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**The Roles of Climate Change and Climate Variability in the 2017
Atlantic Hurricane Season**

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Abstract

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The 2017 Atlantic hurricane season was extremely active with six major hurricanes, the third most on record. The sea-surface temperatures (SSTs) over the eastern Main Development Region (EMDR), where many tropical cyclones (TCs) developed during active months of August/September, were $\sim 0.96^{\circ}\text{C}$ above the 1901–2017 average (warmest on record): about $\sim 0.42^{\circ}\text{C}$ from a long-term upward trend and the rest ($\sim 80\%$) attributed to the Atlantic Meridional Mode (AMM). The contribution to the SST from the North Atlantic Oscillation (NAO) over the EMDR was a weak warming, while that from El Niño–Southern Oscillation (ENSO) was negligible. Nevertheless, ENSO, the NAO, and the AMM all contributed to favorable wind shear conditions, while the AMM also produced enhanced atmospheric instability.

Compared with the strong hurricane years of 2005/2010, the ocean heat content (OHC) during 2017 was larger across the tropics, with higher SST anomalies over the EMDR and Caribbean Sea. On the other hand, the dynamical/thermodynamical atmospheric conditions, while favorable for enhanced TC activity, were less prominent than in 2005/2010 across the tropics. The results suggest that unusually warm SST in the EMDR together with the long fetch of the resulting storms in the presence of record-breaking OHC may be key factors in driving the strong TC activity in 2017.

40 **Introduction**

41 The 2017 Atlantic hurricane season was one of the most active on record. Based on
42 statistics^{1,2,3}, six major hurricanes developed, with two of them (Irma and Maria) reaching
43 Category 5. The season is ranked as having the third highest number of major hurricanes in a single
44 year over the past century, exceeded only by the 1961 and 2005 seasons. It is the first year since
45 1893 that 10 consecutive named storms have strengthened into hurricanes. A number of the
46 tropical cyclones (TCs) that developed grew quite quickly to hurricane level and had unusually
47 long life times (Harvey, Irma, Jose, and Maria). The accumulated cyclone energy (ACE) in the
48 Atlantic, a measure of TC intensity and life cycle for the hurricane season, exceeded $220 \times 10^4 \text{ kn}^2$,
49 which is the fourth largest total ACE since 1950. The ACE for September 2017 ($155.4 \times 10^4 \text{ kn}^2$)
50 is the largest value in a single month in the Atlantic basin.

51 The goal of this study is to identify the causes of the strong 2017 TC activity, with a focus on
52 the long term trend and the leading modes of climate variability that impact seasonal TC activity
53 over the Atlantic. Since almost all the unusual TC activity occurred during the months of August
54 and September (AS), we focus our attention on those months. Previous studies have shown that
55 climate variability influences TC activity through changes in both atmospheric circulation and
56 thermodynamic conditions^{4,5,6,7}. Specifically, the El Niño Southern Oscillation (ENSO) and the
57 Atlantic meridional mode (AMM)⁸ are found to significantly modulate Atlantic sea level pressure
58 (SLP) and deep convection throughout the tropics⁹. For example, La Niña and the positive phase
59 of the AMM act to produce ocean/atmosphere conditions favorable for TC activity^{10,11}. The AMM
60 is known to exert interannual SST variations similar in structure to those of the Atlantic multi-
61 decadal oscillation (AMO)^{12,13}. In fact, ¹³ suggested that the impact of the AMO on seasonal TC
62 activity manifests itself through the AMM. In addition to ENSO and the AMM, the North Atlantic

63 Oscillation (NAO) also impacts TC activity^{14,15,6}, with the negative phase favoring TC activity,
64 and the positive phase suppressing TC activity: the latter was the case for the 2013 season, which
65 was inactive despite above-average sea surface temperatures (SSTs)^{16,17}.

66 SST variations associated with the climate modes described above (e.g.,¹⁸) as well as the SST
67 increase associated with long-term climate change, are well-known important factors that can
68 enhance TC activity^{19,20,21,22}. Ocean heat content (OHC) may, however, be a more important factor
69 than SST for determining TC intensification²³ as it measures the reservoir of heat available for
70 maintaining high SSTs in the presence of mixing or Ekman pumping caused by TCs. Atmospheric
71 impacts (both dynamical and thermodynamical) such as those associated with changes in vertical
72 wind shear^{24,25,26}, moisture¹¹, atmospheric instability (e.g., convective potential energy)²⁷, and
73 tropical tropopause layer cooling^{28,29} over the Main Development Region (MDR) (80°–20°W and
74 10°–20°N) are also crucial factors for TC activity. The connection between tropopause layer
75 cooling and TC intensity may, however, be weaker over the North Atlantic compared with the
76 western Pacific³⁰.

77 Here we focus on the factors that played an important role in producing the extremely active
78 2017 TC season, especially during August-September (AS). We examine the roles of three well-
79 known modes of climate variability (ENSO, the AMM, and the NAO), along with the SST trend
80 associated with a warming climate. We also compare the anomalous oceanic and atmospheric
81 structures that occurred during 2017 with those observed during the other recent extreme hurricane
82 years of 2005 and 2010.

83

84 **Results**

85 *The 2017 North Atlantic SST anomalies*

86 We begin by separating the SST anomalies over the North Atlantic during AS, the months

87 when TC activity in 2017 was extremely active, into the contribution from the long-term linear
88 trend and the contribution from interannual and longer-term variability.

