



Ultra High Temperature Ceramics UHTCs

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Outline



- What are UHTCs?
- Some early history and rationale
- History of development at Ames

What are UHTCs?



UHTCs include carbides and borides of transitional metals, e.g. Zr, Hf, Ta



Diborides have very high melting temperatures and high thermal conductivity

From American Ceramic Society Phase Diagrams

Some UHTC Development History



- HfB₂ and ZrB₂ materials investigated in early 1950s as nuclear reactor material
- Extensive work in 1960s & 1970s (by ManLabs for Air Force) showed potential for HfB₂ and ZrB₂ for use as nosecones and leading edge materials (Clougherty, Kaufman, Kalish, Hill, Peters, Rhodes et al.)
- Gap in sustained development during 1980s and most of 1990s
 - AFRL considered UHTCs for long-life, man-rated turbine engines
- During late 1990s, NASA Ames revived interest in HfB₂/SiC, ZrB₂/SiC ceramics for sharp leading edges
- Ballistic flight experiments: Ames teamed with Sandia National Laboratories New Mexico, Air Force Space Command, and TRW
 - SHARP*-B1 (1997) UHTC nosetip & SHARP-B2 (2000) UHTC strake assembly
- Space Launch Initiative (SLI, NGLT, UEET programs: 2001-5
- NASA's Fundamental Aeronautics Program funded research until 2009
- Substantial current ongoing effort at universities, government agencies, & international laboratories



- UHTCs are only for specialized TPS applications for which other material systems are not as capable or straightforward or their capabilities are required when active cooling is not feasible.
- Choice of materials driven by design, environment, and material properties.
 - Feasible simple nose-cone and passive-leading-edge designs have been developed. (UHTC leading edge designs use small volumes of material.)
 - UHTCs have high temperature capabilities (> 2000 °C / 3600 °F)
- Material selection should be based on appropriate testing of matured material in relevant environment.
- Concerns about monolithic UHTC properties are being addressed by processing and engineering improvements (ceramic matrix composites [CMCs])
- Use will depend upon level of maturity relevant to specific application

Sharp Leading Edge Technology



Benefits of sharp leading edge technology.

- Enhanced vehicle performance
- Leads to improvements in safety
 - Increased vehicle cross range
 - Greater launch window with safe abort to ground



Sharp leading edges place significantly higher temperature requirements on the materials:

- Current shuttle reinforced carbon-carbon (RCC) leading edge materials: T~1650°C
- Sharp leading edged vehicles will require: T>2000°C

Ultra High Temperature Ceramic compositions are one candidate for use in sharp leading edge applications.



Sharp Leading Edge Energy Balance





Insulators and UHTCs manage energy in different ways:

- Insulators store energy until it can be eliminated in the same way as it entered
- UHTCs conduct energy through the material and reradiate it through cooler surfaces

Dean Kontinos, Ken Gee and Dinesh Prabhu. "Temperature Constraints at the Sharp Leading Edge of a Crew Transfer Vehicle." AIAA 2001-2886 35th AIAA Thermophysics Conference, 11-14 June 2001, Anaheim CA

Rationale for Sharp Leading Edges

Temperature Limit Sensitivity





Temperature limit (K)

Potential Benefit – Impact On Crew Safety





Results of the SHARP CTV study show the potential of minimizing the need to abort into the ocean by increasing the capability of landing on a runway in the event of a failure during launch. 390 - 218 = 172 seconds improvement.

ISS Ground Track vs. Cross Range





Surface Energy Balance





- Insulators and UHTCs manage energy in different ways:
 - Insulators store energy until it can be eliminated the same way it came in
 - UHTCs conduct energy through the material and reradiate
 - it through cooler surfaces



- The diborides of hafnium and zirconium are of particular interest to the aerospace industry for sharp leading edge applications which require chemical and structural stability at extremely high operating temperatures.
- Some can be used as a monolith or matrix; some are more appropriate as a coating.
- Thermal properties have a significant impact on the surface temperatures.



