

Research Article

**Nucleobases and Prebiotic Molecules in Organic
Residues Produced from the Ultraviolet Photo-
Irradiation of Pyrimidine in NH₃ and H₂O+NH₃ Ices**

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Running title:

Nucleobases from Pyrimidine in NH₃ and H₂O+NH₃ Ices

Abstract

Although not yet identified in the interstellar medium (ISM), *N*-heterocycles including nucleobases—the information subunits of DNA and RNA—are present in carbonaceous chondrites, which indicates that molecules of biological interest can be formed in non-terrestrial environments via abiotic pathways. Recent laboratory experiments and *ab-initio* calculations have already shown that the irradiation of pyrimidine in pure H₂O ices leads to the formation of a suite of oxidized pyrimidine derivatives, including the nucleobase uracil. In the present work, NH₃:pyrimidine and H₂O:NH₃:pyrimidine ice mixtures with different relative proportions were irradiated with UV photons under astrophysically **relevant** conditions.

Liquid- and gas-chromatography analysis of the resulting organic residues has led to the detection of the nucleobases uracil and cytosine, as well as other species of prebiotic interest such as urea and small amino acids. The presence of these molecules in organic residues formed under abiotic conditions supports scenarios in which extraterrestrial organics that formed in space and were subsequently delivered to telluric planets via comets and meteorites could have contributed to the inventory of molecules that triggered the first biological reactions on their surfaces. Key Words:

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Pyrimidine—Nucleobases—Interstellar ices—Cometary ices—Molecular processes—Prebiotic chemistry.

1. Introduction

Living organisms are made of complex macromolecular structures that include proteins, polysaccharides, as well as ribonucleic (RNA) and deoxyribonucleic acids (DNA). Nucleobases, the informational subunits of RNA and DNA whose sequence carries genetic information, are based on two different *N*-heterocyclic compounds, namely, pyrimidine (C₄H₄N₂) for uracil, cytosine, and thymine, and purine (C₅H₄N₄) for adenine and guanine. Their molecular structures can be found elsewhere (Nuevo *et al.*, 2009). Several other compounds of biological and prebiotic interest, such as barbiturates, xanthine, and caffeine, are also based on these two molecular structures, but not used in RNA and DNA.

Small *N*-heterocycles, including pyrimidine, purine, and nucleobases, have been extensively sought in the interstellar medium (ISM) in the gas phase, but to date none of them have been detected (Simon and Simon, 1973; Kuan *et al.*, 2003, 2004; Charnley *et al.*, 2005; Brünken *et al.*, 2006). Only an upper limit of a few 10¹⁴ cm⁻² could be derived for the column density of pyrimidine from these observations (Kuan *et al.*, 2003). However, because of the ubiquity of polycyclic aromatic hydrocarbons (PAHs) and polycyclic aromatic nitrogen heterocycles

(PANHs) in galactic and extra-galactic interstellar/circumstellar environments (Allamandola *et al.*, 1989; Puget and Léger, 1989; Roelfsema *et al.*, 1996; Galliano *et al.*, 2008), *N*-heterocycles including pyrimidine- and purine-based species are expected to be present in space where they can condense on the surfaces of cold, icy grains in dense molecular clouds (Sandford *et al.*, 2004; Bernstein *et al.*, 2005). These species are probably formed from the polymerization of small molecules such as acetylene (C₂H₂), nitrogen atoms being incorporated via the substitution of acetylene by cyanic acid (HCN) (Ricca *et al.*, 2001). The detection of purine- and pyrimidine-based compounds in carbonaceous chondrites (Hayatsu, 1964; Folsome *et al.*, 1971, 1973; Hayatsu *et al.*, 1975; van der Velden and Schwartz, 1977; Stoks and Schwartz, 1979, 1981; Callahan *et al.*, 2011), whose extraterrestrial origin was recently confirmed by isotopic analysis (Martins *et al.*, 2008), strongly supports the existence of non-terrestrial abiotic chemical pathways for their formation under astrophysical conditions.

Recent laboratory simulations have shown that ultraviolet (UV) photo-irradiation of pyrimidine in pure H₂O ices leads to the formation of a large suite of pyrimidine derivatives, including 4(3*H*)-pyrimidone, a precursor of the nucleobase uracil, and uracil itself (Nuevo *et al.*, 2009). Both of these compounds have been reported in the Murchison, Murray, and Orgueil carbonaceous chondrites (Folsome *et al.*, 1971, 1973; Lawless *et al.*, 1972; Stoks and Schwartz, 1979). *Ab-initio* quantum calculations have shown that 4(3*H*)-pyrimidone (and/or

its tautomer 4-hydroxypyrimidine) and uracil are expected to be the most stable singly and doubly oxidized pyrimidine derivatives formed from the UV photo-induced oxidation of pyrimidine in pure H₂O ice (Bera *et al.*, 2010). These calculations also indicated that the presence of H₂O as a matrix is essential for the formation of oxidized compounds because it participates in the proton abstraction from the intermediate compounds to the final, stable products.

Ammonia (NH₃), another interstellar ice component (Lacy *et al.*, 1998), may also react with pyrimidine upon irradiation and yield new pyrimidine derivatives, including the nucleobase cytosine in the presence of H₂O. To examine this chemistry, we performed laboratory experiments of UV photo-irradiation of several NH₃:pyrimidine and H₂O:NH₃:pyrimidine ice mixtures with different relative proportions under astrophysical conditions. The organic residues formed in those experiments were analyzed with chromatography techniques to search for the presence of pyrimidine derivatives, including the nucleobases uracil and cytosine, as well as other non-cyclic compounds of prebiotic interest such urea and small amino acids. The detection of nucleobases and other interesting species in our residues is compared with previous experimental studies and analyses of meteorites, and their presence and photo-stability in astrophysical environments are discussed from an astrobiological point of view.

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2. Experimental Methods

2.1. UV photo-irradiation of ices at low temperature

Sample preparation was carried out with the same experimental setup as described in detail in Nuevo *et al.* (2009) for the study of H₂O:pyrimidine mixtures. Gas mixtures were deposited onto a pre-baked (500 °C) aluminum foil attached to a cold finger mounted inside a vacuum chamber (background pressure at low temperature: $2.2\text{--}5.3 \times 10^{-8}$ mbar) and cooled to 15–40 K by a closed-cycle helium cryocooler. H₂O vapor (taken from a liquid purified to 18.2 MΩ cm by a Millipore Direct-Q UV 3 device), NH₃ gas (Matheson, anhydrous, 99.99% purity), and pyrimidine vapor (taken from a liquid, Aldrich, 99% purity) were mixed in a glass line (background pressure $\sim 10^{-6}$ mbar). NH₃:pyrimidine mixtures with relative proportions 10:1, 20:1, 40:1, and 100:1, and H₂O:NH₃:pyrimidine mixtures with relative proportions of 20:2:1 and 20:1:1_s were prepared and transferred into 1.9-liter glass bulbs. Ratios between components were determined by their partial pressure with an accuracy of 0.05 mbar.

In each experiment, a total of 32.0–38.9 mbar ($\sim 2.7\text{--}3.2$ mmol) and 33.7–39.4 mbar ($\sim 2.8\text{--}3.3$ mmol) for NH₃:pyrimidine and H₂O:NH₃:pyrimidine mixtures, respectively, were deposited onto the cold (15–40 K) substrate and simultaneously photo-irradiated with a microwave-powered H₂ discharge UV

lamp for durations ranging from 21.5 to 53 hours. This lamp emits Lyman- α photons (121.6 nm) and a continuum centered at \sim 160 nm with an estimated total flux of about 2×10^{15} photons $\text{cm}^{-2} \text{s}^{-1}$ (Bernstein *et al.*, 1999; Elsila *et al.*, 2007), which is considered to simulate the UV radiation field from surrounding stars and protostars in astrophysical environments. In terms of photon dose, such experiments correspond to an ice photo-irradiation of about 10^4 years and $10^{7.9}$ years in the diffuse and dense ISM, respectively (Mathis *et al.*, 1983; Prasad and Tarafdar, 1983; Shen *et al.*, 2004). The ratios of the number of photons per deposited molecule ranged from 0.25 to 0.6 for both the NH_3 :pyrimidine and H_2O : NH_3 :pyrimidine mixtures.

After simultaneous deposition/irradiation, each sample was slowly warmed to 220 K under static vacuum, at which time the sample finger was pulled out of the vacuum chamber, and the Al foil was removed and put in a pre-baked (500 °C) glass vial. Samples for high-performance liquid chromatography (HPLC) analysis were dissolved in 500 μL of H_2O (Millipore, 18.2 $\text{M}\Omega$ cm resistivity), whereas samples for gas chromatography coupled with mass spectrometry (GC-MS) analysis were either kept dry in pre-baked vials or recovered from the residues dissolved in H_2O . All these vials were kept in a freezer (-20 °C), and the samples were thawed before analysis at room temperature.

Two additional sets of experiments were performed for the NH_3 :pyrimidine = 40:1 and H_2O : NH_3 :pyrimidine = 20:2:1 mixtures. The first set

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was performed under similar conditions as described above except that the cold finger temperature was set to 120 K to simulate conditions of icy Solar System bodies. The second set of experiments was performed with the use of a CaF₂ window to filter out Lyman- α photons, in order to assess the effect of the UV photon wavelength on the formation of photo-products. Those four samples were analyzed with both HPLC and GC-MS.

Finally, for each group of experiments \square NH₃:pyrimidine ices and H₂O:NH₃:pyrimidine ices \square two types of control experiments were performed: (1) controls in which ices were deposited but not UV irradiated, and (2) controls in which the H₂ lamp was turned on but no ice was deposited.

2.2. Infrared analysis of the samples

NH₃:pyrimidine = 25:1 and H₂O:NH₃:pyrimidine = 20:2:1 ice mixtures were deposited, as separate samples, onto an infrared-transparent zinc selenide (ZnSe) window at 14 and 15 K, respectively. An initial infrared spectrum was measured from each ice sample before any irradiation. The ices were then photo-irradiated with an H₂ UV lamp, and infrared spectra were recorded at intervals until total exposures of 188 and 200 min were obtained for the NH₃:pyrimidine and H₂O:NH₃:pyrimidine ices, respectively. Photo-destruction of pyrimidine was monitored via one of its strongest IR band near 1400 cm⁻¹ (β_{CH} , ν_{CN}) (Destexhe *et*

al., 1994), since this feature was the least subject to blending with other infrared features. After completion of the UV exposures, the ice samples were then slowly warmed (at 2 K min⁻¹), and their infrared spectra were obtained at intervals until the samples reached room temperature.

Infrared spectra were taken at a resolution of 1 cm⁻¹ with a Bio-Rad Excalibur Series Fourier-transform infrared (FTIR) spectrometer equipped with an MCT detector cooled to 77 K with liquid nitrogen. Spectra were recorded in the mid-infrared range between 4000 and 650 cm⁻¹ (2.5–15.4 μm) and ratioed to appropriate background spectra taken of the blank sample window before ice deposition.

2.3. HPLC and GC-MS analysis of residues at room temperature

H₂O-dissolved samples for high-performance liquid chromatography (HPLC) analysis were injected into a Hewlett Packard/Agilent 1100 Series device and separated in a Phenomenex Luna 5u Phenyl-Hexyl column (size: 250 mm × 4.60 mm, inner diameter: 5 μm), with a volume of 5 μL for each independent run. Separated compounds were detected by a diode-array UV detector that recorded signals at 220, 245, 256, 280, and 300 nm. The method used (solvent gradients) for these runs and the preparation of the pH = 5 ammonium formate buffer are described elsewhere (Nuevo *et al.*, 2009). Peaks in sample chromatograms were

identified by comparison of both their retention times and UV spectra with purchased standards dissolved to 10^{-3} M in H₂O (Millipore, 18.2-M Ω cm resistivity) and injected [by](#) using the same method as the samples.

