Validation of GlobSnow-2 snow water equivalent over Eastern Canada

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Abstract: In Québec, Eastern Canada, snowmelt runoff contributes more than 30% of the annual energy reserve for hydroelectricity production, and uncertainties in annual maximum snow water equivalent (SWE) over the region are one of the main constraints for improved hydrological forecasting. Current satellite-based methods for mapping SWE over Québec's main hydropower basins do not meet Hydro-Québec operational requirements for SWE accuracies with less than 15% error. This paper assesses the accuracy of the GlobSnow-2 (GS-2) SWE product, which combines microwave satellite data and *in situ* measurements, for hydrological applications in Québec. GS-2 SWE values for a 30-year period (1980 to 2009) were compared with space- and time-matched values from a comprehensive dataset of *in situ* SWE measurements (a total of 38 990 observations in Eastern Canada). The root mean square error (RMSE) of the GS-2 SWE product is 94.1 ± 20.3 mm, corresponding to an overall relative percentage error (RPE) of 35.9%. The main sources of uncertainty are wet and deep snow conditions (when SWE is higher than 150 mm), and forest cover type. However, compared to a typical stand-alone brightness temperature channel

difference algorithm, the assimilation of surface information in the GS-2 algorithm clearly improves SWE accuracy by reducing the RPE by about 30%. Comparison of trends in annual mean and maximum SWE between surface observations and GS-2 over 1980-2009 showed agreement for increasing trends over southern Québec, but less agreement on the sign and magnitude of trends over northern Québec. Extended at a continental scale, the GS-2 SWE trends highlight a strong regional variability.

Keywords: GlobSnow-2, passive microwave, *in situ* SWE measurements, Eastern Canada, land cover, water resources.

1. Introduction

Temperatures in Eastern Canada are expected to increase 2 to 4 degrees by 2050, which would result in a shorter snow period (SWIPA, 2011; Ouranos, 2015). Zhang et al. (2011) showed that while maximum snow depths in southern Canada can be expected to decrease as less cold-season precipitation falls in the form of snow, snowfall at high northern latitudes may increase by more than 10% in response to global warming (Räisänen, 2007; Brown and Mote, 2009; Brown, 2010). Seasonal snow cover has a strong impact on climatological and hydrological processes (Schultz and Barrett 1989; Albert et al., 1993). In the coming years, a good understanding of these trends will be needed to both improve long-term flow rate monitoring, and to address the significant economic impacts.

In Québec, Eastern Canada, one of the key variables in streamflow forecasting is the snow water equivalent (SWE), which describes the amount of water stored in the snowpack. For example, 1 mm of SWE in the headwaters of the Caniapiscau-La Grande hydro corridor (Québec) could represent \$1M in hydroelectric power production 57 (Brown and Tabsoba, 2007). Optimal management of the snowmelt contribution to 58 hydroelectric production requires accurate estimates of peak snow accumulation prior 59 to spring melt (Turcotte et al. 2010). This is one of the main challenges for hydrological 60 forecasting particularly over large remote watersheds. Current operational runoff 61 forecast systems typically rely on surface snow surveys to determine pre-melt SWE, 62 which can be supplemented with geostatistical interpolation procedures to provide a 63 more detailed estimate of the spatial pattern (e.g. Tapsoba et al 2005). 64 However, manual snow surveys are time-consuming and expensive which make 65 SWE estimation from satellite passive microwave (PMW) sensors an attractive option. 66 PMW sensors also offer advantages of all weather and all year coverage at good 67 temporal (daily) and moderate spatial (~25 km) resolution. The basic physics behind 68 PMW SWE retrievals is that the natural emission measured by satellite-borne 69 microwave radiometers, expressed as brightness temperature (T_B), is characterized by 70 a high sensitivity to the volume of snow (Chang et al., 1987; Matzler, 1994; Tedesco et 71 al., 2004). By performing multi-frequency combinations of measured T_B (typically at 19 72 and 37 GHz), the SWE can be estimated (Hallikainen and Jolma, 1992; Pullianen and 73 Hallikainen, 2001; Parde et al., 2007; De Sève et al., 2007). However, this frequency range 74 is resolved over relatively coarse spatial resolutions (~20 km). In Québec, factors such 75 as the forest canopy, snow grain size (depth hoar), ice crust and lakes can have a strong 76 impact on emission measured by satellite sensors and can cause high uncertainties in 77 SWE estimates (up to 50% in boreal areas, Chang et al., 1996; Roy et al., 2004; Roy et al., 78 2010; 2012; 2015; Vachon et al., 2012). Several methods have been developed to constrain 79 PMW SWE estimates by assimilating the T_B information into a snow model (Durand et 80 al., 2009; DeChant and Moradkhani, 2011; Touré et al., 2011; Vachon et al., 2015). 81 In order to directly assimilate satellite-measured snow emission, Pulliainen (2006) 82 proposed a technique that simulates PMW data by using ground-based snow depth 83 measurements and a radiative transfer model. This assimilation protocol was integrated

into the European Space Agency's (ESA) GlobSnow project to estimate daily SWE time series from 1979 to 2014 over the Northern Hemisphere (Takala et al. 2011, Luojus et al., 2010). This historical dataset is freely available through the GlobSnow website (www.globsnow.info, the database is regularly updated), and its gridded SWE data is potentially of great interest to hydrological forecasters in Québec. In particular, Hydro-Québec (HQ) decision makers have a need to better characterize the variability of snow cover over watersheds to improve the performance of hydrological models. However, while the GlobSnow-2 (GS-2) SWE product has been validated in Canada and globally in previous studies (e.g. Hancock et al., 2013; Mudryk et al., 2015); its performance over Eastern Canada has never been studied in detail.

The main purpose of this paper is to analyze GS-2 SWE values over an eco-climatic and latitudinal gradient in Eastern Canada over a 30-year period to determine whether it is accurate enough for hydrological applications, i.e., if the relative error in SWE is lower than 15% which is the accuracy level required by HQ observing systems. The CoreH20 satellite mission also set a performance objective at 15% (Rott et al., 2010), and the ESA GS-2 project aimed to provide SWE maps for the Northern Hemisphere with a root mean square error (RMSE) lower than 40 mm, i.e., an accuracy of 15% (Luojus et al., 2014). As part of the evaluation, we also investigate the interannual variability and trends in GS-2 SWE to determine its utility for hydroclimate monitoring. A unique aspect to the evaluation is the use of a large database of 34 513 *in situ* SWE observations covering the period of 1980 to 2009. These data were obtained from regular snow surveys and field campaigns and are independent of the surface snow depth observations assimilated into GS-2.

The four main goals of the paper are:

1. To determine if GS-2 performance meets HQ accuracy requirements, and to analyse the global annual performance variability.

