# 2.21 On the Development of a Deterministic Three-Dimensional Radiation Transport Code 

Health Care Policy Analysis and Decision Support using Agent Based Simulation Techniques

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- Provide a technical capability to analyze complex interactions in complex systems
- Model human decisions and multi-level interactions
- Address client needs that we can not currently support
- Extend some of our existing, successful work in ontology modeling
- Develop a reusable solution that is easily transported to multiple client needs and extensible within current solution development
- Apply this new capability to chronic disease research problem
- Demonstrate the this solution meets National Institute of Health needs
- Department of Health \& Human Services, National Institute of Health, Office of Behavioral and Social Sciences Research
- Show ability to analyze impacts of policy on human lifestyle decisions


## R \& D Approach

MODSIM WORLD

- Research health care policy areas and integrate specific focus area data into usable format
- Based on human decision model
- Initial focus on human smoking decisions across multiple factors
- Develop ontology models
- Human decisions
- Human environmental entities and relationships
- Cross-domain ontology model of interactions between humans, environment, decisions, and policy
- Develop Agent Based Model (ABM) and methods
- Document a Design of Experiment (DOE) method
- Document an approach to analyze ABM output


## Health Care Research

- Extensive research has been done on individual social risk factors that lead to disease
- Risk factors do not act independently
- This research allows understanding of interrelationships between environmental influences and social influences on human decisions across many risk factors
- Enable inclusion of many risk factors across many "layers"

- Initial focus on smoking risks


## Health Care Policy Focus Area

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- impact on human decisions


Disease


Time?


Disease


- Collection of autonomous decision-making entities (agents)
- NOT intelligent agents or secret agents
- Allows us to model complexity - multiple system layers and complex interactions
- Discovers "emergent phenomena"
- Becomes a data source for advanced research
- Requires sophisticated methods for:
- Efficient experimental design
- Data mining
- Requires computational power


## ABS Model Characteristics

- Agent characteristics
- Age, gender, race, smoker? (never, former, current), prob start or quit
- Maintain smoking status after age 30
- Life expectancy based on smoking status
- Population
- Initially 250 agents
- Expanded to 1000 agents
- State-based probability of changes on each tick, modified by Odds Ratios (based on interventions)
- Focus on middle school, high school, and college age
- Based on informed research using a wide range of journal articles
- Used chain of conditional probabilities
- Accounts for peers - social aspect of behavior


## MODSIM WORLD <br> Individuals and States

- Individuals in the simulation have several attributes that describe their state at any given time
- Smoker or nonsmoker
- Age
- Gender
- Months smoked (total and consecutive)
- Individuals also retain social relationships which affect smoking behavior
- Parent (single parent, smoking status recorded)
- Peers (links to "nearby" individuals close to age)


## Time Ticks

- Each month (a "tick" of the simulation clock) an individual's state is updated
- Age and other tracking variables are incremented
- Smoking is commenced or ceased based on probabilities
- In the extended model, an individual may develop disease based on probabilities
- Probabilities of changing states are affected by attributes of the individual and their social relationships
- Parent and peer smoking status affects behavior
- Age, Gender, prior smoking status has impact on risk


## State Transitions

- Baseline transition probabilities for the entire population are derived from the literature

|  |  | Next Month |  |
| :---: | :---: | :---: | :---: |
|  |  | Nonsmoker | Smoker |
| This Month | Smoker | $1.024 \%$ | $98.976 \%$ |
|  | Nonsmoker | $99.513 \%$ | $0.487 \%$ |

- Baseline probabilities are then adjusted based on individual risk factors
- Literature expresses additional risk as an Odds Ratio (OR)
- OR > 1 for an attribute means someone with that attribute is more likely to change state, $\mathrm{OR}<1$ means less likely


## Odds Ratios

- Simple example
- Odds of quitting in a month is 1 in 99 (1\% chance)
- If peers smoke, OR is 0.27 , which is 3.7 times less likely to quit
- Odds of quitting are now 0.27 in 99
- Equivalently, 1 in 99*3.7
- Combining Odds Ratios
- Can multiply multiple odds ratios together (e.g., female, high school age, peers smoke, exposed to Truth Campaign)
- For computational efficiency, take log(OR) and add
- To combine multiple estimates of the same OR, from different literature sources, use least squares regression on $\log (O R)$
- For any given individual state, add up log(OR) of applicable risk factors


## Experimental Design

- Various types of intervention programs (factors)
- ASPIRE: Computerized smoking prevention curriculum: school-based self-study
- ESFA: European Smoking prevention Framework Approach: integrated classroom with teacher, advertising, journalism
- ASSIST: A Stop Smoking in Schools Trial - school based, peer-led
- PPBI: Pediatric Practice-Based intervention - healthcare provider and peer-based
- National Truth Campaign - Advertising campaign and youth advocacy
- SCYP: Smoking Cessation for Youth Project
- Levels (for each intervention)
- Percent coverage from 0 to $100 \%$
- Length of interventions, from 0 years to 128 years (evaluated, but no need to implement)
- Responses (\% of total population)
- \% Smokers
- \% Former Smokers


## Evolution of Design



Roughly twice as many points as $3^{6}$ factorial with huge design space coverage


