

# Human-Robot Teaming

Communication, coordination, & collaboration



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# What is a team?

“A group of people who work together”

– Merriam-Webster

## Teams are interdependent

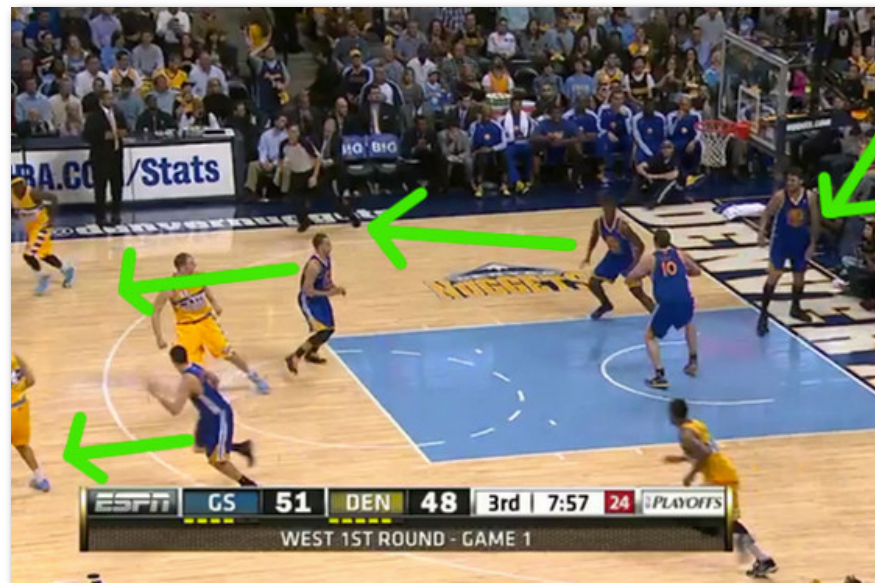
- Members share a common goal
- Group needs outweigh individuals
- Must have common ground & trust

## Norms (governing behaviors)

- Background (experience, training, knowledge, culture, etc.)
- Org structure (chain of command)
- Work protocol (doctrine)

## Cornerstones of teamwork

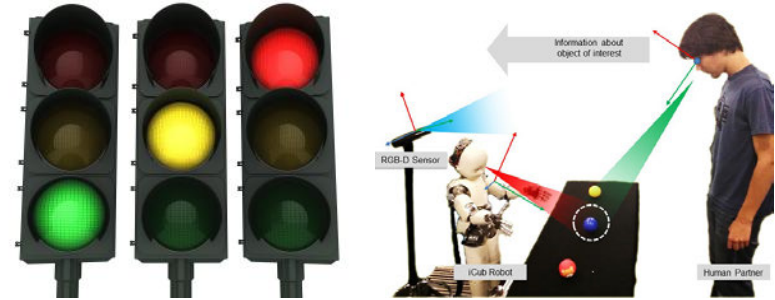
- Communication
- Coordination
- Collaboration



# Communication

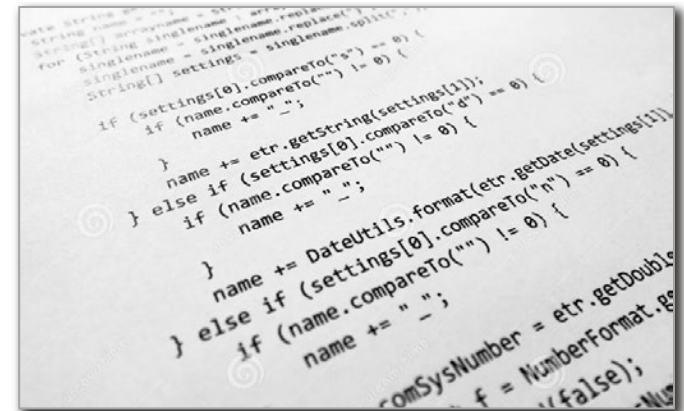
## Signals

- Limited content (few bits)
- Convey awareness, intent, state, etc.
- Numerous mechanisms (combine for emphasis & redundancy)
  - Auditory
  - Gaze
  - Gesture
  - Motion



## Language

- Extensive content (many bits)
- Convey high level of detail
- Specific vs. general
  - Task specific
  - Domain specific
  - Natural



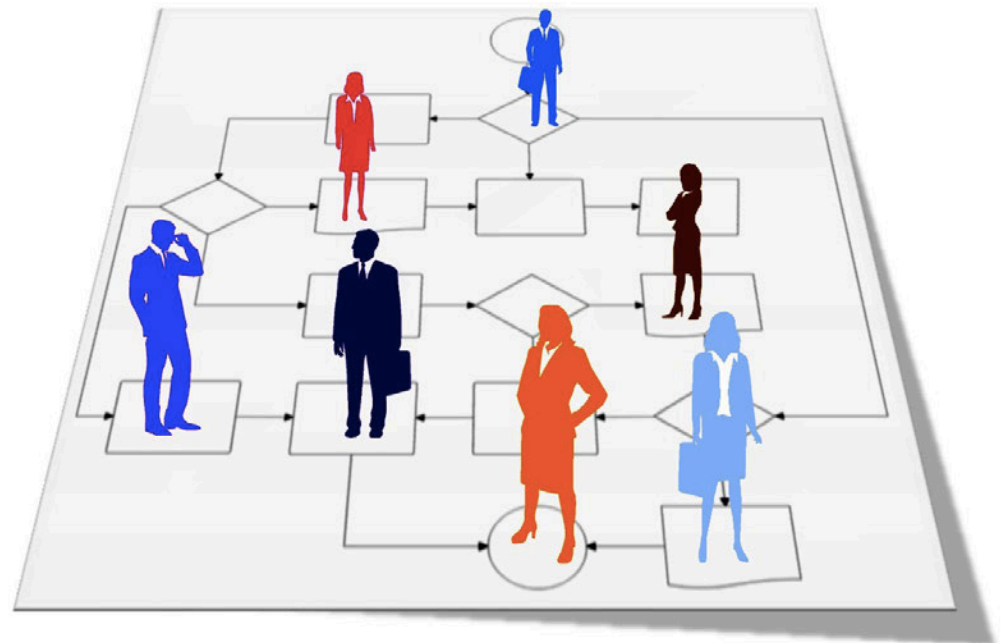
# Coordination

## “Harmonious functioning”

- Making sure that two or more people (or groups of people) can work together properly and well
- Involves integration of activities, responsibilities, etc. to ensure that resources are used efficiently and effectively
- Requires control, organization, monitoring, etc.

## Effective coordination requires:

- **Common ground:** mutual knowledge that supports joint activity
- **Directability:** assessing and modifying individual actions within joint activity
- **Interpredictability:** being able to predict what others will do



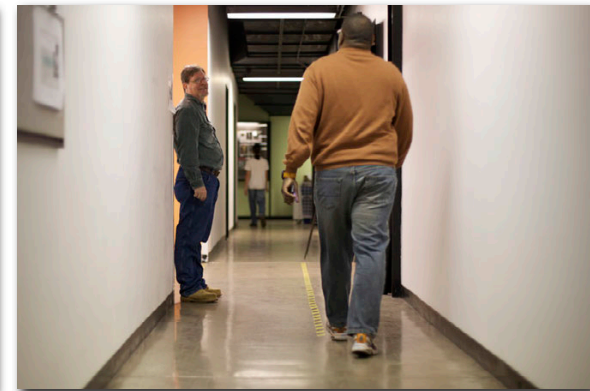
# Collaboration

## Joint work

- Multiple individuals working together to achieve a shared objective
- Requires communication and coordination
- Involves sharing of knowledge, intention, and goals

## Collaborative tasks

- **Tightly coupled:** each participant depends on the actions of other individuals (jointly pushing a sofa)
- **Loosely coupled:** each participant engages in complementary actions towards a shared goal (splitting up to search)
- **Planned vs. spontaneous:** depends on environment, situation, task, etc.



# Can robots be (good) teammates?

## Assumptions

- Robots **should be** team members
- Robots can be **successful** and **trusted** team members
- Human teams are a **good model** for human-robot teams

## Robots have (engineered) limits

- Robots often cannot handle **anomalies**, **edge cases**, & **corner cases**
- Appearance can be deceiving: **a humanoid robot ≠ a human**

## Humans have difficulty creating mental models of robots

- Hard to set and manage **expectations** of robot behavior & performance
- Teamwork may be unnatural and inefficient (high human workload)

## Robots have difficulty recognizing human intent

- Robot **may not act** at the right time or respond properly
- Teamwork may be slow and jittery



# Human-robot teams (for space)

## Many forms of human-robot teaming

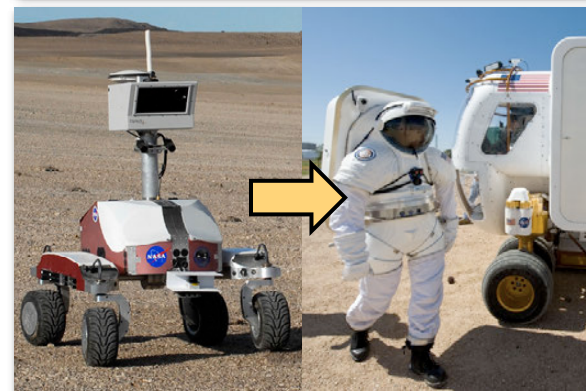
- “Robot as tool” is only **one** model
- Not just co-located or line-of-sight
- ▶ **Peer-to-peer teaming is also important**

## Concurrent, interdependent operations

- Human-robot interaction is still **slow** and **mismatched** (compared to human teams)
- Easy for robots to impede the human
- ▶ **Loosely-coupled teaming is essential**

## Distributed teams

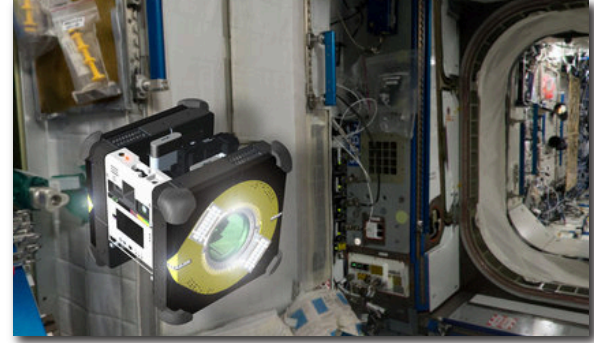
- Require **coordination** and **info exchange**
- Require understanding of (and planning for) each teammate’s **capabilities**
- ▶ **Effective protocols and tools are critical**



# Research @ NASA Ames

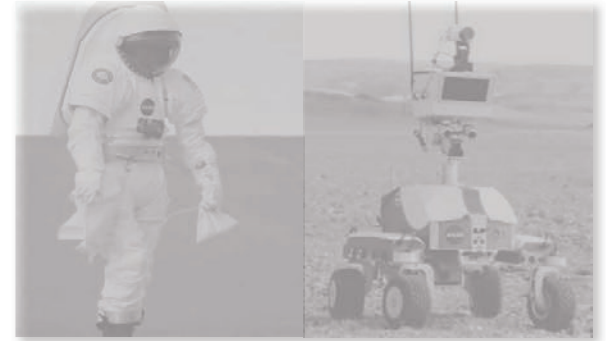
## Part 1: Communication

- Signaling for non-humanoid robots
- Convey robot state and intent using dynamic light and sound
- Ambient and active communication



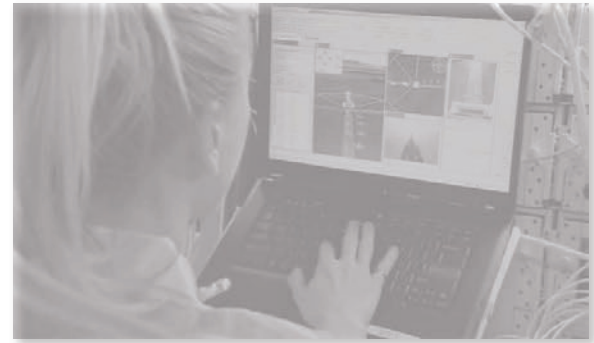
## Part 2: Coordination

- Achieve common (joint) objective
- Independent human and robot activities
- Robots work before, in parallel (loosely coupled) and after humans



## Part 3: Collaboration

- Humans support autonomous robots
- Focus on cognitive tasks (planning, decision making, etc)
- Human-robot team may be distributed

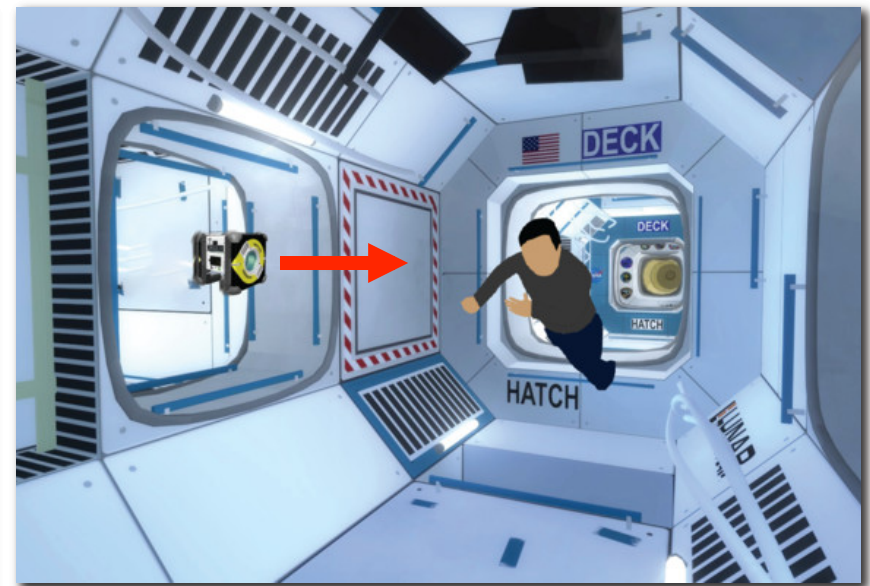
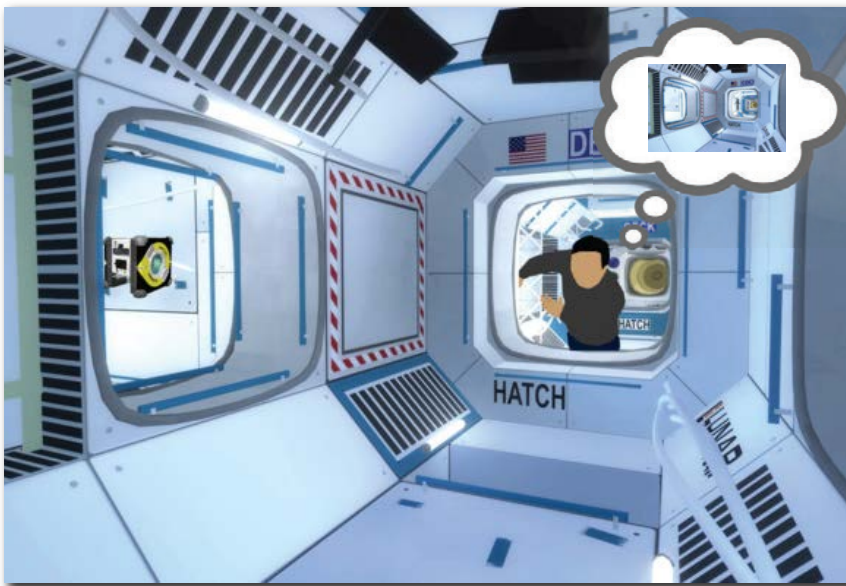