- 2. To evaluate the performance of GS-2 as a function of the various land cover types found over Eastern Canada (i.e. tundra, coniferous forest, mixed forest, deciduous forest). Biases due to wet and deep snow conditions are analysed and removed in order to only characterize the impacts of the land cover on the snow distribution over HQ's watersheds.
 - 3. To determine the impact of assimilating surface observations into GS-2 compared to the AMSR-E typical stand-alone PMW SWE algorithm (Tedesco et al, 2004).
 - 4. To compare trends in annual mean and maximum SWE over the 1980-2009 time period from surface observations and GS-2 to estimate the reliability of the GS-2 product for hydro-climate monitoring. To complete this analysis, the spatial variability of the trend of GS-2 maximum SWE anomalies is computed per pixel over North America.

2. Methods and data

124 2.1. Study area

The study area is located in Eastern Canada, between latitudes 45°N and 58°N (Fig. 1a). This region is characterized by significant snow cover and eco-climatic gradients: mean snow cover duration ranges on average from 120-240 days over the region (Brown, 2010), and vegetation ranges from open field, mixed forest, boreal forest and tundra moving north. Land cover was studied with the Land Cover Map of Canada (LCM, 2005), which has a spatial resolution of 1 km. Since the GS-2 SWE product was produced on the Northern Hemisphere Equal-Area Scalable Earth Grid (EASE-Grid), at a nominal resolution of 25x25-km (Armstrong et al., 1994), each EASE-Grid cell was classified according to its major fraction of land cover type in order to evaluate the contribution of the land cover (Fig. 1b). Table 1 presents the land cover classes used (seven in total) and the number of SWE measurements contained in the two databases

used (see Section 3). The Herbaceous class represents the open areas (crops) in southern Québec and dense forest areas were divided into three classes (coniferous, deciduous and mixed forest classes). The mixed forest class includes coniferous and deciduous forests, with both fractions greater than 30%. The Tundra class and the Northern open coniferous forest classES were grouped together to study the northern areas. Fig. 1b illustrates the aggregated land cover classification over Eastern Canada. SWE measurements located in an EASE-Grid cell with a predominantly urban fraction were removed to focus on natural surfaces.

The Global 30 Arc-Second Elevation (GTOPO30) dataset was used to compute the mean elevation of 25-km EASE-Grid cells to investigate the potential impact of topography when comparing *in situ* SWE observations to GS-2 grid averages.

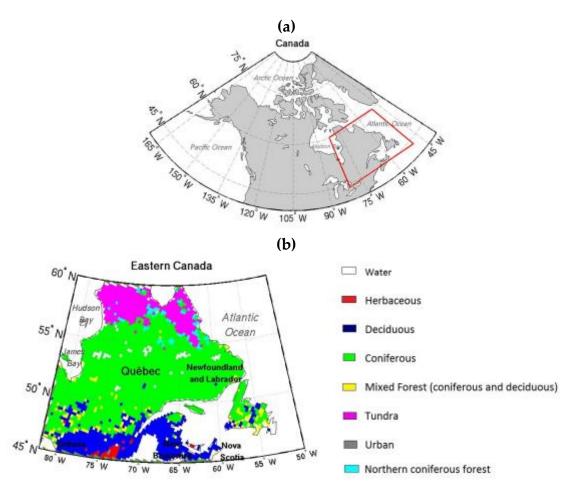


Fig. 1. (a) Location map of the study region (Eastern Canada); (b) Land Cover Map (LCM, 2005) classification for Eastern Canada aggregated into eight classes and on the 25x25-km EASE-Grid projection.

Table 1

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Details of the land cover classification and of the number of SWE measurements from 1980 to 2009 (maj. = majority land cover type in the pixel) from the three main databases used in the present study: Database 1 is the complete in situ database, Database 2 has SWE values < 150mm and Database 3 is a subset of

156 January-February SWE values < 150mm (see Sect. 2.5-B).

Areas	Water	Open areas	Dense forest	areas	Northern	areas	Total	
Land cover	Water	Herbaceous	Deciduous	Coniferous	Mixed Forest	Tundra	Northern coniferous forest	ı
Fractions: maj.	Water	Herbaceous	Deciduous	Coniferous	Conif. and Decid.	Tundra	Coniferous and Tundra	-
Number of SWE measurements in Database 1	526	2 420	17 702	11 963	1 748	7	147	34513
Number of SWE measurements in Database 2	338	2 215	11 640	3 575	951	4	104	18827
Number of SWE measurements in Database 3	167	1 336	5 771	1 652	463	4	43	9436

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2.2. Reference measurements

This study grouped a unique historical database of ground-based SWE measurements (SWEgb) from HQ (21 552 observations), the Meteorological Service of Canada (MSC) and the MDDEP (Ministère du Développement Durable, de l'Environnement et des Parcs du Québec, Québec) (17 389 observations). The dataset covers Eastern Canada, which includes the provinces of Québec, Nova Scotia, Newfoundland and Labrador, New Brunswick and Ontario (Figs. 1b and 2). About 1 163 stations were monitored every year from 1980 to 2009 (38 990 measurements). More specifically, the MSC conducted bi-monthly field surveys to estimate SWE through snow line from 1980 to 2003 (MSC, 2000; Brown 2007, 2010). In parallel, the MDDEP and HQ conducted field measurements at the end of each month from January to May plus mid-March, April and May to measure SWE, snow depth and density from 1980 to 2009 (Turcotte et al., 2007). The dataset used also observations acquired during specific, short field campaigns by the University of Sherbrooke (49 SWE observations). In 2008, a 2000-km north-south snow measurement transect was carried out across Québec, from taiga to boreal forest, for the International Polar Year (Langlois et al., 2010). Two other field campaigns were also carried out in March 2003 and 2009 (Langlois et al., 2010, 2012).

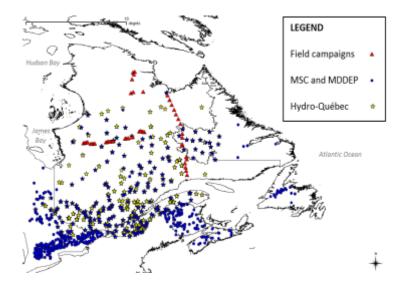


Fig. 2. Location of snow courses in the *in situ* SWE database (1980 to 2009). The blue stars are the superposition of the Hydro-Québec (yellow stars) and MSC/MDDEP snow surveys (blue points), sometimes taken at the same station over the 30-years period.

2.3. GlobSnow-2 SWE product

The GS-2 project provides SWE daily time series from 1979 to present, projected into the EASE-Grid by combining surface observations of snow depth (SD) in the PMW SWE retrieval (Takala et al. 2011). Takala (2011) describes the GS-2 SWE product in details, therefore only a brief description of the methodology is given here. The single layer HUT snow emission model is used to simulate T_B at each surface observation where SWE values are estimated from the observed SD, by assuming a constant snow density, and the HUT simulated T_B are assimilated with satellite observed T_B values by optimizing the effective snow grains sizes. Maps of the observed SD and the effective

snow grains sizes, produced by ordinary kriging interpolation to the 25-km EASE-Grid projection, are used to initialize the HUT model for each EASE-Grid cell and to generate gridded T_B simulations. The simulations are then assimilated with space-borne radiometer measurements by using adaptive weights on the observations according to their spatial and temporal variances (Pulliainen, 2006), and a map of SWE is obtained. A dry snow mask for each snow cover season is applied to the satellite radiometer data using the dry snow detection algorithm of Hall et al. (2002), as well as a mask to grid cells with more than 50% open water. The performance of this product is thus strongly linked to the spatial and temporal distributions of the SD observations used as input in the kriging tool that provides the gridded estimates of SD used in the retrieval. Fig. 3 shows the mean distance between SD observations used by GS-2 and 25-km EASE-Grid cells, from 1980 to 2012 (R. Brown, personal communication, 2016). Over Eastern Canada, we can see that there are major data gaps in the SD information over central and northern regions (distances higher than 200 km). Note that the data used for the evaluation of the present study are totally independent of those described in Fig. 3 and used by the GS-2 project.

