

1                   **Changing circulation structure and precipitation characteristics**  
2                   **in Asian monsoon regions: greenhouse warming vs. aerosol effects**

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## Abstract

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Using model outputs from CMIP5 historical integrations, we have investigated the relative roles of anthropogenic emissions of greenhouse gases (GHG) and aerosols in changing the characteristics of the large-scale circulation and rainfall in Asian summer monsoon (ASM) regions. Under GHG warming, a strong positive trend in low-level moist static energy (MSE) is found over ASM regions, associated with increasing large-scale land-sea thermal contrast from 1870's to present. During the same period, a mid-tropospheric convective barrier (MCB) due to widespread reduction in relative humidity [in the mid- and lower troposphere](#) is strengthening over the ASM regions, in conjunction with expanding areas of anomalous subsidence associated with the Deep Tropical Squeeze (DTS) [Lau and Kim, 2015]. The opposing effects of MSE and MCB lead to enhanced total ASM rainfall, but only a partial strengthening of the southern portion of the monsoon meridional circulation, coupled to anomalous multi-cellular overturning motions over ASM land. Including anthropogenic aerosol emissions strongly masks MSE but enhances MCB via increased stability [in the lower troposphere](#), resulting in an overall weakened ASM circulation with suppressed rainfall. Rainfall characteristics analyses indicate that under GHG, overall precipitation efficiency over the ASM region is reduced, manifesting in less moderate but more extreme heavy rain events. Under combined effects of GHG and aerosols, precipitation efficiency is unchanged, with more moderate, but less extreme rainfall.

## 47 **1. Introduction**

48           Despite the large number of recent studies, the relative roles of anthropogenic  
49 greenhouse warming vs. aerosols on Asian monsoon (ASM) climate change remain mired  
50 as a subject of debate and continued intense research (Ramanathan et al. 2001, Chung and  
51 Ramanathan 2006, Lau et al. 2006, 2008, Meehl et al. 2008, Ramanathan and Carmichael  
52 2008, Wang et al. 2009, Li et al., 2010, Bollasina et al. 2011, Lee and Wang 2012, Turner  
53 and Annamalai 2012, Wang et al. 2012, IPCC 2013, Krishnan et al. 2013, Song et al. 2014,  
54 Li et al. 2016, Jin and Wang 2017, and many others). One of the main reasons for the slow  
55 progress is that ASM climate change is an immensely complex phenomenon, involving  
56 global scale forcing, coupled to regional and local scale feedbacks arising from natural  
57 variability in the atmosphere-ocean-land system, as well as human activities. Another is  
58 the imperative to understand climate impacts such as droughts and floods on local and  
59 human scales, where application of climate model results are deficient, due to limitations  
60 of resolution and inadequate physical process representation. This is particularly true  
61 for ASM modeling, where even the simulation skills of seasonal climatology and  
62 intraseasonal variability of rainfall are still wanting [Webster et al. 1998, Wang 2006, Lau  
63 and Waliser 2012, and Sperber et al. 2013, Ashfaq et al. 2016].

64           On the other hand, CMIP (Coupled Model Intercomparison Project) ensemble  
65 climate models have provided significant advance in understanding the [thermodynamic](#)  
66 [and dynamical effects](#) of greenhouse warming on circulation, temperature, moisture,  
67 rainfall pattern consistent with the basic governing principles of the ocean-atmosphere  
68 general circulations [Held and Soden 2006, Vecchi and Soden 2007, Xie et al. 2009,  
69 Sherwood et al. 2010, Tokinaga et al. 2012, Santer et al., 2012, Lau et al. 2013, Lau and Kim

70 2015]. These global scale changes will undoubtedly have impacts on key monsoon drivers,  
71 *e.g.*, sea surface temperature, hemispheric and land-sea thermal contrasts, regional moist  
72 static energy, and tropospheric relative humidity [Fasullo 2012, Murugavel et al. 2012,  
73 Roxy et al. 2015, [Li et al. 2015](#), [Zhang and Li 2016](#)]. Knowing the impacts of greenhouse  
74 warming vs. aerosols on these monsoon drivers will provide better understanding at a  
75 more fundamental level, of their roles in [affecting](#) structural changes (shifting, deepening,  
76 narrowing and widening) in the monsoon regional circulation and in characteristics  
77 (types, duration, and intensity) of monsoon rainfall. Provided statistics are taken with  
78 multi-model ensembles, and over large-scale domain, *e.g.*, the entire Asian monsoon, or  
79 sub-continental domains (South Asia or East Asia), as opposed to local (human) scales,  
80 climate models have higher fidelity to the real world. This is not to say that the human  
81 scales are unimportant, but rather focusing on global and regional scale forcing and  
82 response will provide an intermediate step, where climate models can be used to inform  
83 downscaling studies to better understand ASM climate change on human scales [Fowler et  
84 al., 2007].

85       Using the aforementioned approach, Lau and Kim [2017], hereafter referred to as  
86 LK, studied the competing influences of greenhouse warming vs. aerosols on Asian  
87 monsoon climate. LK identified under a historical GHG-only warming scenario, an  
88 increasing land-sea temperature difference between 5° S to 30° N since the 1950's, dubbed  
89 the Warm-Ocean-Warmer-Land (WOWL) effect, as a primary driver of the ASM climate  
90 change, enhancing moisture transport from ocean to the ASM land, including strong cross-  
91 equatorial transport of moisture via the Somali jet, from the colder (southern) to the  
92 warmer (northern) hemisphere. They found that GHG also induces an increase in mid-

93 tropospheric dryness which acts as a barrier to deep convection, suppressing monsoon  
94 rainfall over ASM regions. Furthermore, a warmer upper troposphere over the Indo-  
95 Pacific warm pool under global warming tends to inhibit the northward advance of the  
96 ASM. The net result is that under GHG, while the overall ASM rainfall is increased, the  
97 monsoon meridional circulation (MMC) is weakened. LK found that aerosols strongly  
98 mask the GHG effects, reducing the WOWL effect by 50-60 %. Additionally, aerosols  
99 increase atmospheric stability over ASM land region, alluding to the presence of a  
100 convective barrier, which may cause further suppress monsoon rainfall and weaken the  
101 MMC. This paper is an extension of LK to further elucidate the GHG vs. aerosol impacts  
102 on monsoon circulation structure and rainfall characteristics. Specifically, we investigate  
103 the possible effects of a mid-level convective barrier, associated with structural changes in the  
104 Asian MMC under GHG, and modulation by anthropogenic aerosol forcing. Possible  
105 relationship of the convective barrier to the changing nature of precipitation under GHG and  
106 under the combined effects of GHG and aerosol forcing will also be investigated.