# Motivation

## Situation awareness

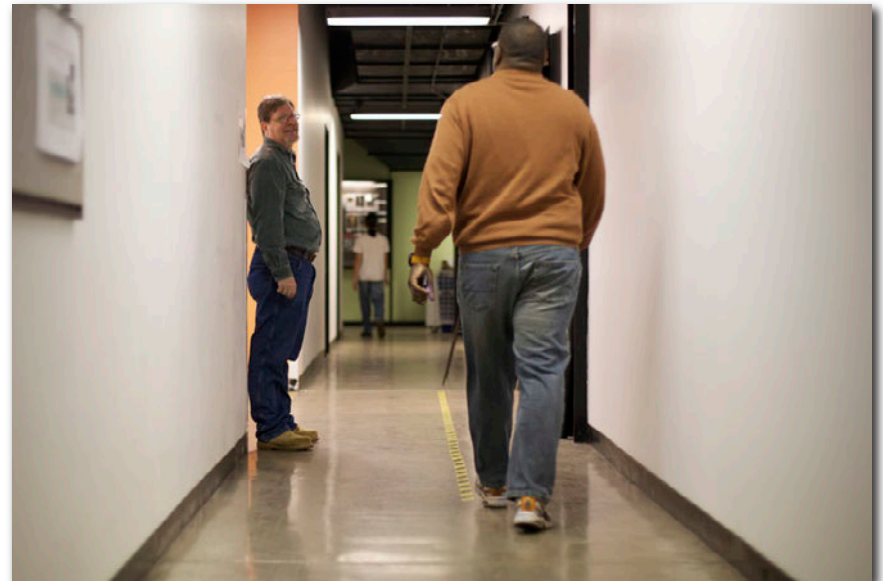
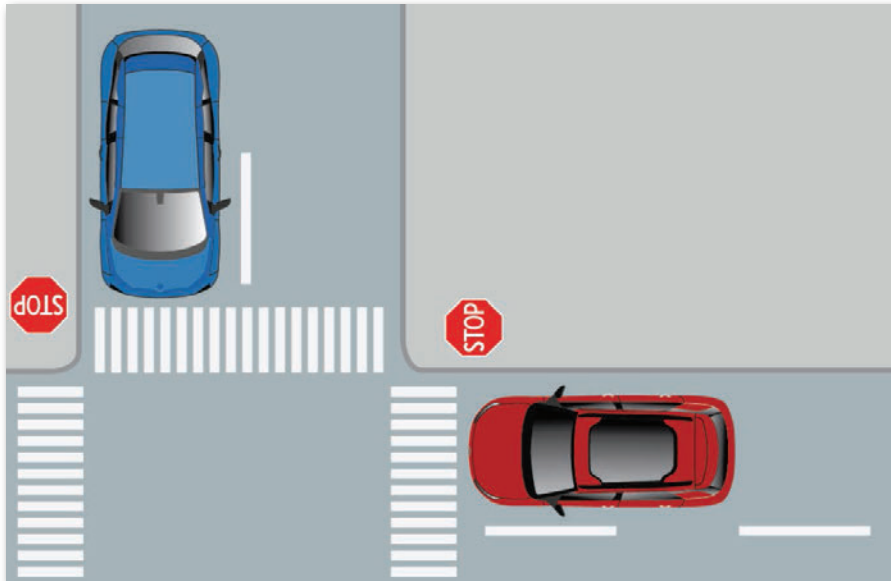
- Robot is positioned out of the human's view
- Signals can indicate the presence and location of the robot to facilitate SA (at multiple levels)
- Signals can facilitate prediction and planning (avoid conflict before it occurs, avoid dangerous situation, etc).



# Motivation

## Spatial negotiation

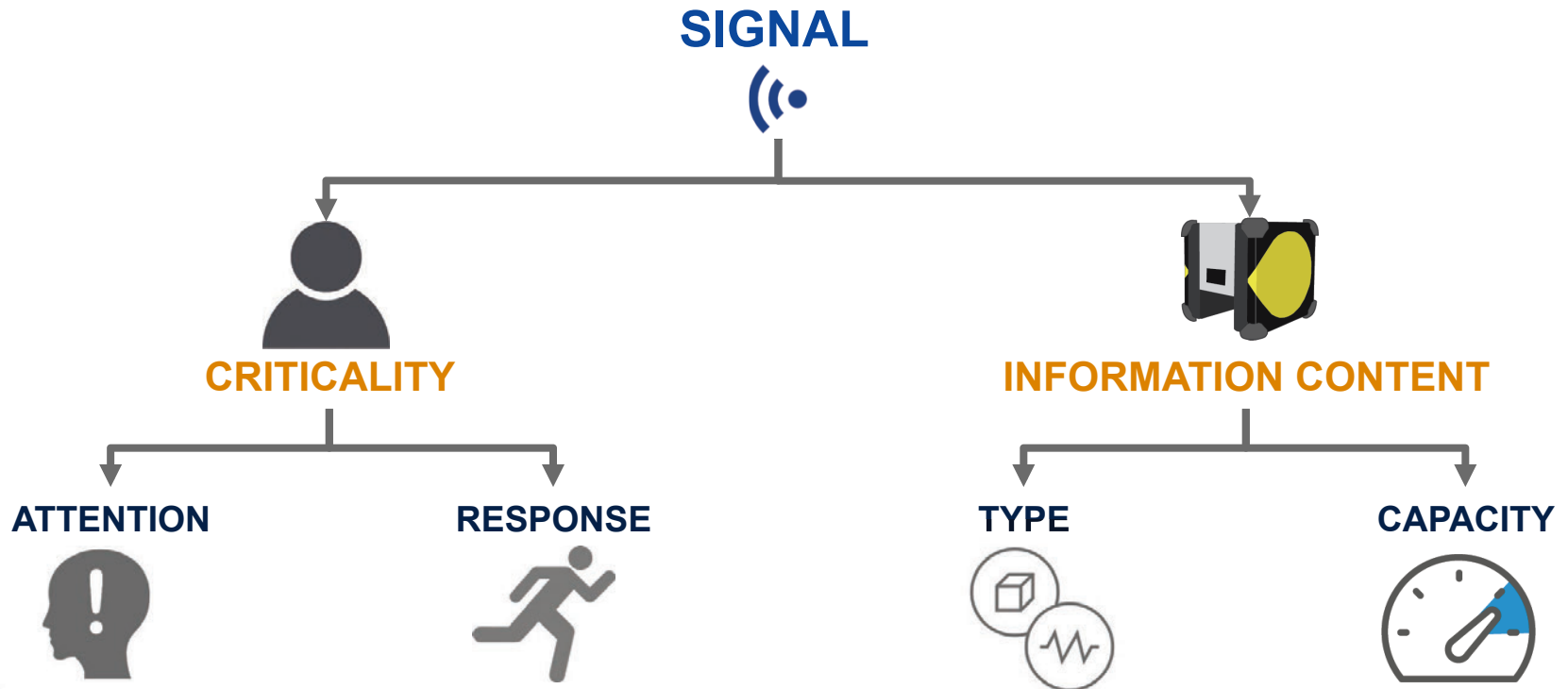
- When humans and robots must co-exist in the same space, there is often a need for spatial negotiation
- Cannot always rely on pre-defined rules (e.g., “right of way”) due to ambiguity and uncertainty
- Signaling (lights, movement, sound, etc) is an effective manner to communicate intent and elicit action.



# Using signals

## Considerations

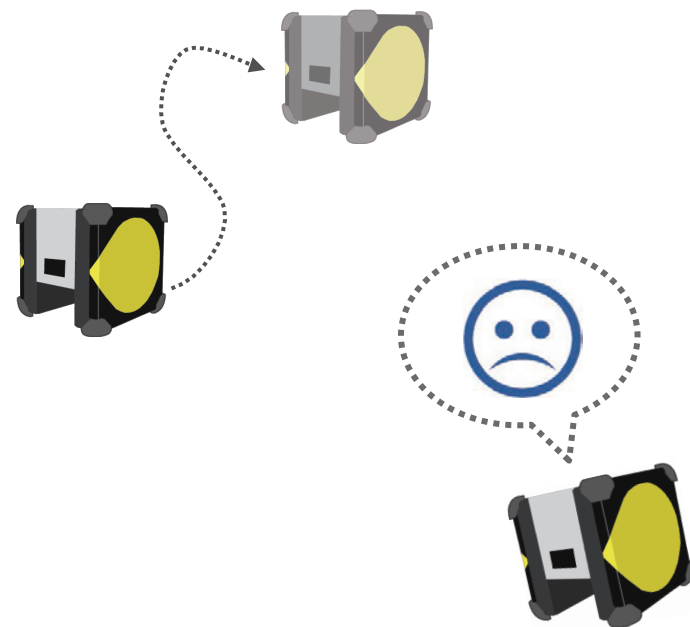
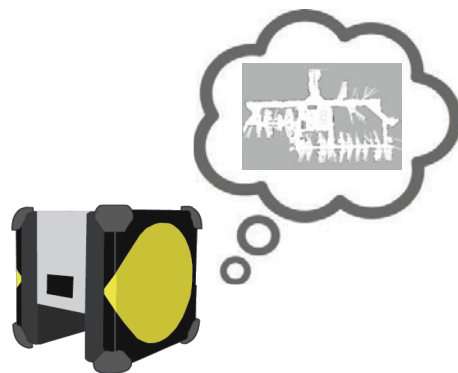
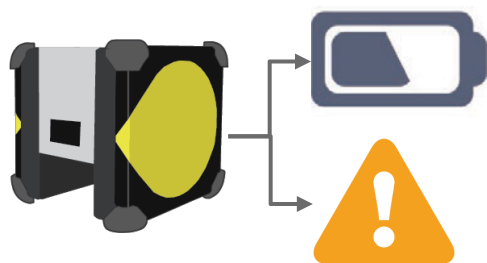
- **What** to convey (importance of the information)
- **When** to convey (timing of the information)
- **How** to convey (constrained/modulated by configuration, situation, etc..)
- **To whom** do we convey (user role, capability to receive/respond, etc.)



# What to convey?

## Robot states

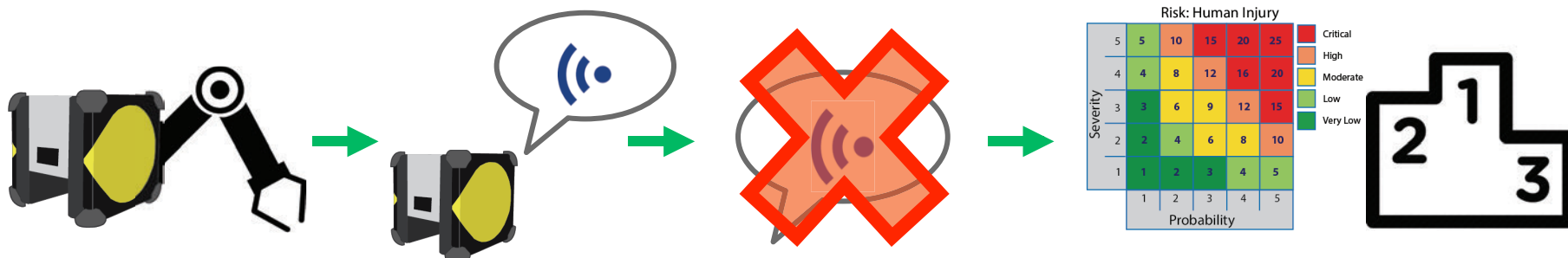
- **Condition**
  - Operational status: health, control mode, faults
- **Knowledge**
  - Information the robot possesses about itself, the task, and the world
- **Activity**
  - Actions the robot is taking (or attempting) to take – often task related
- **Affect**
  - The “emotional state” of the robot



# When and how to convey?


## Signal design

- **Use Case Analysis**
  - Describe the robot's goals using use case descriptions
- **Communication Analysis**
  - Describe the robot's communications within each use case
- **Failure Analysis**
  - Identify the risks of a communication case not occurring
- **Priority Ranking**
  - Weighting different types of risk (e.g., inefficiency vs. human injury)



E. Cha, Y. Kim, T. Fong, and M. Mataric (2017) “A system for designing human-robot communication” (in submission)

# Signal notification level



<b>Demand Reaction</b>	<b>Interrupt until human responds / intervenes</b>
<b>Interrupt</b>	<b>Request attention from human</b>
<b>Make Aware</b>	Help humans decide their further action
<b>Change Blind</b>	Help humans monitor robot's overall action
<b>Ignore</b>	Optional (non-critical) information

# Signaling for non-humanoid robots

## Considerations

- **Embodiment**
  - Form: How does the robot's physical form affect signaling capabilities?
  - Generalizability: How can the same signals be utilized across platforms?
- **Signal design**
  - Intuitiveness: How to utilize non-humanoid communication modalities to signal in an intuitive manner?
  - Complexity: How to create signals of varying complexity utilizing non-humanoid communication modalities?
- **External factors**
  - Environment: How to account for the environment (e.g., perceptual conditions, ambient noise) and external events in signaling?
- **Psychological factors**
  - Perception: How to control humans' perceptions of the robot's signals?
  - Evaluation: How to accurately evaluate signals in real world scenarios?

E. Cha, Y. Kim, T. Fong, and M. Mataric (2017) “**A survey of non-verbal signaling methods for non-humanoid robots**” (*in submission*)



# Astrobee free-flying space robot

## Specs

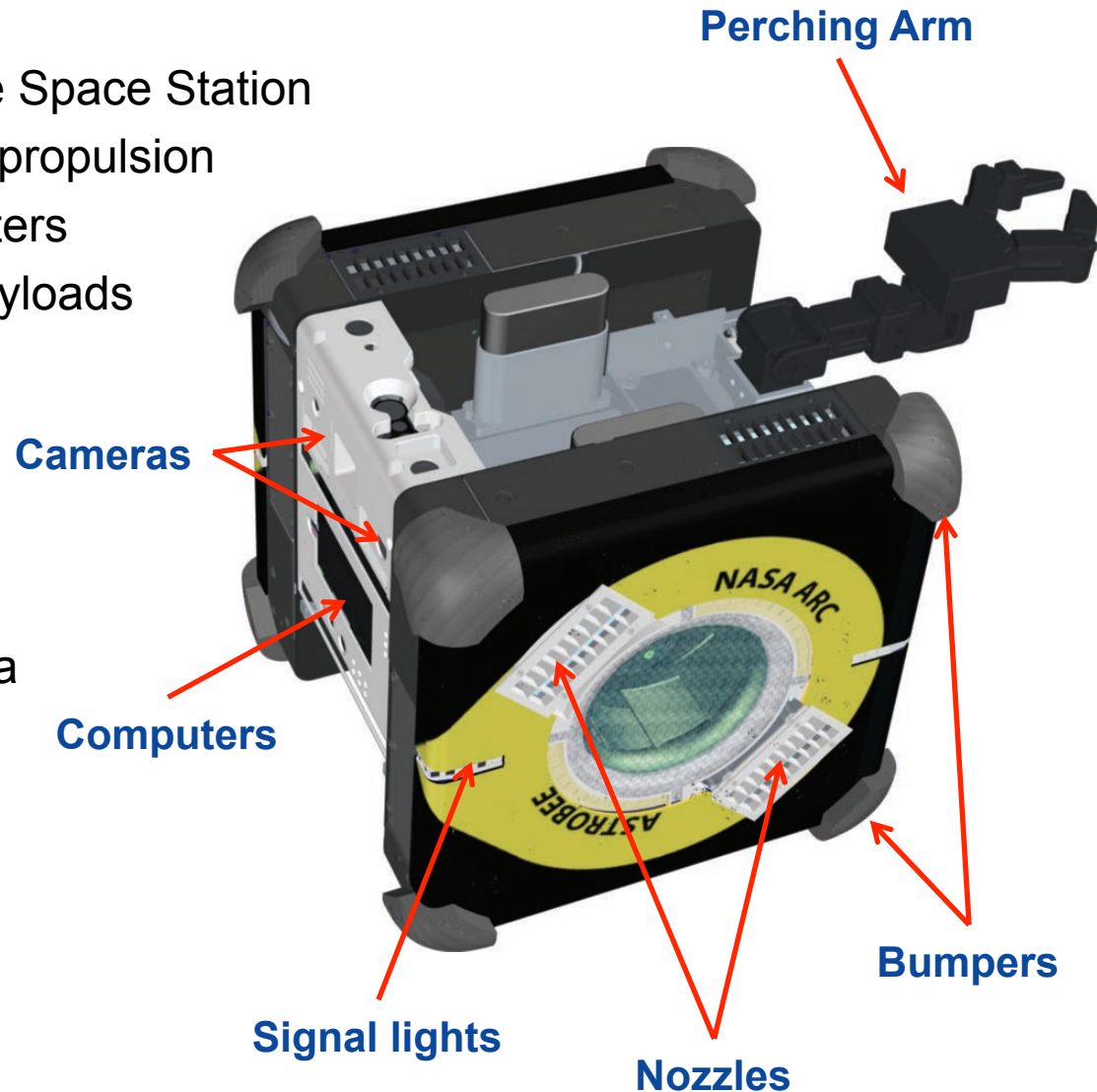
- Free flying robot inside the Space Station
- All electric with fan-based propulsion
- Three smartphone computers
- Expansion port for new payloads
- Open-source software
- 30x30x30 cm, 8 kg

