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Draft

# Radiative impacts of cloud heterogeneity and overlap in an atmospheric General Circulation Model

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## Abstract

The radiative impacts of introducing horizontal heterogeneity of layer cloud condensate, and vertical overlap of condensate and cloud fraction are examined with the aid of a new radiation package operating in the GEOS-5 Atmospheric General Circulation Model. The impacts are examined in terms of diagnostic top-of-the-atmosphere shortwave (SW) and longwave (LW) cloud radiative effect (CRE) calculations for a range of assumptions and parameter specifications about the overlap. The investigation is conducted for two distinct cloud schemes, the one that comes with the standard GEOS-5 distribution, and another which has been recently used experimentally for its enhanced cloud microphysical capabilities; both are coupled to a cloud generator allowing arbitrary cloud overlap specification. We find that cloud overlap radiative impacts are significantly stronger for the operational cloud scheme for which a change of cloud fraction overlap from maximum-random to generalized results to global changes of SW and LW CRE of  $\sim 4 \text{ Wm}^{-2}$ , and zonal changes of up to  $\sim 10 \text{ Wm}^{-2}$ . This is because of fewer occurrences compared to the other scheme of large layer cloud fractions and of multi-layer situations with large numbers of atmospheric being simultaneously cloudy, conditions that make overlap details more important. The impact on CRE of the details of condensate distribution overlap is much weaker. Once generalized overlap is adopted, both cloud schemes are only modestly sensitive to the exact values of the overlap parameters. We also find that if one of the CRE components is overestimated and the other underestimated, both cannot be driven towards observed values by adjustments to cloud condensate heterogeneity and overlap alone.

## 2    **1   Introduction**

3    With new computationally efficient approaches to treat cloud-radiation interactions now  
4    available, there are fewer reasons to retain the simplistic cloud descriptions that have persisted  
5    in General Circulation Models (GCMs) for many years. Clouds do no longer have to be  
6    treated by the radiation schemes of these models as homogeneous slabs within large areas  
7     $O(10^4 \text{ km}^2)$ , with fractional coverages and optical depths that have been greatly adjusted to  
8    compensate for known biases arising from their nonlinear interaction with radiation. While  
9    capturing the radiative effects of full-blown 3D cloud heterogeneity may still be elusive, the  
10   representation of in-cloud horizontal heterogeneity of cloud condensate and two-point  
11   statistics of vertical correlations of condensate and cloud fraction within a one-dimensional  
12   radiative transfer framework is now feasible. As a matter of fact, the current work is one more  
13   study that amply demonstrates the viability of such an undertaking.

14   The main development that makes more complex cloud descriptions possible is the  
15   introduction of methods that perform radiative transfer in the cloudy portions of GCM grid  
16   cells in a stochastic manner (Pincus et al., 2003). The more complex cloud descriptions come  
17   from cloud generators producing horizontal and vertical cloud variability according to rules  
18   that are relatively easy to implement. The cloud fields from the generators can then be  
19   coupled with stochastically operating radiative transfer schemes that only “see” atmospheric  
20   subcolumns where cloud fraction is unity and condensate is horizontally invariable whenever  
21   a layer is cloudy. With the radiative transfer simplified, the sensitivity of the radiation budget  
22   to a variety of specifications that transform a gridcolumn’s cloud profile to a cloud field  
23   consisting of several subcolumns can be easily examined. What we should ultimately  
24   investigate is whether the effects of cloud complexity on the transfer of solar and thermal  
25   infrared radiation matter for the GCM’s climate. Such a study of the full impacts of  
26   interactions and feedbacks of the altered radiation fields with the multitude of the GCM’s  
27   dynamical and physical processes is left for the future. Here, we simply focus on diagnosing  
28   the possible range of radiative impacts of enhanced cloud complexity, an approach akin to that  
29   of Shonk and Hogan (2010).

30   In the following we will present the tools, assumptions, and experimental setup that allow us  
31   to examine the degree to which cloud complexity changes the cloud radiative impact (sections

2, 3, and 4). The availability of two cloud schemes in the GCM at hand and our analysis approach provides the opportunity to investigate whether the same assumptions about cloud complexity imposed on different initial cloud fields can yield notably distinct radiative impacts (section 5) and what causes the contrasting behaviour (section 6).

## 2 Implementation of RRTMG into GEOS-5

The effects of cloud overlap (fraction and condensate) on the radiative fluxes can be captured best with radiation codes equipped with as much flexibility as possible in the representation of such overlap. This (along with improved representation of gaseous absorption) was one of the primary motivations for the implementation into the GEOS-5 Atmospheric General Circulation Model (AGCM, Rienecker et al. 2010; Molodt et al. 2012) of the RRTMG radiation package (Clough et al 2005), a faster version of the RRTM codes (Mlawer et al 1997; Iacono et al. 2008) designed specifically for large scale models and consisting of a solar and thermal infrared component. Both components can be run in so-called Monte Carlo Independent Column Approximation (McICA) mode (Pineus et al. 2003). RRTMG with McICA has been implemented successfully into ECMWF's Integrated Forecasting System (Morcrette et al. 2008) and several other large scale models. Within the McICA framework, when the radiation code is employed on a number of atmospheric (sub)columns, full spectral integration over each column is replaced by stochastic (Monte Carlo) integration. A simplified mathematical expression of this process can be written as follows:

$$\bar{F} = \frac{1}{N} \sum_{n=1}^N F_n = \frac{1}{N} \sum_{n=1}^N \sum_{k=1}^K f_{n,k} \approx \sum_{k=1}^K f_{n_k,k} \quad (1)$$

The uppercase symbols of eq. (1) represent broadband fluxes, while the lowercase letters represent pseudo-monochromatic fluxes per the correlated-k paradigm (Lacis and Oinas, 1991).  $\bar{F}$  represents a broadband flux (solar or thermal infrared; upward or downward) at any vertical level within the AGCM gridcolumn,  $F_n$  is a similar broadband flux for one of the  $N$  subcolumns generated by RRTMG's cloud generator (Räisänen et al. 2004, see below) within the gridcolumn, and  $f_{n,k}$  is the pseudo-monochromatic flux for subcolumn  $n$  and spectral point  $k$ . What the above equation essentially conveys is that a broadband flux which is normally obtained by taking the average over  $N$  subcolumns of the sum of  $K$  spectral calculations for each subcolumn, is approximated by the sum of  $K$  spectral calculations where each spectral

point  $k$  is paired randomly with one of the  $N$  subcolumns,  $n_k$ . Note that when using eq. (1) the computational cost of the calculation over all subcolumns is the same as that of a full spectral integration of a single (sub)column. The performance of this approximation in large scale models has been tested extensively (e.g., Barker et al. 2008). The main issue of concern is whether the conditional random noise, decreasing as the inverse square root of the number of applications of eq. (1), has any detrimental impact on the simulations. The prior studies and our test with GEOS-5 have shown that the McICA noise for sufficiently long runs (at least a month) is of similar length and nature as the internal variability of the model.

An extensive description of the brand of generator used in our implementation of RRTMG is provided by Räisänen et al. (2004). The cloud generator produces subcolumns that have either clear or completely overcast cloud layers. Whether the cloud condensate of a particular layer is different from one subcolumn to the next depends on the assumptions about horizontal cloud heterogeneity: either homogeneous or heterogeneous condensate distributions can be specified within the cloud generator. The horizontal location of clouds in a particular layer (i.e., subcolumn assignment) and specific value of condensate (for heterogeneous condensate distributions) depend on cloud presence in other layers according to the overlap rules implemented. By design, in the limit of an infinite numbers of subcolumns layer horizontal averages reproduce the vertical profile of cloud fraction and condensate provided as input to the generator by the AGCM. More specific descriptions of rules and assumptions about cloud fraction and condensate distribution overlaps as implemented in the GEOS-5 cloud generator are provided in the section that follows.

### 3 Cloud overlap and variability representation

The cloud fraction overlap options for the cloud generator that comes with the RRTMG package include the standard assumptions that have been used extensively in the past, i.e., maximum, random, and (the most popular) maximum-random overlap (Geleyn and Hollingsworth 1979; Tian and Curry 1989) where contiguous cloudy layers overlap maximally and randomly otherwise. Räisänen et al. (2004) provides a mathematical description of the practical implementation of these overlap assumptions in a cloud generator algorithm. In this work, from the above simplified overlap descriptions, we only test the maximum-random overlap option.

Starting with the work of Hogan and Illingworth (2000), numerous studies (e.g., Mace and Benson-Troth 2002; Oreopoulos and Khairoutdinov 2003; Naud et al. 2008) have shown that the above simple overlap assumptions are inconsistent with cloud fields from observations and cloud resolving models, and that the concept of “generalized” cloud fraction overlap represents observed overlap more realistically. In the generalized overlap paradigm, the combined cloud fraction of two cloudy layers at heights  $z_1$  and  $z_2$  with separation distance  $\Delta z = z_2 - z_1$  can be approximated as a weighted average of combined cloud fractions from maximum and random overlap,  $C_{max}(\Delta z)$  and  $C_{ran}(\Delta z)$ , respectively according to:

$$C(\Delta z) = \alpha(\Delta z)C_{max}(\Delta z) + (1 - \alpha(\Delta z))C_{ran}(\Delta z) \quad (2)$$

with

$$C_{max}(\Delta z) = \max(C(z_1), C(z_2)) \quad (3a)$$

$$C_{ran}(\Delta z) = 1 - (1 - C(z_1))(1 - C(z_2)) \quad (3b)$$

The weighting parameter  $\alpha(\Delta z)$ , is a measure of the proximity of overlap to maximum (exact when  $\alpha(\Delta z)=1$ ) or random (exact when  $\alpha(\Delta z)=0$ ); Negative values suggest some degree of minimum overlap (a combined cloud fraction greater than that of random overlap). A commonly used simplification, also adopted here, is that  $\alpha(\Delta z)$  depends only on the separation distance  $\Delta z$  and not on the specific values of  $z_1$  and  $z_2$ , i.e., cloud fraction overlaps the exact same way at different heights of the atmosphere as long as  $\Delta z$  is the same. With this assumption, it was shown (Hogan and Illingworth, 2000) that  $\alpha(\Delta z)$  can be fit reasonably well by an inverse exponential function:

$$\alpha(\Delta z) = \exp\left(-\frac{\Delta z}{L_\alpha}\right) \quad (4)$$

where  $L_\alpha$  is the “decorrelation length” for cloud fraction overlap. Such a fit obviously does not allow for negative values  $\alpha(\Delta z)$  which are occasionally observed (e.g., Oreopoulos and Norris 2011). Because the fit provided by eq. (4) is usually used in conjunction with eq. (2), generalized overlap has also been termed “exponential-random” overlap (Hogan and Illingworth 2000).