89 The three upper panels in Figure 1 show the AS 2017 total SST anomaly, the contribution
90 from the long-term trend (computed for the period 1901–2017), and the detrended anomaly that is
91 presumably composed of interannual and longer-term variability. The distribution of the total
92 anomaly (Fig. 1a) shows a large positive SST anomaly over the MDR with the maximum in the
93 eastern Main Development Region (EMDR), along with both positive and negative anomalies over
94 the mid-latitude Atlantic. The SST anomaly contributed by the long-term linear trend is positive
95 throughout the Atlantic basin (Fig. 1b). The time series in the bottom two panels show the
96 evolution of the SST anomalies over the MDR and the EMDR. The long-term trend contributions
97 to the SST anomalies over the MDR and the EMDR region during 2017 are found to be ~ 0.37 and
98 $\sim 0.42^\circ\text{C}$, respectively (blue lines in Figs. 1d,e). For comparison, the non-trend contributions are
99 ~ 0.41 and $\sim 0.56^\circ\text{C}$ over the MDR and the EMDR, respectively. It is evident from Fig. 1d that the
100 MDR SST is the third highest in 2017, following 2010 and 2005. Interestingly, the SST anomaly
101 in the EMDR region that includes Cape Verde, where several tropical cyclones developed and
102 grew to be major hurricanes during AS 2017 (e.g., Harvey, Irma, and Jose), is the highest on record
103 ($\sim 0.96^\circ\text{C}$) (Fig. 1e). With a climatological value of 26.82°C , this anomaly translates to a record
104 warm SST value of $\sim 27.8^\circ\text{C}$ in the EMDR region during AS, which should be quite favorable for
105 TC development.

106 It has been suggested that, rather than the absolute SST in the Atlantic, a better indicator of
107 hurricane activity might be the SST relative to that in the other ocean basins^{31,32}. To assess whether
108 2017 is consistent with such an interpretation we present in supplementary Figure 1 the record of
109 SSTs over MDR (upper) and EMDR (lower) relative to the global mean tropical SST. We find

110 that although not exceptionally high, the relative SST anomalies are above average during the
111 recent historic active years, such as 1995, 2001, 2005, 2010, and 2017. We note that the super
112 active hurricane years that occurred in the 20th century (e.g., 1933 and 1969) also show higher
113 than average SST, but very large relative SST anomalies are also found in 1945 and 1958, when
114 the TC activity was not especially strong. As such, we might have to conclude that when
115 considering the relative SST anomalies, there is less evidence that the 2017 SST would force an
116 exceptional Atlantic hurricane season, though as³¹ point out, determining whether or not such an
117 interpretation is correct will require further modeling studies and a fuller dynamical understanding
118 of the tropical atmosphere. With that caveat, we will continue to focus here on the absolute SSTs.
119

120 *Impacts of the leading climate modes during AS of 2017*

121 In order to assess the contributions of the leading climate modes to the SST anomalies during
122 AS, we decompose the SST anomalies in terms of Rotated Empirical Orthogonal Functions
123 (REOFs, see Methods). The three leading SST REOFs (captured as ENSO, the AMM, and an
124 NAO-related SST pattern), are consistent with those found in previous studies (e.g.,^{6,9}) (see
125 supplementary Figure 2 and related description) and account for ~65% of the AS interannual SST
126 variance. We note that while the NAO is known to largely originate within the atmosphere, we
127 interpret the REOF shown in supplementary Fig. 2c as an NAO-forced SST pattern. The AMM (in
128 its positive phase) stands out with warm SST anomalies across the MDR. Also, the AS SST
129 anomaly over the MDR associated with ENSO is weak, consistent with¹⁸. We shall see, however,
130 that the ENSO impact over the tropical Atlantic tends to be more strongly reflected in the vertical
131 wind shear (Fig. 3).

132 Having established the spatial structure of the leading modes of variability, we can reconstruct

133 those aspects of the 2017 AS SST anomalies (i.e., departures from linear trend) (see Methods)
134 associated with ENSO, the AMM, and the NAO. The left panel in Fig. 2 shows the 2017 AS
135 detrended SST anomalies. The MDR is characterized by above-average SSTs, with the largest
136 values in the EMDR. This tropical-wide distribution of the detrended warm anomalies, together
137 with the contribution from the SST trend shown earlier (Figs. 1d,e), likely favors not only TC
138 genesis but also the strengthening of the storms as they migrate westward across the Atlantic ocean
139 toward North America. As mentioned earlier, the AS 2017 positive SST anomaly over the EMDR
140 with respect to the 1901–2017 mean (0.96°C), can be decomposed into a contribution from the
141 linear trend (0.42°C) and a contribution from interannual and longer term variability (0.54°C): see
142 the first three bars in Fig. 2c.

143 We next quantify the extent to which the detrended SST anomalies (Fig. 2a) can be explained
144 by the leading climate modes of variability. Figure 2b show the reconstructed SST anomaly
145 patterns, obtained by combining the contributions from ENSO, the AMM, and the NAO REOFs
146 (see Methods). The results show that the reconstruction of the SST anomalies based on just the 3
147 leading REOFs (Fig. 2b) reproduces reasonably well (though not fully) the actual SST anomaly
148 distributions shown in Fig. 2a. The bar charts (Fig. 2c) compare the SST anomalies over the EMDR
149 with the anomalies reconstructed from the individual modes, showing that $\sim 80\%$ of the SST
150 anomaly (0.54°C , third bar in Fig. 2c) reflects the positive phase of the AMM ($\sim 0.43^{\circ}\text{C}$, fifth bar
151 in Fig. 2c) during AS 2017, with only a very weak positive contribution from the NAO (sixth bar
152 in Fig. 2c). The contribution from ENSO, which was in a weak La Niña (or nearly neutral)³³ phase
153 during AS 2017, is negligible (fourth bar in Fig. 2c).