## Uses

- Mobile sensor
- Remotely operated camera
- Zero-G robotic research

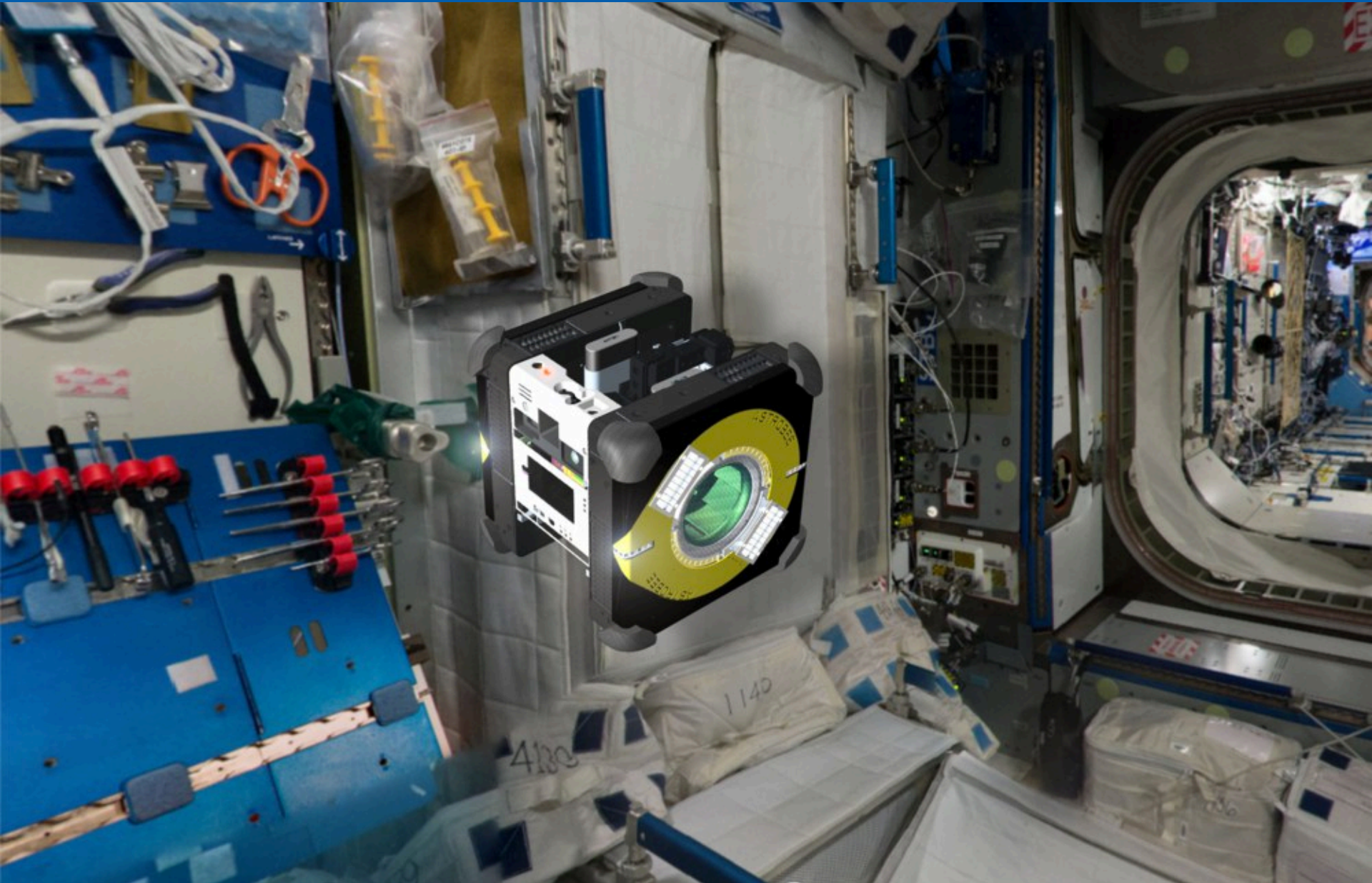
## Autonomy

- Docking & recharge
- Perching on handrails
- Vision-based navigation

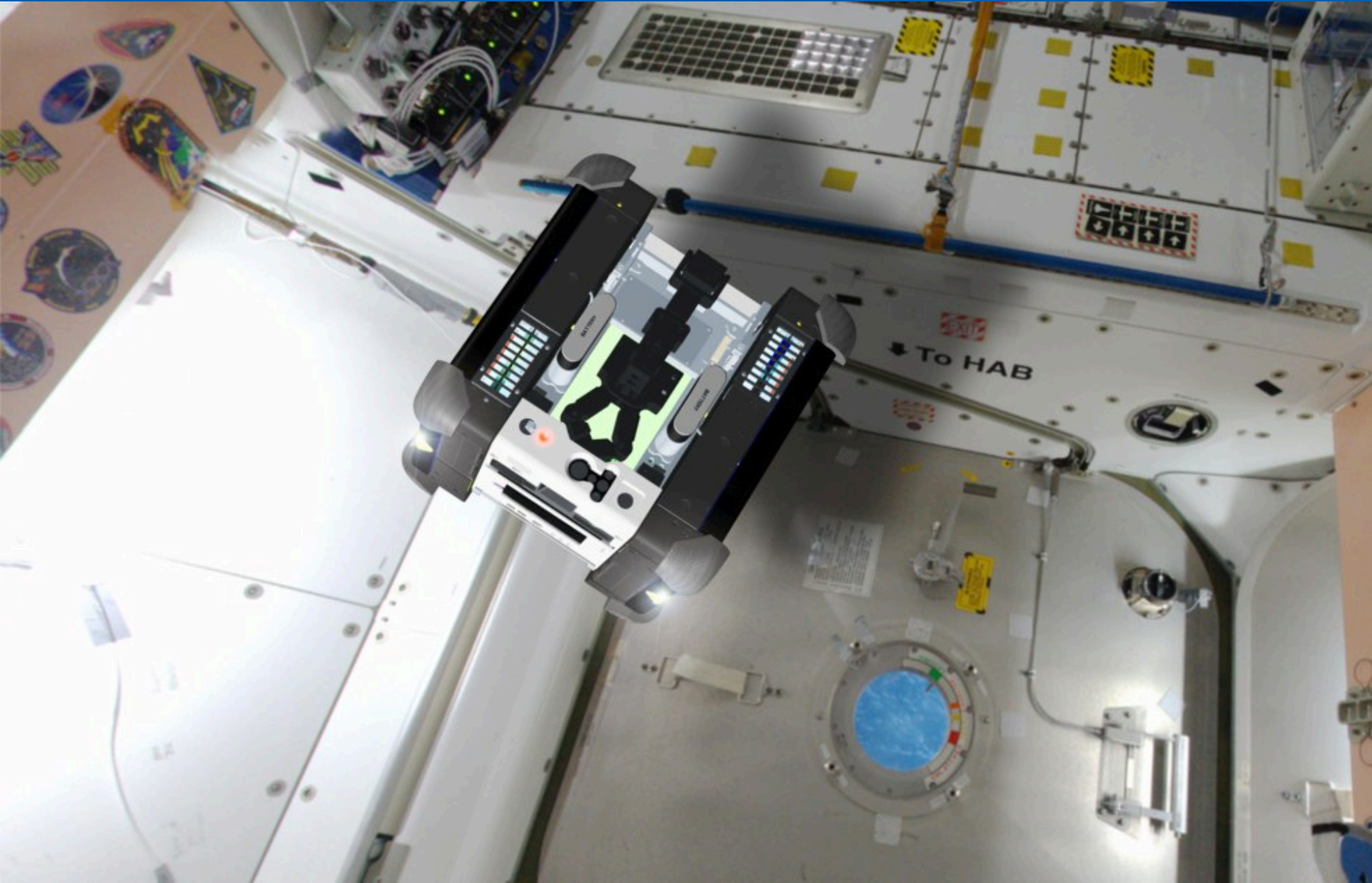




# Astrobee on the Space Station (concept)



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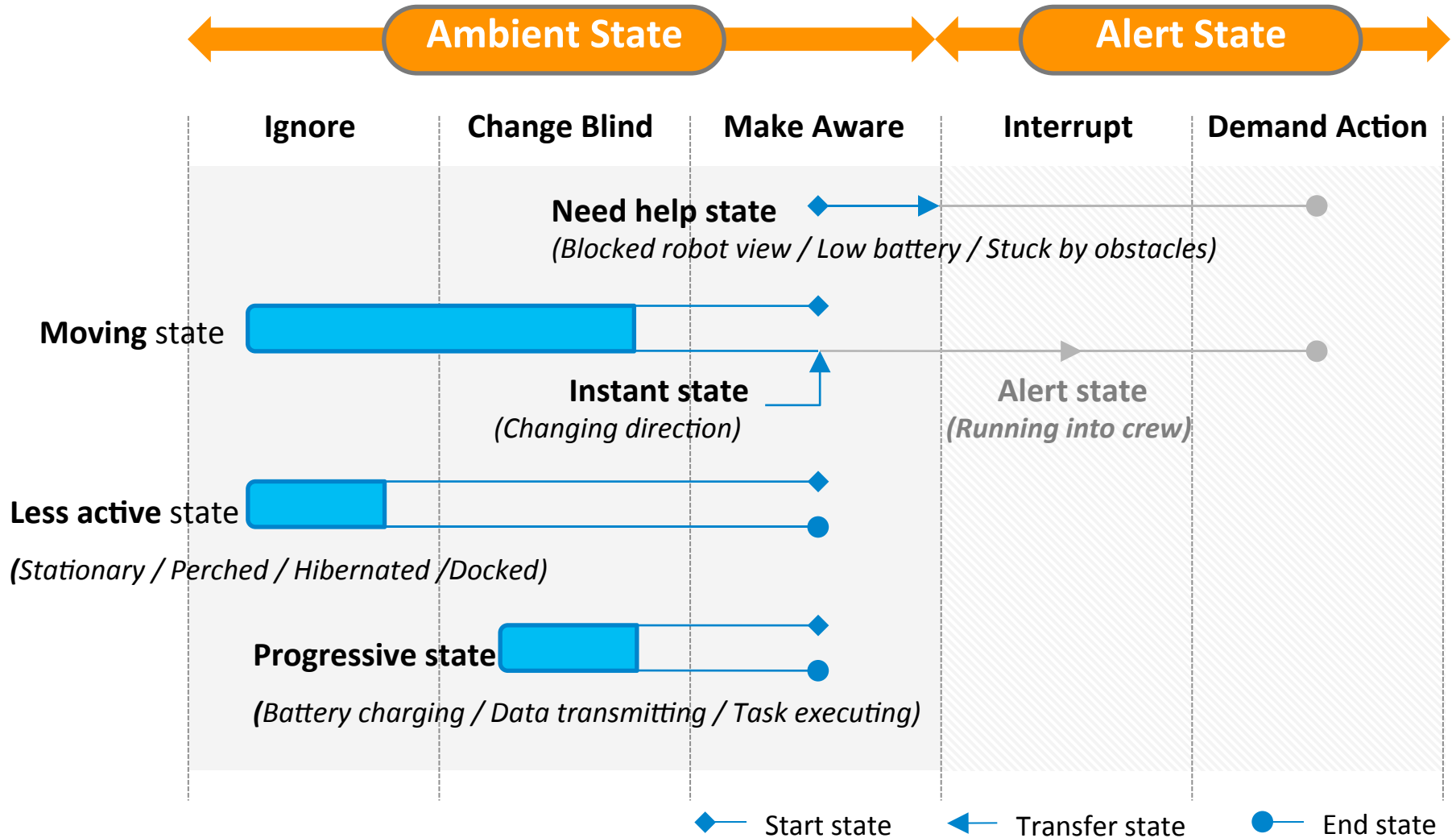
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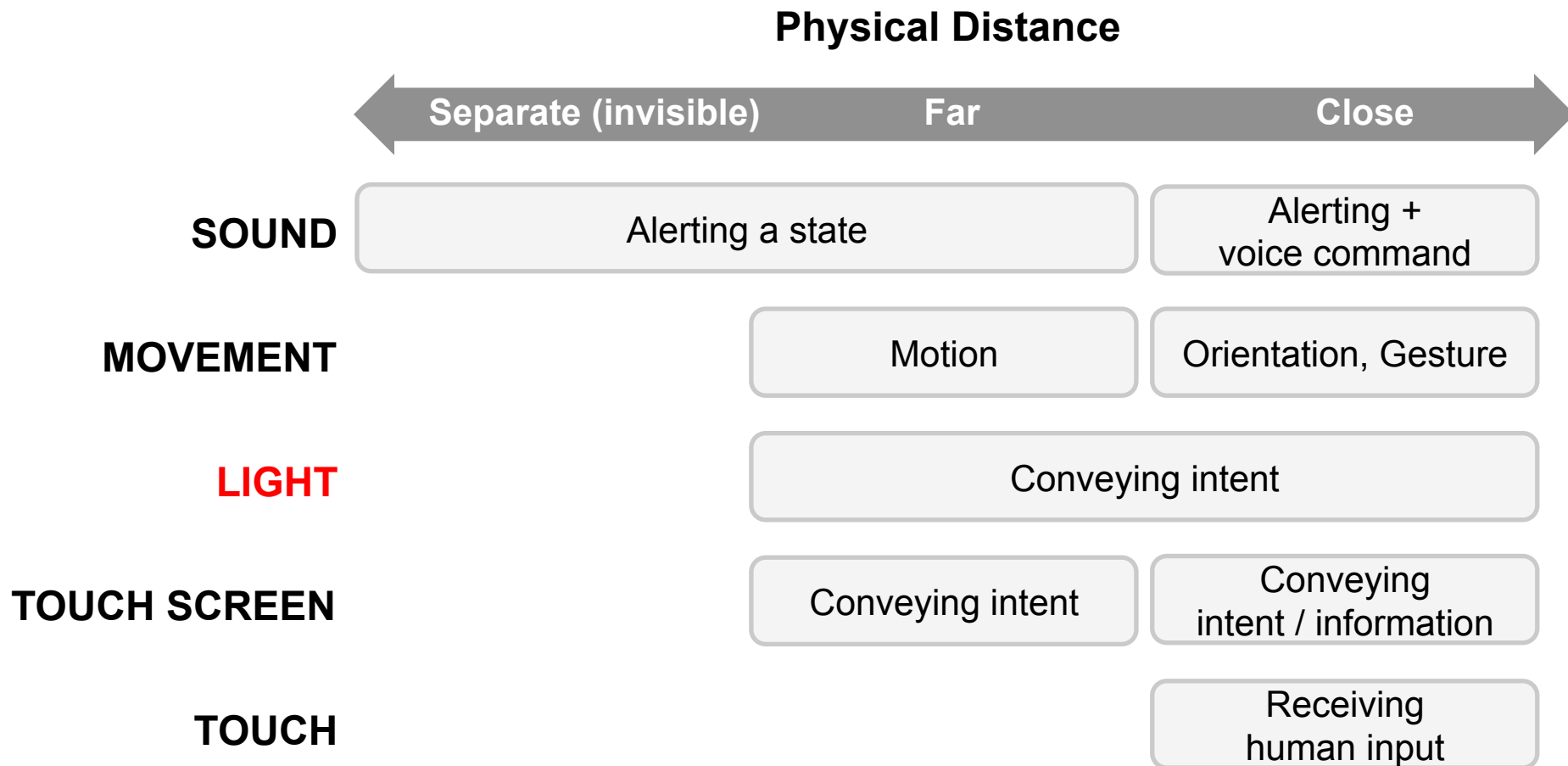
# Astrobee states

Situation	States						
<b>On/Off</b>	On/Off state						
<b>Perching</b>	Perching progress	Camera streaming mode	pointing where to move - heading (handle)				
<b>Error</b>	Low power	Stuck					
<b>Work</b>	Action or task	Goal (research plan / camera mode / search mode)	Progress (doing/ completing / awaiting further order)	Priority / urgency	Assistance required for task or fault recovery		
<b>Motion</b>	Moving direction to warn	Destination	Speed or accel.	Purpose	Trajectory	Coming into view	Adjacency (to human or obstacle)

