

# Estimating marine aerosol particle volume and number from Maritime Aerosol Network data

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## Abstract.

As well as spectral aerosol optical depth (AOD), aerosol composition and concentration (number, volume, or mass) are of interest for a variety of applications. However, remote sensing of these quantities is more difficult than for AOD, as it is more sensitive to assumptions relating to aerosol composition. This study uses spectral AOD measured on Maritime Aerosol Network (MAN) cruises, with the additional constraint of a microphysical model for unpolluted maritime aerosol based on analysis of Aerosol Robotic Network (AERONET) inversions, to estimate these quantities over open ocean. When the MAN data are subset to those likely to be comprised of maritime aerosol, number and volume concentrations obtained are physically reasonable. Attempts to estimate surface concentration from columnar abundance, however, are shown to be limited by uncertainties in vertical distribution. Columnar AOD at 550 nm and aerosol number for unpolluted maritime cases are also compared with Moderate Resolution Imaging Spectroradiometer (MODIS) data, for both the present Collection 5.1 and forthcoming Collection 6. MODIS provides a best-fitting retrieval solution, as well as the average for several different solutions, with different aerosol microphysical models. The ‘average solution’ MODIS dataset agrees more closely with MAN than the ‘best solution’ dataset. Terra tends to retrieve lower aerosol number than MAN, and Aqua higher, linked with differences in the aerosol models commonly chosen. Collection 6 AOD is likely to agree more closely with MAN over open ocean than Collection 5.1. In situations where spectral AOD is measured accurately, and aerosol microphysical properties are reasonably well-constrained, estimates of aerosol number and volume using MAN or similar data would provide for a greater variety of potential comparisons with aerosol properties derived from satellite or chemistry transport model data.

## 1 Introduction

Columnar aerosol optical depth (AOD) has been mapped on a near-global basis for several decades from satellite measurements with varying degrees of accuracy (e.g. Husar et al., 1997, Hsu et al., 1999, Torres et al., 2002, Mishchenko et al., 2007, Remer et al., 2008, Thomas et al., 2009, Kahn et al., 2010, Sayer et al., 2012a). There is a similar wealth of ground-based aerosol observation from techniques such as sun photometry, lidar, or multifilter rotating shadowband radiometers, with records approaching two decades at some locations (e.g. Holben et al., 1998, Michalsky et al., 2001, Campbell et al., 2002). The AOD represents the vertically-integrated extinction of light by aerosol particles,

$$\tau_\lambda = \int_0^\infty \beta_\lambda(z) dz, \quad (1)$$

where  $\tau_\lambda$  is the AOD at wavelength  $\lambda$ , and  $\beta_\lambda$  the aerosol extinction (sum of scattering and absorption) at that wavelength and altitude  $z$ , and as such is related to the aerosol mass loading of the atmosphere.

The spectral behaviour of AOD, frequently referred to in the context of the Ångström parameter  $\alpha$ , where

$$\alpha = -\frac{d\ln(\tau_\lambda)}{d\ln(\lambda)}, \quad (2)$$

is often evaluated across the visible region of the solar spectrum and used as a first-order indication of aerosol type (Eck et al., 1999, Dubovik et al., 2002). However,  $\alpha$  is not a unique identifier of a particular aerosol composition, so additional constraints such as microphysical aerosol particle models are necessary to infer physical aerosol amount from AOD. Further, particularly in low-AOD regimes, satellite and ground-based estimates of  $\alpha$  can suffer from significant uncertainty (Wagner and Silva, 2008). These factors mean that estimating aerosol number or volume from remotely-sensed AOD is, at present, not straightforward. Remote determination of aerosol number or volume/mass rather than solely AOD is of interest to estimate, for example, the deposition flux of mineral dust aerosols (Kaufman et al., 2005), near-surface particulate matter concentration in urban areas (Hoff and Christopher, 2009), aerosol radiative forcing (Quaas et al., 2008, Yu et al., 2012), or the available number of particles which can act as cloud condensation nuclei (CCN) and influence cloud development (Jefferson, 2010).

Recently, Sayer et al. (2012b) analysed size distribution inversions from a selection of Aerosol Robotic Network (AERONET) coastal and island sites (Holben et al., 1998, Dubovik and King, 2000), and arrived at a microphysical model of pure maritime aerosol as a combination of two lognormally-distributed components. The properties of maritime aerosol were found to be similar over the range of sites, such that the observed AERONET AOD record at these sites could be well-reproduced by varying only two free parameters, namely the fine-mode and coarse-mode aerosol volume. The Maritime Aerosol Network (MAN) provides ship-based observations of spectral AOD

over the ocean (Smirnov et al., 2009, 2011), with typically four (sometimes five) channels in any individual measurement. Thus, the model can be used to infer columnar aerosol number and volume of maritime aerosol from the MAN AOD measurements (size distribution inversions as performed at the AERONET land sites are not possible from the hand-held measurements collected on MAN cruises). The aim of this study is to perform such an exercise.

This is first a test of whether the model of Sayer et al. (2012b) is able to produce physically-reasonable values of aerosol concentration. It also allows a comparison of derived aerosol number with the (unvalidated) aerosol columnar number concentration estimates provided in the Moderate Resolution Imaging Spectroradiometer (MODIS) satellite aerosol product (Remer et al., 2005, 2008). These open up further scope for evaluation of the aerosol parametrisation of chemistry transport models (CTMs) through comparisons with aerosol number or volume as well as AOD. This is important because CTM aerosol fields are diverse, and it is possible for the CTM to produce the right AOD but with the wrong aerosol composition (e.g. Kinne et al., 2006, Textor et al., 2006). Uncertainties in the MAN-derived estimates are also discussed.

The notation adopted in this work follows that of Sayer et al. (2012b), in which various identities and derivations are presented. A summary of relevant notation is presented here. The aerosol number size distribution  $dN(r)/d\ln(r)$  describes the number of aerosol particles with radius in the infinitesimal size range  $r \pm d\ln(r)$ ; for spherical particles, this is related to the volume size distribution  $dV(r)/d\ln(r)$  by

$$\frac{dV(r)}{d\ln(r)} = \frac{4\pi r^3}{3} \frac{dN(r)}{d\ln(r)}. \quad (3)$$

In this work and many others (Sayer et al., 2012b, and references therein) aerosol particle size distributions are represented as a sum of  $n_c$  lognormally-distributed components,

$$\frac{dN(r)}{d\ln(r)} = \sum_{i=1}^{n_c} \frac{C_{n,i}}{\sqrt{2\pi}\sigma_i} e^{-\frac{1}{2} \left( \frac{\ln(r) - \ln(r_{n,i})}{\sigma_i} \right)^2}, \quad (4)$$

where  $r_n$  and  $\sigma$  are the mode's modal (also median and geometric mean) radius and geometric standard deviation respectively, and  $C_n$  the total number of aerosol particles in the mode. The equivalent aerosol volume distribution is given by the same expression, except substituting  $r_n$  with the volume median radius  $r_v$ , and  $C_n$  with the total aerosol volume  $C_v$ . The relationships between these quantities for a lognormal component (Sayer et al., 2012b) are

$$r_v = r_n e^{3\sigma^2} \quad (5)$$

and

$$C_v = \frac{4\pi}{3} r_n^3 e^{4.5\sigma^2} C_n, \quad (6)$$

enabling the conversion between number and volume radii, and calculation of aerosol number-to-volume ratio. In this work bimodal aerosol distributions are used (i.e.  $n_c = 2$ ), with the smaller mode denoted 'fine' with a subscripted f, and larger mode 'coarse' with a subscripted c.

The remainder of the manuscript is organised as follows. Section 2 describes the MAN data and the method whereby aerosol number and volume can be estimated, along with derived maps of these data. Aerosol mass is not explicitly discussed (for a given density, it is a simple scaling of aerosol volume). Section 3 provides a comparison with MODIS estimates, and Section 4 looks at the relationship between total columnar and surface concentrations. Finally, Section 5 provides a summary and outlook.

## 2 Estimating columnar volume and number

### 2.1 MAN data

The AOD measurements on MAN cruises (Smirnov et al., 2009, 2011) are made with hand-held Microtops II Sun-photometers, which allow measurement of AOD with a total (one standard deviation) uncertainty of order  $\pm 0.015$  for typical oceanic conditions (Porter et al., 2001, Knobelspiesse et al., 2003, 2004). The instruments have five filters which can be adjusted to observe the Sun at different wavelengths; typically on MAN cruises one is used to retrieve columnar water vapour, leaving four for AOD, in the spectral range 340 nm-1020 nm. The overwhelming majority of measurements consist of the combination  $\tau_{440}$ ,  $\tau_{500}$ ,  $\tau_{675}$ , and  $\tau_{870}$  (subscripted wavelengths are in nanometres throughout). The Ångström parameter  $\alpha$  is calculated in MAN from a least-squares fit (in logarithmic space) of AOD and wavelength over the spectral range 440 nm-870 nm.