## 107 **2. Methodology and data**

108 We used outputs from 135 year historical simulations (1870- 2005) of 19 CMIP5  
109 coupled models, with prescribed anthropogenic emissions of a) greenhouse gases (GHG)  
110 only and b) GHG and aerosols (All). Each model is integrated starting from its own  
111 equilibrium pre-industrial climate. All model outputs have been interpolated to a  
112 common grid of 2.5 x 2.5 latitude-longitude. To focus on the forced response of the ASM to  
113 anthropogenic emission forcing, we minimize the impacts of natural variability by  
114 constructing the Multi-Model mean (MMM) of all key quantities for the boreal summer  
115 season (June-July-August). Anomalies are defined as the last 25 years (1981-2005),

116 relative to pre-industrial equilibrium climate. The MMM anomalies of the GHG  
117 integrations will be used to establish the baseline for the ASM climate forcing and  
118 response. By comparing ALL to GHG, the extent to which GHG-induced anomalies are  
119 modulated by aerosols will be assessed. Changes in rainfall characteristics will be  
120 explored using scale analysis based on water balance requirement [Sugiyama et al. 2010,  
121 Lu et al., 2014], and quantile regression analysis [Buchinsky 1998, Lau and Wu 2006,  
122 2011], as well as compared observations from APHRODITE [Yatagai et al. 2012].

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### 124 **3. Results**

125 We first examine the global scale forcing of the ASM, from the perspective of changes  
126 of the global mid-tropospheric vertical motions. Under GHG, the distribution of mean and  
127 anomalous vertical motions at 500 hPa (Fig. 1a) shows anomalous ascent over the  
128 equatorial central Pacific, and an intensification on the equatorward flank of the Inter-  
129 Tropical Convergence Zone (ITCZ) in the eastern Pacific. *As shown in LK, this is the boreal*  
130 *summer manifestation of the Deep Tropical Squeeze (DTS) – a narrowing and*  
131 *intensification of deep convective zone over the equatorial central and eastern Pacific*  
132 *(region marked by the red-lined rectangle in Fig. 1a), in conjunction with a widening of the*  
133 *subsiding branch of the Hadley Circulation (HC), under global warming [Lu et al. 2007,*  
134 *Seidel et al. 2008, Hu et al. 2011, and Lau and Kim 2015]. Elsewhere, anomalous*  
135 *subsiding motions are found over extensive areas in the tropics and subtropics, most*  
136 *pronounced over land regions of Asia, the Maritime continent, and the North*  
137 *American/Mexico monsoon regions and subtropical belt (35-40°S) of the Southern*  
138 *Hemisphere. Comparing to the climatology, changes within 5°S- 20°N signal a weakened*

139 Walker Circulation (WC), while the anomalous subsidence poleward of 30° N mostly over  
140 land in the Northern Hemisphere and near 35°S in the Southern Hemisphere reflect a  
141 widening of the subsiding zone of the HC. The extensive subsidence regions coincide with  
142 regions of reduced mid-tropospheric relative humidity [Lau and Kim 2015]. Under ALL,  
143 the anomalous vertical motion pattern (Fig. 1b) is similar on the global scale, but  
144 substantially weaker than GHG, with less tight gradients, except over the ASM domain  
145 (marked by the box outlined in black in Fig. 1a), where the regions of anomalous descent  
146 area have expanded.

147 The inverse relationship between the anomalous vertical motion over the ASM and  
148 the DTS domain (box outlined in red) can be seen in the time series plots of area mean p-  
149 velocity over each domain. Fig. 1c shows that the mid-tropospheric anomalous ascent  
150 has been trending positive in the DTS region since the 1930's, with faster rate under GHG  
151 compared to ALL, reflecting the aerosol masking effect [Ramanathan and Feng 2008, and  
152 LK]. During the same period, the anomalous vertical motion have been trending negative  
153 over the ASM domain, but with a faster rate under ALL than GHG (Fig. 1d). The changes  
154 in the vertical motion in the two regions signal a weakening of the climatological WC  
155 under GHG warming [Vecchi and Soden 2007, Tokinaga et al. 2012, and LK]. Under ALL, a  
156 “masked” anomalous ascent over the DTS would have led to “weakened” anomalous  
157 descent over the ASM, if the WC were the only dominant driver of the ASM. Apparently,  
158 this is *not* the case. Over ASM land region, cooling of the land surface by attenuation of  
159 solar radiation by aerosols can lead to a spin-down of the ASM circulation [Ramanathan et  
160 al., 2001]. Furthermore, the presence of abundant absorbing aerosols (BC, OC and dust) in  
161 monsoon regions increases [stability in the lower troposphere by warming above and](#)

162 [cooling near the surface](#) [Menon et al. 2002, Lau et al., 2006, 2008, 2016, Fan et al. 2008,  
163 Wang et al., 2013]. These aerosol effects result in substantial “masking” of the Warm-  
164 Ocean-Warmer-Land (WOWL) effect, resulting in weakened anomalous moisture  
165 transport from ocean to monsoon land under ALL compared to GHG [See LK for details].

### 166 *3.1 Regional monsoon controls*

167 In this subsection, we examine changes in two major controls of the ASM, *i.e.*, low-  
168 level moist static energy (MSE) and mid-tropospheric relative humidity ( $\Delta RH$ ). Under  
169 GHG, an increasing potential for deep convection and precipitation is evidenced in a  
170 positive trend in ASM domain averaged (MSE) since 1950-1960’s (Fig. 2a). This trend is  
171 almost identical to, and can identified with the WOWL effect (See Fig. 1 in LK) of  
172 increasing land-sea temperature contrast and moisture transport from ocean to ASM land.  
173 Under ALL, the MSE positive trend is substantially weakened, with the aerosol masking  
174 nearly 50-60 % of GHG trend in the 1960-2000’s, reflecting the large increase in aerosol  
175 emissions, due to the rapid modernization taking place over Asia during this period.  
176 Contemporaneously, a “mid-tropospheric convective barrier” (MCB) represented by  
177 decreasing trend in mid-tropospheric RH can be seen under both GHG and ALL (Fig. 2b)  
178 since the early 1900’s. The negative trends stem from moist adiabatic lapse-rate feedback  
179 and remote forcing from anomalous subsidence associated with the DTS under GHG  
180 warming (see LK for details). [Briefly, warm moist air parcel ascending from low levels via](#)  
181 [the moist adiabatic will conserve moist static energy and experience larger warming in](#)  
182 [the upper and mid-troposphere relative to the lower troposphere. Based on the](#)  
183 [differential form of the Clausius Claypeyron equation relating to relative humidity  \$R\_h\$ , \*i.e.\*,](#)  
184  [\$\delta R\_h = \delta q / q\_s - \alpha R\_h \delta T\$ , where  \$\alpha = L\(R\_v T^2\)^{-1} \sim 6\% K^{-1}\$ ,  \$q\$ ,  \$T\$  represents the ambient specific](#)