154 We next extend our investigation to examine ocean heat content (OHC), and the dynamical
155 and thermodynamical aspects of the atmosphere known to impact TC activity. Figure 3 presents

156 the anomaly by total (A), linear trend (B), detrended (C), and the reconstructed anomalies
157 associated with the individual modes for OHC (left) (ocean impact), wind shear (middle)
158 (dynamical impact) and potential intensity (PI) (right) (thermodynamical impact) in the EMDR for
159 the period 1982-2017 (see Methods). Here the magnitude of the vertical wind shear is defined as

$$160 \quad \textit{Wind shear} = \sqrt{(U_{850} - U_{200})^2 + (V_{850} - V_{200})^2}, \quad (1)$$

161 so that both westerly and easterly vertical shear have positive values. Thus, smaller wind shear
162 magnitude corresponds to larger negative anomalies as defined here.

163 The results show that that PI has a substantial upward trend over the EMDR region ,while the
164 OHC and wind shear do not. As such, the total anomaly of the PI consists of both a trend and
165 detrended components (Fig. 3c), while the total anomalies of the OHC and wind shear differ little
166 from the detrended anomaly over the EMDR region (Figs. 3a,b). Taking a broader look
167 (supplementary Figure 6b), we find that there are regions west of the EMDR where the OHC does
168 have a substantial upward trend component, especially over the western to central extra-tropical
169 Atlantic.

170 The most striking feature associated with the leading modes is that they drive higher OHC,
171 weaker wind shear and a vertically more unstable atmosphere than average in AS 2017. For
172 example, the sum of reconstructed anomalies of OHC, wind shear, and PI over the EMDR from
173 individual modes is about 0.29, -0.58, 1.5 (the right three bars in Figs. 3a, b, and c), respectively,
174 demonstrating their positive impact on TC activity in AS. We also see that the reconstructed
175 anomalies are generally close to the detrended anomalies, which are 0.28 for OHC (the third left
176 bar in Fig. 3a), -0.6 for wind shear (the third left bar in Fig. 3b) and 1.7 for PI (the third left bar in
177 Fig. 3c). Comparing the impacts of each mode highlights that the AMM is the key factor driving
178 the ocean and thermodynamic impacts (Figs. 2, 3a, and 3c). In contrast, the wind shear, known to

179 also be dynamically linked to the jet stream, atmospheric pressure and circulation fields associated
180 with ENSO and the NAO, is influenced by all three climate modes (Fig. 3b).

181

182 *Comparisons with other years*

183 In this section, we compare the spatial distributions of various key physical quantities such as
184 vertical wind shear and SLP (dynamical impact), PI and outflow temperature (thermodynamical
185 impact), and SST and OHC (ocean impact) in AS 2017 with those during previous extremely active
186 hurricane years (e.g., 2005 and 2010). For each of these quantities, the ranking is calculated at
187 each grid point for the years 1995-2017 - the recent period of above-average TC activity^{34,35}. Note
188 that SLP and vertical wind shear and outflow temperature values are ranked in the order of low to
189 high, because lower SLP, weaker wind shear, and lower outflow temperature associated with
190 tropical tropopause cooling facilitate strong TC activity, while the remaining quantities are ranked
191 from high to low, because warmer and higher potential energy conditions (moister and more
192 unstable) are favorable for TC activity. Additionally, standardized SST and OHC anomalies are
193 compared among the three years in a quantitative manner in the middle part of this section.

194 Figure 4 depicts the distributions of the rankings for AS 2017. The EMDR (an area of
195 substantial TC genesis during AS 2017) has extensive areas for which AS 2017 is ranked in the
196 top three for SST, OHC, and wind shear (Figs. 4a–c), while this is not the case for SLP. High
197 rankings over the EMDR are also found for PI and outflow temperature. Specifically, OHC, a vital
198 factor that can boost the rapid intensification of hurricanes^{23,36}, is ranked in the top (or close to top)
199 over most of the Atlantic basin, indicating its important role in 2017. The rankings for wind shear
200 and outflow temperature (Figs. 4c,f) tend to show high rankings over much of the Caribbean Sea
201 and northward to just north and east of the Bahamas. The highest rankings for SLP occur in narrow

202 swaths along the east coast Mexico, and from the southern Caribbean Sea northward along the east
203 coast of North America. Figure 4 overall indicates that a number of key quantities provide very
204 favorable conditions for TC activity in AS 2017, especially over the EMDR. Ocean conditions
205 appear to be even more favorable than atmosphere conditions (as measured by the rankings) for
206 developing intense TCs.

207 The above results for 2017 are next compared with those that occurred in 2005 and 2010.
208 Figure 5 shows that during 2005, highly favorable thermodynamical conditions (Figs. 5e,f) were
209 widespread across the tropical North Atlantic. This is in contrast to 2017 during which the most
210 favorable conditions were mainly confined to the EMDR. On the other hand, the impact of the
211 ocean during 2017 is comparable to or even stronger than that which occurred in 2005.
212 Specifically, OHC, that can act as a reservoir to keep the ocean surface warm, is substantially
213 higher in ranking during 2017 than in 2005 (cf. Figs. 4b and 5b). A comparison with 2010
214 (supplementary Figure 3 (SF3)), reveals that 2017 also had more favorable OHC conditions than
215 that year. However, during 2010, atmospheric conditions (SF3c–f) were more favorable for TC
216 intensification throughout the tropical North Atlantic.