Two MAN datasets are used in this work. The first is the ‘series average’ product, where one measurement series is defined as the set of AOD measurements taken with a gap of no more than 2 minutes between an individual pair. The second is the ‘daily average’ product, which is the average of all measurement series on a given day. Frequently multiple series are obtained on a given day in identical or very close locations, so visual interpretation is typically clearer using daily data, while statistical analysis benefits from the larger sample size of the series average data. In practice the results change negligibly if only one or the other data product is used, suggesting that most of the observations collected on a MAN cruise over the course of a single day sample similar aerosol regimes. In all cases, only level 2.0 (cloud-screened and quality assured) data are used.

### 2.2 Calculation and uncertainty

Size distribution parameters and refractive indices for the bimodal model of Sayer et al. (2012b) are given in Table 1. The free parameters,  $C_{v,f}$  and  $C_{v,c}$ , are determined from a least-squares fit of each set of MAN spectral AOD to the spectral extinction per unit volume modelled using Mie theory (values at the common reference wavelength of 550 nm are also given in Table 1), with the constraint that the volumes cannot be negative. Aerosol number can then be calculated using the ratio  $C_n/C_v$ , from Equation 6.

This process is shown conceptually in Figure 1. In this case, the observed spectral AOD (black

125 diamonds) is best reproduced by the combination  $C_{v,f}=0.005 \mu\text{m}^3\mu\text{m}^{-2}$  and  $C_{v,c}=0.04 \mu\text{m}^3\mu\text{m}^{-2}$   
(total AOD given by the red curve). Note that as previously mentioned a real MAN observation  
would have five or fewer spectral AOD measurements, rather than the seven shown here, but this  
serves to illustrate the spectral dependence of the fine and coarse mode extinction across the Micro-  
tops bands.

130 Table 2 provides statistics on the quality with which the model is able to reproduce the spectral  
MAN AOD. At all wavelengths, the bias and scatter are small ( $<0.01$ ), particularly over the wave-  
length range (440 nm - 870 nm) which was used during development of the model. Note that some of  
the MAN measurements contain an interpolated rather than measured 500 nm AOD: in these cases,  
this interpolated AOD was used for the statistics in Table 2 but not when performing the least-squares  
135 fit (as it did not correspond to a real measurement).

Clearly, not all of the observations in the MAN database will represent unpolluted maritime  
aerosol, and therefore derived aerosol volume and number may be significantly biased when this  
is not the case. For this reason, the MAN data have been stratified in a simple attempt to discrim-  
inate according to aerosol type, based on the AOD and  $\alpha$ . Three broad classes have been defined  
140 based on typical values for these parameters (e.g. Eck et al., 1999, Smirnov et al., 2004, Sayer et al.,  
2012b): pure maritime ( $\tau_{500} \leq 0.2$ ,  $\alpha \leq 1$ ), dust-influenced ( $\tau_{500} > 0.2$ ,  $\alpha \leq 0.6$ ), and fine conti-  
nental (e.g. pollution/smoke or land organic)-influenced (all other points, referred to hereafter as  
'continental' for brevity). Additionally, as in Smirnov et al. (2012), to minimise the likelihood of  
continental influence in the 'maritime' subset it was further required that such points be at least 200  
145 km from land, using a coarse ( $1^\circ$ ) land mask as a basis (to eliminate large land masses, but not small  
remote islands).

Maps of these classes and fit volumes from the daily MAN data are shown in Figure 2; the points  
cluster in generally expected regions, suggesting that as a first-order attempt this classification is  
reasonable, although there is inherently a degree of ambiguity in this type of classification. Altering  
150 these thresholds within sensible ranges does not significantly affect the spatial distribution or inter-  
pretation of results. Additionally, if the more conservative set of 'pure maritime' MAN points used  
by Smirnov et al. (2012) is used, the results do not significantly change for this subset (which is the  
main focus of the analysis). Information on the sampling of these subsets is given in Table 3.

The latitudinal distribution of the number of measurement series in these three classes is shown  
155 in Figure 3. The large abundance of the 'continental-influenced' class is not suggesting that the  
majority of the open ocean is influenced significantly by continental outflow. Rather, this happens  
because of the fact that the MAN cruises begin and end on the coast, and often spend much of their  
time in coastal regions (Figure 2). For example, the spike in this class at high southern latitudes  
comes from data collected near Antarctica. It is important to note that this 'continental-influenced'  
160 classification is not purely an indicator of urban or smoke aerosol mixed with maritime aerosol  
(although it may contain these), but rather a catch-all for aerosol which, due to its proximity to the

coast, has the potential to be influenced by land masses, and is additionally unlikely to be dominated by dust. The intent of this classification is to ‘protect’ the ‘pure maritime’ subset as much as possible.

Table 1 also contains information on microphysical models developed by Sayer et al. (2012a) to represent mixed maritime/continental and maritime/dust aerosols. The aerosol extinction per unit volume is generally within 20 % of that for the pure maritime model across the visible part of the spectrum (only extinction at 550 nm is shown in Table 1), suggesting a bias of order 20 % in aerosol volume if there is a non-maritime component to the aerosol and the maritime model is used. The per-particle extinction, however, shows differences in excess of 100 %, indicating that using the maritime model to predict aerosol number for a non-maritime aerosol would be significantly in error. For this reason, only MAN data from the maritime subset are used when aerosol number concentrations are analysed hereafter. Note that all three classes here were fit with the maritime model; the parameters from Sayer et al. (2012a) are presented here only to illustrate the potential magnitude in error of aerosol number/volume from an incorrect determination of aerosol type.

The reduced  $\chi^2$  statistic is used to measure the goodness of fit,

$$\chi^2 = \frac{1}{n_\lambda - 2} \sum_0^{n_\lambda} \left( \frac{\tau_{\lambda, \text{pred}} - \tau_{\lambda, \text{MAN}}}{\sigma_{\lambda, \text{MAN}}} \right)^2, \quad (7)$$

where  $\tau_{\lambda, \text{MAN}}$  indicates the MAN-observed AOD,  $\sigma_{\lambda, \text{MAN}}$  its uncertainty (assumed to be 0.015 and uncorrelated spectrally),  $\tau_{\lambda, \text{pred}}$  the AOD predicted by the model, and  $n_\lambda$  the number of wavelengths in the measurement series (or daily average). The factor  $n_\lambda - 2$  arises as two free parameters are fit; typically  $n_\lambda = 4$ , leading to 2 degrees of freedom. The expectation of the reduced  $\chi^2$  over a large number of samples is 1. Figure 2 also shows  $\chi^2$  for each of the three aerosol type subsets: this is almost always less than 1, even for those cases where the aerosol is likely not pure maritime in origin. There are two important implications of this. First, this highlights limitations in inferring aerosol type from spectral AOD (it is possible to obtain an acceptable quality of fit even if the microphysical model is incorrect). Second, this suggests that  $\sigma_{\lambda, \text{MAN}} = 0.015$  is not necessarily a good metric for the random error of the MAN AOD, i.e. systematic error is likely a significant component of the total uncertainty. This is also consistent with the results in Table 2, that the maritime model is able to reproduce spectral AOD with greater accuracy and precision than 0.015. Porter et al. (2001) and Knobelspiesse et al. (2004) estimate that among the largest uncertainty on Microtops AOD is the calibration gain coefficient. For a set of measurements taken on a single cruise with a single Microtops sun photometer, this is likely a systematic error, although over the whole MAN dataset (multiple instruments and calibration tests) biases may cancel out such that the errors are random.

The least-squares fit provides estimates of the uncertainty on  $C_v$  and hence  $C_n$ , under the assumption that  $\sigma_{\lambda, \text{MAN}}$  represents the random error on the MAN AOD. Scaling these uncertainty estimates by  $\sqrt{\chi^2}$  provides a lower bound on the estimated uncertainty, which is under the assumption that the true value of  $\sigma_{\lambda, \text{MAN}}$  is unknown and the uncertainty is therefore related to the residuals on the fit AOD. The true error on derived  $C_v$  or  $C_n$  is therefore likely in between these two estimates.

Relative uncertainty on  $C_v$  is shown as a function of  $C_v$  for the maritime subset of daily-average MAN AOD for both these methods in Figure 4. As, for a given microphysical aerosol model, the aerosol number is a simple scaling to the aerosol volume (rightmost column of Table 1), these relative uncertainties also apply to the equivalent aerosol numbers. The ‘unscaled’ points (i.e. taking  $\sigma_{\lambda, \text{MAN}} = 0.015$ ) typically fall into one of several curves, dependent on the selection of bands available for a particular MAN data point. The absolute uncertainty is fairly constant as a consequence of the fact that, for a given set of microphysical model parameters, AOD is linearly proportional to aerosol amount. If instead  $\sigma_{\lambda, \text{MAN}}$  is assumed to be 0.01 or 0.02, the uncertainty estimates decrease and increase by approximately 50 % respectively. The ‘scaled’ uncertainties are much more diverse but generally lower by a factor of 2-5. For the unscaled uncertainty estimates, uncertainty on volume is around 100 % for low aerosol loadings. However, the ‘scaled’ uncertainty estimates are much lower, suggesting better sensitivity.