185 humidity and temperature respectively, and  $q_s$  the saturated humidity, it can be seen that  
186 an increase in  $\delta T$  in the mid- and upper troposphere larger than  $\delta q / q_s$  due to vertical  
187 transport can lead to a reduction in  $R_h$ , *i.e.*, drying. Additionally, drier air from above  
188 transported by anomalous downward motion under GHG remote forcing over the ASM  
189 domain (See Fig. 1) can further dry the mid- and lower troposphere. The presence of the  
190 MCB tends to inhibit deep convection, suppress rainfall, and limits the upward transport  
191 of moisture locally, providing a positive feedback to the remote forcing. During the later  
192 period (1970-2000's), the MCB is actually stronger (more negative RH) under ALL  
193 compared to GHG as a result of aerosol effects in increasing atmospheric stability in the  
194 mid- and lower troposphere, and limiting deep convection [Fan et al 2008, Wang et al  
195 2013].

### 196 *3.2 Monsoon Meridional Circulation (MMC)*

197 In this subsection, MMC anomalies over the ASM are examined in relationship to  
198 changes in LMSE, MCB, and rainfall (Fig. 3). Under GHG warming, the MMC shows  
199 alternating anomalous ascent and descent over the ASM domain. The influence of the  
200 anomalous Walker Circulation can be seen in the strong anomalous descent near 5°S, and  
201 moderate descent near 10° N (Fig 3a, see also Fig. 1a). Poleward of 30°N and S,  
202 anomalous subsidence reflects the expansion of the subtropical subsidence zone of the HC,  
203 in association with the DTS [Lau and Kim 2015, and LK]. While the increased MSE over  
204 monsoon land enhances convective potential, deep convection with ascent throughout the  
205 troposphere can only break out where it can overcome the MCB (Fig 3b). Judging from  
206 the distribution of the anomaly relative to the climatological mean vertical motions, GHG  
207 warming appears to have produced only limited enhancement of the ascending motions

208 over the ASM land, as evident in the alternating descent and ascent north of 10° N. On the  
209 other hand, the descent branch appears to have deepened and widened, with enhanced  
210 subsidence near 40°S, but weakened near the climatological descending center (20-30°S).  
211 Consistent with the vertical motion changes, rainfall is enhanced (decreased) in the  
212 climatological rising (sinking branch) of the MMC, with rates commensurate with a steady  
213 rise in LMSE from ocean to land, against the opposing tendency by the MCB (mid-  
214 tropospheric drying) across the MMC. This is particularly noteworthy, over the ASM land  
215 in subtropical and higher latitudes, where precipitation increase is only moderate (Fig.  
216 3b). Under ALL (Fig. 3c, d), inclusion of aerosols leads to an overall weakened MMC,  
217 accentuating regions of anomalous subsidence under GHG warming over the ASM land  
218 domain (10-30° N). ASM rainfall is suppressed in conjunction with a muted increase in  
219 MSE, but increased MCB (negative ΔRH) over ASM land (10-30°N) compared to GHG.

### 220 *3.3 Changing rainfall characteristics*

221 Previous studies have shown that changes in rainfall characteristics can better  
222 reveal the underlying physical processes associated with climate change [Trenberth et al.  
223 2003, Lau and Wu 2006, 2011, and Lau et al. 2013]. In this subsection, we evaluate the  
224 impacts of GHG and aerosols on rainfall characteristics in ASM region, in association with  
225 the changing MMC. Specifically, we will focus on changes in precipitation efficiency and  
226 rainfall types. Here, the change in precipitation efficiency will be examined via a scale  
227 analysis, based on the water balance requirements [Sugiyama et al. 2010, Lu et al 2014].  
228 In ASM regions, where the climatological mean vertical motion is upward, the area mean  
229 precipitation P, can be expressed as

$$230 \quad P = \alpha Mq, \quad (1)$$

231 where  $M$ =upward mass flux at cloud base,  $q$ =specific humidity at cloud base, and  $\alpha$  is a  
 232 scale factor representing the bulk precipitation efficiency, defined as rainfall per unit  
 233 moisture flux at cloud base. As defined,  $\alpha$  is dependent on the cloud properties and types,  
 234 entrainment rate, and relative humidity of the environment [Li et al., 2002, Sui et al. 2007].  
 235 Differentiating Eq (1) yields

$$236 \quad \frac{dP}{P} = \frac{dw}{w} + \frac{dq}{q} + \frac{d\alpha}{\alpha} \quad (2)$$

237 where we have assumed that  $\frac{dM}{M} \sim \frac{dw}{w}$ , with  $w$  representing the vertical motion at 500  
 238 hPa level, which signifies the strength of the MMC. [Since cloud base information for each  
 239 CMIP5 model is not available, the approximation of cloud mass flux by vertical moisture  
 240 flux at the mid- and lower troposphere has been used in many previous climate-scale  
 241 rainfall scale analysis \(Held and Soden 2006, Sugiyama et al. 2010, and Lu et al. 2014\). The  
 242 fractional change of area mean values of  \$P\$ ,  \$w\$ ,  \$q\$  for each simulated year from 1870-2005  
 243 \(Fig. 4a\) is computed relative to the pre-industrial climatology. Under GHG, the trend in  
 244 increasing  \$q\$  is very pronounced, since the 1930's to 2005 \(Fig. 4a\). During the same  
 245 period, the trend in increased rainfall is also obvious, but relatively muted relative to  \$q\$ ,  
 246 while the MMC shows a weakening \(negative\) trend, with magnitude comparable to but  
 247 opposed to the rainfall. As a result,  \$\alpha\$  shows a strong decreasing trend, signaling a large  
 248 reduction in precipitation efficiency. Under ALL, the increasing trend in  \$q\$  is strong from  
 249 1960's to 2005, although weaker compared to GHG. Here, both rainfall and MMC show  
 250 negative trends, with much faster rate in the latter, indicating a substantially weakened  
 251 MMC with reduced overall ASM rainfall \(see Fig. 3d\). Interestingly, the bulk precipitation  
 252 efficiency shows no noticeable trend during the last three decades, compared to GHG.](#)

