
Consideration of Collision “Consequence” in Satellite Conjunction Assessment and Risk Analysis

**M. Hejduk – Astrorum Consulting LLC
F. Laporte and M. Moury, Centre National d’Études Spatiales
L. Newman, NASA Goddard Space Flight Center
R. Shepperd, The Boeing Company**

**on behalf of the
Conjunction Assessment Technical Advisory Committee**

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Agenda

- **Risk assessment foundational theory**
- **Aspects of collision consequence**
- **Collision debris production basics**
- **Estimating debris production for a particular satellite collision**
- **Proposed conjunction remediation threshold alterations for low-debris collisions**
- **Orbital corridor protection**
- **Summary of initial proposed construct for considering collision consequence within conjunction assessment (CA)**
- **Future work**

Risk Assessment Foundational Theory

- **Risk assessment approaches based on Kaplan construct (1981)**
- **Risk is combination of event likelihood and event consequence**
 - Sometimes treated as product of these two, but this is not always appropriate
- **CA has only partially followed this approach**
 - Large body of work on methods to establish collision likelihood
 - Usually static treatment of collision consequence—all satellite collisions uniformly considered catastrophes of highest order
- **In early days of CA, with relatively few conjunctions, static concept of collision consequence acceptable**
- **In current environment, approach needs re-examination**
 - Number of conjunctions much larger now
 - Deployment of USAF Space Fence radar (September 2018) could increase space catalogue by up to a factor of five
 - Consideration of consequence could reduce conjunction remediation need

Aspects of Collision Consequence

- **Protection of primary asset**
 - Some conjunctions could leave primary asset only crippled but still functional
 - “Glancing blow” or injury/degradation to part of solar array
 - However, with current accuracy levels not possible to predict that a particular conjunction would leave only damage of this type
 - For any collision, should thus presume complete loss of primary asset
- **Protection of orbital corridors and space environment**
 - Many orbital types significantly enable particular mission types
 - *e.g.*, geosynchronous, sun-synchronous, Molniya
 - Debris fields from satellite collisions could permanently ruin these corridors
 - Satellite collisions do have very different debris-producing potential
 - In contended environment, expected debris production can be discriminator
 - If not all serious conjunctions can be remediated, debris production potential is possible input to choosing which receive remediation
- **Can one determine the “debris production potential” of a collision?**
 - NASA Orbital Debris Program Office (ODPO) provides possible methodology

Two Collision Types: Catastrophic and Non-Catastrophic Collisions

- In catastrophic collisions, both satellites are completely fragmented
- In non-catastrophic collisions, the smaller object is fragmented but the larger one merely cratered
- Former situation obviously produces more debris
- Undoubtedly there are intermediate cases, but this is the ODPO's basic distinction
- ODPO methodology for distinguishing between cases: ratio of relative kinetic energy of smaller object to mass of larger object
 - Presumed formula: $0.5 * m * V_{rel}^2 / M$
 - If ratio exceeds 40,000 Joules / kg, then collision is catastrophic

Determining Number of Collision Pieces

- **NASA ODPO EVOLVE 4.0 model contains relationship for number of pieces greater than a certain size generated by a collision**

- $N(L_c) = 0.1(M)^{0.75}L_c^{-1.71}$

- L_c is the characteristic length (in meters) above which one is interested in the number of pieces; in a Space Fence era, one might set this to 0.05m

- M is a momentum component of sorts, and its determination is governed by whether the collision is catastrophic

- If catastrophic, M is sum of both spacecraft masses (kg)

- If non-catastrophic, M is mass of smaller object (kg) * collision velocity (km/s)

