

NASA Ames Research Center

HUMAN CENTERED SYSTEMS LAB
Airport and Terminal Area Simulator (ATAS)

NASA/DLR Collaboration NASA Ames Research Center 22 Aug 2017 – 24 Aug 2017



Flight Deck Surface Trajectory-Based Operations David C. Foyle, Becky L. Hooey, NASA Ames Research Center Deborah L. Bakowski, San Jose State University / NASA Ames







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URL: http://humansystems.arc.nasa.gov/groups/HCSL

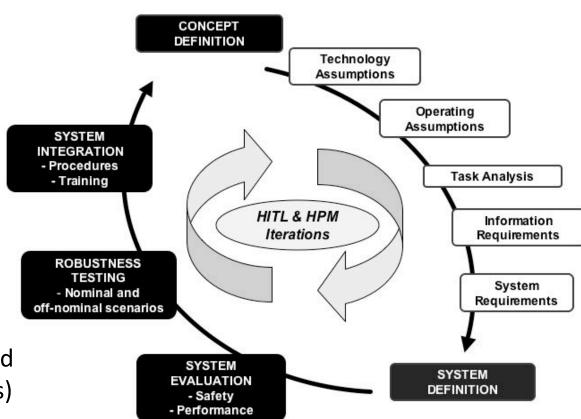
Mission:

- Develop principled and robust procedures and user interfaces with appropriate human-automation function allocation
- Develop safe and efficient systems that minimize pilots' cognitive/visual workload and increase situation awareness

Research Focus Areas:

- Flight Deck Human Factors
- NextGen surface operations and departure concepts (25+ years)
- KCLT ATD-2 Integrated Arrival,
 Departure & Surface (IADS)
 demonstration project











OVERVIEW

- Airport Surface Operations: Taxi-out/Departures and Surface Trajectory-Based Operations (STBO: taxi with time requirements)
- Continuum of Surface Operations:
 Manual → Automated → Autonomy
- Current-day; near-term and far-term STBO
- Research on Pilot/Flight deck STBO
- 4DT STBO: A candidate for autonomous operations
 - Research Issues

OVERVIEW Surface Trajectory-Based Operations (STBO)

STBO = Adding time component to Surface Operations (taxi/departure)

- **Increasing** use of Time **Information Increasing** Flight deck Coordination
- Current Day Surface Operations
- Current Day (EDCT APREQ/CFR)
- Near-term (e.g., FAA STBO/NASA ATD2)
 - without flight deck component
- Future 4DT Surface Trajectory-based
 Operations (STBO) Vision (NASA/DLR)
 - with flight deck component

Continuum of Surface Operations Technologies Manual → Increased Automation → Autonomy

		ATC / Surface Traffic Manager (STM			
		Manual (Voice)	Manual (Voice) w/ Decision Aids	Autonomous STM	
	Manual A/C Control				
Pilot(s) / Flight Deck	Manual A/C Control w/ Display Aids				
	Autonomous A/C Operations				

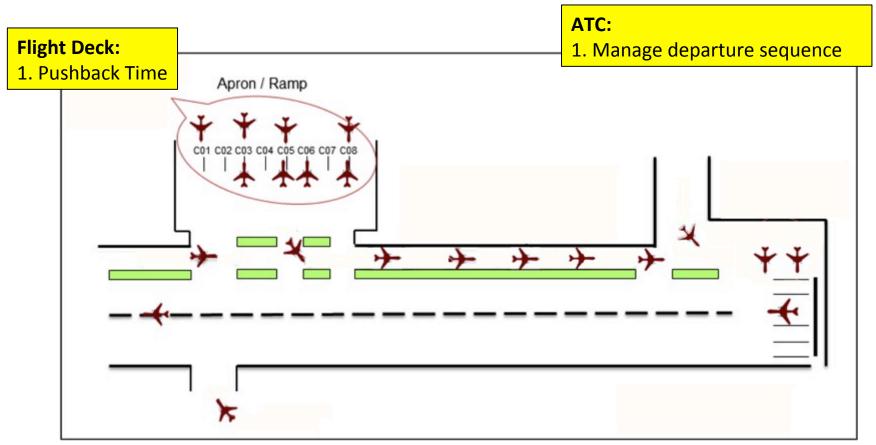


Continuum of Surface Operations Technologies Manual → Increased Automation → Autonomy

		ATC / Surface Traffic Manager (STM				
		Manual (Voice)	Manual (Voice) w/ Decision Aids	Autonomous STM		
	Manual A/C Control	Current Day				
Pilot(s) / Flight Deck	Manual A/C Control w/ Display Aids					
	Autonomous A/C Operations					



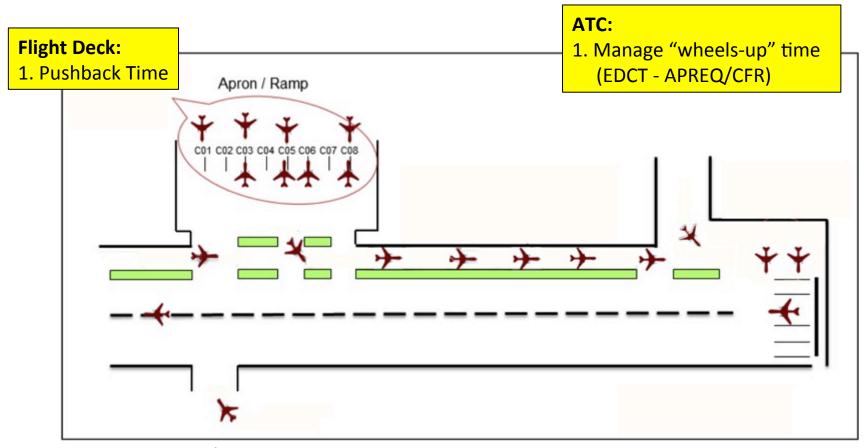
Current Day Surface Operations



- Pilots manage pushback time to meet:
 - Scheduled departure/take-off time



Current Day (EDCT – APREQ/CFR)



- Flight deck/pilots manage pushback time to meet:
 - "Wheels-up time"
- Flight deck/pilots have <u>no information</u> about:
 - Expected taxi time
 - Surface congestion
 - Departure queue size

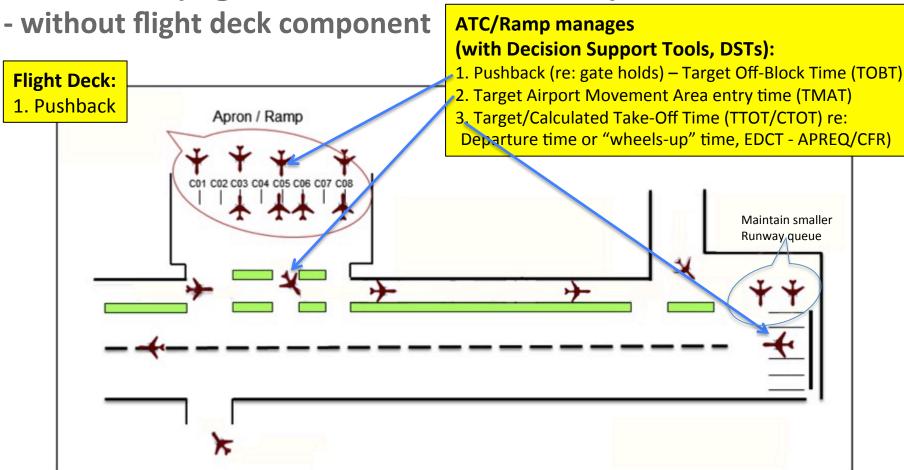


Continuum of Surface Operations Technologies Manual → Increased Automation → Autonomy

		ATC / Surface Traffic Manager (STM)			
		Manual (Voice)	Manual (Voice) w/ Decision Aids	Autonomous STM	
	Manual A/C Control		FAA STBO / NASA ATD2		
Pilot(s) / Flight Deck	Manual A/C Control w/ Display Aids				
	Autonomous A/C Operations				



Near-term (e.g., FAA STBO/NASA ATD2)



- Pilots manage pushback time to meet:
 - "Wheels-up time" (at KCLT, about 10% of flights)
- Pilots have no information about:
 - Expected taxi time
 - Surface congestion
 - Departure queue size



Continuum of Surface Operations Technologies Manual → Increased Automation → Autonomy

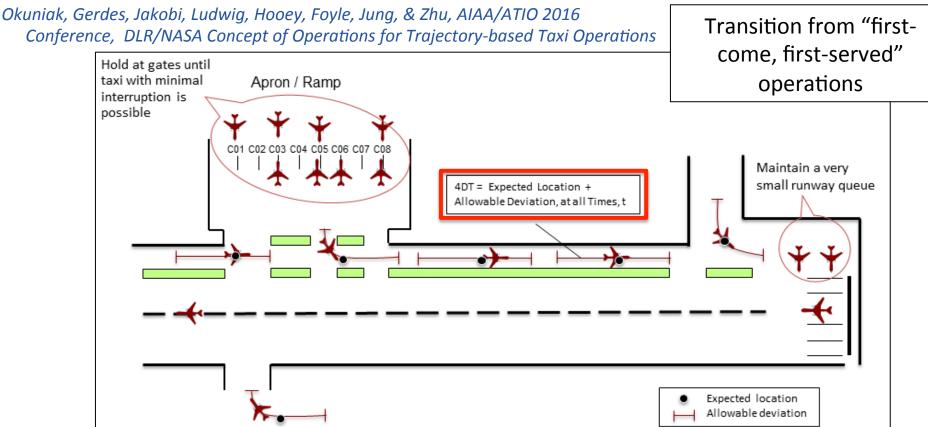
		ATC / Surface Traffic Manager (STM)				
		Manual (Voice)	Manual (Voice) w/ Decision Aids	Autonomous STM		
	Manual A/C Control					
Pilot(s) / Flight Deck	Manual A/C Control w/ Display Aids		NASA Flight Deck / SARDA STM	NASA Flight Deck / DLR STM		
	Autonomous A/C Operations					

- Controller: Manual/voice ops, manual sequencing/ scheduling aids, manual deconfliction
- <u>Pilot</u>: Controls manually, info/displays for 4DT STBO

- <u>Controller</u>: Auto-routing, auto-deconfliction, autosequencing/scheduling, position timing
- <u>Pilot</u>: Controls manually, info/displays for 4DT STBO



Future 4DT Surface Trajectory-based Operations (STBO) Vision (NASA/DLR) - with flight deck component



- Requirement to be at locations at specific time; defined (x_t, y_t) with certain tolerance
- DLR TRACC Surface Management System dynamically creates conflict-free routes
- Coordination between Flight Deck ATC/Ramp re: location and times



Future 4DT Surface Trajectory-based Operations (STBO) Vision (NASA/DLR) - with flight deck component

Okuniak, Gerdes, Jakobi, Ludwig, Hooey, Foyle, Jung, & Zhu, AIAA/ATIO 2016 Transition from "first-Conference, DLR/NASA Concept of Operations for Trajectory-based Taxi Operations come, first-served" Hold at gates until taxi with minimal Apron / Ramp operations interruption is possible Maintain a very small runway queue 4DT = Expected Location + Allowable Deviation, at all Times, t Expected location Allowable deviation

- Enables dynamic surface flow re-planning
- Enables increasingly precise taxi routing plans for improved surface traffic flow efficiency
- Flight deck component allows for coordination with ATC re: schedule issues (e.g., maintenance, FMS, weights/balances, RWY changes, etc.)
- Extension of FAA/NASA STBO concept
- Would enable aircraft traffic to continue rolling through Active RWY Crossings, instead of stopping aircraft and requiring ATC to do "batch" crossings of arrivals
- Facilitate timed runway take-off window conformance (+/- 5 min EDCTs, -2/+1 min APREQ/CFRs)



4DT STBO: Taxi Clearances w/ Speed Commands: Taxi Time-based Conformance

Summary HITL sim data from: Foyle, Hooey, Bakowski & Kunkle, Int'l Journal of Aviation Psychology, 2015

"NASA 227, Taxi to RWY 17L via A, B, C at 14 kts"