### 2.3 Derived volume and number concentration

For all three classes, coarse-mode volume tends to be larger than fine-mode volume by approximately a factor of 5-10 (Figure 2); the maritime subset typically has 80 % or more of total aerosol volume in the coarse mode. Relatively higher fractional coarse-mode volumes are found for the dust subset, and relatively higher fractional fine mode volumes for the continental subset, which is expected from the  $\alpha$ -based classification. Histograms of aerosol volume for these three cases appear to follow approximate lognormal distributions, illustrated in Figure 5 (using the series average MAN dataset). This is not surprising, as AOD distributions have also been observed to be lognormal (e.g. O’Neill et al., 2000 and reference therein), and was also noted by Heintzenberg et al. (2000). The modes and spreads (geometric mean and geometric standard deviation, analogous to  $r_n$  and  $\sigma$  in Equation 4, respectively) are given in Table 4, and are reasonably robust to small changes in histogram bin size. The median value of all points is also shown. As mentioned previously, volumes for the dusty and continental classes are likely to be qualitatively reliable but absolute values may have a bias of order 20 %.

The fine mode distribution for the continental-influenced class shows two peaks (or a very long tail); the lower-volume segment is similar to the maritime class’s peak, and likely corresponds to maritime aerosol which narrowly missed the  $\tau_{500}$ ,  $\alpha$ , and/or land distance thresholds for inclusion in the maritime class. Both the maritime and dust-influenced distributions, however, are more distinct.

Median fine and coarse volumes for the maritime subset are  $0.0056 \mu\text{m}^3 \mu\text{m}^{-2}$  and  $0.062 \mu\text{m}^3 \mu\text{m}^{-2}$  respectively. These are similar to the average volumes found for island and coastal AERONET sites by Sayer et al. (2012b) of  $0.0058 \mu\text{m}^3 \mu\text{m}^{-2}$  and  $0.036 \mu\text{m}^3 \mu\text{m}^{-2}$  for fine and coarse modes respectively; the higher median coarse-mode volume could be linked with increased wind-driven coarse particle emission over the open ocean. The majority of points where  $\tau_{340}$  and  $\tau_{380}$  are available were collected in the tropical Atlantic and fall into the ‘dusty’ subset, and so it is possible that the

small negative AOD fitting bias at these wavelengths (Table 2) and elevated fine mode volume for this subset are related to the real aerosol being more absorbing than the maritime model.

Maps of derived aerosol number (for the maritime subset only) are shown in Figure 6, and histograms in Figure 7. The histogram are again approximated well by a lognormal distribution (parameters in Table 4). The aerosol number:volume ratio,  $C_n/C_v = 190 \mu\text{m}^{-3}$  for the fine mode (Table 1), is in good agreement with previous studies in the range  $167\text{--}225 \mu\text{m}^{-3}$  for marine aerosol (Hegg and Kaufman, 1998, van Dingenen et al., 1999, Hegg and Jonsson, 2000, Kaufman et al., 2001, Dusek et al., 2004). Dusek et al. (2004) note that in previous studies this ratio has been defined in different ways, often the ratio of total number of particles above a certain size to total volume of particles below a certain cutoff size, dependent often on the available instrumentation. As the coarse mode total aerosol number is generally only 1 % or so of the fine mode number, and the coarse mode volume below the typical cutoff size used in these studies (around  $1 \mu\text{m}$ ) is small, it makes little difference to  $C_n/C_v$  in this case (although  $C_n/C_v$  would become slightly smaller and depend on the weighting between fine and coarse modes for each situation).

### 3 Comparison with MODIS data

#### 3.1 Data description and methodology

The MODIS aerosol algorithm retrieves spectral AOD and  $\alpha$  over ocean by mixing two aerosol components (one fine mode and one coarse mode, each from Table 1) to find the combination which matches the observed top-of-atmosphere (TOA) reflectance in six bands between 470 nm and  $2.1 \mu\text{m}$  (Tanré et al., 1997, Remer et al., 2005, 2008). Two solutions are reported in the product: namely, the combination of fine and coarse modes which most closely fit the observed TOA reflectance (hereafter the ‘best solution’), and the average (hereafter the ‘average solution’) of either all solutions with a root mean square fitting error of less than 3 %, or the three solutions with the smallest fitting error if none are less than 3 %. From this, the algorithm also derives an estimate of the total columnar number of aerosol particles of radius  $0.03 \mu\text{m}$  or larger (Remer et al., 2005). The effect of this minimum size on the comparison is minor, as the maritime model applied to the MAN data results in less than 4 % of the fine mode particles having a radius smaller than  $0.03 \mu\text{m}$ .

The MODIS aerosol number estimate is to the authors’ best knowledge an unvalidated quantity, although it has been used, examined, or compared with other data in several studies (Gassó and Hegg, 2003, Li et al., 2010, Kaskaoutis et al., 2011, Krüger and Graßl, 2011). In contrast, the AOD over ocean has been compared more thoroughly with other satellite and ground-based datasets and its strengths and limitations are fairly well-understood (e.g. Zhang and Reid, 2006, Mishchenko et al., 2007, 2010, Remer et al., 2008, Kahn et al., 2009, 2011, Shi et al., 2011, Smirnov et al., 2011, Kleidman et al., 2012, Sayer et al., 2012a, and others). The MAN-derived data here are also subject to some uncertainties as discussed previously, so while a comparison between aerosol



number from these two datasets cannot be considered a validation against ground truth, it does allow

270 for an examination of their consistency and, hopefully, an understanding of their differences.

Matchups between MODIS and the MAN data are performed by averaging MODIS retrievals within 25 km of a MAN measurement separated in time by 30 minutes or less. In almost all cases, variability in MODIS AOD in this 25 km circle was small, and the same aerosol model was chosen as the ‘best solution’ for each retrieval. Only MAN data defined previously as belonging to the maritime  
275 subset are considered, as  $C_n$  from others is expected to be less reliable. The AOD is compared at the standard reference wavelength of 550 nm, as provided in the MODIS product; interpolation of the MAN data to this wavelength using the Ångström power law (Equation 2) introduces negligible uncertainty.

As well as the present Collection 5.1 (hereafter C5.1), results are presented using the forthcoming  
280 Collection 6 (C6) algorithm. C6 products should become available around the end of 2012. The over-ocean algorithmic concept is the same in C6 as C5.1, but there are changes related to gaseous transmittance corrections, improved masking of cirrus clouds, accounting for wind-speed dependence of Sun glint and oceanic whitecaps (which will decrease retrieved AOD in high-wind environments; Sayer et al., 2010, Shi et al., 2011, Kleidman et al., 2012), and definitions of quality  
285 flags. Sensor calibration will also be updated for C6 but final coefficients are not available at the time of writing (i.e. these results use C5 radiometric calibration coefficients), and the impact over open ocean (on retrieved AOD at least) is expected to be small.

### 3.2 Results

Some statistics of the comparison of AOD and aerosol number are presented in Table 5, considering  
290 both the MODIS sensors on board the Terra and Aqua platforms, and for either the highest quality assurance (QA) flag (QA=3) or the looser criteria of QA=1,2, or 3 (almost no retrievals were assigned QA=2). Scatter plots of the QA=3 data are shown in Figure 8, and maps for the ‘best’ solution in Figure 9, both for C5.1 data. Table 6 shows the fraction of MODIS-MAN matchups with an AOD at 550 nm within the expected MODIS absolute error (Remer et al., 2008) of  $0.03 \pm 5\%$  of the MAN  
295 value. Looking first at  $\tau_{550}$ , there is a tendency for MODIS to overestimate as compared to the MAN data, and Terra to be higher than Aqua by around 0.01, as noted in previous studies (e.g. Remer et al., 2008, Shi et al., 2011, Smirnov et al., 2011). The bias is reduced by typically 30 %-50 % in C6 as compared to C5.1, and the fraction of matchups within the expected error increases.

A second set of comparisons of derived  $C_n$  have been performed, where the MODIS values have  
300 been scaled by the ratio of MAN to MODIS AOD at 550 nm (i.e. if MODIS overestimated MAN AOD by 20 %, the MODIS  $C_n$  would be decreased by a corresponding amount). This ‘scaled’  $C_n$  allows a first-order separation between the effects of differences in AOD and differences in aerosol microphysical model assumptions on the comparison. Tables 5 and 6 also show that restricting the data to QA=3 (the retrievals with highest confidence) results in a poorer agreement of AOD (and

305 also  $C_n$ ) between the datasets. However, these results should not necessarily be expected to apply to the MODIS dataset as a whole, as the QA=3 subset is comparatively small (about a factor of 3 fewer points than the QA=1,2,3 case for C5.1), and these comparisons only consider MAN data from the maritime subset, which is a small proportion of the total dataset. The MODIS over-ocean data usage recommendation is that retrievals of QA 1,2, or 3 are likely of similar quality and all suitable for  
 310 analysis, which is consistent with these comparisons (Remer et al., 2005, 2008). The total number of C6 points is smaller than C5.1, likely due to stricter cloud screening, and QA=3 becomes more common. Irrespective of QA threshold, the differences between best and average solutions, and scaled and unscaled QA, are broadly similar.

