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***Abstract***

The Moderate Resolution Imaging Spectroradiometer (MODIS) cloud product provides three separate 1 km resolution retrievals of cloud particle effective radii ( $r_e$ ), derived from 1.6, 2.1 and 3.7  $\mu\text{m}$  band observations. In this study, differences among the three size retrievals for maritime water clouds (designated as  $r_{e,1.6}$ ,  $r_{e,2.1}$  and  $r_{e,3.7}$ ) were systematically investigated through a series of case studies and global analyses. Substantial differences are found between  $r_{e,3.7}$  and  $r_{e,2.1}$  retrievals ( $\Delta r_{e,3.7-2.1}$ ), with a strong dependence on cloud regime. The differences are typically small, within  $\pm 2\mu\text{m}$ , over relatively spatially homogeneous coastal stratocumulus cloud regions. However, for trade wind cumulus regimes,  $r_{e,3.7}$  was found to be substantially smaller than  $r_{e,2.1}$ , sometimes by more than 10  $\mu\text{m}$ . The correlation of  $\Delta r_{e,3.7-2.1}$  with key cloud parameters, including the cloud optical thickness ( $\tau$ ),  $r_e$  and a cloud horizontal heterogeneity index ( $H_\sigma$ ) derived from 250 m resolution MODIS 0.86  $\mu\text{m}$  band observations, were investigated using one month of MODIS Terra data. It was found that differences among the three  $r_e$  retrievals for optically thin clouds ( $\tau < 5$ ) are highly variable, ranging from - 15  $\mu\text{m}$  to 10  $\mu\text{m}$ , likely due to the large MODIS retrieval uncertainties when the cloud is thin. The  $\Delta r_{e,3.7-2.1}$  exhibited a threshold-like dependence on both  $r_{e,2.1}$  and  $H_\sigma$ . The  $r_{e,3.7}$  is found to agree reasonably well with  $r_{e,2.1}$  when  $r_{e,2.1}$  is smaller than about 15  $\mu\text{m}$ , but becomes increasingly smaller than  $r_{e,2.1}$  once  $r_{e,2.1}$  exceeds this size. All three  $r_e$  retrievals showed little dependence when  $H_\sigma < 0.3$  (defined as standard deviation divided by the mean for the 250 m pixels within a 1 km pixel retrieval). However, for  $H_\sigma > 0.3$ , both  $r_{e,1.6}$  and  $r_{e,2.1}$  were seen to increase quickly with  $H_\sigma$ . On the other hand,  $r_{e,3.7}$  statistics showed little dependence on  $H_\sigma$  and remained relatively stable over the whole range of  $H_\sigma$  values. Potential contributing causes to the substantial  $r_{e,3.7}$  and  $r_{e,2.1}$  differences are discussed. In particular, based on both 1-D and 3-D radiative transfer simulations, we have elucidated mechanisms by which cloud heterogeneity and 3-D radiative effects can cause large differences between  $r_{e,3.7}$  and  $r_{e,2.1}$  retrievals for highly inhomogeneous clouds.

Our results suggest that the contrast in observed  $\Delta r_{e,3.7-2.1}$  between cloud regimes is correlated with increases in both cloud  $r_e$  and  $H_\sigma$ . We also speculate that in some highly inhomogeneous drizzling clouds, vertical structure induced by drizzle and 3-D radiative effects might operate together to cause dramatic differences between  $r_{e,3.7}$  and  $r_{e,2.1}$  retrievals.