Taxi Clearance	Required time of Arrival (RTA) Performance	Safety
 Non-specified acceleration/deceleration speed profile (n = 8 pilots) 	Not able to achieve accurate RTAs	Slightly increased visual demand, as compared to baseline
 Specified acceleration/deceleration profile (1kt/sec) Speed-conformance bound (+/- 1.5 kts) (n = 18 pilots) 	Good RTA performance	 Increased workload and visual demand 14/18 pilots rated "unsafe"

- Taxiing Captain cannot "tightly control/track" speed, navigate, and maintain separation.
- "Open-loop" control compounds error

ConOps Implications:

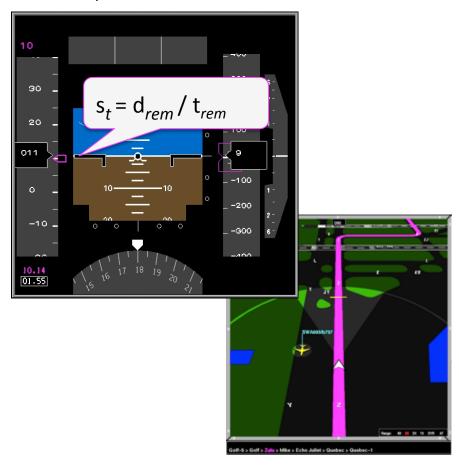
- Incorporating speed into the taxi clearance alone is not sufficient for the performance/safety balance
- There is a requirement for human-centered flight deck display algorithms

4DT STBO: Flight Deck Display Design

"3.5-DT" / 4DT Speed-based Flight Deck Display

Foyle, Hooey, Bakowski & Kunkle, Int'l Journal of Aviation Psychology, 2015

 "Closed-loop" speed control to specific airport locations

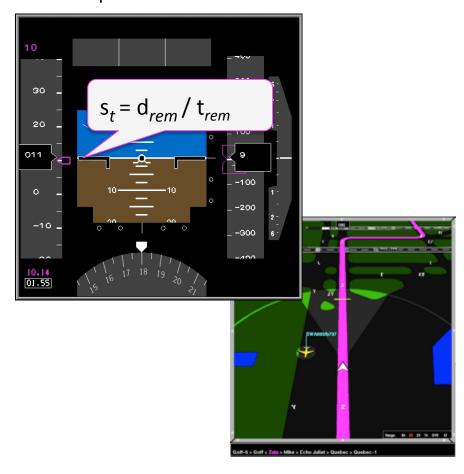


Taxi Clearance	axi Clearance Required time of Arrival (RTA) Performance	
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 Specified acceleration/ deceleration profile (1kt/sec) Speed-conformance bound (+/- 1.5 kts) (n = 18 pilots) 	Good RTA performance	 Increased workload and visual demand 14/18 pilots rated "unsafe"
• "3.5-DT" Speed Display (n = 8 pilots)	Good RTA performance	Low visual demand

4DT STBO: Flight Deck Display Design/Philosophy

"3.5-DT" / 4DT Speed-based Flight Deck Display
Foyle, Hooey, Bakowski & Kunkle, Int'l Journal of Aviation
Psychology. 2015

 "Closed-loop" speed control to specific airport locations



Full 4DT Location-based Flight Deck Display Bakowski, Hooey, Foyle, & Wolter, 2015, AHFE Bakowski, Hooey, & Foyle, 2017, DASC

- Status-at-a-glance display to maximize 'eyesout' time
- Enable strategic use pilots do not need to track speed continuously (anywhere in pink band is 'in conformance')
- Display expected position with tolerance and allow pilots to use expertise to control aircraft (e.g., "human/pilot-centered")



Continuum of Surface Operations Technologies Manual → Increased Automation → Autonomy

		ATC / Surface Traffic Manager (STM			
		Manual (Voice)	Manual (Voice) w/ Decision Aids	Autonomous STM	
	Manual A/C Control				
Pilot(s) / Flight Deck	Manual A/C Control w/ Display Aids				
	Autonomous A/C Operations	Future	Future	Future	



Automation/Autonomy in 4DT Surface Trajectory-Based Operations (STBO)

TRACC: "Taxi Routes for Aircraft: Creation and Controlling" Surface Management System – Germany's DLR

- Creates conflict free routes/re-routes
- Non-Conformance within 50 m (164 ft) of deviation from expected x, y position
- Dynamic, multiple speed changes (up to 5) along taxi route

Autonomous Surface Operations:

- Enables 4DT STBO efficiencies
- Distributed architecture (Airport/Tower/Aircraft)
- Surface traffic manager
- 4DT STBO operations (i.e., times at AMA entry, taxi merge points, rolling runway crossings, runway departure queue)
- Routing/re-routing
- Traffic de-confliction
- Candidate Auto-taxi propulsion
 - Wheel-bots
 - Electric tugs
 - Auto-taxi aircraft

Automation/Autonomy in 4DT Surface Trajectory-Based Operations (STBO)

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- Non-Conformance within 50 m (164 ft) of deviation from expected x, y position
- Dynamic, multiple speed changes (up to 5) along taxi route

Autonomous Surface Operations:

Candidate initial architecture (NASA/DLR Concept):

- Ground/Tower: Surface Traffic Management
 - Issue STBO Clearances (Routes w/ times)
 - Re-routing for efficiency or nonconformance
 - Traffic deconfliction
- Aircraft:
 - Aircraft navigation
 - Aircraft movement (steering, speeds, turns)
 - Additional On-board Conflict Detection and Resolution (CD&R)

<u>Function</u>	ATC	Aircraft
Scheduling	X	
Routing	X	
Deconfliction	Х	X
Execution		Х

STBO with Autonomous flight deck component Pilot(s) responsible for aircraft/crew & passenger safety

Enabling Pilots/Flight deck Situation Awareness Need for "status-at-a-glance" awareness and intent displays

		ATC / Surface Traffic Manager				
		Manual	Manual / Aided	Autonomous		
Dilat /	Manual	Current- day	FAA STBO / NASA ATD2			
Pilot / Flight Deck	Manual / Aided		NASA / DLR	NASA / DLR		
	Autonomous	Future	Future	Future		

STBO with Autonomous flight deck component Pilot(s) responsible for aircraft/crew & passenger safety Enabling Pilots/Flight deck Situation Awareness Need for "status-at-a-glance" awareness and intent displays

Re-routing Pending

Bakowski, Foyle, Hooey, Meyer & Wolter, AHFE 2012

17R via G11 > F > G7 > G > Y > L > EG RTA = 15:24:08 Z TRem = 06:30

Current route with Other Traffic HOLD

Bakowski, Hooey, Foyle, Wolter & Cheng, DASC 2013



STBO with Autonomous flight deck component Pilot(s) responsible for aircraft/crew & passenger safety Enabling Pilots/Flight deck Situation Awareness and Flight Deck workflow/procedure integration

Research issues, re: Pilot roles:

- Taxi clearance (how to load? pilot approve if auto-load?)
- 4DT STBO speed/time updates (approve? Auto-load?)
- Departing Runway (changes, FMS, weights, temps, etc)
- Runway crossings, "wheels-up" times
- Braking hot brakes (take-off abort)
 - Airports are not flat; KCLT, DFW varies 50ft
 - 747-8 <u>1 Million lbs</u> fully loaded
- Monitoring: Traffic (aircraft, pedestrian, vehicle) Separation assurance
 - Ownship aircraft intent (stopping, turning, waiting to cross active runway)
- (Non) Conformance: Mid-taxi stopping / abort FMS, passengers, weights
 - For 4DT STBO interactions re: dynamic STM system; # updates
- Pilot Intervention? Revert to manual or abort taxi, or unable to make time b/c of flight deck, equipment, passenger, baggage, etc. issues





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NASA/DLR MOA Collaboration NASA Ames Research Center 8/22/17 – 8/24/17







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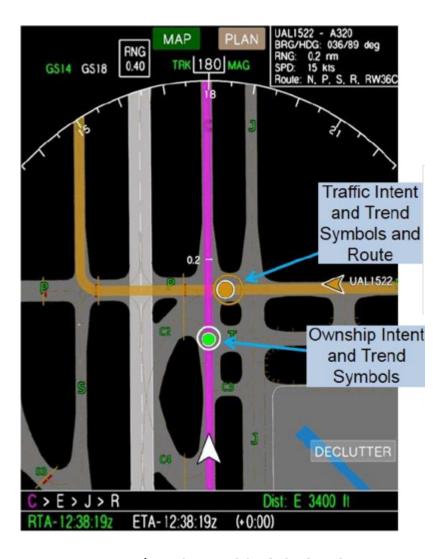
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Additional Slides

4DT Flight-Deck Display



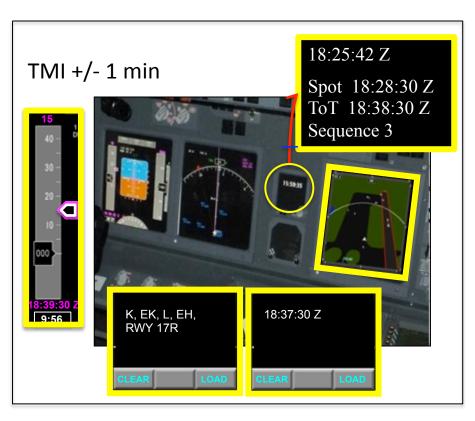




Jones et al NASA TP 2016-219172

STBO with flight deck component and Information Sharing Displays

Enables better flight deck workflow prior to departure



"For NextGen time-based operations, how useful were the following pieces of information in supporting time-based taxi (your ability to meet your takeoff time?)"

	Response frequency (n=: of usefulness ratings			•	
Information Source	Not at all		Border line		Very much
	1	2	3	4	5
Assigned Pushback time	-	-	1	4	5
Spot-release time	1	1	1	5	3
Takeoff Time	-	1	-	7	3
Departure Sequence	-	2	-	2	6
Speed Advisory on PFD	-	1	4	2	3
Time Remaining to Takeoff Time	-	-	4	4	2



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URL: http://humansystems.arc.nasa.gov/groups/HCSL

Flight Deck Pilot-in-the-Loop 4DT Studies Human-Centered Systems Lab (HCSL)

Proof-of-Concept Study (2014)

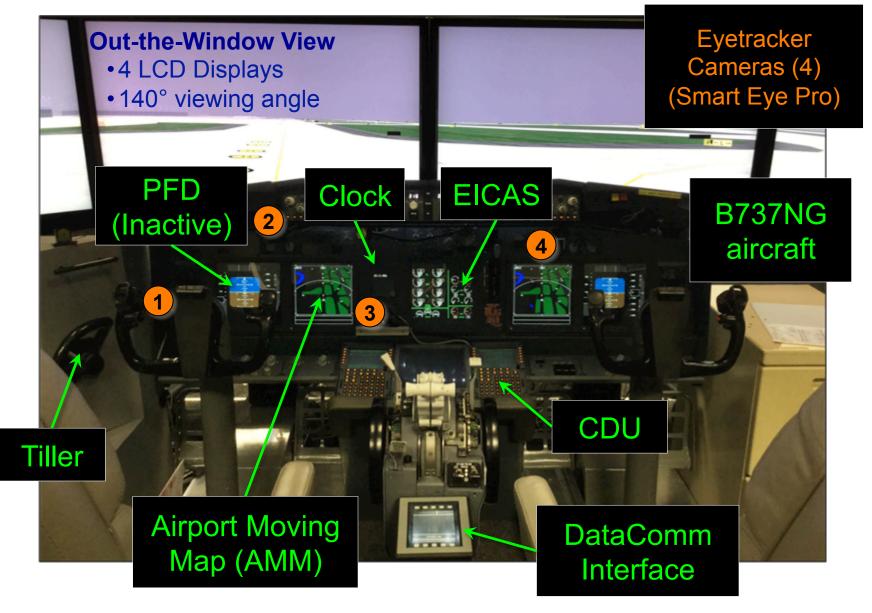
- Proof-of-concept simulation.
- Demonstrated the feasibility of the 4DT concept, from the pilot's perspective.

4DT Display Comparison Study (2016)

- Compared 4DT display formats.
- More robust operating conditions than 2014 study.
 - 4DT speed updates
 - Range of taxi speeds
- Several parameters based on the TRACC system.