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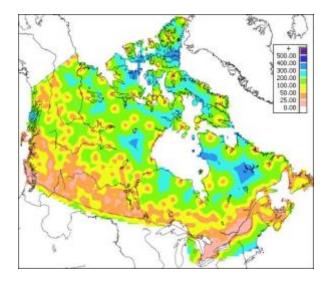
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Fig. 3. Mean distance (in kilometers) between SD observations used by GS-2 project and EASE-Grid cells on which the GS-2 SWE are projected. The SD observations are those used by GS-2 from 1980 to 2012 (R. Brown, personal communication, 2016).

This product uses daily T_B (at 19 and 37 GHz in vertical polarization) from different satellite sensors: SMMR from 1979 to 1987, SSM/I from 1987 to 2009, and SSMIS from 2010 to the present. The inter-sensor bias in the satellite time series is not corrected (Takala et al., 2011) whereas previous studies have shown significant systematic biases in the T_B for the SMMR and SSM/I and SSM/IS sensors (see Bjørgo et al., 1997; Derksen et al., 2003; Royer and Poirier, 2010; André et al., 2015). The average SWE was estimated for each satellite sensor time period: the SWEGS over southern Québec (south 50N) was equal to 102.1 mm and to 151.6 mm for northern Québec (above the 50th parallel north) for the 1980-1987 SMMR time period, and to 84.1 mm (144.2 mm) for the 1987-2009 SSM/I time period. The difference between the two mean SWE (over the SMMR period and over the SSM/I period) was around 10 mm with the GS-2 product and equal to 6 mm with the observations. The T_B changes between sensors, and while the pre-1987 SMMR data were expected to be less accurate, it appeared that the assimilation scheme may have compensated for them, leading to inter-sensor effects that were not statistically significant. Therefore, the inter-sensor bias was not taking into account for the analysis of the annual mean and maximum SWE trends.

229 2.4. AMSR-E SWE product

To evaluate the improvement associated with assimilating surface observations in the SWE retrieval, the GS-2 product was compared with the stand-alone AMSR-E PMW SWE product, distributed by NSIDC. For this inter-comparison, we used the AMSR-E Level-3 daily SWE time series (SWEAMSRE) on the Northern Hemisphere EASE-Grid projection, with a spatial resolution of 25x25 km (Tedesco et al., 2004). This product is available on the NSIDC website from June 2002 to October 2011, and is described in detail by Kelly et al. (2003) and Kelly (2009). The SD is estimated by the attenuation between T_B at 19 and 37 GHz and forest cover using the approach described in Chang et al. (1987). Daily SWEAMSRE values are then derived from microwave-retrieved SD and ancillary snow density data.

2.5. Stratification of the evaluation data with different criteria

Before analyzing the forest cover impacts on the GS-2 product, the complete database has been used to evaluate the GS-2 product and then stratified with different criteria in order to study the importance of biases due to wet and deep snow conditions in the Québec environment.

A) Matched measured and satellite-derived SWE values, 'Database 1': The GS-2 SWE product (SWEGS) and the ground-based SWE measurements (SWEgb) had to be matched in space and time (daily), and coastal areas had to be avoided. When a SWEGS value was available, if there was more than one *in situ* measurement located within the same EASE-Grid they were averaged to get only one ground-truth value per cell and per date for comparison with the associated SWEGS daily value. The initial complete database included 38 990 SWE measurements. According to the 4 477 cases (11.5% of the initial database) where we had more than one SWE observation for a same date and a same grid cell and where we applied averaging, the mean standard deviation of SWE measurements in a grid cell was 14.3 mm. A total of 34 513 matched SWE samples

remained after this procedure and this database, called 'Database 1', was used to quantify the global performances of the GS-2 SWE product.

B) Database without deep snow conditions, 'Database 2': It is well known that PMW SWE retrievals are underestimated under deep snow conditions (when SWE exceeds ~150 mm) because the snowpack transitions from a scattering medium to a source of emission due to the limited penetration depth at 37 GHz (Matzler et al., 1982; Mätzler, 1994; De Sève et al., 1997; De Sève et al., 2007; Luojus et al., 2010; Langlois et al., 2012). The exact value of this limit varies according to the snow grain size and stratification of the snow pack. Previous studies have shown that for the GS-2 SWE product, 150 mm was the critical threshold with Canadian reference datasets (Luojus et al., 2014). Fig. 4 illustrates that this detection limit was well defined at 150 mm for the present study area and beyond this value, SWEGS values are significantly underestimated. The 'Database 2' regrouped all the data with SWEgb below 150 mm in order to minimize the bias caused by the saturation of the penetration depth at 37 GHz in deep snow conditions (18 827 SWE data left from the 'Database 1').



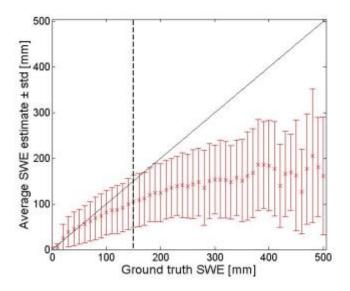


Fig. 4. GS-2 SWE product estimates as a function of *in situ* SWE measurements. The black vertical dotted line represents the saturation limit defined for this study. The Y=X line is also plotted in black.

C) Database without deep, shallow and wet snow conditions, 'Database 3': Eastern Canada is characterized by strong variability in the duration of seasonal snow cover according to latitude. Although the GS-2 SWE product is combined with a melt detection algorithm (Takala et al., 2009), uncertainties may persist in autumn (period from October to December) and later in spring (from Mars to June) because of difficulties in using radiometer data when a thin snow layer or wet snow exists (Klehmet et al., 2013). The performance of GS-2 in different snow climate regimes was carried out using the Sturm et al. (1995) seasonal snow classification. Fig. 5 shows the Sturm classification results with the Database 2 (SWEgb < 150 mm) and Fig. 6 illustrates that the monthly bias is minimized for each snow category for the months of January and February. The evaluation database was then further stratified to include observations from January-February only to remove possible contamination from shallow or wet snow. This database, called 'Database 3' (9 436 SWE samples left from the Database 2), was used for the final analysis of the forest cover impacts.

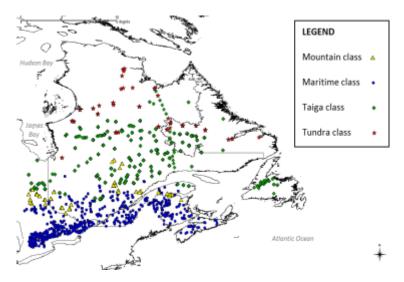


Fig. 5. Corresponding seasonal snow classification, based on Sturm et al. (1995), of the ground-based SWE measurements with the database without $SWE_{gb}>150$ mm.