253 To investigate changes in precipitation types under GHG and ALL, following  
254 previous work [Lau and Wu 2006, 2011], we have computed the model rainfall probability  
255 distribution functions (pdf) over ASM land region and compared with observations from  
256 APHRODITE [Yatagai et al. 2012]. Rainfall pdf's, binned by ranked rain rates, were first  
257 constructed, over the ASM domain for the climatology. Twenty percentiles, with a bin  
258 width of 5% were chosen, with the top 95% representing the most extreme rain events,  
259 and the bottom 5%, the extreme light events, *i.e.*, drizzles. Quantile regression analysis  
260 [Koenker 2005, Lau et al. 2013] was then carried out to extract the linear trend for each  
261 percentile for the period 1950-2005. Under GHG (Fig. 5a), the probability of heavy rain  
262 event (top 80%) is strongly increased, with the highest rate for the more extreme events.  
263 However, moderate and light rain events are generally suppressed. In contrast under All  
264 (Fig. 5b), the frequency of heavy rain (top 70%) is reduced, but moderate rain event  
265 occurs more often, in good agreement with observations (Fig.5c). [The physical reasons  
266 for changing rainfall types under GHG and ALL are not obvious. Here, we offer a plausible  
267 explanation, inferred from information currently available.](#) Under GHG, over the ASM  
268 domain, a given moist parcel rising from cloud base will lose cloud liquid mass by dry  
269 detrainment as it encounters drier air in the MCB, and thus yield less precipitation per unit  
270 moisture flux at cloud base, *i.e.*, reduction in bulk precipitation efficiency, consistent with  
271 the reduction in moderate and light rain events. However, the steady increase in MSE due  
272 to strong WOWL effect under GHG allows the build-up of excessive convective available  
273 potential energy (CAPE) in the lower troposphere. Eventually, delayed deep convection  
274 breaks out locally under conditions of locally forced strong upward motion, releasing the  
275 excessive CAPE in the form of extreme heavy precipitation [Wang and Zhou 2005,

276 O’Gorman and Schneider 2009]. Under ALL, the “WOWL” effect is strongly “masked”,  
277 resulting in a much slower rate of CAPE increase. In addition, the increased stability in  
278 the lower troposphere by aerosols sustains and strengthens the MCB, allowing only  
279 increase moderate rain, while suppressing extreme heavy rain [Fan et al. 2008, Yang et al.  
280 2013]. [The aforementioned scenario needs to be verified by further studies using high-](#)  
281 [resolution regional climate models with detailed aerosol-cloud microphysics.](#)

282

#### 283 **4. Conclusions**

284 In this work, using model outputs from CMIP5 historical (1870-2005) simulations  
285 we have investigated the roles of GHG warming and aerosols on changes in the large-scale  
286 circulation structure and rainfall characteristics in Asian monsoon regions. The GHG  
287 experiments, which included anthropogenic GHG emissions only is used to establish the  
288 base line for assessing ASM climate change. The All experiments, which included  
289 additional anthropogenic aerosol emissions is used to evaluate additional aerosol impacts.  
290 Results show that under GHG warming, a strong increase in land-sea thermal contrast  
291 associated with the Warm-Ocean-Warmer-Land (WOWL) effect spurs a strong increase in  
292 anomalous low-level moist static energy (MSE). However, the expansion of the  
293 anomalous subsidence zones of the Walker and Hadley Circulations associated with the  
294 Deep Tropical Squeeze (DTS), results in extensive regions of anomalous mid-tropospheric  
295 dryness over subtropical and mid-latitude land and the Maritime Continent forming a  
296 convective barrier (MCB), suppressing ASM convection. Deep convection, strong ascent  
297 and heavy rain can only break out in regions where the strong LMSE, facilitated by local  
298 processes such as orographic forcing and dynamical feedback, can overcome the MCB.

299 Anthropogenic aerosol emission induces cooling over monsoon land and ocean, masking  
300 up to 50% or more of the WOWL effect. As a result, MSE only increases moderately over  
301 land, compared to GHG only. The MCB over ASM land region is increased by absorbing  
302 aerosols via the semi-direct effect, which increased atmospheric stability and suppress  
303 convection [Fan et al. 2008, Lau et al. 2008, 2016, Wang et al., 2013]. Under the combined  
304 effects of GHG and aerosols, the overall Asian monsoon circulation is weakened and ASM  
305 rainfall reduced. Changes of the monsoon circulation structure, rainfall characteristics,  
306 and key remote and regional drivers are shown schematically in Fig. 6. A rainfall scale  
307 analysis shows a strong reduction in precipitation efficiency under GHG, reflected in  
308 widespread suppressed moderate precipitation, but enhanced extreme heavy  
309 precipitation. Under ALL, extreme heavy rain is suppressed, but moderate rain is  
310 enhanced over the ASM domain due to the increased lapse-rate stability by absorbing  
311 aerosol, in good agreement with rainfall observations from APHRODITE.