1 The manner in which cloud water contents align in the vertical may also important for  
 2 processes like radiation (or precipitation). For example, the domain-averaged fluxes differ  
 3 between a case where all high or low condensate values are aligned to create pockets of  
 4 vertically integrated high or low liquid water path (WP), and a case where a more random  
 5 alignment homogenizes the WP horizontal distribution (e.g., see Norris et al., 2008). The  
 6 nature of condensate alignment can be expressed in terms of rank correlations of water  
 7 content as a function of separation distance  $\Delta z = z_2 - z_1$  (e.g., see Pincus et al., 2005 and  
 8 Oreopoulos and Norris 2011). For two layers at heights  $z_1$  and  $z_2$  the water contents at both  
 9 heights can be ranked separately for the overlapping portion of  $N_{col}$  sub-columns of the two  
 10 cloud layers. A linear correlation coefficient  $r(\Delta z)$  can then be calculated from the ranks  $R_i(z_1)$   
 11 and  $R_i(z_2)$  according to:

$$12 \quad r(\Delta z) = \frac{\sum_{i=1}^{N_{col}} (R_i(z_1) - \bar{R}(z_1))(R_i(z_2) - \bar{R}(z_2))}{\sqrt{\sum_{i=1}^{N_{col}} (R_i(z_1) - \bar{R}(z_1))^2} \sqrt{\sum_{i=1}^{N_{col}} (R_i(z_2) - \bar{R}(z_2))^2}} \quad (5)$$

13 The rank correlation coefficient expresses the likelihood water contents of the same relative  
 14 strength within their respective layers are aligned in the vertical, with  $r(z_1, z_2)=1$  corresponding  
 15 to perfect alignment and  $r(z_1, z_2)=0$  corresponding to completely random alignment.

16 It was suggested (e.g., Räisänen et al. 2004) that the rank correlation coefficient can also be fit  
 17 by an inverse exponential (which again will not capture negative values) under similar  
 18 assumptions as in the case of the cloud fraction overlap parameter, i.e., that it is only a  
 19 function of  $\Delta z$  and not  $z$  itself

$$20 \quad r(\Delta z) = \exp\left(-\frac{\Delta z}{L_r}\right) \quad (6)$$

21 where  $L_r$  is the rank correlation decorrelation length. Large values of  $L_r$  indicate condensate  
 22 values that are highly correlated in terms of relative strength, while small values suggest  
 23 condensate values whose relative strength is weakly correlated between layers.

24 The practical implementation of generalized cloud fraction overlap and condensate overlap  
 25 using inverse exponential fits is described by Räisänen et al. (2004). The cloud generator that  
 26 came with RRTMG could handle generalized cloud fraction overlap, but did not allow for

1 overlap of condensate distributions; we added that feature following Räisänen et al. (2004).  
 2 To create the subcolumns that describe the cloud fields within the GCM gridcolumns, two  
 3 additional pieces of information, besides the profiles of cloud fraction  $C$  and mean condensate  
 4 (liquid and ice) are needed, namely to specify the decorrelation lengths  $L_\alpha$  and  $L_r$  and the  
 5 magnitude of the horizontal variability of the condensate distributions. We defer discussion of  
 6 decorrelation lengths for the next section, and describe variability here.

7 To create condensate distributions for cloudy layers we assume that beta distributions describe  
 8 the horizontal variations of normalized condensate  $x=w/w_{max}$ :

$$p_\beta(x) = \frac{\Gamma(p+q)}{\Gamma(p)\Gamma(q)} x^{p-1} (1-x)^{q-1} \quad (7)$$

10 where  $\Gamma$  is the gamma function and the maximum value of condensate  $w_{max}$  is set as five times  
 11 the assumed variance  $\sigma_w^2$  of the distribution. The shape parameters  $p, q$  of the beta distribution  
 12 are calculated from the method of moments (Wilks 1995):

$$p = \frac{\bar{x}^2(1-\bar{x})}{\sigma_x^2} - \bar{x} \quad (8a)$$

$$q = \frac{p(1-\bar{x})}{\bar{x}} \quad (8b)$$

15 where  $\bar{x} = \bar{w}/w_{max}$  and  $\sigma_x^2 = \sigma_w^2/w_{max}^2$ .

16 The standard deviation  $\sigma_w$  of the distribution was set as follows, loosely based on Oreopoulos  
 17 and Barker (1999) and our own analysis of hydrometeor variability in the CloudSat (Stephens  
 18 et al. 2002) data:

$$\begin{aligned} \sigma_w &= 0.5\bar{w} \text{ when } C > 0.99 \\ \sigma_w &= \bar{w}/\sqrt{2} \text{ when } 0.9 \leq C \leq 0.99 \\ \sigma_w &= \bar{w} \text{ when } C < 0.9 \end{aligned} \quad (9)$$

20 The choice of the beta distribution supported by observations (Oreopoulos and Davies 1998  
 21 and Lee et al. 2010), but other skewed distributions that have also been observed from  
 22 airborne and satellite measurements, such as gamma and lognormal would have been an  
 23 equally acceptable alternate choice. Eqs. (7) and (9) apply to both liquid and ice condensate,  
 24 and in layers where the two phases coexist their ratio is assumed to remain constant across all  
 25 subcolumns. Since no distinction is made between liquid and ice cloud fraction, the

1 normalized standard deviation  $\sigma_w/\bar{w}$  is de facto the same for liquid and ice condensate  
2 distributions. The beta distribution of normalized condensate  $x$  is converted to an actual  
3 condensate distribution and then to a cloud optical depth distribution using the AGCM-  
4 provided effective particle size which is different for each phase, but assumed horizontally  
5 homogeneous.

6 Since the specification of the amount of condensate variability via  $\sigma_w$  does not come explicitly  
7 from the host AGCM or derived from rigorous physical principles, and variability is used only  
8 to gauge diagnostically the sensitivity of the cloud radiative effect, we argue that it is not  
9 essential to fully justify its exact specification. Different degrees of variability will have  
10 quantitatively different impacts on the cloud radiative effect, but the qualitative impact is  
11 nevertheless entirely predictable: larger inhomogeneity results in smaller shortwave (SW) and  
12 longwave (LW) cloud radiative effect and vice-versa.

## 14 **4 Description of AGCM setup and experiments**

### 15 **4.1 Specification of overlap parameter decorrelation lengths**

16 As explained earlier, for the AGCM experiments with generalized cloud fraction overlap and  
17 heterogeneous condensate distributions, the decorrelation lengths  $L_\alpha$  and  $L_r$  need to be  
18 specified. The simplest option is to select values that are universal (constant) in space in time.  
19 Values that have been used in prior work (Räisänen et al. 2004; Morcrette et al. 2008) are  
20  $L_\alpha = 2$  km and  $L_r = 1$  km. Such a simplification is not justifiable in principle on physical  
21 grounds given the wide range of cloud regimes. Still, whether a more sophisticated  
22 specification of decorrelation lengths is needed in practice requires further investigation. The  
23 availability of cloud particle/hydrometeor reflectivity and backscatter data from the Cloud  
24 Profiling Radar (CPR) of the CloudSat mission and the CALIOP lidar of the CALIPSO  
25 mission (Winker et al. 2010), potentially allows a more detailed examination of  
26 spatiotemporal variation of cloud overlap decorrelation lengths.

27 We performed such a cloud overlap analysis using CloudSat products for two months, January  
28 and July 2009. For cloud fraction overlap we used the 2B-GEOPROF-LIDAR product which  
29 provides a cloud mask from combining the different hydrometeor detection capabilities of

1 CPR and CALIOP (CPR is more capable at detecting layers with large concentrations of  
2 hydrometeors while CALIOP can better detect unobscured thin clouds). For condensate  
3 distribution overlap we use CloudSat's 2B-GEOPROF product which provides reflectivities  
4 for footprints ( $\sim 1.7$  km) that have been identified to contain hydrometeors at various vertical  
5 locations (separated by  $\sim 500$  m). Our rank correlations according to eq. (5) therefore actually  
6 come from reflectivities and not cloud condensates which are also available from CloudSat  
7 (e.g. product 2B-CWC-RO or 2B-CWC-RVOD), but are considered less reliable for the liquid  
8 phase due to drizzle and mixed/supercooled clouds often assigned erroneously to the ice phase  
9 (Lee et al. 2010). Since reflectivities are proportional to the size of the hydrometeor particles,  
10 under the assumption of constant particle number, the amount of condensate is monotonically  
11 related to particle size and eq. (5) can be applied to reflectivities as well. A caveat of the 2B-  
12 GEOPROF reflectivities on the other hand is that they do not result only from interactions of  
13 the radar beam with suspended particles, but also precipitation particles. While the above  
14 make CloudSat-derived decorrelation lengths approximate, it should be kept in mind that the  
15 goal is not to obtain a perfect map of their geographical variation, but to have at our disposal a  
16 plausible general picture of their spatial and seasonal variability that can be contrasted with  
17 globally constant decorrelation lengths for cloud radiative effect studies.

18 Fig. 1 shows the zonal distribution of  $L_p$  (top panel) and  $L_r$  (bottom panel) derived via least-  
19 square fits (Presse et al., 1992) from monthly-averaged CloudSat/CALIPSO  $\alpha(\Delta z)$  and  $r(\Delta z)$   
20 profiles within  $3^\circ$  latitude zones, for January and July (solid lines), with the limitations  
21 explained earlier. The data segment length used in the above calculation is 100 CPR profiles  
22 ( $\sim 170$  km), similar to the spatial resolution of the AGCM experiments described below. There  
23 is a clear zonal structure for both months with tropical latitudes exhibiting larger decorrelation  
24 lengths (more maximum overlap and greater vertical alignment of reflectivities of similar  
25 relative strength), consistent with overlap contrasts between convective and stratiform regimes  
26 (Barker 2008a,b; Oreopoulos and Norris 2011).  $L_r$  values seem to be generally about half  
27 those of  $L_{\alpha}$ , in broad agreement with previous findings (Räisänen et al. 2004; Pincus et al.  
28 2005; Oreopoulos and Norris 2011). Seasonal shifts of the peak values of decorrelation length  
29 appear to reflect the movement of the Intertropical Convergence Zone (ITCZ).

Our objective for AGCM parameterization purposes is to capture the observed decorrelation length zonal structure shown in Fig. 1. For that purpose, we apply a Gaussian fit (black dashed curves) of the form

$$L = m_1 + m_2 \exp[-(\theta - m_3)^2 / m_4^2] \quad (10)$$

to the January (black) curves. In eq. (10),  $\theta$  is the latitude in degrees and  $m_1$ ,  $m_2$ ,  $m_3$  and  $m_4$  are parameter fits. All, except  $m_3$ , are held constant, and their values yielding decorrelation length in km are provided in Table 1. Parameter  $m_3$ , regulating the latitude at which eq. (10) peaks, reflects the zonal movement seen in the CloudSat data, and is allowed to vary as a function of the day of the year according to:

$$m_3 = -4m_{3,0}(jday - 272)/365 \text{ when } jday > 181 \quad (11a)$$

$$m_3 = 4m_{3,0}(jday - 91)/365 \text{ when } jday \leq 181 \quad (11b)$$

where  $jday$  is the julian day. We set  $m_{3,0}=7.0$  (cloud fraction overlap) and  $m_{3,0}=8.5$  (condensate/reflectivity overlap). Our approach in essence consists of assigning the initial Gaussian fit of the monthly-averaged January observations to January 1, and then finding the zonally-averaged decorrelations for all other days of the year by applying eqs. (10) and (11). This is the way the gray dashed curves in Fig. 1 (for July 1) were derived. Note that the January fits describe the zonal distribution of both decorrelation lengths more realistically than the July curves which are not fits to the data, but outcomes of the parameterization expressed by eqs. (10) and (11); the parameterized northward shift of the January curves intended to capture July overlap generally leads to underestimates. Again, for the purposes of this study, where the goal is to examine the sensitivity of the cloud radiative effect to a range of decorrelation length specifications and the differences arising when the exact same overlap assumptions are applied to two different cloud schemes, we consider imperfect matching to observed overlap (itself coming with its own limitations) acceptable.