UHTC billets, quarter for scale

Materials for Sharp Leading Edges







Interest in UHTCs for Aerospace Applications Initiated Over Thirty Years Ago



- Initial work performed by ManLabs Inc. in the 1960's and 1970's for the Air Force on materials capable of withstanding extreme temperatures
- In the early 1990's NASA-Ames began investigating HfB₂ and ZrB₂ based materials for sharp leading edge applications.
 - Ground based research: initial materials development by external vendors, Arc Jet testing, computer modeling, etc.
 - SHARP-B1(1997) and SHARP-B2 (2000) ballistic flight experiments
- NASA-Ames was mostly interested in HfB₂-SiC composites
 - For a monolithic ceramic HfB₂-SiC has a relatively good thermal shock resistance
 - Retains shape at elevated temperatures



Processing of HfB₂-SiC

 HfB₂ has a narrow range of stoichiometry with a melting temperature of 3380°C

Density = 11.2 g/cm³

- **Silicon carbide** is added to boride powders
 - Promotes refinement of microstructure
 - Decreases thermal conductivity of HfB₂
 - 20v% may not be optimal but is common amount added
 - SiC will oxidize either passively or actively, depending upon the environment

Density = 3.2 g/cm³



Phase diagram from American Ceramic Society Phase diagrams

HfB₂/SiC Materials Have Relatively High Thermal Conductivities





HfB₂/SiC material was measured from 1999 era materials manufactured by an outside vendor.
Thermal Diffusivity and Heat Capacity of HfB₂/SiC was measured using Laser Flash.

UHTC Material Properties



Sharp leading edges require :

- High thermal conductivity (directional)
- High fracture toughness/mechanical strength/hardness
- Oxidation resistance (in reentry conditions)

Property		HfB₂/20vol%SiC	ZrB ₂ /20vol%SiC
Density (g/cc)		9.57	5.57
Strength (MPa)	21°C	356±97*	552±73*
	1400°C	137±15*	240±79*
Modulus (GPa)	21°C	524±45	518±20
	1400°C	178±22	280±33
Coefficient of Thermal		5.9	7.6
Expansion (x10 ⁻ °/K) RT			
Thermal Conductivity (W/mK) [#] RT		80	99

Source: ManLabs and Southern Research Institute

* Flexural Strength

[#] R. P. Tye and E. V. Clougherty, "The Thermal and Electrical Conductivities of Some Electrically Conducting Compounds." Proceedings of the Fifth Symposium on Thermophysical Properties, The American Society of Mechanical Engineers, Sept 30 – Oct 2 1970. Editor C. F. Bonilla, pp 396-401.



- 2 flight experiments on U.S. Air Force Minuteman III missile carrying a modified Mk 12A reentry vehicle (RV)
 - SHARP B1: 1997
 - SHARP B2: 2000
- Purpose was to test materials
- Materials were made by 2 different outside vendors
- Materials were not recovered in SHARP B1
 - Sharp nosetip on RV
- Materials were recovered from SHARP B2
 - 4 strakes on body of RV
 - 3 different materials: HfB₂/SiC, ZrB₂/SiC, ZrB₂/SiC/C
 - One pair retracted before ablation predicted
 - One pair retracted after ablation predicted

Flight Hardware





SHARP-B1 May 21, 1997



SHARP-B2 Sept. 28, 2000

SHARP-B2



- Flight test designed to evaluate three different compositions of UHTCs in strake (fin) configuration exposed to ballistic reentry environment.
- Strakes exposed as vehicle reentered atmosphere and then retracted into protective housing.
- Material recovered. Led to new effort in UHTCs / decision to bring development in-house and improve processing.



Recovered Strakes



- Post-flight recovery showed that all four HfB2-SiC aft-strake segments suffered similar, multiple fractures.
- No evidence of severe heating damage (for example, ablation, spallation, or burning) was observed.
- Defects inherent in material lot are present on fracture surfaces.
- Actual material properties exhibit wider scatter and greater temperature dependence than those assumed in design.



Pair 1 (47.9 km)





Pair 2 (43.3 km)



Processing Defects on Fracture Surface





SiC agglomerate



Processing Defects in HfB₂-SiC



Grafoil[™] agglomerate

A Cautionary Tale



- Materials did not have expected fracture toughness, strength, or reliability (Weibull modulus).
- Unexpected fractures were due to poor materials processing by external vendor.
- SHARP B-2 underlined importance of controlling materials development, processing methodologies, and resulting material properties if we are to get the maximum value from an experiment.