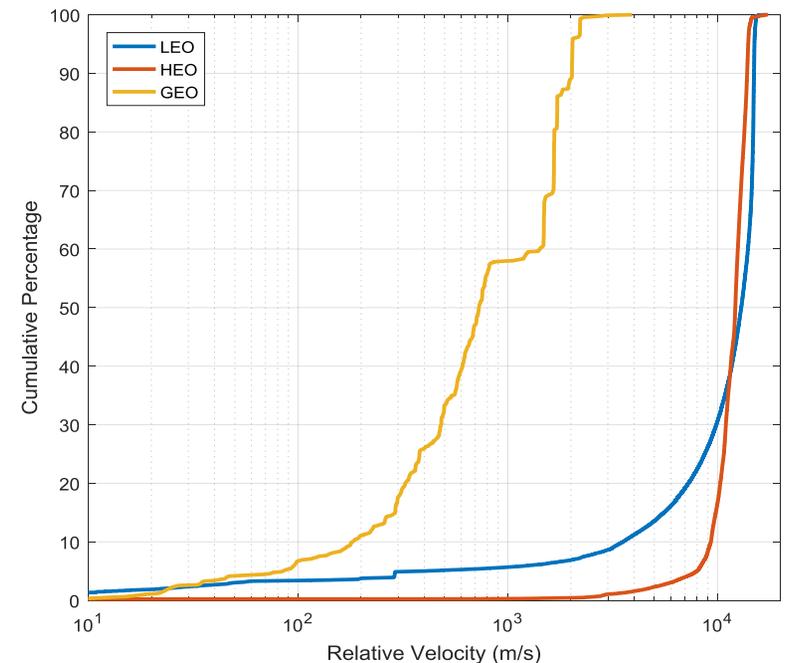
- **Question: what is dynamic range of results for CA from coupling of catastrophic / non-catastrophic and debris production equations?**

- *e.g.*, will all results be catastrophic and thus high-debris, rendering approach unhelpful for distinguishing among conjunctions?

- Profiling activity needed to show viability

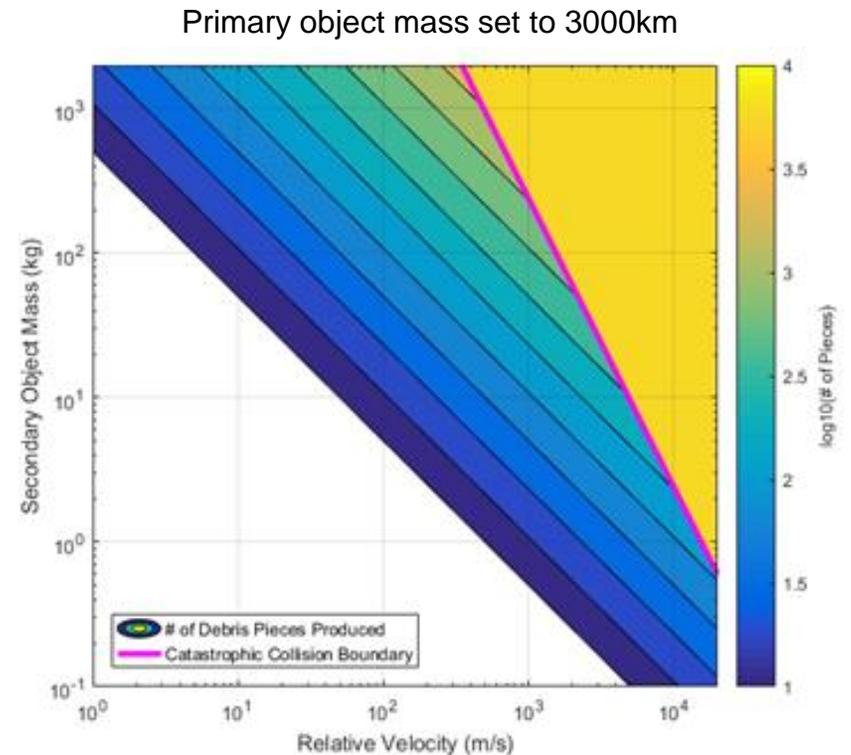
Coupled Equation Input Profiling: Satellite Mass and Conjunction Velocity

- **Conjunction velocities from NASA CA DB (~1.5M conjunctions) shown at right**
 - For LEO and HEO, great majority exceed 10,000 m/s
 - GEO much slower: 100 to 2000 m/s
- **Masses have large range as well**
 - Primary payload: up to ~3000km or larger
 - Secondary: from 0.01 kg to payload mass
- **Profiling should consider dynamic range of both input types**
 - For this analysis, primary object mass set to 3000 kg



Coupled Equation Input Profiling: Results

- **Catastrophic and non-catastrophic regions distinct**
 - Catastrophic yellow; non-catastrophic layered; magenta line is boundary
- **As mass of lighter satellite approaches that of heavier, more of a continuum in debris production with relative velocity**
 - With lighter secondary, discontinuity increases
- **Even at high collision velocities, non-catastrophic collisions quite possible for light secondaries**
- **In short, construct looks promising as possible severity discriminator**