217 Supplementary Figures 4 (SF4) and 5 (SF5) compare the SST and OHC between the three
218 years in a more quantitative manner (by providing amplitude information) in order to support our
219 conclusions drawn from ranking analysis. By scaling the SST anomalies in terms of standard
220 deviation, those figures show that each year has a different spatial distribution of where the SST
221 anomalies are largest (greater than 1 or 2 standard deviations), and those regions tend to be
222 juxtaposed with the regions of TC genesis and evolution (SF4): see also ^{17,37}. For example, the
223 largest SST anomalies are confined to the west in 2005 and to the east in 2017, while 2010 shows
224 large SST anomalies over much of the MDR. Similarly standardized OHC anomalies (SF5) match

225 well the rankings shown in Figs. 4b, 5b, and SF3b, supporting our contention that the three years
226 have unique OHC distributions, causing different impacts on TC activity.

227 Earlier studies concluded that the main TC tracks on seasonal time scales are significantly
228 determined by the combined impact of the ENSO, AMM, and NAO that characterize the SLP
229 distribution^{9,17}. Comparing the SLP anomaly patterns for the three extreme years 2005, 2010, and
230 2017 (see Figs. 4d, 5d, and SF3d), the 2005 season, characterized by the largest positive AMM
231 and near neutral ENSO and NAO conditions^{17,38}, had the lowest SLP (and high ranking) largely
232 confined to the western/central North Atlantic (consistent with the TCs that developed there and
233 moved northward): the area of low SLP did extend south to just north of Cuba where, in the
234 presence of favorable wind shear, a number of TCs developed that made landfall over North
235 America (Fig. 5a). During 2010, the combined impact of the strong positive AMM, La Niña, and
236 the negative NAO³⁸ produced positive SST anomalies across the entire tropical Atlantic and a
237 weaker subtropical high (i.e., higher SLP ranking) over the Atlantic (SF3d), leading to more early
238 recurvers and thus fewer landfalls despite enhanced TC genesis³⁷ (SF3a). This is in contrast with
239 2017 that has TC tracks directed westward toward the Caribbean Sea with landfalls over North
240 America due to development of the subtropical high not unlike what occurred in 2005 in the
241 Atlantic (Figs. 4a,d).

242 The above results indicate that it is to a large extent the differences in the phases and intensities
243 of the three leading climate modes that determine the unique TC track patterns observed during
244 these three (2005, 2010, and 2017) strong TC seasons. In particular, the overall very favorable
245 ocean/atmospheric conditions for TC activity is linked to the large amplitude positive phase of the
246 AMM in those years (see the PC and AMM index in supplementary Fig. 2e).

247 The reasons for the differences between 2017 and the other two extreme years are not immediately

248 clear. The relatively larger amplitude of the AMM during 2005 and 2010 compared with 2017
249 (supplementary Fig. 2e) appears to be why the highly favorable atmospheric conditions for strong
250 TC activity extended across much of the North Atlantic during those years while that was not the
251 case for 2017. On the other hand, the more favorable OHC conditions in 2017 compared with 2005
252 and 2010 appears to be associated with the increasingly more important role of the trend, as
253 computed here for the period 1995–2017 (supplementary Fig. 6b). This upward trend is primarily
254 observed over the western-central North Atlantic and, unlike for the atmospheric quantities
255 (supplementary Figs. 6c-f), has a distribution that is quite similar to the distribution of the 2017
256 OHC rankings (cf. Fig. 4b). As such, it appears that the larger OHC in 2017 is the combined effect
257 of the trend (most pronounced over the western-central North Atlantic) and the leading modes of
258 climate variability including the AMM that have influences spanning the North Atlantic.

259 Further evidence of the important role of the AMM over the North Atlantic is presented in
260 supplementary Figures 7 and 8 (SF7 and SF8). In particular, the spatial correlations between the
261 observed anomalies of some key variables in 2017 and the corresponding anomalies determined
262 from a regression against the AMM (SF7), provide evidence of a strong association between the
263 ocean/atmospheric anomalies and the AMM. Looking at the longer record (1995-2017; see SF8
264 and related discussion), it is clear that the AMM is also closely related to the interannual variation
265 of the number of major hurricanes (SF8e). These facts suggest that the anomalous spatial patterns
266 of the rankings in Figs. 4 and 5, and SF3 have a close relation to the AMM, though ENSO and the
267 NAO play a role as well. We note that the higher correlation of the ocean/atmospheric anomalies
268 (e.g., SST, SLP, wind shear, humidity, and atmospheric instability) during TC season with the
269 phase/amplitude of the AMM than with either ENSO or the NAO, has been reported in previous
270 studies¹³.