#### 4.2 Description of AGCM experiments with diagnostic radiation

To examine the changes in the radiative impact of clouds when different assumptions are invoked about (a) the horizontal heterogeneity of their condensate; (b) the way their condensate distributions overlap; and (c) the way their cloud fractions overlap, relatively short

1 (~1 year) simulations with the GEOS-5 AGCM are conducted with RRTMG producing  
2 “diagnostic” only fluxes. Had we wanted to examine the full impact of our cloud changes on  
3 the model climate much longer simulations of at least a decade with interactive RRTMG  
4 would have been necessary. By diagnostic RRTMG radiation fields we mean that the heating  
5 and cooling rates produced by the RRTMG calculations are not supplied back to the AGCM  
6 to affect dynamical and physical processes. Instead, the model run is driven by the radiation  
7 fields of the original (operational) radiation package (Chou and Suarez 1999; Chou et al.  
8 2001) which treats clouds according to its default configuration, as usual. The McICA  
9 configuration of RRTMG simply runs side-by-side with the original radiation package and  
10 operates on the cloud fields produced by the standard model, but as transformed by the cloud  
11 generator in accordance with our heterogeneity and overlap assumptions.

12 Our suite of experiments is summarized in Table 2. All experiments were run with the GEOS-  
13 5 AGCM Fortuna 2.5 at  $2 \times 2.5^\circ$  resolution with 72 vertical levels, and differ only in their  
14 assumptions about cloud fields. While all experiments share the same profiles of cloud  
15 fraction and mean condensate, other assumptions about the nature of the clouds are different  
16 from experiment to experiment. Clouds can be assumed to be horizontally homogeneous or  
17 heterogeneous and their cloud fractions can overlap according to either the maximum-random  
18 or generalized overlap paradigms. When clouds are heterogeneous and overlap according to  
19 the maximum-random overlap assumption, a condensate decorrelation length still needs to be  
20 supplied. All simulations correspond to 13-month runs from which the last 12 months are  
21 considered for analysis; prescribed sea surface temperatures for the period May 1993 to May  
22 1994 are used.

23 Two sets of experiments were conducted. One where the standard (control) cloud scheme  
24 (Molod et al. 2012) operates and one with McRAS-AC (Sud et al. 2012, in preparation; Sud  
25 and Lee 2007). The two cloud schemes share the same convective scheme (RAS), but with  
26 different assumptions about the onset of convection, and ambient air entrainment (quadratic in  
27 McRAS versus linear in standard RAS) and are fundamentally different in their stratiform  
28 cloud parameterizations and microphysics descriptions. The control cloud scheme has pre-  
29 specified liquid and ice particle sizes, while McRAS-AC has active cloud microphysics where  
30 condensate amounts, particle sizes, and precipitation depend on the aerosol loading. For our  
31 experiments we chose to provide McRAS-AC with a present day climatology of aerosol mass  
32 concentrations produced by the GOCART (Chin et al. 2000) chemical transport model. Note

that for both sets of experiments, while the aerosols are radiative active in the operational radiation package that provides interactive radiation fields, they are not considered in RRTMG which produces the diagnostic radiation fields used to assess overlap radiative impacts on CRE.

For each of the experiments we generate the monthly, seasonal and annual geographical distribution of the longwave (LW) and shortwave (SW) cloud radiative effect (CRE) at the top of the atmosphere (TOA). The CRE is defined as:

$$CRE_{LW,SW} = F_{LW,SW}^{clr} - F_{LW,SW}^{cld} \quad (12a)$$

which can also be written as

$$CRE_{LW,SW} = C_{tot}(F_{LW,SW}^{clr} - F_{LW,SW}^{ovc}) \quad (12b)$$

where  $F$  is the outgoing flux (LW or SW) at the TOA, *clr* designates clear (cloudless) skies, *cld* a mixture of clear and cloudy skies, and *ovc* overcast skies (100% cloud fraction);  $C_{tot}$  is the total vertically projected cloud fraction. The modeled CRE always comes from eq. (12a); nevertheless, eq. (12b) which applies when the cloudy sky flux can be written as the linear combination of clear and overcast fluxes, can be used for *interpreting* the CRE, since a gridcolumn's  $C_{tot}$  is not uniquely defined, but rather depends on the cloud fraction overlap assumption (for the same cloud fraction profile, the closer the overlap to random, the larger  $C_{tot}$ <sup>1</sup>). For the complete intercomparison of CRE among all experiments we use globally-averaged values. For select experiments we also compare zonal (latitudinal) averages and geographical distributions. Although not important for understanding the sensitivity of CRE to cloud heterogeneity and overlap, we also include in our comparison TOA CRE from the CERES EBAF v. 2.6 data set (Loeb et al. 2009) for the period March 2000 to June 2011.

## 5 Analysis of Cloud Radiative Effect dependencies

### 5.1 Global changes in CRE

We first focus on the sensitivity of globally-averaged CRE to different assumptions about how cloud fields can be generated from profiles of cloud fraction and mean condensate. Fig. 2

<sup>1</sup> Minimum overlap of various degrees produces even larger  $C_{tot}$ , but there is no such overlap in our experiments.

1 and Fig. 3 chart this sensitivity for the control (CTL) and McRAS-AC cloud schemes,  
 2 respectively. The center box contains AGCM results for the “default” (reference)  
 3 configuration, namely homogeneous condensate distributions and maximum-random cloud  
 4 fraction overlap (Exp. 1, see Table 2). Blue numbers depict  $CRE_{LW}$  and red  $CRE_{SW}$  values.  
 5 This box also contains the observed global CREs according to the CERES EBAF (Loeb et al.,  
 6 2009) product. The numbers in parentheses show the difference between the AGCM with  
 7 default cloud configuration and observed CRE (reference model-observations). Because  
 8  $CRE_{LW}$  is a positive quantity, model underestimates yield negative differences, while the  
 9 opposite is true for  $CRE_{SW}$  which is a negative quantity. The other boxes show how much the  
 10 CRE differs from the reference model results in the center box when assumptions about the  
 11 nature of the cloud fields change, i.e., reference (=max. random homogeneous) minus other  
 12 experiments. For example, when keeping the cloud fraction overlap maximum-random, but  
 13 allowing the clouds to be inhomogeneous according to eqs. (7)-(9) (leftmost box,  
 14 corresponding to Exp. 2),  $CRE_{LW}$  decreases by  $2.3 \text{ Wm}^{-2}$  (+2.3) and  $CRE_{SW}$  also decreases in  
 15 absolute value (i.e., a smaller negative value) by  $5.6 \text{ Wm}^{-2}$  (-5.6). When keeping clouds  
 16 homogeneous but changing the cloud fraction overlap to generalized (with globally constant  
 17  $L_\alpha = 2 \text{ km}$ , Exp. 3),  $CRE_{LW}$  increases by  $4.3 \text{ Wm}^{-2}$  (-4.3) and  $CRE_{SW}$  also increases in  
 18 absolute terms by  $4.3 \text{ Wm}^{-2}$  (+4.3) (box 3). Therefore, because of the conventions we have  
 19 adopted for reporting our results, and the sign of the CRE arising from eq. (12) (positive for  
 20 LW, negative for SW), increases in  $CRE_{LW}$  (stronger LW radiative effect) appear as negative  
 21 numbers in the boxes of Figs. 2 and 3, while increases in  $CRE_{SW}$  (stronger SW radiative  
 22 effect) appear as positive numbers. When the sign of the differences is reversed, the  
 23 interpretation changes accordingly, i.e., positive  $CRE_{LW}$  differences signify weaker LW  
 24 radiative effect, while negative  $CRE_{SW}$  differences also signify weaker SW radiative effect.

25 Having clarified the sign conventions of our CRE differences, we now proceed to the physical  
 26 interpretation of the results. We start with Fig. 2 which refers to the CTL cloud scheme.  
 27 Introducing heterogeneity (inhomogeneity) in the condensate distributions following eqs. (7)-  
 28 (9) reduces the strength of CRE (box 2, corresponding to Exp. 2 on the left). This is because  
 29 for the same mean condensate, heterogeneous clouds reflect less solar radiation (e.g., Cahalan  
 30 et al. 1994) and emit less (transmit more) LW radiation (Barker and Wielicki, 1997). For this  
 31 particular case therefore changes in CRE can be attributed to changes in  $F_{LW,SW}^{ovc}$  in eq. (12b):  
 32 the SW outgoing flux for overcast conditions goes down, while the LW outgoing radiation

1 goes up; in both cases the contrast with the clear-sky flux is reduced. The change in  $CRE_{SW}$  is  
 2 more than double that on  $CRE_{LW}$  since the nonlinearity of the LW emittance curve is restricted  
 3 to a much narrower range of cloud condensates (or, strictly speaking, optical depth) than the  
 4 nonlinearity of the SW albedo curve. In other words, changes in the details of an optical depth  
 5 distribution begin to matter less (because of saturation in emittance) at lower values of mean  
 6 cloud optical depth. When cloud distributions remain homogeneous, on the other hand, but  
 7 cloud fraction overlap changes (transition from box 1 to box 3), it is  $C_{tot}$  in eq. (12b) that is  
 8 mainly affected (it appears from our results that the change in the distribution of cloud tops  
 9 exposed to space, which matters for the LW, is a lesser contributor). Both  $CRE_{SW}$  and  $CRE_{LW}$   
 10 become stronger by the same magnitude ( $4.3 \text{ Wm}^{-2}$ ), indicating that for the CTL cloud scheme  
 11  $C_{tot}$  for generalized overlap is higher than that for maximum-random overlap.

12 When condensate heterogeneity is applied under conditions of generalized overlap (Exp. 4,  
 13 lower right box), the effect of increased  $C_{tot}$  in the CTL cloud scheme is entirely cancelled out  
 14 for  $CRE_{SW}$  through decrease in  $F_{SW}^{ove}$ , and partially cancelled out for  $CRE_{LW}$  through increase in  
 15  $F_{LW}^{ove}$ . The end result is that  $CRE_{SW}$  is weaker by  $2 \text{ Wm}^{-2}$  compared to the reference Exp. 1,  
 16 while  $CRE_{LW}$  remains stronger than in Exp. 1, but by only  $1.5 \text{ Wm}^{-2}$ . Note that the effect of  
 17 inhomogeneity on CRE is stronger when cloud fraction obeys generalized overlap (from Exp.  
 18 3 to Exp. 4) than when it obeys maximum-random overlap (from Exp. 1 to Exp. 2): in the  
 19 former case  $CRE_{SW}$  and  $CRE_{LW}$  decrease in strength by  $6.3 \text{ Wm}^{-2}$  and  $3 \text{ Wm}^{-2}$ , respectively,  
 20 while for the latter case they decrease by  $5.6 \text{ Wm}^{-2}$  and  $2.3 \text{ Wm}^{-2}$ . When the standard  
 21 deviation used for the beta distribution of condensate is halved compared to eq. (9) (box 5),  
 22  $CRE_{SW}$  is reduced by about  $2 \text{ Wm}^{-2}$  while  $CRE_{LW}$  is reduced by  $1 \text{ Wm}^{-2}$  reflecting again the  
 23 fact that any changes that affect overcast fluxes instead of cloud fractions have greater impact  
 24 on the SW compared to the LW.

