1	Early spring post-fire snow albedo dynamics in high latitude boreal forests
2	using Landsat-8 OLI data
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15	Abstract
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17	Taking advantage of the improved radiometric resolution of Landsat-8 OLI which, unlike
18	previous Landsat sensors, does not saturate over snow, the progress of fire recovery progress at
19	the landscape scale (< 100m) is examined. High quality Landsat-8 albedo retrievals can now
20	capture the true reflective and layered character of snow cover over a full range of land surface
21	conditions and vegetation densities. This new capability particularly improves the assessment of
22	post-fire vegetation dynamics across low- to high- burn severity gradients in Arctic and boreal
23	regions in the early spring, when the albedos during recovery show the greatest variation. We use

24 30 m resolution Landsat-8 surface reflectances with concurrent coarser resolution (500m) 25 MODIS high quality full inversion surface Bidirectional Reflectance Distribution Functions 26 (BRDF) products to produce higher resolution values of surface albedo. The high resolution full 27 expression shortwave blue sky albedo product performs well with an overall RMSE of 0.0267 28 between tower and satellite measures under both snow-free and snow-covered conditions. While 29 the importance of post-fire albedo recovery can be discerned from the MODIS albedo product at 30 regional and global scales, our study addresses the particular importance of early spring post-fire 31 albedo recovery at the landscape scale by considering the significant spatial heterogeneity of 32 burn severity, and the impact of snow on the early spring albedo of various vegetation recovery 33 types. We found that variations in early spring albedo within a single MODIS gridded pixel can 34 be larger than 0.6. Since the frequency and severity of wildfires in Arctic and boreal systems is 35 expected to increase in the coming decades, the dynamics of albedo in response to these rapid 36 surface changes will increasingly impact the energy balance and contribute to other climate 37 processes and physical feedback mechanisms. Surface radiation products derived from Landsat-8 38 data will thus play an important role in characterizing the carbon cycle and ecosystem processes 39 of high latitude systems.

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41 Key words: Landsat-8 snow albedo, post-fire recovery, albedo heterogeneity and dynamics
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#### 43 **1. Introduction**

In North American boreal forests, the total burned area and frequency of large, naturally ignited
fires has increased dramatically over the past four decades (Kasischke & Turetsky, 2006).
Studies have shown that rapidly increasing surface temperatures in Arctic and boreal regions

47 (Hinzman et al., 2005) may both lengthen the fire season and cause shifts in the fire regime 48 (Flannigan et al., 2005; Gillett et al., 2004; Kasischke & Turetsky, 2006; Westerling et al., 2006). 49 As a primary disturbance agent, fire alters vegetation dynamics, including vegetation structure 50 and composition (Goetz et al., 2012; McGuire et al., 2004; Viereck, 1973; Foote, 1983), surface 51 energy balance (Amiro et al., 2006; Chambers & Chapin, 2002; Liu et al., 2005; Lyons et al., 52 2008; Randerson et al., 2006; Rocha & Shaver, 2011), and carbon cycling (Balshi et al., 2007; 53 Balshi et al., 2009; Bond-Lamberty et al., 2007; Turetsky et al., 2011). Furthermore, fire strongly 54 influences the climate through initial aerosol and gas emissions and subsequent surface albedo 55 feedbacks (Bowman et al., 2009; Flanner et al., 2011; McGuire et al., 2006; Randerson et al., 56 2006). The complex factors influencing vegetation recovery and the long term effects of fire on 57 radiative forcing and climate are not yet fully understood. Some quantitative assessments suggest 58 that albedo changes post-fire, due to increased snow extent, may be significant enough to counter 59 the initial carbon release, and thus fires may not necessarily accelerate climate warming in 60 northern regions (Bala et al., 2007; Brovkin et al., 2004; Randerson et al., 2006). These complex 61 relationships can be better understood by analyzing the albedo dynamics within the burned area 62 in a fire, or fire scar, considering both the spatial distribution and heterogeneity of the fire 63 severity, the vegetation and the multiple factors affecting recovery.

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Overall, albedo recovery depends on the size, frequency, and burn severity of the fire. In
particular, burn severity, a measure of the degree to which an area is disrupted by fire (NWCG,
2005), has important implications for post-fire ecosystem recovery in boreal forests (Beck et al.,
2011; French et al., 2008; Goetz et al., 2007; Johnstone & Chapin, 2006; Mack et al., 2008).
Recent temporal analyses have shown that, in addition to increasing fire area and frequency, high

70 latitude fires are also increasing in severity (Duffy et al., 2007; Kasischke & Turetsky, 2006; 71 Turetsky et al., 2011). The spatial variations in burn intensity and burn severity influence the 72 recruitment and establishment of boreal forest species within the burn perimeter (Epting & 73 Verbyla, 2005; Johnstone & Chapin, 2006; Johnstone et al., 2010; Shenoy et al., 2011; Zasada et 74 al., 1983; Zasada et al., 1987). As vegetation cover strongly impacts surface albedo particularly 75 during the early spring snow season, successional post-fire dynamics play a large role in land 76 surface albedo recovery and impact the total fire radiative forcing. As such, understanding the 77 role burn severity plays in forest recovery is key to understanding snow-vegetation heterogeneity 78 and albedo feedbacks. Previous post-fire albedo recovery studies (Beck et al., 2011; Jin et al., 79 2012a; 2012b; Lyons et al., 2008; Randerson et al., 2006) have indicated that the 500 m MODIS 80 BRDF/NBAR/albedo product (Schaaf et al., 2002; 2011) captures post-fire albedo trajectories 81 across different burn severity and forest types at regional and global scales. Recent studies have 82 assessed the within fire scar variability in albedo and burn severity classes for Mediterranean 83 vegetation (Veraverbeke et. al., 2012). However, post-fire early spring snow albedo dynamics 84 within the spatial footprint of fire scars and albedo variability within moderate spatial resolution 85 (500m) are not yet fully understood.