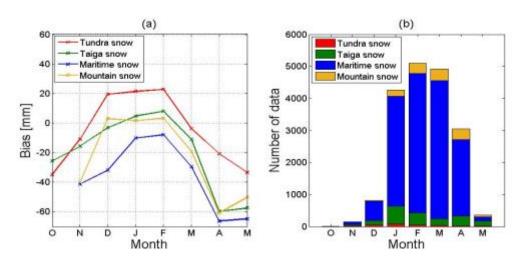


Fig. 6. Analysis for the dataset with SWE_{gb}<150mm and over the October to May period (1980-2009): (a) Monthly biases (SWE_{GS} - SWE_{gb}) according to the Sturm et al. (1995) seasonal snow classification; (b) Number of data points (SWE_{gb}) for each month by snow category: tundra (red), taiga (green), maritime snow (blue) and mountain snow (yellow).

Several metrics were used to evaluate the GS-2 algorithm. Differences between estimated and measured SWE (n cases) were analyzed using root-mean-squared-error (RMSE), unbiased RMSE (URMSE), standard deviation (STD), bias and the mean relative percentage of error (RPE) as validation metrics (Table 2).

Table 2
 Validation metrics with j=year and i=1... n (number of SWE measurements per year).

Ground-ba measurem annual star deviation	ents and		$SWE_{gb,j,i} = y_{j,i}$	$STD_{gb,j} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (y_{j,i} - \bar{y}_{j})^{2}}$			
GlobSnow product an standard d	d annual		$SWE_{GS, j, i} = x_{j, i}$	$STD_{GS,j} = \sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_{j,i} - 1)^n}$	$\overline{x_j})^2$		
Metrics	$BIAS_{j} = \frac{1}{n} \sum_{i=1}^{n} [x_{j,i}]$	$-y_{j,i}]$	$RMSE_{j}$ $= \sqrt{\frac{1}{n} \sum_{i=1}^{n} \left[\left(x_{j,i} - y_{j,i} \right) \right]^{2}}$	unbiaised RMSE _j $= \sqrt{\frac{1}{n} \sum_{i=1}^{n} \left[\left(x_{j,i} - \bar{x}_{j} \right) - \left(y_{j,i} - \bar{y}_{j} \right) \right]^{2}}$	Relative Percentage Error (RPE) = $100.\frac{ BIAS }{SWE_{gb}}$		

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2.6. Analysis of the annual mean and maximum SWE anomaly trends

Many recent studies have investigated possible annual mean and maximum SWE trends to analyse the evolution of the seasonal snow cover and have shown that global and regional warming have led to changes in snow accumulation, including declines and earlier dates of maximum SWE in many regions of the northern hemisphere (Mote et al., 2005; Stewart et al., 2005; Vikhamar-Schuler et al., 2006; Brown and Mote, 2009; SeNorge et al., 2009; Urban et al., 2014). To evaluate if the long-term time series of the GS-2 SWE product can be used for a long-term flow rate monitoring, tendencies obtained with the observed ground-based SWE and the GS-2 SWE over the same period (from 1980 to 2009) were compared, both for northern (Coniferous and Tundra classes) and southern Québec (Deciduous and Herbaceous classes). To avoid biases possibly caused by variability in the annual number of SWE measurements, and to improve the homogeneity of the dataset, only the HQ database was used in this section since it is the only one which extends from 1980 to 2009 over a December-March period ('Database 4', total of 13 999 SWEgb from the Database 1). In addition, to compare trends without statistical noise due to local climatic differences, the anomalies are estimated by subtracting the annual mean variable by its overall average (over the 30-year time period). Linear regression was used to analyze SWE trends over the 1980-2009 period with statistical significance assessed via a t-test at the 0.05 level.

The annual maximum SWE anomalies (noted SWE_{max}) are also of great interest to study the frequency of extremes and for hydrological purposes since they determine the water that will be released during spring runoff (Seidel and Martinec, 2004; Vachon et al., 2010). To study the SWE_{max} anomaly trends (departures from the 1980-2009 average) without being biased by abnormal extreme values, the annual SWE_{max} values were calculated as anomalies from the average of the five highest annual SWE estimated from December to March (with the Database 4).

Climate models suggest an increase of the maximum snow accumulation over southern Canada and a decrease over the tundra area in response to global warming (Brown and Mote, 2009; Zhang et al., 2011). In order to assess the spatial variability of GS-2 trends, the linear trend of the annual SWE_{max,GB} anomalies (for the DJFM period, departures from the 1980-2009 average) has been computed per pixel at a continental scale (i.e. North America).

3. Results

3.1. GlobSnow-2 Data analysis

<u>A) With the complete database:</u> The results of the evaluation for the entire set of observations are provided in Table 3. With the Database 1, the unbiased RMSE and the bias are respectively equal to 76.5 mm and -54.8 mm (PE of 35.9%) which greatly exceeds HQ accuracy requirement of 15%. Nevertheless, as discussed in Sections 3.5 and 3.6, this product can provide useful spatial and temporal information to improve our knowledge on the seasonal snow cover trends, and therefore on the long-term flow rate monitoring to improve the performance of hydrological models (Hancock et al. 2013; Berezowski et al. 2015, Sospedra-Alfonso et al. 2016).

Table 3
Statistical results for the entire dataset (Database 1), for cases without high SWE_{gb} (SWE_{gb}<150mm, Database 2), and for cases with deep SWE_{gb} only (SWE_{gb}>150mm). The units for all statistics are mm.

	Number of data points	Mean SWE _{GS}		STD SWEGS	STD SWEgb	Unbiased RMSE (mm)	Bias (mm)	RMSE (mm)
Database 1: Entire dataset	34 513	97.8	152.6	66.8	83.4	76.5	-54.8	94.1
Database 2: With SWE _{gb} <150mm	18 827	71.1	91.3	50.2	34.7	49.0	-20.2	53.0
With SWEgb>150mm only	15 686	129.6	225.2	69.9	63.0	82.8	-95.6	126.5

B) Effects of deep snow conditions: Table 3 shows the statistical results for GS-2 SWE product with SWE observations above and below the 150 mm upper detection limit for GS-2. With the Database 2, the overall unbiased RMSE (bias) is equal to 49 mm (-20.2 mm, RPE = 22%), whereas it reaches 82.8 mm (-95.6 mm) with SWE_{gb}>150mm. The errors measured under deep snow conditions are also strongly linked to the fixed snow density whereas the snowpack is often denser (Takala et al., 2011). In Eastern Canada, SWE measurements below 150 mm accounted for 55% of the dataset and this saturation can be highly significant, especially at the end of winter.

C) Effects of shallow and wet snow conditions: Table 4 presents the seasonal statistics for the three main time periods of interest from the Database 2 (fall, winter and spring). Even if the unbiased RMSE remains relatively similar (between 43 and 47 mm) regardless the period, the bias is considerably reduced with the Database 3, i-e for the January-February period (-2.7 mm compared to -20.2 mm for the whole period with the Database 2).