# Notification levels



# Possible signals



# Light signaling for free-flying robots

beacon



blinker



gaze

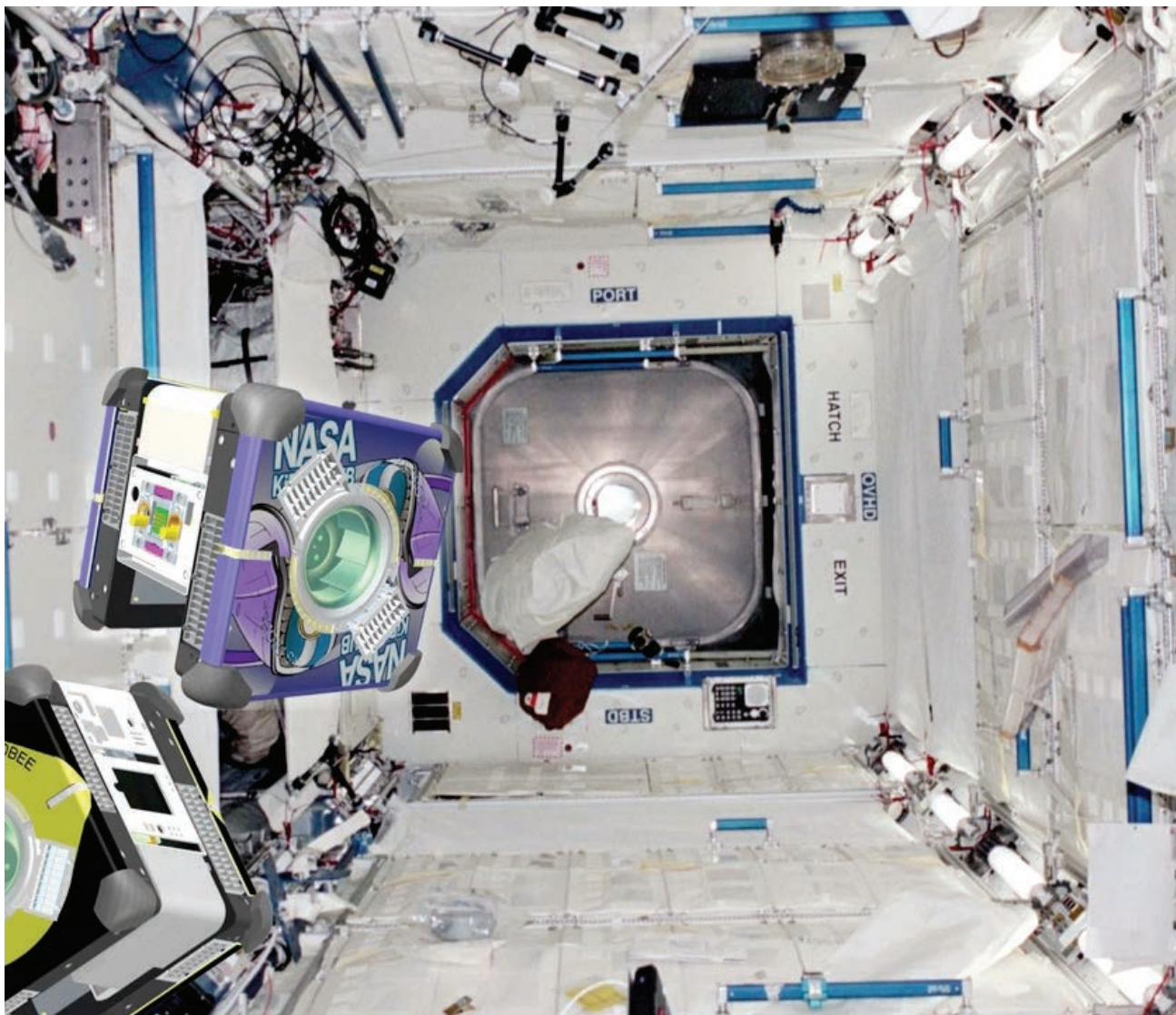


thruster



D. Szafir, B. Mutlu, and T. Fong (2015) “**Communicating directionality in flying robots**”. ACM/IEEE HRI Conf.

# Astrobee light signal concept





# Research @ NASA Ames

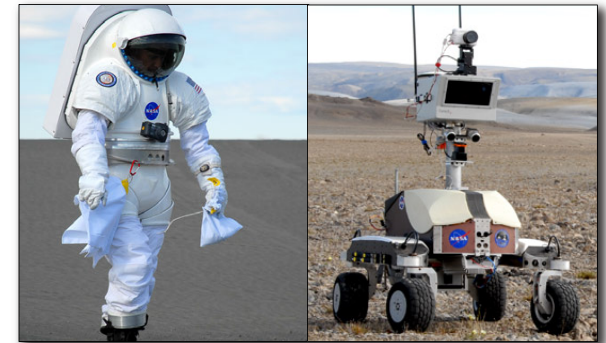
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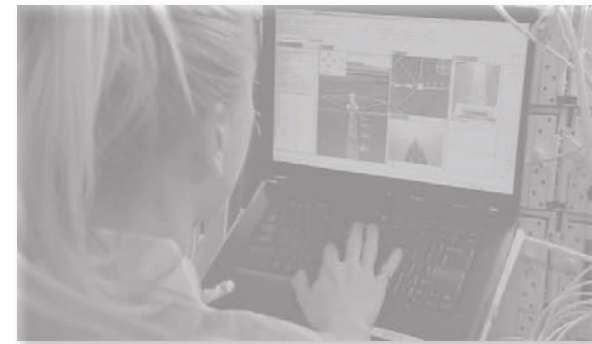
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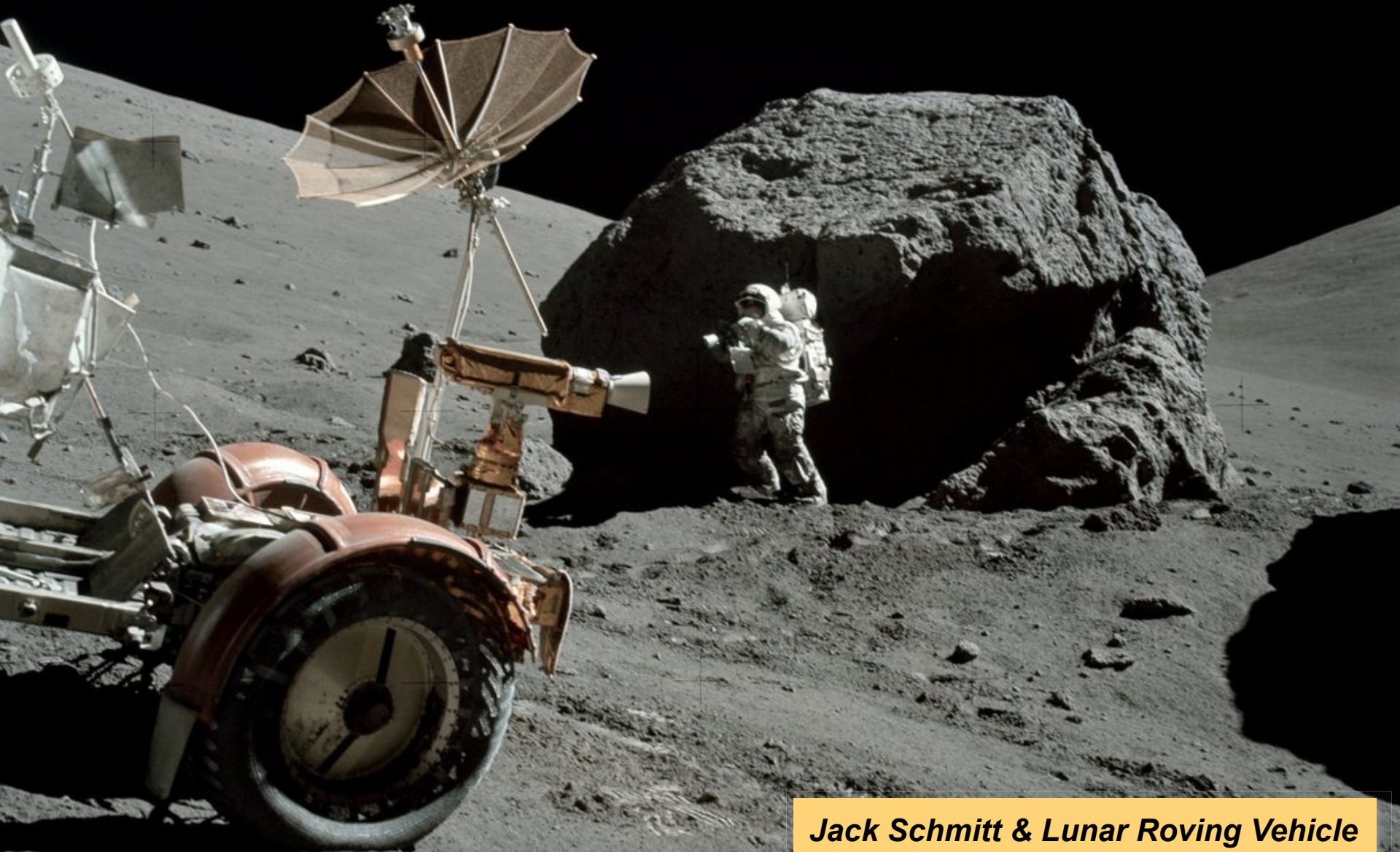


## Part 3: Collaboration

- Humans support autonomous robots
- Focus on cognitive tasks (planning, decision making, etc)
- Human-robot team may be distributed



# Human planetary exploration

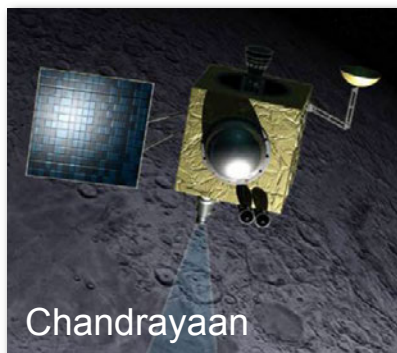


***Jack Schmitt & Lunar Roving Vehicle  
Apollo 17 (1972)***

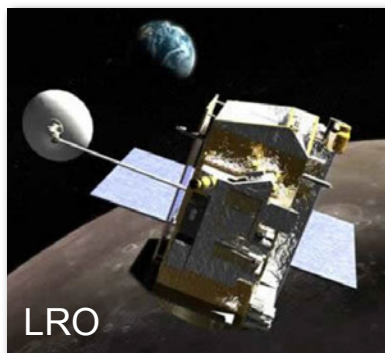
# What's changed since Apollo?



Kaguya



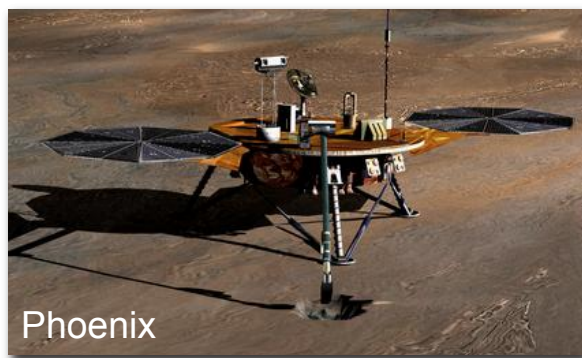
Chandrayaan



LRO



Space Station



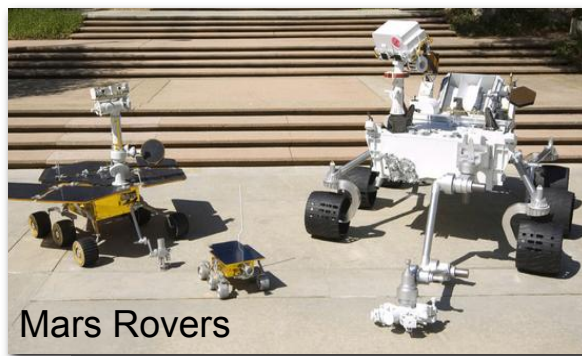
Phoenix



Robonaut 2



LCROSS



Mars Rovers



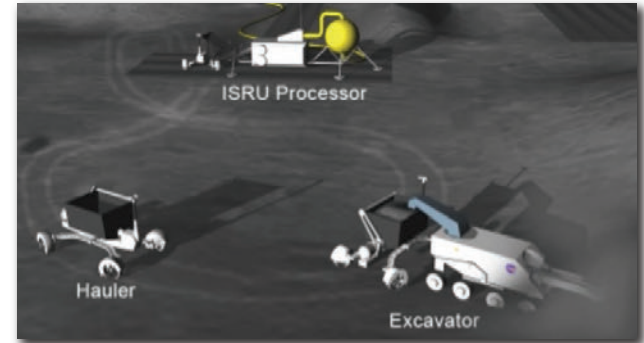
ATHLETE, K10, Chariot



# Robots for human exploration

## Robots before crew

- Prepare for subsequent human mission
- Scouting, prospecting, etc.
- Site preparation, equipment deployment, infrastructure setup, etc.



## Robots supporting crew

- Parallel activities and real-time support
- Inspection, mobile camera, etc.
- Heavy transport & mobility



## Robots after crew

- Perform work following human mission
- Follow-up and “caretaking” work
- Close-out tasks, maintenance, etc.



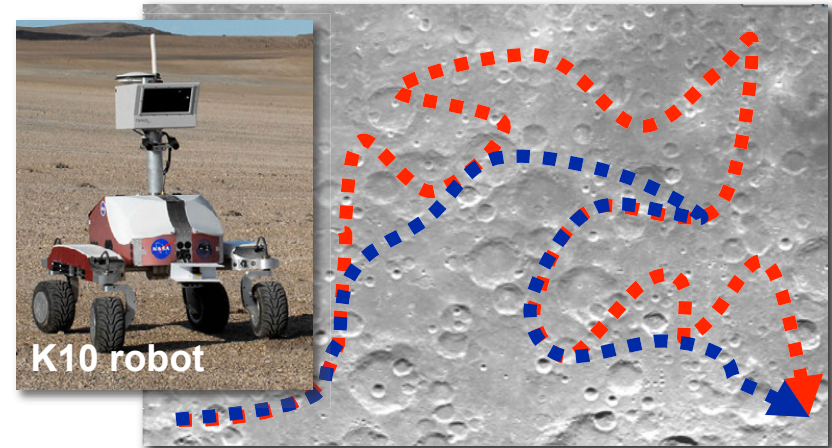
# Robotic Recon Project

## Objectives

- Assess value of robotic recon
- Study coordinated human-robot field exploration
- Fold lessons learned into lunar surface science ops concepts

## Results

- Captured requirements (instruments, comm, nav, etc.) for robotic recon
- Assessed impact of robotic recon on traverse planning & crew productivity
- Learned how to improve human productivity & science return



robot ■■■ crew ■■■

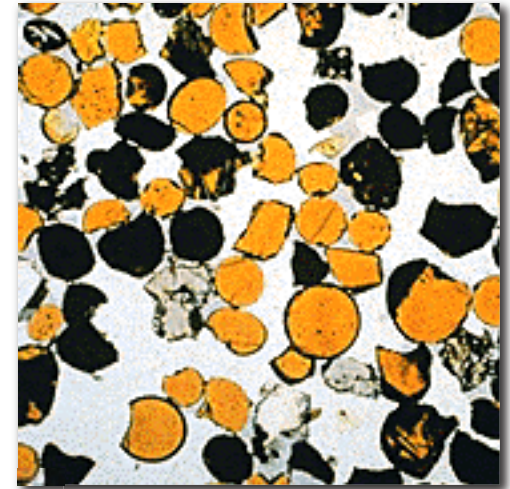
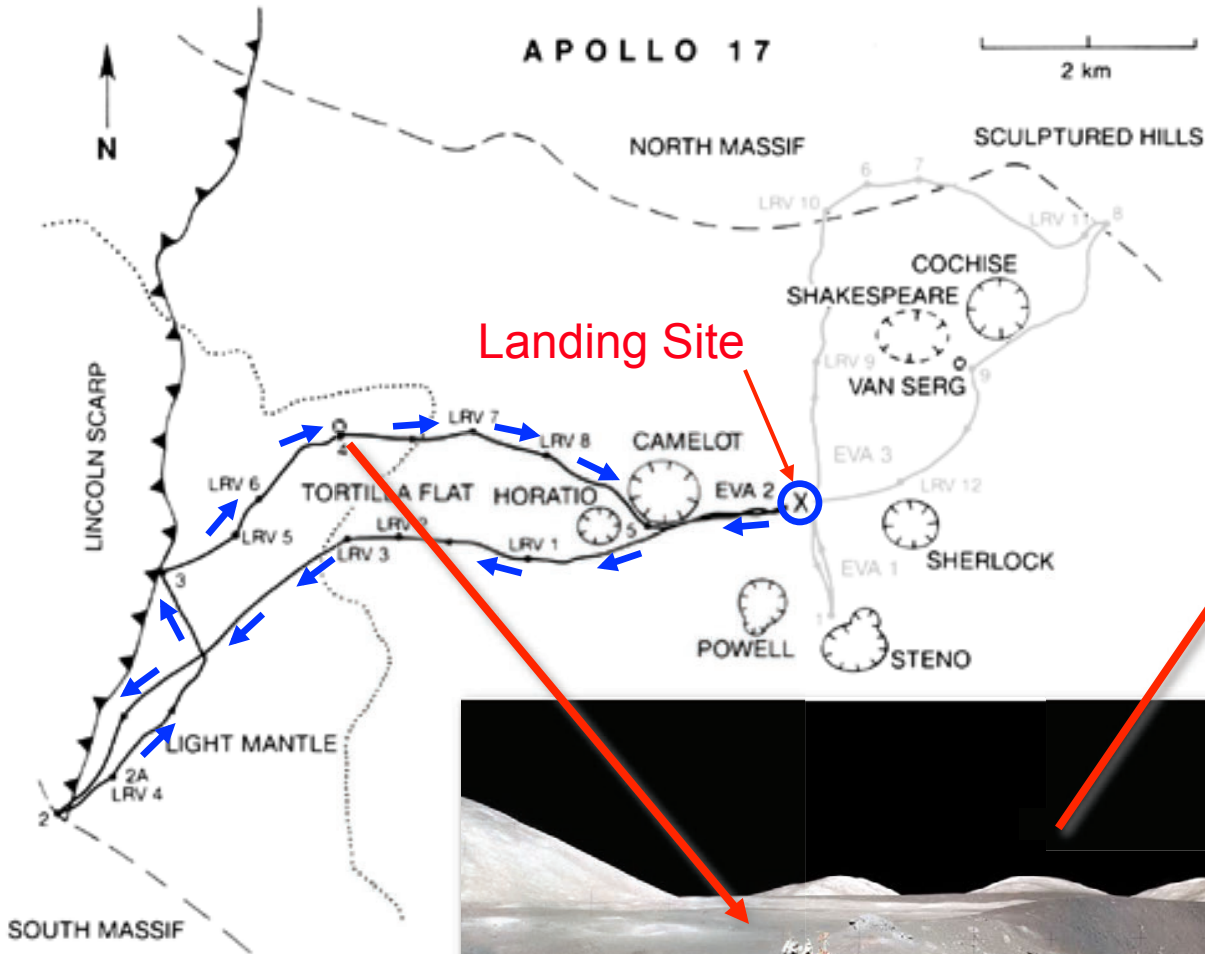


Space Exploration Vehicle (SEV)

M. Bualat et al. (2011) “Robotic recon for human exploration: method, assessment, and lessons learned”. GSA special paper 483.