25 A simultaneous change in both cloud fraction and condensate overlap can be achieved by  
 26 switching from globally constant decorrelation lengths to CloudSat-based decorrelation  
 27 lengths (Eqs. 10-11 and Fig. 1). This process is represented by the transition from Exp. 4 to  
 28 Exp. 8 shown by the bottom two boxes (4 and 8) of Fig. 2.  $CRE_{SW}$  strength decreases by  $1$   
 29  $\text{Wm}^{-2}$ , while  $CRE_{LW}$  decreases by  $0.7 \text{ Wm}^{-2}$ . Recall that the numbers in the boxes show  
 30 differences with respect to the reference Exp. 1 represented by the center box (box 1), so one  
 31 can see that transitioning from homogeneous maximum-random overlap to inhomogeneous  
 32 clouds following a CloudSat-based generalized overlap results in  $3 \text{ Wm}^{-2}$  weaker  $CRE_{SW}$ , but

1 a slightly stronger (by  $0.6 \text{ Wm}^{-2}$ )  $CRE_{LW}$ . This is possible because while cloud fraction  
2 changes (from maximum-random to generalized) have about the same effect on both the SW  
3 and LW CRE, overcast flux changes (from condensate overlap and inhomogeneity) are too  
4 weak in the LW to reverse the increased CRE of generalized overlap.

5 The CRE response to condensate heterogeneity and generalized overlap when imposed on the  
6 cloud fields of an alternate cloud scheme can be substantially different than the one discussed  
7 above. This is shown in Fig. 3, which is the same as Fig. 2, but for the McRAS-AC cloud  
8 scheme. Cloud water inhomogeneity under conditions of maximum-random cloud fraction  
9 overlap (box 2) results in a slightly smaller weakening of  $CRE_{SW}$ , and a slightly greater  
10 weakening of  $CRE_{LW}$ . The transition of homogeneous clouds from maximum-random overlap  
11 to generalized overlap (box 3) gives a much smaller CRE response for McRAS-AC ( $\sim 1 \text{ Wm}^{-2}$   
12 compared to  $\sim 4 \text{ Wm}^{-2}$  for CTL). Adding inhomogeneity to clouds obeying generalized  
13 overlap has about the same CRE effect for McRAS-AC as adding inhomogeneity to clouds  
14 following maximum-random overlap (CRE changes from Exp. 3 to Exp. 4 are about the same  
15 as the changes from Exp. 1 to Exp. 2); for the CTL cloud scheme the CRE impacts diverged  
16 by  $0.7 \text{ Wm}^{-2}$ ). The box corresponding to Exp. 5 indicates that when the imposed  
17 inhomogeneity is reduced by half on clouds following generalized overlap, the outcome is  
18 close to the reference CRE values, i.e., the effects of modified overlap and inhomogeneity  
19 largely cancel out; this was not the case for the CTL cloud scheme for which overlap had a  
20 much stronger CRE impact than reduced inhomogeneity. Finally, the change from globally  
21 constant decorrelation lengths to zonally-dependent decorrelation lengths (Exp. 4 to Exp. 8) is  
22 notably smaller for the McRAS-AC cloud fields compared to the CTL cloud scheme.

23 This latter result is also included in Fig. 4 which adopts the conventions of Figs. 2 and 3, but  
24 focuses on CRE changes brought by changing the parameters (i.e., decorrelation lengths) of  
25 generalized overlap. The left part of the figure provides global CRE impacts for the CTL  
26 cloud scheme while the right part of the figure does the same for the McRAS-AC scheme. In  
27 this figure the reference CREs come from Exp. 4 (heterogeneous clouds, generalized overlap  
28 with constant decorrelation lengths), upper left box (box 4); all other boxes contain CRE  
29 differences from these reference CREs using the sign conventions of Figs. 2 and 3. The  
30 transition from Exp. 4 to Exp. 7 (top boxes 4 and 7) captures the effect of changing the  
31 condensate overlap decorrelation length  $L_r$ . When it is doubled from 1 to 2 km both  $CRE_{SW}$   
32 and  $CRE_{LW}$  decrease in strength slightly. This is the result of more aligned condensate

1 distributions increasing the variability in integrated WP compared to shorter  $L_r$  (more random  
2 overlap of layer condensate distributions producing more homogeneous WP distributions) and  
3 consequently yielding reduced TOA  $F_{SW}^{ovc}$  and increased  $F_{LW}^{ovc}$ . If the global decorrelation length  
4 of cloud fraction  $L_\alpha$  is doubled from 2 to 4 km (transition from Exp. 7 to Exp. 6, right boxes)  
5 the reduced  $C_{tot}$  of the less random overlap yields further reductions of  $3 \text{ Wm}^{-2}$  and  $1.8 \text{ Wm}^{-2}$   
6 in  $CRE_{SW}$  and  $CRE_{LW}$ , respectively. Because the observed decorrelation lengths are generally  
7 smaller than those of Exp. 6, when they are applied in the cloud generator (transition from  
8 Exp. 6 to Exp. 8, bottom boxes) the CREs increase again (higher  $C_{tot}$  and more homogeneous  
9 distributions of WP) and become comparable to those of Exp. 7. For the CTL cloud scheme,  
10 the overall impact of using CloudSat-based decorrelation lengths instead of the previously  
11 used global values of  $L_\alpha = 2 \text{ km}$  and  $L_r = 1 \text{ km}$  (Exp. 4 to Exp. 8, left boxes) is about  $1 \text{ Wm}^{-2}$ ,  
12 slightly more for  $CRE_{SW}$  and slightly less for  $CRE_{LW}$ . These differences are at first glance  
13 rather small to justify the effort of deriving zonally-dependent decorrelation lengths,  
14 especially since the Exp. 4 CREs are already below CERES EBAF and the more sophisticated  
15 treatment of overlap makes the discrepancy from observed CREs worse. But as will be shown  
16 below, the rather benign global CRE changes hide local impacts that are much more  
17 substantial.

18 The right part of Fig. 4 contains the exact same analysis as the left part, but for the McRAS-  
19 AC scheme implemented in GEOS-5. The impact of doubling the rank correlation  
20 decorrelation length (Exp. 4 to Exp. 7) is about the same as for CTL, but doubling the overlap  
21 decorrelation length does not change CRE as much for McRAS-AC. The Exp. 6 and Exp. 4 to  
22 Exp. 8 transitions are also weaker in terms of CRE changes for McRAS-AC. When these  
23 results are considered in conjunction with Fig. 3, the obvious conclusion is that McRAS-AC  
24 clouds do not cause as big CRE changes as CTL clouds in response to the different  
25 prescriptions of cloud overlap. We will explain why this is so in subsection 5.3.

26 As a concluding thought we would like to point out that if  $CRE_{SW}$  is overestimated and  $CRE_{LW}$   
27 underestimated compared to observations, as is the case for the CTL cloud scheme, it is not  
28 possible to bring both simultaneously closer to observations through changes in  
29 inhomogeneity and overlap descriptions alone. Inhomogeneity reduces  $CRE_{SW}$  and can bring  
30 model and observations closer, but it also reduces the already too low  $CRE_{LW}$ . Similarly,  
31 increasing  $CRE_{LW}$  via changes in overlap (i.e., increasing  $C_{tot}$ ) to match observations has the

undesired effect of making the  $CRE_{SW}$  overestimates worse. To match both components of CRE to observations, inhomogeneity and overlap changes should be accompanied by changes in other cloud properties such as cloud top height and mean condensate as well.

## 5.2 Geographical changes in CRE

In this subsection we examine whether the relatively narrow range of global CRE impact due to changes in cloud overlap specification conceals a much wider range of regional CRE changes. For the sake of brevity, we focus on only two overlap specification changes, the transition from maximum-random overlap to generalized overlap with globally constant decorrelation lengths (with heterogeneous clouds), and the transition from the latter type of overlap to generalized overlap with zonally variable decorrelation lengths as parameterized per the CloudSat data analysis. In other words we examine regional CRE changes between Exp. 2 and Exp. 4 and between Exp. 8 and Exp. 4.

Fig. 5 shows maps of annually averaged  $CRE_{SW}$  differences between the experiments mentioned above, while Fig. 6 is a counterpart figure for  $CRE_{LW}$ . The panels in the top row correspond to Exp. 2 minus Exp. 4 differences, and the panels in the bottom row to Exp. 8 minus Exp. 4 differences; the left panels are for the CTL cloud scheme and the right panels for McRAS-AC. The CTL cloud scheme yields substantially greater CRE differences for the transition from maximum-random to generalized overlap than between two generalized overlaps, and in the tropics compared to midlatitudes. Zonal CRE differences between Exp. 2 and Exp. 4 peak at  $\sim 11 \text{ Wm}^{-2}$  in the SW and  $\sim 10 \text{ Wm}^{-2}$  in the LW around  $5^\circ\text{N}$  (left panels of Fig. 7) reflecting changes in  $C_{tot}$  of  $\sim 0.13$  (blue curve in the top panel of Fig. 8). The counterpart CRE differences between Exp. 8 and Exp. 4 are  $\sim 6 \text{ Wm}^{-2}$  and  $\sim 4 \text{ Wm}^{-2}$  for a  $C_{tot}$  change of about 0.05 (red curve in the top panel of Fig. 8); in this case however the different vertical alignment of condensate distributions also contributes to the CRE differences, making the  $CRE_{SW}$  and  $CRE_{LW}$  changes more distinct. It is interesting that the sign of the CRE differences between Exp. 8 and Exp. 4 (changes in the details of generalized overlap) is not the same everywhere. While the  $CRE_{SW}$  ( $CRE_{LW}$ ) difference is generally positive (negative), at midlatitudes there are negative (positive) differences with peaks at about 60 degrees latitude. The difference in behaviour from tropics to midlatitudes is solely due the parameterization of the CloudSat-based decorrelation lengths of Fig. 1. The constant decorrelation lengths are lower than those from CloudSat in the tropics and yield higher  $C_{tot}$  and larger WPs, ergo,

stronger CRE (expressed as positive  $CRE_{SW}$  and negative  $CRE_{LW}$  differences). In the midlatitudes on the other hand, the opposite is true, i.e., the globally constant values are above the CloudSat-based parameterized decorrelation lengths resulting in weaker CREs for Exp. 4 compared to Exp. 8 (negative  $CRE_{SW}$  and positive  $CRE_{LW}$  differences).