Bakowski, Hooey, Foyle, & Wolter Applied Human Factors and Ergonomics (AHFE 2015) Bakowski, Hooey, & Foyle Digital Avionics Systems Conference (DASC 2017)

Airport and Terminal Area Simulator (ATAS) Human-Centered Systems Lab (HCSL)



Airport Moving Map (AMM)

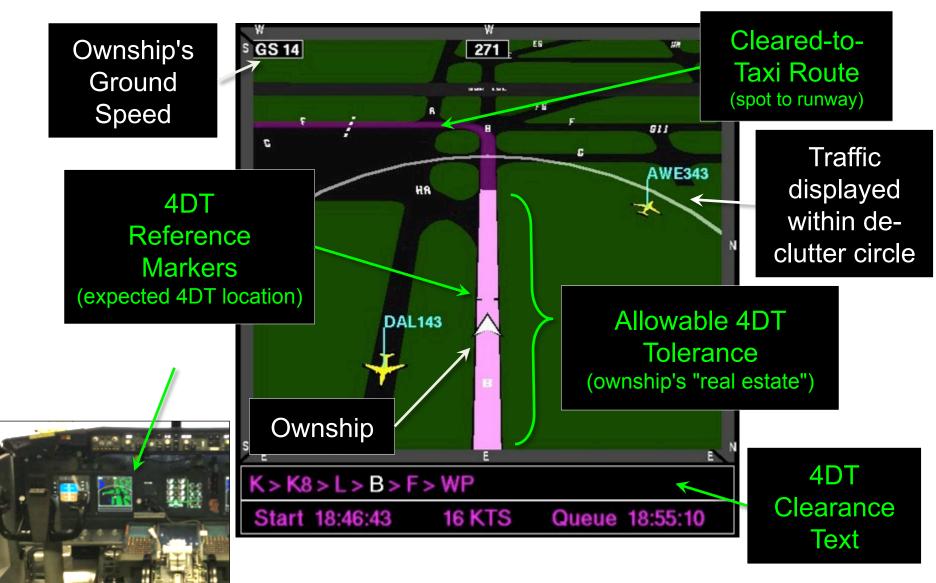
Ownship's Ground Speed



Traffic displayed within de-clutter circle



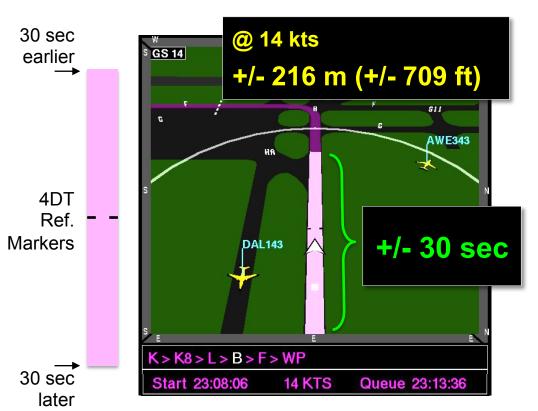
Airport Moving Map (AMM) Augmented with 4DT Clearance Information

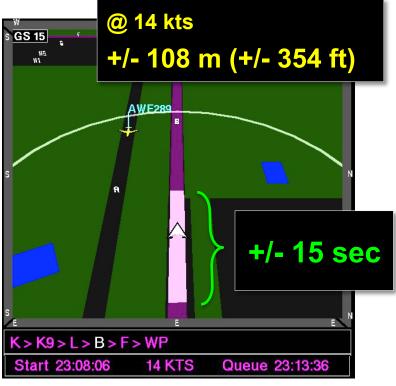


	ATC Schedule Information	Required Speed	Allowable Deviation	Flight Deck Display
Condition 1 Current-Day Flight Deck Equipage	Pushback Begin Taxi	Not Specified	Not Specified	ALLEN PRIME
Speed Advisory	Pushback Begin Taxi	ATC-issued Speed	Not Specified	
4DT +/- 15 sec	4DT Speed Profile	4DT Speed Profile	+/- 15 sec	K. NS-L-B-F-WP Start W.X.S1 IS NTS Owner M-25.44
4DT +/- 30 sec	4DT Speed Profile	4DT Speed Profile	+/- 30 sec	K. K. K. L. B. F. W. Start Medical Wild Covers 1850:10

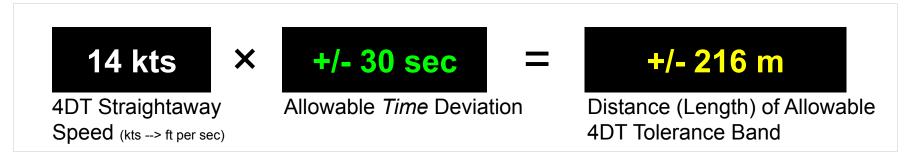






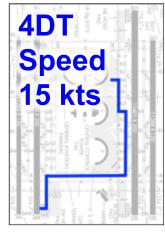


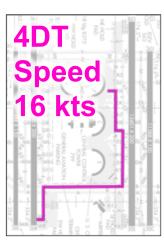
Time-based allowable tolerance band (speed × time = distance)



- Dallas/Fort Worth Airport (DFW)
- 13 Captains
- Experimenter First Officer
 - assisted with navigation, DataComm
- 12 experimental trials
 - 4 experimental conditions
 - 3 speed/route combinations
 - practice trials before each block
 - 2 4DT conditions always last
- Spot and Runway Departure Advisor (SARDA):
 - surface management system
 - ran in closed-loop mode
 - triggered Pushback and Taxi
 - queue-entry derived from ToT
 - SARDA traffic appeared OTW