312 As a caveat, we should point out that the CMIP5 multi-model mean quantities we  
313 examined are only moderately robust, with only approximately 60% of model consistency  
314 over the ASM domain. Further work will be needed using “observational constraints” to  
315 reduce diversity of model results, and to improve understanding of the underlying  
316 physical feedback processes, and skills of ensemble model climate projections [Allen et al.  
317 2002]. Most important, our results suggest that the recent debate on whether the ASM is  
318 “weakened” or “strengthened” by greenhouse warming or aerosol is not very meaningful.  
319 Such a debate is based on the assumption that the underlying circulation structure and  
320 rainfall characteristics are unchanged. As demonstrated by our results, this is not the case.  
321 Under global warming, competing influences of increased LMSE and large-scale mid-

322 tropospheric MCB are already set up as a result of planetary scale thermodynamic and  
323 dynamical adjustment processes, resulting in changing structure of the ASM meridional  
324 circulation and rainfall characteristics. Including anthropogenic aerosol emissions, can  
325 further upset the ASM energy and water balance, leading to further changes in circulation  
326 and rainfall properties. Indeed, many recent studies have indicated that absorbing  
327 aerosols such as desert dusts, black carbon and organic carbon, which are plentiful in the  
328 ASM regions, could strengthen the early phase of the ASM via radiation-dynamical  
329 feedback processes [Lau et al. 2006, 2008 and others], and that aerosol-cloud  
330 microphysics may strongly impact the development of monsoon convection and rainfall  
331 [Rosenfeld et al. 2014, and Li et al. 2017]. These aspects of aerosol-monsoon interactions  
332 involve both natural and anthropogenic aerosols, and are not considered here. Future  
333 work along these lines of investigations will yield further new insights into the  
334 fundamental physical processes driving climate change in ASM regions.

335 **Declarations**

336 **List of Abbreviations**

337 ALL All forcing including anthropogenic GHG and aerosol emissions

338 APHRODITE Asian Precipitation Highly-Resolved Observational Data Integration Towards  
339 Evaluation

340 ASM Asian Summer Monsoon

341 CAPE Convective Available Potential Energy

342 CMIP5 Coupled Model Intercomparison Project - 5

343 DTS Deep Tropical Squeeze

344 GHG Greenhouse Gases

345 HC Hadley Circulation  
346 ITCZ Inter-Tropical Convergence Zone  
347 MCB Mid-tropospheric Convective Barrier  
348 MMC Monsoon Meridional Circulation  
349 MMM Multi-Model Mean  
350 MSE Moist Static Energy  
351 WC Walker Circulation  
352 WOWL Warm-Ocean-Warmer-Land

353 **Availability of data and materials**

354 CMIP5 model data used for this research are open source data available at data portal  
355 [http://cmip-pcmdi.llnl.gov/cmip5/data\\_portal.html](http://cmip-pcmdi.llnl.gov/cmip5/data_portal.html). APHRODITE daily precipitation  
356 datasets are available from <https://climatedataguide.ucar.edu/climate-data>  
357 Data for specific analyses and findings are archived in local data repositories at  
358 U. of Maryland, and are available upon request.

359 **Competing interests**

360 N/A

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367 **Authors' contributions**



368 William K. M. Lau conceived the research, interpreted the results, and wrote the paper. Kyu-  
369 Myong Kim conducted the data processing and analyses, and plotted the figures. Ruby Leung  
370 provided useful advice and insights on interpretation of results, proof-read and suggested  
371 valuable revisions on the final draft of the paper.

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374 **Authors' Information**

375 WKM Lau is a senior scientist at the Earth System Science Interdisciplinary Center (ESSIC),  
376 adjunct professor at the Department of Atmospheric and Oceanic Sciences, University of  
377 Maryland, and former President, Atmosphere Section, American Geophysical Union. During  
378 the opening ceremony of the AOGS (Asian Oceania Geophysical Sciences) Meeting, 2017,  
379 Singapore, he delivered the Axford Lecture, “ *Competing Influences of Greenhouse Warming  
380 and Aerosols on Asian Monsoon Climate Change*” which included among other materials, new  
381 findings described in this paper.

382

383 **Figure Captions**

384 Figure 1 Upper panels showing spatial distribution of anomalous 500 hPa negative p-  
385 velocity ( $\text{hPa s}^{-1}$ ) for a) GHG only and b) ALL. Contours indicate pre-industrial  
386 climatology, with positive (warm color) and negative (blue color) values indicating  
387 ascending and descending motions respectively. Grid points where 12 or more out  
388 of 19 models agree in the sign of the anomaly are indicated by back circles. Lower  
389 panels showing time series (1870-2005) of negative p-velocity averaged over a)  
390 the DTS domain (rectangle outlined red), and b) the AMS domain (rectangle  
391 outlined black), for GHG (red line) and ALL (blue line) respectively. Thick lines  
392 indicate 5- year running mean.

393 Figure 2 Time series (1870-2005) of ASM domain-averaged anomalies of a) 850hPa MSE,  
394 and b) 400 hPa RH. Solid red (blue) lines indicated 5-year running means for GHG  
395 (ALL).

396 Figure 3 Left two panels showing a) latitude-height cross-sections of anomalous  
397 negative p-velocity under GHG-only forcing, and b) latitude profiles of anomalies  
398 of rainfall (histogram) in  $\text{mm day}^{-1}$ (left ordinate), 850 hPa MSE (green line) in kJ  
399 (right ordinate), and 400 hPa RH (purple line) in percentage (right ordinate).  
400 Contours represent climatology. Left two panels c) and d) are the same as a) and  
401 b), except for ALL. White dots indicate gridpoints where 12 or more out of the 19  
402 models agree in the sign of the anomaly.

403 Figure 4 Time series (1870-2005) showing fractional change of precipitation ( $dP/P$ ), 500  
404 hPa vertical motion ( $dW/W$ ), moisture ( $dq/q$ ) and precipitation efficiency ( $d\alpha/\alpha$ )  
405 over the ASM domain for a) GHG and b) ALL.

406 Figure 5 Quantile regression showing trends in fractional change in frequency of rainfall as a  
407 function of ranked rain rate for a) GHG only, b) All and c) APHRODITE rainfall  
408 observation, for the period 1950-2005. In a) and b), open (solid) circle annotating each  
409 quantile represents 10 (12) out of 19 models having the same sign. In c) solid circle  
410 represents 95% statistical significance.  
411

412 Figure 6 Schematics showing structural changes in the Asian summer monsoon  
413 meridional circulation (MMC), rainfall and cloud processes, as a result of  
414 competing influences of enhanced low level moisture static energy (MSE) and the  
415 mid-tropospheric convective barrier (MCB, denoted by dashed brown line) due to  
416 tropospheric drying ( $\Delta RH < 0$ ), stemming from adjustment of the large-scale  
417 circulation to the Deep Tropical Squeeze (DTS), and regional precipitation-cloud-  
418 radiation-dynamical feedback processes under a) GHG warming, and b) combined  
419 GHG and aerosol effects. Solid circles denote changes in the oceanic and adjacent-  
420 land portion of the MMC. Dashed circles represent anomalous multi-cellular  
421 structure over the ASM land regions.  
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423