Table 4
 Seasonal statistics for the three main time periods of interest: fall (October-November-December), winter
 (January-February), spring (Mars-April-May-June). The entire winter period (D-J-F-M: from December to March) is also studied. The database used is the one without high SWEgb (Database 2: SWEgb<150 mm).

Time Period	Number of data points	Mean SWE _{GS}	Mean SWEgb	STD SWEgs	STD SWEgb	Unbiased RMSE (mm)	Biases (mm)	RMSE (mm)
Database 2: Annual with SWEgb < 150 mm	18 827	71.1	91.3	50.2	34.7	49.0	-20.2	53.0
Fall (O-N-D)	552	44.7	63.4	50.6	30.4	43.4	-18.7	47.3
Spring (M-A-M-J)	8 839	58.1	97.2	48.5	34.3	47.1	-39.1	61.2
Database 3: Winter (J-F)	9 436	84.7	87.4	48.0	34.0	44.3	-2.7	44.4
Winter (D-J-F-M)	15 317	80.7	91.2	48.5	34.7	45.9	-10.6	47.1

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3.2. Global performance variability

To analyze the accuracy of the GS-2 SWE product without the limit cases potentially caused by shallow, deep and wet snow conditions, we assessed the time variability of the GS-2 with the three databases described in section 3.5. Fig. 7 shows the global statistics for each database. The overall URMSE and bias obtained with the Database 1 are 76.5 mm and -54.8 mm respectively, corresponding to a percentage of error of 36 % (Table 3). With the Database 3, by taking SWE_{gb} < 150 mm over January-February only, the inter-annual variability in the uncertainty (URMSE) is reduced by - 42% to 44.3 mm, and the bias is reduced by -95% to -2.7 mm (RPE of 3.1%, Table 4). The observed interannual variability corresponds to variations in meteorological conditions, mainly fall and spring melt periods, as well as years with deeper snowpacks (Fig. 7c). Even if the reference ground-based stations are relatively well distributed over the southern part of the studied area (Fig. 2), the variations in Fig. 7 could also possibly be affected by monthly and yearly variations in the number of stations in the different databases (see Fig. 6). The database includes a peak of data collection between 1984 and 2002 (around 1500 SWE measurements per year), with a reduction in field measurements before and after (< 1000 data/year) (e.g. Brown, 2010). A high bias appears for the 2004-2009 period, for which we only have HQ data. However, the HQ dataset has the best

spatial distribution of the datasets used in this study (Fig. 2). Furthermore, this period corresponds to a high mean SWE measured value (Fig. 7c).

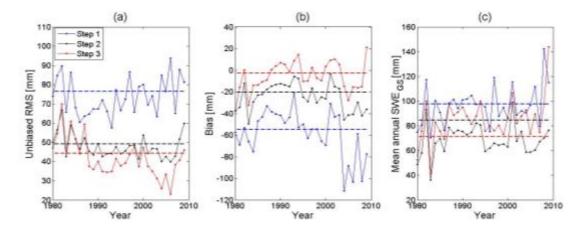


Fig. 7. Global performance statistics for each processing step: the entire dataset with matching data (black, Step 1), only with SWE_{gb} <150mm (blue, Step 2) and over the January-February time period (red, Step 3). The graphs present the inter-annual variability of the unbiased RMSE (a); the inter-annual variability of the bias (b) and the inter-annual variability of the average SWE_{GS} (c). The dotted lines are the average of the time series from 1980 to 2009.

Without the effects of deep and wet snow conditions, the SWEGS reaches the targeted accuracy, with a relative percentage error below 15%. Nevertheless, it appears that even in the most favourable conditions, the RMSE rarely goes below the GS-2 targeted threshold of 40 mm. Comparing point-level measurements to the 25x25 km resolution GS-2 database involves uncertainty due to SWE spatial variations. However, the large number of comparisons performed (34 513 point-level SWE measurements matched with GS-2 pixels) and the random spatial localization of point-level measurements within pixels (for those particular pixels having several matched ground-based measurements) provides a useful assessment of GS-2 results. The estimated average standard deviation of SWE measurements (estimated in Section 2.5), when several data points fall within the same EASE-Grid cell, is relatively low (14.3 mm) compared to the RMSE. In addition, an analysis of SWEGS sensitivity to the distance between the point-level SWE measurements and the center of the associated EASE-Grid cell (not shown) does not exhibit a particular trend.

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3.3. Effects of land cover

Lakes are known to have a different snow cover with thinner and denser snow (wind slab) than surrounding areas (Green et al., 2012; Sturm and Liston, 2003). Moreover, lake ice under snow and its thickness can have a strong impact on the microwave signal (Kang et al., 2010). Nevertheless, the GlobSnow-2 SWE product includes a mask applied for grid cells with more than 50% fraction of open water and an analysis of the effects of lake fraction and topography (not shown) found no evidence that either of these played a significant role in the evaluation results (P-values < 0.001). The forest cover fraction can also have a strong impact on the seasonal snow distribution in boreal areas (Foster et al., 2005; Derksen et al., 2005; Derksen et al., 2008). Fig. 8 shows the unbiased RMSE (URMSE) according to the forest cover fraction (= deciduous fraction + coniferous fraction) and estimated with the Database 3. The URMSE is fitted with a simple quadratic function to show the general shape. There is a significant upward trend of the URMSE according to the percentage of forest in an EASE-Grid cell of 25x25 km of resolution. In forested areas, uncertainties are mostly due to snow-vegetation interactions that strongly affect snow cover variability (especially with different types of forests) and the vegetation contribution (emission and transmission), which are difficult to model precisely in an inversion scheme (Roy et al., 2012; Vachon et al., 2012). The SWE data included in grid cells with more than 90% forest cover represent 38% of the observations and have a URMSE higher than 50 mm.

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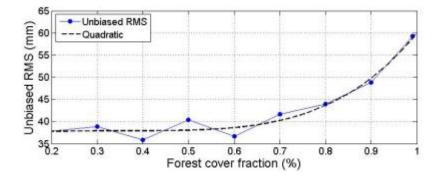


Fig. 8. Unbiased RMS according to the forest cover fraction (in %). The unbiased RMS is fitted with a simple quadratic function (black dotted line).