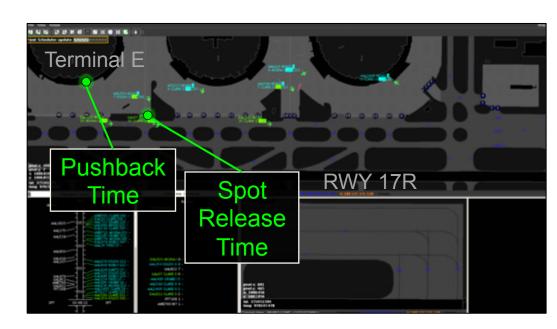


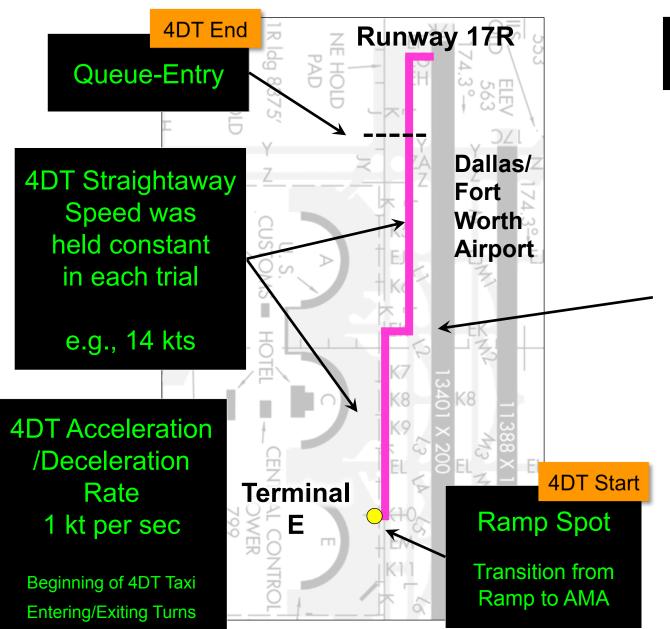


Route 1

Route 2

Route 3



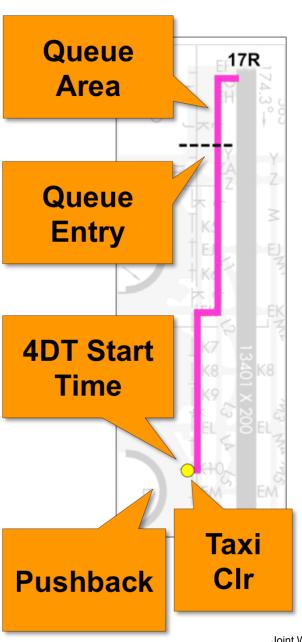


4DT Turn Speed 10 kts

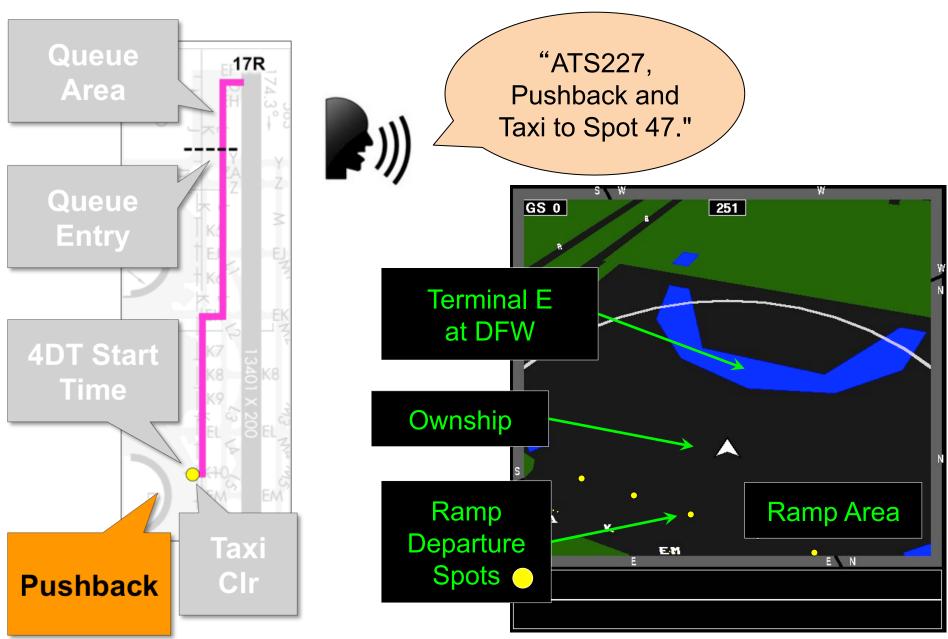


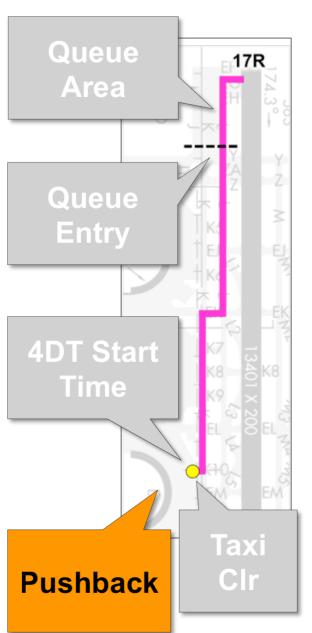


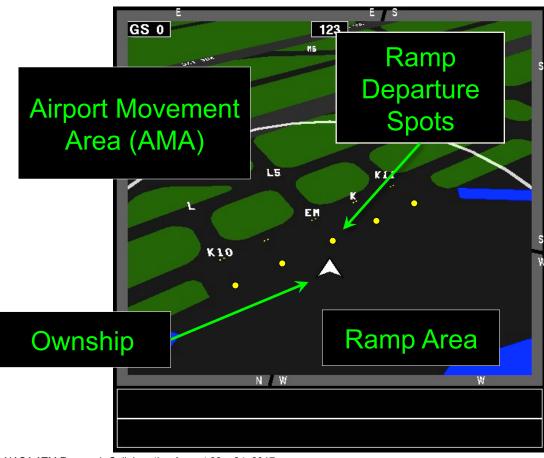
*Time-based tolerance band

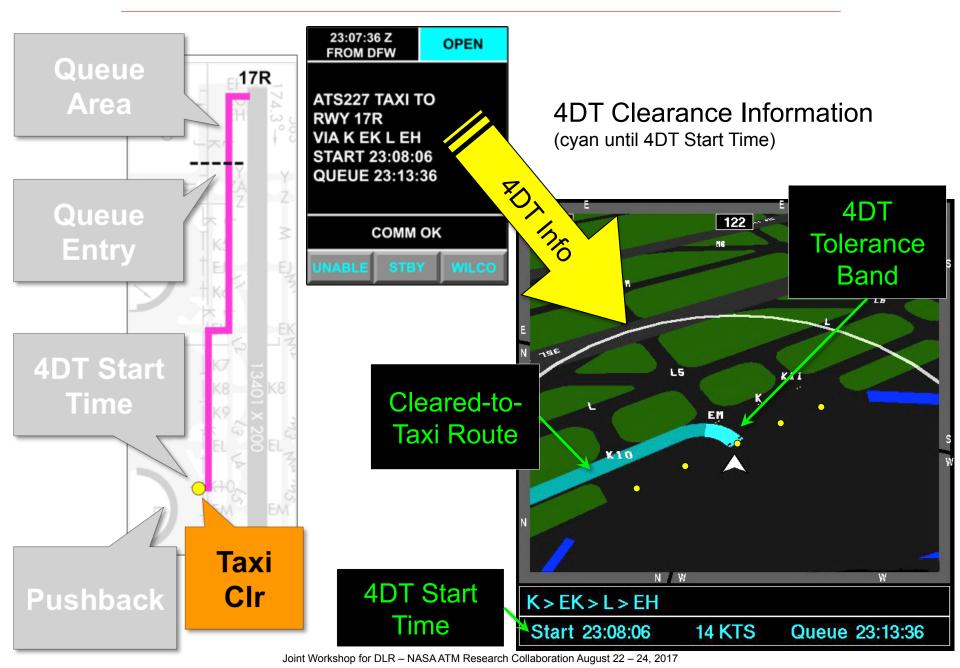


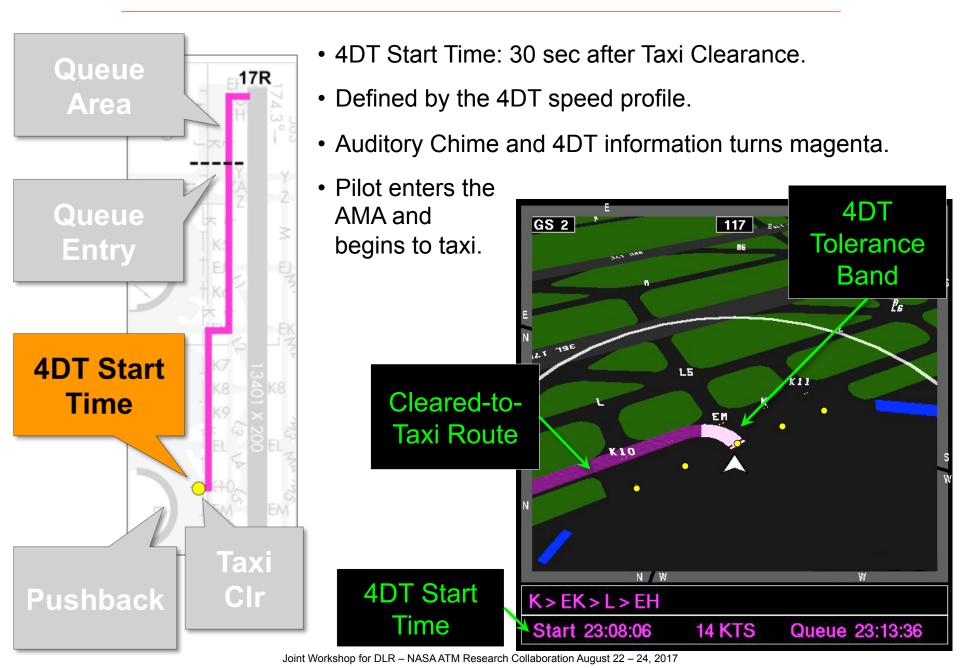
Example Trial

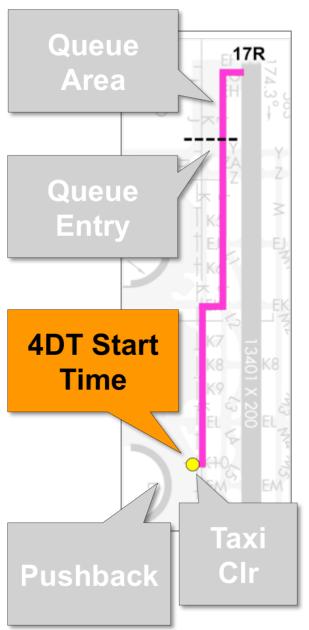




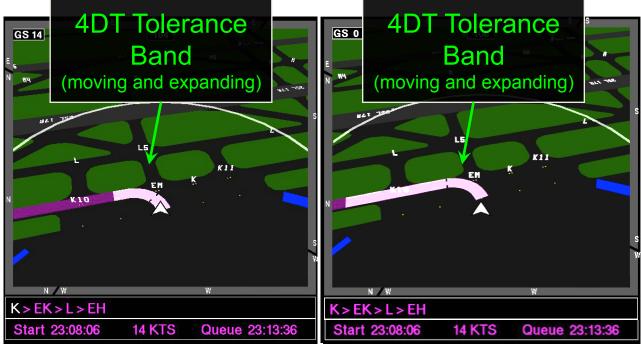


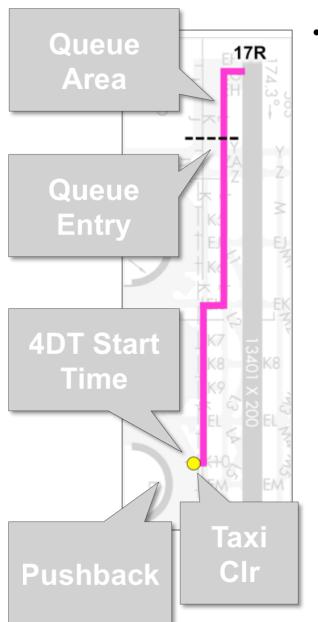




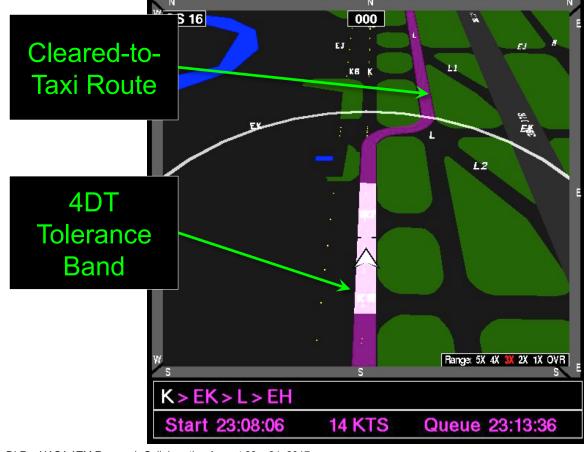


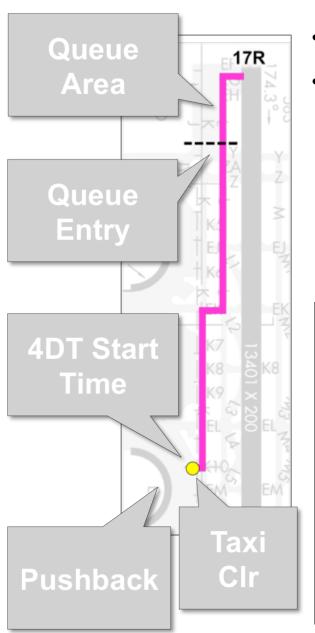
- 4DT Tolerance Band accelerates from 0 kts to 14 kts at 1 kt per sec.
- Pilot Instructions:
 - In compliance with the 4DT clearance when the ownship icon is within the tolerance band.
 - No need to track the 4DT reference markers precisely.





4DT straightaway speed held constant during each trial.





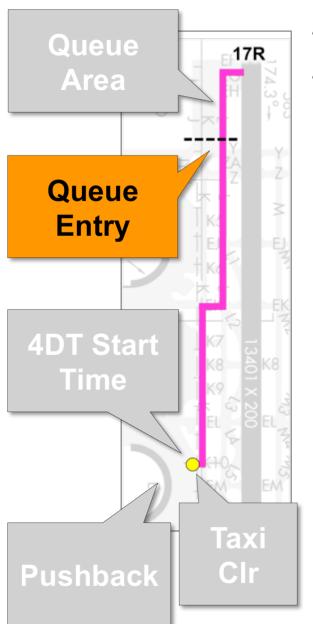
- 4DT speed in turns was 10 kts.
- Distance-based tolerance band.



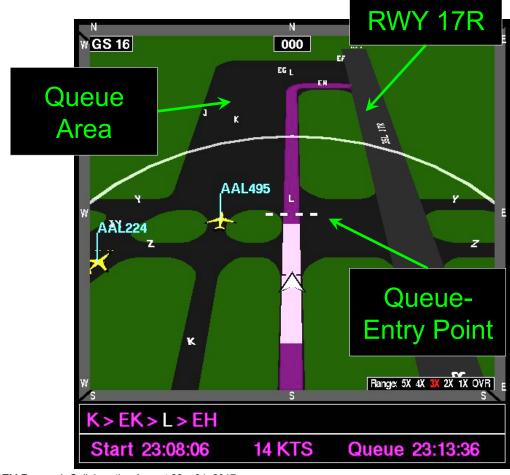
Turn 10 kts Accelerate
10 kts → 14 kts
@ 1 kt per sec

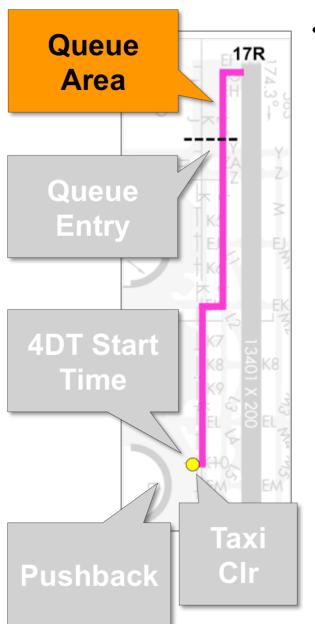




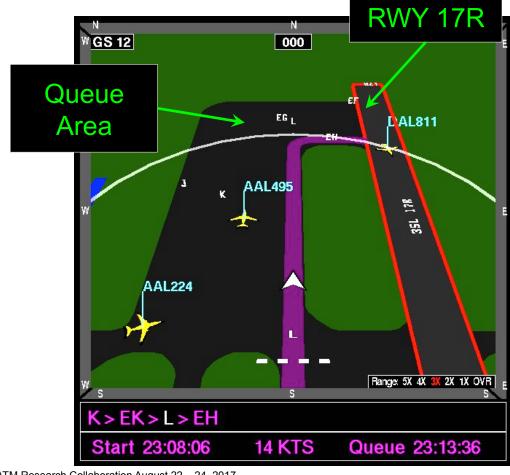


- End of 4DT taxi route at the queue-entry point.
- Upon reaching the queue entry, the tolerance band disappeared.

