1	Investigation into the use of satellite data in aiding characterization of particulate air quality in
2	the Atlanta, Georgia metropolitan area

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30 ABSTRACT

- 31 Poor air quality episodes occur often in metropolitan Atlanta, Georgia. The primary focus of this
- 32 research is to assess the capability of satellites as a tool in characterizing air quality in Atlanta.
- 33 Results indicate that intra-city PM_{2.5} concentrations show similar patterns as other U.S. urban
- 34 areas, with the highest concentrations occurring within the city. Both PM_{2.5} and MODIS AOD
- 35 show more increases in the summer than spring, yet MODIS AOD doubles in the summer unlike
- 36 PM_{2.5}. A majority of OMI AI is below 0.5. Using this value as an ambient measure of
- 37 carbonaceous aerosols in the urban area, aerosol transport events can be identified. Our results
- 38 indicate that MODIS AOD is well correlated with PM_{2.5} on a yearly and seasonal basis with
- 39 correlation coefficients as high as 0.8 for Terra and 0.7 for Aqua. A possible alternative view of
- 40 the $PM_{2.5}$ and AOD relationship is seen through the use of AOD thresholds. These probabilistic
- 41 thresholds provide a means to describe the AQI through the use of past AOD for a specific area.
- 42 We use the NAAQS to classify the AOD into different AQI codes, and probabilistically
- 43 determine thresholds of AOD that represent the majority of a specific AQI category. For
- 44 example, the majority 80% of moderate AQI days have AOD values between 0.5 0.6. The
- 45 development of thresholds could be a tool used to evaluate air quality from the use of satellites in
- 46 regions where there are sparse ground-based measurements of PM_{2.5}.

47 IMPLICATIONS

- 48 Satellites can be used successfully as a tool for characterizing air quality on an urban scale.
- 49 Statistical analysis of multi-year satellite data can yield a useful and easily understandable way
- 50 of describing air quality through satellite derived AQI. In areas without many monitoring sites of
- 51 PM_{2.5}, this approach could be useful to those air quality forecasters. Additionally, the use of
- 52 satellite thresholds could increase satellite utility beyond that of qualifying events for exclusion
- 53 using the U.S. EPA's exceptional event rule in determination of attainment of the NAAQS.

54 INTRODUCTION

Any person flying into Atlanta, Georgia's Hartsfield-Jackson Atlanta International
Airport during the summer will see first-hand the visible effects of poor air quality in Atlanta.
Atlanta has the highest population density in the southeastern U.S. making it one of the larger
urban areas in the contiguous U.S. (http://www.census.gov/popest/metro/metro.html). The

59 metropolitan area is comprised of 28 counties, with the city boundary contained mostly within

60 Fulton County. High population density and large amounts of environmental toxins have placed

61 Atlanta at the top of Forbes's Most Toxic City List for 2009

62 (http://www.forbes.com/2009/11/02/toxic-cities-pollution-lifestyle-real-estate-toxic-cities.html).

- 63 The American Lung Association declares Atlanta as the 17th worst city for year-round particle
- 64 pollution (http://www.stateoftheair.org/2009/city-rankings/polluted-cities-particle-pollution-
- 65 <u>year.html</u>). This study considers particle pollution as a mixture of small particles and liquid drops
- 66 that have aerodynamic diameter less than 2.5 μm (PM_{2.5}). Epidemiological studies in Atlanta
- 67 have linked increases in particle pollution to increased asthmatic pediatric emergency room visits
- 1 , while Peel et al. ² found that the risk of death increased for hypertensive people in cases of
- 69 elevated PM₁₀.

70 Assessment of air quality is commonly based on averages of 24-hour data from ground-71 based measurements of PM_{2.5} performed at dedicated monitoring sites. The use of 24-hour 72 average PM_{2.5} data is to relate concentrations to the air quality index (AQI), which relates the 73 level of air pollution to possible health effects. The AQI is used to disseminate information about 74 air quality to the public via different methods of media, e.g., local television news, radio or 75 newspaper (Table 1). The AQI is scaled to relate the PM_{2.5} concentrations to the National Ambient Air Quality Standard (NAAQS)³. Through the Clean Air Act of 1990, the U.S. EPA has 76 77 the authority to set national air quality standards to protect the public health. In 2006, the U.S. EPA strengthened the NAAQS by reducing the 24-hour standard from 65 µgm⁻³ to 35 µgm⁻³. In 78 79 doing so, the AQI must now be revised to reflect the changes in the NAAQS, and this action by 80 the EPA is currently under review. Table 1 gives the old AQI and the proposed AQI revisions. 81 These changes will certainly affect a city's proportion of good, moderate, and unhealthy days. 82 The PM_{2.5} measurements that are used for AQI forecasts provide high temporal resolution, but 83 lack spatial resolution and coverage. In a large metropolitan area like Atlanta with only seven 84 monitoring sites for forecast purposes, the lack of spatial resolution has implications for air 85 quality forecasts and impacts.

86 Satellite data has been thought of as a means to address the lack of spatial coverage by 87 monitoring sites. Satellite observations can be used to characterize aerosols, identify aerosol 88 transport, and identify cases of biomass burning ⁴⁻⁷. Studies that relate satellite measurements to 89 PM_{2.5}, generally use the aerosol optical depth (AOD) retrieved from the NASA MODIS 90 (Moderate Resolution Imaging Spectroradiometer) instrument. AOD is a measure of light

- 91 extinction through the atmosphere for a given wavelength. Engel-Cox et al.⁸ completed one of
- 92 the first nationwide studies that presented results of the relationship between $PM_{2.5}$ and AOD.
- 93 They demonstrated that the relationship varied by region, and the east coast of the U.S. had the

94 highest correlation between AOD and PM_{2.5}. Further highlighting this regional perspective is the

95 work of Al-Saadi et al.⁹, which developed a methodology for applying AOD maps over maps of

96 PM_{2.5} concentrations for the entire U.S. to improve air quality forecasts through the IDEA

97 (Infusing satellite Data into Environmental Applications) website

98 (<u>http://www.star.nesdis.noaa.gov/smcd/spb/aq/</u>). Recently published work by Zhang et al.¹⁰

99 updated the methodology of the IDEA website to account for the regional nature in the

100 $PM_{2.5}$ /AOD relationship. Gupta and Christopher ¹¹ conducted a five-year study into assessing the

101 relationship between AOD and PM_{2.5} for most of the southeast U.S. The reported correlations

102 showed a high degree of agreement, yet there was still interstate and intrastate variation.