271

272 **Discussion**

273 This study examined the causes of the extremely strong 2017 Atlantic TC activity, focusing
274 particularly on AS when much of the activity occurred. A key factor suggested was the record-
275 setting warm SST over the EMDR, driven primarily by the climate change signal ($\sim 0.42^{\circ}\text{C}$ above
276 the 1901–2017 average) and the AMM that accounted for 80% of the additional (beyond the trend)
277 warming of $\sim 0.54^{\circ}\text{C}$. As such, a majority of the tropical disturbances that developed into strong
278 TCs (Gert, Harvey, Irma, Jose, Lee, and Maria) had their genesis in the EMDR. In addition, the
279 MDR had the third warmest SST on record exceeded only by 2010 and 2005. This was
280 accompanied by record-setting OHC over most of the North Atlantic that acted to maintain the
281 warm ocean surface and facilitated the strengthening of the TCs as they traversed the Atlantic.
282 Atmospheric conditions (e.g., wind shear, SLP, PI, and upper-level outflow temperature) also
283 provided very favorable conditions for TC activity over the Atlantic with the maximum over the
284 EMDR, but these factors were overall less prominent than in 2005 and 2010 across the entire
285 Atlantic basin. ENSO, the NAO, and the AMM together provided the favorable wind shear
286 conditions, while the AMM also produced the very warm ocean and enhanced atmospheric
287 instability.

288 While we believe the results of our observational analysis are highly suggestive of the causes
289 of the 2017 extremely strong TC activity as summarized above, a natural follow-up step is to carry
290 out model experiments that would allow a more direct assessment of the nature of the remarkably
291 warm SST and OHC due to both climate change and climate variability, as those experiments are
292 found in^{39,40,41,42}. Such experiments would likely require a model that is coupled to the ocean
293 (rather than an AGCM) to allow addressing the role of OHC, and has high enough resolution to

294 address the possible roles of spatial (and temporal) scales smaller (shorter) than those considered
295 here, including the possible role of African easterly waves.

296 Understanding the implication of these results for the future requires that the 2017 hurricane
297 season be considered in the context of past seasons. Simply assuming that the SST continues to
298 increase for the next few decades due to global warming, some enhancement of seasonal TC
299 activity can be expected, including the development of hurricane-level TCs^{20,21,22,43}. Also, the
300 downward trend of temperature near the tropical tropopause in recent decades and the associated
301 cooling of the TC outflow temperature appears to contribute to an increase in TC PI^{28,29,44}. On the
302 other hand, seasonal TC activity over the past few decades displays considerable interannual
303 variability that is largely determined by the leading modes of climate variability, indicating that a
304 gradual warming alone does not play the dominant role^{45,46}. In fact, the most extreme TC seasons
305 in the recent past tended to occur when these modes of climate variability provide favorable
306 conditions for TC activity (e.g., 2005 was characterized by a very strong positive AMM and 2010
307 was characterized by a positive AMM and La Niña conditions). In contrast, the recent weak TC
308 activity in 2014 and 2015, for example, occurred in the presence of El Niño conditions during
309 summer, which would tend to suppress TC activity. The weak TC activity with many short TC
310 tracks somehow coincided with a positive phase of the NAO in 2013, while the ENSO and AMM
311 signals were rather weak. Strong anti-cyclonic Rossby wave breaking, which tends to be more
312 active during the positive phase of the NAO⁴⁷, was also observed during AS 2013⁴⁸, driving an
313 equatorward intrusion of extratropical dry air. TC development was below normal during AS 2016,
314 despite warm Atlantic conditions, due to an anomalously dry troposphere over the MDR⁴⁹.

315 The above cases indicate that, even in the presence of climate change characterized by
316 increasing SST, it is the leading modes of climate variability that largely determine the extremes

317 in seasonal TC activity, in that they are associated with both the thermodynamical and dynamical
318 conditions favorable (or unfavorable) for TC development. Nevertheless, we can expect that
319 climate change will play an increasingly important role in determining extremely active years in
320 that it provides an increasingly warmer baseline in SST from which the major modes of climate
321 variability deviate. The 2005 and 2017 hurricane seasons (both characterized by a positive AMM,
322 and weak NAO and ENSO) appear to be consistent with such an interpretation. During those years,
323 the tropical Atlantic SSTs and the major hurricane counts are comparable, despite a relatively
324 smaller magnitude of the positive phase of the AMM in 2017 than in 2005 (e.g., Supplementary
325 Fig. 2e), indicating an increasingly greater role for climate change.

326

327 **Data and Methods**

328 The SSTs used are the Merged Hadley-NOAA Optimal Interpolation SST data⁵⁰ at 1°
329 longitude-latitude resolution over the period 1901–2017. The atmospheric data (0.625° longitude
330 ×0.5° latitude resolution) are from the NASA Modern-Era Retrospective analysis for Research and
331 Applications, Version 2 (MERRA–2)⁵¹. The primary MERRA–2 variables used are SLP, 500mb
332 vertical velocity, and the three dimensional horizontal wind, relative humidity, geopotential height,
333 and temperature, at 25 pressure levels (100–1000mb)⁵². The study also uses the ocean heat content
334 (300m) (OHC) derived from Version 1 of the NASA Global Modeling and Assimilation Office
335 Ocean Data Assimilation System (GMAO ODAS)⁵³.

336 TC track data are employed to show and compare their characteristic patterns between 2005,
337 2010, and 2017. The data are downloaded from NASA EarthData Global Hydrology Resource
338 Center (GHRC)⁵⁴.

339 In order to capture the leading modes of climate variability that play a major role in
340 determining interannual variation of the ocean/atmosphere, the Rotated Empirical Orthogonal

341 Function (REOF) analysis technique⁵⁵ is applied for the AS months over the period 1982–2017.
 342 Specifically, varimax rotation method is applied so that the REOF modes can meet orthogonality
 343 to each other. We extract the leading REOF spatial patterns (left panels in Supplementary Fig. 2
 344 (SF2)) and corresponding time series (black lines in the right panels in SF2) from the detrended
 345 SST anomaly data. The time series (Principal Component time series) present interannual variation
 346 of each mode. The time series in blue denote official indices of the ENSO, the AMM, and the
 347 NAO archived at NOAA Climate Prediction Center (for ENSO⁵⁶ and NAO⁵⁷) and University of
 348 Wisconsin (for AMM⁵⁸).