The SWEGS values were compared to the ground-based measurements for each land cover category (Fig. 9). A summary of the SWEcs sensitivities is provided in Table 5. By only keeping SWE_{gb} < 150 mm collected over the winter period (Database 3), the overall bias of boreal areas is reduced and is particularly low (-2.5 mm) compared to the complete database (-57.9 mm, RPE = 37%). The lowest unbiased RMSE concerns the tundra class (32.2 mm), but this is also the class with the strongest bias. Corrections have been applied in these northern areas with the GS-2 project by using comprehensive ground measurement campaigns in the Northern Territories, Canada (Takala et al., 2011). However, note that the statistics of this class are sensitive to the small amount of data to assess in comparison to other classes. The retrieval uncertainties are highest for the coniferous class, where the unbiased RMSE is 47.6 ± 15.1 mm. This class is characterized by deep boreal forest snow, with an average SWEgb > 100 mm (Table 5, Fig. 9a), and snowpack microwave signals that are much more influenced by interactions with snow grains (the larger the grains, the earlier saturation occurs), which are not well resolved in 1 layer GS-2 processing. Moreover, the coniferous class corresponds to the central region of Québec, where the SD observations used by GS-2 are very limited (see Section 2.3, Fig. 3), which increases uncertainties of interpolated snow depth maps used in the assimilation process. In southern boreal forest areas (deciduous and mixed forest), an overall unbiased RMSE of 45.0 ± 10.5 mm

and an overall bias of -2.1 \pm 15.1 mm (relative error of 2.3%) are found (Table 5). The distribution of SWE values in the deciduous class are uniformly distributed between 35 mm and 130 mm (Figs. 9b and 9c) and the high RMSE estimated for this class is mostly linked to the presence of dense vegetation and the different forest cover types. The deviation is lower for open areas (herbaceous class) in southern Québec, with a mean bias of -1.2 \pm 14.4 mm and an unbiased RMSE of 36.3 \pm 9.5 mm (Table 5). Note that this land cover is characterized by shallower snow cover (average SWE_{gb} < 100 mm), not affected by dense vegetation, and the high number of SD observations in this region helps to reduce uncertainties (Fig. 3).

The most important hydrological structures in Québec are located in boreal forest areas in the James Bay region. In this area, the GS-2 SWE product reasonably captures SWE values with an overall error (RPE) of 3% (Table 5 for boreal area), without wet snow conditions, and only for snowpack below 150 mm of SWE, which do not correspond to the conditions often observed at the end of winter. With the complete database, over the boreal forest areas, the mean percentage error increases to 36.6% (RMSE = 97.1 mm, and URMSE = 77.9 mm). Over the James Bay region, the SWEGS is thus not accurate enough to be used in an operational hydrological context (error > 15%).

Moreover, GS-2 uses a constant value for snow density whereas the density is higher in late winter due to the snow metamorphism. The ESA GlobSnow-2 project has tried to use a dynamic density to describe the evolution of seasonal snow cover but the results did not show significant improvement and a constant density is still used. This generates a decrease in the SWEGS accuracy, especially at the end of winter.

Even if the results of the present study reasonably capture the uncertainty trends estimated by the ESA study for the Canadian land cover region (*GlobSnow-2 Final Newsletter*, ESA; Derksen et al., 2008), we systematically found higher uncertainties and biases.

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Summary of performance statistics for each land cover category over Eastern Canada. Database 1 is the complete database. Database 3 is the database without $SWE_{gb} > 150$ mm and over a period from January to February. r is the correlation coefficient. The boreal forest class includes deciduous, coniferous and mixed forest classes.

	Area	Land cover	Number of data	Mean SWEGS (mm)	Mean SWEgb (mm)	STD SWEGS (mm)	STD SWEgb (mm)	Unbiased RMSE (mm)	Bias (mm)	RMSE (mm)	r
Database 1	Boreal Forests		31 413	100.4	158.3	67.9	83.8	77.9	-57.9	97.1	0.49
Database 3	Total		9 436	84.7	87.4	48.0	34.0	44.3	-2.7	44.4	0.46
	Boreal Forests		7 886	87.3	89.8	49.1	33.9	45.3	- 2.5	45.4	0.45
		Coniferous	1 652	113.8	108.5	50.7	27.8	47.6	5.3	47.9	0.39
		Deciduous	5 771	79.2	84.3	45.9	33.6	44.3	- 5.1	44.6	0.42
		Mixed Forest	463	93.6	92.6	47.7	32.0	45.7	1.0	45.8	0.38
	Open areas	Herba- ceous	1 336	71.5	72.7	35.9	31.1	36.3	- 1.2	36.4	0.41
	Subarctic snow	Tundra	47	109.7	85.3	38.4	32.2	32.5	24.4	40.7	0.58

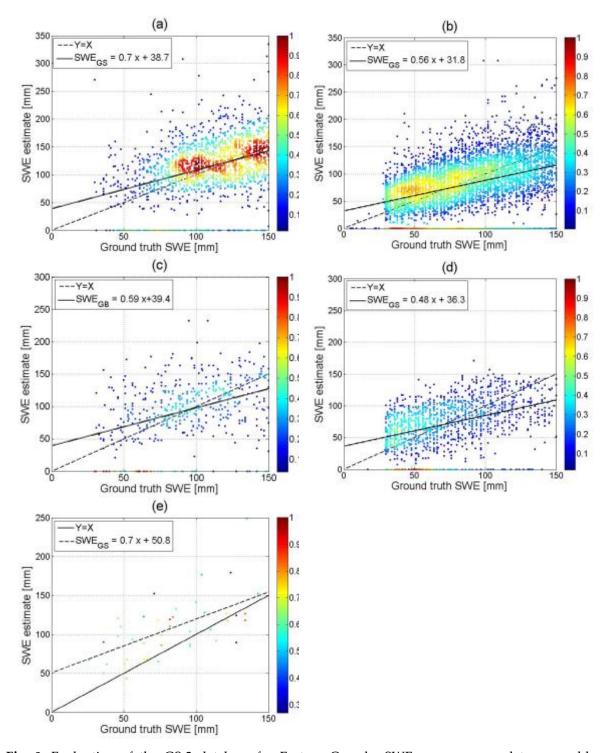


Fig. 9. Evaluation of the GS-2 database for Eastern Canada, SWEGS are compared to ground-based measurements (using Database 3) for each land cover class: (a) Coniferous; (b) Deciduous; (c) Mixed forest; (d) Herbaceous; (e) Tundra. The color scale represents the data density of scattered points, computed by using circles (radius of 20) centered at each data point.

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3.4. Comparison with the AMSR-E SWE product

The AMSR-E SWE product (SWEAMSRE) and GS-2 SWEGS results were compared to in situ observations from 2002 to 2009, for January-February only (total of 2 128 SWE matched data points). Fig. 10 shows results for both products, while Table 6 gives detailed statistics for each database. Over Eastern Canada, SWEAMSRE is particularly underestimated and shows a large RMSE of 165.6 mm, with very weak SWE variability. This approach seems to be affected by several contributions within the same cell, since radiation is particularly affected by land cover as well as by snow grain morphology (grain size, grain morphology, refreezing crust) and snow condition (dry and wet snow), which are conditioned by climate conditions (Dong et al., 2005). Consequently, the accuracy of SWEAMSRE is particularly low for deeper snowpacks, especially when SWE is higher than 60 mm (Fig. 10). The effects of vegetation and lakes also produce complex microwave signals, which have a negative impact on the SWE retrieval (Foster et al., 2005). Indeed, the variation of land cover percentage within grid cells, more specifically forest and water, greatly affects the radiometric value measured by satellites. Forest emissivity may be very high (close to 0.9), and it hides the signature of the underlying snow. In addition, when ice is forming over water surfaces, the upwelling radiation of lakes at high frequencies (85 and 37 GHz) comes mainly from the ice cover, which behaves as a microwave emitter. Thus, any increase in percentage of "thin snow covered lake ice" within a pixel could increase its radiometric value. At lower frequency, the contribution of water bodies acts as a specular reflector and the emissivity remains low (De Sève et al., 1999). The GlobSnow-2 algorithm, which combines information from both satellite observations and ground-based snow-depth measurements through an assimilation process, improves the estimates of SWE with an overall RMSE of 71.1 mm. The overall bias (-36.1 mm) is clearly lower than the one obtained with SWEAMSRE (-90.2 mm) (Table 6). The SWEGS is less sensitive to deep snow conditions, although for SWE values above 150 mm this sensitivity is high (see Section

3.1). These results, which show the improvement obtained by using the GlobSnow-2 assimilation algorithm over Eastern Canada (below 58°N), are in agreement with those obtained by the ESA program for Finland between 2005 and 2008 (Luojus et al., 2014).