Two types of extracts were prepared for gas chromatography coupled with mass spectrometry (GC-MS) analysis: dry foils were shaken with 100 μ L of ethyl acetate (CH₃COOCH₂CH₃, Fisher Scientific, Optima grade), whereas 100 μ L of the residues dissolved in H₂O were extracted for analysis. Both ethyl acetate and H₂O extracts were transferred to pre-baked (500 °C) vials and dried under vacuum in a desiccator for 2 hr. We then added 50 μ L of a 3:1:1 mixture of *N*-(*tert*-butyldimethylsilyl)-*N*-methyltrifluoroacetamide (MTBSTFA) with 1% of *tert*-butyldimethylchlorosilane (*t*BDMCS) (Restek), dimethylformamide (Pierce, silylation grade solvent), and pyrene (Sigma-Aldrich, analytical standard, 100 ng μ L⁻¹ dissolved in cyclohexane) to each dried residue. The vials were then heated to 100 °C for 1 hr to convert α -hydrogen moieties, such as OH and NH₂, into their *tert*-butyldimethylsilyl (*t*BDMS) derivatives (MacKenzie *et al.*, 1987; Casal *et al.*, 2004; Schummer *et al.*, 2009). Each *t*BDMS group added to a compound increases its mass by 114 amu (atomic mass units).

Separation was carried out with a Thermo Trace gas chromatograph coupled to a DSQ II mass spectrometer with a splitless injection, a Restek Rxi®-5ms column (length: 30 m, inner diameter: 0.25 mm, film thickness: 0.50 μ m), an injector temperature of 250 °C, and a helium (carrier gas, ultra pure; Air Liquide)

flow of 1.3 mL min⁻¹. The method (temperature gradient) used is described in detail elsewhere (Nuevo *et al.*, 2009). Masses were recorded in the 50–550-amu range, and data analysis was performed with Xcalibur™ software (Thermo Finnigan). Peaks in sample chromatograms were identified by comparison of both their retention times and mass spectra with the same standards as used for the HPLC analysis, derivatized the same way as the samples.

The standards of pyrimidine, its oxidized derivatives, as well as several other pyrimidine derivatives are the same as those used for the study of UV-irradiated H₂O:pyrimidine ices (Nuevo *et al.*, 2009). Oxidized derivatives include 2-hydroxypyrimidine, 4(3*H*)-pyrimidone, uracil, 4,6-dihydroxypyrimidine, barbituric acid, and isobarbituric acid. Pyrimidine *N*-oxide (Aldrich, 97% purity), a pyrimidine molecule to which an oxygen atom is attached to one of the nitrogen atoms (Fig. 1a), was also searched for. Other standards measured include:

1. Amino-bearing pyrimidines: 2-aminopyrimidine (Aldrich, 97% purity), 4-aminopyrimidine (Aldrich, 98% purity), 2,4-diaminopyrimidine (Aldrich, 99% purity), 4,5-diaminopyrimidine (Aldrich, 95% purity), and 2,4,6-triaminopyrimidine (Aldrich, 97% purity).
2. Amino- and hydroxy-bearing pyrimidines: cytosine (Aldrich, 97% purity), isocytosine (Sigma, ≥99% purity), 5-aminouracil (Aldrich, 98% purity), 6-aminouracil (Aldrich, 97% purity), 2-amino-4,6-dihydroxypyrimidine

(Aldrich, 98% purity), and 2,4-diamino-6-hydroxypyrimidine (Aldrich, 96% purity).

3. Other pyrimidine derivatives: 2,2'-bipyrimidine, 2-pyrimidinecarbonitrile, orotic acid (see Nuevo *et al.*, 2009), 1,4,5,6-tetrahydropyrimidine (Aldrich, 97% purity), 2-amino-5-nitropyrimidine (Aldrich, 98% purity), and 5-nitrouracil (Aldrich, 98% purity).
4. Other *N*-heterocycles: hydantoin (Aldrich, 98% purity), pyridine (Sigma, Biotech grade, $\geq 99.9\%$ purity), and purine (Aldrich, 98% purity).
5. Non-cyclic molecules: urea (Sigma-Aldrich, ACS reagent, $\geq 99.0\%$ purity), the proteinic amino acids glycine, L-alanine, L-serine (Pierce, lot No. 20065), and the non-proteinic amino acid *N*-formylglycine (Fluka, $\geq 98\%$ purity).

The molecular structures of the pyrimidine derivatives listed above are given in Fig. 1. The structures of hydantoin, pyridine, purine, urea, glycine, L-alanine, L-serine, and *N*-formylglycine are given in Fig. 2. The structures of all other compounds can be found elsewhere (Nuevo *et al.*, 2009).

3. Results

3.1. Infrared spectroscopy

Spectra of the pre-irradiated ice samples for the NH_3 :pyrimidine = 25:1 and H_2O : NH_3 :pyrimidine = 20:2:1 ices are shown on the top and bottom traces of Fig. 3, respectively.

The column density of NH_3 in the NH_3 :pyrimidine = 25:1 ice mixture was determined to be 2.2×10^{18} molecules cm^{-2} from the integrated area of the ammonia N–H stretching absorbance feature at ~ 3372 cm^{-1} , with an integrated absorbance of $A = 1.1 \times 10^{-17}$ cm molecule^{-1} (d’Hendecourt and Allamandola, 1986). Assuming an NH_3 /pyrimidine abundance ratio of 25, this corresponds to a column density of pyrimidine prior to UV exposure of $\sim 8.8 \times 10^{16}$ molecules cm^{-2} . The column density of H_2O in H_2O : NH_3 :pyrimidine = 20:2:1 ice sample was determined to be $\sim 2.7 \times 10^{17}$ molecules cm^{-2} from the integrated area of the O–H stretching feature at ~ 3273 cm^{-1} , with an integrated absorbance of $A = 1.7 \times 10^{-16}$ cm molecule^{-1} (Hudgins *et al.*, 1993), although it should be noted that this band is blended with the N–H stretching band of NH_3 and therefore represents an upper limit. Assuming an H_2O /pyrimidine ratio of 20, this corresponds to a pyrimidine column density of $\sim 1.3 \times 10^{16}$ molecules cm^{-2} .

The spectra of both the NH_3 :pyrimidine and H_2O : NH_3 :pyrimidine ices show two main changes that occurred as the samples were irradiated at low temperature: (1) a decrease in the absolute strengths of the pyrimidine bands relative to those in the spectra of the original unirradiated sample, which indicates that photolysis caused the destruction and/or conversion of some of the

pyrimidine, and (2) the appearance of a few new, weak bands associated with photo-products. Subsequent warming of both ices resulted in few spectral changes beyond the normal small shifts of band positions and profiles as the warming ices annealed until the sublimation temperatures of NH_3 and H_2O were reached. At this point, the majority of the spectral features of the original ice samples disappeared. The spectra show that, by the time the samples reached room temperature, only weak features due to a remaining residue were present. Spectra of the residues that remained after the warming of the irradiated NH_3 :pyrimidine and H_2O : NH_3 :pyrimidine ices are shown in the top and bottom traces of Fig. 4, respectively.

Pyrimidine photo-destruction. The photo-destruction efficiency for pyrimidine in these ices was determined by monitoring the decreasing strength of its band near 1400 cm^{-1} (labeled “Py*” in Figs. 3 and 4) as a function of UV exposure. The half-lives for the photo-destruction of pyrimidine with our lamp on the NH_3 :pyrimidine = 25:1 and H_2O : NH_3 :pyrimidine = 20:2:1 ices were measured to be 510 and 270 min, respectively (Table 1). These are 2 to 3 orders of magnitude longer than pyrimidine in a pure argon matrix (0.93 min; Peeters *et al.*, 2005) and significantly longer than the values measured for H_2O :pyrimidine ices (38 min; Nuevo *et al.*, 2009), which implies that the photo-destruction of pyrimidine in NH_3 -containing ices is much less efficient.

Assuming an optically thin ice and a first-order decay (Cottin *et al.*, 2003), these photo-destruction efficiencies can be used, with the UV flux rates given in Table 1, to predict the half-life of pyrimidine in these ices if present in various astrophysical environments. We derived half-lives of pyrimidine in our NH_3 :pyrimidine = 25:1 ice of about 19000 yr, 1900 Myr, and 570 hr in the diffuse ISM, dense clouds, and Solar System (at 1 AU), respectively. Corresponding photo-destruction half-lives of pyrimidine in an H_2O : NH_3 :pyrimidine = 20:2:1 ice are about 10300 yr, 1030 Myr, and 300 hr, respectively (Table 1).

While the photo-destruction rates of these two ices differ, both lead to the same qualitative conclusions. Pyrimidine in NH_3 -containing ices will likely be thoroughly reprocessed if these ices are present on the exposed surfaces of Solar System bodies. However, individual dense interstellar clouds typically last for time scales of tens of millions of years, which is far shorter than the estimated pyrimidine photo-destruction half-life under these conditions and suggests that pyrimidine in NH_3 -rich ices will not be efficiently reprocessed in these objects. Finally, such ices would not be stable in the diffuse ISM.

Pyrimidine photo-products. A list of the principal infrared bands seen in the spectra of the irradiated samples of our NH_3 :pyrimidine = 25:1 and H_2O : NH_3 :pyrimidine = 20:2:1 ices, together with some possible identifications,

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are summarized in Table 2. The residues at ~190 K show many similarities and a few significant differences.

During irradiation of the H₂O:NH₃:pyrimidine ice, new, weak features were produced near 2341, 2167, and 2136 cm⁻¹ (Table 2). All these features are common in irradiated ices that contain mixtures of C-, O-, and N-containing molecules. The feature at 2341 cm⁻¹ is due to CO₂ (Sandford and Allamandola, 1990), while that at 2136 cm⁻¹ is due to CO (Sandford *et al.*, 1988). The feature near 2167 cm⁻¹ is probably due to OCN⁻ or isonitriles, or both (Cotton and Zingales, 1961; Schutte and Greenberg, 1997; Bernstein *et al.*, 1997, 2000; Demyk *et al.*, 1998; Palumbo *et al.*, 2000), and labeled “C□N” in the infrared spectra of the residues (Fig. 4).

The principal new feature that appears during irradiation of the NH₃:pyrimidine ice absorbs near 2086 cm⁻¹ (Table 2). This feature is probably due to HCN or larger nitriles (Bernstein *et al.*, 1997; Gerakines *et al.*, 2004; Burgdorf *et al.*, 2010). It should be noted that the spectrum of this irradiated ice also shows the presence of very weak CO₂ and OCN⁻ bands, which indicates that a very small amount of oxygen was present in this ice sample. This oxygen probably comes from trace amounts of H₂O in our vacuum system (H₂O is our primary contaminant when the system operates in the 10⁻⁸-mbar pressure range).

As the irradiated NH₃:pyrimidine and H₂O:NH₃:pyrimidine ices were warmed and their original NH₃ and H₂O:NH₃ matrices sublimed away, ions,

radicals, and neutrals in the ices became mobile and may have reacted to form more complex species. Spectra of the resulting residues at 190–195 K (Fig. 4) contain a number of weak absorption features, some due to unreacted pyrimidine, and others due to new photo-products. The spectra of both residues show many similarities and suggest the presence of new functional groups involving C–N bonds including nitriles ($-\text{C}\equiv\text{N}$), isonitriles ($-\text{N}\equiv\text{C}$), OCN^- , as well as one or both of HCN and CN^- (Table 2).