The counterpart McRAS-AC CRE differences are much weaker, as can be seen in the right panels of Figs. 5, 6, and 7, consistent with much smaller changes in  $C_{tot}$  (Fig. 8) and the smaller global CRE differences noted earlier in Figs. 3 and 4. The zonal structure of the Exp. 8 minus Exp. 4 CRE differences can be explained by invoking the same arguments as earlier for the CTL cloud scheme, but exhibit notably smaller values. The Exp. 2 minus Exp. 4 CRE differences also have the same sign as in CTL across all latitudes, but exhibit a much weaker latitudinal dependence with no tropical peak as in CTL, while being also substantially smaller. One interesting feature seen in the bottom panel of Fig. 8 is that the zonally-averaged  $C_{tot}$  difference for Exp. 2 minus Exp. 4 is not only small, but generally positive, in contrast to CTL. This means that either generalized overlap consistently results in slightly smaller total cloud fractions than maximum-random overlap, or that the instances where  $C_{tot}$  from maximum-random overlap is greater exceeds those where the reverse is true. This in turn points to cloud vertical profiles in McRAS-AC where the random part (cloudy layers separated by clear layers) of maximum-random overlap is invoked more often than in CTL. Recall that within the confines of generalized overlap, exact random cloud fraction overlap can only occur in the limit of an infinitely large decorrelation length.

### 5.3 Why overlap details in the two cloud schemes affect CRE differently

The quite distinct CRE response of the two cloud schemes when the cloud generator is furnished with identical rules to produce cloudy subcolumns from the same profiles of cloud fraction and mean condensate for radiation calculations, merits further examination. Since the largest impact comes from the overlap of cloud fraction, we examine here how the two schemes differ in terms of cloud fraction means and distributions, and the frequency of multi-layer cloud occurrences.

First we examine the one-year cloud fraction climatology produced by the two schemes. We compare in Fig. 9 annually- and zonally-averaged cloud fraction profiles produced by CTL (top) and McRAS-AC (bottom). The differences between the two panels are striking. McRAS-AC produces in general larger cloud fractions throughout the entire extent of the

1 midlatitude and polar troposphere and the largest part of the tropical troposphere. The CTL  
 2 cloud scheme on the other hand produces higher cloud fractions from tropical deep  
 3 convection, and exhibits some cloud presence at the higher altitudes of the midlatitude  
 4 atmosphere where McRAS-AC produces no clouds. The natural outcome of these average  
 5 cloud fraction profiles is that  $C_{tot}$  is higher for the McRAS-AC cloud scheme. This is clearly  
 6 demonstrated in the Fig. 10 zonal plot showing  $C_{tot}$  from Exp. 2 (maximum-random overlap)  
 7 and Exp. 4 (generalized overlap with  $L_{\alpha}=2$  km) which makes apparent that McRAS-AC  
 8 produces higher zonal cloud fractions everywhere for Exp. 2 and nearly everywhere (except a  
 9 portion of the tropics) for Exp. 4. The higher cloud fractions for McRAS-AC come with much  
 10 greater insensitivity to the overlap specification (the distance between the blue and red curves,  
 11 also shown as difference in Fig. 8). Indeed, larger cloud fractions make the details of overlap  
 12 more inconsequential since the difference between maximum, random and any degree in  
 13 between (i.e., generalized), becomes smaller at the high end of the cloud fraction distribution.

14 A better way to demonstrate the tendency of McRAS-AC to produce higher cloud fractions is  
 15 to examine instantaneous layer cloud fractions. We produced distributions for this quantity for  
 16 both cloud schemes from twice-daily samples extracted during January and July within the  
 17 period of our runs. The four distributions are shown in Fig. 71. The seasonal differences are  
 18 not pronounced, especially for McRAS-AC, but the differences between the two cloud  
 19 schemes is striking. McRAS-AC generates many more layer cloud fractions in the 0.5-0.9  
 20 range, and also produces overcast cloud layers which the CTL scheme never does. The  
 21 smaller zonal averages of total cloud fraction by the CTL cloud scheme in Fig. 10 appear  
 22 therefore to be the result of consistently lower than McRAS-AC occurrences of instantaneous  
 23 layer cloud fractions above 0.5.

24 Another factor that makes the details of overlap specification matter less is the number of  
 25 cloudy layer within a gridcolumn at a particular instance. The more layers are cloudy, the  
 26 greater the chance that they will be farther apart and therefore the greater the tendency  
 27 towards random overlap conditions either under maximum-random overlap or generalized  
 28 overlap. In this regard, McRAS-AC is again distinct from CTL in producing more  
 29 occurrences of large numbers of model layers that are simultaneously cloudy (Fig. 12) within  
 30 the gridcolumn at a particular instance.

All the above results portray a consistent picture: McRAS-AC is more cloudy than CTL under a variety of metrics and high cloud fractions are produced frequently enough to make the exact overlap specification less influential on  $C_{tot}$  and CRE.

## 6 Discussion and conclusions

While earlier studies have shown that vertical cloud structure and particularly cloud fraction overlap can have large instantaneous effects, especially on solar fluxes (Barker et al., 1999), global effects within climate models have been less systematically quantified. Recent progress due to new capabilities in describing in within GCMs arbitrary cloud fraction and condensate overlaps that resemble more faithfully the vertical cloud structures observed in nature, along with progress on how radiation schemes can handle these more complex cloud fields, will help ameliorate the current state of affairs. Our study contributes to this need by attempting to address the following question: Do the details of cloud overlap matter radiatively to a similar extent when applied the exact same way on the (different) mean cloud fraction and condensate fields produced by two distinct cloud schemes? The answer is negative; we find one cloud scheme producing cloud distributions which after overlap/manipulation can change the radiative fluxes much more than another cloud scheme. This means that there is no definitive answer on whether the details of cloud vertical structure matter much for radiation: it will depend on the host model and/or its cloud scheme. In contrast, introducing cloud condensate heterogeneity is found to matter more consistently across cloud schemes while the details of how the inhomogeneous distributions overlap in the vertical has only a small impact.

The radiative consequences of cloud vertical structure and condensate heterogeneity were studied in this paper diagnostically, in other words, changes in radiation brought about by these factors did not feed back to the model (a separate radiation scheme blind to our changes of cloud vertical correlations was running for that purpose). In that sense, our study resembles that of Shonk and Hogan (2010) who examined the radiative impact of different assumptions about condensate horizontal variability and cloud overlap by operating on cloud fields from re-analysis data. In that study the global effects of cloud fraction overlap (their “vertical shift”) on SW and LW CRE were (absolute values)  $\sim 4 \text{ Wm}^{-2}$  and  $\sim 2 \text{ Wm}^{-2}$ . The experiment transition from which these numbers were obtained are roughly equivalent to our transitions from Exp. 2 to Exp. 4 (see Figs. 2 and 3). In our case the change in CRE is  $\sim 3.6 \text{ Wm}^{-2}$  for

1 both the SW and LW in the CTL cloud scheme; the alternate McRAS-AC cloud scheme  
2 produces CRE changes slightly below  $1 \text{ Wm}^{-2}$ . We conclude that studies of this type may  
3 eventually put an upper limit on the global impact of cloud overlap in current large scale  
4 models, but with a range of outcomes that may remain quite wide. Even greater variability  
5 range is expected to occur at smaller spatial scales. Our zonal average peak CRE impact is  
6  $\sim 10 \text{ Wm}^{-2}$ , for both SW and LW CRE while that of Shonk and Hogan (2010) reaches such  
7 values (with much less zonal structure) only in the SW; the LW peak is about half, consistent  
8 with their global result.

9 We did not discuss much the level of agreement of simulated CRE for our different  
10 experiments with observed CRE. This was a conscious decision since agreement, at global  
11 levels at least can be achieved, through appropriate tuning. Figs. 2 and 3 show that the best  
12 agreement is not necessarily achieved with the most realistic assumptions about the nature of  
13 the cloud fields. Nevertheless, it should be noted that if one of the CRE components is  
14 overestimated and the other underestimated, both cannot be simultaneously pushed towards  
15 observations by adjustments to cloud condensate heterogeneity and overlap alone. This is  
16 because any change that strengthens one component of CRE will have the undesired effect of  
17 doing the same for the other component as well.

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- 17

# 1 Tables

2 **Table 1.** Parameters for the Gaussian fits per eqs (10) and (11) of zonal decorrelation lengths  
3 shown in Fig. 1.

Fit parameters for eqs. (10)-(11)	Cloud fraction overlap	Condensate overlap
$m_1$	1.43	0.72
$m_2$	2.12	0.79
$m_{3,0}$	-7.00	-8.50
$m_4$	-25.58	40.40

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5 **Table 2.** List of experiments conducted with the GEOS-5 AGCM running two different cloud  
6 schemes to assess the effects of cloud hereogeneity and overlap on the cloud radiative effect.

Experiment ID	Description
1	Homogeneous clouds, maximum-random overlap
2	Heterogeneous clouds, maximum-random overlap, $L_r=1$ km
3	Homogeneous clouds, generalized overlap, $L_\alpha=2$ km
4	Heterogeneous clouds, generalized overlap, $L_\alpha=2$ km, $L_r=1$ km
5	As Exp. 1, but with weaker cloud heterogeneity
6	As Exp. 4, but with $L_\alpha=4$ km, $L_r=2$ km
7	As Exp. 4, but with $L_\alpha=2$ km, $L_r=2$ km
8	Heterogeneous clouds, generalized overlap from CloudSat/CALIPSO

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## 2 Figure Captions

3 **Figure 1.** (top): Cloud fraction overlap decorrelation lengths from 3° degree zonal averages of  
 4  $\alpha(\Delta z)$  for January and July 2009 (solid curves) derived from the 2B-GEOPROF-LIDAR  
 5 CloudSat product; the dashed curves are gaussian fits according to eqs. (10) and (11).  
 6 (bottom): As top panel, but for rank correlation decorrelation lengths calculated from  
 7 CloudSat 2B-GEOPROF CPR reflectivities.

8 **Figure 2.** Box chart providing diagnostic CRE changes (in  $\text{Wm}^{-2}$ ) when clouds condensate  
 9 distributions are changed from homogeneous to heterogeneous and overlap changes from  
 10 maximum-random to generalized for the cloud fields generated by the control (CTL) cloud  
 11 scheme of GEOS-5. The changes are with respect to the reference values of diagnostic CRE  
 12 (in  $\text{Wm}^{-2}$ ) in the center box (blue for  $CRE_{LW}$ , red for  $CRE_{SW}$ ) produced assuming  
 13 homogeneous clouds and maximum-random overlap (Exp. 1 on Table 2) within the RRTMG  
 14 radiation package. Due to our sign convention, negative  $CRE_{LW}$  and positive  $CRE_{SW}$  changes  
 15 from our reference values indicate stronger CRE. The numbers in italics in the center box are  
 16 observed values from the CERES EBAF data set and the values in parentheses are differences  
 17 between model reference CREs and CERES observed CREs. The numbers in the left bottom  
 18 corner of the boxes are the experiment IDs according to Table 2.