Collision Debris Production Determination: Estimating Needed Parameters

- **Conjunction velocity easily obtained from orbital states**
- **Primary object mass known**
- **Secondary object mass must be determined**
 - For intact payloads and rocket bodies, might be able to obtain actual mass value
 - In general (and for debris), mass values will have to be estimated
- **Proposal: estimate mass from ballistic coefficient solution**
 - Ballistic coefficient given by (could also use solar radiation pressure coefficient):

$$B = C_D \frac{A}{M}$$

- If ballistic coefficient, drag coefficient, and frontal area estimated, then satellite mass (M) can be further estimated from above relation
- Given imprecisions for many of these parameters, best to define a PDF for each and thus generate an estimated mass PDF
 - Can be further used to generate a debris piece count PDF

Collision Debris Production: Estimating Ballistic Coefficient (B)

$$B = C_D \frac{A}{M}$$

- **Conjunction Data Message (CDM) for particular event gives information about B for primary and secondary objects**
 - Estimate of mean value (B_μ)
 - Estimation variance (B_σ) from covariance matrix
- **Set of random B values easily generated by $N(B_\mu, B_\sigma)$**

Collision Debris Production: Estimating Drag Coefficient (C_D)

$$B = C_D \frac{A}{M}$$

- **Because ballistic coefficient usually solved for as a single value, relatively less research work directed to C_D**
 - Sustained interest is from atmospheric community, due to attempts to back out atmospheric density values from satellite drag solutions
- **Early work in 1960s, with follow-up in 1990s, established basic principles and rules of thumb**
 - Snub satellites have typical C_D value of 2.2
 - Distended satellites with long dimension along velocity vector have larger C_D , often in range of 3-4
- **Recent interest in topic and better models, but are satellite-specific**
- **For current approach, C_D values generated by U(2.1, 3.0)**
 - Non-stabilized debris unlikely to generate truly large C_D values
 - Supported by Hubble Space Telescope value of 2.8

Collision Debris Production: Estimating Satellite Frontal Area (A)

$$B = C_D \frac{A}{M}$$

- **Possible to estimate satellite areas from sensor signature data**
 - Focus on radar cross-section (RCS) as opposed to satellite visual magnitude, since emphasis here is LEO debris
- **RCS has units of area, but only under special circumstances can value be roughly equated to satellite physical area**
- **RCS for sphere illustrates issues with establishing RCS-size relationship (next slide)**
- **ODPO developed Size Estimation Model (SEM) to facilitate mapping**
 - Exploded satellite in vacuum chamber, determined characteristic dimension of each piece, took RCS measurements on each piece, and effected theory-enabled fit of data
 - Intended only for debris smaller than 20cm and to convert entire distributions of RCS to distributions of size, and vice versa
 - Any other use is “off-label”
 - Can be used as starting point for single conversion, with much imprecision

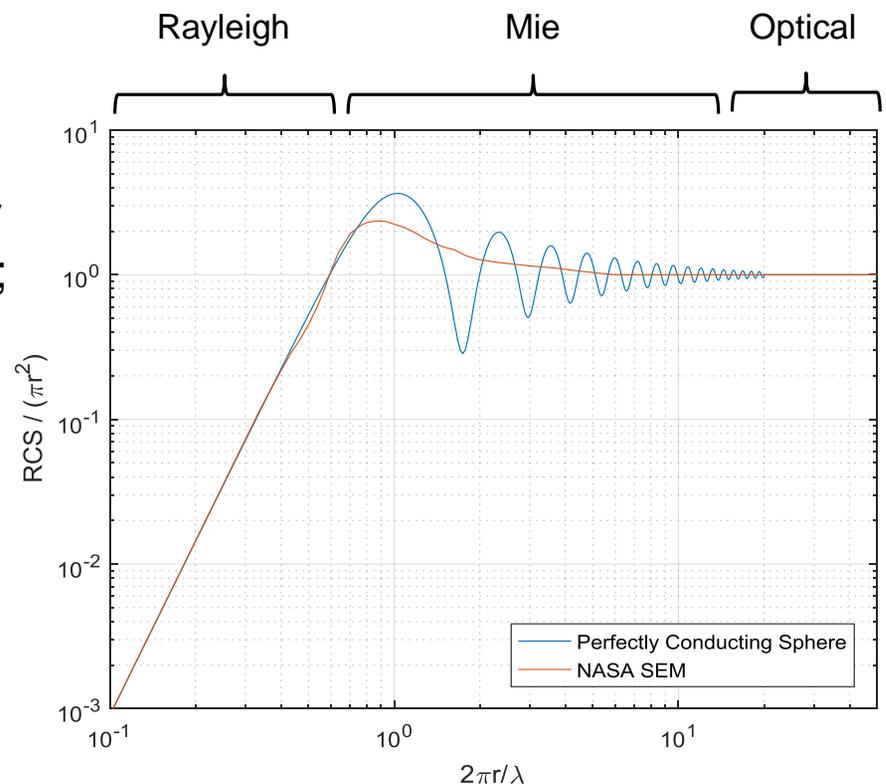
RCS/Size Properties: Conducting Sphere and NASA SEM

