interactive and Integrated Across Time Horizons" JPDO Concept of Operations for the Next Generation Air Transportation System, Version 2.0, June 13, 2007.

Research Focus Area System-Level Design, Analysis and Simulation Tools



Problem

- Complex and interacting concepts and technologies
- Collective impact of concepts and technologies is not easily understood

Expected Impact or End Result

- System level impact assessment
- Interactions between key research focus areas

Research Being Pursued

- Metrics, scenarios, assumptions, and models
- Interaction studies
- System-level performance assessment

Partners: Volpe, NRAs (SJSU, GMU, U. of Virginia, OSI, and Sensis)

Collective impact of concepts and technologies need to be clear

System-Level Performance Assessments (what is the collective impact?)

Study key interactions among TFM, DAC, SA, ASDO, and Airportal

Scenarios, metrics, models, algorithms, Assumptions, interface, etc.

Human Factors Assessment I



Problem/Need

 Identify (initial) human-performancerelated considerations



Partners: NRA (SJSU), and Volpe

Approach

- Detailed cognitive walkthrough
- Expert opinions through NRA

Supported by NRA team (SJSU)

Progress (Examples)

- Trust and reliance when automation is safety-critical
- Non-overlapping task distributions (mixed equipage)
- Degraded conditions

Next Steps

 Use the lessons learned during further maturity process

Concluding Thoughts



Attributes	Description		
Objectives/Needs	 On-time performance Reduce operator costs (fuel) Increase system productivity Minimize impact on environment Design for scalability, safety, predictability 		
Current state of the art	 Capacity and throughput is limited due to human centric nature (not scalable) Weather causes large delays Uncertainty Interactions limit capacity 		
Approach	Advance concepts, procedures, and technologies for both ground and aircraft		
Lessons learned	 Uncertainty (weather, wind, traffic, etc.) Solutions will involve roles/responsibilities, automation, procedures, and air/ ground integration Off-nominal situations and mixed equipage need to be carefully examined Interactions among traffic RTTs need to stay focused 		
Impact	 FAA: Increase productivity Users: Reduce costs and increase on-time performance Manufacturers: Sell more aircraft and accessories Public: Fly as needed and minimum delays 		
NASA's role	Complex domain, unique and relevant skills, experience (ground-based and aircraft), JPDO identified NASA as lead on many research items		