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The goal of this study is to investigate the impact of burn severity and post fire vegetation dynamics on early spring surface albedo at the fire scar level by using the finer spatial resolution (30m) and improved radiometric fidelity (12 bit) of Landsat-8 data to emphasize the effect of surface heterogeneity on snow albedo across Alaskan boreal forests. The instrumentation improvements of Landsat-8 allow for new assessments of snow albedo heterogeneity and the early spring albedo recovery at the characteristic scale of ecosystem disturbance by fire. Here,

93 the algorithm developed by Shuai et al., (2011; 2014) and validated for non-snow covers by 94 Román et al., (2013) is used to compute Landsat-8 snow albedo by combining Landsat-8 surface 95 reflectance with MODIS BRDF information. We generated Landsat-8 full expression blue sky 96 snow albedo by also considering the surface multi-scattering effect which has been shown to be 97 significant over snow covered surfaces (Román et al., 2010). Huang et al. (2013) attempted to 98 capture fine scale post-fire summer (snow-free) albedo dynamics using a Landsat albedo that 99 assumes a Lambertian surface. In omitting the BRDF shape correction, these surface albedo 100 estimates are either under or overestimated (Lucht & Lewis, 2000; Román et al., 2011). The real 101 impact of post fire albedo dynamics is governed by the extent of exposed snow cover during the 102 early spring snow season (Liu et al., 2005; Amiro et al., 2006; Lyons et al., 2008; O'Halloran et 103 al., 2014). Snow albedo values also vary with the vegetation stature. Albedo is high in bare areas 104 with low profile vegetation and low over forested regions, since the canopy decreases the 105 illuminated signal from understory snow. The data presented here take full advantage of the 106 increased radiometric resolution of Landsat-8, which does not saturate over snow and provides 107 improved distinction between snow and clouds.

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#### 109 **2.** Study area

Ten burned sites distributed across Alaska's boreal forest region were used in this study (Figure 1, Table 1). The sites were selected based on the availability of early spring, cloud free Landsat-8 observations and the availability of burn severity information from the Monitoring Trends in Burn Severity (MTBS) datasets (Eidenshink et al., 2007). No return fires were found within these scars during the study period. An initial land cover assessment was done at each site using the National Land Cover Database (NLCD) (http://www.mrlc.gov/index.php). The Landsat

116 based NLCD provides land cover at the same spatial resolution (30m) as Landsat albedo data. In 117 general, land cover of Alaska primeval forests is relatively stable before a major disturbance 118 event such as fire. As such we assume the pre-fire land covers at sites #8, #9 and #10, burned in 119 2010, are consistent with the land covers in the 2001 NLCD for Alaska. The dominant pre-fire 120 land cover at the ten selected sites was evergreen forest with some deciduous and mixed forest at 121 sites #4, #6, and #10. Where the fires occurred prior the availability of the 2001 NLCD, pre-fire 122 surface conditions were determined by the surrounding unburned forest class. At site #1, the 123 surrounding unburned land types in the northern portion of the fire scar were woody wetlands 124 and emergent herbaceous wetlands. Therefore, only the forested areas to the south of this site 125 were used to assign the appropriate pre-fire land type during the study period (Figure 2). In 126 summary, these ten sites were burned from 1984 to 2010 at roughly five year increments. The 127 burned area of these sites ranged from 25.64 to 744.71 km<sup>2</sup>.

128

#### 129 **3.** Datasets

#### 130 **3.1 Landsat datasets**

131 The Operational Land Imager (OLI) onboard Landsat-8 was launched in February, 2013 132 continuing the heritage of Landsat-7 ETM+. However, Landsat-8 provides greatly improved 133 signal-to-noise (SNR) radiometric performance (Roy et al., 2014) which allows the capture of 134 reflectance information over snow-covered surfaces without sensor saturation. This new 135 development is crucial in understanding post-fire recovery as snow dynamics play a large role in 136 determining the albedo of high latitude burned regions. The atmospherically corrected 137 hemispherical directional surface reflectance for Landsat-8 can now be ordered directly from the 138 U.S. Geological Survey (USGS) Earth Resources Observation and Science (EROS) Center

139 Science Processing Architecture (ESPA) (http://espa.cr.usgs.gov). This Landsat-8 surface 140 reflectance uses the specialized L8SR software which calibrates and atmospherically corrects top 141 of atmosphere inputs (Masek et al., 2006; Schmidt et al., 2013). Surface reflectance is not 142 generated for scenes with a solar zenith angle of greater than 76 degrees, and we further 143 restricted the solar angle to 70 degrees to guarantee high quality data from both the Landsat-8 144 and MODIS sensors (Wang et al., 2012). The cloud, cloud shadow, snow, and water in each 145 image is flagged using the newly integrated Function of mask (Fmask) cloud and cloud shadow 146 detection algorithm (Zhu & Woodcock, 2012; Zhu et al., 2015), which combines the object based 147 cloud and cloud shadow matching algorithm developed for Landsat-5 and Landsat-7 with the 148 enhanced cirrus cloud detection capabilities provided by the new Landsat-8 shortwave infrared 149 (SWIR or cirrus) band (Band nine, 1.36-1.38 µm). The cirrus cloud detection algorithm is based 150 on thresholding of the SWIR band such that bright values greater than 0.2 are classified as high 151 latitude clouds based in the strong water absorption at this wavelength and the small above cloud 152 two way water vapor path lengths (Gao et al., 1993).