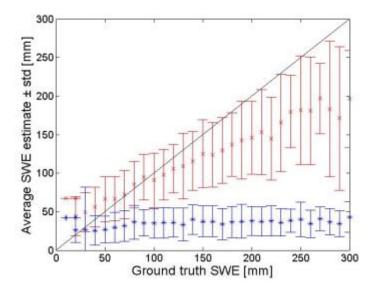


Fig. 10. The SWE_{AMSR-E} and GS-2 SWE results are compared to *in situ* observations from 2002 to 2009, for January-February only.

Table 6Summary of performance metrics for the AMSR-E product (SWE_{AMSRE}) and the GS-2 SWE product (SWE_{GB}) from 2002 to 2009, for January-February only.

	SWE_{gb}	SWEAMSRE	SWEGS
Mean (mm)	154.3	64.2	118.2
STD (mm)	76.0	111.4	59.2
RMSE (mm)		165.6	71.1
Bias (mm)		- 90.2	- 36.1
PE (%)		58.1	23.4

3.5. Evaluation of the annual mean and maximum SWE trends

Figs. 11a and 11b show the inter-annual variabilities of the yearly mean SWE anomaly, for northern and southern Québec respectively, and estimated with the Database 4 (see Section 2.6). For the southern area, linear trends show an increase for both the GS-2 product and observations (slope of 1.4 and 0.6 mm/year respectively). For the northern region the observed trends are not significantly different from zero (slope of 0.8 and 0.3 mm/yr respectively). The temporal trends of the annual mean SWE anomaly are not statistically significant (p-value<0.01) and the inter-annual variations between annual mean SWE_{GS} and SWE_{GB} appear relatively consistent.

The measured SWE_{max} values averaged over the Québec area occur generally in February: maximum SWE are 266.9 ± 49.5 mm for February and 143 ± 148.6 mm for March in the north, while the corresponding values for the south are respectively 187.5 ± 51.4 mm and 102 ± 113.5 mm; but note the strong variability (standard deviation) in March. Fig. 11c (11d) shows the SWE_{max} anomaly trends estimated for the southern (northern) regions described above. In the south, the SWE_{max,GS} anomaly trend suggests a significant increase in snow accumulation in agreement with observations (slope of 3.2 and 2.0 mm/yr respectively). In contrast, over the northern area, the SWE_{max,GS} anomaly trend suggests a decrease (slope of -0.7 mm/yr), which is not consistent with the measurements (slope of +1.5 mm/yr). Note that Figs. 11c and 11d show strong variability of the inter-annual SWE_{max}, as discussed by Brown (2010). It has been shown that, over the past six decades, Québec is particularly subject to regional variability of the inter-annual SWE_{max}, especially during the spring (Vincent et al., 2015), which complicates the analysis over only two areas in Québec.

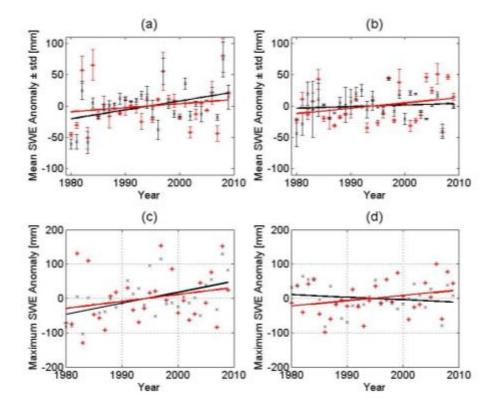


Fig. 11. (a) Annual mean SWE anomaly time series, associated with the standard deviations for both datasets (ground database in red and GS-2 database in black), and over the southern area, defined by the herbaceous and deciduous areas. (b) Same as (a) for the northern area, defined by the coniferous and tundra areas. (c) Same as (a) for the maximum SWE anomaly time series. (d) Same as (b) for the maximum SWE anomaly time series. The lines represent the linear SWE regression in time. The complete Hydro-Québec database from 1980 to 2009 was used, over a December to March period.

3.6. Spatial variability of the trends of GS-2 maximum SWE anomalies over North America.

Fig. 12 shows the anomaly trend in the annual SWE_{max,GS} for the period 1980-2009 over North America. Results stress a significant positive trend across the maritime area of Québec, where an important number of snow surveys used in previous sections are located. This probably led to the positive trend of SWE_{max} anomalies previously shown (Fig. 11c) for southern area of Québec (delimited by deciduous and herbaceous classes). Overall, across Canada and Alaska, there is important regional variabilities with a general North-South contrast of the SWE_{max,GB} anomaly trends (decreasing trend in the North and increasing trend toward the South), in agreement with the annual measured

maximum snow depth anomalies obtained by Zhang (2011) from 1950 to 2007, also documented in Vincent and Mekis (2006). However, over north-western Alaska area, the SWE_{max},GS trend significantly increases as predicted by model consensus over Arctic high latitudes (Brown and Mote, 2009).

The similarities between annual maximum GS-2 and *in situ* SWE trends shown in Section 3.5 (also observed for the bias trend, relatively constant, between both datasets over time) for the Québec area, validated to extend the analysis at the continental scale (i.e. North America), highlighting a strong regional variability. The annual maximum SWE anomaly trend in response to global warming is difficult to analyse, given its link with both variations on precipitations falling as snow and temperatures, and appear less spatially coherent. Moreover, the SWE_{max} variable is highly sensitive to metamorphism within the snowpack, impacting the snow density evolution which is sensitive to regional climate conditions. These processes, difficult to capture using satellite remote sensing, could lead to errors in the interpretation of climate change impacts on snow evolution.



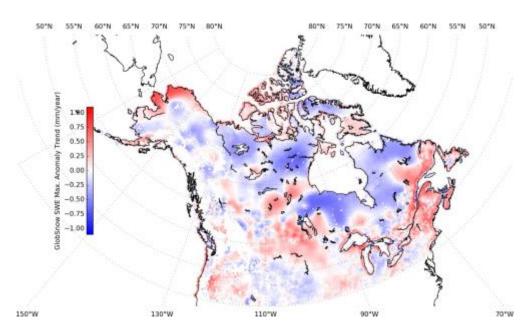


Fig. 12. Anomaly trend in the annual SWE_{max} for the 1980-2009 period using the GS-2 time series.