There are, however, several distinct differences between the spectra of the two residues. For example, the NH_3 :pyrimidine residue contains a band at 2098 cm^{-1} , which is thought to be due to HCN or other nitrile-containing compounds, and a weak band at 1515 cm^{-1} that may be due to NH_3^+ cations. Moreover, the presence of several weak bands at 2206 , 1335 , and 1022 cm^{-1} in the NH_3 :pyrimidine residue, at 1040 cm^{-1} in the $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine residue, and at $1225/1233$, $1107/1115$, and $830/835\text{ cm}^{-1}$ in both residues, are compatible with spectral features of species formed from the rupture of the pyrimidic ring (Table 2). The $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine residue contains considerable extra absorption in the $3200\text{--}2600\text{-cm}^{-1}$ range that is likely associated with OH stretching vibrations, and additional features in the $1700\text{--}1250\text{-cm}^{-1}$ and $776\text{--}759\text{-cm}^{-1}$ ranges that are likely due to C–O stretching modes and to HNCO , respectively (Table 2). Of particular interest is a group of features in the $1690\text{--}1640\text{-cm}^{-1}$ range that may be associated

with the C=C/C=O stretching mode of oxidized pyrimidines (Gageot and Sprik, 2003).

3.2. Liquid chromatography

NH₃:pyrimidine mixtures. Although several NH₃:pyrimidine mixtures with ratios ranging from 10:1 to 100:1 were irradiated in this study, the discussion will mainly focus on the results obtained for the 40:1 mixtures. The total HPLC chromatogram ($\lambda = 256$ nm) of a residue produced from the UV photo-irradiation at 18–29 K of a NH₃:pyrimidine = 40:1 mixture irradiated for ~24 hours is shown in the top trace of Fig. 5a. The bottom trace corresponds to a blank sample in which a similar mixture was deposited on the Al foil but not UV irradiated. The only peak visible in the chromatogram of the blank sample, at a retention time (R_t) of 18.11 min, is due to unreacted pyrimidine. All other peaks in the irradiated sample chromatogram are due to products formed from photo-processes at low temperature and/or during warm-up. As was the case for the UV irradiation of H₂O:pyrimidine ices (Nuevo *et al.*, 2009), the chromatograms of NH₃:pyrimidine samples showed no significant differences regardless of whether they were injected the day they were produced or up to 160 days later.

The chromatogram of the irradiated sample shows the same very intense, broad peak at 16.74 min (Fig. 5a, top trace) as in the blank sample, due to

unreacted pyrimidine. Its presence indicates that pyrimidine is not efficiently converted into photo-products when mixed with NH₃ ice and UV irradiated. This is significantly different from what happens when pyrimidine is mixed with an H₂O ice (Nuevo *et al.*, 2009). Peaks assigned to photo-products in the irradiated NH₃:pyrimidine sample are concentrated in the 5–15 min retention time region (Fig. 5b) and are weak in intensity. The two strongest peaks assigned to photo-products are ~6 and ~10 times weaker than the peak of unreacted pyrimidine.

The few photo-products that could be identified in this residue are listed in Table 4 and labeled **1** to **3** on the chromatograms (Fig. 5a). Among them, 4-aminopyrimidine (peak **1**, $R_t = 8.37$ min) is one of the most abundant photo-products (Fig. 5b). The slight difference of retention time between the peaks of 4-aminopyrimidine in the sample and the standard chromatograms is due to the fact that they were injected several days apart. However, their UV spectra (not shown here) match perfectly and confirm the identification of 4-aminopyrimidine. The presence of its isomer 2-aminopyrimidine could not be verified in the HPLC chromatograms, because it elutes at 19.45 min (Table 3) and its peak is blended with the broad peak of unreacted pyrimidine. 4,5-Diaminopyrimidine was the only compound with two amino groups detected in the irradiated NH₃:pyrimidine = 40:1 sample (Fig. 5b, peak **2**, $R_t = 6.92$ min). Its identification was confirmed by comparing its UV spectrum with that of the standard (not shown here). Note that this photo-product was not found in most of the other NH₃:pyrimidine mixtures.

Finally, a weak peak at 8.89 min, assigned to 4(3*H*)-pyrimidone and labeled as peak **3**, was found in a few NH₃:pyrimidine samples for which the initial concentration of pyrimidine was high (10:1 and 20:1 mixtures). The presence of this oxidized derivative is unexpected since the starting ice mixture did not contain any oxygen-bearing compounds. This trace of oxygen probably comes from H₂O, either via photochemistry in the ice (H₂O is the primary trace contaminant in our vacuum system) or hydrolysis in the liquid phase after residues are dissolved in water prior to injection into the HPLC. This point will be discussed in more detail in Section 4.1.

None of the other compounds searched for (Tables 2 and 4) could be identified in the HPLC chromatogram of the sample, though several peaks show clear profiles and UV spectra. In particular, the intense peaks at 5.90, 10.02, and 10.39 min (Fig. 5b) remain unidentified. They may be due to any or all of the following: isomers whose standards are not available; non-cyclic compounds, as suggested by IR spectra (Figs. 3 and 4); cyclic compounds other than pyrimidine-based species, although pyridine and purine were not detected (Table 4). Additional unidentified, weaker peaks eluting at 41.07 and 43.11 min (Fig. 5a), already observed in H₂O:pyrimidine residues (Nuevo *et al.*, 2009), have unique UV spectra compared with the rest of the photo-products. They may be due to molecules formed from re-arrangements of pyrimidine into different cyclic

structures, with no addition of nucleophilic groups such as OH or NH₂, which usually elute at shorter (<15 min) retention times.

The chromatograms of the residues produced from the UV irradiation of an NH₃:pyrimidine = 40:1 mixture at 120 K and of a similar mixture for which a CaF₂ filter was used to cut off Lyman- α (121.6 nm) photons at 18–24 K are shown in the top middle and bottom middle traces of Fig. 5a, respectively. They display qualitatively similar peaks compared with those observed for the NH₃:pyrimidine = 40:1 sample irradiated at lower temperature with no filter (Fig. 5a, top trace), with different relative intensities. Other peaks present are pyrimidine (~16.89 and 16.84 min for the residues formed at 120 K and with the use of the CaF₂ filter, respectively), 4-aminopyrimidine (8.04 and 7.99 min), as well as both groups of unidentified peaks in the 10–10.5-min and 39–44-min retention time ranges. The peak assigned to 4(3*H*)-pyrimidone (at 8.76 and 8.74 min) is also present.

It is clear from comparison of the chromatograms of the residue formed at 120 K (Fig. 5a, top middle trace) with that of the 18–29-K sample (top trace) that the photochemistry is somewhat more efficient at the higher temperature, since the photo-product peak intensities in the sample irradiated at 120 K are about 1.5 times higher than for the 18–29-K sample. Also, while these different samples show a similar set of peaks, the relative abundance of the products seems to vary. For example, the most abundant (unidentified) photo-product of the sample

irradiated at 18–29 K ($R_t = 5.90$ min) is one of the least abundant photo-products in the 120-K sample, whereas the unidentified compounds eluting at 10–10.5 and 39–44 min are formed much more efficiently at 120 K than at lower temperature. In contrast, the use of the CaF₂ filter resulted in a significant decrease in the photochemistry efficiency (compare the top and bottom middle traces in Fig. 5a), even after normalization of the photo-product abundances to the number of pyrimidine molecules deposited and to the ratio of the number of photons to the number of pyrimidine molecules deposited. This result suggests that (1) Lyman- α photons play an important role in the photochemistry that takes place in such ices, and (2) photo-products are still formed by lower-energy photons even in the absence of Lyman- α photons, although with a significantly lower efficiency.

The HPLC chromatograms obtained for residues formed from NH₃:pyrimidine mixtures with relative ratios of 10:1, 20:1, and 100:1 (not shown here) are qualitatively similar to those given in Fig. 5. This suggests that the relative proportion between NH₃ and pyrimidine in the starting mixture within this concentration range has no major effect on the types of photo-products formed, and it only affects the absolute quantities and/or relative yields of these photo-products.

As a general remark, HPLC results show that the UV irradiation of NH₃:pyrimidine mixtures is not as efficient for making photo-products as what is observed for H₂O:pyrimidine mixtures (Nuevo *et al.*, 2009). However, it is

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AUTHOR COMMENT: *Changing the verbs to the past form here indirectly implies that these phenomena were only observed in this particular experiment, which is not the case.*

EDITOR COMMENT: Per the author's comment, I'm not sure what is meant by 'indirectly implies that these phenomena were only observed in this particular experiment.' I'm not seeing here that the authors are attempting to speak about results or occurrences of multiple experiments or investigations, and if that were the case, I don't see how the simple use of present tense is going to convey that.

But if I'm reading this passage correctly, the authors are speaking of a 'result' obtained during the course of this study. And it is absolutely true that any results, data, spectra, etc. take present tense, and so the verb 'suggests' is correct. But in the dependent clause after the term 'that' the authors are talking about what that result suggests might have occurred in the experiments conducted within the confines of this investigation, which is that Lyman- α photons played an important role in the chemistry that took place in 'the ices' (during the course of this investigation), that is, the very ices used. Also, the photo-products were still formed in this phase of the investigation.

It is true, however, that present tense would work just fine here if the authors clearly stated, for example, that this 'result suggests' that Lyman- α photons play an important role in the photoch... [1]

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interesting to note that the basic chemistry taking place is similar to what occurs when pyrimidine (Nuevo *et al.*, 2009), small PAHs (Bernstein *et al.*, 1999, 2001, 2002b; Ashbourn *et al.*, 2007), and small PANHs (Elsila *et al.*, 2006) are irradiated in ices.

H₂O:NH₃:pyrimidine mixtures. The HPLC chromatograms ($\lambda = 256$ nm) of the residues produced from an H₂O:NH₃:pyrimidine = 20:2:1 mixture that was UV photo-irradiated at 20–32 K for ~23.5 hours (top trace), and two similar mixtures—one irradiated at 120 K (top middle trace), and the other irradiated with the use of a CaF₂ filter at 19–28 K (bottom middle trace)—are shown in Fig. 6a. The bottom trace corresponds to an H₂O:NH₃:pyrimidine = 20:2:1 mixture that was deposited on the Al foil but not UV irradiated (blank). Similarly to what was observed for H₂O:pyrimidine (Nuevo *et al.*, 2009) and NH₃:pyrimidine mixtures, the non-irradiated H₂O:NH₃:pyrimidine sample did not show any significant peak except that of unreacted pyrimidine ($R_t = 18.61$ min).

The compounds identified in H₂O:NH₃:pyrimidine samples are listed in Table 4. The most intense peak observed in the chromatogram of the 20–32-K sample is unreacted pyrimidine ($R_t = 16.54$ min, Fig. 6a). The intensity ratio between the pyrimidine peak and the most intense peaks assigned to photo-products is about 2, which indicates that the presence of H₂O in the starting mixture helps to convert pyrimidine more efficiently into photo-products,

including aminopyrimidines, by a factor of ~ 3.5 compared with NH_3 :pyrimidine mixtures (Fig. 5). However, since the relative abundance of pyrimidine and the other ice components is about twice as high in the initial $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine ice as in the initial NH_3 :pyrimidine ice, this photo-conversion efficiency ratio might in fact be closer to ~ 2 . The role of H_2O as a catalyst for such reactions is in agreement with theoretical studies of the formation of uracil in H_2O :pyrimidine mixtures (Bera *et al.*, 2010) and will be discussed in more detail in Section 4.1.