Poorly processed HfB₂20v%SiC



Large HfB₂ agglomerate

Large SiC-rich agglomerate

Typical Microstructures of Previous HfB₂ - 20% SiC Materials







Coarse, poorly sintered microstructures and/or large agglomerates of SiC and HfB₂ were common in previous materials.

HfB₂: $\rho = 11.2 \text{ g/cc}$ SiC: $\rho = 3.2 \text{ g/cc}$

• Improved processing techniques are required to produce homogeneous, fine grained materials

Motivation for In-House UHTC Processing at Ames



- Until 2000 there was no consistent effort to develop the UHTC family of materials at NASA.
 - Development work was primarily part of flight experiment programs such as the SHARP-B1 and SHARP-B2 flight experiments
- Different vendors supplied materials for the SHARP-B1 and SHARP-B2 flight experiments.
 - NASA did not retain the knowledge on how to process these materials.
 - Therefore, each time we have had to start at the beginning, evaluating material properties, etc...
- Resulted in inconsistent materials
 - Significant differences in microstructure leads to significant variability in material properties.
- Bringing the UHTC processing in-house allowed the government to retain the knowledge of how to process the materials and then transfer the technology to industry for production.
 - Precedent was set at ARC with development of tile coatings.

General UHTC Processing Route





Powder Granulation



- Large density difference between HfB₂ (ρ = 11.2 g/cc) and SiC (ρ = 3.2 g/cc) make these constituents susceptible to phase segregation
- Powders are granulated by freeze-drying to prevent phase segregation
- Granulation improves powder handling
 - Prevents post-milling phase segregation
 - Granulated powders flow better aiding die filling
 - Reduces density gradients within the green and sintered parts
- Continually improving the granulation process As received HfB₂ Powder Gran



Granulated freeze-dried HfB₂/SiC Powder



Improving Processing and Microstructur

- Initial focus on improving material microstructure and strength
- HfB₂/20vol%SiC selected as baseline material for project constraints
- Major issue was poor mixing/processing of powders with different densities
 - Used freeze-drying to make homogenous powder granules
 - Developed appropriate hot pressing schedules



Granulated HfB₂/SiC Powder

Role of SiC in UHTCs



•Silicon carbide is added to boride powders

- Promotes refinement of microstructure
- \bullet Decreases thermal conductivity of ${\rm HfB}_2$
- 20v⁶ may not be optimal but is common amount added
- SiC will oxidize either passively or actively, depending upon the environment



Baseline hot pressed UHTC microstructure Dark phase is SiC

Thermal Conductivity





HfB₂/SiC materials have relatively high thermal conductivity

- HfB₂/SiC thermal conductivity was measured on material from the SHARP-B2 program.
- Thermal Diffusivity and Heat Capacity of HfB₂/SiC were measured using Laser Flash.

Weibull Modulus of Ames HfB₂/SiC Improved Compared to Previous Materials





Early HfB₂ - 20% SiC Materials





- Early and SHARP materials made by an outside vendor
- Improvements in powder handling provide a more uniform microstructure

Understand what you are testing!



- Arc jet testing is the best ground-based method of evaluating a material's oxidation/ablation response in reentry environments
- A material's oxidation behavior when heated in static or flowing air at ambient pressures is likely to be significantly different than in a re-entry environment.
- In a re-entry environment:
 - Oxygen and nitrogen may be dissociated
 - Catalycity of the material plays an important role
 - Recombination of O and N atoms adds to surface heating
 - Stagnation pressures may be less than 1 atm.
 - Influence of active to passive transitions in oxidation behavior of materials
 - SiC materials show such a transition when the protective SiO_2 layer is removed as SiO

Arc Jet Schematic



Simulates reentry conditions in a ground-based facility



Method: Heat a test gas (air) to plasma temperatures by an electric arc, then accelerate into a vacuum chamber and onto a stationary test article

Stine, H.A.; Sheppard, C.E.; Watson, V.R. Electric Arc Apparatus. U.S. Patent 3,360,988, January 2, 1968.