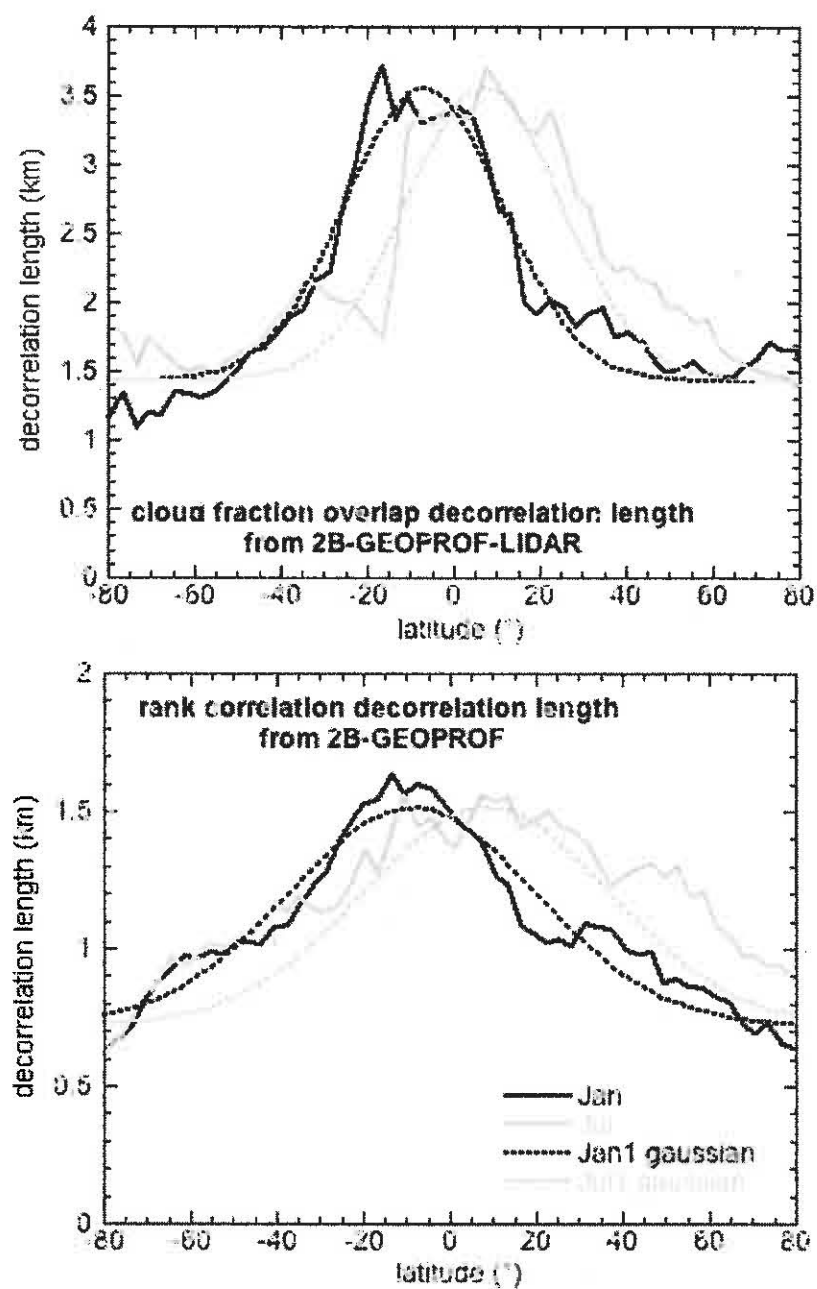
19 **Figure 3.** As Fig. 3, but when McRAS-AC has replaced the GEOS-5 control cloud scheme.

20 **Figure 4.** CRE changes brought by changing the parameters (i.e., decorrelation lengths) of  
 21 generalized overlap in the CTL cloud scheme and the McRAS-AC cloud scheme. The  
 22 reference CREs of the upper left box are from the simulation with heterogeneous clouds and  
 23 generalized overlap with  $L_h = 2$  km and  $L_r = 1$  km (Exp. 4 in Table 2). The values shown in  
 24 the other boxes are differences from the references CREs for different experiments indicated  
 25 by their IDs in the left bottom corner of the box according to Table 2. The left part of the  
 26 figure is for the CTL cloud scheme while the right part for the McRAS-AC cloud scheme.

27 **Figure 5.** Maps of annually averaged  $CRE_{SW}$  differences between the Exp. 2 and Exp. 4 (top)  
 28 and between Exp. 8 and Exp. 4 (bottom). The left panels are for the CTL cloud scheme, while  
 29 the right panels are for McRAS-AC.

- 1 **Figure 6.** As Fig. 5, but for  $CRE_{LW}$ .
- 2 **Figure 7.** Zonal averages of the differences shown in Figs 5 and 6. The left panels are for the  
3 CTL cloud scheme, the right panels are for McRAS-AC. Top panels are for  $CRE_{SW}$ , while the  
4 bottom panels are for  $CRE_{LW}$ .
- 5 **Figure 8.** Zonally-averaged differences of  $C_{tot}$  (on a scale 0-100) for Exp. 2 – Exp. 4 (blue  
6 curves) and Exp. 8 – Exp. 4 (red curves). The top panel is for the CTL cloud scheme, while  
7 the bottom panel is for McRAS-AC.
- 8 **Figure 9.** Annually- and zonally-averaged cloud fraction profiles (on a scale 0-100) for the  
9 CTL and McRAS-AC cloud schemes.
- 10 **Figure 10.** Annually- and zonally-averaged total cloud fraction  $C_{tot}$  (on a scale 0-100) for  
11 Exp. 2 and Exp. 4 cloud fraction overlap assumptions applied to CTL and McRAS-AC cloud  
12 schemes.
- 13 **Figure 11.** Frequency distributions of twice-daily sampled instantaneous layer cloud fraction  
14 during January and July within the period of our runs. The cloud fraction bins are 0.05 wide,  
15 with a separate bin for completely overcast conditions.
- 16 **Figure 12.** Frequency distributions of instantaneous multi-layer cloud occurrences using the  
17 same data as in Fig. 11.
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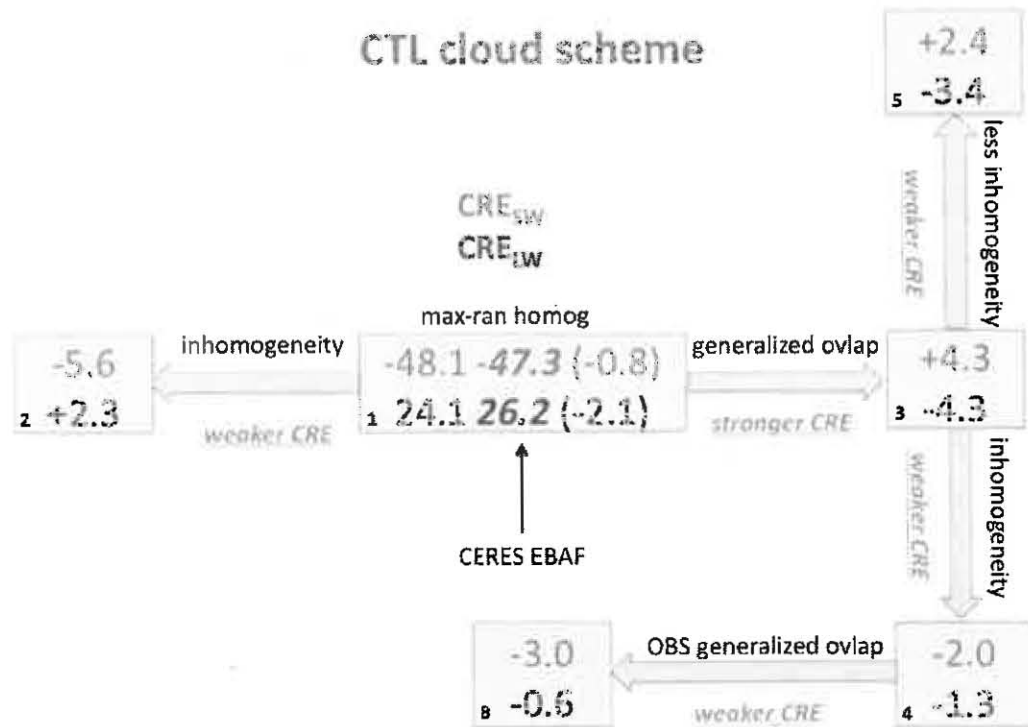


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3 **Figure 1**

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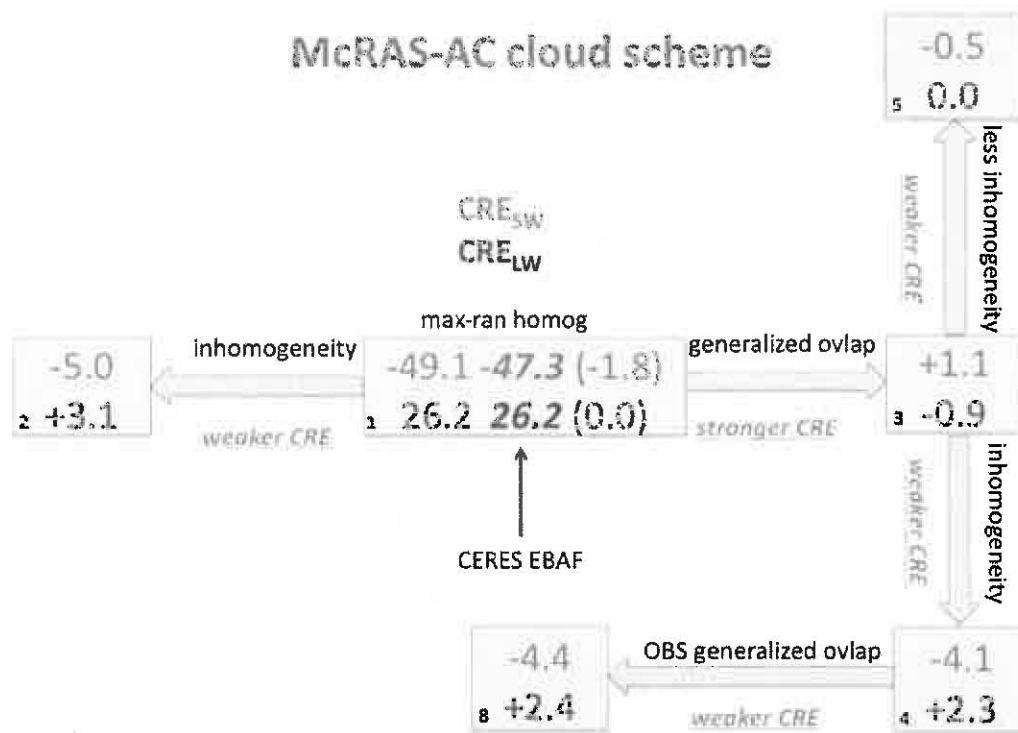
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**Figure 2**