349 In order to examine how much of the detrended anomaly in 2017 is explained by a
 350 combination of ENSO, the AMM, and the NAO modes, the anomaly is projected onto the REOFs
 351 of each mode. For example, the reconstructed $SST_{\text{ENSO}}(x, y, t)$ for the ENSO mode at (x, y) and time
 352 t is then

$$353 \quad SST_{\text{ENSO}}(x, y, t) = R_{\text{ENSO}}(x, y) \cdot PC_{\text{ENSO}}(t), \quad (2)$$

354 where $R_{\text{ENSO}}(x, y)$ is the unnormalized REOF SSTs for the ENSO mode and
 355 $PC_{\text{ENSO}}(t)$ is the normalized (detrended) PC time series. This calculation is repeated for the other
 356 two modes, which are orthogonal to each other, over 1982–2017. This procedure helps quantify
 357 the effectiveness of the leading modes in reconstructing the observed anomaly each year.

358 To assess the atmospheric heat potential that determines atmospheric instability, we calculate
 359 the potential intensity (PI) (V_{pot}) following⁵⁹.

$$360 \quad V_{\text{pot}}^2 = \frac{C_k T_s}{C_d T_0} (CAPE^* - CAPE^b), \quad (3)$$

361 where C_k and C_d are the exchange coefficient for enthalpy and the drag coefficient, respectively.
 362 T_s is SST and T_0 is the mean outflow temperature at the level of neutral buoyancy of an air parcel
 363 lifted from saturation at the SST. The lower the outflow temperature is, the greater thermodynamic
 364 efficiency is expected. $CAPE^*$ and $CAPE^b$ are the convective available potential energy (CAPE)

365 of the air displaced upward from saturation at sea level with reference to ambient air and the CAPE
366 of the air at boundary layer, respectively.

367

368 **Acknowledgements and Data availability**

369 This study was funded by a grant from NASA's Modeling Analysis and Prediction program. The
370 datasets for analysis are available, respectively, at <https://climatedataguide.ucar.edu/climate->
371 [data/merged-hadley-noaaoi-sea-surface-temperature-sea-ice-concentration-hurrell-et-al-2008](https://climatedataguide.ucar.edu/climate-data/merged-hadley-noaaoi-sea-surface-temperature-sea-ice-concentration-hurrell-et-al-2008) for
372 the observed SST, <https://doi.org/10.5067/2E096JV59PK7> for the MERRA-2 atmospheric
373 variables, and <https://cds.nccs.nasa.gov/odas> for OHC.