UHTC Cones After 9 Arc Jet Exposures



Increasing heat flux



Reducing Oxide Formation





* Post-test arc jet nosecone model after a total of 80 minutes of exposure. Total exposure the sum of multiple 5 and 10 minute exposures at heat fluxes from 200W/cm²

- In baseline material:
 - SiC depleted during arc jet testing
 - Surface oxide is porous
- Potential solution: Reduce amount of SiC below the percolation threshold while maintaining mechanical performance



*Arc jet test data from Space Launch Initiative program

Where are we going?



- What does a UHTC need to do?
 - Carry engineering load at RT $\sqrt{}$
 - Carry load at high use temperature
 - Respond to thermally generated stresses (coatings)
 - Survive thermochemical environment $\sqrt{}$

•High Melting Temperature is a major criterion, but not the only one

- Melting temperature of oxide phases formed
- Potential eutectic formation
- •Thermal Stress $\mathbf{R}' = \sigma \mathbf{k} / (\alpha \mathbf{E})$
 - Increasing strength helps, but only to certain extent
- •Applications are not just function of temperature

Materials needs for long flight time reusable vehicles are different to those for expendable weapons systems

Adapted from E. Wuchina, NSWC

Outline



- What are UHTCs?
 - Background and features
- Aerospace applications
 - Sharp leading edges
- Properties
- Thoughts on materials development
- Specific issues with UHTCS and approaches
 - Design issues
 - Material issues
 - Modeling
- Thoughts on future directions
 - Technical
 - Application
- Concluding remarks

Design Challenges for UHTC Flight



- Integrated approach that combines:
 - Mission requirements
 - Aerothermal and aerodynamic environments
 - Structural material selection
 - Component serviceability requirements
 - Safety requirements
- Size of UHTC billets limited to several centimeters wing leading edges and nosetips must be *segmented*
 - The design of interfaces between segments is critical
- The mechanical loads on small UHTC components during flight are primarily result of differential thermal expansion within material
- High temperature UHTC components must be attached to vehicle structure (with lower operating temperature limits)
 - Design issue, not materials issue
 - Design concepts developed showed feasibility

UHTC Wing Leading Edge Concept



UHTC wing leading edge (WLE) concept for a hypersonic aircraft:

- UHTC segmented leading edge attached to carbon-based hot structure
- Nose radius ~1cm



Example of Predicted UHTC WLE Component Performance



- UHTC WLE under reentry heating conditions
- Peak predicted thermal stress of 80 MPa was well below demonstrated UHTC strengths between 300 to 400 MPa







- Silicon-containing materials will actively oxidize under high temperature, low pressure conditions, forming SiO as gas
- Most problematic during re-entry (not during cruise)
- Mitigation approaches:
 - Reduce volume of SiC
 - Reduce overall oxidation
 - Below percolation threshold
 - Reduce scale of SiC particles
 - Allows formation of protective oxide sooner
 - Increase tortuosity of diffusion path
 - Balance between control of grain size and limit of oxidation
 - Additives
 - To change viscosity of the oxide
 - Change emissivity (lower surface temperature)
 - Change diffusivity of species through the oxide
 - To form a physical barrier
 - To change sintering behavior of UHTC with consequent reduction in SiC

Arcjet Characterization:



Hot Pressed



Field Assist Sintered (FAS)



HfB₂-SiC Baseline











Both oxide scale and depletion zone can be reduced.

In-Situ Composite for Improved Fracture Toughness





Evidence of crack growth along HfB₂-SiC interface, with possible SiC grain bridging

Ultra High Temperature Continuous Fiber Composites





- Image at top right shows dense UHTC matrix with indications of high aspect ratio SiC.
- Image at bottom right shows the presence of C fibers after processing.