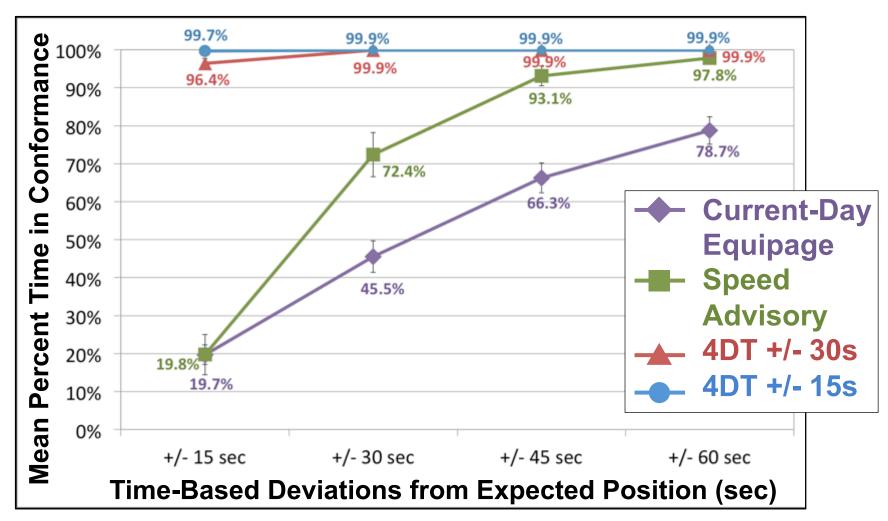




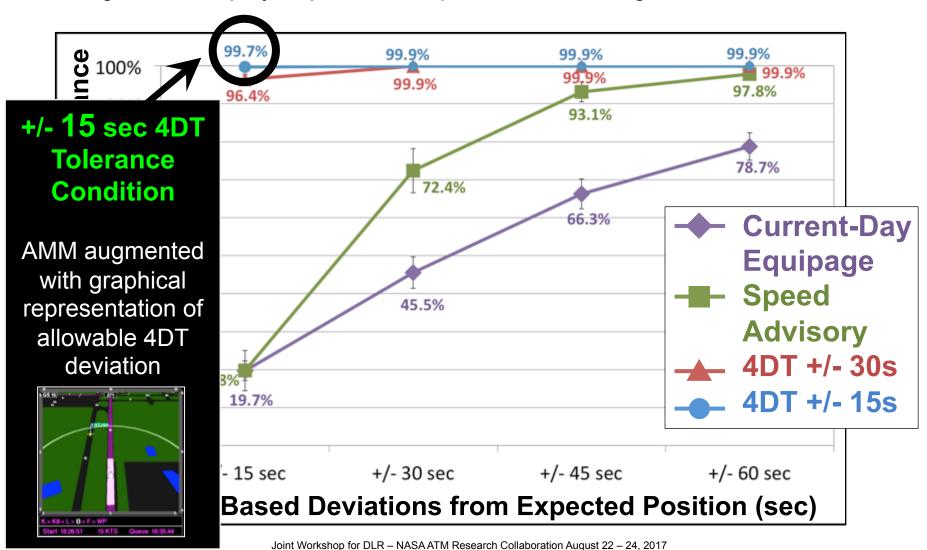
 Pilot enters the queue area at a safe speed and lines up behind any aircraft at the runway hold line.



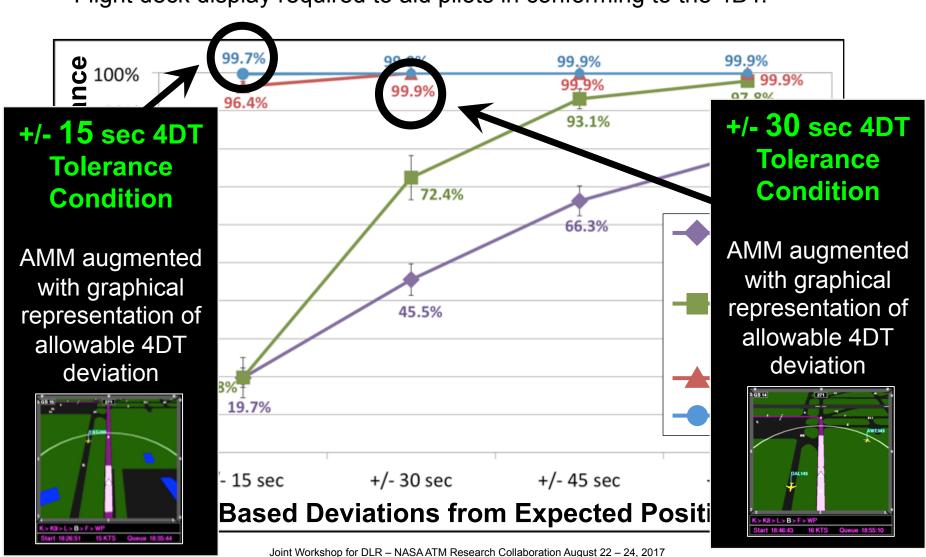
- A verbal speed command, alone, may not support adequate 4DT conformance along the taxi route.
- Flight deck display required to aid pilots in conforming to the 4DT.



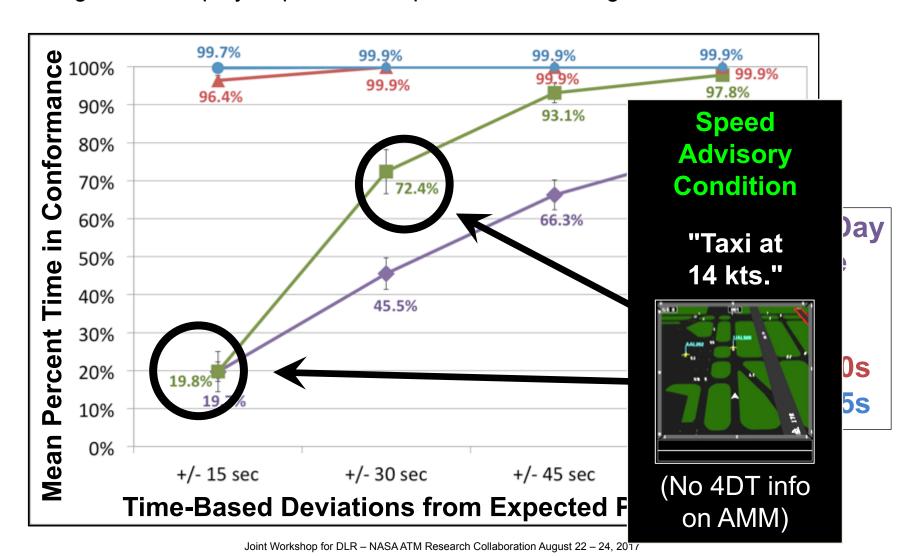
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- Flight deck display required to aid pilots in conforming to the 4DT.



Flight Deck Pilot-in-the-Loop 4DT Studies Human-Centered Systems Lab (HCSL)

	Proof-of-Concept Study (2014)	4DT Display Comparison Study (2016)		
Allowable 4DT Deviation Band	Time-Based Band Distance-Based B			
4DT Straightaway Speed	Held constant within each trial	4DT Speed Changes Mid-Taxi		
4DT Speeds	14, 15, or 16 kts	Range of Realistic Taxi Speeds 8 kts – 25 kts		
Start of 4DT Taxi Route	Ramp Spot	Near the Terminal		
Airport	Dallas/Fort Worth Airport (DFW)	Charlotte Douglas Airport (KCLT)		

	4DT Conformance	Allowable Deviation	Graphical 4DT Indicator	Flight Deck Display	
4DT +/- 50 m	Defined Conformance	+/- 50 m	Reference Markers with Tolerance Band	IEL via M > C I WD TAXO 12:05:30 10 KTS TTOT 12:12:00	
4DT +/- 123 m	Defined Conformance	+/- 123 m	Reference Markers with Tolerance Band	INL VIA M > C START 120630 IS KTS TOT 121100	
4DT Undefined Conformance	Undefined Conformance	Undefined	Dot (no tolerance indicated)	15C VIA E12 > E FWO TAXI 12:25:15 00 KTS 1TIOT 12:13:20	

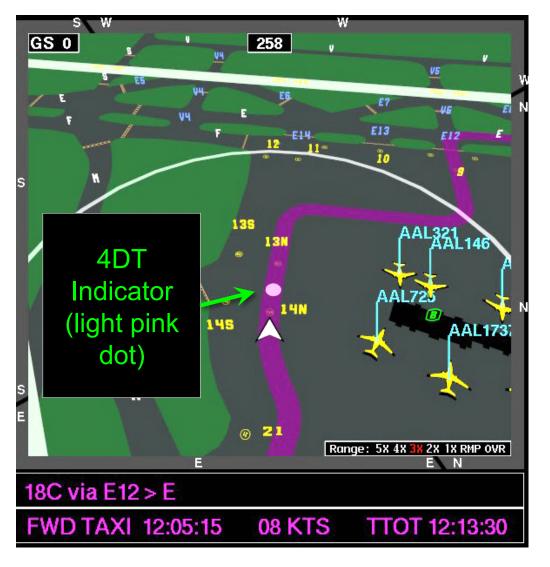
Defined-Tolerance Display Format (Distance-Based Band)





- Defined Tolerance Instructions:
 - You are in compliance with the 4DT clearance when the ownship icon is within the tolerance band.
 - No need to track the 4DT reference markers precisely.

Undefined-Tolerance Display Format

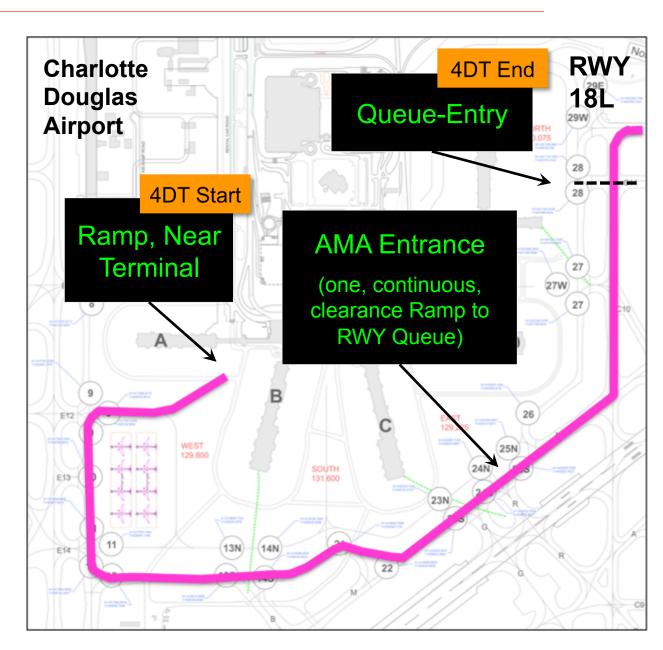


- 4DT indicator: Light pink dot.
- Allowable tolerance was undefined.
- Undefined tolerance display format instructions:
 - "You decide how "close is close enough" to taxi to the dot and you can taxi ahead of, or behind, the 4DT dot."
- Pilots defined conformance as they saw fit.
- No need to track the 4DT indicator (dot) precisely.

4DT Acceleration
/Deceleration
Rate
1 kt per sec

Beginning of 4DT Taxi 4DT Speed Changes 14 kt turn in the AMA

Turn Speed = 14 kts in the AMA



4DT Speed Changes

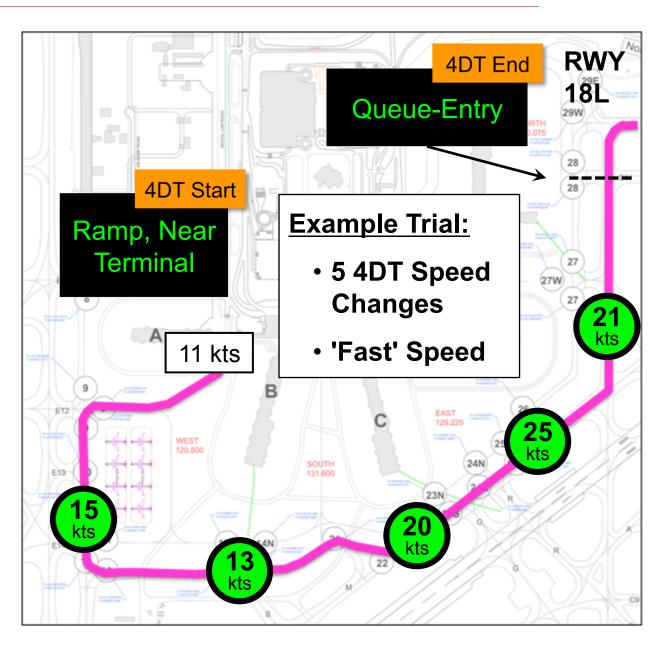
2 or 5 per Trial

4DT Speeds

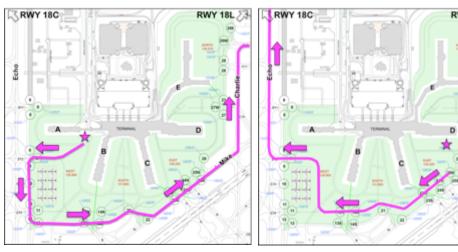
8 kts – 25 kts

'Slow' or 'Fast' Average Speed

	Ramp	AMA
Slow	10 kts	16 kts
Fast	13 kts	22 kts



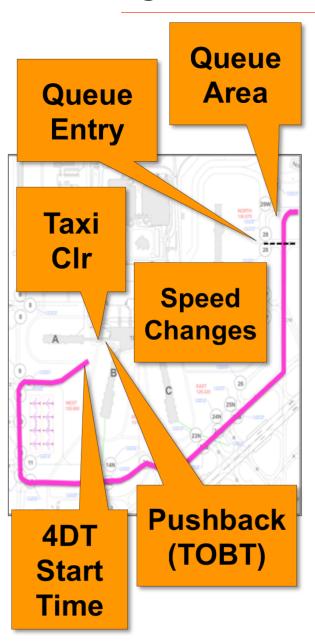
- Charlotte Douglas Airport (KCLT)
- 12 Pilots
- Experimenter First Officer
 - assisted with navigation, DataComm
- 12 experimental trials
 - 3 4DT Display Formats:
 - blocked and counterbalanced
 - practice trial before each block
- Taxi Routing for Aircraft: Creation and Controlling (TRACC) (DLR)
 - prototype surface management system
 - parameters from simulation analysis
 - two or five speed changes
 - +/- 50 m (smaller tolerance band)