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**Figure 3**



## Impact of decorrelation length scale

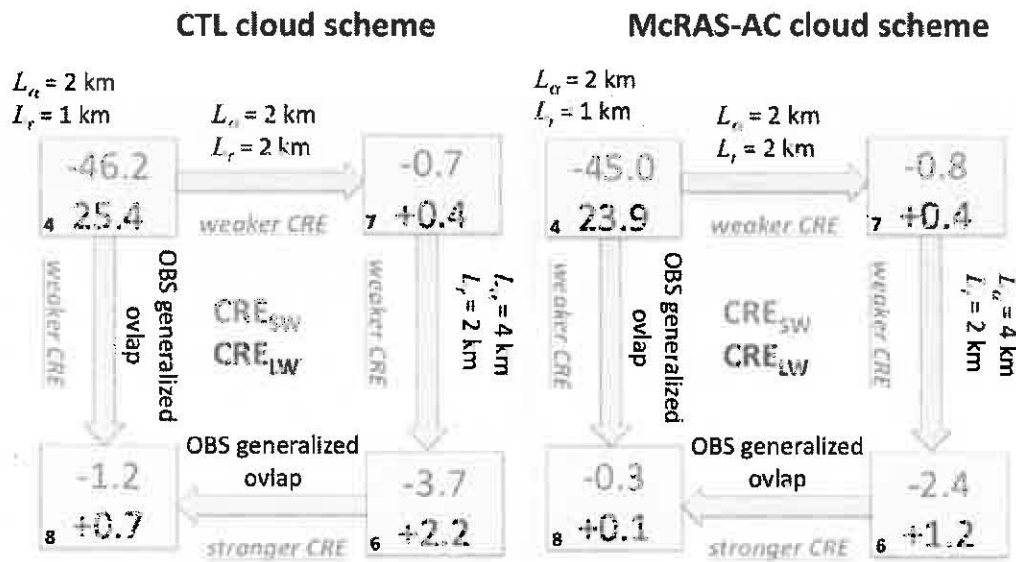
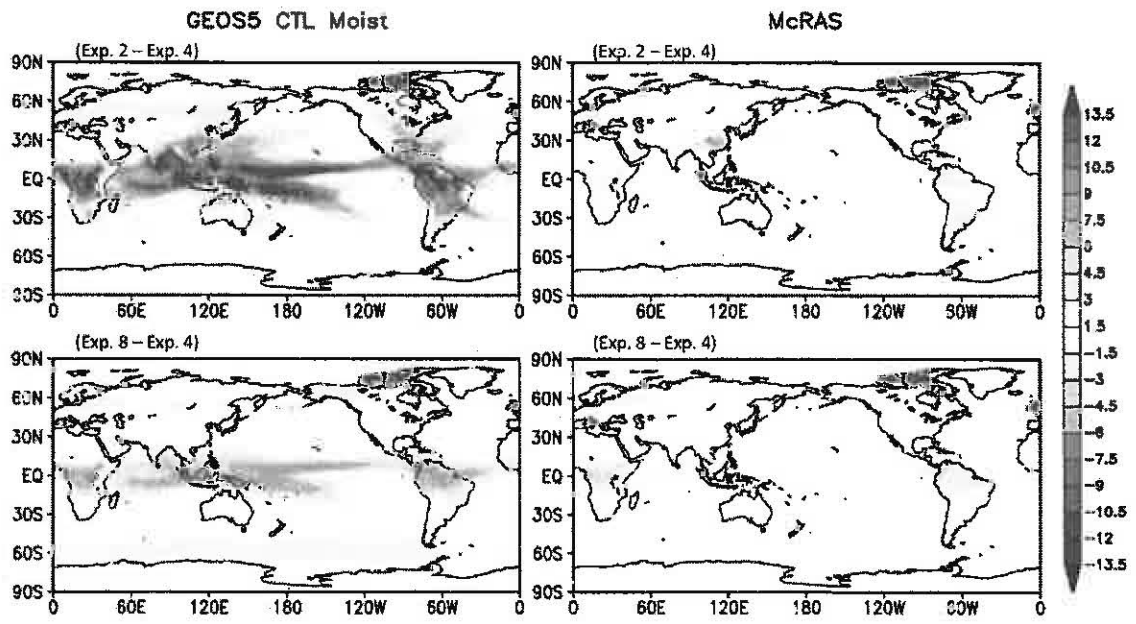


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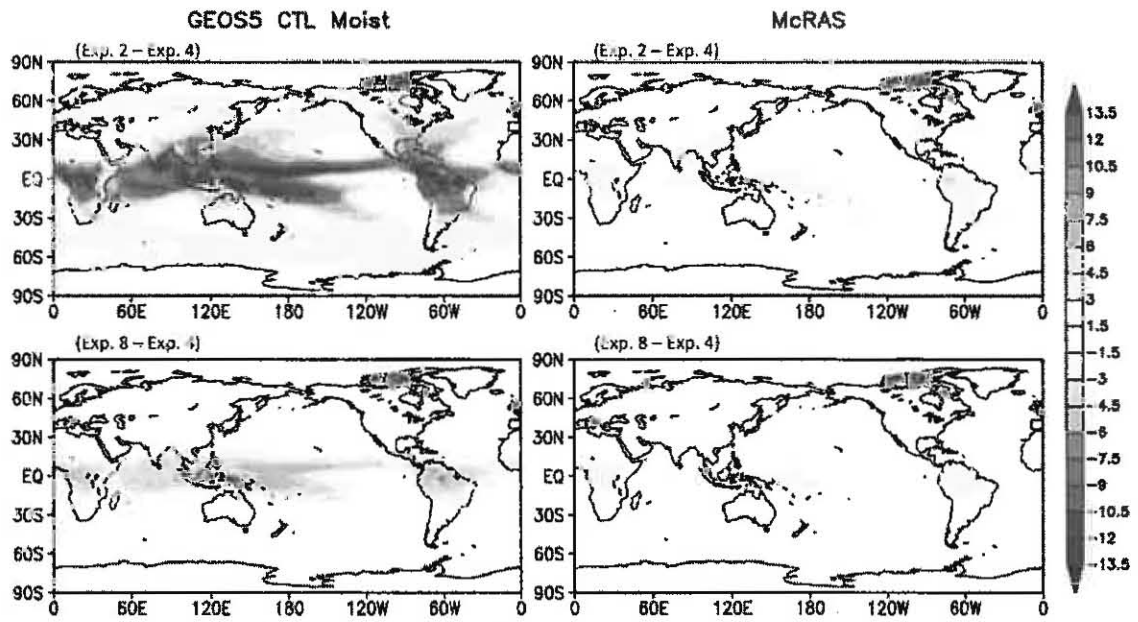
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Figure 5

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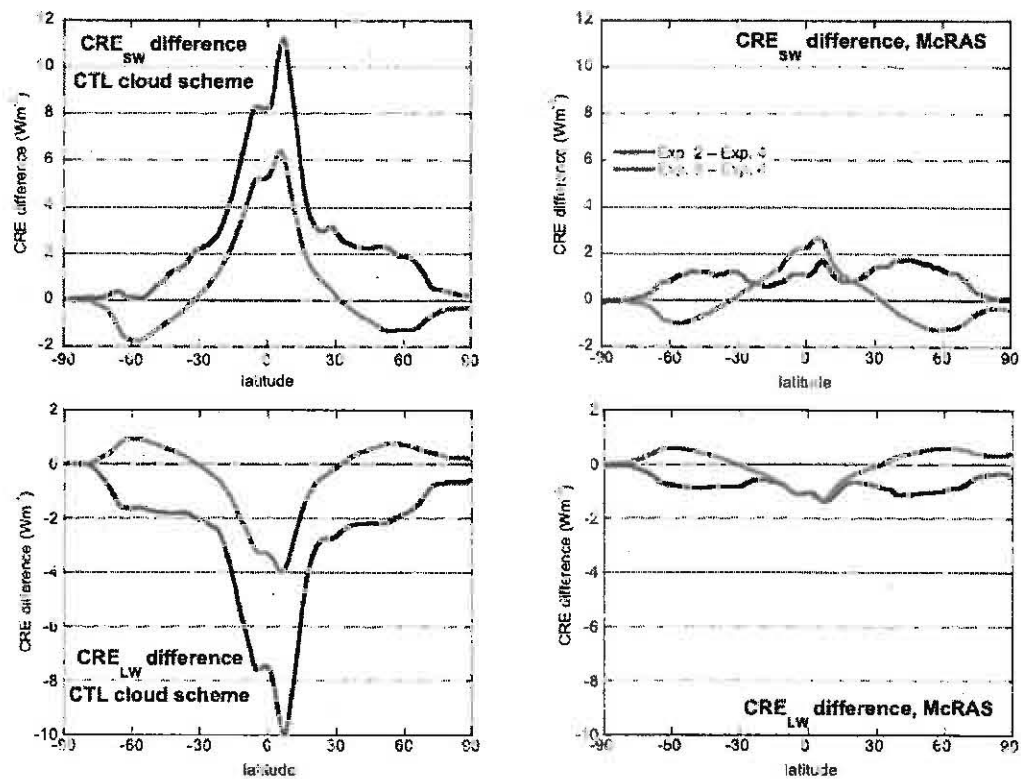
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**Figure 6**

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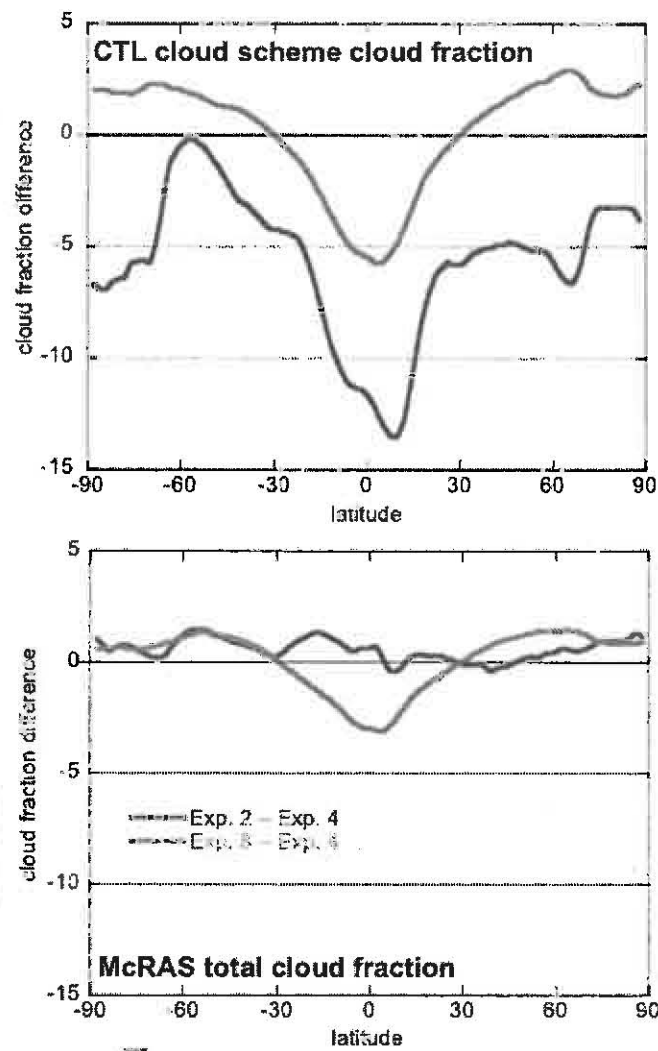
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5 **Figure 7**