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#### 154 **3.2 Burn severity data**

The burn severity datasets were compiled by the Monitoring Trends in Burn Severity (MTBS) project (Eidenshink et al., 2007) (Figure 2). The MTBS project identifies and characterizes large fire events (>404 hectares) in the continental United States, Alaska, and Hawaii. The project uses Landsat imagery to identify the burn extent and classify the burn severity into five categories (1 = unburned to low severity, 2 = low severity, 3 = moderate severity, 4 = high severity, and 5 = increased greenness). The severity is assessed using the Difference Normalized Burn Ratio (dNBR) which considers the Normalized Burn Ratio in pre and post fire imagery (Epting et al., 162 2005; García & Caselles, 1991; Key and Benson, 2006). The intra-annual variability of dynamic 163 vegetation can have a strong effect on dNBR values (Lhermitte et. al. 2011; Veraverbeke et. al. 164 2011). The ten sites highlighted in this study are dominated by every every every every study to the tend to 165 have small intra-annual variability. High dNBR values are linked to increased burn severity as 166 they represent a decrease in the photosynthetic activity (decrease in the near infrared) and an 167 increase in the reflectance of Landsat Band seven (2.09-2.35 µm for ETM+), indicating an 168 increase in ash, carbon, and/or soil, as well as a decrease in surface materials holding water 169 (Lutz, 2011).

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#### 171 **3.3 Land cover data**

172 The National Land Cover Database (NLCD) products, generated by the Multi-Resolution Land 173 Characteristics (MRLC) Consortium, provide the capability to assess the land cover changes 174 across the United States (http://www.mrlc.gov/index.php). We used both the 2001 and 2011 175 NLCD (Homer et al., 2007), which are derived from 30m resolution Landsat-5 and Landsat-7 176 imagery to assess post-fire recovery (Figure 3). The 16-class land cover classification scheme of 177 NLCD is developed through a decision tree algorithm (Vogelmann et al., 1998) and provides a 178 good means for assessing changes in land cover and identifying trends. The overall accuracy of 179 the 2001 Level II classifications over Alaska is 83.9% (Stehman & Selkowitz, 2010).

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#### 181 **3.4 Tower-based albedo measurements**

182 No ground albedo measurements were collected over the burn recovery study areas in this paper.
183 Therefore, validation of the Landsat-8 full expression blue sky surface albedo values was instead
184 performed at six representative tower sites (Figure 4) from the International Baseline Surface

185 Radiation Network (BSRN), NOAA's Surface Radiation Budget Network (SURFRAD), the 186 Arctic Observatory Network (AON) and the Ameriflux network (Table 2). These sites represent 187 a range of land covers and locations and were selected for the availability of snow-covered 188 conditions. The Table Mountain SURFRAD site was previously used for the validation of the 189 Landsat-5 and Landsat-7 snow free isotropic blue sky albedo (Shuai et al, 2011). The Table 190 Mountain and Sioux Falls sites are grassland with low profile vegetation. Morgan Monroe State 191 Forest is a mixed hardwood site with 60 - 80 years old secondary growth trees; tulip poplar, 192 white oak, red oak, and sugar maple are the dominant species. The average canopy height at this 193 site is 27 meters, with minor topographical features including small ravines. The Howland west 194 site is an evergreen needleleaf forest in central Maine. The dominant species are red spruce, 195 hemlock and white pine with an average canopy height of 19.5 meters. The Barrow and Imnavait 196 sites are located in Alaska and represent the opportunity to validate the albedo product in high 197 latitude systems where solar zenith angle and illumination conditions present unique challenges 198 (Wang et al, 2012). The vegetation at the Barrow site is unmanaged and undisturbed with 199 dominant species including *Carex aquatilis*, *Dupontia fisheri* and *Arctophila fulva*. The Imnavait 200 tower, located in the Imnavait Creek watershed, is surrounded by undisturbed moist acidic 201 tussock tundra. The tower location, instrumentation tower height and footprint and total number 202 of Landsat-8 images used for validation at each site are listed in Table 2.

203

At these sites the global upwelling and downwelling solar radiation are continuously recorded with Eppley pyranometers at the Imnavait site and the SURFRAD sites and Kipp and Zonen albedometers at the Howland West Forest, Barrow and Morgan Monroe sites. The actual blue sky albedo is calculated as the ratio of upwelling to downwelling radiation. To correspond with

208 the Landsat-8 imagery, tower data for the date and time of Landsat acquisition were extracted. 209 The SURFRAD and Barrow sites return values every minute. For these sites, all values within a 210 20 minute window around the acquisition time were averaged. At the Morgan Monroe, Howland 211 West and Imnavait site, the data are recorded at 30 minute intervals and the time stamp closest to 212 the image acquisition was selected. The reported uncertainties in these irradiance measurements 213 generally ranged from  $\pm 2\%$  to  $\pm 5\%$  for both the upward- and downward-looking pyranometers 214 (Augustine et al., 2000). In addition, rough surfaces and viewing obstructions may increase the 215 uncertainty of measurements (Lhermitte et al., 2014). All available high quality, cloud free 216 Landsat-8 scenes with corresponding tower data were used in the validation analysis. For the 217 early spring snow albedo validation 28 data points were used ranging from Day of Year (DOY) 218 004 to 142 and 248 to 345 for the Landsat-8 data record of 2013-2015. To account for the 219 latitude of the Alaskan sites, all Landsat-8 scenes with a solar zenith angle of greater than  $70^{\circ}$  at 220 the tower location were omitted as the accuracy of the MODIS and Landsat-8 albedos are 221 reduced above this threshold (Wang et al, 2012). A greater number of snow-free scenes were 222 available with a total to 89 validation points ranging from DOY 015 to 361.