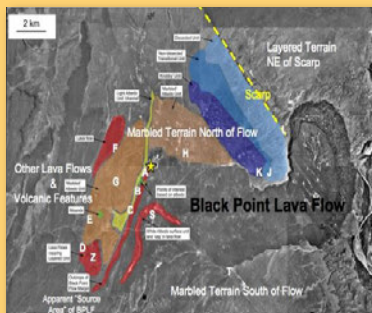


# Why is recon useful?



# Field experiment (2009)

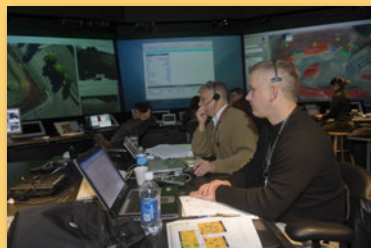
## Pre-Recon



**Mar 1 – June 1**

- Satellite images
- Geologic map

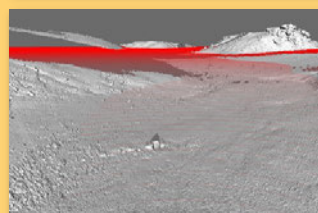
## Robot Mission



**June 14 – 26**

- K10 at BPLF
- Ground control at NASA Ames

## Pre-Crew



**July 1 – Aug 15**

- Recon images
- Terrain models

## Crew Mission



**Aug 29 – Sep 3**

- SEV at Black Point
- Science backroom at Black Point



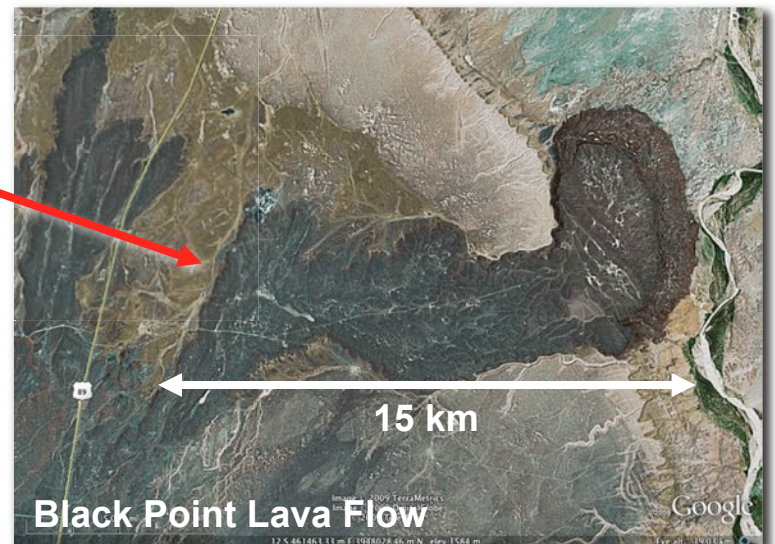
# Lunar analog site

## Black Point Lava Flow

- 65 km N of Flagstaff, AZ
- Analog of the “Straight Wall” (Mare Nubrium / Rupes Recta)
- Basaltic volcanic rocks & unit contacts



The “Straight Wall”



Black Point Lava Flow





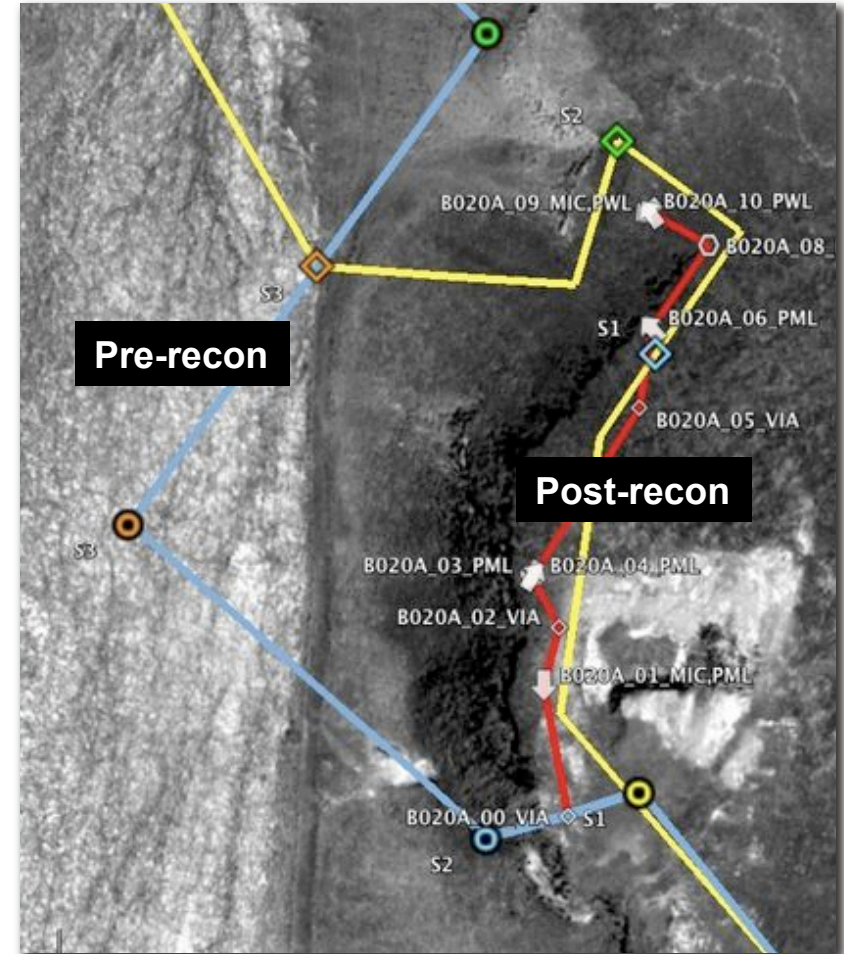




# Robotic recon results

## “West” region

- **Pre-recon** traverse plan was designed to be **Apollo-like**
  - Rapid area coverage (visit 5 hypothesized geologic units)
  - Single visit / sortie
- **Post-recon** traverse plan is **significantly** different
  - More flexible & adaptable
  - Recon data supports **real-time replanning** by crew
- Impact of recon
  - Reduced science uncertainty
  - Improved target prioritization



T. Fong et al. (2010) “**Assessment of robotic recon for human exploration of the Moon**”. Acta Astronautica 67 (9-10)

# Robotic Follow-up Project

## An exploration problem

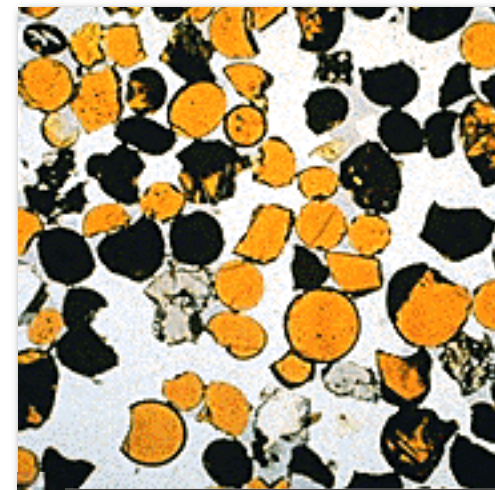
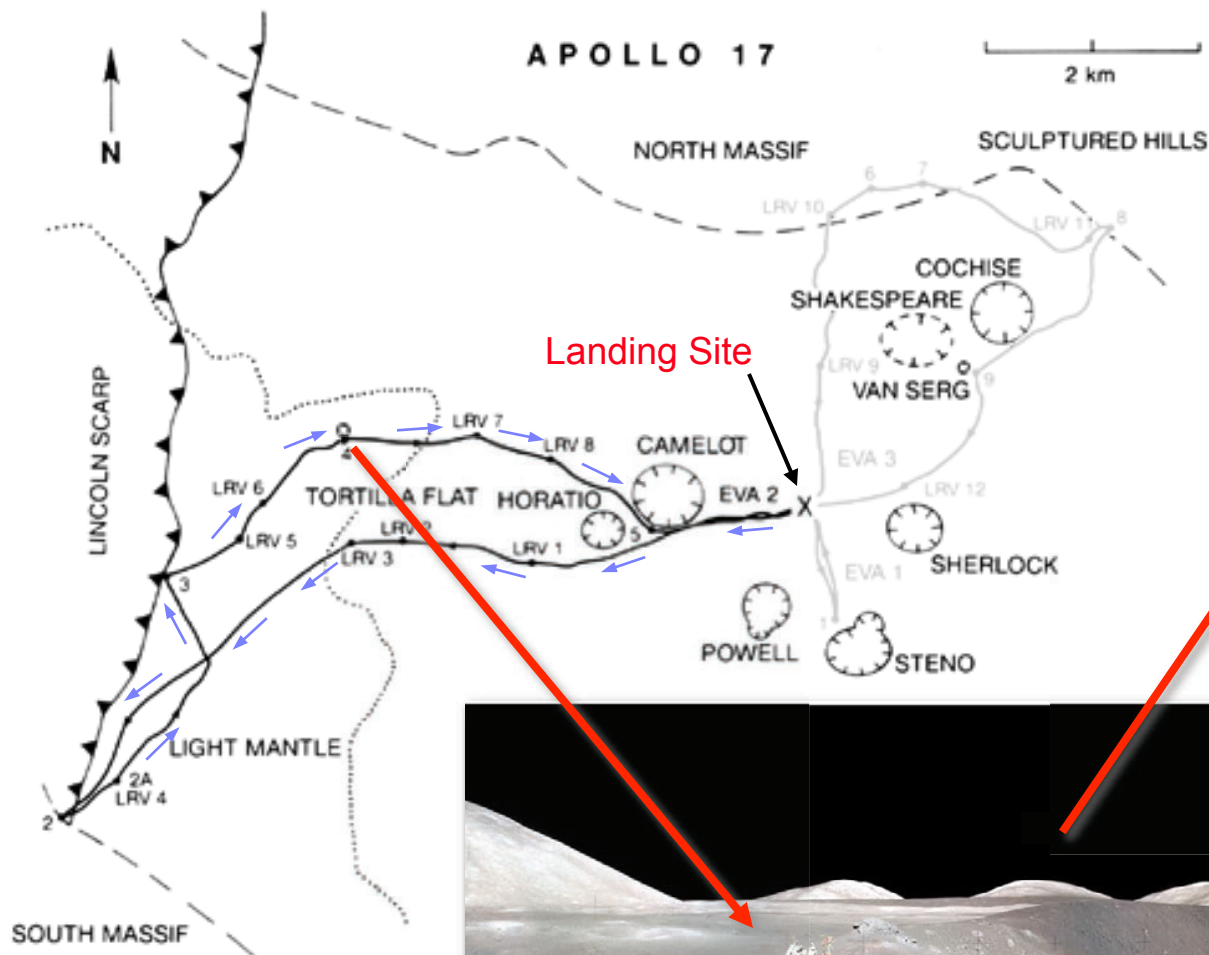
- Never enough time for field work
- “If only I could have...”
  - More observations
  - Additional sampling
  - Complementary & supplementary work

## The solution

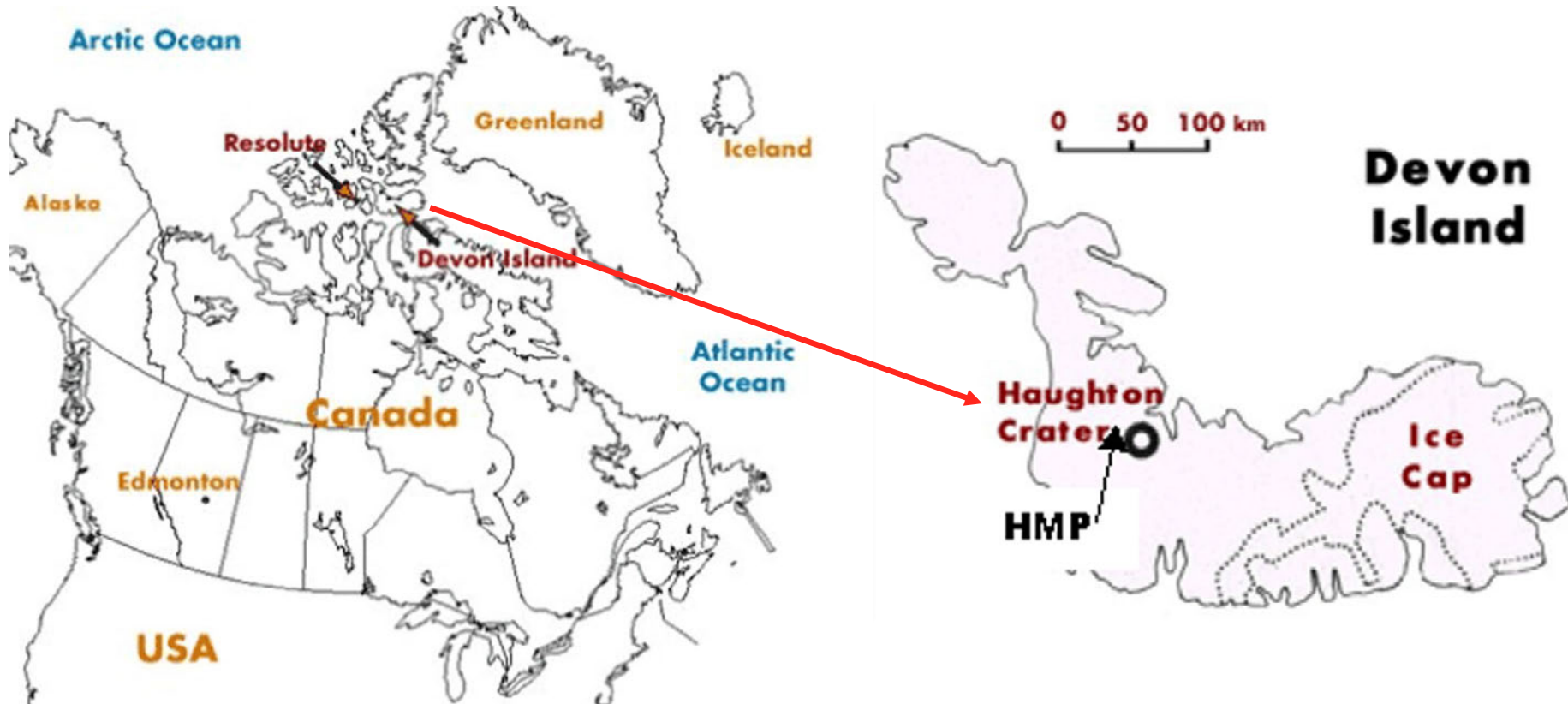
- Use robots to “follow-up” after human mission is completed
- Augment human field work with additional robot activity
- Use robots for work that is tedious or unproductive for humans