103 Using an established methodology for relating $PM_{2.5}$ to AOD, other researchers focused 104 on this relationship on a city-scale. Hutchinson et al. ¹² report that MODIS was adept at 105 describing an aerosol transport event that impacted air quality in parts of Texas. Research from 106 the southern U.S. found that in Birmingham, AL, satellite data were well correlated with surface 107 $PM_{2.5}$ measurements with a correlation coefficient as high as 0.7 ^{13, 14}.

Most recently, Hoff and Christopher¹⁵ provided an in-depth critical review of the field. 108 109 Their study outlines issues that can prohibit wider applicability of satellite data for air quality studies. One issue is the spatial mismatch between satellite data and the PM_{2.5} monitoring sites 110 that provide point measurements. When stations are located closely together, it is likely that 111 112 those sites will occur in the same satellite pixel that reduces the number of independent 113 observations per station. Another issue lies in the assumptions used for satellite retrievals. The 114 satellite science teams are constantly making updates to their retrieval algorithms to better 115 represent the regionality of aerosol composition. AOD does not provide information about the 116 location of aerosols within the atmospheric column. Aerosols that are transported into an area 117 can be located higher in the atmosphere, where ground-based monitors do not detect it, but 118 satellites do. Instances such as this cause a mismatch between what the satellite and ground-119 based monitors observe. Ultimately, one conclusion from Hoff and Christopher (2009) is that 120 reducing the uncertainty of the $PM_{2.5}$ /AOD through statistical regressions is unlikely, which is

why we propose using a statistical analysis of AOD that directly relates to AQI bypassing the
 PM_{2.5}/AOD regression.

123 In this study, hourly and 24-hour averaged PM_{2.5} measurements from seven PM_{2.5} 124 stations across the metro Atlanta area are analyzed along with MODIS AOD from March 1-125 August 31, 2004 – 2008. From the hourly data, subsets are created to coincide with Terra and 126 Aqua satellite overpasses. Another satellite instrument used in this study is the Ozone 127 Monitoring Instrument (OMI). This instrument provides measurements of aerosols in the UV-128 region of the electromagnetic spectrum. OMI performs many functions; however, of most interest to this study is its ability to detect light absorbing aerosols over land ¹⁶. 129 130 Here, we examine the applicability of satellite data to characterize representative urban 131 aerosols in Atlanta. The specific goals of this research are to (1) determine the variability of $PM_{2.5}$ and satellite data on a yearly and seasonal basis; (2) assess the robustness of the $PM_{2.5}$ -132 133 AOD relationship through linear regressions; and (3) statistically identify AOD thresholds that 134 can prescribe air quality directly through AQI. We will also determine the effect of the new AQI 135 designations in prescribing air quality through AOD thresholds.

136 DATA AND METHODOLOGY

137

PM_{2.5} Monitoring Stations

138 As mentioned previously, the EPA makes determinations of whether states meet the 139 NAAQS for particulate matter. That standard states that in order to receive attainment for daily PM_{2.5}, the 98th percentile of the three-year average at each pollution monitor cannot exceed 35 140 ugm-3³. States usually own and operate a network of continuous measurements that are used 141 142 primarily for air quality forecasts and air quality alerts. For this study, we obtained one-hour and 143 24-hour measurements of PM_{2.5} from seven metro Atlanta Tapered-Element Oscillating 144 Micobalances (TEOMs) from March 1 – August 31, 2004–2008, from the Georgia Department 145 of Natural Resources, Ambient Monitoring Program (AMP) 146 (http://www.air.dnr.state.ga.us/amp/). The type of measurements used in this research are not 147 used for that determination; however, the Ambient Monitoring Program (AMP) assigns an

- 148 exceedance whenever their 24-hour averaged TEOM-based PM_{2.5} measurements exceed the
- 149 NAAQS daily standard of 35.5 μ gm⁻³. Five out the seven stations have data for the entire period;

150 however, two stations (Confederate Ave. and Walton) only have data for 66% of 2005. These 151 seven stations cover three types of locations; urban – Confederate Ave., suburban – Gwinnett, S. 152 DeKalb, McDonough, and rural – Newnan, Walton, Yorkville. The PM_{2.5,24} dataset is a moving 153 average that uses the current hour's concentrations and the past 23 hours' concentrations. Two 154 data sets were created for pairing with MODIS satellite observations, which have different 155 equatorial crossing times. To match MODIS on Terra, hourly measurements from 10 and 11 am 156 were averaged together to create the dataset PM_{2.5.T}. Similarly for MODIS on Aqua, hourly measurements from 1 and 2 pm were averaged together to create the dataset PM_{2.5,A}. Analyses 157 are performed using all 3 PM_{2.5} datasets (PM_{2.5,24}, PM_{2.5,T} and PM_{2.5,A}). 158

159

MODIS Data

160 The MODerate Resolution Imaging Spectroradiometer (MODIS) instrument flies 161 onboard two of NASA's Earth Observing System (EOS) satellites. The first MODIS instrument 162 is on the Terra platform, and the second MODIS instrument is on the Aqua platform. Terra flies 163 in descending polar orbit with an equatorial crossing time of approximately 10:30 am; while 164 Aqua, flies in ascending polar orbit with an equatorial crossing time of approximately 1:30 pm. 165 Generally, the satellites have overpass times over Georgia 5 -15 minutes after their equatorial 166 crossing times. Both satellites orbit 700 km above the Earth in low earth orbit, and they have 167 near global coverage daily.