424 **Reference**

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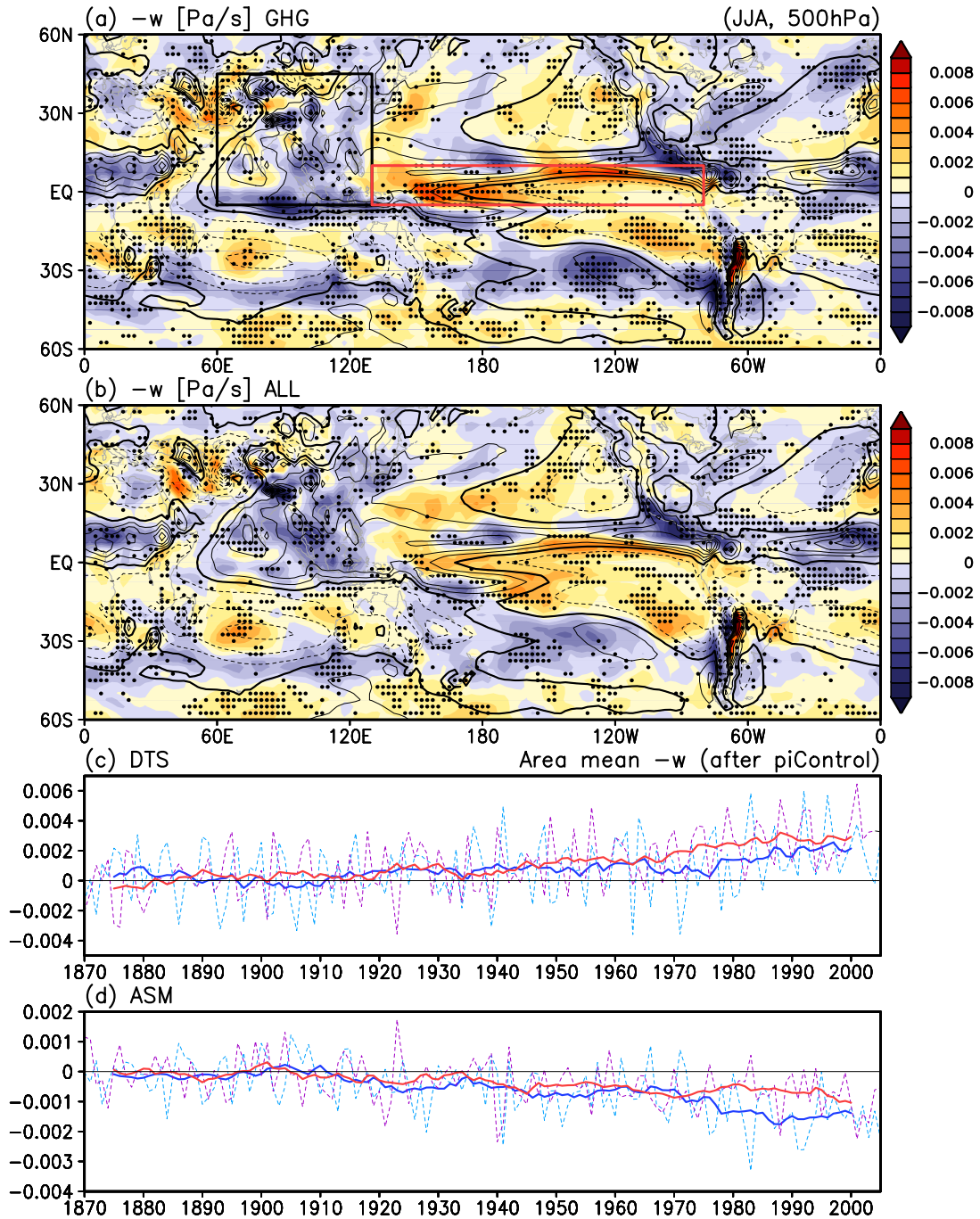


Figure 1 Upper panels showing spatial distribution of anomalous 500 hPa negative p-velocity ( $\text{hPa s}^{-1}$ ) for a) GHG only and b) ALL. Contours indicate PI climatology, with positive values (warm color) and negative values (blue) indicating ascending and descending motions respectively. Grid points where more than 12 out of 19 models agree in the sign of the anomaly are indicated by black circles. Lower panels showing time series (1870-2005) of negative p-velocity averaged over c) the DTS domain (rectangle outlined in red), and d) the AMS domain (square outlined in black), for GHG (red line) and ALL (blue line) respectively. Thick lines indicate 5-year running mean.

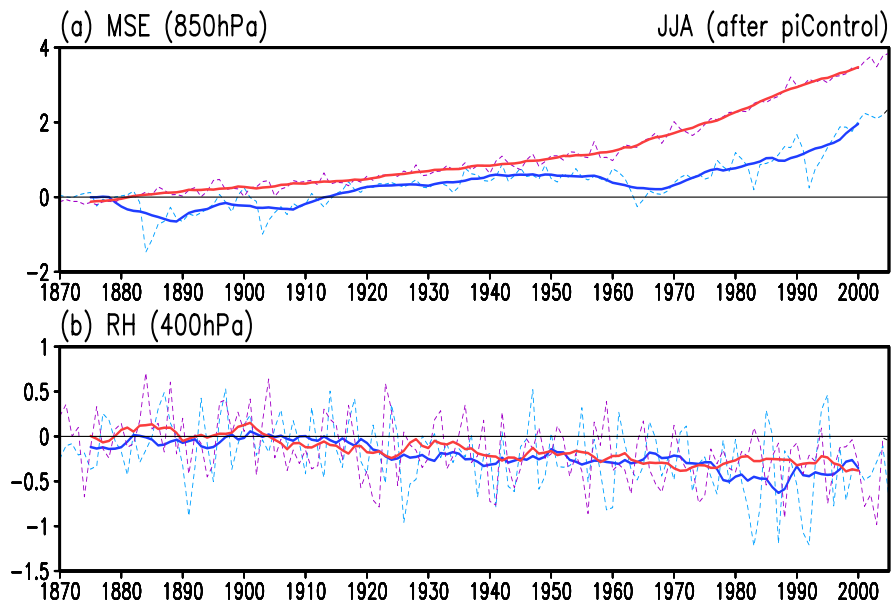


Fig. 2 Time series (1870-2005) of ASM domain-averaged anomalies of a) 850hPa MSE, and b) 400 hPa RH. Solid red (blue) lines indicated 5-year running means for GHG (ALL).