223

#### **3.5. MODIS data**

225 MODIS V006 daily BRDF/Albedo products (MCD43) (Schaaf et al., 2002, 2011; Shuai et al.,

226 2013; Wang et al., 2012, 2014) are utilized to convert Landsat-8 near nadir reflectance to albedo.

227 The MCD43 products which have been produced since 2000 rely on the semi-empirical kernel

228 linear RossThick-LiSparse Reciprocal (RTLSR) BRDF model to describe the reflectance

anisotropy. The snow status of the area of the Landsat-8 studies area is compared with standard

daily MODIS snow product (MOD10A) (Hall et al., 2002). The Aerosol Optical Depth (AOD)

for the full expression blue sky albedo calculation is collected from MODIS (MOD08) product(Remer et al., 2005).

233

**4.** Methodology

#### 235 4.1 Landsat-8 full expression blue sky surface albedo

236 Shuai et al., (2011) developed an algorithm to derive Landsat snow-free albedo by coupling 237 Landsat surface reflectance with concurrent high quality MODIS BRDF information. Here we 238 extend this method to retrieve albedo over snow covered surfaces and to calculate the full 239 expression Landsat-8 blue sky albedo, which considers anisotropic downwelling diffuse 240 illumination and multi-scattering effects between the surface and atmosphere which can enhance 241 both the upwelling and downwelling radiance (Román et al., 2010; Román et al., 2013). The 242 snow flag and cloud mask (Zhu et al., 2015; Zhu & Woodcock, 2012) from the Landsat-8 surface 243 reflectance product are utilized to identify cloud-free snow pixels. The Landsat-8 snow flag in 244 the study areas agrees with MODIS snow product (MOD10A1). The albedos of snow free pixels 245 in this study are generated using the approach of Shuai et al. (2011). The Shuai et al. (2011) 246 method (called "MODIS-era" approach) uses an unsupervised classifier to group the individual 247 scene into ten to fifteen clusters in Landsat multi-spectral space, then identifies the representative 248 regions in 500-meter scale through reprojection (from UTM to MODIS sinusoidal projection) 249 and aggregation processes. With the assumption that identical multi-spectral cluster has the 250 similar instant anisotropy features, this "MODIS-era" approach extracts the high quality spectral 251 BRDF estimates over representative pixels from concurrent 8-day MCD43A products (i.e. the 252 MODIS pixels that are relatively homogenous on the Landsat-8 scale are defined as

253 representative), and introduces them back into 30-meter scale via the Albedo-to-Nadir

254 reflectance ratio (A/N) as that show in equation (1) using Landsat-8 observation.

$$A = \frac{a}{r(\Omega_l)} \cdot r_l \tag{1}$$

Where  $\Omega_l$  is the Landsat-8 viewing and solar geometry, *A* is Landsat-8 albedo to be calculated, *a* is the albedo derived by the BRDF parameters,  $r(\Omega_l)$  is the reflectance derived by the BRDF parameters at the Landsat-8 sun view geometry, and  $r_l$  is the observed Landsat-8 reflectance.

259 In this paper the anisotropy information (MCD43A1) for the acquisition date of each Landsat-8 260 scene is acquired from the MCD43 high quality V006 daily BRDF/NBAR/albedo products. The 261 BRDF quality is assessed using the MCD43A2 Quality Assessment (QA) layer (Schaaf et al., 262 2002). The full expression blue sky albedo is calculated using a pre-defined lookup table and 263 Aerosol Optical Depth (AOD) data (Román et al., 2010) from the MODIS MOD08 product 264 (Remer et al., 2005). We hierarchically use the MODIS level 3 daily, 8-day, and monthly AOD 265 data derived from both Aqua and Terra. The highest temporal resolution without a fill value is 266 used. If no valid MODIS AOD data are available, a climatological value of 0.2 is used. For this 267 particular study, the default climatology value was only used for the 2014 blue sky albedo 268 calculation at sites #6 and #7.

269

Broadband shortwave albedo (0.25-5.0 µm) is required for surface energy balance studies in land
surface models (Roesch and Roeckner, 2006; Wang et al., 2015). Landsat data, however, are
provided as multiple bands with narrow spectral ranges. For Landsat-5 and Landsat-7, narrow-tobroadband conversion coefficients were derived from laboratory spectra (Liang, 2001; Shuai et