Relative to MAN-derived data, MODIS Terra tends to underestimate and Aqua to overestimate  
 315  $C_n$  (Table 5). The regional sampling of the two datasets is similar (Figure 9), suggesting these differences are more related to the retrieval than spatial sampling. Performing the AOD-based scaling tends to reduce the absolute and root mean square differences between MAN and MODIS data; however, significant differences remain in this case, indicating microphysical model assumptions play a role. Further, large differences of either sign between the datasets are not confined to continental  
 320 outflow areas, suggesting that errors in MAN  $C_n$  from non-maritime influences are not the primary cause for difference.

Table 1 shows that, as is the case for the maritime aerosol model of Sayer et al. (2012b), the fine mode per-particle extinction is around two orders of magnitude larger than the coarse mode, and so the total  $C_n$  will be determined largely by fine mode abundance. MODIS fine mode #2 has a similar  
 325 per-particle extinction and  $C_n : C_v$  to the model of Sayer et al. (2012b), so if the two datasets report the same AOD and this fine mode is picked in the MODIS dataset, the two estimates of  $C_n$  should be close (although the partition between fine and coarse modes to the total AOD in both datasets will remain a factor).

However, Figure 9 shows that MODIS fine mode #2 is rarely picked as the ‘best’ solution by either  
 330 Terra or Aqua. Instead, Terra has a tendency to more frequently pick #3 or #4, and Aqua #1, which have lower (for Terra) or higher (for Aqua)  $C_n : C_v$  (and the reverse for per-particle extinction) than the maritime model applied to MAN data. This will be responsible for the relative overestimate of Aqua and underestimate of Terra as compared to MAN. As the same algorithm is applied to both MODIS sensors, it seems reasonable to suspect that the differences in typical model choice between  
 335 the two sensors could be linked with small systematic differences in their radiometric calibration; as the AOD is low for these cases, determination of size-related aerosol information is an inherently difficult task which is more sensitive than total AOD to uncertainties and errors. These conclusions hold for both C5.1 and C6 (although it is likely that aerosol model selection will be more sensitive than total AOD to radiometric calibration changes which may be applied in the final C6 data).

340 The ‘average’ MODIS solution matches MAN data closer than the ‘best’ solution, for both AOD and  $C_n$  (Table 5), with higher correlations and smaller biases/absolute differences. This is likely

because the averaging of several solutions reduces both retrieval noise and the effect of aerosol microphysical model assumptions on the retrieval. Although the MAN-derived  $C_n$  are not a ‘ground truth’ for the satellite retrievals, these results suggest that the MODIS ‘average’ solution may provide a better estimate of AOD and  $C_n$  for unpolluted maritime aerosol than the ‘best’ solution. The differences between these two solutions are generally smaller for C6 than C5.1.

#### 4 Estimating surface concentration

The previous sections have dealt with columnar aerosol number and volume, as the MAN AOD measurements represent the column extinction. Determination of surface concentration requires knowledge of the vertical profile. Marine aerosol is often observed (e.g. Table 7) to show an exponentially decreasing number profile with height,

$$n(z) = n_0 e^{-z/h}, \quad (8)$$

where  $n(z)$  is the number concentration at altitude  $z$ ,  $n_0$  the surface concentration, and  $h$  the characteristic scale height. As by definition  $\int_0^\infty n(z) dz = C_n$ , simple integration of Equation 8 yields  $n_0 = C_n/h$ . Table 7 suggests  $h \approx 1.5$  km as a reasonable default assumption, although the typical range in Table 7 (1-2 km, a few around 0.5 km) will lead to around a factor of 2 variability in derived  $n_0$ , highlighting the uncertainty in estimating surface concentration. Additionally, Table 8 shows that profiles other than exponentially-decreasing with height are also observed for marine aerosol. Finally, the assumption is required that the aerosol composition is invariant through the column, which may not always be true. Still, taking  $h = 1.5$  km can provide a first-order estimate to examine average behaviour.

Unfortunately, direct comparison of MAN-derived estimates with in situ data is difficult due to a paucity of directly colocated data. However, general tendencies can be examined. Figure 10 shows a comparison between boundary layer aerosol number concentrations calculated from the maritime subset of the MAN data with other measurements and retrievals. These are intended to provide additional insight into typical values and spatial/temporal variability in different regions.

The first of these is a collation of various field campaigns by Heintzenberg et al. (2000); the scale height used to convert the MAN column amounts to surface concentrations here ( $h = 1.5$  km) corresponds to the typical value reported by Yu et al. (2010) for the geographical areas in which the data of Heintzenberg et al. (2000) were collected. MAN-derived latitudinal profiles for  $h = 1$  km and  $h = 2$  km are also given, to illustrate the magnitude of systematic uncertainty possible from scale height changes in this range. Field campaign measurements identified as belonging to maritime air masses by Bates et al. (2002) are also shown.

The World Meteorological Organisation (WMO) Global Atmosphere Watch (GAW) provide multiannual statistics of aerosol surface number concentration for multiple monitoring stations at <http://ebas.nilu.no>; shown here are multiannual median values and standard deviations for three low-lying island sites

(Samoa, 14.5° S; Cape San Juan, 18.3° N; Sable Island, 43.9° N). Medians are used as it is likely these sites sometimes sample non-maritime air masses (so the median is likely a better estimate of the baseline maritime than the mean).

380 Finally, bimodal lognormal fits to median AERONET size distribution inversions representing maritime conditions at eleven sites were used by Sayer et al. (2012b) to constrain the maritime aerosol microphysical model applied in this work. These distributions have been used to calculate total columnar and hence surface number concentration (again assuming  $h = 1.5$  km), and also shown in Figure 10. The error bars for these points are taken using the relative standard deviation of  
385  $\tau_{440}$  at each site scaled to the total aerosol particle number, the rationale being that this wavelength is most sensitive to fine-mode particles. One site (Graciosa, 39.1° N) falls outside the scale at an estimated surface aerosol particle concentration of  $3,000 \text{ cm}^{-3}$ ; Sayer et al. (2012b) noted a higher fine-mode abundance here than at other sites, and speculated some contribution from a local aerosol source.

390 Poleward of 20° S, there is good agreement between the datasets. However, through the tropics and northern hemisphere the MAN-derived estimates are generally higher than the in situ data. There are multiple reasons why this could be the case. For the points poleward of 20° S, it could be that the scale height chosen is appropriate and the aerosol is maritime in nature. Further north of this, land means there may be an increased influence of continental air masses and so the maritime model is  
395 less appropriate. Table 1 shows that discrepancies of this magnitude are possible due to uncertainty in aerosol microphysical properties. Non-coincidence of sampling (both spatial and temporal) is likely an important factor, as variabilities within each latitude range, and at individual sites averaged over time, are large. A further possibility in the tropics is contamination by thin cirrus, which can be widespread and not always detected by ground-based instrumentation, leading to a positive bias  
400 in the MAN AOD (Chew et al., 2011).

Earlier reviews of field campaign data by Podzimek (1980) and Fitzgerald (1991) suggested typical concentrations in the range of several hundred  $\text{cm}^{-3}$  in open oceans but several thousand  $\text{cm}^{-3}$  in continentally-influenced air masses. Figure 2 indicates these could still be present in the data, as many of the ‘maritime’ subset are still in continental outflow zones, and the remoter points tend  
405 to have lower number concentrations. On separate cruises through the eastern Atlantic, Koponen et al. (2002) and Williams et al. (2007) reported similar high aerosol number concentrations along the coast of Europe and northern Africa, but generally less than  $1,000 \text{ cm}^{-3}$  in marine air masses in the southern Atlantic at mid- and high latitudes. These results are also similar to recent CTM simulations, although such models are sensitive to e.g. emission and nucleation schemes (Spracklen  
410 et al., 2010).

Tables 7 and 8 show significant variability in vertical profile shape. If the bulk of the profile follows an exponential decrease with height but the near-surface layer is well-mixed and uniform, this would mean that assuming a relationship of the type of Equation 8 overestimated surface con-

centration, as is observed. Instrumental artefacts such as incomplete sampling of the aerosol size  
415 distribution can also be a factor leading to underestimates of aerosol number in the in situ data (e.g.  
Reid et al., 2006), although Heintzenberg et al. (2000) performed additional filtering on their input  
data to minimise the likelihood of this.

