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### 2 NORMALIZED-DIFFERENCE SNOW INDEX (NDSI)

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## 9 Definition

Normalized-Difference Snow Index (NDSI) - normalized 10 difference of two bands (one in the visible and one in the 11 12 near-infrared or short-wave infrared parts of the spectrum) is used to map snow. Snow is highly reflective in the visi-13 ble part of the EM spectrum and highly absorptive in the 14 near-infrared or short-wave infrared part of the spectrum, 15 whereas the reflectance of most clouds remains high in 16 those same parts of the spectrum, allowing good separa-17 tion of most clouds and snow. 18

#### 19 Introduction

The NDSI has a long history. The use of ratioing visible 20 (VIS) and near-infrared (NIR) or short-wave infrared 21 (SWIR) channels to separate snow and clouds was 22 documented in the literature beginning in the mid-1970s 23 by Valovcin (1976, 1978) and also by Kyle et al. (1978). 24 25 A considerable amount of work on this subject was conducted at, and published by, the Air Force Geophysics 26 Laboratory (AFGL) (e.g., see Bunting and d'Entremont, 27 1982). The objective of the AFGL work was to discrimi-28 nate snow cover from cloud cover using an automated 29 algorithm to improve global cloud analyses. Later, auto-30 31 mated methods that relied on the VIS/NIR ratio were refined substantially using satellite data, by Crane and 32 Anderson (1984), Dozier (1989), and Rosenthal and 33 Dozier (1996) for regional scales, and by Riggs et al. 34

(1993), Hall et al. (1995, 2002), and Hall and Riggs 35 (2007) for global snow-cover mapping. In this section, 36 we provide a brief history of the use of the NDSI for map-37 ping snow cover. 38

Band ratios used to discriminate snow and clouds 39

Results of an investigation of snow reflectance character- 40 istics using data from Skylab Earth Resources Experiment 41 Package (EREP) S192 multispectral scanner are presented 42 by Barnes and Smallwood (1975). For the first time, satel- 43 lite study of snow from the spectral range extending from 44 the VIS to the IR (0.41-12.5 µm) was possible, and this 45 paved the way for automated snow-cover mapping. 46 Shortly thereafter, Valovcin (1976) at AFGL introduced 47 the idea of using the ratio of radiance values in the VNIR 48 (0.68-0.76 µm) and NIR or SWIR (1.55-1.75 µm) to pro- 49 vide a method to discriminate between snow cover and 50 clouds. Kyle et al. (1978) used the ratio of the 1.6- 51  $0.754~\mu m$  channels to distinguish snow and clouds using  $~_{52}$ a cloud physics radiometer with 0.754-1.64 µm channels. 53 They also used an IR band to test for surface temperature 54 further distinguished snow and clouds. 55

Additional work done at AFGL by Bunting and 56 d'Entremont (1982) employed a 1.6  $\mu$ m sensor flown on 57 the Defense Meteorological Satellite Program (DMSP) 58 Special Sensor C (SSC) to separate snow and clouds. They 59 also used 11% reflectance to define the lower bound of 60 reflectance for snow cover. Crane and Anderson (1984) 61 reviewed the previous work, mainly conducted at AFGL, 62 and employed the DMSP Operational Linescan System 63 (OLS), which operated in the 0.4–1.0  $\mu$ m and 8–13  $\mu$ m 64 range, along with SSC data (1.51–1.63  $\mu$ m). They 65 employed reflectances derived from the various sensors 66 to map snow using a threshold technique.

More-sophisticated use of band ratios as applied with 68 Landsat Thematic Mapper TM data was developed by 69 Dozier (1987, 1989). The normalized difference of TM 70

#### NORMALIZED-DIFFERENCE SNOW INDEX (NDSI)

bands 2 (0.52-0.60 um) and 5 (1.55-1.75 um) was intro-71 duced in Dozier (1989). Dozier and Marks (1987) discuss 72 automated snow mapping and threshold tests for 73 shadowed snow, cloud, vegetation, and soil in sunlit areas. 74 With the anticipated launch of the Moderate Resolution 75 Imaging Spectroradiometer (MODIS) at the end of the 76 1990s, a global snow-mapping algorithm needed to be 77 78 developed that would perform automatically and not be computationally intensive. Using the heritage algorithms 79 discussed above, Hall et al. (1995) coined the term 80 normalized-difference snow index and outlined a snow-81 mapping algorithm that would be the basis of the MODIS 82 standard snow-mapping product. The prototype algo-83 rithm, called Snowmap, used a normalized difference 84 between MODIS band 4 (5.45-5.65 µm) and 6 (1.628-85 1.652 µm), as was done in Bunting and d'Entremont 86 (1982), Crane and Anderson (1984), and Dozier (1989) 87 using TM bands 2 and 5. The prototype MODIS algorithm 88 also employed several spectral tests. A planetary reflec-89 90 tance  $\leq 11\%$  was a threshold test in which values < 11%were mapped as "not snow," determined not to be snow. 91

The prototype MODIS snow-mapping algorithm was 92 improved with additional spectral tests. One key modifica-93 tion is that the NDSI threshold was changed in forested 94 areas based on results of a canopy reflectance model 95 (Klein et al., 1998), using both the Normalized Difference 96 Vegetation Index (NDVI) and NDSI in densely forested 97 areas as determined from the NDVI test. A thermal mask 98 was also included to remove erroneous "snow" in loca-99 tions where snow is considered to be impossible. Small 100 specks of erroneous snow that show up on an image may 101 be due to sand. If the band 31 (10.780-11.280 µm) tem-102 perature is >283 K, then a pixel is considered "not snow." 103 This type of thermal test of surface temperature had previ-104 105 ously been used by Kyle et al. (1978) and Romanov and Gutman (2000). The standard MODIS cloud mask is also 106 employed as an input to the snow algorithm. 107

Following the 1999 launch of the MODIS on the Terra spacecraft, the snow algorithm was modified several times, but the NDSI has remained the basis of the algotit rithm. The current algorithm is Version 005 (see Riggs tiz et al., 2006).

## 113 Summary

The term normalized-difference snow index (NDSI) was 114 coined by Hall et al. (1995), but the NDSI technique 115 already had nearly a 20-year heritage as similar methods 116 using various visible and near-infrared bands had been 117 118 used since the mid-1970s to map snow and separate snow from most clouds. Following the launch of the MODIS in 119 1999, the NDSI approach to mapping snow cover became 120 automated using an algorithm that utilizes the NDSI along 121

## 122 with a variety of threshold tests.

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123