Among the photo-products identified are 4-aminopyrimidine (peak **1**, $R_t = 7.96$ min) and 4(3*H*)-pyrimidone (peak **3**, $R_t = 8.74$ min) (Table 4). Comparison between the UV spectrum of the peak assigned to 4(3*H*)-pyrimidone in the sample and that of a standard (Fig. 6c) confirms its identification. Because it was also detected in a few NH_3 :pyrimidine samples, it is not clear whether 4(3*H*)-pyrimidone is formed in the ices during UV irradiation at low temperature and/or after extraction of the residue with liquid H_2O at room temperature (see Section 4.1). However, previous studies clearly show that 4(3*H*)-pyrimidone is the most abundant photo-product formed in residues produced from the UV irradiation of H_2O :pyrimidine mixtures (Nuevo *et al.*, 2009), so its presence is expected here.

A second oxidized pyrimidine derivative was detected in the chromatogram of several $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine samples, namely, pyrimidine *N*-oxide ($R_t = 8.46$ min), though not in the sample presented here. A peak eluting with a retention time close to that of pyrimidine *N*-oxide is present in its

chromatogram (Fig. 6b), but it displays a different UV spectrum. The presence of such a compound is predicted by theoretical calculation in which H₂O:pyrimidine mixtures are UV irradiated (Bera *et al.*, 2010). Although its presence in H₂O:pyrimidine residues was not initially confirmed (Nuevo *et al.*, 2009), it has since been detected in those residues as well and is reported in Table 4.

4,5-Diaminopyrimidine, detected in the HPLC chromatogram of the NH₃:pyrimidine = 40:1 sample (Fig. 5), was not detected in any of the H₂O:NH₃:pyrimidine samples with HPLC. However, an additional pyrimidine derivative was found in all H₂O:NH₃:pyrimidine residues, namely, 2,2'-bipyrimidine (peak **4**, $R_t = 24.37$ min) (Fig. 6a), whose identification was confirmed by its UV spectrum. This compound, which consists of two pyrimidine molecules linked together by a C–C bond (Bera *et al.*, 2010), was previously found in UV-irradiated H₂O:pyrimidine mixtures (Nuevo *et al.*, 2009). Similarly to what was observed for NH₃:pyrimidine residues, none of the peaks eluting in the 6–10-min and 40–45-min ranges could be identified.

Finally, the presence of the biological nucleobase cytosine (4-amino-2-hydroxypyrimidine) was unsuccessfully searched for in the HPLC chromatogram of the H₂O:NH₃:pyrimidine residue. A peak eluting at a very close retention time to that of cytosine is present (Fig. 6b), but it displays a very different UV spectrum (Fig. 6d). The search for isocytosine (2-amino-4-hydroxypyrimidine), an isomer of cytosine, in HPLC chromatograms was also unsuccessful.

The chromatograms of the residues produced from the UV irradiation of the additional H₂O:NH₃:pyrimidine = 20:2:1 mixtures, one at 120 K (Fig. 6a, top middle trace) and the other at 19–28 K for which a CaF₂ filter was used (bottom middle trace), show a similar trend to what is observed for the NH₃:pyrimidine residues (Fig. 5a). The 120-K residue shows a significant increase in the efficiency of conversion of pyrimidine into photo-products (Fig. 6a, top middle trace). Similarly to NH₃:pyrimidine mixtures, the formation of photo-products for ices irradiated at 120 K is about 1.5 times more efficient than for irradiation at the lower temperature. As expected, the peak intensities of the photo-products relative to that of unreacted pyrimidine are significantly lower when a CaF₂ filter is used (compare top middle and bottom middle traces of Fig. 6a), which confirms that photochemical reactions leading to the formation of pyrimidine derivatives are enhanced by the absorption of Lyman- α photons.

With the exception of 4,5-diaminopyrimidine, HPLC chromatograms show that the photo-products formed in H₂O:NH₃:pyrimidine residues correspond to the sum of the photo-products found in H₂O:pyrimidine residues (Nuevo *et al.*, 2009) and those identified in NH₃:pyrimidine residues (Table 4). In addition, HPLC chromatograms indicate that the presence of H₂O in the starting mixtures seems to increase the efficiency of conversion of pyrimidine into *all* the photo-products, including aminopyrimidine derivatives (Fig. 6).

3.3. Gas chromatography–mass spectrometry

The use of an independent and complementary analytical technique such as GC-MS allowed us to confirm the presence of compounds identified in the HPLC chromatograms, as well as identify species not detected with HPLC. Mass spectrometry gives us important information about the carriers of GC-MS peaks for which standards are not available by constraining the molecular mass and structure for unidentified species. GC-MS identifications of compounds were performed by comparing single-ion chromatograms (SICs) of samples to standards for the mass of the most intense fragment of the *t*BDMS derivatives (M^*-57 amu), which corresponds to the derivatized compound (M^*) that has lost one *tert*-butyl ($-C(CH_3)_3$) group (57 amu) (Table 3; Casal *et al.*, 2004; Schummer *et al.*, 2009).

NH₃:pyrimidine mixtures. Several samples produced from the UV irradiation of NH₃:pyrimidine ices with different relative proportions were analyzed, though we focus here on the results obtained for a residue formed from a 40:1 ice. Figure 7a shows a comparison of the GC-MS total-ion chromatograms (TICs) of a residue formed from the irradiation of an NH₃:pyrimidine = 40:1 ice mixture at 19–28 K (top trace) with those of residues formed from the irradiation of a similar mixture at 120 K (top middle trace), and from another similar mixture irradiated at 18–24

K with the use of a CaF₂ filter (middle trace) (see Section 2.1). The bottom middle and bottom traces correspond to the chromatograms of a non-irradiated NH₃:pyrimidine = 40:1 ice mixture deposited at 21–33 K (blank, no UV) and of the derivatization agent (MTBSTFA with 1% of *t*BDMCS) (procedural blank), respectively.

The chromatogram of the 19–28-K residue (top trace) shows a few peaks due to photo-products and derivatization by-products. The main peak at $R_t = 12.00$ min is due to the presence of a compound or fragment released by the derivatization agent (bottom trace), as is also the case for other weaker peaks eluting at 10.55, 19.24, 19.75, 23.78, 28.25, and 30.67 min. These peaks are present in all chromatograms, with relative intensities varying from one sample to another, including in the non-irradiated sample (bottom middle trace). The other peaks observed in the chromatogram of the no-UV blank could not be identified. However, their mass fragmentation patterns indicate that they are not due to pyrimidine derivatives, but rather to silicon-bearing compounds which may have originated from the GC fused-silica capillary column used for sample separation (column bleeding) or the vials in which samples were dried and derivatized, as well as to rubber-like compounds from the gloves used.

Comparison of the 19–28-K sample (Fig. 7a, top trace) with the sample formed from the irradiation of the ice mixtures at 120 K (top middle trace) indicates that irradiating NH₃:pyrimidine ice mixtures at higher temperature

inhibits the formation of several compounds, since only very few peaks can be observed in the chromatogram of the 120-K sample. This result is different from what was observed for the equivalent residue analyzed with HPLC (Fig. 5a), for which the amount of photo-products formed was enhanced at 120 K (Section 3.2). It is also different from what was observed for UV-irradiated H₂O:pyrimidine ices (Nuevo *et al.*, 2009). This suggests that the nature of the photo-products formed in NH₃:pyrimidine and H₂O:pyrimidine residues is different, since a large fraction of the products present in NH₃:pyrimidine samples seem to diffuse through the GC-MS column without any interaction. The chromatogram of the sample irradiated at 18–24 K with the use of the CaF₂ filter (Fig. 7a, middle trace) shows even fewer peaks, in agreement with HPLC data for NH₃:pyrimidine (Fig. 5a) and H₂O:pyrimidine samples (Nuevo *et al.*, 2009). The GC-MS chromatograms of other NH₃:pyrimidine mixtures with relative proportions 10:1, 20:1, and 40:1 (not presented here) show similar results.

As for HPLC, only a few photo-products could be identified with GC-MS in NH₃:pyrimidine residues (Table 4). Figure 8 shows the GC-MS single-ion chromatograms (SICs) of the 19–28-K NH₃:pyrimidine = 40:1 residue for m/z = 152 and 281 amu, which correspond to the masses of amino- and diaminopyrimidine *t*BDMS derivatives, respectively, and their direct comparison with the corresponding standard SICs for 2- and 4-aminopyrimidines (152 amu), and for 2,4- and 4,5-diaminopyrimidines (281 amu). They clearly show that 4-

aminopyrimidine ($R_t = 15.08$ min) is present in this residue and confirm its detection with HPLC (Fig. 5). Other amino- and diaminopyrimidines were not found in any of the NH_3 :pyrimidine samples, with the exception of 4,5-diaminopyrimidine, which was detected in only one NH_3 :pyrimidine = 20:1 residue, similarly to what was observed in HPLC chromatograms.

It is interesting to note that the main photo-product identified in UV-irradiated NH_3 :pyrimidine ices is 4-aminopyrimidine, that is, a pyrimidine molecule to which the hydrogen atom in position 4 of the pyrimidic ring has been substituted by an amino group (Fig. 1a). This is comparable to what was observed experimentally for H_2O :pyrimidine ices, where the most abundant photo-product was found to be 4(3*H*)-pyrimidone (Nuevo *et al.*, 2009), and supported by quantum *ab-initio* calculations that showed that nucleophilic substitution on position 4 of the pyrimidic ring is favored over the others (Bera *et al.*, 2010).

The presence of 4(3*H*)-pyrimidone, observed in the HPLC chromatograms of NH_3 :pyrimidine samples (Fig. 5a), was confirmed by GC-MS analysis for nearly all residues. In addition, very small amounts of uracil and 4,6-dihydroxypyrimidine were also detected. Interestingly, 4(3*H*)-pyrimidone and doubly oxidized pyrimidines were also detected in the GC-MS chromatograms of dry residues that were extracted from their Al foil with ethyl acetate instead of water (see Section 2.2). This indicates that the oxidation of the NH_3 :pyrimidine residues is probably mostly due to interactions with traces of residual H_2O

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remaining in the vacuum chamber during photo-irradiation rather than a liquid-phase hydrolysis of the residues.

Other oxygen-bearing molecules formed in H₂O:pyrimidine samples, such as hydantoin, urea, and glycine (the smallest proteinic amino acid), were also detected in trace amounts in a few NH₃:pyrimidine samples (Table 4), which indicates that the pyrimidic ring can be partially or fully broken upon photo-irradiation, in agreement with the presence of bands assigned to CO, CO₂, OCN⁻, and other small carbon-bearing species in the infrared spectra of NH₃:pyrimidine samples (Fig. 3). Finally, 1,4,5,6-tetrahydropyrimidine ($R_t = 14.09$ min) and the nucleobase cytosine ($R_t = 24.53$ min) were tentatively detected at trace levels in one NH₃:pyrimidine = 10:1 sample.

H₂O:NH₃:pyrimidine mixtures. The GC-MS total-ion chromatogram of a residue formed from the UV irradiation of an H₂O:NH₃:pyrimidine = 20:2:1 ice mixture at 20–29 K is given in Fig. 7b (top trace) and compared with those of residues formed from the irradiation of a similar mixture at 120 K (top middle trace), and from another similar mixture irradiated at 19–28 K with the use of a CaF₂ filter (middle trace). The bottom middle and bottom traces correspond to the TICs of a non-irradiated H₂O:NH₃:pyrimidine = 20:2:1 ice mixture deposited at 22–32 K (blank no UV) and of MTBSTFA (with 1% of *t*BDMCS) (procedural blank), respectively.