Current researchers/areas



- NASA no longer involved in UHTC research
- Major research efforts include
 - AFRL
 - Missouri University of Science and Technology
 - University of Arizona (Erica Corral) (Hilmas/Fahrenholtz)
 - UK consortium: University of Birmingham/Imperial College/Ministry of Defence)
 - Italy: Faenza
 - AFOSR-NASA National Hypersonics Science Center for Materials & Structures (Teledyne Scientific)(completed)
 - Many others
 - Emphasis is on processing, properties, behavior in relevant conditions, and composites.



Goals

- Reduce materials development time
- Optimize material properties/tailor materials
- Guide processing of materials
- Develop design approaches

Approach

- Develop models integrated across various length scales
- Correlate models with experiment whenever possible

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Multiscale Modeling of UHTCs



- Framework integrates three methods
- Multiscale framework for ZrB₂ and HfB₂:
 - <u>Ab initio</u> fundamental chemistry, electronic properties
 - <u>Atomistic</u> thermal/mechanical properties, thermal resistance
 - <u>Continuum</u> macro properties, thermal/mechanical analysis of microstructure

• This talk will focus on thermal conductivity:

- Atomic structure and bonding
- Interatomic potentials
- Lattice thermal conductivity
- Grain boundary structures
- Interfacial thermal resistance
- FEM thermal analysis of microstructures



Atomic Structure: ZrB₂





Alternating layers of Zr (red) and Boron (gray)



Graphitic Boron layers with Zr over each ring

Bonding: Electron Localization Fnt (ELF)







Boron plane: covalent

Zirconium plane: metallic

Blue = High

Red = Low ^{b1}

Interlayer. ionic

JWL, Bauschlicher, and Daw, J. Am. Ceram. Soc, (2011)

Lattice Lattice Thermal Conductivity Simulation





• High T values are probably too low

Lawson, Daw and Bauschlicher, J. App. Phys, (2011)







Graphene GB structure: 7-5 pairs



Electron localization function (ELF): strong covalent bonding

Lawson, Daw, Squire and Bauschlicher, J. Am. Ceram. Soc., (2012) Blue = High; Green = Lows

Symmetric Σ 7 *C*-axis *Twist*





Misalignment of atomic layers



ELF: shifted planes, ring distortion

Weakened ionic bonding: inert plane vs inert

nlana

Blue = High Green = Low

Development of Steady State Thermal Gradient



Uniform thermal gradient (UTG) applied vertically across structure

Effective Thermal Conductivity



	Vertica I	Horizontal	Avg
Intrinsic - κ_0			<u>50</u>
Brick Layer Model (BLM)			10
Rule of Mixtures (ROM)			44
FEM/UTG	17.48	16.24	<u>16.8</u>
FEM/UHF	16.72	15.93	<u>16.3</u>
Experiment			<u>22</u> ¹

Experimental interfacial resistance

- BLM is series resistor model (lower bound)
- ROM is **paralle**l resistor model (upper bound)
- FEM has <u>series</u> and <u>parallel</u> contributions
- Thus: realistic microstructure and interfaces needed

¹Zimmermann, Hilmas, Fahrenholtz, Dinwiddie, Porter, Wang, J. Am. Ceram. Soc., (2008)

Modeling Summary



- Multiscale framework for UHTC:
 - <u>Ab Initio</u> bonding, electronic & vibrational spectra
 - <u>Atomistic simulation</u> bulk and interfacial thermal conductivity
 - <u>Continuum</u> microstructural modeling and effective properties
 - Iteration with experiment needed to "close" loop
- Modeling unanswered questions:
 - Interatomic potential fidelity
 - Lattice TC without potentials (ab initio, Boltzmann, etc.)
 - Conducting versus resistive phonons
 - Isotope and defect effects
 - Complex grain boundary structural models and properties
- Experimental unanswered questions:
 - Single crystal thermal conductivity
 - Electronic versus lattice conductivity
 - Grain boundary atomic structures and properties
 - Improved grain boundaries from improved processing

What are the issues with use of UHTCs?