274	al., 2014) to produce the shortwave broadband albedo. For the new Landsat-8 data, we developed
275	snow and snow-free narrow-to-broad band coefficients using the same method based on 744
276	spectra from USGS digital spectral library (Clark et al., 2007) and an additional 15 Analytical
277	Spectral Devices (ASD) measured for snow spectra during field work in Antarctica in 2014-2015
278	(Table 3) (Casey et al., 2012). The R-squares between the VIS, NIR and SW broadband
279	reflectances generated from the narrow-to-broadband conversion coefficients for snow-free and
280	snow and the aggregated values directly from the library and ASD measured spectra are all
281	larger than 0.99.
282	
283	4.2 Landsat-8 full expression blue sky surface albedo validation
284	The Landsat-8 albedo retrievals for both snow-free and snow covered pixels were validated with
285	in situ tower measurements at representative sites. The footprints of the tower instrumentation
286	were calculated based on the field of view of the pyranometers and the instrument height such
287	that:
288	Footprint diameter = $2 * (tower height) * cos\theta$ (2)
289	
290	Where $\theta$ is the effective field of view (81°) of the downward facing pyranometer, based on the
291	pyranometer's cosine response and directional error (Román et al., 2009).
292	
293	For all but the forested sites the vegetation height is omitted from the footprint calculation. At
294	the Howland West and Morgan Monroe sites, the average height of the forest canopy was
295	subtracted from the height of the tower to estimate the footprint. The ground measured albedo
296	values are compared with the mean values of Landsat blue sky albedo within the tower

instrumentation footprint. The cumulative Root Mean Square Error (RMSE) and bias are then
calculated by comparing temporal ground albedo measurements with Landsat-8 albedo for each
site.

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#### 301 **4.3 Spatial heterogeneity of surface albedo and burn severity**

302 Detection of post-fire albedo recovery conditions during snow covered periods is challenging for 303 high severity areas particularly when utilizing Landsat-5 and Landsat-7 due to their relatively 304 low quality radiometric performance. Figure 5 which covers the site #8 burn scar shows that 305 snow covered areas without upper canopy cover in the Landsat-7 ETM+ signal are commonly 306 saturated. However, Landsat-8 OLI, with a much improved SNR, allows for the generation of 307 snow albedo values at landscape scales. This improvement is evident in the southern part of site 308 #8 where evergreen forests have pre-fire shortwave blue sky spring albedos of around 0.2-0.3. 309 After the burn, albedo increases to more than 0.6 (reaching as high as 0.8 at some locations). The 310 Landsat-8 signal does not saturate over this large range in values allowing for a more accurate 311 assessment of post fire dynamics.

312

We explored the variation and heterogeneity of surface albedo within a fire scar by first calculating the maximum, minimum, mean, and standard deviation of the 30 m shortwave broadband blue sky Landsat-8 albedo values within a moderate grid (450 m). A 450 m moderate grid was selected to closely match the spatial resolution of the 500 m MODIS products (actual grid size = 464 m). The heterogeneity of the burn severity was analyzed by calculating the percentage of low, moderate, and high burn severity pixels within the corresponding 450m grid

box. The aggregated burn severity of the 450m grid box was then determined by the burncondition with the highest percentage of the corresponding 30 m MTBS pixels.

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- 322

#### 4.4 Post-fire albedo temporal variation

323 We averaged the early spring Landsat-8 albedo values within each fire scar (Table 1) during 324 DOY 62–94 in 2014 with valid clear Landsat-8 observations to detect post-fire albedo variation 325 for each burn severity class. The ten sites were burn from 1984 to 2010 and thus the 2014 albedo 326 data represents fire recovery from 4 to 30 years post-fire. Although these sites are dominated by 327 evergreen forest, where deciduous and mixed forests were present, the post-fire albedo time 328 series for these land covers was separately aggregated and analyzed (site #6 and site #9). Pre-fire 329 and post-fire albedo values were then compared to detect changes induced by burning during the 330 snow covered period. As Landsat-8 data are only available from early 2013 onwards, pre-fire 331 forest albedo was calculated from Landsat-5 and Landsat-7 data (Table 1) to illustrate the 332 variability. The pre-fire albedo values (even under snow-cover) are not generally saturated over 333 dense forests as the forest cover dominates the signal. At these sites, Landsat-5 and -7 derived 334 albedo values are typically around 0.2-0.3. While Shuai et al. (2014) has demonstrated a retrieval 335 method for historical Landsat surface albedo using MODIS-based *a-priori* anisotropy knowledge 336 during snow free periods for pre- MODIS era, we have only generated pre-fire albedos for sites 337 that were burned after the year 2000 using the MODIS MCD43A BRDF model parameters. 338

339

#### 5 Results and Discussions

#### 340 **5.1 Landsat-8 full expression blue sky albedo validation**

341 The overall RMSE of the combined snow and snow-free data is 0.0267 with a bias of 0.0031 342 between Landsat-8 albedo and ground measurements. Separately, the RMSE and bias for snow 343 scenes were 0.0426 and -0.0013 respectively and for the snow-free scenes was 0.0191 and 344 0.0037 respectively (Figure 6, Table 4). The RMSE for the snow-free sites was in-line with the 345 results originally presented by Shuai et al (2011) for the earlier Landsat missions. At the two 346 forested sites, Landsat-8 albedo retrievals are slightly higher than the tower data, with a slightly 347 positive bias of 0.0074 and 0.0168 for snow and snow-free scenes respectively. The low profile 348 vegetation sites (grassland and tundra) show a negligible bias of -0.0006 and RMSE of 0.0185 349 for snow-free scenes and a bias of -0.0001 and RMSE of 0.0467 for snow scenes, well within the 350 expected accuracy range of the product. The blue sky snow albedo derived from 12-bit Landsat-8 351 OLI with improved signal-to-noise ratios show better accuracy than glacier albedo estimated 352 from Landsat-5 TM, Landsat-7 ETM+ and MODIS (MOD10A) in which the RMSEs were larger 353 than 0.05 (Dumont et al., 2012; Klok et al., 2003; Lhermitte et al., 2014).