The GC-MS chromatogram of the irradiated 20–29-K H₂O:NH₃:pyrimidine = 20:2:1 sample (top trace) displays a large number of peaks. The GC-MS chromatogram of the non-irradiated H₂O:NH₃:pyrimidine = 20:2:1 sample (Fig. 7b, bottom middle trace) shows only peaks consistent with MTBSTFA by-products (bottom trace).

The TIC of the sample produced from the irradiation of the H₂O:NH₃:pyrimidine = 20:2:1 ice mixture at 120 K (top middle trace) shows a significant number of peaks in addition to those present in the non-irradiated sample and the MTBSTFA. This chromatogram contains, however, a smaller number of peaks than the 20–29-K sample (top trace), as was observed for NH₃:pyrimidine = 40:1 samples irradiated at both low and higher temperatures (Fig. 7a, top and top middle traces, respectively). This again suggests that the photochemistry of H₂O:NH₃:pyrimidine ices is different from that of H₂O:pyrimidine ices (Nuevo *et al.*, 2009). Finally, the chromatogram of the sample irradiated at 19–28 K with the use of the CaF₂ filter (middle trace) shows fewer peaks than the other samples, which indicates again that the formation of photo-products is significantly enhanced when Lyman- α photons are present in the light source. Indeed, a first-order comparison between the GC-MS chromatograms of these two samples shows that singly-substituted pyrimidine derivatives such as 4(3*H*)-pyrimidone and 4-aminopyrimidine are about 5 times less abundant in the experiment in which the CaF₂ filter was used. More complex

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molecules such as uracil were found to be up to 30 times less abundant. This is consistent with the fact that more substitutions are required to make uracil from pyrimidine than to make 4(3*H*)-pyrimidone, and suggests that the formation of multiple-substitution photo-products from pyrimidine is probably a stepped, multi-photon process.

Several peaks could be identified in the TICs of the H₂O:NH₃:pyrimidine samples (Table 4). All amino- and diaminopyrimidine derivatives for which we had standards were detected in most samples. However, 2,4,6-triaminopyrimidine was not detected in any of them. All singly, doubly, and even triply oxidized pyrimidines searched for were also detected in most of the samples. These compounds include the nucleobase uracil (2,4-dihydroxypyrimidine, $R_t = 20.81$ min) and its isomer 4,6-dihydroxypyrimidine ($R_t = 21.48$ min), as shown in the single-ion chromatogram of the 20–29-K sample for $m/z = 283$ amu (Fig. 9a, three bottom traces). Moreover, the nucleobase cytosine ($R_t = 24.54$ min) and its isomer isocytosine ($R_t = 22.85$ min) were also found in the $m/z = 282$ amu SIC of the same sample (Fig. 9a, three top traces), as well as in several other H₂O:NH₃:pyrimidine samples. 5-Aminouracil, another pyrimidine derivative containing amino and hydroxy/keto groups, was also identified in a few samples, and its isomer 6-aminouracil was tentatively identified (Table 4).

The broad inventory of pyrimidine derivatives identified in H₂O:NH₃:pyrimidine samples highlights the important role of H₂O in the starting

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mixtures. Indeed, H₂O not only contributes to the formation of oxidized species, but it also enhances the formation of non-oxidized compounds, such as amino-bearing pyrimidine derivatives, that were not detected in NH₃:pyrimidine samples (Fig. 8, Table 4). These properties of H₂O ice will be discussed in Section 4.1.

Additional identified pyrimidine derivatives in the irradiated 20–29-K H₂O:NH₃:pyrimidine = 20:2:1 sample, as well as in several others, include 1,4,5,6-tetrahydropyrimidine ($R_t = 14.14$ min) and 2,2'-bipyrimidine ($R_t = 17.73$ min, also identified via HPLC, see Fig. 6a). Several other intense peaks, with masses consistent with the presence of other bipyrimidine isomers, were also identified in GC-MS chromatograms at $R_t = 13.03$ and 16.75 min, although it is impossible to clearly identify them because of the lack of commercially available standards. The presence of several bipyrimidines in residues formed from the UV irradiation of H₂O:pyrimidine ice mixtures was predicted from theoretical calculations (Bera *et al.*, 2010).

Finally, the UV irradiation of H₂O:NH₃:pyrimidine ices also leads to the formation of a suite of small aliphatic compounds of astrobiological and prebiotic interest such as urea ($R_t = 18.32$ min), glycine (the smallest proteinic amino acid, $R_t = 16.27$ min), and *N*-formylglycine (a non-proteinic amino acid, $R_t = 21.83$ min). These are seen on the SICs of the 20–29-K H₂O:NH₃:pyrimidine = 20:2:1 sample for $m/z = 231$, 246, and 274 amu, respectively (Fig. 9b). Additionally, traces of alanine, the second smallest proteinic amino acid, were detected in the

single-ion chromatograms ($m/z = 260$ amu) of two other samples of compositions 20:2:1 and 20:1:1 at $R_t = 15.75$ min, although it was found to coelute with another unidentified compound.

4. Discussion and Astrobiological Implications

4.1. The role(s) of H_2O

Our results highlight the important role of H_2O , both in the efficiency of formation and the distribution of pyrimidine photo-products. As mentioned in Sections 3.2 and 3.3, H_2O is involved in the formation of photo-products at several independent steps: as a matrix with catalytic properties, as a reactant, and as a solvent when extracting the final residues from their substrate.

In the ice at low temperature, H_2O is efficiently photo-dissociated by UV photons (mainly Lyman α), due to its low dissociation energy of 5.1 eV (Woon, 2002), and releases H atoms and OH radicals that can readily react with other species. OH radicals can be efficiently added to pyrimidine in ice mixtures (Nuevo *et al.*, 2009; Bera *et al.*, 2010; Figs. 6b and 9a) to form oxidized derivatives such as 4(3*H*)-pyrimidone, uracil, and other isomers. The interaction between H atoms and pyrimidine is not as efficient, but still observed via the

presence of 1,4,5,6-tetrahydropyrimidine in a few samples (Table 4). It has to be noted that while radical species are mainly formed in the ices at (very) low temperature, the majority of radical-radical and radical-neutral reactions take place during warm-up when species become more mobile in the ice matrix and can find each other to interact (Bernstein *et al.*, 1995). In addition, the presence of oxygen-bearing functional groups in samples formed from NH₃:pyrimidine (Figs. 3 and 5b; Sections 3.2 and 3.3) clearly indicates that even trace amounts of residual H₂O in the chamber are efficiently incorporated into the photochemistry taking place during those experiments.

H₂O also plays the role of a third body in the absorption of excess energy from exothermic reactions. As shown by quantum calculations, H₂O in these ices also assists proton abstraction from intermediate species such that it stabilizes the formation of the final products (Bera *et al.*, 2010). Thus, the presence of surrounding H₂O molecules allows the formation of many oxidized species that might not otherwise be thermodynamically favored. In our experiments, these properties of H₂O ice are highlighted by the fact that the formation of amino-bearing species from pyrimidine and NH₃ appears to be enhanced by the presence of H₂O in the starting mixture, since amino- and diaminopyrimidine compounds that were not detected in NH₃:pyrimidine samples are present in several H₂O:NH₃:pyrimidine samples (Table 4).

H₂O (liquid) is also used as a solvent to extract final residues from Al foils at room temperature, and it is reasonable to assume that dissolution in water could also affect the chemical composition of the samples. In particular, hydrolysis of organic residues consisting of macromolecular materials will break up polymers into their monomers and oxidize reduced functional groups such as nitriles. To assess whether such an effect occurs with our samples, several residues formed from the UV irradiation of NH₃:pyrimidine and H₂O:NH₃:pyrimidine mixtures were extracted with ethyl acetate instead of H₂O (Section 2.3) before analysis with GC-MS. The chromatograms obtained for NH₃:pyrimidine residues extracted with ethyl acetate (not shown) are comparable to those extracted with water, within the variation range observed in individual samples, and all of them show the presence of oxidized pyrimidines. Moreover, cytosine is known to be easily hydrolyzed into uracil at room temperature (Ferris *et al.*, 1968; Shapiro, 1999), so the presence of cytosine in all H₂O:NH₃:pyrimidine residues, even after dissolution in water for several days, indicates that hydrolysis in our samples is limited. These results suggest that liquid H₂O has no strong hydrolyzing effect on the residues and that the presence of oxidized products in H₂O-free samples is mainly due to reactions of pyrimidine with trace amounts of residual H₂O in the vacuum chamber, as suggested by IR spectroscopy (top traces of Figs. 3 and 4).

However, the effects of long-term water solvation have not been studied in this work, and the chemical composition of residues may possibly be altered if

they are in contact with H₂O for extended periods of time. HPLC chromatograms of NH₃:pyrimidine and H₂O:NH₃:pyrimidine residues kept for 160 days have been measured and compared with those measured immediately after they were extracted (Section 3.2). These chromatograms show slight changes in the relative intensities, shifts in retention times, or both, for a few peaks, but they do not indicate any significant increase of oxidized species, which confirms that H₂O solvent-induced hydrolysis is limited. This suggests that a large fraction of the photo-products in the residues are pyrimidine derivatives present in a free form rather than in a macromolecular structure, unlike what is usually observed for residues formed from the UV irradiation of non-aromatic starting compounds, in which the majority of free organic molecules such as amino acids are detected after hydrolysis (Bernstein *et al.*, 2002a; Muñoz Caro *et al.*, 2002; Nuevo *et al.*, 2008).

4.2. Mechanisms of formation of nucleobases and other compounds

Although the formation of photo-products from the UV irradiation of NH₃:pyrimidine ices did not appear to be an efficient process (Figs. 5, 7, and 8), a large number of pyrimidic and non-pyrimidic species were found in H₂O:NH₃:pyrimidine samples. These photo-products include the nucleobases uracil and cytosine (Fig. 9a), as well as other compounds of prebiotic and

biological importance, such as urea and the amino acids glycine (proteinic) and *N*-formylglycine (non proteinic) (Fig. 9b).

The mechanisms of formation for most of these molecules are not well known, but a few assumptions based on HPLC and GC-MS results (Section 3), as well as previous experimental (Nuevo *et al.*, 2009) and theoretical (Bera *et al.*, 2010) studies on the UV irradiation of H₂O:pyrimidine mixtures, can give us a general idea of the chemical pathways that lead to their formation.

It is reasonable to assume that the formation of uracil in H₂O:NH₃:pyrimidine samples follows a similar pathway to the one deduced from H₂O:pyrimidine studies, since H₂O is the dominant component of the ices in both cases. In this mechanism, the first step is the addition of an OH group to the position 4 of the pyrimidic ring to form 4(3*H*)-pyrimidone, which was found to be the most abundant oxidized pyrimidine in all H₂O:pyrimidine (Nuevo *et al.*, 2009) and H₂O:NH₃:pyrimidine (Fig. 6, Sections 3.2 and 3.3) samples, as well as the most stable singly oxidized pyrimidine expected to be formed in a pure H₂O ice (Bera *et al.*, 2010). The second step is the addition of another OH group to 4(3*H*)-pyrimidone on position 2 of the ring to form uracil, expected to be the most stable doubly oxidized pyrimidine derivative when formed in H₂O ice (Bera *et al.*, 2010). 2-Hydroxypyrimidine and 4,6-dihydroxypyrimidine, isomers of 4(3*H*)-pyrimidone and uracil, respectively, are also observed in H₂O:NH₃:pyrimidine samples, though with smaller abundances. The same formation process as for

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H₂O:pyrimidine samples (Nuevo *et al.*, 2009) is expected for the formation of uracil in other H₂O-containing ices, but competing pathways may exist. Further theoretical work is necessary to identify and evaluate those competing mechanisms for the formation of uracil and its isomers in the presence of NH₃.