The main conclusion from this exercise is therefore to illustrate the difficulties inherent in inferring  
near-surface quantities from columnar ones. This difficulty is also present when, for example, trying  
420 to estimate ground-level particulate matter concentrations from satellite measurements of AOD for  
air quality assessment (e.g. Hoff and Christopher, 2009, and references therein).

## 5 Conclusions

The remote sensing of spectral AOD from space is not a solved problem. Ground-based measure-  
ments by techniques such as sun photometry are able to make more direct inferences about AOD, but  
425 with poorer spatial coverage. Remote sensing of aerosol number, volume, and mass would provide  
useful and important information about the Earth system, but is more complicated than retrieval of  
AOD, as it is more sensitive to assumptions relating to aerosol composition.

Using a microphysical model derived from AERONET inversions as a constraint, this study has  
attempted to determine columnar aerosol number and volume from ship-borne measurements of  
430 spectral AOD of fairly low uncertainty ( $\sim 0.015$ ) for cases where this microphysical model can be  
reasonably assumed to be appropriate (unpolluted maritime aerosol). Even with these constraints,  
the estimated uncertainty on the derived quantities can be significant (10 %-100 %), which proba-  
bly precludes use of the technique with less accurate AOD data. Despite these uncertainties, the  
estimated concentrations are physically sensible. It is suggested that, in conditions where a micro-  
435 physical model for the dominant aerosol type can be prescribed with some confidence, accurate and  
precise spectral AOD measurements, such as from sun photometers deployed by the AERONET and  
MAN programs, could be used to estimate aerosol number or volume.

Potential applications of this method include an additional tool for comparison with CTM aerosol  
fields, and examining the fine/coarse partitions retrieved or assumed in satellite AOD retrieval al-  
440 gorithms. Currently, AERONET size distribution inversions are sparse at some locations due to  
the requirement for clear skies and homogeneity over a period of one hour while almucantar scans  
necessary for the inversion algorithm are collected, plus a low Sun angle for high air mass factor  
(Dubovik and King, 2000). Although probably less accurate than these full inversions, estimates  
based on spectral AOD with the constraint of a microphysical model would expand the potential  
445 data volume for comparison. In estimating volume/number from spectral AOD, this is complemen-  
tary to the AERONET spectral deconvolution algorithm product (O'Neill et al., 2003), which uses  
a more generalised set of microphysical assumptions to estimate fine and coarse contributions to  
midvisible AOD (but not explicitly number or volume).

An attempt was made to convert MAN-derived columnar number concentrations into surface number concentrations, which were compared to typical values from in situ datasets and AERONET estimates. From around 20° S and poleward, similar values were obtained ( $\sim 300\text{-}600\text{ cm}^{-3}$ ). However, in the tropics and northern hemisphere, the MAN-derived data tended to produce higher values than the in situ measurements. This poorer agreement is expected to be due to a combination of reasons including spatio-temporal variability of aerosol loading, uncertainties in aerosol microphysical properties, and, in particular, uncertainties in vertical profile shape.

Columnar aerosol number and AOD at 550 nm were also compared with the current Collection 5.1 product from both MODIS sensors, and results using the forthcoming Collection 6 algorithm. Consistent with previous studies, MODIS was found to overestimate AOD as compared to the MAN data, with this overestimate being larger for MODIS Terra. The number to volume ratios and per-particle extinction of the different aerosol modes used in the MODIS retrieval over ocean can lead to significant differences in derived number concentration. It was found that Terra tended to estimate lower aerosol number than MAN, and Aqua higher, linked to differences between the sensors in the aerosol fine modes which are typically found to provide the best solution. The MODIS ‘average solution’ dataset agreed more closely with the MAN data than the ‘best solution’, likely because some of the uncertainty associated with retrieval noise and microphysical model assumptions is averaged out. The results suggest that, at least for cases of pure maritime aerosol, quality assurance flags of 1, 2, and 3 are all of similar quality, and the ‘average solution’ dataset is better than the ‘best solution’ dataset. Collection 6 showed closer agreement with MAN AOD than Collection 5.1, but otherwise conclusions drawn were similar.

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Reference	Aerosol model	$r_n, \mu\text{m}$	Parameters $\sigma$	$m$	$\beta_{550}, \mu\text{m}^{-3}$	$\beta_{550}, \text{per particle}, \times 10^{-14}$	$C_n/C_v, \mu\text{m}^{-3}$
Sayer et al. (2012b)	Marine, fine mode	0.0742	0.50	$1.415-0.002i$	4.27	2.25	190
	Marine, coarse mode	0.547	0.72	$1.363-3 \times 10^{-9}i$	0.90	637	0.14
Sayer et al. (2012a)	Marine/continental, fine mode	0.106	0.44	$1.43-0.0075i$	5.53	6.65	83
	Marine/continental, coarse mode	0.774	0.65	$1.43-0.0075i$	0.78	1010	0.08
	Marine/dust, fine mode	0.0632	0.43	$1.47-0.002i$	3.36	0.82	412
	Marine/dust, coarse mode	0.993	0.49	$1.47-0.002i$	0.96	1060	0.08
	MODIS #1 (fine mode)	0.07	0.40	$1.45-0.0035i$	3.21	0.95	339
Remer et al. (2009)	MODIS #2 (fine mode)	0.06	0.60	$1.45-0.0035i$	5.17	2.36	219
	MODIS #3 (fine mode)	0.08	0.60	$1.40-0.002i$	5.09	5.51	92
	MODIS #4 (fine mode)	0.1	0.60	$1.40-0.002i$	5.36	11.4	47
	MODIS #5 (coarse mode)	0.4	0.60	$1.35-0.001i$	2.06	278	0.74
	MODIS #6 (coarse mode)	0.6	0.60	$1.35-0.001i$	1.26	576	0.22
	MODIS #7 (coarse mode)	0.8	0.60	$1.35-0.001i$	0.90	973	0.09
	MODIS #8 (coarse mode)	0.6	0.60	$1.53-0.001i$	1.22	557	0.22
	MODIS #9 (coarse mode)	0.5	0.80	$1.53-0.001i$	0.71	658	0.11

**Table 1.** Aerosol microphysical model parameters.  $r_n$  is the modal radius,  $\sigma$  the geometric standard deviation,  $m$  the complex refractive index at 550 nm, and  $C_n/C_v$  the ratio of aerosol particle number to volume. The extinction coefficient at 550 nm is denoted  $\beta_{550}$ , given both per  $\mu\text{m}^3$  and per particle.

Wavelength	Number of points	Mean bias	Mean absolute bias	Standard deviation of bias
340 nm	3,273	-0.004	0.006	0.007
380 nm	4,200	-0.0008	0.003	0.005
440 nm	14,504	0.002	0.005	0.007
500 nm	15,804	-0.00007	0.005	0.008
675 nm	15,403	0.0003	0.004	0.006
870 nm	15,748	-0.0005	0.004	0.005
1020 nm	967	-0.002	0.004	0.007

**Table 2.** Statistics of errors on spectral AOD fit using the maritime model and the MAN series-average data. Biases are defined as the fit value minus the MAN value, i.e. positive indicates the model overpredicts AOD.

Category	Series	Daily
Total	15,804 (1,437)	2,813 (177)
Maritime	4,578 (253)	884 (50)
Dusty	1,573 (267)	280 (33)
Continental	9,653 (917)	1,649 (94)

**Table 3.** Number of points in each aerosol class category, as described in the text, for series-average and daily-average MAN data. Figures in parentheses indicate the number which could not be fit to the maritime aerosol model with  $\chi^2 < 1$ .



Category	Fine mode			Coarse mode		
	Mode	Spread	Median	Mode	Spread	Median
Maritime (volume, $\mu\text{m}^3\mu\text{m}^{-2}$ )	0.0036	0.70	0.0056	0.047	0.59	0.062
Maritime (number, $\mu\text{m}^{-2}$ )	0.71	0.66	1.07	0.0065	0.57	0.088
Dusty (volume, $\mu\text{m}^3\mu\text{m}^{-2}$ )	0.0160	0.41	0.0188	0.225	0.46	0.289
Continental (volume, $\mu\text{m}^3\mu\text{m}^{-2}$ )	0.0037	1.08	0.0136	0.013	1.09	0.037

**Table 4.** Lognormal mode and spread, and median values, of relative frequency histograms of aerosol volume (for all three classes) and number (for maritime only).