354

While not located at the actual post fire burn sites, these tower based validation assessments indicates the accuracy of the Landsat-8 albedo product under both snow-free and snow-covered conditions is acceptable and supports the use of these data to investigate the albedo dynamics of heterogeneous and snow cover landscapes.

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#### **5.2 Surface albedo and burn severity heterogeneity at fire scar scale**

Although the study areas are dominated by forest with very few other vegetation types present
(shrub and grass), the spatial heterogeneity of albedo is still high at the landscape scale (Figure
7). In addition, changes in forest structure, especially after a disturbance and during snow cover,

364 can lead to highly varying albedo between different forests. Figure 8 shows the fire scar at site #7 365 re-gridded to the MODIS approximate 450 m grid. The minimum, maximum, mean, and 366 standard deviation of the Landsat-8 albedo within each grid cell are shown for DOY 2014094. 367 Most minimum values are less than 0.4 while the maximum values are higher than 0.5 and can 368 reach 0.8 or more. The standard deviation of albedo within the MODIS grid is around 0.1 which 369 illustrates that potentially valuable information on ecosystems trends and recovery dynamics can 370 be lost when utilizing a coarser resolution grid resolution. Within the 450 m moderate grid, no 371 single burn severity class significantly dominates the burn scar (Figure 9) and low and moderate 372 burn severity areas comprise close to 30% of most of the gridded cells.

373

374 In mixing these low and high signals within moderate resolution imagery, valuable information 375 on the effect of different burn severities can be lost. Mixed-severity fires scars typically have 376 higher beta-diversity and result in more complex landscapes with variation in vegetation patches 377 driven by fire severity and fire history (Taylor and Skinner, 1998). In addition, disturbance 378 patterns and stand regrowth vary geographically as does the proportion of different severity 379 classes within a scar (Spies and Franklin, 1989; Veblen, 1989; Veblen et al., 1992). As such, 380 higher resolution information across latitudinal and ecosystem gradients is valuable for 381 management decisions on fire mitigation and control (Taylor and Skinner, 1998). Mixed 382 severity burn histories also contribute to more structurally diverse late successional conditions in 383 forest stands which are both more resistant to future fires and provide greater habitats for wildlife 384 (Taylor and Skinner, 1998). For regional land surface models or energy budget and climate 385 forcing analysis, coarse spatial resolution values lose the fine scale albedo variation caused by 386 surface heterogeneity which can significantly affect the soil properties and post fire vegetation

387 recovery. As such, identifying and characterizing fire severity and variation in recovery patterns 388 can provide valuable information across a range of ecological and management interests. In the 389 six sites burned in 2004 and 2010, the mean post-fire albedo over severely burned forest from 30 390 m Landsat-8 data is 0.60 with some values reaching as high as 0.7. This is slightly higher than 391 the values of 0.57 from aggregated 450 m Landsat-8 data and 0.55 reported in Jin et al. (2012a) 392 using MODIS albedos alone. This muting of the albedo range mainly results from the fine scale 393 heterogeneity of burn severity and albedo. Larger MODIS pixels assigned to a high burn severity 394 designation usually also contain areas of low or moderate burn severity, and as such, the albedo 395 calculated from coarse spatial resolution MODIS pixels over high burn severity area is lower 396 than the values determined by the individual 30 m Landsat-8 pixels classified as high burn 397 severity areas. Thus, fine spatial resolution albedo enhances the ability to detect the post-fire 398 vegetation dynamics at a full range of burn severity levels. In addition, as fire severity is 399 expected to increase, this finer resolution data set allows more detailed projections and modeling 400 of severity effects on the landscape scale.

401

402 The heterogeneity in albedo and the link to burn severity and vegetation is further evident in an 403 8.4 km transect analyzed at site #10 (Figure 2). The pre-fire and post-fire albedo values and 404 corresponding severity classes along this transect are shown in Figure 10. The full expression 405 shortwave blue sky albedo varies from less than 0.1 to greater than 0.7. This range in albedo 406 occurs within a 450m segment of the transect (Figure 2, Segment A), indicating very high spatial 407 heterogeneity. This heterogeneity is driven by a combination of landscape characteristics, fire 408 severity and vegetation dynamics post fire. For instance, in the last 900m of the 8.4km transect 409 (Figure 2, Figure 10, Segment B), the pre-fire snow albedo values are relatively stable without

410 demonstrating a significant trend and the albedo values of most pixels are within a range of 0.2-411 0.3 while post-fire snow albedo values increase from 0.30 to 0.65. This segment is completely 412 within an area classified as associated with a high burn severity and suggests that although burn 413 severity is certainly a driver of post-fire albedo, significant variation in snow albedo within each 414 burn severity class still exists. Thus the variability of burned fraction can be large within the 415 same burn severity designation (Veraverbeke et. al 2014) which can contribute a variation of 416 albedo associated with that burn severity. Within each burn severity class, albedo variation is 417 primarily driven by vegetation dynamics and the extent of surface snow exposure as post-fire 418 vegetation remnants with heights greater than the snow depth strongly influence albedo value 419 (Liu et al., 2005; Amiro et al., 2006; Lyons et al., 2008; O'Halloran et al., 2014). This is also 420 evident in the recovery of less severely burned areas. For instance, the dominant burn condition 421 at site #2, which burned in 1989, was high burn severity; with scattered areas of moderate and 422 low burn severity in the northern and southern ends of the scar (Figure 2). In the northern portion 423 of the scar, these less severely burned areas have low early spring albedos more on par with a 424 forested or unburned land cover ( $\sim 0.3-0.5$ ). We posit that within these less severely burned areas 425 there was less structural disruption and mortality of the standing trees. In contrast, the post-fire 426 albedo over the low and moderate burn severity area in the southern end of the fire scar has a 427 comparatively higher albedo (~0.6-0.7), and a post-fire land cover of shrub and scrub land. These differing post fire albedo patterns show that post-fire vegetation species have a strong 428 429 impact on albedo and that burn severity alone is not a sufficient indicator of recovery dynamics. 430

The effect of different forest types on the characteristics of albedo and burn severity is furtheranalyzed at sites where deciduous and mixed forest classes are present (site #6 and site #9). The

results indicate that within each severity class the pre-fire forest type does not necessarily lead to
a significant difference in post-fire albedo (Table 5). Nevertheless, albedo generally increases
from low burn severity to high burn severity over all these forest types.