The formation of cytosine in our samples is not well understood, but it probably follows a similar pattern, that is, a two-step addition of NH₂ and OH groups to pyrimidine. According to what we know for the formation of uracil from H₂O:pyrimidine ices (Nuevo *et al.*, 2009; Bera *et al.*, 2010) and our experimental results for NH₃:pyrimidine and H₂O:NH₃:pyrimidine mixtures, it seems that the addition of nucleophilic groups (NH₂, OH) to the position 4 of pyrimidine is favored over the others, which is supported by the presence of 4(3*H*)-pyrimidone and 4-aminopyrimidine with higher abundances (Section 3). Therefore, it can be reasonably assumed that the first step for the formation mechanism of cytosine is the addition of an NH₂ group to the position 4 of the pyrimidic ring to form 4-aminopyrimidine, which was found abundantly in all NH₃:pyrimidine and H₂O:NH₃:pyrimidine residues (Table 4). Subsequently, an OH group can be added to 4-aminopyrimidine on position 2 to form cytosine. Similarly, isocytosine (Fig. 1b) is probably formed via a two-step mechanism, that is, via the addition of an OH group to pyrimidine on position 4 to form 4(3*H*)-pyrimidone, followed by the addition of an NH₂ group to the position 2 of the ring.

These proposed mechanisms for the formation of uracil, cytosine, and their isomers detected in H₂O:NH₃:pyrimidine residues are summarized in Fig. 10.

Previous studies have also proposed chemical pathways to form cytosine under prebiotic conditions via hydrolysis from urea (detected in all H₂O:NH₃:pyrimidine samples) and cyanoacetaldehyde (Shapiro, 1999; Nelson *et al.*, 2001), though such a process may not be efficient at cryogenic temperatures. Under our experimental conditions, these pathways are most probably negligible compared with the formation pathways of cytosine and uracil from the addition of OH and NH₂ groups to pyrimidine.

Finally, the formation mechanisms of the small aliphatic compounds such as urea, glycine, and *N*-formylglycine found in the residues are not well understood. However, infrared spectra of irradiated H₂O:NH₃:pyrimidine mixtures (Fig. 4) show bands assigned to small carbonaceous molecules, including CO₂, OCN⁻, and functional groups such as nitriles and isonitriles, which indicates that a non-negligible fraction of pyrimidine is photo-dissociated upon UV irradiation. Open aromatic rings, in particular radicals, can then easily react with other species such as NH₂, OH, and CN groups released from the photo-dissociation of NH₃, H₂O, and pyrimidine itself, respectively. Subsequent photo-oxidation can then lead to the formation of alcohols, ketones, aldehydes, and carboxylic acids.

4.3. Estimates of quantities and formation yields

We estimated the quantities of the main photo-products detected in NH_3 :pyrimidine and $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine residues based on our GC-MS data. These estimates are based solely on a first-order comparison between the peak area of a given compound in the single-ion chromatogram of a sample and its peak area in the corresponding SIC of a standard. The quantities of uracil, cytosine, and their precursors (Section 4.2) were estimated to be in the 50–500 nmol range in the $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine = 20:2:1 sample whose GC-MS chromatograms are given in Fig. 9. For comparison, a total of $\sim 1\text{--}10$ μmol was estimated for the quantity of all species detected in the sample. Knowing that 0.16 mmol of pyrimidine was deposited on the cold substrate during irradiation, we derived a quantum yield of the order of 10^{-2} for all detectable pyrimidic photo-products. It must be noted, however, that no specific chromatographic protocol was applied to derive accurate quantities in the standards and samples injected in the GC-MS, so that the uncertainties associated with these quantities are estimated to be around 50%. For this reason, comparison between the quantities of given photo-products is very limited in this study.

Nonetheless, the estimated quantities indicate that 4(3*H*)-pyrimidone, 4-aminopyrimidine, and 2-aminopyrimidine are among the most abundant pyrimidic photo-products in this $\text{H}_2\text{O}:\text{NH}_3$:pyrimidine = 20:2:1 sample. Most non-pyrimidic compounds (*e.g.*, glycine and *N*-formylglycine) were formed in significantly

smaller quantities (1 to 2 orders of magnitude smaller), with the exception of urea, whose yield was estimated to be a few 10^{-3} , which is comparable to that of 4(3*H*)-pyrimidone and 4-aminopyrimidine.

The yields of the nucleobases uracil and cytosine were found to be $\sim 10^{-4}$, that is, about 10–20 times smaller than the single hydroxy- and aminopyrimidine derivatives, and comparable to what was found for the formation of amino acids from the UV irradiation of simple ice mixtures (Muñoz Caro *et al.*, 2002). Compared with results obtained for H₂O:pyrimidine ices (Nuevo *et al.*, 2009), it appears that the formation yields of 4(3*H*)-pyrimidone and uracil are one order of magnitude larger in H₂O:NH₃:pyrimidine = 20:2:1 samples, which suggests an effect of the presence of NH₃ in the starting mixture that has yet to be determined. Such an enhancement in the production of pyrimidine derivatives is in agreement with the photo-irradiation half-lives derived for pyrimidine in H₂O:pyrimidine, NH₃:pyrimidine, and H₂O:NH₃:pyrimidine ice mixtures (Table 1), which indicate that pyrimidine can survive UV photons ~ 7 times longer in an H₂O:NH₃ ice matrix than in an H₂O ice matrix. Pyrimidine is even more stable upon irradiation when embedded in a pure NH₃ ice (Section 3.1), although such an ice composition is clearly less relevant from an astrophysical point of view.

In summary, our results show that, of 5000 molecules of pyrimidine irradiated in our H₂O:NH₃:pyrimidine = 20:2:1 mixture, about 10 will be converted into 4(3*H*)-pyrimidone, and 1 into uracil. The conversion from 4(3*H*)-

pyrimidone into uracil in H₂O:NH₃:pyrimidine mixtures, assuming that 4(3*H*)-pyrimidone is the only precursor of uracil (Nuevo *et al.*, 2009; Bera *et al.*, 2010; Fig. 10), is of the same order as what is observed when pyrimidine is mixed with pure H₂O, that is, about 10% (Nuevo *et al.*, 2009).

Finally, our estimates also suggest that uracil is the most abundant doubly-oxidized pyrimidine produced in these experiments, which is in agreement with theoretical calculations (Bera *et al.*, 2010). Similarly, cytosine was found to be the most abundant isomer among aminohydroxypyrimidines, although no calculations have yet been performed for the formation of cytosine and its isomers from the photo-irradiation of pyrimidine in H₂O+NH₃ ices.

4.4. Comparison with other laboratory data and meteorites

N-heterocycles, including puric and pyrimidic nucleobases, have been found in meteorites, particularly in carbonaceous chondrites. Purines are usually found in a broader variety and higher abundances than pyrimidines (van der Velden and Schwartz, 1977; Callahan *et al.*, 2011). Indeed, only a few pyrimidic compounds have been identified in the Murchison, Murray, and Orgueil meteorites among the large inventory of organic molecules present. These compounds include 4-hydroxypyrimidine, tautomer of 4(3*H*)-pyrimidone (Folsome *et al.*, 1971, 1973; Lawless *et al.*, 1972), and uracil (Stoks and Schwartz, 1979; Martins *et al.*, 2008).

Thus, the main pyrimidic compounds seen in meteorites are the same as the main oxidized pyrimidine-based photo-products found in H₂O:pyrimidine (Nuevo *et al.*, 2009) and H₂O:NH₃:pyrimidine (Figs. 6 and 9a) residues.

Compared with Murchison, in which the concentrations of 4(3*H*)-pyrimidone and uracil were measured to be 6 µg g⁻¹ (Folsome *et al.*, 1971) and 0.03 µg g⁻¹ (Stoks and Schwartz, 1979), respectively, the uracil/4(3*H*)-pyrimidone ratio derived for our samples (~10⁻¹) is significantly larger than in meteorites (~5 × 10⁻³). However, it should be kept in mind that pyrimidine has a fairly high concentration in our ices, which results in an efficient formation of uracil via 4(3*H*)-pyrimidone (Nuevo *et al.*, 2009; Bera *et al.*, 2010; Section 4.2 and Fig. 10). In contrast, in astrophysical environments, the fraction of pyrimidine compared with other dominant ice components should be much lower, so that the amount of uracil formed via this chemical pathway is significantly smaller. Moreover, there is the possibility that the uracil and other pyrimidine derivatives in meteorites formed from non-pyrimidic and even non-cyclic precursors (Ricca *et al.*, 2001).

Cytosine may also be present in meteoritic materials, but it has yet to be detected. This can be partly due to the fact that it is known to be easily hydrolyzed into uracil (Shapiro, 1999; Nelson *et al.*, 2001), which may occur on the meteoritic parent body or during the process of extraction of meteoritic organics. More generally, protocols for the analysis of meteorites with chromatography techniques, including strong acid hydrolysis, may destroy a non-negligible

fraction of pyrimidine-based compounds, which are more subject to hydrolysis and degradation than purines. This can explain why several purines, including adenine and xanthine, are detected in the Murchison, Murray, and Orgueil meteorites, whereas pyrimidines are rare (Stoks and Schwartz, 1981; Callahan *et al.*, 2011). In our experiments, extraction of the residues with liquid H₂O prior to HPLC and GC-MS constitutes a mild hydrolysis, which does not significantly affect the degradation of pyrimidines and, thus, their detection in the samples.

Non-pyrimidic compounds found in our samples have also previously been detected in organic residues produced from the UV photo-irradiation of astrophysical ice analogs and carbonaceous chondrites. A broad range of amino acids (both proteinic and non proteinic) have been detected in hydrolyzed organic residues formed from the UV irradiation of different combinations of starting ice components with non-aromatic carbon sources, including H₂O, CO, CO₂, CH₃OH, CH₄, NH₃, and HCN (Bernstein *et al.*, 2002a; Muñoz Caro *et al.*, 2002; Nuevo *et al.*, 2008). In such residues, the distribution of amino acids formed follows a trend in which their abundance decreases exponentially with their molecular weight (Nuevo *et al.*, 2008). All these amino acids have also been detected in chondritic meteorites (up to 70 in Murchison alone), from which they are released after acid hydrolysis (Kvenvolden *et al.*, 1970; Shock and Schulte, 1990; Cronin and Pizzarello, 1999; Engel and Macko, 1997; Sephton, 2002; Martins *et al.*, 2007). In our H₂O:NH₃:pyrimidine samples, only glycine, the smallest proteinic amino acid,

and one of its non-proteinic derivatives, *N*-formylglycine, have been detected (Fig. 9b). Alanine, the second smallest proteinic amino acid, is probably also present in a few residues, but only in trace quantities (Table 4). This suggests that aromatic compounds, including pyrimidine, may be an additional source of carbon for the formation of amino acids and other small prebiotic molecules after UV irradiation under astrophysical conditions, although this process is not as efficient as the UV photolysis of carbonaceous species such as CO, CO₂, CH₃OH, and CH₄, which are significantly more abundant in the interstellar medium.