Quantity/ solution	$R$	Median bias (MODIS - MAN)	Median absolute bias	RMSD
MODIS Terra, QA=1,2,3 (306 C5.1 points, 260 C6 points)				
$C_n, \mu\text{m}^{-2}$ , best	0.26 (0.39)	-0.17 (-0.20)	0.51 (0.63)	1.14 (1.17)
$C_n, \mu\text{m}^{-2}$ , average	0.38 (0.47)	-0.016 (-0.16)	0.48 (0.60)	0.93 (0.96)
$\tau_{550}$ , best	0.74 (0.77)	0.027 (0.020)	0.028 (0.025)	0.046 (0.036)
$\tau_{550}$ , average	0.75 (0.77)	0.023 (0.016)	0.025 (0.021)	0.043 (0.034)
$C_n, \mu\text{m}^{-2}$ , best, scaled	0.35 (0.43)	-0.32 (-0.03)	0.58 (0.64)	1.01 (1.13)
$C_n, \mu\text{m}^{-2}$ , average, scaled	0.45 (0.57)	-0.23 (-0.24)	0.47 (0.50)	0.86 (0.85)
MODIS Aqua, QA=1,2,3 (291 C5.1 points, 231 C6 points)				
$C_n, \mu\text{m}^{-2}$ , best	0.34 (0.42)	0.65 (0.77)	1.02 (1.07)	1.61 (1.59)
$C_n, \mu\text{m}^{-2}$ , average	0.48 (0.51)	0.42 (0.32)	0.71 (0.76)	1.13 (1.13)
$\tau_{550}$ , best	0.62 (0.73)	0.017 (0.009)	0.024 (0.021)	0.044 (0.031)
$\tau_{550}$ , average	0.63 (0.76)	0.016 (0.012)	0.023 (0.018)	0.044 (0.029)
$C_n, \mu\text{m}^{-2}$ , best, scaled	0.46 (0.42)	0.29 (0.59)	0.87 (1.11)	1.62 (1.66)
$C_n, \mu\text{m}^{-2}$ , average, scaled	0.57 (0.54)	0.10 (0.19)	0.51 (0.57)	1.06 (0.93)
MODIS Terra, QA=3 (115 C5.1 points, 191 C6 points)				
$C_n, \mu\text{m}^{-2}$ , best	0.53 (0.51)	-0.18 (-0.30)	0.41 (0.61)	0.91 (0.97)
$C_n, \mu\text{m}^{-2}$ , average	0.58 (0.54)	-0.060 (-0.24)	0.43 (0.58)	0.79 (0.88)
$\tau_{550}$ , best	0.67 (0.82)	0.039 (0.021)	0.039 (0.023)	0.058 (0.036)
$\tau_{550}$ , average	0.69 (0.81)	0.038 (0.017)	0.038 (0.021)	0.052 (0.033)
$C_n, \mu\text{m}^{-2}$ , best, scaled	0.64 (0.57)	-0.46 (-0.41)	0.53 (0.65)	0.95 (0.91)
$C_n, \mu\text{m}^{-2}$ , average, scaled	0.67 (0.65)	-0.32 (-0.31)	0.46 (0.51)	0.82 (0.77)
MODIS Aqua, QA=3 (97 C5.1 points, 96 C6 points)				
$C_n, \mu\text{m}^{-2}$ , best	0.42 (0.50)	1.21 (0.77)	1.29 (1.00)	1.94 (1.73)
$C_n, \mu\text{m}^{-2}$ , average	0.64 (0.61)	0.85 (0.44)	0.85 (0.68)	1.32 (1.16)
$\tau_{550}$ , best	0.49 (0.85)	0.037 (0.018)	0.039 (0.028)	0.059 (0.032)
$\tau_{550}$ , average	0.48 (0.86)	0.028 (0.017)	0.035 (0.021)	0.059 (0.028)
$C_n, \mu\text{m}^{-2}$ , best, scaled	0.62 (0.47)	0.62 (0.67)	0.90 (1.08)	1.61 (1.84)
$C_n, \mu\text{m}^{-2}$ , average, scaled	0.79 (0.62)	0.35 (0.24)	0.46 (0.51)	0.96 (0.98)

**Table 5.** Statistics of comparison between colocated MAN and MODIS 550 nm AOD and aerosol columnar number concentration, for the MAN measurement series identified as marine aerosol. ‘Best’ and ‘average’ solutions are as defined in the text. ‘Scaled’ refers to statistics when the MODIS data are scaled to match the MAN AOD at 550 nm.  $R$  is Pearson’s linear correlation coefficient and RMSD the root mean square difference. C6 statistics are given in parentheses, after C5.1 results in regular type.

Sensor/QA threshold	Best solution		Average solution	
	C5.1	C6	C5.1	C6
Terra, QA=1,2,3	0.60	0.71	0.62	0.74
Terra, QA=3	0.41	0.72	0.46	0.77
Aqua, QA=1,2,3	0.66	0.73	0.71	0.78
Aqua, QA=3	0.45	0.71	0.52	0.76

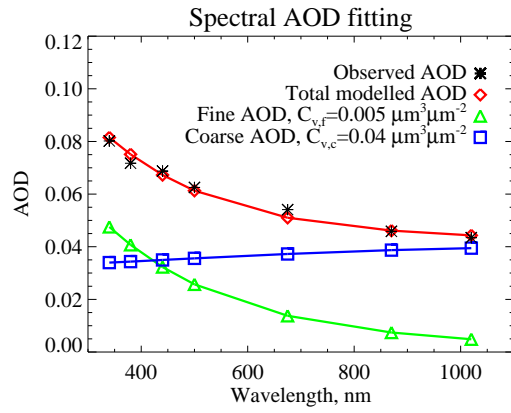
**Table 6.** Fraction of MODIS-MAN matchups with an AOD at 550 nm within the expected MODIS absolute error of 0.03+5 % of the MAN value.

Reference	Scale height, km	Region	Note/origin in reference
Yu et al. (2010)	0.5-1.5	Global	Fig. 6, Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) profiles. Open ocean.
Clarke and Kapustin (2002)	1.5-2.5	Global	Continental outflow regions.
	~2.2	Pacific	Fig. 13; continentally-influenced air masses.
	~1		Fig. 13; clean air masses.
Clarke et al. (2001)	~1.5-2	Hawaii	Figs. 1 and 3; polluted/dusty air masses, evidence of some distinct layers.
Schmid et al. (2000)	~0.8	Canary Islands	Fig. 2; also Figs. 3 and 6 of Gassó et al. (2000).
	~0.9	Canary Islands	Fig. 10.
Livingston et al. (2000)	~0.9	Coastal N. Atlantic	Fig. 4; little variability in lowest 0.4 km.
Russell et al. (1999a), 1999b	~1-1.5	US coast (Virginia)	Plate 1 of Russell et al. (1999b).
Hegg et al. (1996)	0.13-0.52	US coast (California)	Table 5; some measurements sampled very limited altitude ranges.
	0.25-1.11	US coast (Washington)	Fig. 1.
Kristament et al. (1993)	~1.5	Southwest Pacific	Fig. 5; theoretical calculations for relative humidities between 52 % and 85 %.
Fitzgerald (1989)	~0.25-1.2	-	Fig. 1.
Yershov et al. (1988)	1.7	Black Sea	Helicopter measurements.
Blanchard and Woodcock (1980)	~1	Atlantic, Pacific	Fig. 7; wind speeds of $8 \text{ ms}^{-1}$ and $14 \text{ ms}^{-1}$ .
Patterson et al. (1980)	~0.5	Pacific	Coarse mode particles only.
	~1		Fig. 6; large particles.
	1.1-2		Small particles.
Sturm (1980)	-	-	Eq. 11.22 in lower troposphere; range for 50 km visibility (1.1 km) to 10 km visibility (2 km).
Sebacher et al. (1967)	~1.5	US coast (Virginia)	Based on McClatchey et al. (1972) profiles.
			Fig. 3 (bottom).