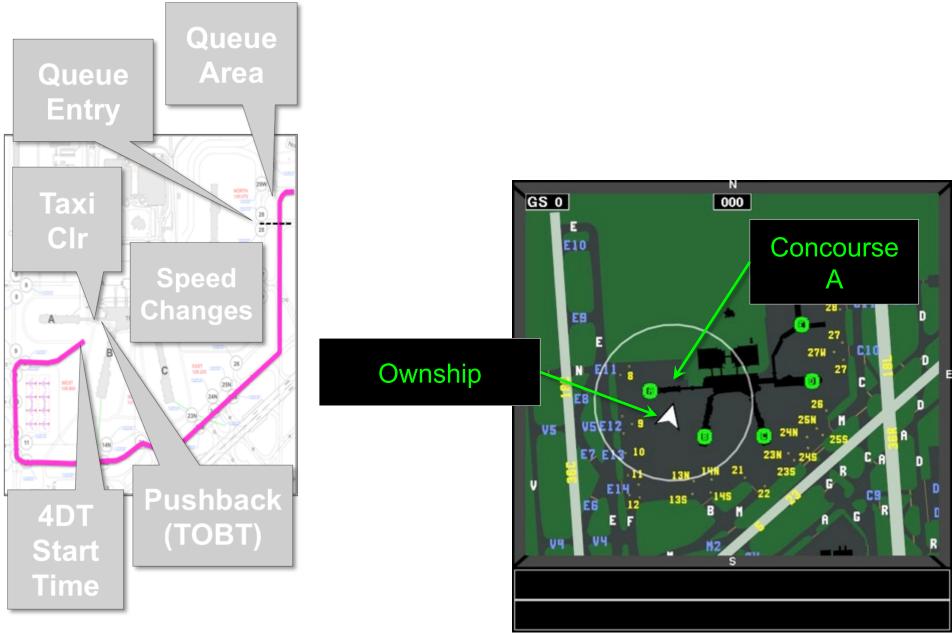
Route 1 Route 2

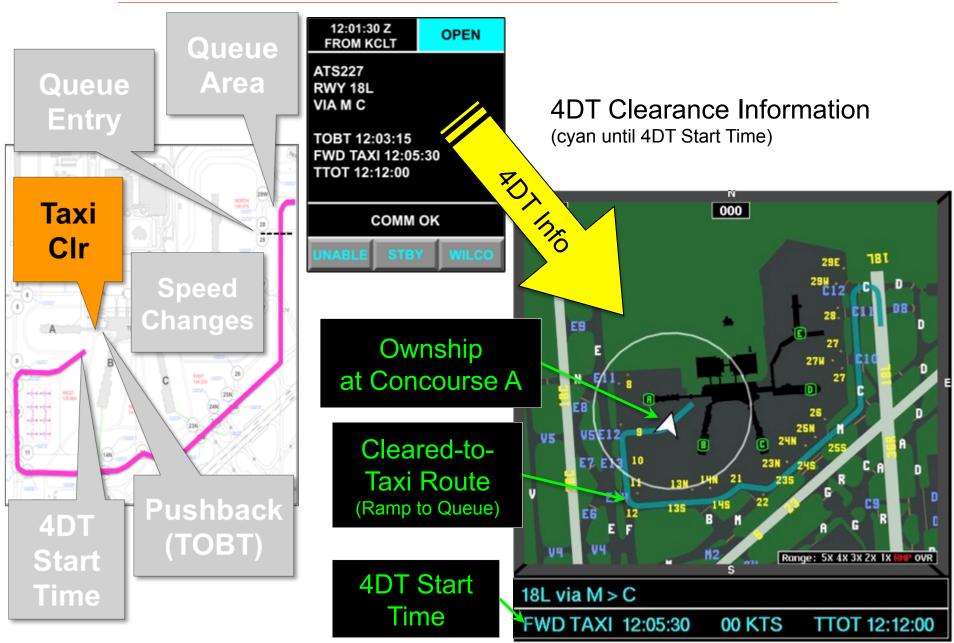
Avg. 4DT Speed	4DT Speed Changes	Ramp			Airp		oveme MA)	nt Area	
		Taxi Segment				Tax	i Segm	ent	
		1	2	3	Avg.	1	2	3	Avg.
Slow	2	11	9	-	10	16	-	-	16
Slow	5	11	8	11	10	14	19	15	16
Fast	2	11	15	-	13	22	-	-	22
Fast	5	11	15	13	13	20	25	21	22

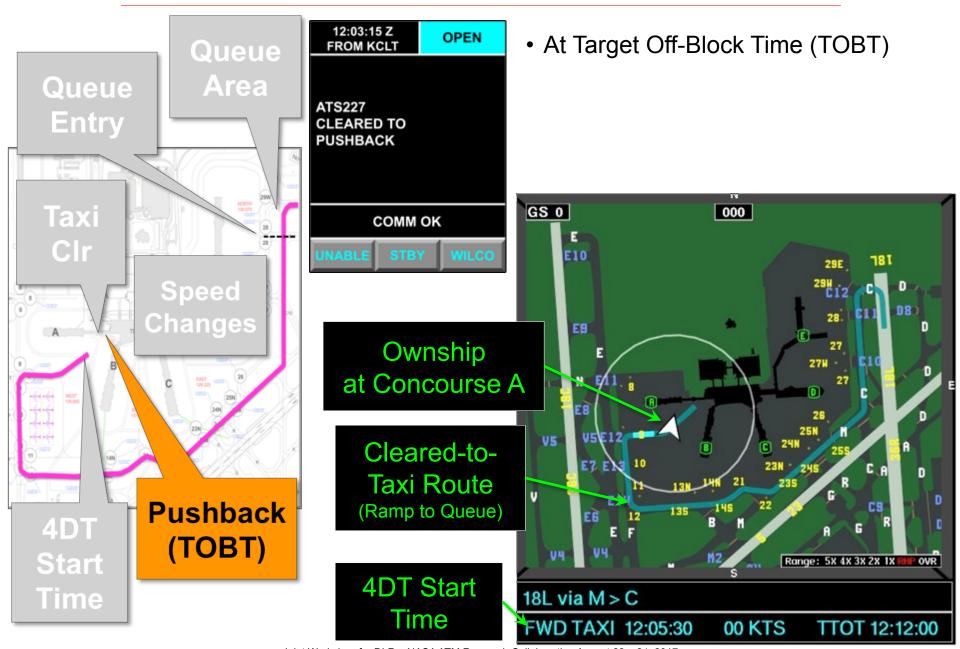
 These four trials repeated in each of the three 4DT Display Format conditions.