436

#### 437 **5.3 Post-fire albedo temporal variation**

438 Fire and fire severity play an important role in early spring albedo values, which influences 439 snowmelt and localized atmospheric warming. Post fire albedo recovery within a fire scar are 440 impacted both by burn severity and vegetation dynamics. The 2014 early spring albedo values 441 were generally higher in the more recently burned fire scars (2004 and 2010) than in fires that 442 burned prior to 1998 (Figure 11). These differences were primarily driven by the vegetation 443 recovery as grasslands were replaced by more shrub and scrub dominated land covers (Zhang et 444 al., 2013). For sites burned in 2004 or 2010, high severity areas show the highest albedo. Albedo 445 decreased in conjunction with burn severity such that the albedo in moderate and low severity 446 burns exhibited mid-range and low albedo values respectively. The 2004 and 2010 fires sites 447 were used to assess the albedo differences pre and post fire with pre fire albedo calculated from 448 Landsat-5 and Landsat-7 data prior to the fire. The 2004 and 2010 fire sites represent a ten and 449 four year albedo recovery respectively. We found that fire events increase the early spring 450 albedo by 22% - 83%, with the differences between the pre-fire and post-fire mean albedo ranging from 0.09 to 0.24. The albedo changes in the 2004 fires scars (0.16, 0.24, and 0.24 for 451 452 sites #5, #6, and #7 respectively) were greater than the changes seen in the 2010 fires (0.21, 0.09 453 and 0.10 for sites #8, #9 and #10 respectively). Although two dates of pre-fire and post-fire 454 albedo comparison can not provide a full analysis of post fire albedo trajectories (Veraverbeke et 455 al., 2010, 2012; Lhermitte et al., 2010) and the study areas (such as the ten sites burned from

456 year 1984 to 2010 used here) are limited, these post-fire albedo patterns deduced from the
457 Landsat data capture similar trends with those presented in previous studies from MODIS
458 (Randerson et al., 2006; Jin et al., 2012a), and suggest that the difference between pre-fire and
459 post-fire albedo increases with time during the first few years of recovery. This pattern may be
460 driven in part by the continued loss of branches and standing dead boles (Bond-Lamberty and
461 Gower, 2008) in the years immediately post fire.

462

Although the periods are necessarily constrained to DOY 062-094 in 2014 due to the short
lifespan of Landsat-8, differences in snow density, impurities, and grain size between the sites
may also influence the snow albedo (Aoki et al., 2000;Wiscombe & Warren, 1980) and
contribute to the uncertainty of cross-sites comparison, while the early spring albedo values are
dominated by the vegetation status.

468

#### 469 **6** Conclusion

470 The availability of high quality, high SNR Landsat-8 OLI measurements which eliminate the 471 saturation due to 12-bit signal over snow surface improves the analysis of post-fire albedo 472 recovery at the landscape (< 100m) scale; especially during early spring snow covered periods 473 over high burn severity areas where previous 8-bit Landsat-5 and Landsat-7 sensors often 474 saturate. The OLI land surface full expression shortwave blue-sky albedo is generated through 475 the use of narrow-to-broadband conversion coefficients generated from USGS spectral library 476 and ASD measured snow spectra collected in Antarctica. Although tower data are not available 477 for any of the burn sites, the full expression shortwave blue-sky albedo is validated in general at 478 six spatially representative tower sites with an overall accuracy of 0.0267. Post-fire albedo

479 recovery analyses capture similar trends with previous studies, and show that the difference 480 between pre-fire and post-fire albedo increases with time during the first few years of recovery. 481 Both burn severity and early spring albedo show significant post-fire spatial heterogeneity at the 482 landscape-level in the high latitude boreal forests of Alaska. We found that the albedo can range 483 from 0.1 to 0.7 or higher within a single MODIS gridded pixel. The post-fire albedo values are 484 highly related to burn severity classification with snow albedo post-fire generally increasing with 485 severity class. Early spring mean albedo from Landsat-8 data in areas of high burn severity is 486 0.60 for the sites burned in 2004 and 2010. This is larger than the values obtained from MODIS 487 scale resolution albedo at similar locations. This occurs because the MODIS pixel, although 488 classified as predominantly high burn severity, may still include low or moderate severity burn 489 areas due to high spatial heterogeneity. This results in an overall lower MODIS albedo value for 490 high severity burned areas as revealed by the 30 m Landsat-8 albedo values when compared at a 491 landscape scale. Thus, the ability to generate fine spatial resolution albedo from the high quality 492 Landsat-8 data enhances the ability to detect the post-fire vegetation patterns at different burn 493 severity levels.