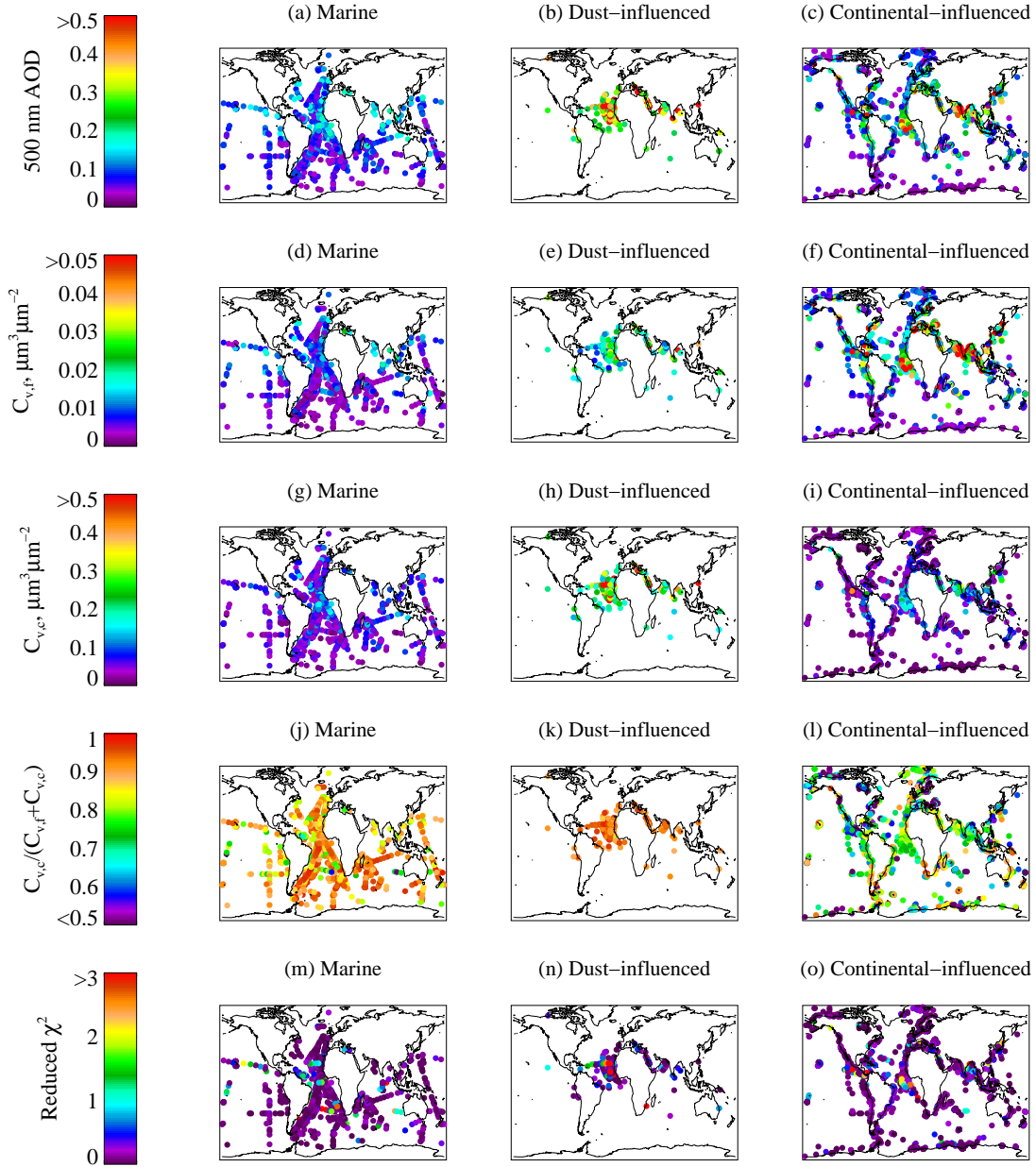
**Table 7.** Scale heights for marine aerosol, from various sources.

Reference	Region	Profile/origin in reference
Welton et al. (2002)	Indian Ocean	Figs. 9 and 13; peak $\sim 0.5$ km, decay above and below.
Voss et al. (2001)	Atlantic	Fig. 7; increasing from surface, capped at 1 km.
Clarke et al. (1997)	Atlantic	Fig. 9; distinct layer up to $\sim 0.6$ km.
Blanchard and Woodcock (1980)	Atlantic, Pacific	Fig. 7; wind speeds of $1 \text{ ms}^{-1}$ and $3.5 \text{ ms}^{-1}$ . Little vertical variation. Coarse mode particles only.
Sebacher et al. (1967)	US coast (Virginia)	Fig. 3 (top); peak around 1 km.

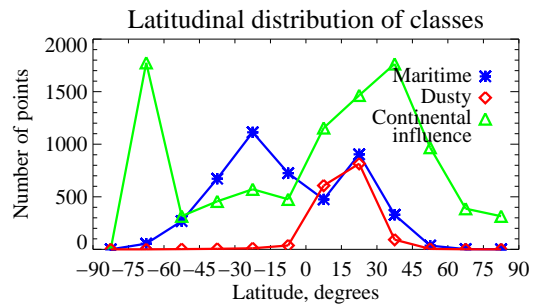
**Table 8.** Marine aerosol vertical profiles which are not well-represented with exponentially-decreasing vertical profiles, from various sources.



**Fig. 1.** Conceptual overview of fitting spectral AOD to estimate aerosol volume as a combination of fine and coarse modes.

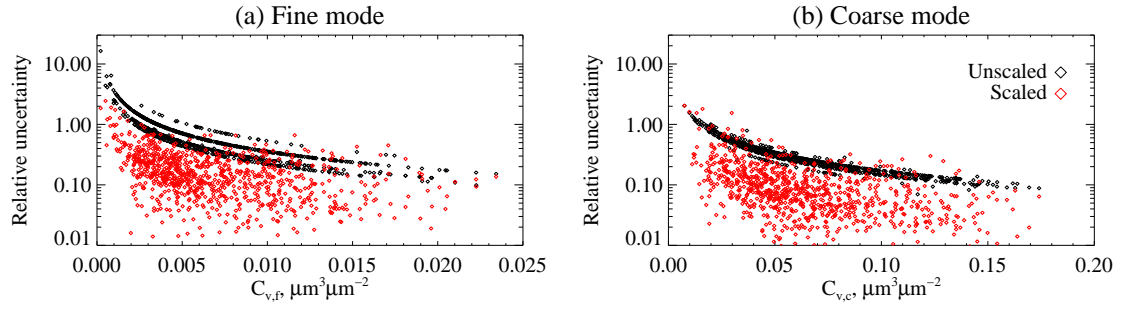


**Fig. 2.** Aerosol optical depth and inferred columnar aerosol volume from daily average MAN data. From left-right, columns show plots for the maritime, dusty, and continental subsets respectively. Rows show (a-c) 500 nm AOD, (d-f) the fine-mode aerosol volume, (g-i) the coarse-mode aerosol volume, (j-l) fraction of aerosol volume from the coarse mode, and (m-o) the fit  $\chi^2$ .

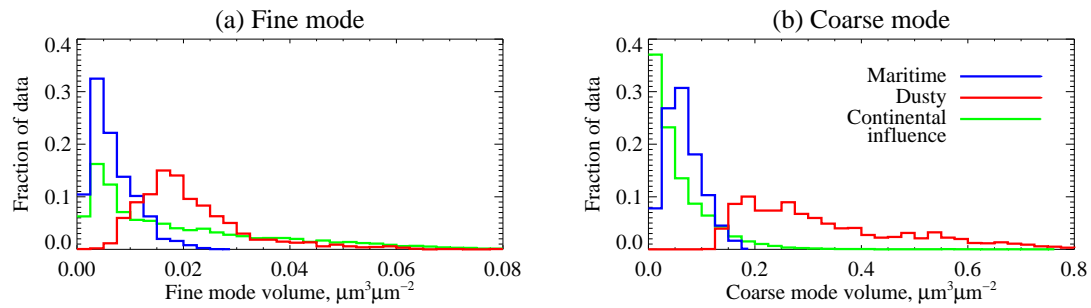


**Fig. 3.** Latitudinal distribution of the number of MAN measurement series falling into the maritime, dusty, and continental-influenced classifications.

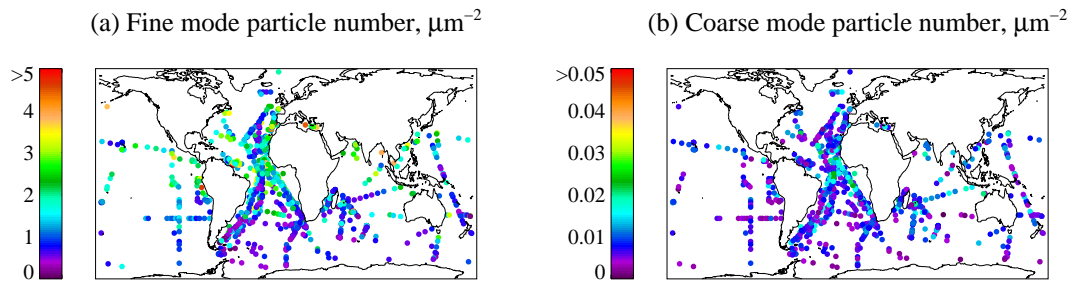




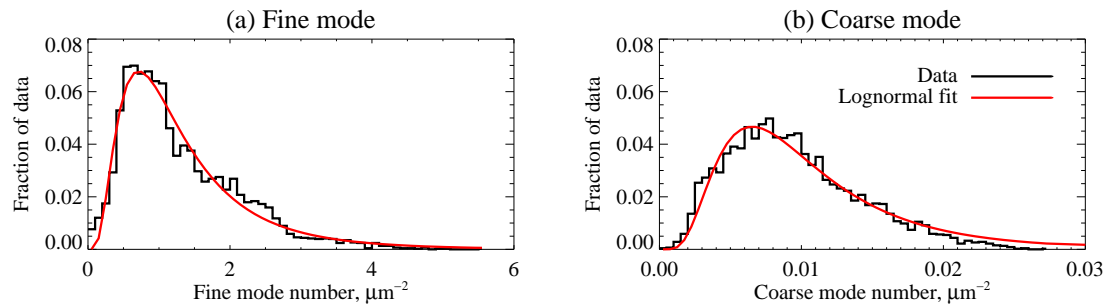
**Fig. 4.** Columnar aerosol volume and relative uncertainty (i.e. 1 indicates 100 % uncertainty), for the marine subset of points from daily average MAN data. (a) shows the fine mode, and (b) the coarse mode. Black points show calculations assuming the MAN uncertainty is 0.015, and red points where the fit  $\chi^2$  is used to scale these uncertainty estimates.