- Similar to the risk aversion in many industries in using structural ceramics!
- Designers prefer to use metals or complex systems to avoid using advanced ceramics and composites.
 - Industry Is conservative
 - Building a system, not developing materials
 - Unfamiliarity with designing with brittle materials safety factor.
 - Advantages of weight savings and uncooled temperature capability not high enough to overcome risk aversion
- Using monolithic ceramics and CMCs requires a different design approach, not straight replacement of a metal part
- Need for subscale materials/component testing in realistic environments is imperative
- Must develop materials and test them such that designers can increase their comfort level
 - Must do in advance of need!
- Must have ways of moving materials from research and development (low technology readiness level) to demonstration of applications through testing in realistic environments

UHTC Challenges: What will make designers use these materials?



1. Fracture toughness: Composite approach is required

- Integrate understanding gained from monolithic materials
- Need high temperature fibers
- Need processing methods/coatings

2. Oxidation resistance in reentry environments

reduce/replace SiC

- 3. Modeling is critical to shorten development time, improve properties and reduce testing
- 4. Joining/integration into a system
- 5. Test in relevant environment—test data!

Some Recent Research Efforts in UHTCs: Materials and Properties



ZrB ₂ Based Ceramics	Catalytic Properties of UHTCs	
Missouri University of Science & Technology	PROMES-CNRS Laboratory, France	
US Air Force Research Lab (AFRL)	CNR-ISTEC	
NASA Ames & NASA Glenn Research Centers	CIRA, Capua, Italy	
University of Illinois at Urbana-Champaign	SRI International, California	
Harbin Institute of Technology, China	Imaging and Analysis (Modeling)	
Naval Surface Warfare Center (NSWC)	University of Connecticut	
NIMS, Tsukuba, Japan	AFRL	
Imperial College, London, UK	NASA Ames Research Center	
Korea Institute of Materials Science	Teledyne (NHSC-Materials and Structures)	
CNR-ISTEC	Oxidation of UHTCs	
HfB ₂ Based Ceramics	AFRL	
NASA Ames Research Center	NASA Glenn Research Center	
NSWC—Carderock Division	Georgia Institute of Technology	
Universidad de Extramdura, Badajoz, Spain	Missouri University of Science & Technology	
CNR-ISTEC, Italy	Texas A & M University	
Fiber Reinforced UHTCs	CNR-ISTEC, Italy	
Chinese Academy of Sciences, Shenyang	University of Michigan, Ann Arbor, Michigan	
University of Arizona	NSWC—Carderock	
MATECH/GSM Inc., California	Harbin Institute of Technology, China	
AFRL	University of Illinois at Urbana-Champaign	

Some Recent Research Efforts in UHTCs: Processing



Field Assisted Sintering	UHTC Polymeric Precursors	
University of California, Davis	SRI International, California	
Air Force Research Laboratory (AFRL)	University of Pennsylvania	
CNR-ISTEC, Italy	Missouri University of Science & Technology	
Stockholm University, Sweden	MATECH/GSM Inc., California	
NIMS, Tsukuba, Japan	Teledyne (NHSC)	
Pressureless Sintering	Technische Universität Darmstadt, Germany	
Missouri University of Science & Technology	Nano & Sol Gel Synthesis of UHTCs	
Politecnico di Torino, Italy	Loughborough University, U.K.	
Reactive Hot-Pressing	IGIC, Russian Academy of Science	
Shanghai Institute of Ceramics, China	University of Erlangen-Nürnberg, Germany	
NASA Ames Research Center	Korea Institute of Materials Science	
National Aerospace Laboratories, India	Iran University of Science and Technology	
Sandia National Laboratories, New Mexico		
McGill University, Montreal, Canada		
University of Erlangen-Nürnberg, Germany		

UHTC Researchers Throughout the World







- Thermal protection materials must be efficient and reliable: specific to application
- Should develop materials in anticipation of need— "heritage" can be a trap
- Must develop materials to meet needs of application
- Must characterize appropriately and sufficiently
- Must test known material in relevant environment

UHTC Summary



- Work on UHTC-type compositions decades in development, but non-continuous.
- Significant expansion of interest in UHTCs in past 10-15 years multinational research.
- Considerable improvements have been made in processing and properties.
- Must develop materials to meet needs of application
- Must test in relevant environment
- Must characterize appropriately
- UHTCs may not find application by themselves but as parts of systems, and thus continued research is critical to the success of future applications.



Long and winding road to applications!

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