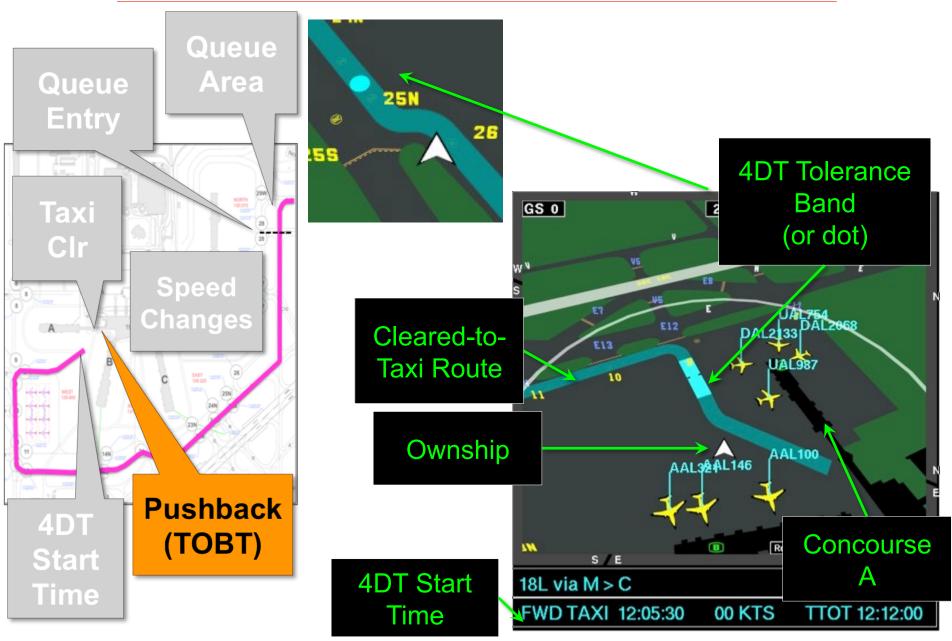


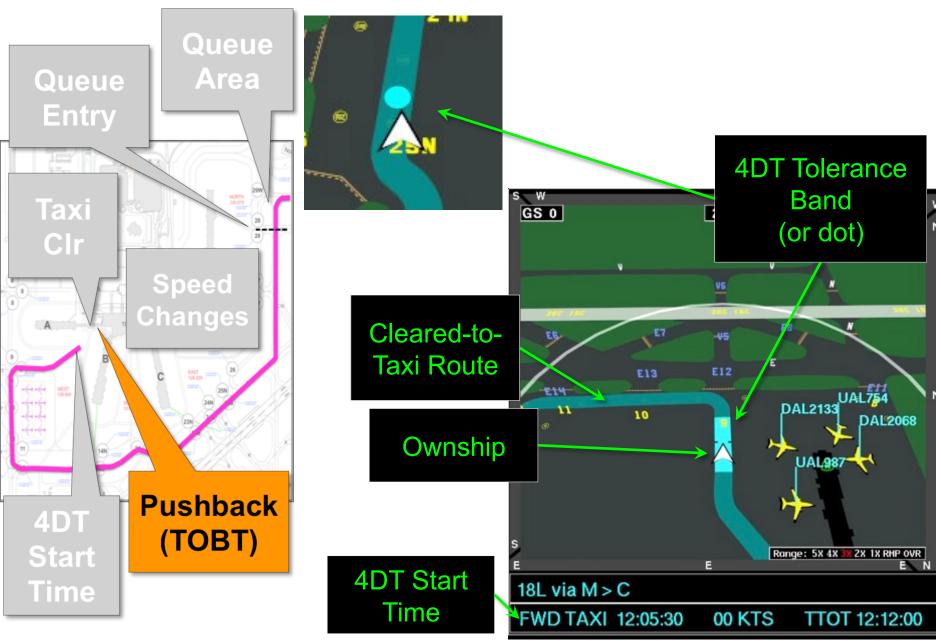
Example Trial



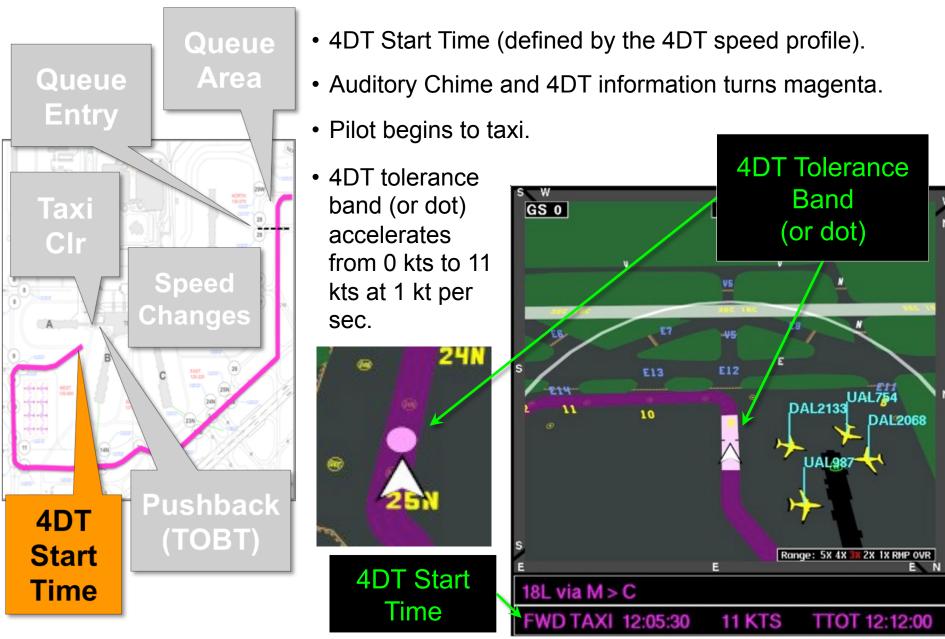


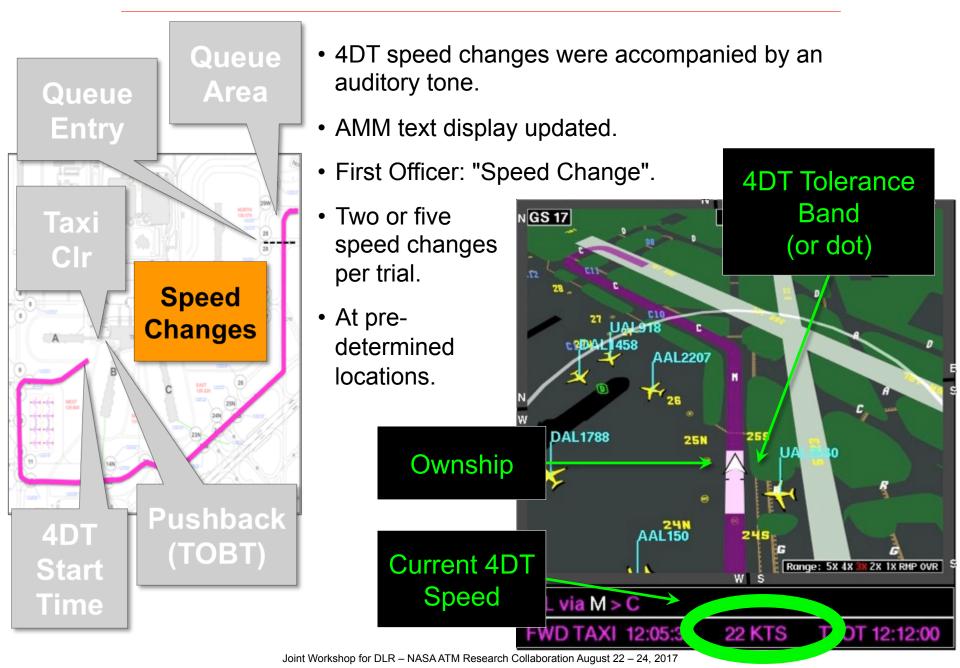


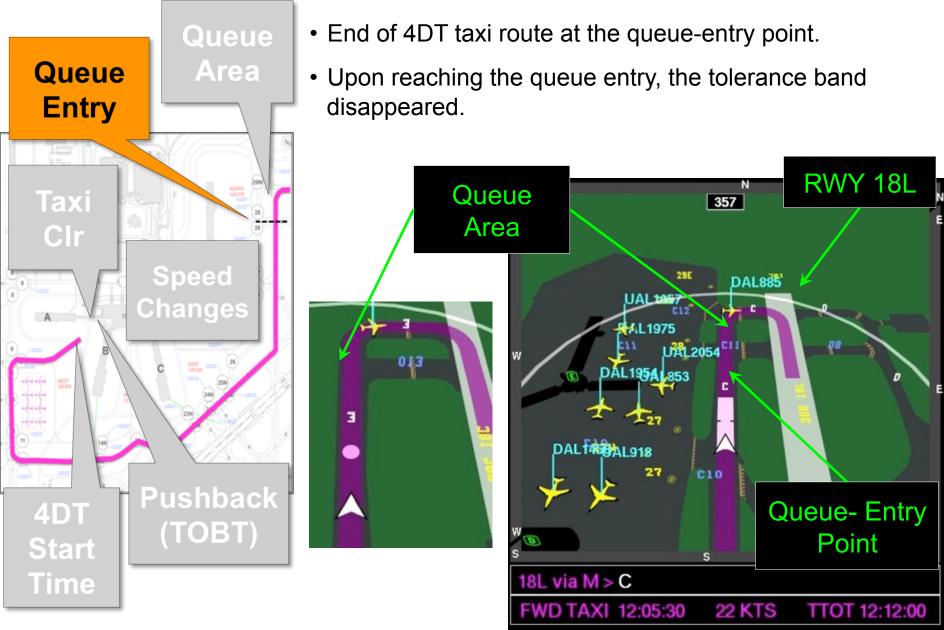


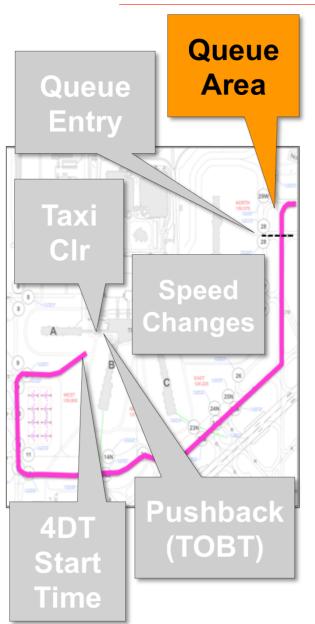


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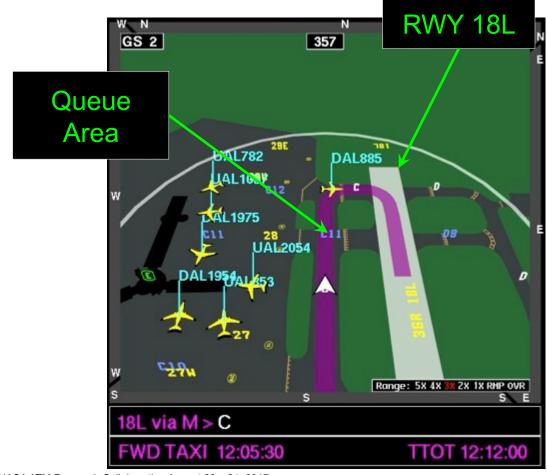


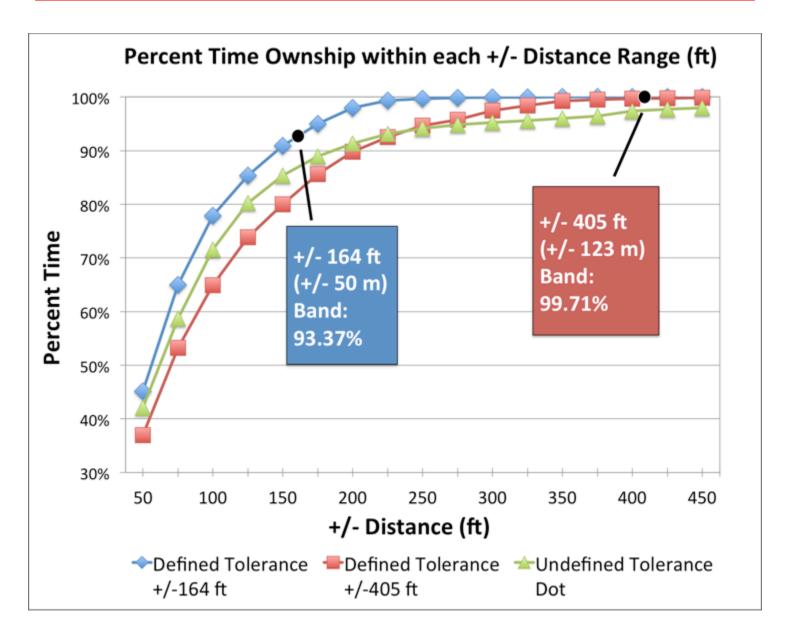


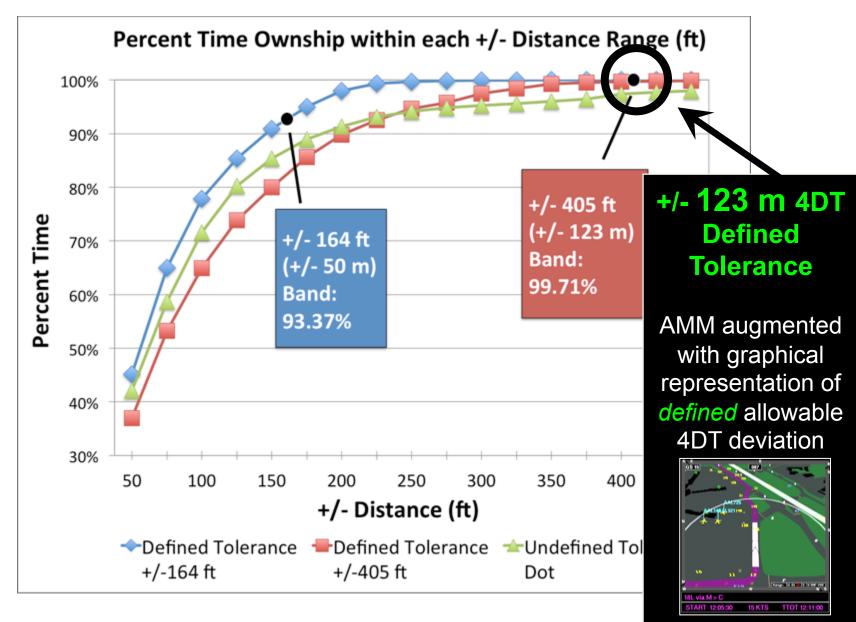


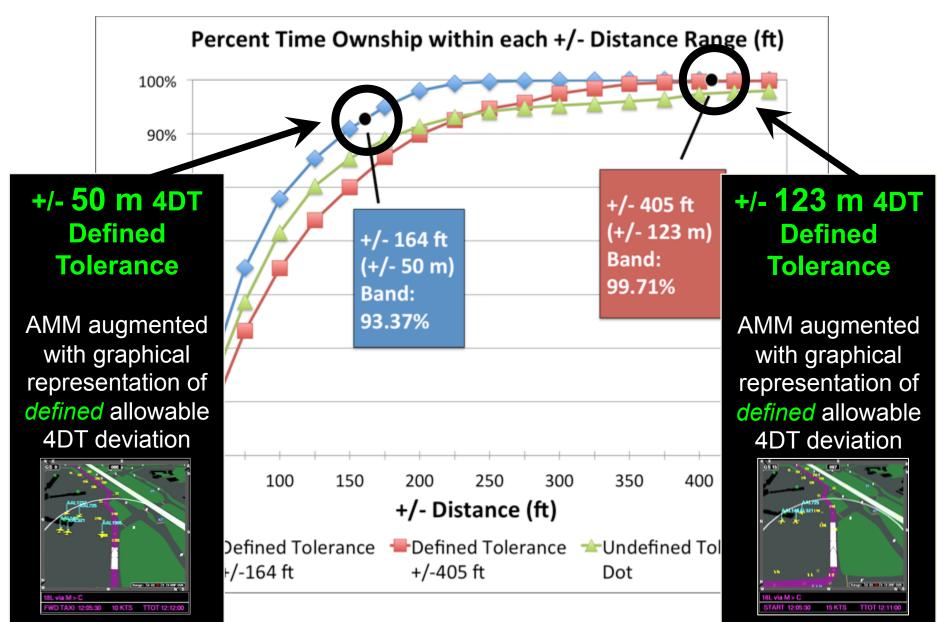


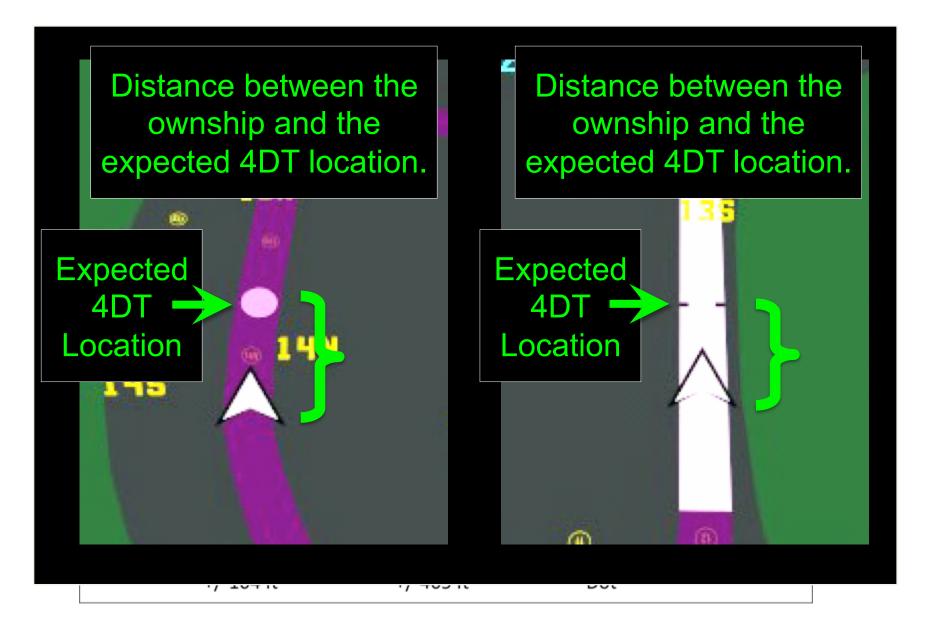
 Pilot enters the queue area at a safe speed and lines up behind any aircraft at the runway hold line.