- **Sphere: three regions of response**

- **Rayleigh**—RCS proportional to r^4
- **Mie**—transitional region with oscillating behavior (creeping waves)
- **Optical**—RCS converges to area
 - Unfortunately, at S-Band occurs only for objects greater than 0.86 – 1.7 m; much larger than most debris

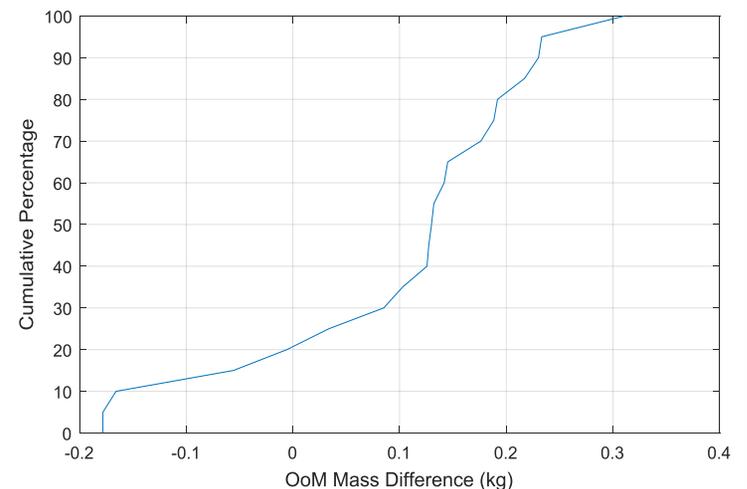
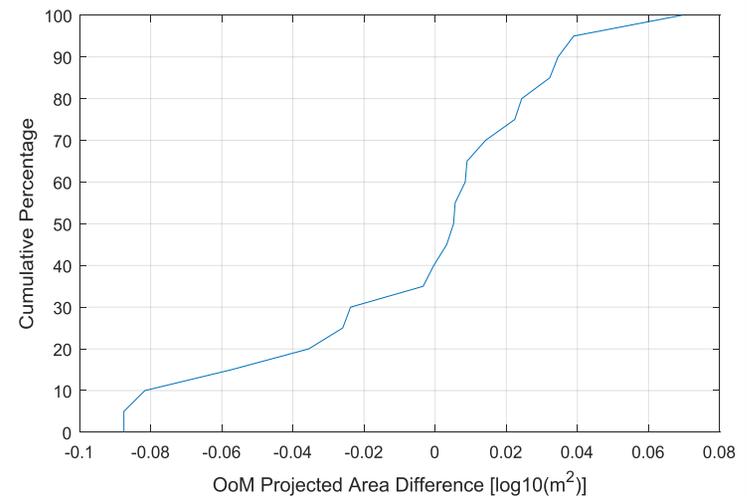
- **NASA SEM**

- Within envelope of sphere response
- Imposes unique mapping
- Not precise for single-object use, but how close is it actually?
 - Difficult to evaluate performance against debris (no ground truth)
 - RORSAT spheres offer one opportunity



Frontal Area and Mass Estimation: RORSAT Coolant Spheres

- **RORSAT satellite coolant**
 - Nuclear-powered sats with NaK coolant
 - Leaked out of dead sats; formed spheres
 - Independent study determined sats spherical and 5-6cm in diameter
 - Only debris set with established dimensions
- **24 had sufficient data for study**
 - B and RCS terms
 - Calculated projected areas from RCS (using SEM) and compared to actual areas
 - Performed mass estimation and compared to “actual” masses (calculated from established sizes and known density)
- **Results at right**
 - Moderate positive bias (typical when working with RCS values), but overall error range quite small