168 MODIS passively measures reflected radiances from Earth across a broad wavelength 169 spectrum. It primarily uses three wavelength channels $(0.47, 0.66 \text{ and } 2.12 \mu \text{m})$ to measure atmospheric aerosols over land ¹⁷. We use over five GB and 3700 files of Collection 5 data from 170 171 NASA's Level 1 and Atmosphere Archive and Distribution System (LAADS). Collection 5 is 172 the most recent release of the data products from the MODIS science team. The analysis is performed with MODIS Level 2 data, which have a resolution of 10x10 km². The variable of 173 174 most importance to this study is "Optical Depth Land and Ocean" at the 0.55µm wavelength. 175 AOD is an unitless measure of the amount of light attenuation over a set distance, i.e., path. 176 AOD can vary between 0 and 5, with values above unity being ascribed as heavy haze, biomass 177 burning, or dust⁸.

Following similar methodologies from Gupta and Christopher ¹⁸ and Engel-Cox et al. ⁸,
satellite data are matched with station data using a 0.5° degree box around each ground station.
Only days where both data types are available are considered for additional correlation analysis.

The time period of March 1 – August 31, 2004–2008, is considered for this research. Thus each MODIS instrument has seven different datasets that correspond to the AOD measurements over the seven ground-based $PM_{2.5}$ measurement stations. Another dataset is created for comparisons with the OMI sensor, i.e., city-scale AOD. This dataset is considered to be Atlanta AOD and covers a lat/lon box of 33 – 34.5° N and 83.5 – 85.3°W. Additionally the time period for this dataset matches the OMI dataset.

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OMI Data

188 The Ozone Monitoring Instrument (OMI) takes measurements in the near-ultraviolet 189 (UV) for retrievals of gases and aerosols ¹⁶. OMI flies onboard the NASA satellite Aura. Aura 190 and Aqua (MODIS) fly together in a satellite constellation called A-Train. A great advantage of 191 the satellite constellation is multiple measurements made from different sensors within 15 192 minutes of each other.

193 In this study we consider the aerosol products only, primarily the UV Aerosol Index (AI). 194 The time period of March 1- August 31, 2005–2008, is considered, which is one year shorter 195 than the PM_{2.5} and MODIS data because Aura did not launch until July 2004. OMI data were 196 obtained from the NASA GSFC DAAC. The most recent release of data is in Collection 3. The 197 OMI instrument has a swath of 2600 km and provides mostly global coverage daily. Aerosol products are retrieved at a spatial resolution of 13 x 24 km at nadir, however the spatial 198 resolution increases at the extremes of the satellite swath ¹⁶. In the presence of UV-absorbing 199 aerosols, the AI has positive measures with values above 0.5 considered significant ⁶. Due to 200 201 OMI's larger footprint, it is difficult to match OMI measurements with specific station locations. Thus OMI measurements are taken for a lat/lon box of $33 - 34.5^{\circ}$ N and $83.5 - 85.3^{\circ}$ W. 202 Although, AI is a qualitative measure, it does provide information about the spatial pattern of 203 204 UV-absorbing aerosols over land. This data product is uniquely able to identify the carbonaceous 205 aerosols associated with biomass burning and urban pollution.

206 **RESULTS**

207

Characterization of urban aerosols through PM_{2.5}

208 We first want to determine the variability of $PM_{2.5}$ on a yearly and seasonal basis. The 209 analysis of yearly means reveals that there is year-to-year variability within all three $PM_{2.5}$ 210 datasets (PM_{2.5,T}, PM_{2.5,A} and PM_{2.5,24}). Barplots of the five years of selected months (1 March – 211 31 August) of the PM_{2.5,A} and PM_{2.5,T} PM_{2.5,24} datasets for Gwinnett (33.96°, -84.07°) and 212 Newnan (33.40°, -84.74°) sites are shown in Figure 1 (A & B). Gwinnett and Newnan are used 213 to contrast differences between urban/suburban vs. rural stations. These barplots display how 214 PM_{2.5} averages varied over the study period. The years of 2006 and 2007 have the highest means for all seven sites. The means for each year all the stations are shown in Table 1; though we only 215 216 consider spring and summer, our PM_{2.5} averages agree well other published work of PM_{2.5} in Atlanta¹⁹. The years 2004 and 2008 are below the five-year average, while 2006 and 2007 are 217 218 the highest above the five-year average for Gwinnett, and 2005–2007 are the highest above the 219 five-year average for Newnan. PM_{2.5.24} for all stations is in between PM_{2.5.T} and PM_{2.5.A}, but it behaves similarly to the other PM_{2.5} datasets. Both Table 1 and Figure 1 (A&B) show that the 220 average $PM_{2.5}$ concentrations vary about 10 µgm⁻³ from each other. There are some instances 221 where the difference between PM_{2.5,A} and PM_{2.5,T} are significant for $\alpha = 0.05$, and those 222 instances are bolded in Table 1. Edgerton et al.¹⁹ found that during the day, hourly 223 measurements can vary by as much as 50 μ gm⁻³ in the Atlanta area comparable to observations 224 225 in this study

226 PM_{2.5} data show a distinct seasonality having higher values in the summer compared to spring. Figure 1 (C and D) show seasonal averages of the three PM2.5 datasets for Gwinnett and 227 Newnan. During the spring at Gwinnett, $PM_{2.5,T}$ varies from around $15 - 21 \mu gm^{-3}$, $PM_{2.5,A}$ 228 varies between 10 -14 μ gm⁻³, and PM_{2 5 24} varies between 13 – 16 μ gm⁻³. Summer averages show 229 increases of 30 - 45% over spring averages. All stations show similar values. The similarity 230 231 between stations is shown through timeseries analysis of PM_{2.5} (not shown), and the analysis also indicates that summer has more variability than spring. Research by Butler et al.²⁰ and Edgerton 232 et al. ²¹provide additional information on seasonality of PM_{2.5} in metro Atlanta. However, there 233 234 is recent work that hypothesizes that secondary organic aerosols (SOA) which have a summertime maximum could be a previously underestimated portion of PM_{25}^{22} . It should be 235 236 noted that the reduced seasonality of 2007 is likely a product of the late spring wildfire of 2007, which produced the additional influx of aerosols to the metro area 23 . 237 We have discussed the yearly and seasonal trends within the PM_{2.5} data; however, we 238