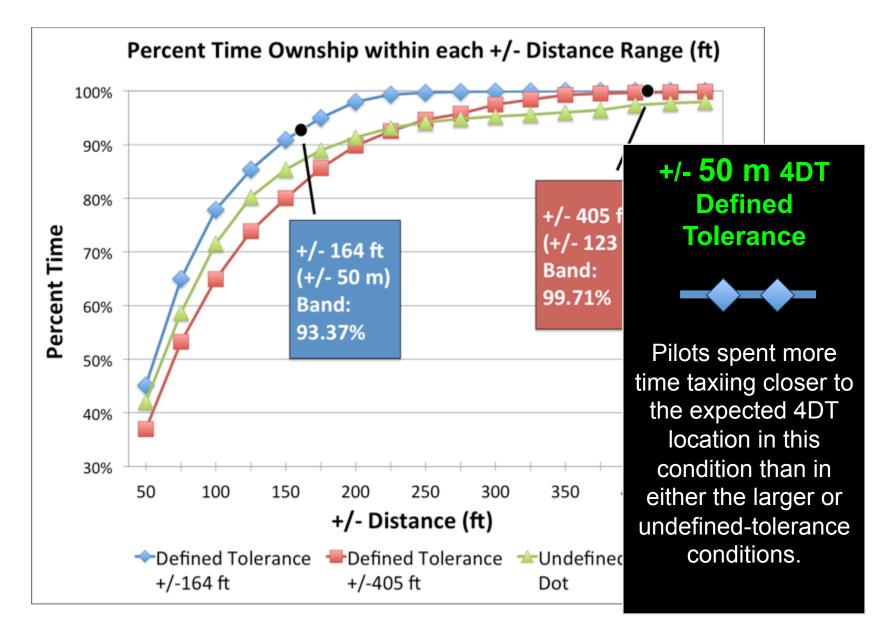


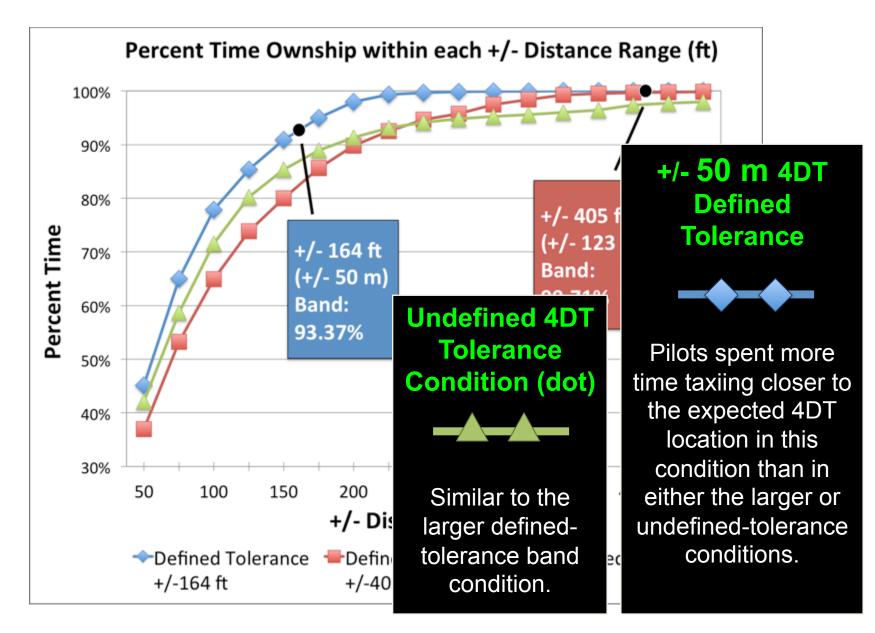


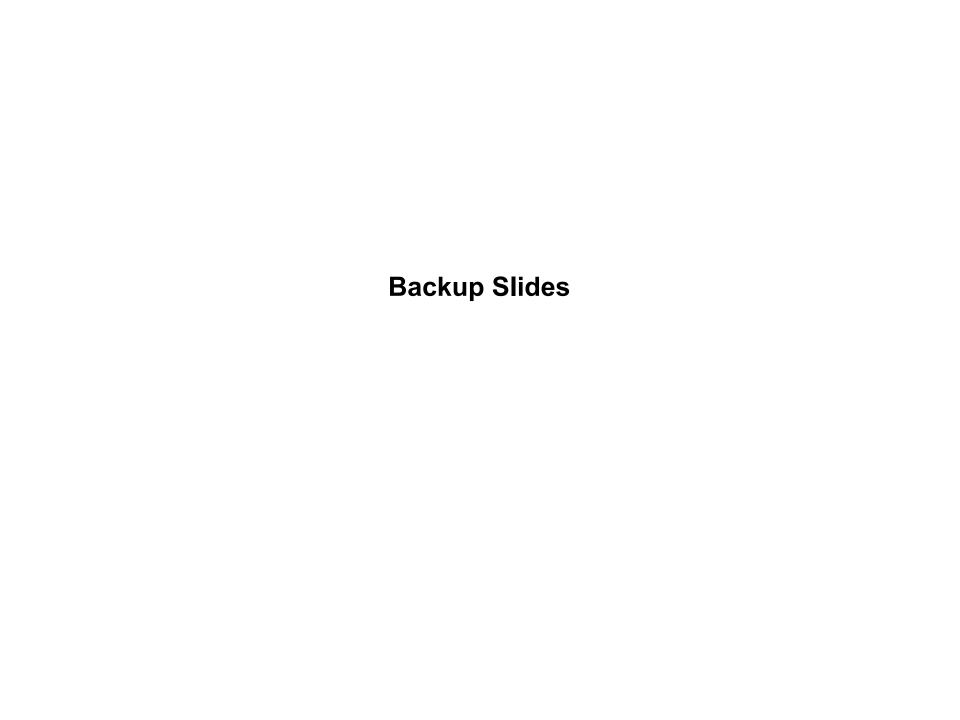








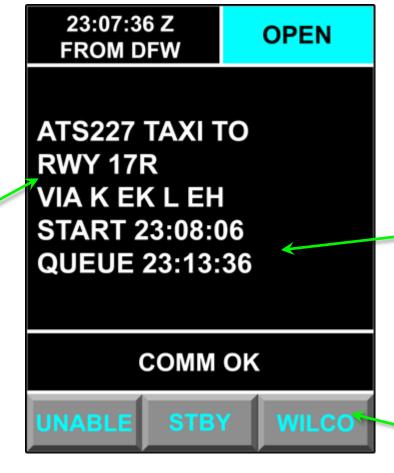




DataComm Touchscreen Interface Display

□ DataComm
 Accompanied by
 Auditory Chime

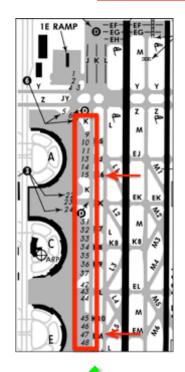
Call Sign RWY Taxi Route



4DT Schedule Information



Touchscreen
Response
Buttons
(First Officer)

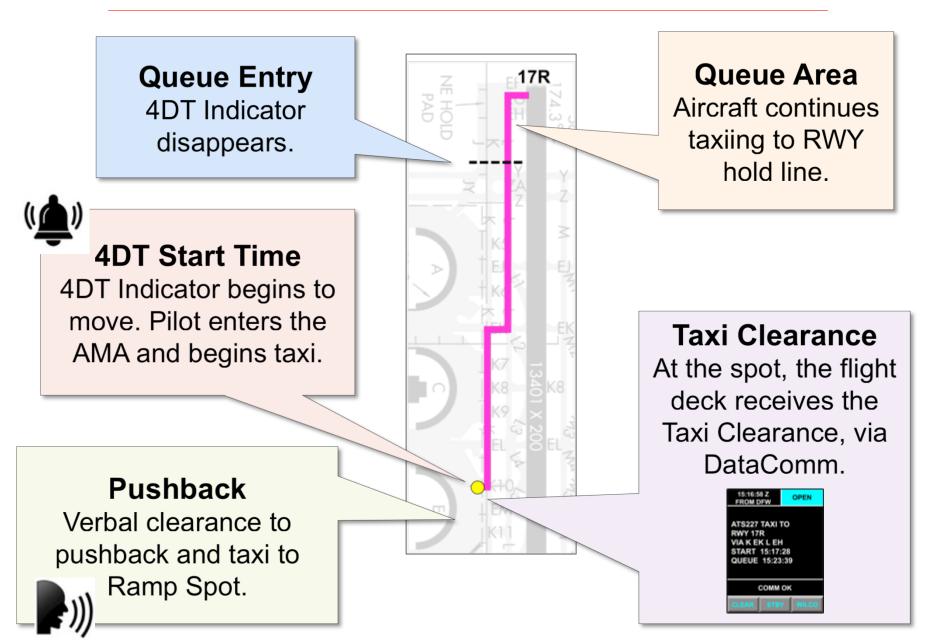


Out-the-Window (Ramp Departure Spots) at DFW

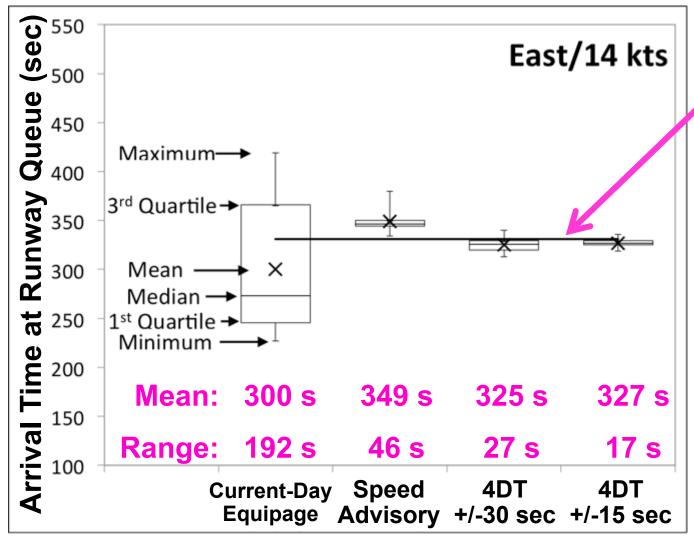




#'d Ramp Departure Spots



- Time of Arrival (TOA) variability at the queue-entry point (seconds).
- The TOA range was reduced by providing pilots a verbal speed in the Speed-Advisory condition, and further reduced in the two 4DT conditions.



331 sec
Expected TOA at the Queue entrance, according to the

Speed Profile.

Box and Whisker plots for the West1/15kts and West2/16kts routes followed a similar pattern.