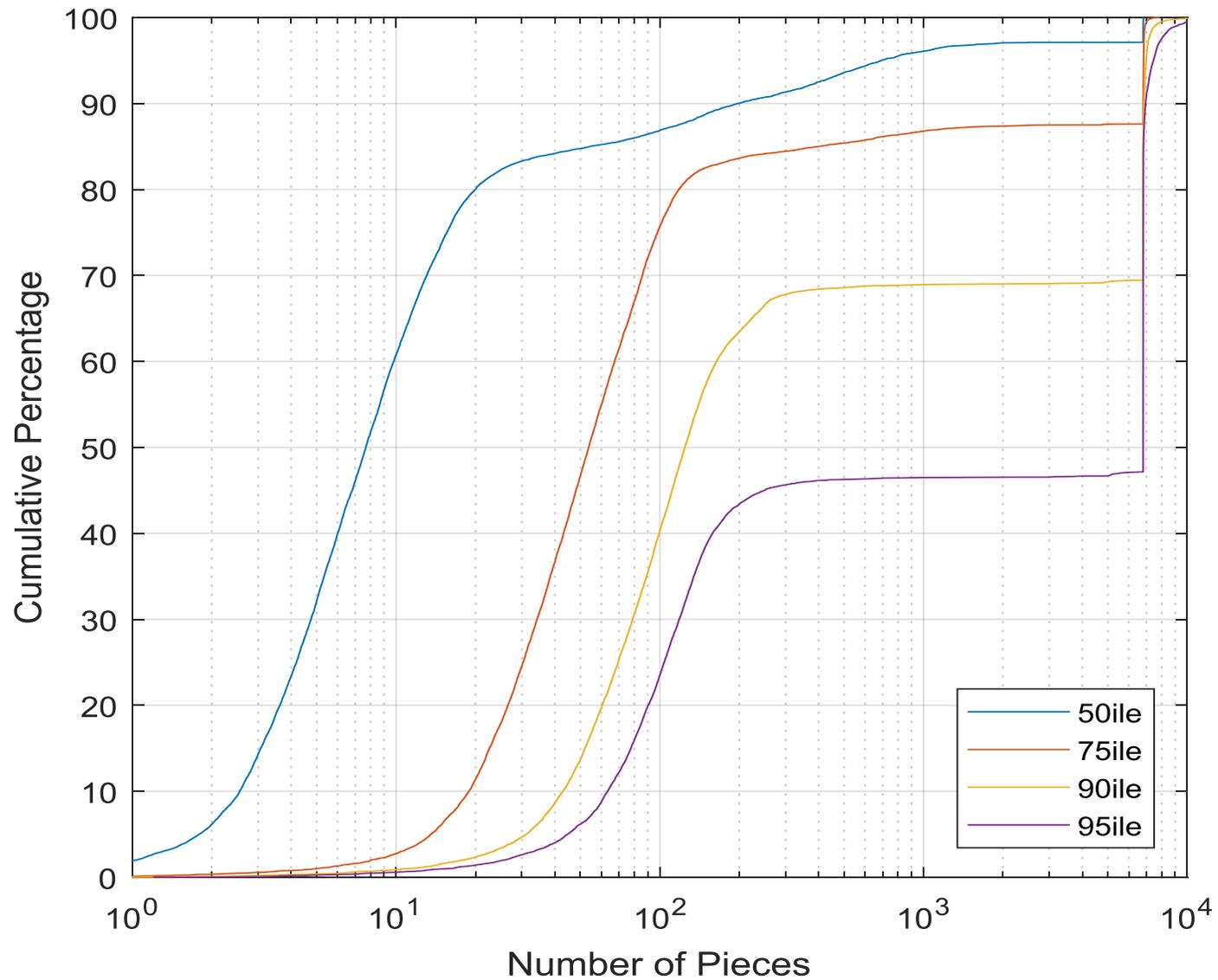
Mass Estimation Procedure: Summary

- **Obtain needed orbital and signature information from latest CDM**
 - B_μ , B_σ , median RCS value
- **Create X samples of each input to ballistic coefficient equation**
 - B from normal distribution defined by B_μ and B_σ
 - C_D from uniform distribution between 2.1 and 3.0
 - A from RCS value fed into SEM, turned into circular area, and used as anchor for uniform distribution +/- one order of magnitude (OoM) from anchor
 - e.g., if anchor is 0.02 m², samples for A are [U(0.002, 0.02, X/2); U(0.02, 0.2, X/2)]
 - Extremely generous error bounds—presumes SEM only good to +/- 1 OoM
- **Yields X values for secondary object mass**
- **V_{rel} and primary object mass presumed known without error**
- **By using debris production equations, can generate X estimates of amount of debris that collision will produce**
- **Can break down X estimates by percentile points**
 - For most situations, issue reduces to whether or not collision is catastrophic

Debris Production Estimation: Historical Conjunction Profiling

- **Procedure run against portion of NASA conjunction database**
 - LEO conjunctions from January to June 2016
 - 14,000 unique events for which secondary a debris object with established RCS
 - CDM with largest P_c taken as event representative
 - 100,000 Monte Carlo samples of estimated # of debris pieces, per event
- **Summarized by percentile point**
 - CDF curves for 50th, 75th, 90th, and 95th percentile of each event's 100,000 results
- **Graph morphology**
 - Flattened and then suddenly vertical behavior indicates transition to catastrophic collision
 - 50% of events do this at the 95th percentile; about 30% do at the 90th percentile
 - If C_D span increased to 2.1 – 4.0, percentages drop from ~8-10%

Debris Production Estimation: Historical Conjunction Profiling Results



Low-Debris-Production Cases: Pc Threshold Alterations

- **95% threshold seems reasonable starting point for separation**
 - Conservative—if one-in-twenty chance of large debris production, then treated as a large debris case
 - About half of profiled events are given this designation, so a good separator of events into two types
 - Although far from all ever became serious events
- **Current Pc remediation thresholds (1-4 E-04) were generated with the large-debris situation in mind**
 - So small-debris situations should show a leniency from this level