238 We have discussed the yearly and seasonal trends within the $PM_{2.5}$ data; nowever, we 239 also want to understand how each of the satellite-overpass datasets relate to each other and to 240 $PM_{2.5,24}$. To assess the similarity between $PM_{2.5,T}$ and $PM_{2.5,A}$ we created scatterplots of the two

241 datasets and calculated linear regression statistics. Scatterplots of PM2.5,A vs. PM2.5,T for 2004-242 2008 and all years combined are shown in Figure 2. Correlation coefficients (r or r-values) 243 between $PM_{2.5,T}$ and $PM_{2.5,A}$ vary around 0.78 – 0.85. The coefficient of determination (R^2), 244 which is a measure of variance, varies between 0.61 - 0.72. When seasonality was examined 245 between these two datasets, the summertime showed higher r-values than spring. Our results are consistent with Butler et al ²⁰, which shows diurnal variation of PM_{2.5} in Atlanta as a function of 246 247 season, and during the summer the diurnal variation is less pronounced than during other 248 seasons. From these statistical measures we conclude that both datasets have similar 249 observations, but there are instances where the diurnal cycle and meteorology change the 250 conditions between Terra and Aqua. When the PM_{2.5.24} dataset is compared to the PM_{2.5.A} and 251 $PM_{2.5T}$ datasets, statistics show that they are well correlated with r-values between 0.65 – 0.83. PM_{2.5,T} correlates slightly better than PM_{2.5,A}, but 30% of the variance shown by the satellite-252 253 overpass datasets is not represented in the 24-hour average. This could have implications for 254 studies that relate MODIS AOD to the 24-hour average of PM_{2.5}.

255 We have shown that during our study period the PM_{2.5} concentrations across metro 256 Atlanta are similar but have differences due to location. A majority of stations have their highest 257 means during 2006 and 2007, with 2004 and 2008 as local minima. The year 2007 was 258 dominated by a wildfire that changed the nature of PM_{2.5} in Atlanta by lessening the difference 259 between spring and summer seasons. Across all stations summer months have increased PM_{2.5} 260 concentrations as shown by increased means and variances. Additionally, we have shown that PM_{2.5.24} captures 70% of the variability within the satellite-overpass PM_{2.5} datasets; this could 261 262 impact the strength of the AOD and PM_{2.5} correlations. For instance, during short (hours) 263 duration exceedance events, the PM2.5/AOD correlation will be lower if PM2.5.24 is considered 264 rather than hourly data centered around the satellite overpass. In the following section we will 265 compare satellite measurements to the PM_{2.5} measurements to determine how well the satellites 266 capture the PM_{2.5} behavior spatiotemporally.

Characterization of urban aerosols with satellite products (MODIS AOD and OMI AI)

In this section, we focus upon comparing the variability of MODIS AOD to $PM_{2.5}$ and we

assess OMI's ability to characterize urban aerosols in Atlanta. Over 5GB and 3700 files of

- 270 MODIS AOD data were analyzed. In comparing yearly averages of MODIS Terra to MODIS
- Aqua, Aqua has higher AOD at all stations for 2004-2006 and 2008. However, in 2007 Terra is

²⁶⁷

272 markedly higher than Aqua. This finding is different from the $PM_{2.5}$ yearly averages where 273 $PM_{2.5,T} > PM_{2.5,A}$,which might imply that Terra should record higher values of AOD, yet this is 274 not the case. Yearly averages of MODIS AOD at Gwinnett and Newnan are presented in Figure 275 3 (A and B). Like the trend shown in Figure 1 (A and B), MODIS AODs have their highest 276 averages in 2006 and 2007 and minima in 2004 and 2008. Aerosols that are trapped within a 277 shallow boundary layer are more difficult to assess from space than well-mixed aerosols within a 278 deep planetary boundary layer (PBL) typically found in the early afternoon ¹⁴.

279 From a seasonal perspective, MODIS AOD has higher summer averages than spring 280 averages, which is in agreement with PM_{2.5} (see Figure 1 (C and D)). In fact, for many cases the 281 summertime AOD as shown in Figure 3 (C and D) is almost double that of the springtime, yet 282 this doubling is not found in the PM_{2.5} record. Barplots of seasonally averaged AOD from 283 MODIS at Gwinnett and Newnan are shown in Figure 3 (C and D). Our results indicate that the 284 difference between Aqua and Terra spring AOD is smaller than the difference between the two 285 during the summer. However, examination of the PM_{2.5} record yields that the largest difference between the datasets occurs during the spring rather than the summer. Goldstein et al.²⁴ 286 287 hypothesize that the high summertime AOD values are driven by SOA from biogenic volatile 288 organic compounds (BVOC) that occur aloft within the ABL thus not impacting surface mass 289 measurements of PM_{2.5}.

290 Our previous analysis focused on AOD at specific stations, but we want to establish 291 background levels of absorbing aerosols in Atlanta to determine if there is any relationship 292 between MODIS AOD and OMI AI. We conduct the following analysis using city-scale datasets 293 (see OMI Section for explanation). PM_{2.5} in Atlanta is mostly carbonaceous in nature with 35.9% 294 being organic carbon and 8.9 % being black carbon. The other dominant species is sulfate which comprise 25.3% of average $PM_{2.5}$ mass ²¹. Aerosols in the U.S. southeast are small in diameter 295 296 and are predominantly light-scattering. Maps of time averaged (March 1- Aug 31) Angstrom 297 exponent from MODIS (not shown) confirm this result with values ranging from 1 - 1.6. Since 298 the background is predominately made of light scattering particles, AI will be in a unique 299 position to detect absorbing aerosols against this background. Averages of OMI AI show little 300 variability from year to year, with a slight maximum occurring in 2007. As viewed from space, 301 the carbonaceous portion of urban aerosols in Atlanta is fairly constant around 0.3 for 2005 -302 2008. Also, across all years a majority (80%) of AI values are below 0.5. Using the yearly

average or 80% cutoff to establish background conditions of Atlanta implies that if AI risesabove these values it could be indicative of aerosol transport.