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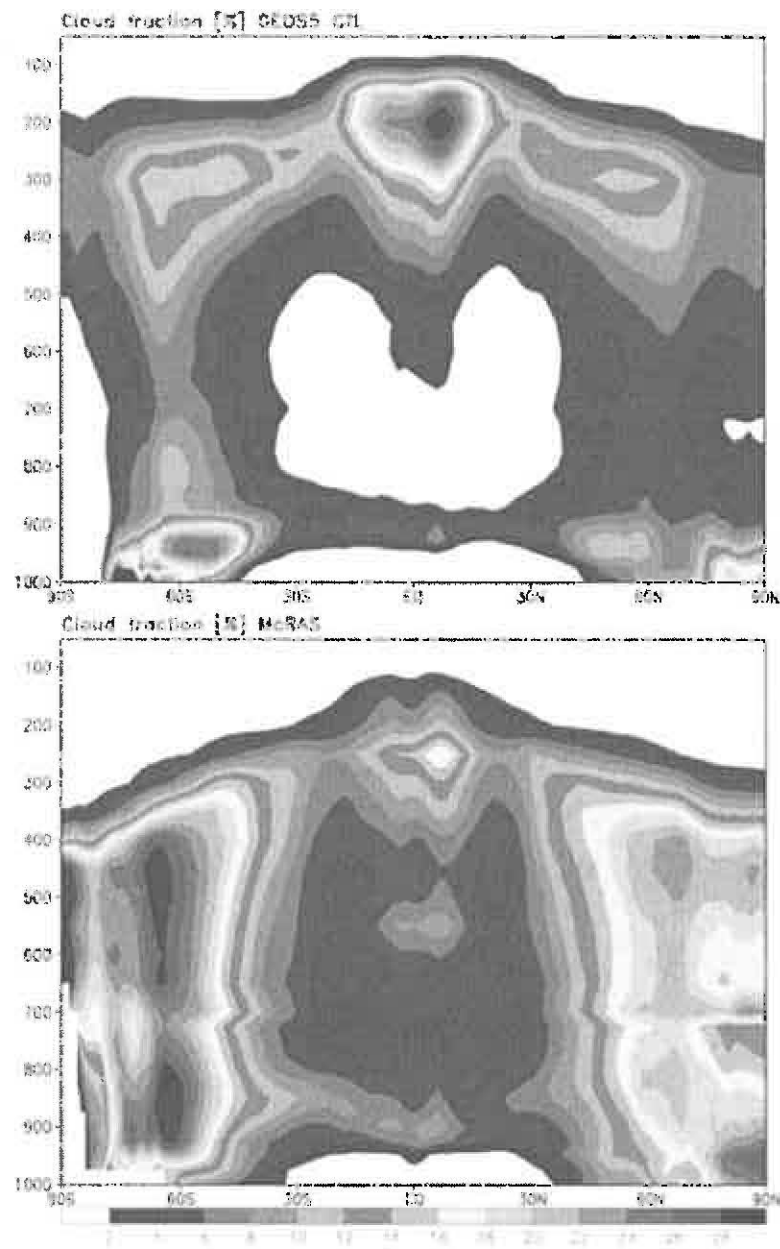


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4 **Figure 8**

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3 **Figure 9**

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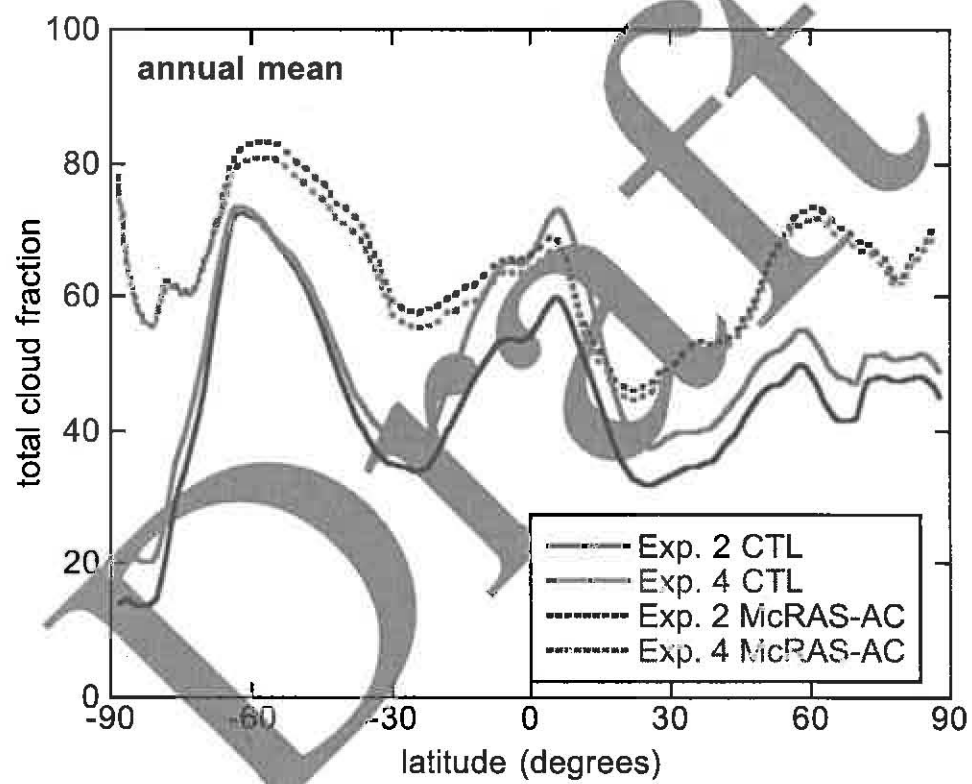
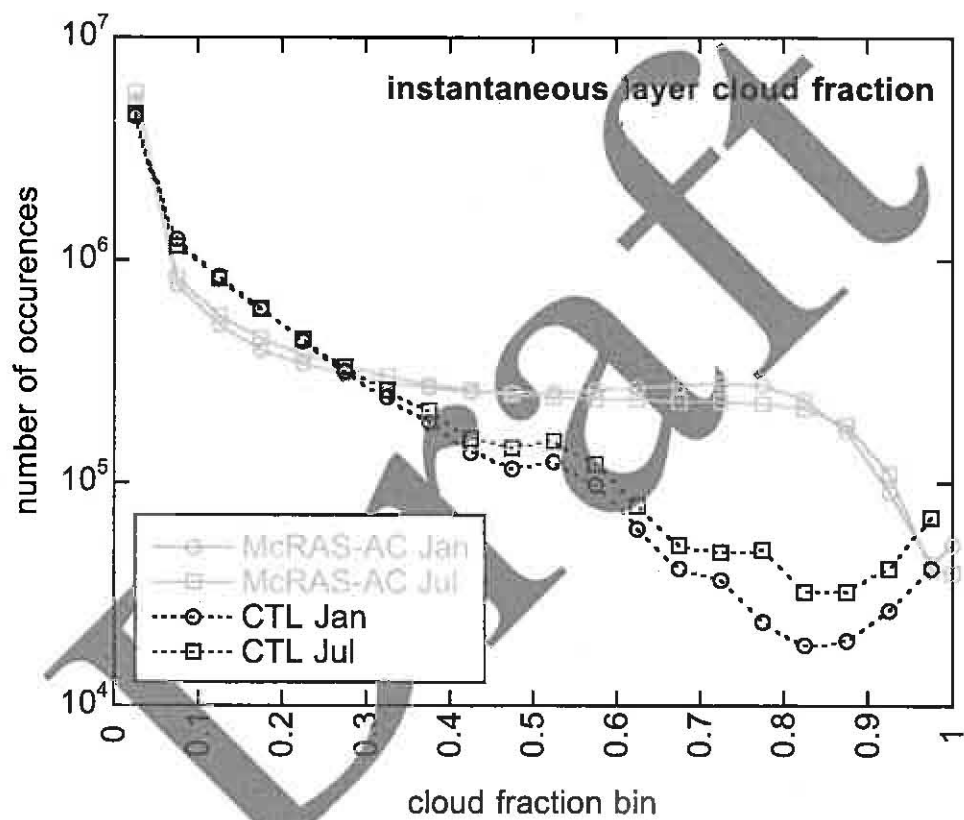


Figure 10

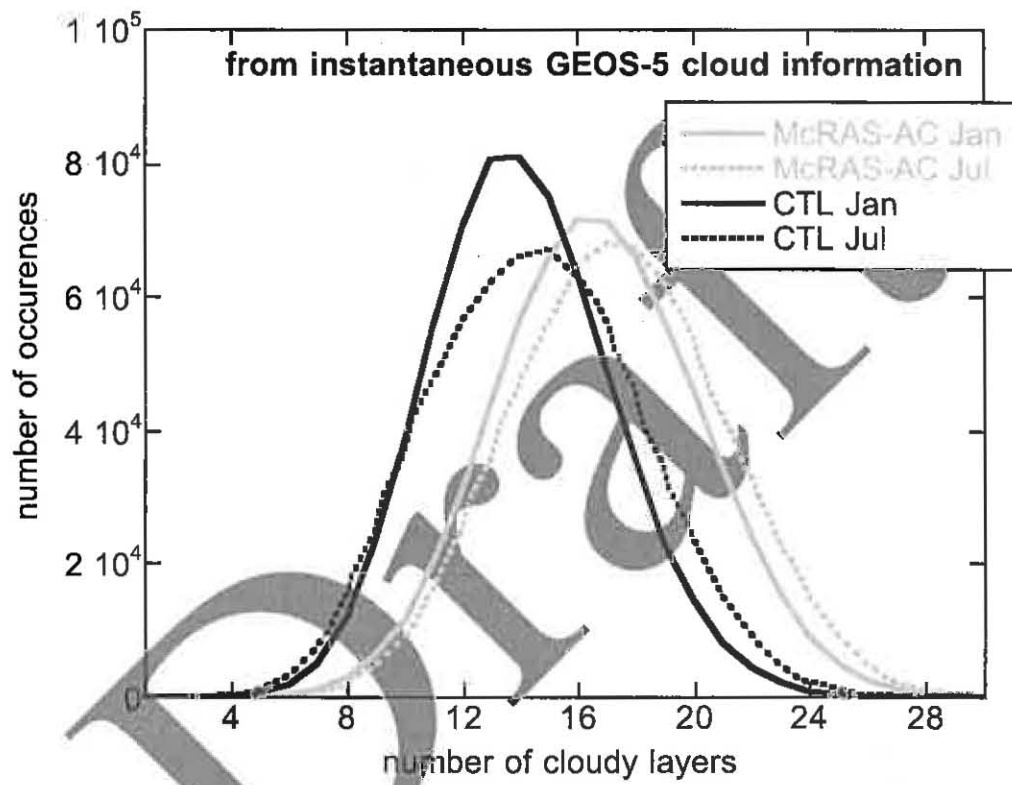
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Figure 11

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6 **Figure 12**