4. Summary and conclusions

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Eastern Canada using an extensive ground-based dataset. The assimilation approach used to estimate GS-2 SWE values clearly improves the accuracy level by reducing the relative percentage of error by about 30%, compared with a typical stand-alone algorithm based on T_B channel difference (SWE_{AMSR-E}). Over the study area, which was mainly forested, the RMSE between GS-2 and ground-based SWE data is 94.1 ± 20.3 mm with the complete database (Database 1); which is significantly higher than the objective of 40 mm. Without wet snow and deep snow conditions (Database 3), the GS-2 SWE root mean square error was about 44.4 ± 10.4 mm, with a coefficient of correlation (R) of 0.46. Retrieval sensitivity to land cover and forest cover fraction has been studied: the highest SWE uncertainties were for dense boreal forest areas, showing that the effects of both dense vegetation and deep boreal forest snow on the microwave signal can have significant impacts on this product. There is an exponential trend of the unbiased RMS for SWEGS according to the fraction of forest cover, but the impact on RMSE is relatively small for forest fraction below 70% in a 25km EASE-Grid cell. In addition, a comparison of biases with and without the 150-mm threshold on SWEgb (-20.2 mm and -54.8 mm, respectively) shows that deep snow conditions are a major source of uncertainties in algorithms using T_B, due to the saturation of the penetration depth at 37 GHz. The sparse distribution of SD observations used by GlobSnow-2 in northern areas of Eastern Canada prevents the capture of the spatial and temporal SWE variability required in an operational context for hydrological applications. Hydropower management requires SWE biases lower than ~20 mm for typical winter snowpack conditions over Eastern Canada (average SWEmax ~150 mm to meet accuracy requirements of 15%). In Eastern Canada, according to 34 513 matched SWE measurements, the overall percentage of error of the GS-2 SWE product is 35.9% and

This study evaluates the GS-2 SWE product over an eco-latitudinal gradient in

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the bias is -54.8 ± 21.9 mm. Over boreal forest areas, where the most important hydrological complexes are located in Québec, the relative percentage error increases to 36.6% (RMSE of 97.1 \pm 20.3 mm and bias of -57.9 \pm 22.2 mm). Nevertheless, the GS-2 product can provide useful information about the overall spatial and temporal snow cover distribution to improve hydrological model simulations, especially at the beginning and end of the snow season, before snowmelt (Hancock et al. 2013; Berezowski et al., 2015; Sospedra-Alfonso et al., 2016). Indeed, assimilation allows to correct model error or input uncertainties with a more relaxed accuracy requirement as long as the uncertainty of the data is known (Quaife et al., 2008; Lewis et al., 2012). To accurately map SWE, more complex approaches, which take into account a range of parameters in the assimilation process, should be explored. Given the sensitivity of SWE to precipitation and to metamorphism associated with the winter climate, the use of a snow model coupled with a radiative transfer model to assimilate T_B by optimizing the initialization of atmospheric variables appears to be a promising approach (Durand et al., 2009; Brucker et al. 2010, Langlois et al., 2012). This technique could allow us to estimate a SWE without the need for ground data and represents an interesting alternative for remote areas.

The bias between the annual mean SWE anomaly trends between both observed and GS-2 data for long-term observations (over 30 years) appear relatively constant. The average SWEGS time series can help us to better understand climate impacts, and thus to adapt monitoring tools for hydrological operations, whereas the annual maximum SWEGS trend has to be used carefully given the high regional variability of the inter-annual SWEmax.

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911	List of Figure captions
912	Fig. 1. (a) Location map of the study region (Eastern Canada); (b) Land Cover Map
913	(LCM, 2005) classification for Eastern Canada aggregated into eight classes and on
914	the 25x25-km EASE-Grid projection.
915	Fig. 2. Location of snow courses in the in situ SWE database (1980 to 2009). The blue
916	stars are the superposition of the Hydro-Québec (yellow stars) and MSC/MDDEP
917	snow surveys (blue points), sometimes taken at the same station over the 30-years
918	period.
919	Fig. 3. Mean distance (in kilometers) between SD observations used by GS-2 project and
920	EASE-Grid cells on which the GS-2 SWE are projected. The SD observations are
921	those used by GS-2 from 1980 to 2012 (R. Brown, personal communication, 2016).
922	Fig. 4. GS-2 SWE product estimates as a function of in situ SWE measurements. The
923	black vertical dotted line represents the saturation limit defined for this study. The
924	Y=X line is also plotted in black.
925	Fig. 5. Corresponding seasonal snow classification, based on Sturm et al. (1995), of the
926	ground-based SWE measurements with the database without SWE $_{\mbox{\scriptsize gb}}\!\!>\!\!150$ mm.
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928	Fig. 6. Analysis for the dataset with SWE _{gb} <150mm and over the October to May period
929	(1980-2009): (a) Monthly biases (SWE $_{\mbox{\scriptsize GS}}$ - SWE $_{\mbox{\scriptsize gb}}$) according to the Sturm et al. (1995)
930	seasonal snow classification; (b) Number of data points (SWE $_{\hspace{1em}gb}\hspace{1em})$ for each month by
931	snow category: tundra (red), taiga (green), maritime snow (blue) and mountain
932	snow (yellow).
933	Fig. 7. Global performance statistics for each processing step: the entire dataset with
934	matching data (black, Step 1), only with SWEgb<150mm (blue, Step 2) and over the

January-February time period (red, Step 3). The graphs present the inter-annual

variability of the unbiased RMSE (a); the inter-annual variability of the bias (b) and

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time series.

937 the inter-annual variability of the average SWEGS (c). The dotted lines are the 938 average of the time series from 1980 to 2009. 939 Fig. 8. Unbiased RMS according to the forest cover fraction (in %). The unbiased RMS 940 is fitted with a simple quadratic function (black dotted line). 941 Fig. 9. Evaluation of the GS-2 database for Eastern Canada, SWEGS are compared to 942 ground-based measurements (using Database 3) for each land cover class: (a) 943 Coniferous; (b) Deciduous; (c) Mixed forest; (d) Herbaceous; (e) Tundra. The color 944 scale represents the data density of scattered points, computed by using circles 945 (radius of 20) centered at each data point. 946 Fig. 10. The SWEAMSR-E and GS-2 SWE results are compared to *in situ* observations from 947 2002 to 2009, for January-February only. 948 Fig. 11. (a) Annual mean SWE anomaly time series, associated with the standard 949 deviations for both datasets (ground database in red and GS-2 database in black), 950 and over the southern area, defined by the herbaceous and deciduous areas. (b) 951 Same as (a) for the northern area, defined by the coniferous and tundra areas. (c) 952 Same as (a) for the maximum SWE anomaly time series. (d) Same as (b) for the 953 maximum SWE anomaly time series. The lines represent the linear SWE regression 954 in time. The complete Hydro-Québec database from 1980 to 2009 was used, over a 955 December to March period. 956 Fig. 12. Anomaly trend in the annual SWE_{max} for the 1980-2009 period using the GS-2