305 OMI AI does not appear to have the same seasonality as MODIS AOD. The mean and 306 median values of AI vary little between spring and summer. Scatterplots of OMI AI vs. MODIS 307 AOD Terra/Aqua are shown in Figure 4 to access the relationship between the datasets. As seen 308 in Figure 4, there is not a discernable linear relationship between the AI and AOD. One feature 309 shown in Figure 4 is that the same AI values correspond to lower AOD values in the spring and 310 higher AOD values in the summer, which implies that any improvement of the AI/AOD 311 relationship is solely due to larger AOD associated with summer. The lack of a linear 312 relationship results in very low correlation coefficients as shown in Table 2. The correlation 313 analysis of OMI and MODIS yielded low linear correlation values shown in Table 2. Having 314 shown that AI and AOD are not related further substantiates the effectiveness of AI as an 315 indicator for transport events. For instance, the small box in Figure 4(A) shows that AI is almost 316 1.4, but AOD is around 0.3 on 13 April 2005. There are no PM_{2.5} exceedances on this day, and 317 considering that AI is sensitive to aerosol height this implies that the transport is occurring above 318 the PBL. This is an example of smoke remnants being transported into the area from the western 319 U.S. Another example occurs in 2007 (see box in Figure 4C), where smoke aerosols are 320 transported into the area. There were large active wildfires in Idaho and Montana during the time 321 period August 2007. Those wildfires caused a large haze event across the eastern U.S. During 322 this event there were $PM_{2.5}$ exceedances in Atlanta on 13, 15-18 August 2007. The carbonaceous 323 aerosols detected by OMI on 14 August are aloft and most likely become entrained in the ABL on the following days. Jacob and Winner²⁵ conclude that wildfires could become an important 324 325 and more frequent contributor to PM_{2.5}. The aerosols associated with this additional aerosol 326 burden will most likely be carbonaceous in nature, and the baseline of AI already established 327 would help to better assess the impact these potential wildfires will have on air quality.

We have shown that satellites adequately describe the general nature of urban aerosols in the metro Atlanta area. Though there are some differences between what times of day results in the highest values, the overall patterns of MODIS AOD match well with the $PM_{2.5}$ observed patterns on a yearly and seasonal basis. OMI AI allowed us to identify specific cases of aerosol transport into the metro area by detecting the absorbing signature associated with these events.

334

PM_{2.5} and AOD Analysis

335 We perform a statistical analysis to assess the PM_{2.5}/AOD relationship in the Atlanta 336 metropolitan area. Figure 5 presents a linear relationship between MODIS Terra and Aqua. The 337 two satellites are well correlated with r-values > 0.78. While the coefficients of determination for 338 the different years are above 0.6, the diurnal loading of aerosols, meteorological conditions, and 339 boundary layer dynamics as well as technical issues between the two satellite instruments are all possible reasons why the R²-values are not higher. During the summer in Georgia, the timing of 340 341 convective systems growth often occurs in the early afternoon, which coincides with Aqua's 342 overpass. In this study, MODIS Aqua has fewer observations than MODIS Terra, but both 343 satellites have between 50 - 65% data available. Other U.S. locations have shown similar satellite data loss ²⁶. 344

345 Across all seven stations and for both satellites, a majority of the points lie below the NAAQS exceedance standard of 35 µgm⁻³ and have AOD less than 0.7 as represented in Figure 346 6. Scatterplots of PM_{2.5} vs. MODIS Terra and Aqua at Gwinnett and Newnan are shown for each 347 348 year in Figure 6, where each year is represented by different symbols. The scatterplots can be 349 divided into quadrants, the NE quadrant is Q1, the NW quadrant is Q2, the SW quadrant is Q3 350 and the SE quadrant is Q4. These quadrants are representative of certain meteorological dynamic 351 conditions. For instance, Q1 and Q3 are most likely associated with a well-mixed boundary layer 352 such that aerosols are well distributed throughout the atmospheric column, thus satellite and 353 ground-based measurements are in sync together. A vast majority of the data points lie within 354 Q3. Q3 contains points that have low AOD and good to moderate AQI. However, Q1 describes 355 data points with both high AOD and high PM_{2.5} measurements (i.e., orange and higher AQI).

356 The remaining two quadrants in most cases can distinguish between different sources of 357 air pollution. The points within Q2 have high AOD but low PM_{2.5} concentrations. This situation 358 could arise from long-range transport of aerosols into the area. The long-range transport of 359 aerosols generally occurs above the boundary layer. Subsequently, these aerosols do not 360 necessarily impact ground-based measurements (see discussion in previous section). However, it 361 is possible that those aerosols can become entrained within the boundary layer due to changing 362 dynamics and can impact ground-based measurements further downwind of the source. Finally, 363 Q4 has data points that coincide with high PM_{2.5} concentrations and relative low AOD. More 364 than likely, these points represent increasing PM2.5 concentrations of local source emissions. A

365 possible scenario where this could occur is a strong inversion. In late spring and summer in 366 Georgia strong inversions occur that trap all the local sources of pollution, e.g., cars and power 367 plants, close to the surface by hindering vertical mixing. Low-level aerosols are more difficult 368 for satellites to detect, and again this could lead to a satellite and ground-based measurement 369 mismatch.