Among other non-aromatic compounds detected, urea, a small molecule of prebiotic importance believed to catalyze amino acid polymerization (Mita *et al.*, 2005), was found in all H₂O:NH₃:pyrimidine samples with high abundances. The presence of this species has previously been reported in organic residues formed from the UV irradiation of CH₃OH:NH₃ and H₂O:CH₃OH:NH₃ ice mixtures (Bernstein *et al.*, 2002a; Nuevo *et al.*, 2010; de Marcellus *et al.*, 2011), as well as in the Murchison meteorite (Cooper and Cronin, 1995). Similarly, hydantoin has recently been detected in the residues of UV-irradiated CH₃OH:NH₃ and H₂O:CH₃OH:NH₃ ices (de Marcellus *et al.*, 2011). It is probably formed from the combination of urea and glycolic acid, as both of these compounds have also been detected in residues (Nuevo *et al.*, 2010). Hydantoin, which has also been detected in the Murchison and Yamato-791198 meteorites (Cooper and Cronin, 1995; Shimoyama and Ogasawara, 2002), may, in the presence of amino acids in an

aqueous medium such as primitive oceans, lead to the formation of carbamoyl amino acids and *N*-carboxyanhydride amino acids, known to be precursors of polypeptides (Commeyras *et al.*, 2004; Danger *et al.*, 2006).

The presence of a large inventory of pyrimidine derivatives, including the nucleobases uracil and cytosine, together with species such as amino acids, urea, and hydantoin in H₂O:NH₃:pyrimidine samples, supports the idea that molecules of astrobiological importance could have been formed abiotically in space and delivered to **the early Earth** by comets and asteroids, seeding primitive oceans in which the first prebiotic reactions that led to the emergence of life may have taken place.

5. Conclusions

The UV photo-irradiation of NH₃:pyrimidine and H₂O:NH₃:pyrimidine ice mixtures leads to the formation of a large variety of photo-products, including pyrimidine-based species such as 4(3*H*)-pyrimidone, 2- and 4-aminopyrimidine, the nucleobases uracil and cytosine, several of their isomers, as well as non-cyclic species such as urea and the amino acids glycine and *N*-formylglycine.

First-order estimates of the quantities of several photo-products suggest formation yields for uracil and cytosine of the order of 10⁻⁴, which is comparable

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Sherry Cady

Comment: The problem here is that the Editor-in-Chief has adopted the convention that the article ‘the’ is not used when speaking of Earth, early Earth, primitive Earth, etc. This has to do with speaking of the planet as an entity with a formal, capitalized name. Also, some feel it is a sign of respect or endearment to drop the article ‘the.’ If you have some overriding reason as to why you prefer to opt for use of ‘the,’ please let me know. I’ll take it up with Dr. Cady, but you should note that *Astrobiology* is a science journal with a “brand” in the publishing industry, and as such, *Astrobiology* should not be confused with, for example, an entity like *arXive* where ‘house style’ is never an issue. Jessie Bauer

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to the formation of amino acids from the UV irradiation of simple astrophysically relevant ices. This work is also in agreement with previous experimental and theoretical studies about the mechanisms of formation of uracil, and proposes similar pathways for the formation of cytosine as well as other OH- and/or NH₂-bearing pyrimidines.

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Although the presence of pyrimidine in the interstellar medium is still an open question, our experimental results confirm that the photochemistry that takes place in cold astrophysical environments is very rich and leads to the formation of molecules of prebiotic interest under abiotic conditions. These molecules can then be preserved in small bodies like asteroids and comets before being delivered to telluric planets such as the primitive Earth. Such a possibility is consistent with the detection of non-terrestrial uracil and puric nucleobases as well as other astrobiologically interesting molecules in Murchison and other carbonaceous chondrites.

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No competing financial interests exist.

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Table 1. Comparison between the UV-destruction cross sections (σ_{UV}) and half-lives of pyrimidine in various ice mixtures at low temperature.

<i>Mixture</i>	σ_{UV} ($\text{cm}^2 \text{ molecule}^{-1}$)	<i>Half-lives</i> ^a			
		<i>Laboratory</i> (<i>min</i>)	<i>DISM</i> (<i>yr</i>)	<i>DC</i> (<i>Myr</i>)	<i>Solar System</i> (<i>hr</i>)
H ₂ O:Pyrimidine (20:1) ^b	1.5×10^{-19}	38	1430	143	42
NH ₃ :Pyrimidine (25:1)	1.2×10^{-20}	509	19400	1940	566
H ₂ O:NH ₃ :Pyrimidine (20:2:1)	2.1×10^{-20}	271	10300	1030	301
Ar:Pyrimidine (750:1) ^c	2.7×10^{-17}	0.93	8.1	0.81	0.23

^aEstimated according to the following UV photon fluxes: laboratory, 2×10^{15} photons $\text{cm}^{-2} \text{ s}^{-1}$ (Elsila *et al.*, 2007); diffuse interstellar medium (DISM), 8×10^7 photons $\text{cm}^{-2} \text{ s}^{-1}$ (Mathis *et al.*, 1983); dense clouds (DC), 1×10^3 photons $\text{cm}^{-2} \text{ s}^{-1}$ (Prasad and Tarafdar, 1983); Solar System, 3×10^{13} photons $\text{cm}^{-2} \text{ s}^{-1}$ (Peeters *et al.*, 2005).

^bData from Nuevo *et al.* (2009).

^cData from Peeters *et al.* (2005).

Table 2. Identification of the infrared bands in NH₃:pyrimidine = 25:1 and H₂O:NH₃:pyrimidine = 20:2:1 ices before and after irradiation, and in their respective residues at ~190 K. The band marked with an asterisk (*) was used to determine the photo-destruction half-life of pyrimidine. The assignments in the second part of the table are only tentative.

<i>NH₃:pyrimidine = 25:1</i>			<i>H₂O:NH₃:pyrimidine = 20:2:1</i>			<i>Identifications</i>	<i>Refs.</i>
<i>Band positions (cm⁻¹)</i>			<i>Band positions (cm⁻¹)</i>				
<i>Starting ice</i>	<i>Irradiated ice</i>	<i>Residue (195 K)</i>	<i>Starting ice</i>	<i>Irradiated ice</i>	<i>Residue (190 K)</i>		
			~3395	~3395		NH ₃ in H ₂ O ice	
3370	3370					NH ₃	a
3290	3290	3290				NH ₃ , N–H str.	a
			3275	3275		H ₂ O	b
3210	3210					NH ₃	a
		3180				N–H str.	
					3160–2875	O–H/N–H str.	
				2341		CO ₂	c
		2169		2167	2157	OCN ⁻ or isonitrile	d,e,f,g,h
				2136		CO	i
		2098				HCN, CN ⁻ , or nitrile	h,j,k
	2086					HCN, CN ⁻ , or nitrile	h,j,k
		1670			1670	C=C/C=O str.	l
						(oxidized pyrimidine)	
		1648	~1650	~1650		H ₂ O	b
						C=C/C=O str.	l
						(oxidized pyrimidine)	
1630	1630		1642	~1640		NH ₃	a
1577	1577	1575	1585	1585	1574	Pyrimidine	This work
1567	1567					Pyrimidine	This work
1470	1470		1472	1472		Pyrimidine	This work
1401*	1401*	1401*	1406*	1406*	1400*	Pyrimidine	This work
					1308	NO ₂ or COO ⁻ groups	m,n
1070	1070					NH ₃	a
725	725	721	717	717	720	Pyrimidine	This work
		2206				H ₂ C=NCN	o

1515		NH_3^+	p
1335		$\text{C}_2\text{H}_3\text{CN}^+$	q
1225	1233	$\text{H}_2\text{C}=\text{C}=\text{NCN}$	r
1107	1115	$\text{CH}_3\text{CH}=\text{NH}$, H_2CNO	s,t,u
	1040	$\text{CH}_3\text{CH}=\text{NH}$	s,t
1022		$\text{C}_2\text{H}_5\text{CN}$	v
830	835	$\text{HNC}=\text{C}=\text{CHCN}$	w
	776–759	HNCO	x,y

^aSill *et al.* (1980).

^bHudgins *et al.* (1993).

^cSandford and Allamandola (1990).

^dSchutte and Greenberg (1997).

^eDemyk *et al.* (1998).

^fPalumbo *et al.* (2000).

^gCotton and Zingales (1961).

^hBernstein *et al.* (1997).

ⁱSandford *et al.* (1988).

^jGerakines *et al.* (2004).

^kBurgdorf *et al.* (2010).

^lGaigeot and Sprik (2003).

^mGreen (1962).

ⁿMuñoz Caro and Schutte (2003).

^oEvans *et al.* (1991).

^pThompson and Jacox (2001).

^qDelwiche *et al.* (1993).

^rMaier and Endres (1999).

^sStolkin *et al.* (1977).

^tHashiguchi *et al.* (1984).

^uMcCluskey and Frei (1993).

^vShimanouchi (1972).

^wMaier and Endres (2000).

^xSteiner *et al.* (1979).

^yPettersson *et al.* (1999).

Table 3. List of all the standards searched for with HPLC and GC-MS.

<i>Species</i>	<i>Formula</i>	<i>Molecular mass (amu)</i>	<i>R_t (HPLC) (min)</i>	<i>R_t (GC-MS) (min)</i>	<i>GC-MS peak (amu)^a</i>
Pyrimidine ^b	C ₄ H ₄ N ₂	80	18.61	<i>n.d.</i>	80 (0)
2,2'-Bipyrimidine ^b	C ₈ H ₆ N ₄	158	24.56	17.70	158 (0)
1,4,5,6-Tetrahydropyrimidine	C ₄ H ₈ N ₂	84	<i>n.d.</i>	14.11	141 (1)
2-Hydroxypyrimidine	C ₄ H ₄ N ₂ O	96	7.84	11.29	153 (1)
4(3 <i>H</i>)-Pyrimidone	C ₄ H ₄ N ₂ O	96	8.76	10.28	153 (1)
Pyrimidine <i>N</i> -oxide ^b	C ₄ H ₄ N ₂ O	96	8.54	<i>n.d.</i>	96 (0)
Uracil	C ₄ H ₄ N ₂ O ₂	112	8.30	20.76	283 (2)
4,6-Dihydropyrimidine	C ₄ H ₄ N ₂ O ₂	112	6.12	21.45	283 (2)
Barbituric acid	C ₄ H ₄ N ₂ O ₃	128	5.69	29.84	413 (3)
Isobarbituric acid	C ₄ H ₄ N ₂ O ₃	128	7.08	29.89	413 (3)
2-Aminopyrimidine	C ₄ H ₅ N ₃	95	19.45	12.51	152 (1)
4-Aminopyrimidine	C ₄ H ₅ N ₃	95	8.03	15.10	152 (1)
2,4-Diaminopyrimidine	C ₄ H ₆ N ₄	110	7.11	25.98	281 (2)
4,5-Diaminopyrimidine	C ₄ H ₆ N ₄	110	6.98	26.97	281 (2)
2,4,6-Triaminopyrimidine	C ₄ H ₇ N ₅	125	8.21 ^c	35.88	410 (3)
Cytosine	C ₄ H ₅ N ₃ O	111	6.82	24.53	282 (2)
Isocytosine	C ₄ H ₅ N ₃ O	111	8.19	22.86	282 (2)
5-Aminouracil	C ₄ H ₅ N ₃ O ₂	127	6.91	31.16	412 (3)
6-Aminouracil	C ₄ H ₅ N ₃ O ₂	127	7.45	31.81	412 (3)
2-Amino-4,6-dihydropyrimidine	C ₄ H ₅ N ₃ O ₂	127	6.27	30.68	412 (3)
2,4-Diamino-6-hydroxypyrimidine	C ₄ H ₆ N ₄ O	126	7.54	33.00	411 (3)
2-Pyrimidinecarbonitrile ^b	C ₅ H ₃ N ₃	105	29.05	<i>n.d.</i>	105 (0)
Orotic acid	C ₅ H ₄ N ₂ O ₄	156	6.13	32.60	441 (3)
2-Amino-5-nitropyrimidine	C ₄ H ₄ N ₄ O ₂	140	35.69	21.96	197 (1)
5-Nitrouracil	C ₄ H ₃ N ₃ O ₄	157	12.21	27.50	328 (2)
Pyridine ^b	C ₅ H ₅ N	79	27.19	<i>n.d.</i>	79 (0)
Purine	C ₅ H ₄ N ₄	120	15.82	20.20	178 (1) ^d
Hydantoin	C ₃ H ₄ N ₂ O ₂	100	7.26	22.33	271 (2)
Urea	CH ₄ N ₂ O	60	15.53 ^c	18.24	231 (2)
Glycine	C ₂ H ₅ NO ₂	75	<i>n.d.</i>	16.28	246 (2)
Alanine	C ₃ H ₇ NO ₂	89	<i>n.d.</i>	15.79	260 (2)
Serine	C ₃ H ₇ NO ₃	105	<i>n.d.</i>	25.43	390 (3)
<i>N</i> -Formylglycine	C ₃ H ₅ NO ₃	103	<i>n.d.</i>	21.77	274 (2)