# Why is follow-up useful?



# Lunar analog site



## Haughton Crater

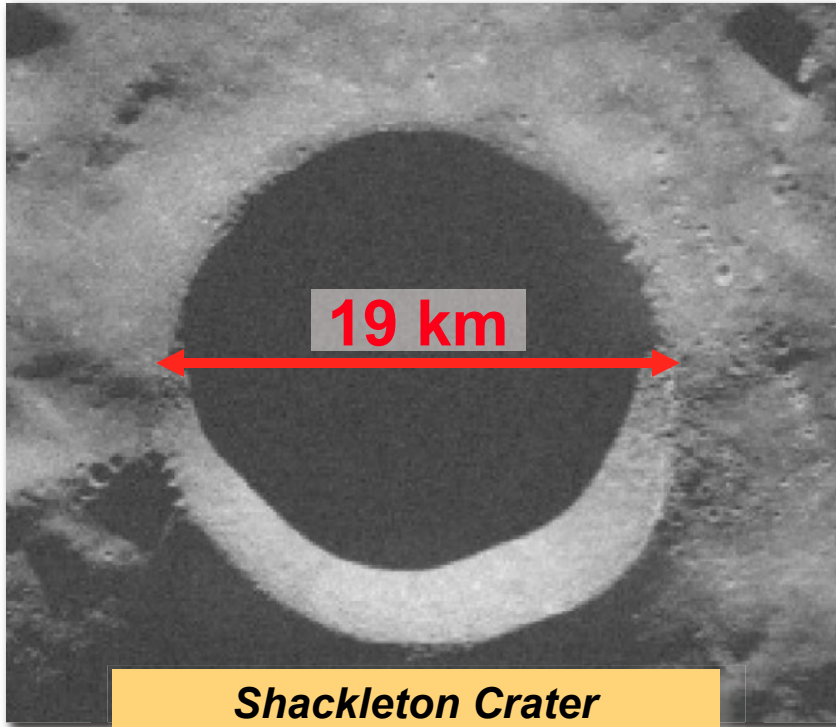
- 20 km diameter impact structure
- ~39 million years ago (Late Eocene)
- Devon Island: 66,800 sq. km (largest uninhabited island on Earth)

# Haughton Crater

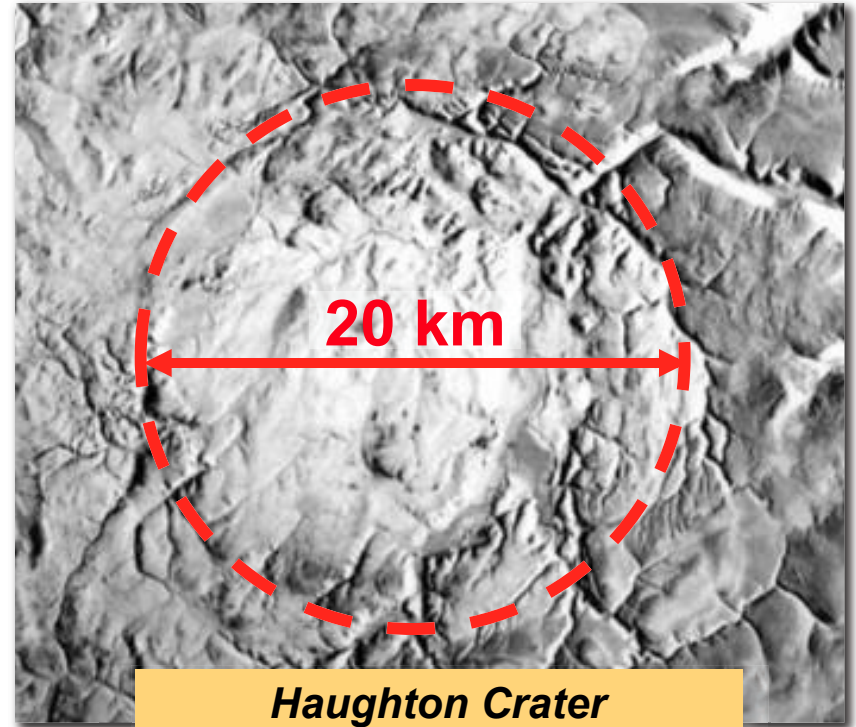


**Haughton Crater**  
(75° 22' N, 89° 41' W)

# Haughton Crater



***Shackleton Crater***  
***2005 Arecibo radar image***



***Haughton Crater***  
***radar image***

- Polar impact structures: mixed impact rocks & ejecta blocks
- Subsurface water ice
- Remote, isolated, difficult to access



# Crew mission (July 2009)



**Mark Helper  
and Pascal Lee**



**Essam Heggy  
and Pascal Lee**

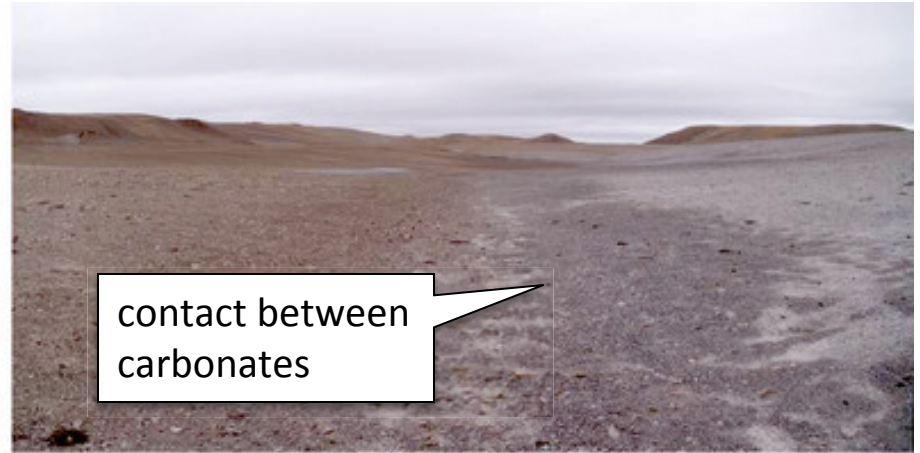
## Geologic Mapping

- Document geologic history, structural geometry & major units
- Example impact breccia & clasts
- Take photos & collect samples

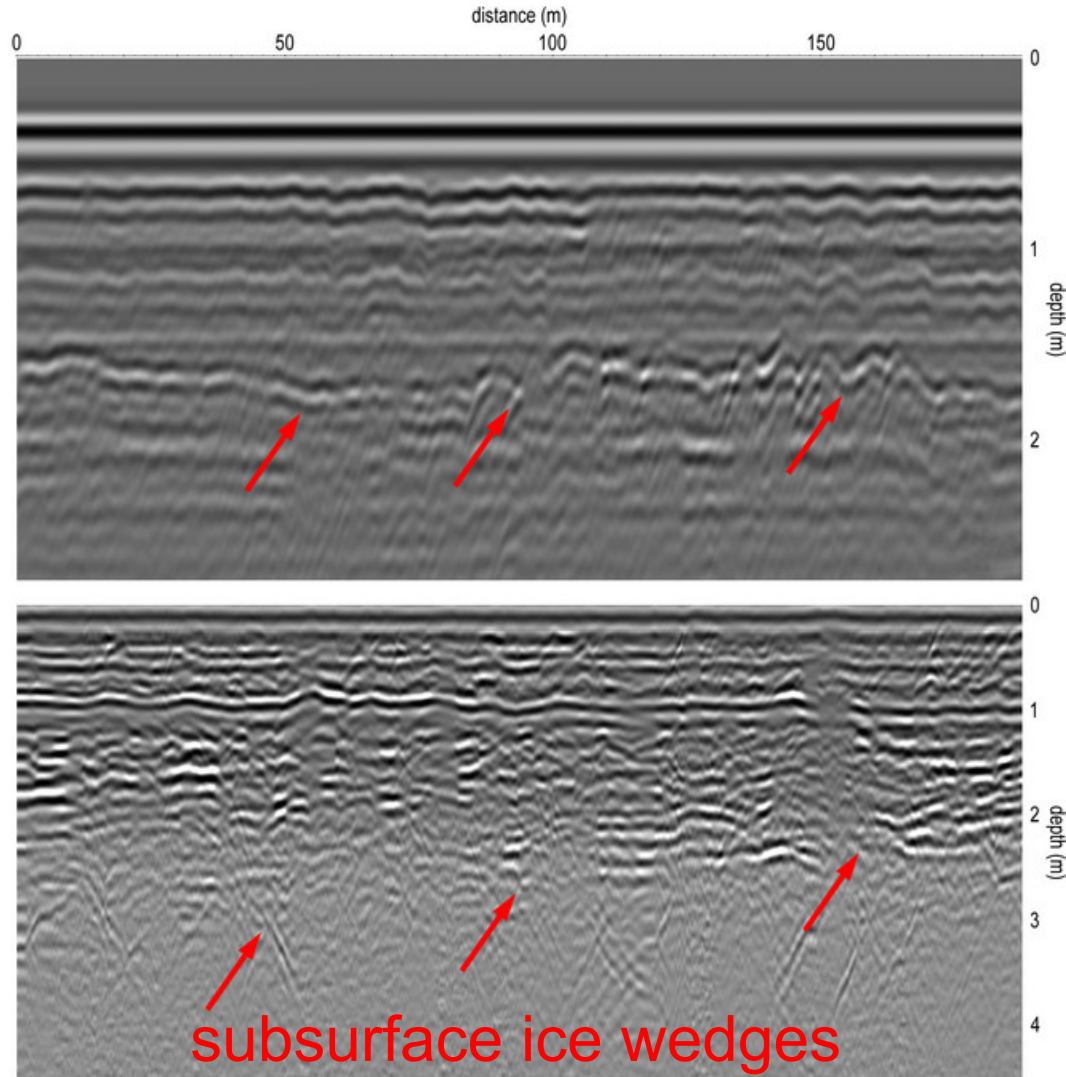
## Geophysical Survey

- Examine subsurface structure
- 3D distribution of buried ground ice in permafrost layer
- Ground-penetrating radar: manual deploy, 400/900 MHz

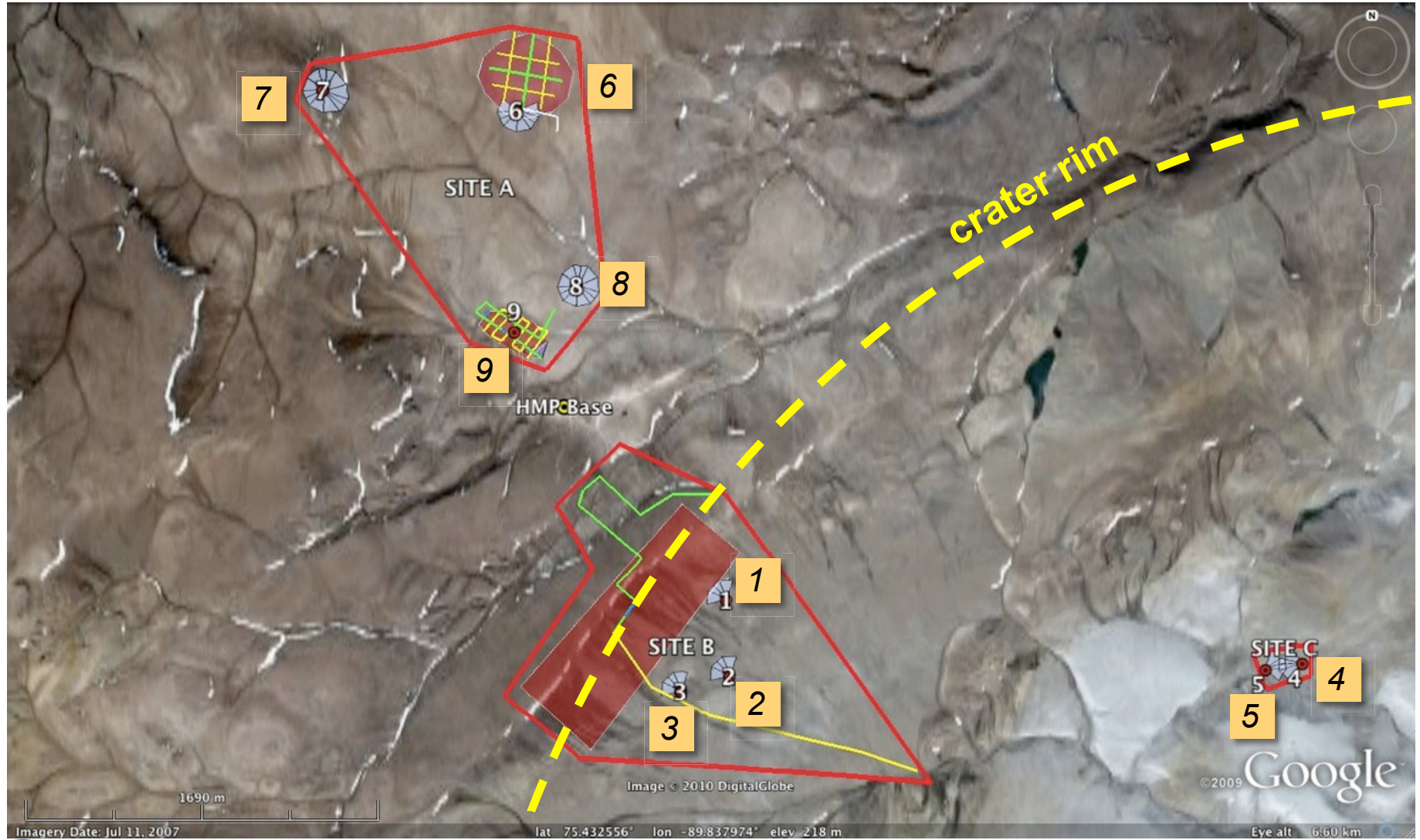
# Geologic mapping results



# Geophysical survey results



# Robotic follow-up plan

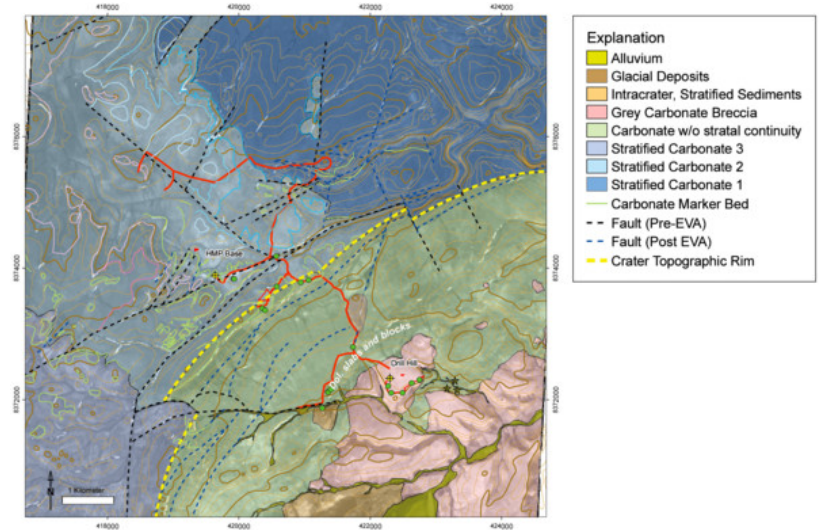




# Robotic follow-up results

## Geologic Mapping

- Verified the geologic map in multiple locations
- Amended the geologic map in multiple locations
- In some places, robot data was ambiguous, or lacked sufficient detail to re-interpret the map



## Geophysical Survey

- Enabled study (correlation of surface & subsurface features) of terrain “polygons”
- Determined average depth of subsurface ice layer and features (ice wedges)



T. Fong, M. Bualat, et al. (2010) “**Robotic follow-up for human exploration**”. AIAA Space Conf.



# Research @ NASA Ames

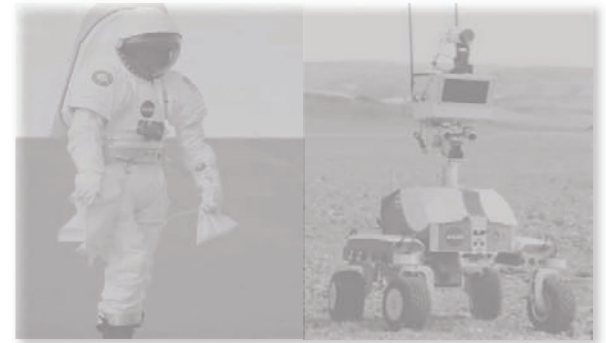
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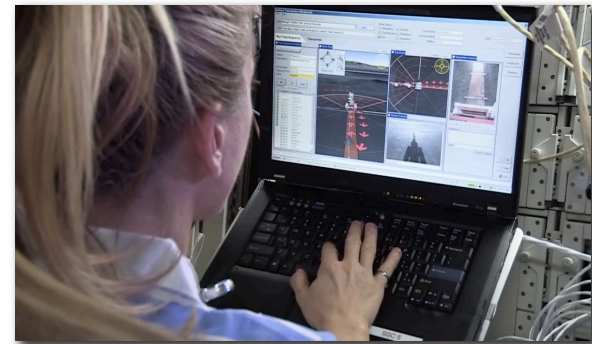
## Part 2: Coordination

- Achieve common (joint) objective
- Independent human and robot activities
- Robots work before, in parallel (loosely coupled) and after humans



## Part 3: Collaboration

- Humans support autonomous robots
- Focus on cognitive tasks (planning, decision making, etc)
- Human-robot team may be distributed



# Human-robot collaboration

## Our focus

- Study how **humans can remotely support robots**
- Address the many **anomalies**, **corner cases**, and **edge cases** that require unique solutions, which are not currently practical to develop, test, and validate under real-world conditions
- Humans provide high-level guidance (not low-level control) to assist when autonomy is inadequate, untrusted, etc.