370 We have discussed what factors could possibly influence the $PM_{2.5}$ /AOD relationship; the 371 following analysis involves determining the robustness of the PM_{2.5}/AOD relationship through 372 correlations. For a majority of the stations, both Aqua and Terra are correlated with PM_{2.5}. 373 Correlation coefficients for Aqua vary between 0.37 - 0.76, and Terra has r-values of 0.25 - 0.68374 (see Tables 4 and 5). MODIS Terra and Agua produce correlations that are similar to each other. 375 In Tables 4 and 5, the correlation coefficient (r), the slope, y-intercept, and the number of 376 observations are summarized. In 2007, MODIS Terra and Aqua have the highest correlations 377 across all of the stations. The higher means of Terra AOD do not result in better agreement with 378 PM_{2.5}, except in 2007 where Terra has higher r-values than Aqua. Terra also produces more 379 variability in the correlation coefficients across the stations in comparison to Aqua. The 380 seasonality of AOD and PM_{2.5} is reflected in the values as well. Spring produces higher 381 correlations than summer. The results presented here are somewhat different than the results from Gupta and Christopher¹¹. In their study, they presented correlations between estimated 382 383 PM_{2.5} from both a two-variable model and multivariate model. Our correlations and slopes show 384 more variance than their reported values. Some of the difference could be due to the different 385 time periods under consideration.

To determine how robust the AOD is at characterizing $PM_{2.5}$, the AQI designations of PM_{2.5} concentrations are used to categorize the AOD. For instance, all AOD data points that correspond to $PM_{2.5}$ concentrations between 0 – 15.4 µgm⁻³ are considered to be good/green AOD. This classification methodology is used for all six categories of AQI. This categorized AOD is then used to determine a threshold that can probabilistically separate days of air quality exceedances from days without exceedances.

Figures 7 and 8 show AQI classified Aqua/Terra MODIS AOD for 2004 – 2008. The top figure is for Terra and the bottom is for Aqua. For Figure 7 the upper panels are green AOD and the bottom panels are yellow AOD. The panels on the left are frequency histograms and on the right are cumulative histograms of AOD. In Figure 7, green and yellow AOD have similar 396 frequency and cumulative distributions. The cumulative distributions for both satellites are

interpreted as 80% of Code Green AOD are below 0.35, and 80% of Code Yellow AOD are

below 0.65. In Figure 8 the upper panel is Code Orange AOD and if present the bottom panel is

399 Code Red AOD. Code Orange and Red AOD have different distributions. It is not surprising that

400 red AOD is skewed toward higher AOD. The closely related relationship between AOD and

401 PM_{2.5} suggests that high AOD will occur in cases of high PM_{2.5}. Code Orange AOD is associated

402 with AOD of 0.75 for Aqua and 0.65 for Terra. The lack of Code Red AOD makes determination

403 of thresholds difficult.

404 The broad thresholds (80%) discussed above yielded overestimation in the orange and red 405 categories. To more accurately match the PM_{2.5}-derived AQI we used different thresholds from 406 each satellite. For this we calculated AOD thresholds for Gwinnett for all years. The yearly threshold levels, e.g., 80%, 90%, and 95% were averaged to create AQI categorized AOD 407 408 thresholds specifically tuned for Gwinnett. Figure 9 shows our AOD-derived AQI and PM_{2.5}-409 dervived AOD at Gwinnett. Specifically for Terra we used the 80% threshold for green AQI and 410 95% for yellow and orange AQI. The exact cut-offs for Terra AOD are: green is below 0.26, 411 yellow is 0.26 - 0.72, orange 0.72 - 1.0, and red is everything greater than 1. For Aqua we used 412 the 80% threshold for green AQI and 90% for yellow and orange AQI. While AOD cut-offs for 413 Aqua are slightly different than for Terra. Aqua AOD thresholds are: green is below 0.28, yellow 414 is 0.28 - 0.69, orange is 0.69 - 1.15, and red is everything over 1.15.

While we only show pie charts based upon the new AQI designations, there are small 415 416 differences between them and pie charts produced with AOD-derived AQI using old 417 designations. The differences occur mostly within the yellow and orange AQI categories. 418 Though these figures are not an exact match for the PM_{2.5}-based AQI, they provide information 419 at an easily understandable and relatable manner. Additionally, the best guesses used in 420 determining quadrants agree well with the probabilistic measures of AOD given by this type of 421 analysis. Having probabilistic means to describe the incidence of AOD over metro Atlanta 422 allows for this threshold approach to be extrapolated for use in areas without PM_{2.5} monitors. 423 AQI categorized AOD has great applicability to rural areas in Georgia and the other rural areas 424 across the country, because this approach is not bound strictly by achieving high correlations 425 between PM_{2.5} and AOD.

426 **CONCLUSIONS**

427 Utilization of remotely sensed data allows for a broader perspective view of air quality. 428 Local air quality is affected by a number of factors including regional emissions, temperature, 429 atmospheric dynamics, and traffic patterns. Satellite data also allows for viewing features that 430 could impact air quality in the near future. This research presented a multi-year analysis of spring 431 and summer data from 2004-2008 in metropolitan Atlanta. Our research focused upon the 432 synergy between ground-based measurements of PM_{2.5} and NASA satellite observations in the 433 terms of Aerosol Optical Depth (AOD) from MODIS and the Aerosol Index (AI) from OMI. 434 MODIS AOD (τ) is a derived measurement from both MODIS instruments onboard the Terra 435 and Aqua satellites. OMI onboard Aura is an instrument that measures the absorbing aerosols in 436 the UV-spectrum. Our research goals were to understand the variability within the PM_{2.5} and 437 AOD data records, assess the strength of the PM_{2.5}/AOD relationship, and probabilistically 438 determine AOD thresholds that relate directly to AQI categories.