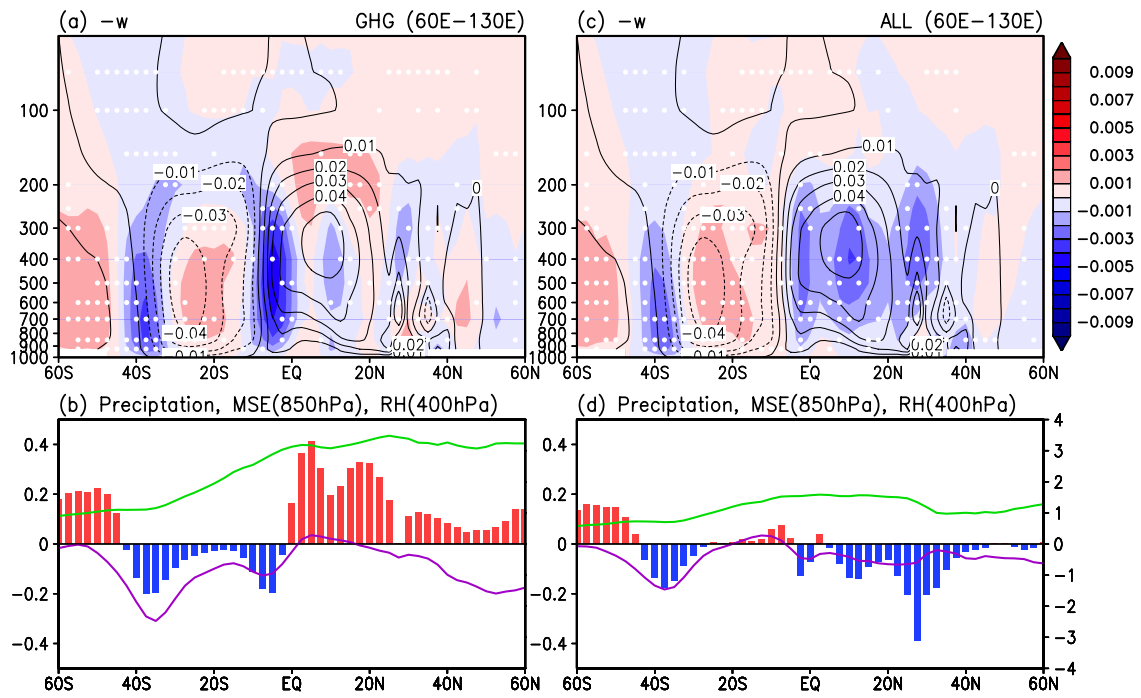


Fig. 3 Left two panels showing a) latitude-height cross-sections of anomalous negative p-velocity and b) latitude profiles of anomalies of rainfall (histogram) in  $\text{mm day}^{-1}$  (left ordinate), 850 hPa MSE (green line) in kJ (right ordinate), and 400 hPa RH (purple line) in percentage (right ordinate) under GHG-only forcing. Right two panels c) and d) are the same as a) and b), except for ALL. White dots indicate gridpoints where more than 12 out of the 19 model agree in the sign of the anomaly.

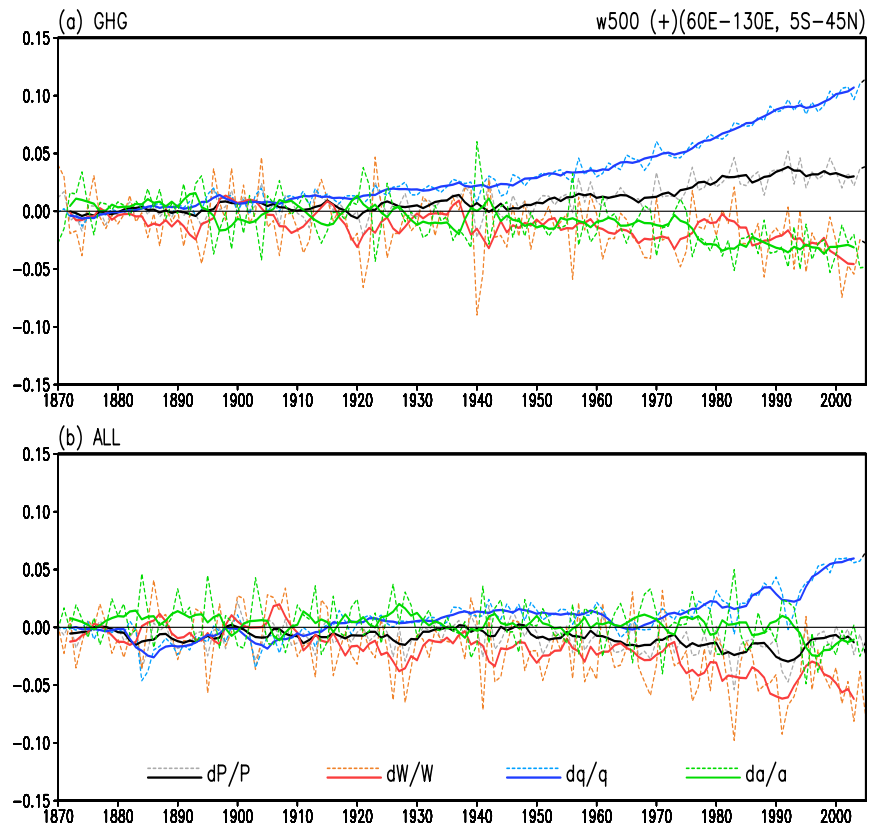


Fig. 4 Time series (1870-2005) showing fractional change of precipitation ( $dP/P$ ), 500 hPa vertical motion ( $dW/W$ ), moisture ( $dq/q$ ) and precipitation efficiency ( $d\alpha/\alpha$ ) over the ASM domain for a) GHG and b) ALL.