- **For primaries that have difficulty remediating conjunctions, offset is from 0.5 to 1 OoM increased leniency in Pc remediation threshold**
 - Electric propulsion is good example
- **Probably a good initial proposal for low-debris situations**
 - 0.5 to 1 OoM, with exact value determined by experience and owner/operator risk tolerance

Orbital Corridor Population

- **Would seem that satellites in “high-value” corridors deserve additional protection**
 - Geosynchronous, sun-synchronous, Molniya—greatly facilitate certain missions
 - Debris population in these orbits would seem more injurious and therefore should engender more risk-adverse posture
- **Not as straightforward in practice**
 - Sun-synchronous can be conceived in a variety of ways
 - Debris at higher orbits pollute lower ones (both immediately and eventually through decay), so this would have to be considered as well
 - Although ~100km lower than Fengyun 1C and Iridium-COSMOS, half of A-Train conjunction events against debris from these two collisions
 - “Protected Zones” about orbits would thus need to become excessively large
- **Geosynchronous orbit the exception**
 - Debris in GEO will remain for a very long time and pass a number of payloads as it moves toward and librates about one of the two libration points
 - Due to persistent threat, should not abate Pc threshold for small-debris cases

Proposed Consequence Construct

| | LEO/HEO Orbits | GEO Orbits |
|----------------------------|-----------------------------|------------|
| Catastrophic Collision | X | X |
| Non-Catastrophic Collision | $X + (0.5 - 1) \text{ OoM}$ | X |

- **X is the current Pc remediation threshold (usually $\sim 1\text{E-}04$)**
- **X abated by 0.5 to 1 OoM for low-debris collisions in LEO and HEO**
 - Perhaps $5\text{E-}04$ to $1\text{E-}03$
- **No corresponding abatement in GEO, due to debris persistence and ease of orbital corridor pollution**

Future Work

- **Construct is merely CATAC initial proposal**
 - Will be refined over the next 12 months with additional studies
- **Planned future work**
 - More comprehensive error analysis on satellite frontal area estimation
 - Perhaps using cubesats as an additional dataset, since many have known dimensions
 - More comprehensive error analysis on satellite drag coefficient estimation
 - Better understanding of debris shape and area-to-mass distributions for small debris
 - Further refinement of actual construct to be used for operational CA