374

375 **Competing interests**

376 The authors declare no competing interests.

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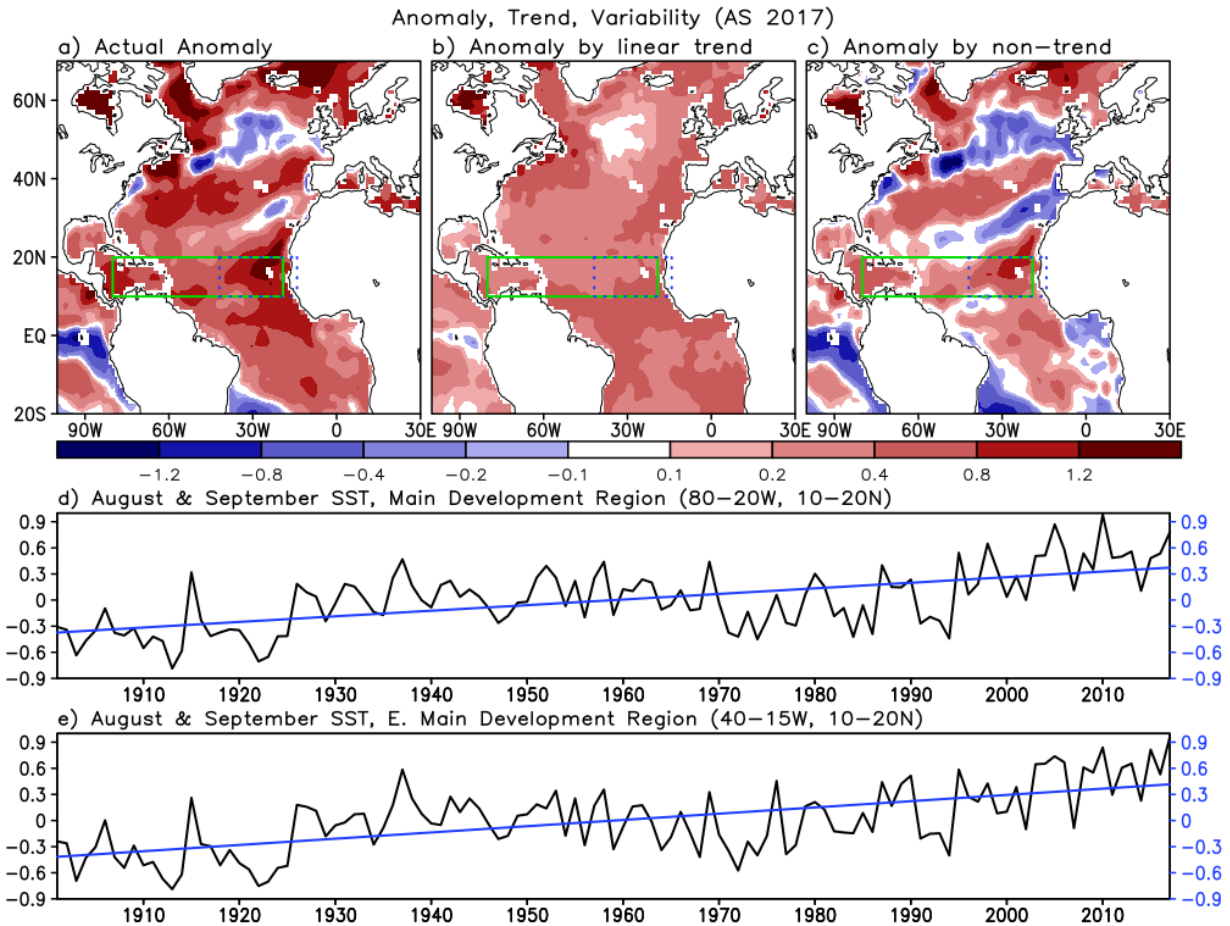
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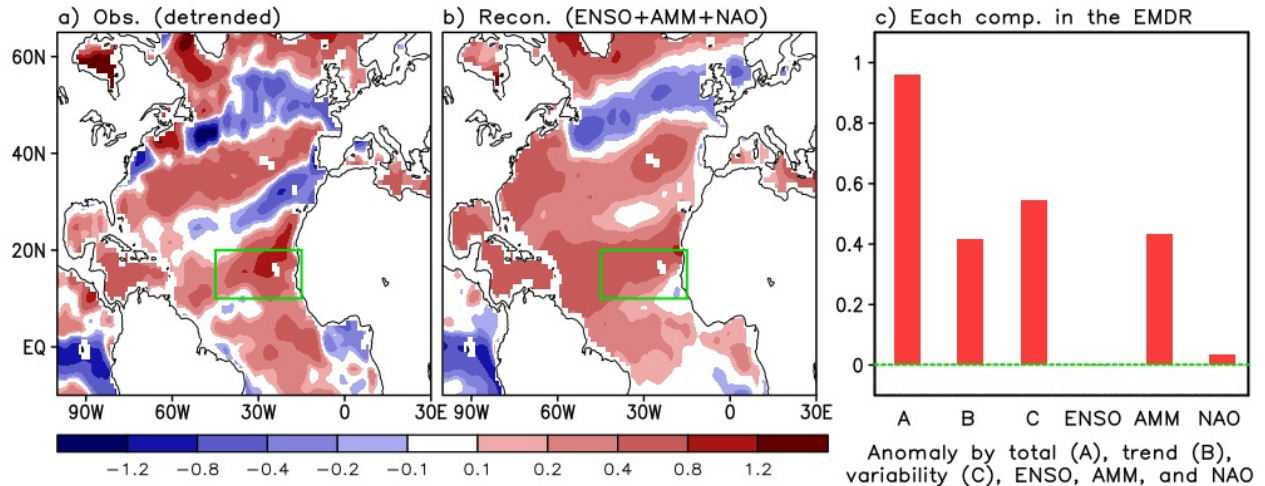
526 **Author contributions statements**

527 All authors had regular meetings to create ideas of how to investigate the 2017 Atlantic hurricane
528 season and check the progress of the study. Y.-K. L. performed the majority of the analyses and
529 wrote the main and supplementary manuscript text. S. D. S. worked closely with Y.-K. L. to
530 interpret the results. R. K. produced the GEOS ODAS ocean heat content data. S. D. S., R. K., A.
531 M. M. and S. P. reviewed the manuscript and gave comments/suggestions and made edits to
532 improve the manuscript.



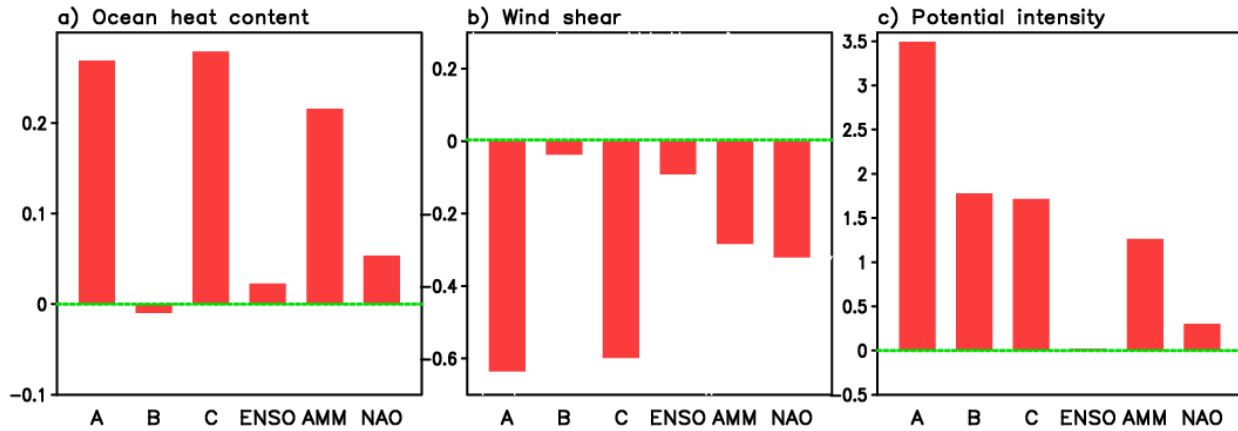
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Figure 1. a) Total SST anomaly from long term mean over 1901–2017, b) anomaly by long-term linear trend, and c) anomaly departing from the linear trend in August/September 2017. Green boxes denote the Main Development Region (MDR), while the blue boxes denote the eastern MDR (EMDR). d) represents the area-averaged MDR SST in August/September over the period 1901–2017. Black and blue line denotes, respectively, the total SST anomaly (black) and long-term linear trend (blue). Bottom panel (e) is the same as the panel (d) but for the EMDR.