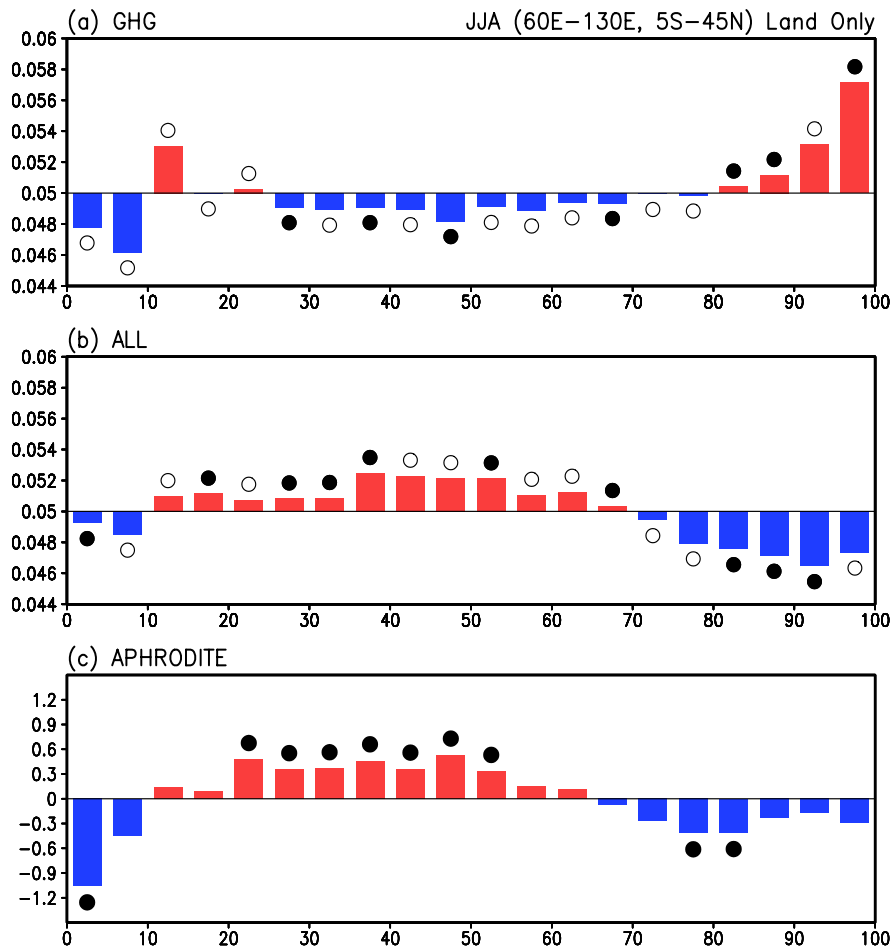


Figure 5 Quantile regression showing trends in fractional change in frequency of rainfall as a function of ranked rain rate for a) GHG only, b) All and c) APHRODITE rainfall observation, for the period 1950-2005. In a) and b), open (solid) circle annotating each quantile represents 10 (12) out of 19 models having the same sign. In c) solid circle represents 95% statistical significance.



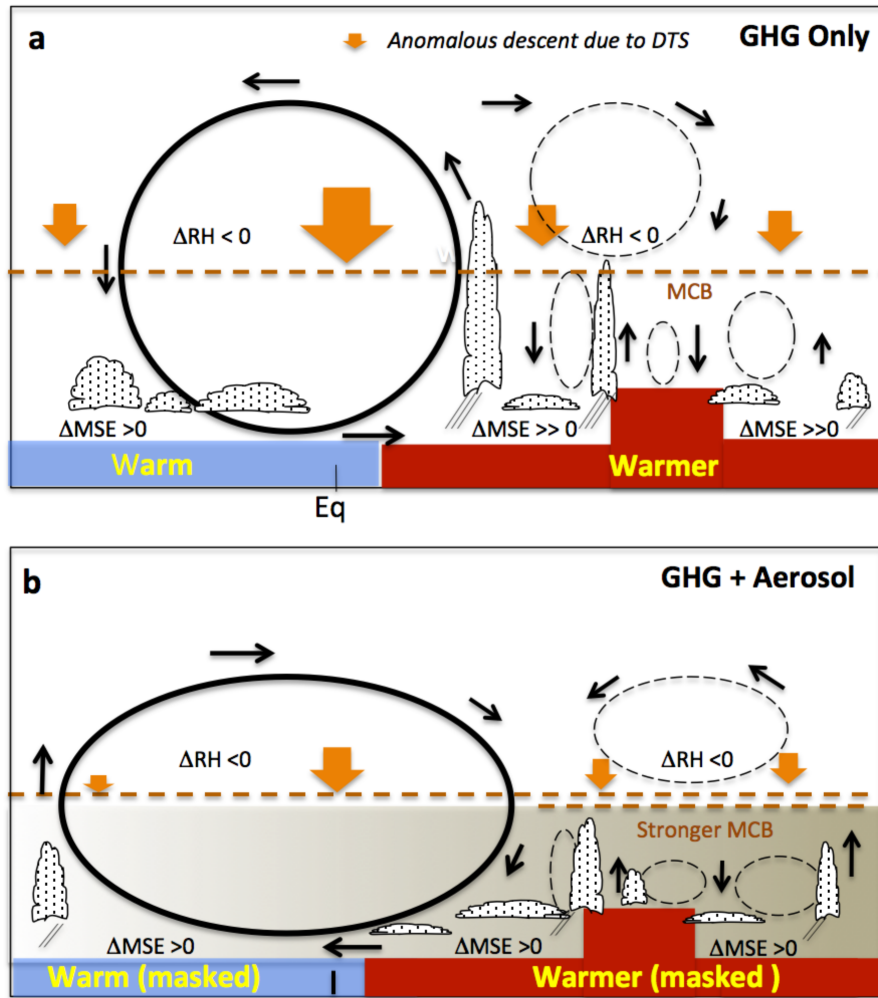


Figure 6 Schematic showing structural changes in the Asian summer monsoon meridional circulation (MMC), rainfall and cloud processes, indicating competing influences of enhanced low level moisture static energy (MSE) and the mid-tropospheric convective barrier (MCB, denoted by dashed brown lines) due to tropospheric drying ( $\Delta RH < 0$ ), resulting from adjustment of the large-scale circulation to the Deep Tropical Squeeze (DTS) and regional response and feedback processes under a) GHG warming, and b) combined GHG and aerosol effects. Solid circles denote changes in the oceanic and adjacent-land portion of the MMC. Dashed circles represent anomalous multi-cellular structure over the ASM land regions. In b), stronger MCB due to increased atmospheric stability by aerosols over ASM land is denoted by double dashed brown lines