^aMasses reported here (in atomic mass units) correspond to the mass of the most

intense peak for each standard in the GC-MS mass spectra, *i.e.*, the total mass of

the derivatized compound (M^*) minus the mass of one *tert*-butyl group ($[M^*-57]^+$ fragment), except for compounds that are not derivatized (see note b). Numbers between parentheses are the number of *t*BDMS groups attached to the parent molecules.

^bCompounds not derivatized by the MTBSTFA + 1% *t*BDMCS agent (no *t*BDMS groups attached). Masses reported here are thus the same as the pure compounds.

^cThe HPLC chromatogram of 2,4,6-triaminopyrimidine displays several peaks among which the peak eluting at 8.21 min is the most intense.

^dThe mass of derivatized purine, and thus the mass of the most intense peak in GC-MS chromatograms, are 1 amu higher than what is expected by adding a *t*BDMS group to purine.

^eThe HPLC peak of urea is too weak to obtain a clear UV spectrum.

n.d. = Not detected.

Table 4. Species searched for and detected in all the samples with HPLC (L) and GC-MS (G).

<i>Species</i>	<i>H₂O:Pyrimidine^a</i>	<i>NH₃:Pyrimidine^b</i>	<i>H₂O:NH₃:Pyrimidine^b</i>
Pyrimidine	L ^c	L [*]	L [*]
2,2'-Bipyrimidine	L [*] ,G [*]		L [*] ,G [*]
1,4,5,6-Tetrahydropyrimidine		G ^{c,d}	G
2-Hydroxypyrimidine	G ^f		G ^{*,c}
4(3 <i>H</i>)-Pyrimidone	L [*] ,G [*]	L ^{*,g} ,G ^{*,g}	L [*] ,G [*]
Pyrimidine <i>N</i> -oxide	L ^{*,f}		L ^d
Uracil	G [*]	G ^{c,g}	G [*]
4,6-Dihydroxypyrimidine	G ^{c,f}	G ^{c,g}	G ^c
Barbituric acid	G ^{c,f}		G ^d
Isobarbituric acid			G ^{d,e}
2-Aminopyrimidine			G [*]
4-Aminopyrimidine		L [*] ,G [*]	L [*] ,G [*]
2,4-Diaminopyrimidine			G
4,5-Diaminopyrimidine		L,G ^{c,d,e}	G
2,4,6-Triaminopyrimidine			
Cytosine		G ^{c,d,e,g}	G [*]
Isocytosine			G
5-Aminouracil			G
6-Aminouracil			G ^{c,e}
2-Amino-4,6-dihydroxypyrimidine			
2,4-Diamino-6-hydroxypyrimidine			
2-Pyrimidinecarbonitrile			
Orotic acid			
2-Amino-5-nitropyrimidine			
5-Nitrouracil			
Pyridine			
Purine			
Hydantoin	G ^{c,f}	G ^{c,d,e,g}	G [*]
Urea	G ^f	G ^{*,g}	G [*]
Glycine	G ^f	G ^{c,g}	G [*]
Alanine	G ^{c,e,f}		G ^{c,d,e}
Serine			
<i>N</i> -Formylglycine	G ^{c,f}		G

*Detected in all samples.

^aData from Nuevo *et al.* (2009), unless otherwise stated.

^bPresent work.

^cDetected at trace levels.

^dMolecules detected in other samples than the irradiated NH₃:pyrimidine = 40:1 and H₂O:NH₃:pyrimidine = 20:2:1 samples whose HPLC and GC-MS chromatograms are shown in Figs. 5–9.

^eCompounds always eluting at the same retention time as one or more other unidentified species (coelvents).

^fMolecules detected by GC-MS in the same H₂O:pyrimidine mixtures as reported in Nuevo *et al.* (2009) after publication of the paper.

^gOxygen-bearing species detected in NH₃:pyrimidine samples (see Sections 3.2 and 4.1).

Figure captions

Figure 1. Molecular structures of the standards of pyrimidine derivatives searched for in this study: **(a)** 1,4,5,6-tetrahydropyrimidine, pyrimidine *N*-oxide, and amino-bearing pyrimidine derivatives, **(b)** pyrimidine derivatives containing amino and hydroxy/keto groups, including the nucleobase cytosine, and **(c)** 2-amino-5-nitropyrimidine and 5-nitrouracil. The structures of other oxidized pyrimidines, 2-pyrimidinecarbonitrile, and orotic acid are given elsewhere (Nuevo *et al.*, 2009).

Figure 2. Molecular structures of **(a)** the *N*-heterocycles hydantoin, pyridine, and purine, **(b)** urea, and **(c)** the amino acids glycine, L-alanine, L-serine, and *N*-formylglycine.

Figure 3. The 4000–650-cm⁻¹ infrared spectra of an NH₃:pyrimidine = 25:1 mixture deposited at 14 K before UV photo-irradiation (top trace, offset for clarity) and an H₂O:NH₃:pyrimidine = 20:2:1 mixture deposited at 15 K before UV photo-irradiation (bottom trace). Infrared bands labeled with “Py” are due to pyrimidine in the ices, the band labeled “Py*” being that used to estimate the photo-destruction efficiency of pyrimidine.

Figure 4. The 4000–650-cm⁻¹ infrared spectra of residues that remain after the sublimation of the main ice components of an irradiated NH₃:pyrimidine = 25:1 ice mixture (top trace, offset for clarity) and an irradiated H₂O:NH₃:pyrimidine = 20:2:1 ice mixture (bottom trace). Infrared bands labeled with “Py” are due to unreacted pyrimidine in the residues, the band labeled “Py*” being that used to estimate the photo-destruction efficiency of pyrimidine.

Figure 5. (a) From top to bottom: total HPLC chromatograms ($\lambda = 256$ nm) of the residues produced from an NH₃:pyrimidine = 40:1 ice mixture UV irradiated at 18–29 K for ~24 hours, an NH₃:pyrimidine = 40:1 mixture irradiated at 120 K, an NH₃:pyrimidine = 40:1 mixture irradiated at 18–24 K with the use of a CaF₂ filter, and an NH₃:pyrimidine = 40:1 mixture that was not irradiated (blank no UV). Chromatograms are offset in intensity for clarity. **(b)** Enlargement in the 5–14-min range for the irradiated 18–29-K NH₃:pyrimidine = 40:1 sample. Chromatograms of the 4-aminopyrimidine, 4(3*H*)-pyrimidone, and 4,5-diaminopyrimidine standards are shown for direct comparison.

Figure 6. (a) From top to bottom: total HPLC chromatograms ($\lambda = 256$ nm) of the residues produced from an H₂O:NH₃:pyrimidine = 20:2:1 ice mixture UV irradiated at 20–32 K for ~23.5 hours (sample), an H₂O:NH₃:pyrimidine = 20:2:1 mixture irradiated at 120 K, an H₂O:NH₃:pyrimidine = 20:2:1 mixture irradiated

at 19–28 K with the use of a CaF₂ filter, and an H₂O:NH₃:pyrimidine = 20:2:1 mixture that was not irradiated (blank no UV). Chromatograms were offset in intensity for clarity. **(b)** Enlargement in the 5–12-min range for the irradiated 20–32-K H₂O:NH₃:pyrimidine = 20:2:1 sample. Chromatograms of the 4-aminopyrimidine, 4(3*H*)-pyrimidone, pyrimidine *N*-oxide, and cytosine standards are shown for direct comparison. 2,2'-Bipyrimidine elutes at 24.37 min and is not shown here. **(c)** Comparison between the UV spectra of the peak eluting at 8.74 min and the 4(3*H*)-pyrimidone standard. **(d)** Comparison between the UV spectra of the peak eluting at 6.86 min and the cytosine standard.

Figure 7. (a) From top to bottom: GC-MS total-ion chromatograms (TICs) of the residues produced from an NH₃:pyrimidine = 40:1 ice mixture UV irradiated at 19–28 K (sample), an NH₃:pyrimidine = 40:1 mixture irradiated at 120 K, an NH₃:pyrimidine = 40:1 mixture irradiated at 18–24 K with the use of a CaF₂ filter, an NH₃:pyrimidine = 40:1 mixture that was not irradiated, and the derivatizing agent (MTBSTFA). **(b)** From top to bottom: GC-MS TICs of the residues produced from an H₂O:NH₃:pyrimidine = 20:2:1 ice mixture UV irradiated at 20–29 K, an H₂O:NH₃:pyrimidine = 20:2:1 mixture irradiated at 120 K, an H₂O:NH₃:pyrimidine = 20:2:1 mixture irradiated at 19–28 K with the CaF₂ filter, a non-irradiated H₂O:NH₃:pyrimidine = 20:2:1 mixture, and MTBSTFA.

Figure 8. Comparison of the GC-MS single-ion chromatograms (SICs) of the residue produced from an NH₃:pyrimidine = 40:1 ice mixture irradiated at 19–28 K with aminopyrimidine ($m/z = 152$ amu) and diaminopyrimidine ($m/z = 281$ amu) standards. Identified peaks on the sample chromatograms are marked with arrows.

Figure 9. (a) Comparison of the GC-MS single-ion chromatograms (SICs) of the residue produced from an H₂O:NH₃:pyrimidine = 20:2:1 ice mixture irradiated at 20–29 K with cytosine and isocytosine standards ($m/z = 282$ amu), and with uracil and 4,6-dihydroxypyrimidine standards ($m/z = 283$ amu). **(b)** Comparison of the GC-MS SICs for the same sample with urea ($m/z = 231$ amu), glycine ($m/z = 246$ amu), and *N*-formylglycine ($m/z = 274$ amu) standards. Identified peaks on the sample chromatograms are marked with arrows.

Figure 10. Proposed mechanisms for the formation of uracil, cytosine, and other pyrimidine derivatives seen in our samples, which take into account previous studies (Nuevo *et al.*, 2009; Bera *et al.*, 2010) and the present work.