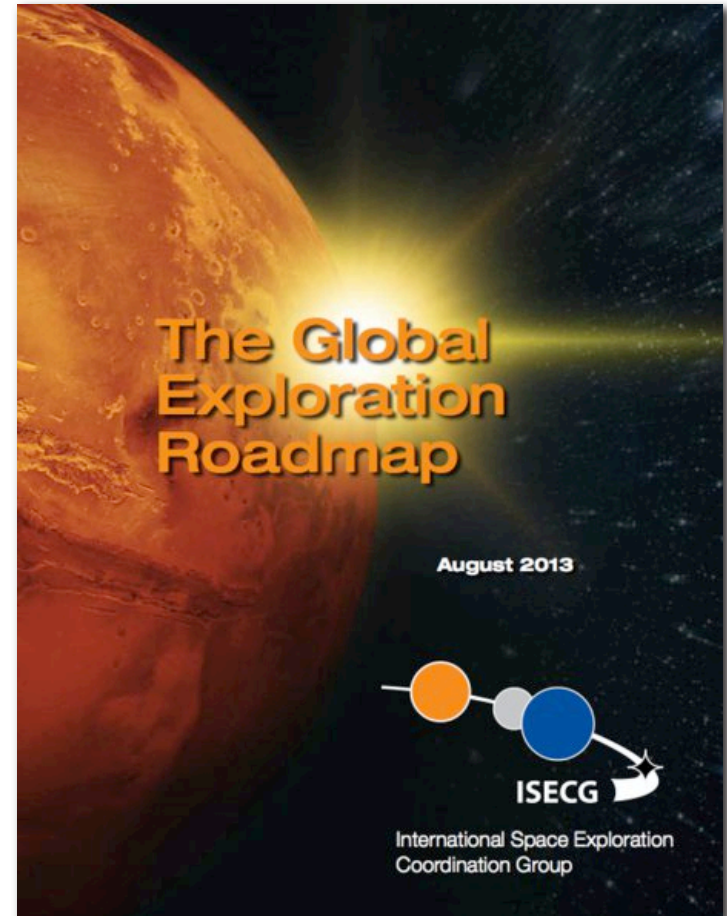
# Global Exploration Roadmap (2013)

## Human-Robotic Partnership (p. 22)

### Tele-Presence

Tele-presence can be defined as tele-operation of a robotic asset on a planetary surface by a person who is relatively close to the planetary surface, perhaps orbiting in a spacecraft or positioned at a suitable Lagrange point. Tele-presence is a capability which could significantly enhance the ability of humans and robots to explore together, where the specific exploration tasks would benefit from this capability. These tasks could be characterized by:

- High-speed mobility
- Short mission durations
- Focused or dexterous tasks with short-time decision-making
- Reduced autonomy or redundancy on the surface asset
- Contingency modes/failure analysis through crew interaction



# Surface telerobotics project

## Key Points

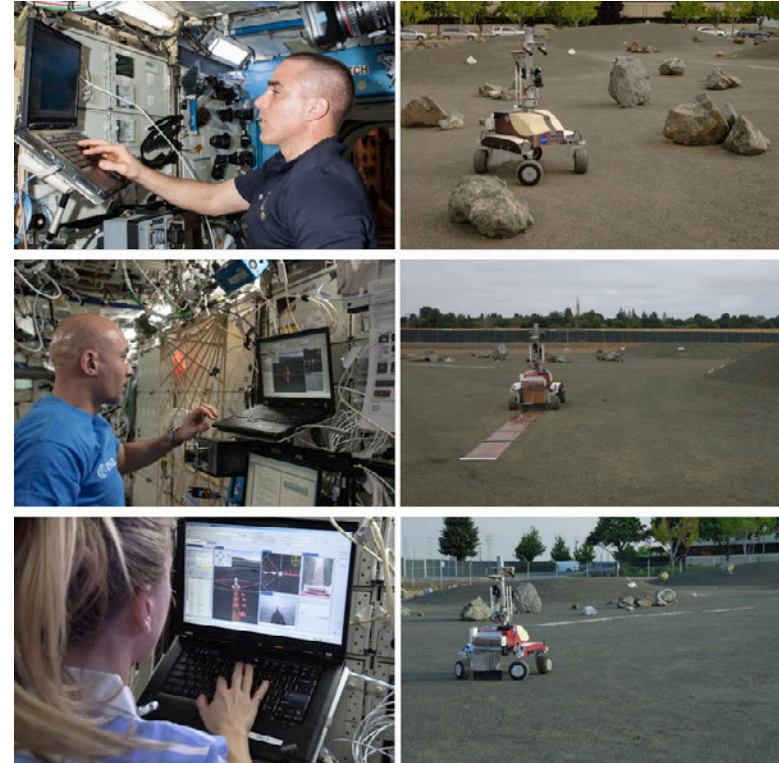
- Demo **crew-control** surface telerobotics (planetary rover) from ISS
- Test **human-robot conops** for future exploration mission
- Obtain **baseline engineering data** (robot, crew, data comm, task, etc)

## Implementation

- Lunar libration mission simulation
- Astronaut on ISS (in USOS)
- K10 rover in NASA Ames Roverscape

## ISS Testing (Expedition 36)

- June 17, 2013 – **C. Cassidy**, survey
- July 26, 2013 – **L. Parmitano**, deploy
- Aug 20, 2013 – **K. Nyberg**, inspect



SURVEY

DEPLOY

INSPECT

- **Human-robot mission sim:** site survey, telescope deployment, and inspection
- **Telescope proxy:** Kapton polyimide film roll (no antenna traces, electronics, or receiver)
- **3.5 hr per crew session** (“just in time” training, system checkout, ops, & debrief)
- **Robot ops:** manual control (discrete commands) and supervisory control (task sequence)



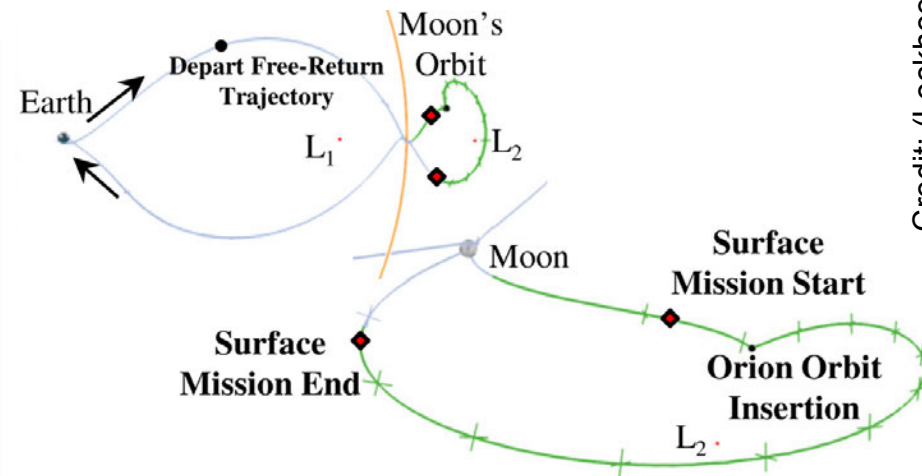
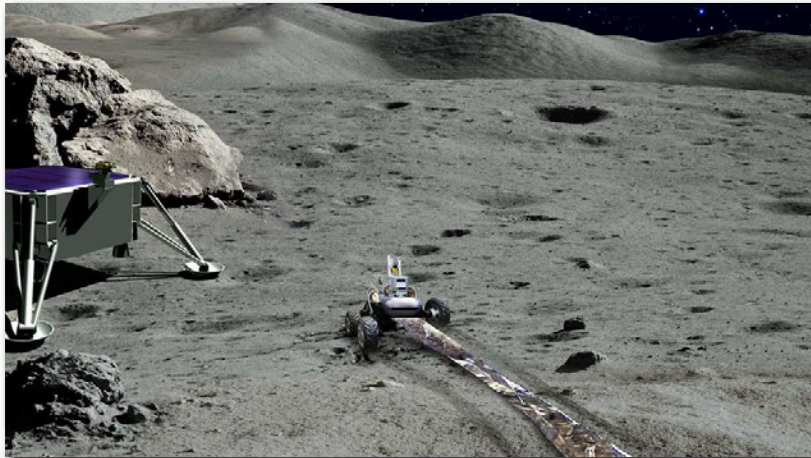
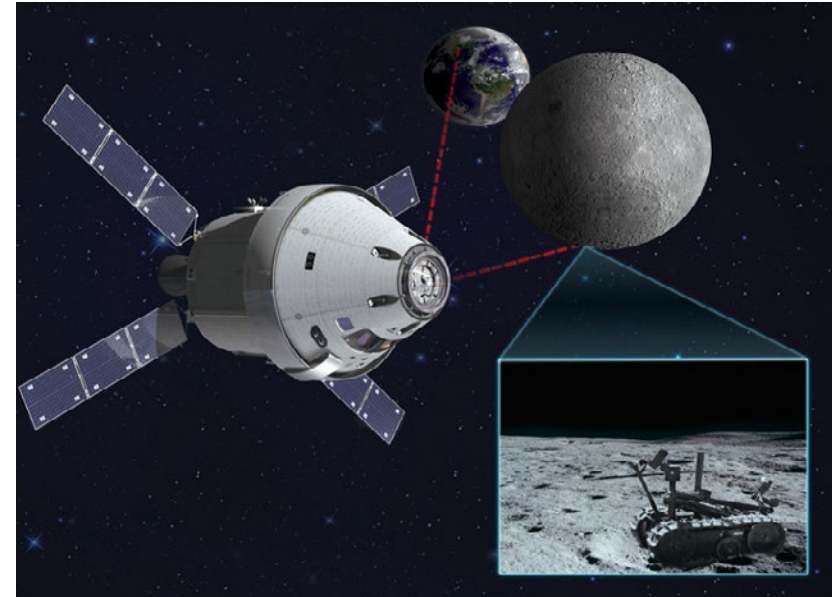
# “Fastnet” lunar libration point mission

## Orion MPCV at Earth-Moon L2 (EM-L2)

- 60,000 km beyond lunar farside
- Allows station keeping with minimal fuel
- Crew remotely operates robot
- Does not require human-rated lander

## Human-robot conops

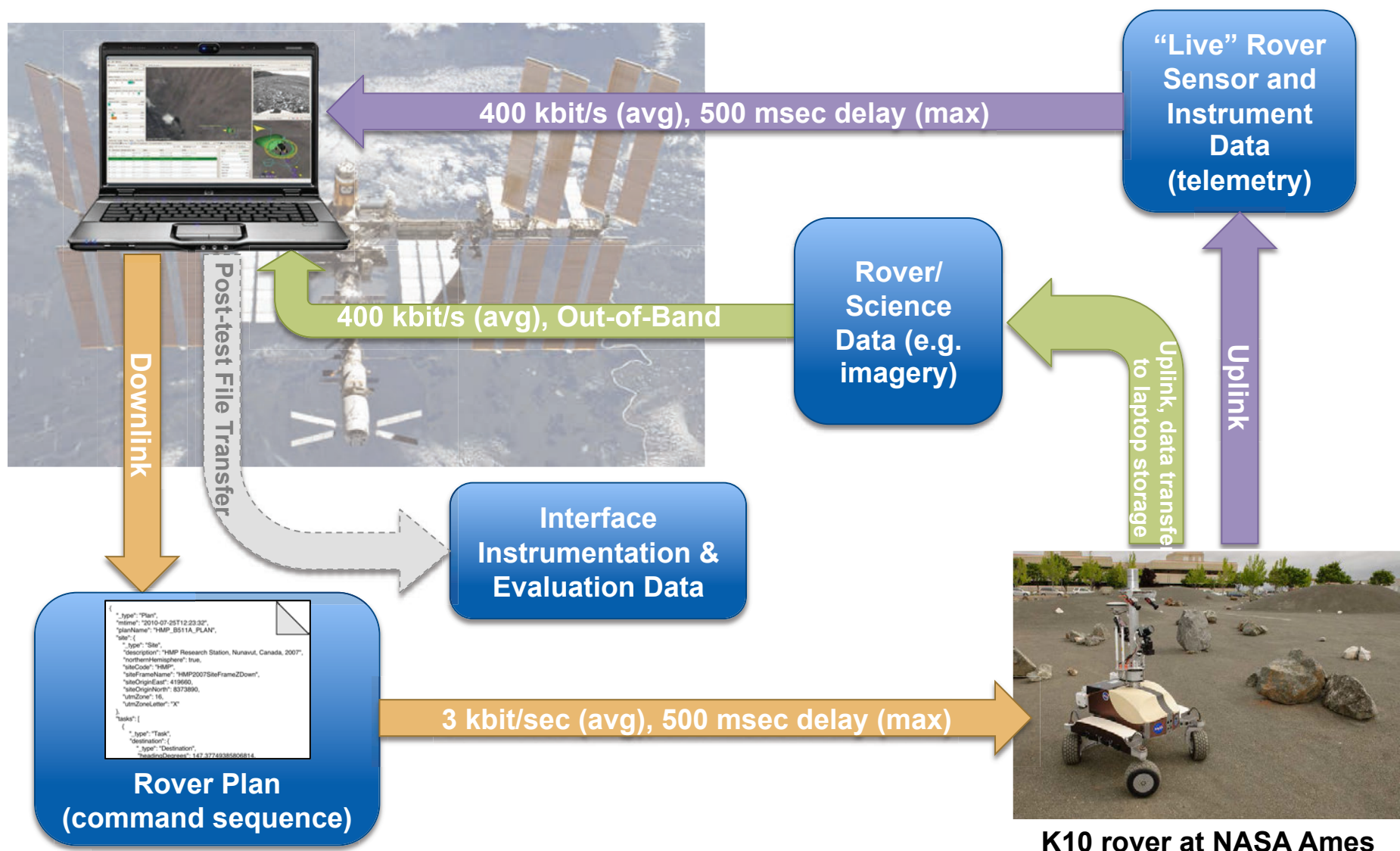
- Crew remotely operates surface robot from inside flight vehicle
- Crew works in shirt-sleeve environment
- Multiple robot control modes



Credit: (Lockheed Martin / LUNAR)