494

Changes in albedo and land cover in boreal systems post-fire persist for long periods of time and the cumulative effect of these changes can greatly influence the energy balance. Long term fine spatial resolution land cover datasets are necessary to monitor the post-fire vegetation and determine albedo recovery. The impact of topography, soil type and the impact of these land cover and burn severity datasets on post-fire energy budgets should be further analyzed. Early spring albedo is highly influenced by the canopy status above the snow depth. Therefore, the use

of airborne Lidar data, which provides high quality aboveground canopy height, would also
significantly benefit future post-fire energy balance and ecosystem studies.

However, this study demonstrates that the continued Landsat-8 data record, along with access to
the new, even higher resolution, Sentinel-2 data, will significantly further a deeper understanding
of these high latitude systems.

506

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519

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- 857 List of Figure Captions:
- 858
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#### **Tables:**

Table 1. Characteristics of boreal forest study areas

Site	Year	Post-fire	Pre-fire	Landsat	Fire scar	Land type (before
name	burned	Landsat data	Landsat data	scene	size (km <sup>2</sup> )	burned)
Site #1	1984	L8 <sup>§</sup> /2014086	N/A	p75r15	25.64*	Evergreen forest
Site #2	1989	L8/2014079	N/A	p74r17	35.34	Evergreen forest
Site #3	1994	L8/2014062	N/A	p67r15	86.41	Evergreen forest
Site #4	1998	L8/2014085	N/A	p68r15	255.47	Deciduous, evergreen forest
Site #5	2004	L8/2014078	L7/2002069	p67r16	143.24	Evergreen forest
Site #6	2004	L8/2014092	L7/2003070	p69r13	363.64	Mixed forest, evergreen forest, deciduous forest
Site #7	2004	L8/2014094	L7/2002069	p67r15	744.71	Evergreen forest
Site #8	2010	L8/2014088	L7/2010085	p73r13	125.40	Evergreen forest
Site #9	2010	L8/2014081	L5/2009083	p72r16	157.07	Dominated by evergreen forest
Site #10	2010	L8/2014090	L7/2003068	p71r14	75.38	Evergreen forest, mixed forest

\*Subset fire scar area used for this study (Figure 2) \$L5 represents Landsat-5; L8 represents Landsat-8; L7 represents Landsat-7

#### Table 2. Characteristics of ground measurements for Landsat-8 albedo validation

Name	Network	Latitude	Longitude	Land Cover	Tower Height/ Footprint Diameter (m)	Instrumen tation	Number of Landsat-8 images(sno w/snow- free)
Barrow	BSRN	71.323°N	156.626°W	Tundra	4/50.5	Kipp and Zonen albedomete rs	8/7
Imnavait	AON	68.613°N	149.312°W	Tussock Tundra	2.5/30	Eppley Pyranomet er	9/5
Sioux Falls	SURFRAD	43.734°N	96.623°W	Grassland	10/127	Eppley Pyranomet er	4/17
Table Mountain (Boulder)	SURFRAD	40.126°N	105.238°W	Grassland	10/127	Eppley Pyranomter	2/38
Morgan Monroe State Forest Indiana	Ameriflux	39.323°N	86.413°W	Deciduous Broadleaf Forest	48/610	Kipp and Zonen albedomete rs	2/16
Howland West forest	Ameriflux	45.209°N	68.747°W	Evergreen Needlelea f Forest	30/366	Kipp and Zonen albedomete rs	3/6

### 1000 Table 3. Narrowband to broadband conversion coefficients for Landsat-8

	Band2	Band3	Band4	Band5	Band6	Band7	Constant
Snow free VIS	0.5621	0.1479	0.2512				-0.0015
Snow free NIR				0.5911	0.3155	0.0731	0.0019
Snow free SW	0.2453	0.0508	0.1804	0.3081	0.1332	0.0521	0.0011
Snow VIS	0.7536	0.3244	-0.0780				-0.0063
Snow NIR				0.6034	0.0039	0.8897	-0.0198
Snow SW	1.2242	-0.4318	-0.3446	0.3367	0.1834	0.2555	-0.0052

## 

1003 Table 4. RMSE and bias of the full expression blue sky albedo at Landsat-8 validation sites

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Land Cover Forest (n*=27)		n*=27)	Tundra/Gras	s (n=90)	All (n=117)					
Season	Snow	Snow-Free	Snow	Snow-Free	Snow	Snow-Free				
RMSE	0.0114	0.0207	0.0467	0.0185	0.0426	0.0191				
BIAS	0.0074	0.0168	-0.0001	-0.0006	-0.0013	0.0037				
*Number of Landsat-8 images for validation										

1009 Table 5. Characteristics of shortwave full expression blue sky albedo and burn severity over

1010 different forest types at site #6 and site #9

			Mean albedo	Percentage of low burn	Mean albedo for	Percentage of moderate burn	Mean albedo	Percentage of high burn
a.	D C	Pre-	for low	severity	moderate	severity	for high	severity
Site	Pre-fire	fire	burn	pixels	burn	pixels	burn	pixels
name	land type	albedo	severity	(100%)	severity	(100%)	severity	(100%)
	Evergreen forest	0.32	0.56	34.06	0.63	56.14	0.62	9.81
	Deciduous							
Site	forest	0.38	0.53	19.69	0.61	70.58	0.64	9.73
#6	Mixed							
	forest	0.33	0.52	26.01	0.62	63.51	0.62	10.48
	Evergreen							
Site	forest	0.39	0.45	12.99	0.50	50.38	0.53	36.63
#9	Mixed							
	forest	0.45	0.50	18.75	0.53	47.16	0.57	34.08