MODSIM WORLD
Conterence \& Expo

## Initial Analysis Results

- Multivariate Regression analysis
- All 6 interventions as dependent variables, with all 2-way interactions
- Decrease in \% smokers as independent variable (positive is good)
- Expected results:
- positive coefficients for each intervention
- Negative coefficients for interactions due to diminishing returns
- Actual results:
- SCYP * PPDI positive interaction
- Using both together better than each one separately

| Parameter Estimates |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Term | Estimate | Std Error | t Ratio | Prob>\| ${ }_{\text {\| }}$ |
| Intercept | -5.192125 | 0.062685 | -82.83 | 0.0000* |
| SCYP | 0.4832354 | 0.049809 | 9.70 | <,0001* |
| ESFA | 1.4631586 | 0.049813 | 29.37 | <.0001* |
| Truth Campaign | 6.3315738 | 0.04981 | 127.12 | 0.0000* |
| Assist | 0.4912404 | 0.049809 | 9.86 | <.0001* |
| ASPIRE | 5.1580737 | 0.049809 | 103.56 | $0.0000^{+}$ |
| PPDI | 1.3071909 | 0.049809 | 26.24 | <,0001* |
| (SCYP-0.50006)*(ASPIRE-0.50001) | 20602499 | 0.179475 | -3.08 | 0.0021* |
| (SCYP-0.50006)* ${ }^{\text {(PPDI-0.49999) }}$ | 0.3496265 | 0.178338 | 1.96 | 0.0499* |
| (ESFA-0.50009)*(ASPIRE-0.50001) | -1.674977 | 0.158925 | -10.16 | <.0001* |
| (ESFA.0.50009)**PPD-0.49999) | -0.406185 | 0.178849 | -2.27 | 0.0231* |
| (Truth Campaign-0.4995)*(ASPIRE-0.50001) | -1.115554 | 0.179431 | -6.22 | <.0001* |
| (ASSIST-0.49997)*(ASPIRE-0.50001) | -0.469038 | 0.178315 | -2.63 | 0.0085* |
| (ASPIRE-0.50001)* ${ }^{\text {(PPDI-0.49999) }}$ | -1.179963 | 0.178747 | -6.60 | <,0001* |

## MODSIM WORLD Conference \& ExpO

 Sampling of Model Response\% Smokers<br>(pre-interventions) (post interventions)<br>\% Smokers



- Quantiles
$100.0 \%$ maximum

| $100.0 \%$ | maximum | 44.800 |
| :--- | :--- | :--- |
| $99.5 \%$ |  | 41.201 |
| $97.5 \%$ |  | 38.843 |
| $90.0 \%$ |  | 36.170 |
| $75.0 \%$ | quartile | 33.871 |
| $50.0 \%$ | median | 31.174 |
| $25.0 \%$ | quartile | 28.571 |
| $10.0 \%$ |  | 26.210 |
| $2.5 \%$ |  | 23.770 |
| $0.5 \%$ |  | 21.338 |
| $0.0 \%$ | minimum | 15.789 |



- Quantiles $100.0 \%$ maximum 46.748 $99.5 \% \quad 41.55$ $97.5 \% \quad 37.60$ $90.0 \% \quad 32.77$ $\begin{array}{lll}75.0 \% & \text { quartile } & 27.823 \\ 50.0 \% & \text { median } & 21.656\end{array}$ $25.0 \%$ quartile 15.702

| $10.0 \%$ | 11.489 |
| :--- | ---: |
| $2.5 \%$ | 8.434 |
| $0.5 \%$ | 6.024 |

0.0\% minimum | 3.252 |
| :--- |

Effects of Interventions


## (Zooming in on timeframe when interventions took effect)



Effect of Interventions Over Time


## MODSIM WORLD Conterence \& Eppo <br> Impact of interactions on predictions

- Tested up to 6-way interactions
- Statistically significant interactions up to $5^{\text {th }}$ level

Predicted

- You can't just predict response from the OR
coefficients
- Actual response impacted by interactions
- Risk factors matter!

Actual coefficients


## A closer look at SCYP

- SCYP shows a clear "threshold effect"
- PPDI Interaction highlighted this sensitivity to other interventions
- Minimum and maximum effective level
- Dependent on which other interventions are employed



## Potential next steps - just for smoking

- Simulation results used to populate "response surface"
- Lots of threshold effects for other interventions at various combinations
- 7-dimensional, so we can't show you here
- Given costs of each intervention, along with cost constraints, can use optimization methods to find best mix at each investment level
- Pareto frontier of optimal intervention mixes can inform decisions on overall investment level
- Additional simulation exploration of "non-overlapping" multiple interventions
- Each individual might only experience one intervention, but peers may experience others
- Potential to mitigate negative interactions due to "over-intervening"


## Potential next steps - bigger picture

- More complex behavior and physical interactions
- Exercise and food choices impacted by peers
- All these choices add to risk factors for various diseases
- Explore impact of "wellness programs"
- Particularly relevant to analysis of health insurance costs
- Insurance provider may invest (with potential government subsidy) in wellness programs to lower costs (healthier customers)


## Questions?