# ISS test setup



K10 rover at NASA Ames

# Astronaut in space / Robot on Earth





**Crew Session #1 – K10 performing surface survey (2013-06-17)**





**Chris Cassidy uses the “Surface Telerobotics Workbench”  
to remotely operate K10 from the ISS**



**Crew Session #2 – K10 deploying simulated polymide antenna (2013-07-26)**

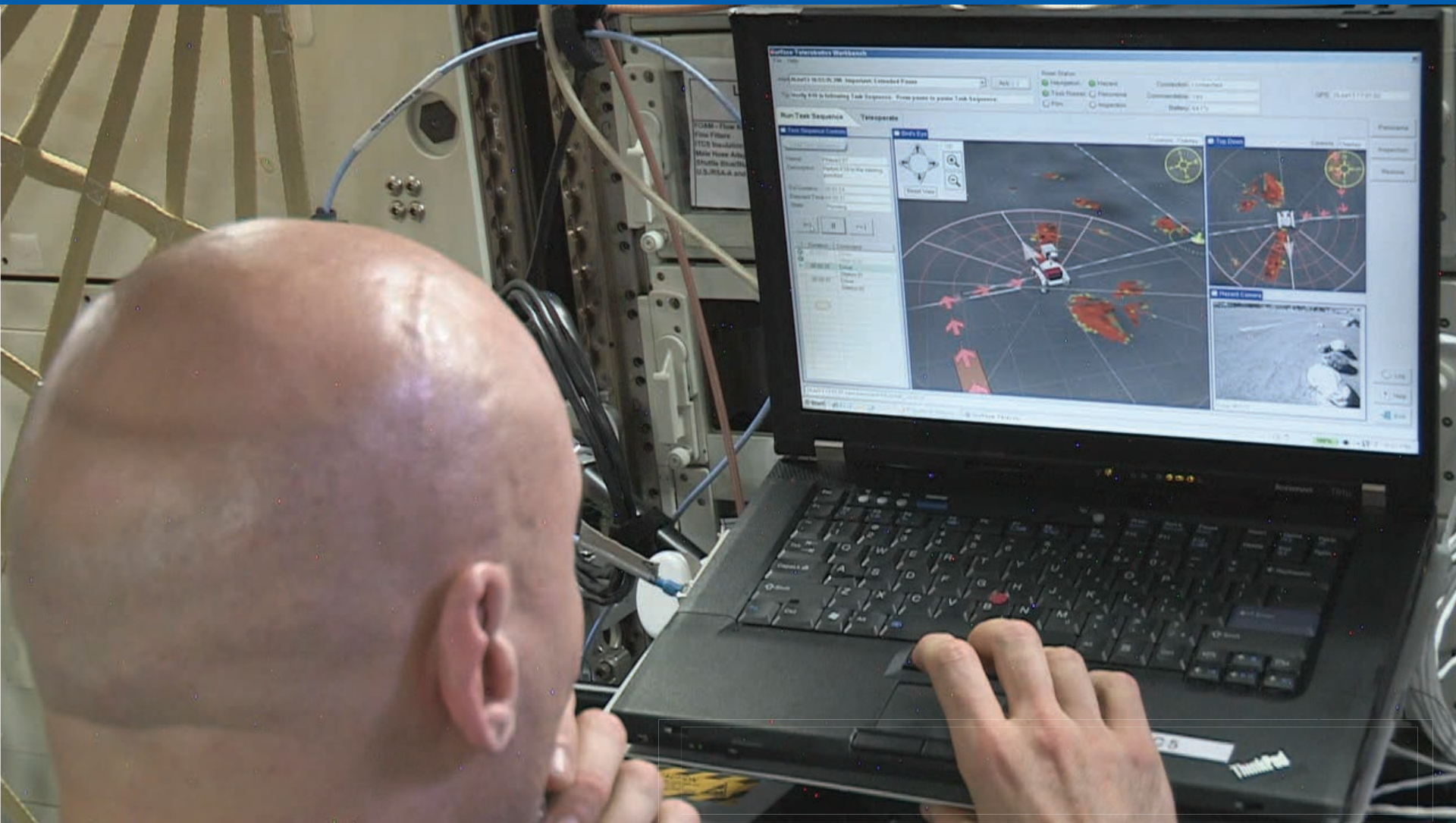






**ISS Mission Control (MCC-H) during Surface Telerobotics test  
View of robot interface and K10 at ARC**

# Crew control of K-10 rover





**Deployed simulated polyimide antenna (three “arms”)**



**Crew Session #3** – Karen Nyberg remotely operates K10 (2013-08-20)



## K10 documenting simulated polyimide antenna



# Assessment approach

## Metrics

- **Mission Success:** % task sequences: completed normally, ended abnormally or not attempted; % task sequences scheduled vs. unscheduled
- **Robot Utilization:** % time robot spent on different types of tasks; comparison of actual to expected utilization
- **Task Success:** % completed normally, ended abnormally or not attempted; % that ended abnormally vs. unscheduled task sequences
- **Contingencies:** Mean Time To Intervene, Mean Time Between Interventions
- **Robot Performance:** expected vs. actual execution time on tasks

## Data Collection

automatic

- **Data Communication:** direction (up/down), message type, total volume, etc.
- **Robot Telemetry:** position, orientation, power, health, instrument state, etc.
- **User Interfaces:** mode changes, data input, access to reference data, etc.
- **Robot Operations:** start, end, duration of planning, monitoring, and analysis
- **Crew Questionnaires:** workload (Bedford Scale), situation awareness (SAGAT)

M. Bualat, D. Schreckenghost, et al. (2014) “**Results from testing crew-controlled surface telerobotics on the International Space Station**”. 12<sup>th</sup> I-SAIRAS



# Human-robot collaboration

## Productivity

- **Productive Time (PT)** = astronaut and robot performing tasks contributing to mission objectives
- **Overhead Time (OT)** = astronaut and robot are waiting
- **Work Efficiency Index (WEI) = Productive Time / Overhead Time**

Productivity	Total Phase Time	PT	OT	%PT	%OT	WEI
Survey	0:50:01	0:34:58	0:15:03	69.90	30.10	2.32
Deploy	0:46:19	0:28:00	0:18:19	60.45	39.55	1.53

Highly productive

# Self-driving cars at NASA Ames

## Public/private partnerships

- **Google** (2014-15): collaborative testing of sensors and vehicles
- **Nissan** (2014-17): cooperative software development

## NASA interest

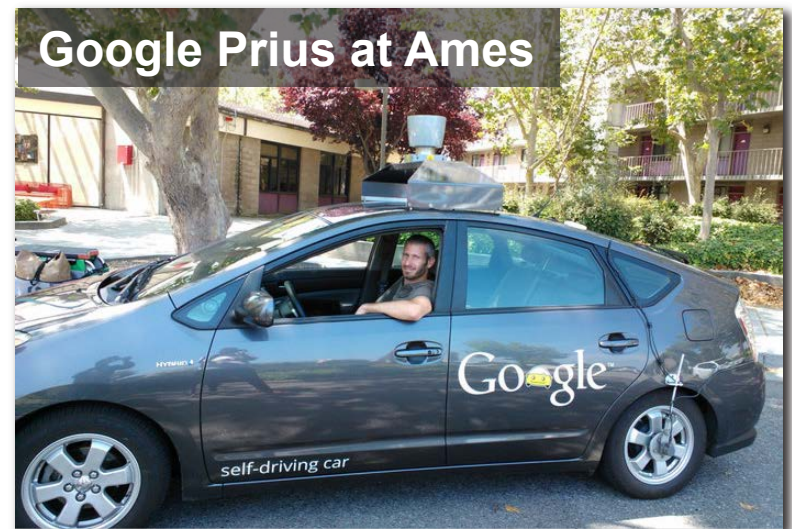
- Expand knowledge of commercial autonomous systems
- Develop protocols and best practices for safe testing of real-world autonomy
- Transfer NASA technology to terrestrial applications

## Technology maturation

- Safe testing in urban environment
- Leverage NASA expertise in autonomy, robotics, safety critical systems, and vehicle systems



Nissan Leaf at Ames



Google Prius at Ames



# Imperfect vehicle autonomy

## Edge cases, corner cases, and anomalies

- When a construction worker uses hand gestures to provide guidance, or direction, no autonomous car today can reliably make the right decision.
- When the sun is immediately behind a traffic light, most cameras will not be able to recognize the color of the signal through the glare.
- If we see children distracted by the ice cream truck across the street, we know to slow down, as they may dash toward it.

– Andrew Ng (*Wired*, 3/15/2016)



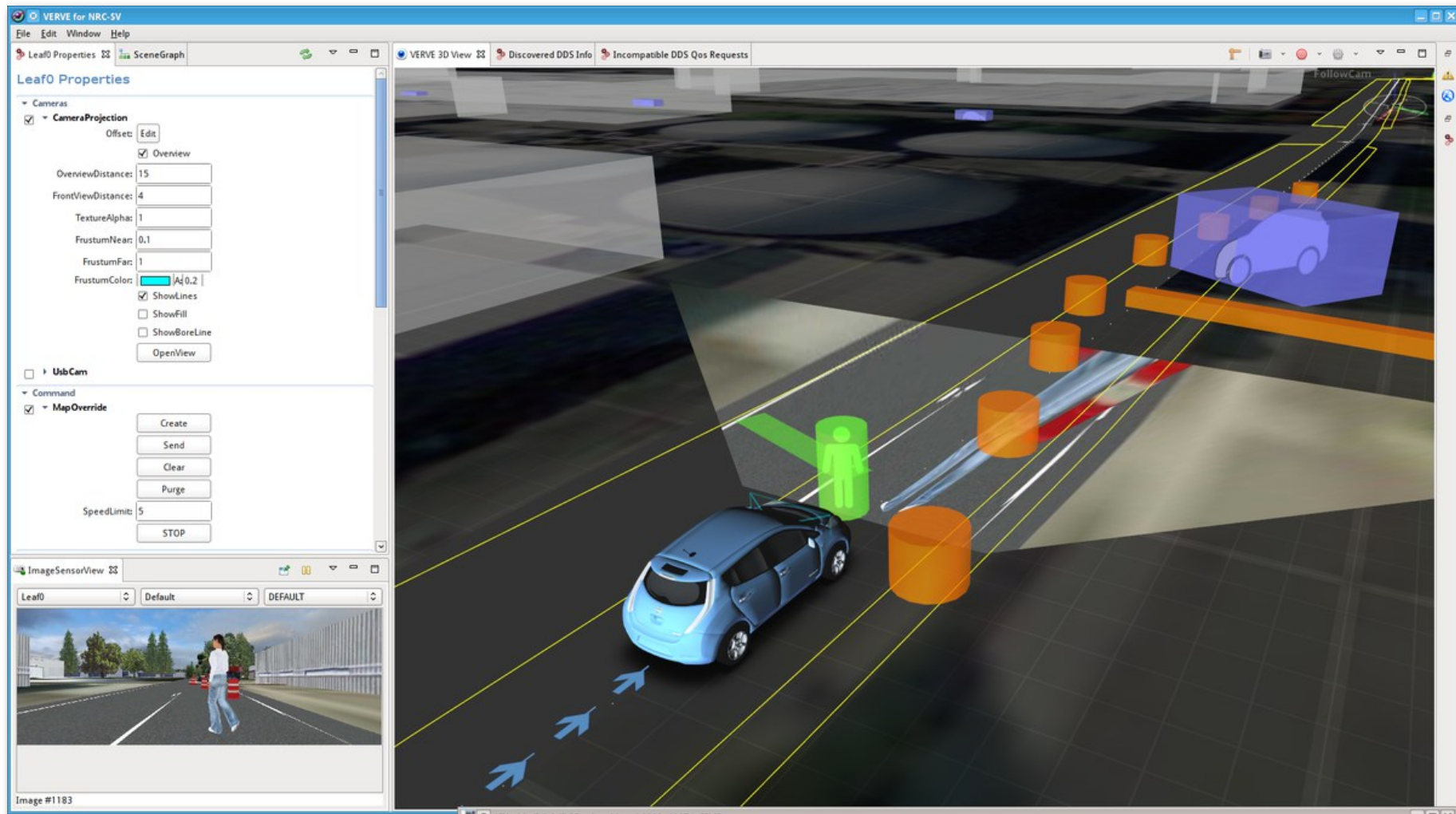
# Human at work / Self-driving car on road



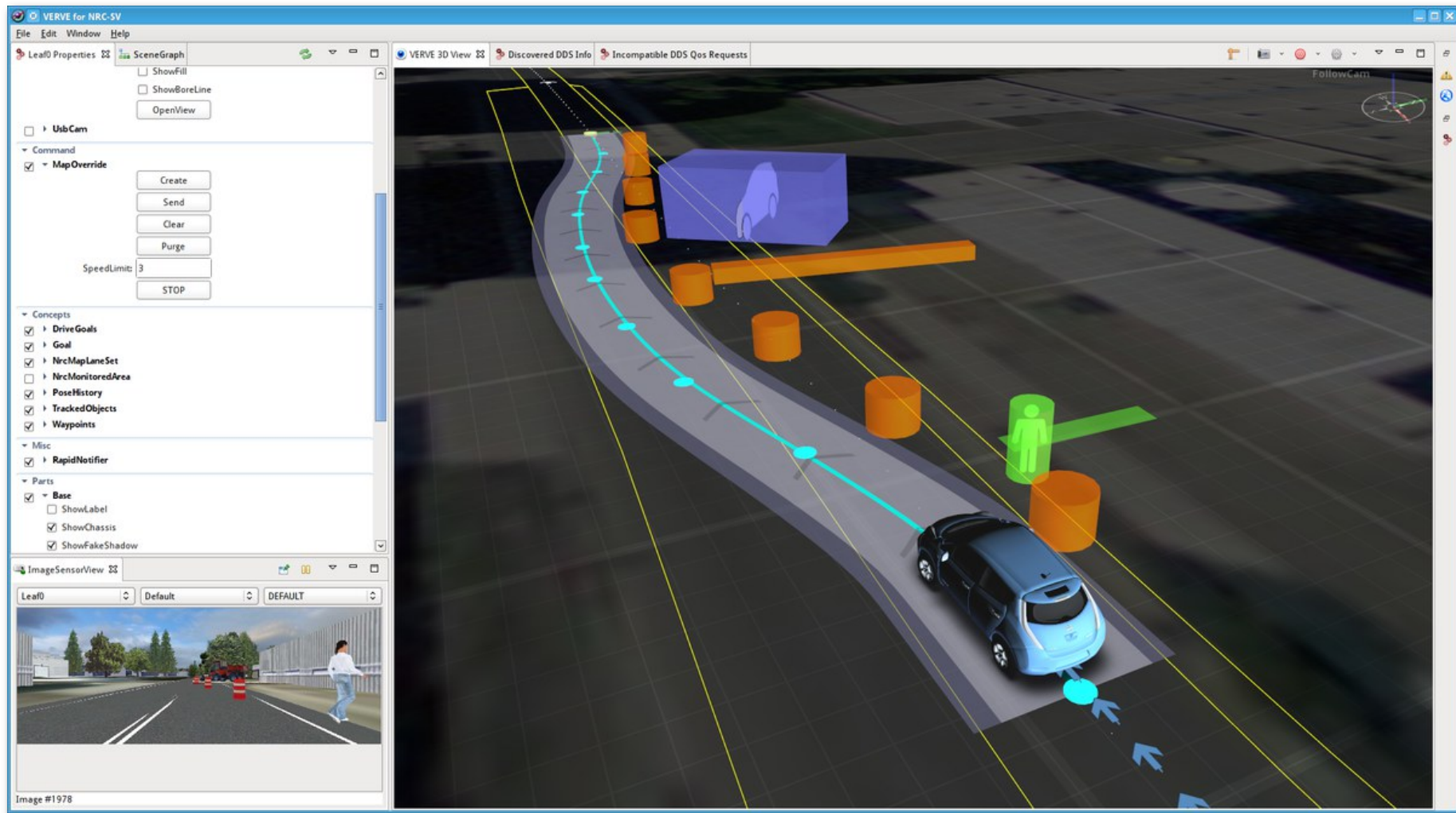
**Mobility managers at a support center**



# Vehicle assist: Situation assessment



# Vehicle assist: High-level guidance





# CES 2017 demo



# Building effective human-robot teams

## Communication

- Design **appropriate signals** (compact, legible, etc) to convey robot intent, status, etc.
- Signals may need to vary based on distance, environment, situation, etc.
- Do not need natural language to be effective

## Coordination

- Must **make it easy for humans to work with robot** (and vice versa)
- Human-robot teaming is not just side-by-side, closely coupled actions
- Consider how robots working **before**, in **support**, and **after** humans can be effective at achieving a goal

## Collaboration

- Identifying and building upon **interdependence** is essential
- Not all tasks can be planned in advance -- teaming must support spontaneous actions
- An effective team **works together** to achieve a shared objective



# Questions?



**Intelligent Robotics Group**  
Intelligent Systems Division  
NASA Ames Research Center

**[irg.arc.nasa.gov](http://irg.arc.nasa.gov)**