439 Results for the PM_{2.5} analysis show that PM_{2.5} differences are likely due to station 440 location, with the highest averages of PM_{2.5} occurring at an urban site and the lowest averages 441 occurring at a rural site. The spring months show less variability than summer months in the 442 PM_{2.5} record. MODIS AOD has captured the same yearly behavior shown in PM_{2.5}, yet on a 443 seasonal basis the summertime has AOD values double that of the spring. Remotely sensed data, 444 such as MODIS AOD, are a valuable tool for use in air quality applications. Our results suggest 445 that SOA formation in the region could have an impact on local PM2.5 concentrations. Satellite 446 data are uniquely able to provide information about SOA levels on an almost daily basis. This 447 information could aid air quality forecasters by allowing them to fine tune their models to more 448 accurately describe conditions in the U.S. Southeast. OMI AI does not have a discernable 449 seasonal component. Background levels of AI for the metro area are around 0.3. Eighty percent 450 of AI is below 0.5, therefore, AI values significantly higher than this could be indicative of long-451 range aerosol transport into the area. The results of linear regressions between PM_{2.5} and AOD 452 are r-values above 0.5 for a majority of sites. Interestingly, Terra produced higher correlation 453 coefficients than Aqua in 2007, while in other years the satellites have similar r-values. We 454 propose using statistical analysis of AOD data to relate AOD directly to AQI via probabilistic 455 measures based upon past AOD values for a specific area. The research also determined that 456 80% of Code Green days occur with AOD of 0.35 or less, and 80% of Code Yellow days occur

457 with AOD of 0.65. These probabilistic AOD cutoffs can be used to quickly access the AQI

- 458 classifications without the dependence upon ground-based measurements. There is some
- 459 agreement between PM_{2.5} based AQI and satellite based AQI. Further work will need to be done
- to better tune the methodology for orange and red AQI.
- 461 Future plans are to continue this type of analysis using the data from the Multi-angle
- 462 Imaging SpectroRadiometer (MISR) instrument onboard Terra. A portion of that research would
- be a comparison between MODIS and MISR in the U.S. southeast. Additional analysis would be
- done to apply the proposed probabilistic approach with MISR data. Also, the methodology for
- 465 using AOD thresholds to understand general tendencies about AQI can be tuned to specific
- 466 states, regions, and areas with few $PM_{2.5}$ measurements. The data from these satellites also
- 467 provide an important means for determination and understanding of "normal" conditions, which
- 468 can allow air quality policy makers to make better use of satellite data for possible application to
- the U.S. EPA's Clean Air Interstate Rule as well as the exception events rule for NAAQS
- 470 designations.
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- 472

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567 TABLES

AOI Catagory	Color	Inday Values	PM2.5 24-hour ($\mu g/m^3$)			
AQI Calegoly	od Green 0 - 50		Current	Proposed		
Good	Green	0 - 50	0.0 - 15.4	No change		
Moderate	Yellow	51 - 100	15.5 - 40.4	15.5 - 35.4		
Unhealthy for	Orange	101 - 150	40.5 - 65.4	35.5 - 55.4		
Sensitive Groups						
Unhealthy	Red	151 - 200	65.5 - 150.4	55.5 - 150.4		
Very Unhealthy	Purple	201 - 300	150.5 - 250.4	No change		
Hazardous	Maroon	301 - 400	250.5 - 350.4	No change		
		401 - 500 (this level	350.5 - 500	No change		
		used for emergency		_		
		episode planning only.)				

569 Table 1. AQI designations. Source: U.S. EPA

Location	2004		2005		2006		2007		2008	
	Terra	Aqua								
Con. Ave.	-	-	18.61	18.87	23.63	21.25	23.42	21.31	20.68	17.89
Gwinnett	16.69	14.12	17.72	15.63	19.94	17.02	21.64	17.22	15.90	13.70
McDonough	17.26	14.74	18.41	16.59	21.13	17.32	21.54	16.63	17.29	13.51
Newnan	16.63	14.14	18.05	16.14	19.94	16.10	22.55	17.01	17.13	14.29
S. Dekalb	17.24	14.33	18.54	15.50	19.20	16.96	23.04	21.42	18.22	15.42
Walton	-	-	16.80	15.23	18.81	16.48	19.70	15.79	15.84	13.17
Yorkville	14.86	14.64	16.30	16.24	18.60	16.87	19.45	19.33	14.30	13.58

Table 2. Means of $PM_{2.5}$ concentrations for each station. Bold numbers are significantly different from each other for $\alpha = 0.05$.

Year	Season	1	#	ŧ	
		Terra	Aqua	Terra	Aqua
2005	Spring	-0.13	-0.05	57	53
	Summer	0.06	0.23	46	48
2006	Spring	-0.12	-0.09	65	64
	Summer	0.30	0.42	66	67
2007	Spring	0.03	-0.13	65	69
	Summer	0.08	0.10	58	61
2008	Spring	-0.35	-0.31	60	62
	Summer	0.18	0.15	60	59

Table 3. Correlation coefficient and number of observations for OMI AI vs. MODIS AOD

Location	Year	20	004	20	005	2006		2007		2008	
	Season	Spring	Summer								
Confederate Ave.	Slope	-	-	0.03	0.01	0.01	0.01	0.02	0.02	0.00	0.01
	Y-intercept	-	-	-0.24	0.30	-0.05	0.14	-0.12	-0.03	0.11	0.11
	r	-	-	0.87	0.22	0.62	0.37	0.81	0.62	0.15	0.44
	#	-	-	6	35	59	66	57	53	54	61
Gwinnett	Slope	0.02	0.01	0.02	0.01	0.01	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.05	0.13	-0.02	0.23	0.00	0.11	-0.01	0.08	0.10	0.16
	r	0.68	0.51	0.66	0.50	0.62	0.44	0.76	0.67	0.29	0.41
	#	53	48	46	38	67	53	61	53	54	63
McDonough	Slope	0.02	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.03	0.09	0.04	0.25	0.02	0.18	-0.05	0.03	0.09	0.17
	r	0.54	0.64	0.51	0.38	0.53	0.40	0.70	0.67	0.25	0.34
	#	54	44	56	39	57	70	59	59	51	61
Newnan	Slope	0.01	0.01	0.01	0.00	0.01	0.01	0.02	0.02	0.00	0.01
	Y-intercept	0.00	0.16	0.02	0.28	-0.03	0.21	-0.05	0.09	0.11	0.08
	r	0.44	0.46	0.57	0.30	0.73	0.37	0.78	0.61	0.16	0.56
	#	55	32	40	35	57	63	57	57	49	62
S. Dekalb	Slope	0.01	0.01	0.01	0.00	0.01	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.02	0.14	0.06	0.33	-0.01	0.15	-0.04	-0.03	0.10	0.19
	r	0.54	0.49	0.56	0.22	0.59	0.40	0.78	0.69	0.19	0.33
	#	54	44	56	35	55	63	59	55	54	66
Walton	Slope	-	-	0.01	0.00	0.01	0.02	0.02	0.02	0.01	0.01
	Y-intercept	-	-	-0.03	0.28	0.03	0.06	-0.03	0.05	0.05	0.19
	r	-	-	0.65	0.28	0.51	0.51	0.76	0.68	0.35	0.23
	#	-	-	32	36	55	64	53	56	49	59
Yorkville	Slope	0.02	0.01	0.02	0.01	0.02	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.02	0.08	-0.04	0.24	-0.06	0.08	-0.05	0.00	0.03	0.11
	r	0.45	0.60	0.74	0.38	0.62	0.51	0.74	0.76	0.50	0.51
	#	55	42	47	40	57	60	55	57	50	57