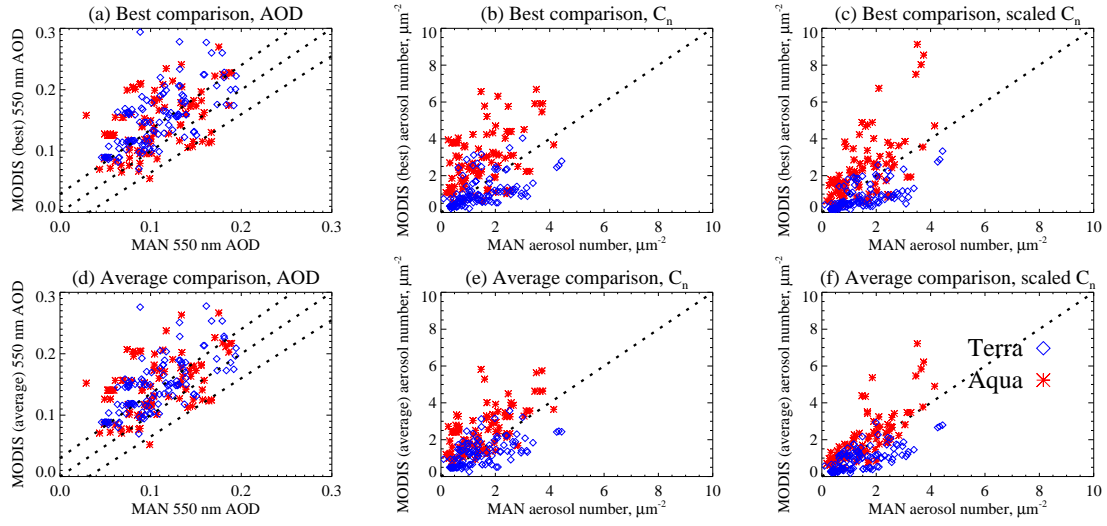
**Fig. 5.** Histograms of fit columnar aerosol volume for the three aerosol classes, for (a) fine and (b) coarse modes. The vertical axis indicates the number in each bin, as a fraction of the total number of points.



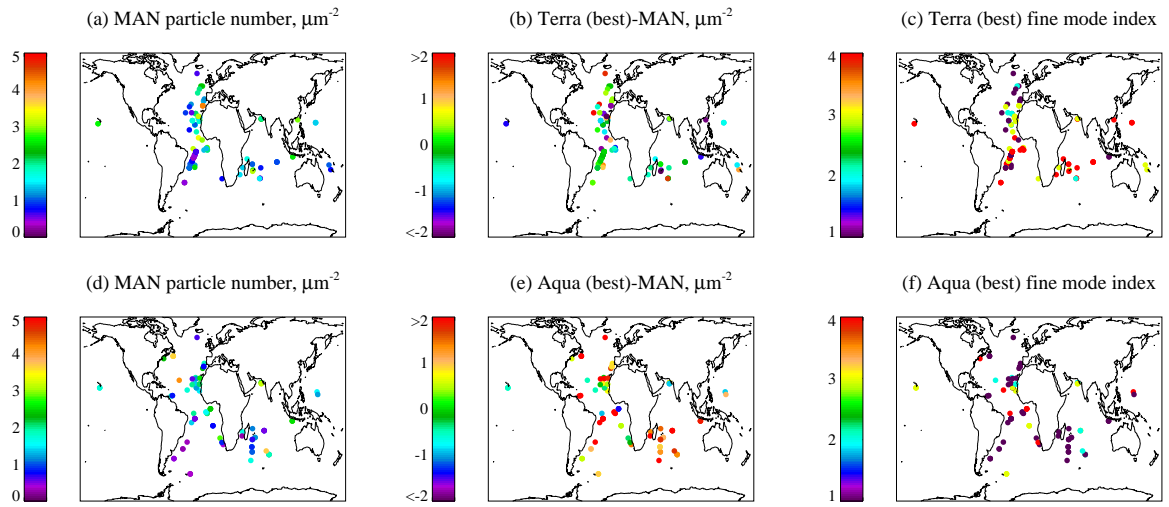
**Fig. 6.** Maps of fit columnar aerosol number for the maritime aerosol subset, for (a) fine and (b) coarse modes. From the daily-average MAN data.



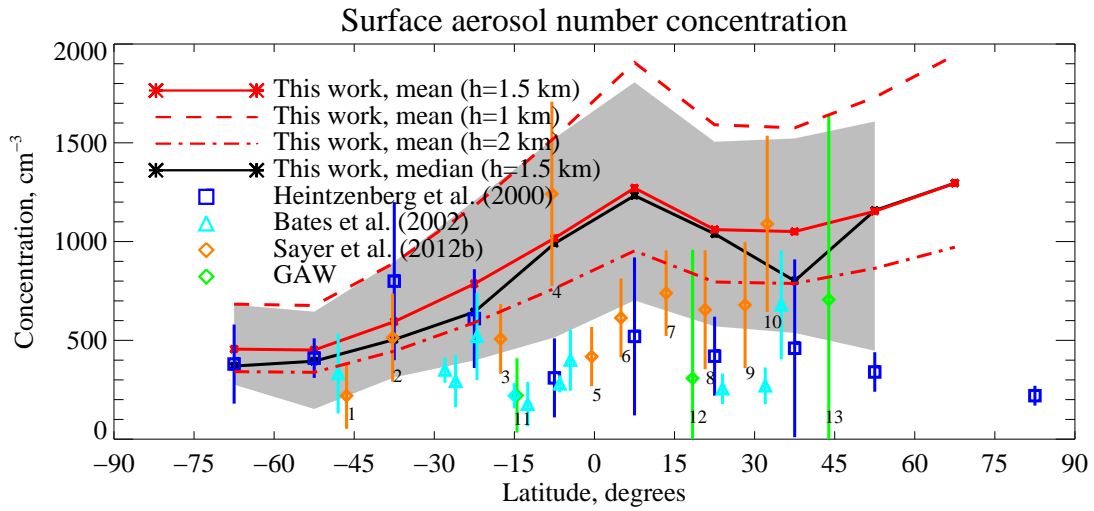
**Fig. 7.** Histograms of fit columnar aerosol number for the maritime aerosol subset, for (a) fine and (b) coarse modes. The vertical axis indicates the number in each bin, as a fraction of the total number of points. Black shows the binned data, and red the lognormal fit to it. From the series-average MAN data.



**Fig. 8.** Comparison between MAN and MODIS-derived AOD and  $C_n$ , from MODIS Collection 5.1. The top row (a, b, c) shows the MODIS ‘best’ solution, and the bottom row (d, e, f) the ‘average’ solution. Panels show comparisons between (a, d) AOD at 550 nm (outer dashed lines show the MODIS expected error envelope of 0.03+5 %); (b, e)  $C_n$ ; and (c, f)  $C_n$  scaled to the value which would be reported if MODIS and MAN AOD matched at 550 nm.



**Fig. 9.** Locations and statistics of MODIS and MAN-derived  $C_n$ , for QA=3 MODIS retrievals and the ‘best’ MODIS solution. The top row (a, b, c) shows data for MODIS Terra, and the bottom row (d, e, f) MODIS Aqua, from MODIS Collection 5.1. Panels show (a, d) the MAN-derived  $C_n$ ; (b, e) the MODIS-MAN  $C_n$  difference; and (c, f) the index of the fine aerosol mode (Table 1) MODIS reported as providing the ‘best’ solution.



**Fig. 10.** Zonal estimates of aerosol surface number concentration from MAN data, from the maritime subset of the daily average dataset. The mean is shown in red and median in black, and the shaded grey area indicates the central 68 % of the data (for latitude ranges with more than one point), assuming an aerosol scale height of 1.5 km. Dashed and dot-dashed red lines indicate the mean profile if scale heights of 1 km or 2 km are used instead. Coloured open symbols show different comparative datasets, as described in the text, with error bars indicating the variability on the data (typically standard deviation) as quoted in the relevant references. Numbers below AERONET (Sayer et al., 2012b) and GAW points indicate stations as follows: 1, Crozet Island; 2, Amsterdam Island; 3, Tahiti; 4, Ascension Island; 5, Nauru; 6, Kaashidhoo; 7, Guam; 8, Lanai; 9, Midway Island; 10, Bermuda; 11, Samoa; 12, Cape San Juan; 13, Sable Island.