Table 4. Slope, Y-intercept, correlation coefficient, and number of observations of seasonal PM_{2.5,24} vs. MODIS Terra AOD. Dash denotes missing data. Bold numbers are significant at $\alpha = 0.05$.

Location	Year	2	004	20	005	20	006	2	007	2	008
	Season	Spring	Summer								
Confederate Ave.	Slope	-	-	0.01	0.01	0.01	0.01	0.01	0.02	0.02	0.01
	Y-intercept	-	-	0.10	0.29	-0.09	0.11	0.03	0.02	-0.09	0.07
	r	-	-	0.18	0.37	0.70	0.41	0.54	0.51	0.54	0.51
	#	-	-	6	42	57	59	45	47	49	58
Gwinnett	Slope	0.01	0.01	0.01	0.01	0.02	0.01	0.01	0.02	0.01	0.01
	Y-intercept	0.00	0.19	0.10	0.21	-0.04	0.11	0.10	0.10	0.02	0.14
	r	0.51	0.40	0.39	0.49	0.70	0.46	0.56	0.59	0.46	0.46
	#	60	54	47	44	60	50	51	50	48	67
McDonough	Slope	0.02	0.01	0.01	0.01	0.02	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.07	0.12	0.08	0.29	-0.02	0.14	-0.01	0.07	-0.02	0.07
	r	0.56	0.54	0.47	0.38	0.67	0.46	0.62	0.65	0.58	0.53
	#	58	46	49	43	54	58	43	55	52	61
Newnan	Slope	0.01	0.01	0.01	0.01	0.02	0.01	0.02	0.02	0.01	0.01
	Y-intercept	-0.01	0.23	0.12	0.21	-0.05	0.17	-0.02	0.09	0.05	0.15
	r	0.40	0.43	0.26	0.56	0.70	0.45	0.71	0.61	0.37	0.50
	#	56	38	39	44	57	56	42	52	49	58
S.Dekalb	Slope	0.01	0.01	0.01	0.01	0.02	0.02	0.01	0.02	0.01	0.01
	Y-intercept	0.02	0.13	0.10	0.30	-0.07	0.09	0.05	0.01	0.02	0.06
	r	0.41	0.52	0.42	0.42	0.76	0.54	0.53	0.63	0.40	0.56
	#	60	48	51	42	54	55	46	53	52	61
Walton	Slope	-	-	0.01	0.01	0.02	0.02	0.01	0.02	0.01	0.01
	Y-intercept	-	-	0.14	0.23	-0.04	0.06	0.07	0.08	0.02	0.04
	r	-	-	0.23	0.41	0.61	0.51	0.50	0.63	0.42	0.51
	#	-	-	36	39	52	61	44	50	45	57
Yorkville	Slope	0.02	0.02	0.01	0.01	0.02	0.02	0.01	0.02	0.02	0.01
	Y-intercept	-0.01	0.06	0.04	0.20	-0.02	0.06	0.08	0.05	-0.04	0.15
	r	0.41	0.59	0.51	0.56	0.59	0.57	0.49	0.72	0.59	0.47
	#	52	44	44	42	58	53	49	55	50	61

Table 5. Slope, Y-intercept, correlation coefficient, and number of observations of seasonal PM_{2.5,24} vs. MODIS Aqua AOD. Dash denotes missing data. Bold numbers are significant at $\alpha = 0.05$.

LIST OF FIGURES

Figure 1. Bar plots of yearly averaged $PM_{2.5}$ at Gwinnett (a) and Newnan (b). Green dashed line represents $PM_{2.5,T}$ five-year average, and blue dashed line represents $PM_{2.5,A}$ five-year average. Bar plots of seasonally averaged $PM_{2.5}$ at Gwinnett (c) and Newnan (d).

Figure 2. Scatterplots of PM_{2.5,T} vs. PM_{2.5,A}. Red line represents 1:1 correspondence.

Figure 3. Bar plots of yearly averaged MODIS AOD at Gwinnett (a) and Newnan (b). Green dashed line represents MODIS Terra five-year average, and blue dashed line represents MODIS Aqua five-year average. Bar plots of seasonally averaged MODIS AOD at Gwinnett (c) and Newnan (d).

Figure 4. Scatterplots of OMI AI vs. MODIS Terra/Aqua AOD. Red line represents 1:1 correspondence. Rectangular boxes are discussed in text.

Figure 5. Scatterplots of MODIS Aqua AOD vs. MODIS Terra AOD. Red line represents 1:1 correspondence.

Figure 6. Scatterplot of PM_{2.5,24} vs. MODIS Aqua AOD.

Figure 7. (a) Relative frequency histograms and cumulative sum histograms of Code Green and Code Yellow Terra AOD for 2006. (b) Relative frequency histograms and cumulative sum histograms of Code Green and Code Yellow Aqua AOD for 2006.

Figure 8. (a) Relative frequency histograms and cumulative sum histograms of Code Orange and Code Red Terra AOD for 2006. (b) Relative frequency histograms and cumulative sum histograms of Code Orange Aqua AOD for 2006.

Figure 9. Piecharts of PM_{2.5}-derived AQI, MODIS Terra-derived AQI, and MODIS Aqua-derived AQI at Gwinnett in 2006.



