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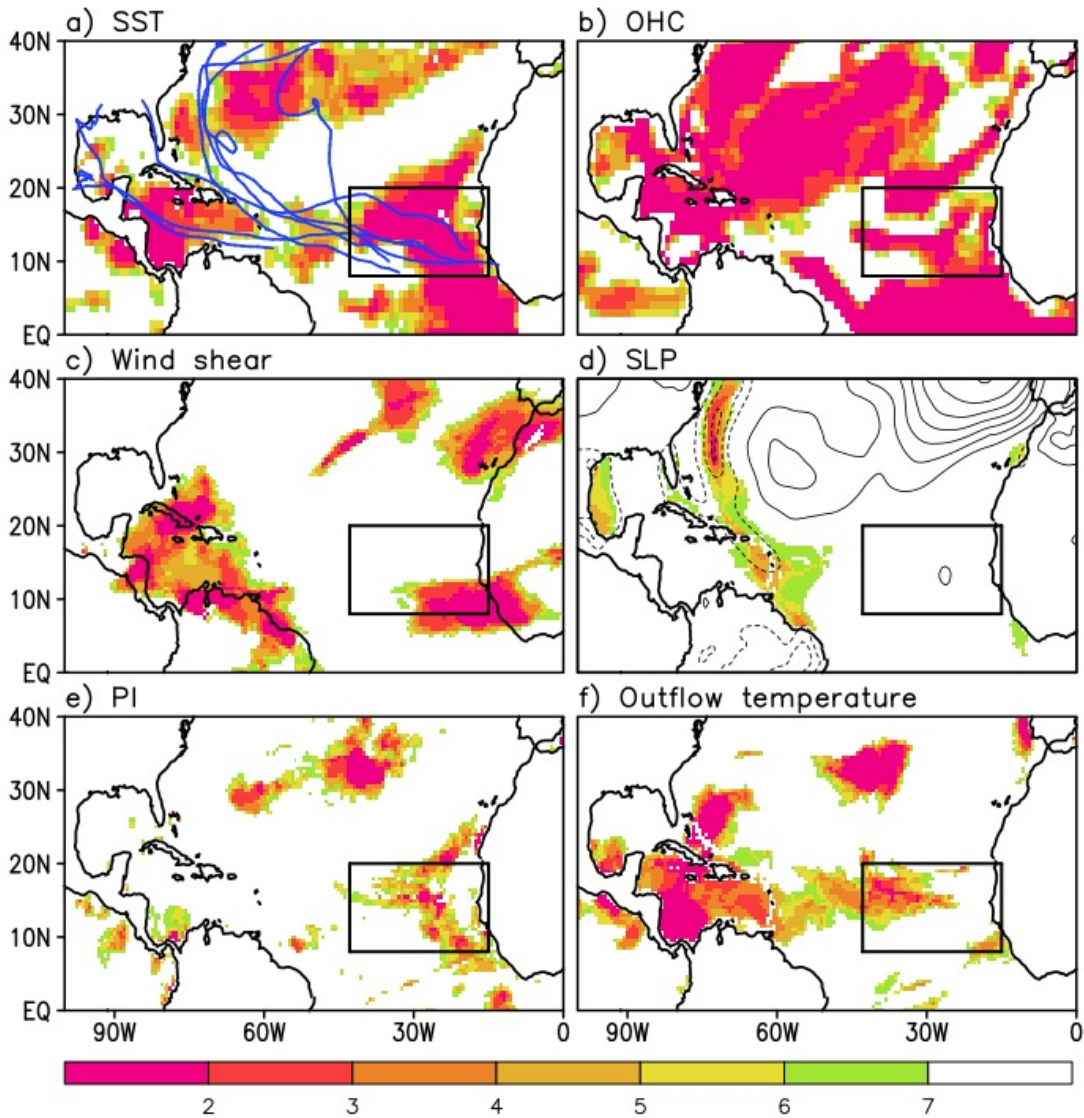
Figure 2. Distribution of a) the observed SST anomaly (detrended component) in August/September 2017 and b) the reconstructed SST anomaly by combined impacts of the ENSO, the AMM, and the NAO. Green boxes denote the eastern Main Development Region (EMDR). c) Six bars in the graph in the right panel represent the SST anomaly over the EMDR by total, long-term linear trend, climate variation (i.e., departure from long-term linear trend), ENSO impact, AMM impact, and the NAO impact, respectively.



552
 553 **Figure 3.** Anomalies of the a) ocean heat content (10^{22} J) (ocean impact), b) vertical wind shear
 554 ($m s^{-1}$) (dynamical impact), and c) potential intensity ($m s^{-1}$) (thermodynamical impact) over the
 555 eastern Main Development Region by total (A), linear trend (B), detrended (C), ENSO impact,
 556 AMM impact, and the NAO impact in August/September 2017. Note that detrending was done for
 557 the data available period 1982–2017.

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Ranking distribution in Aug/Sep 2017

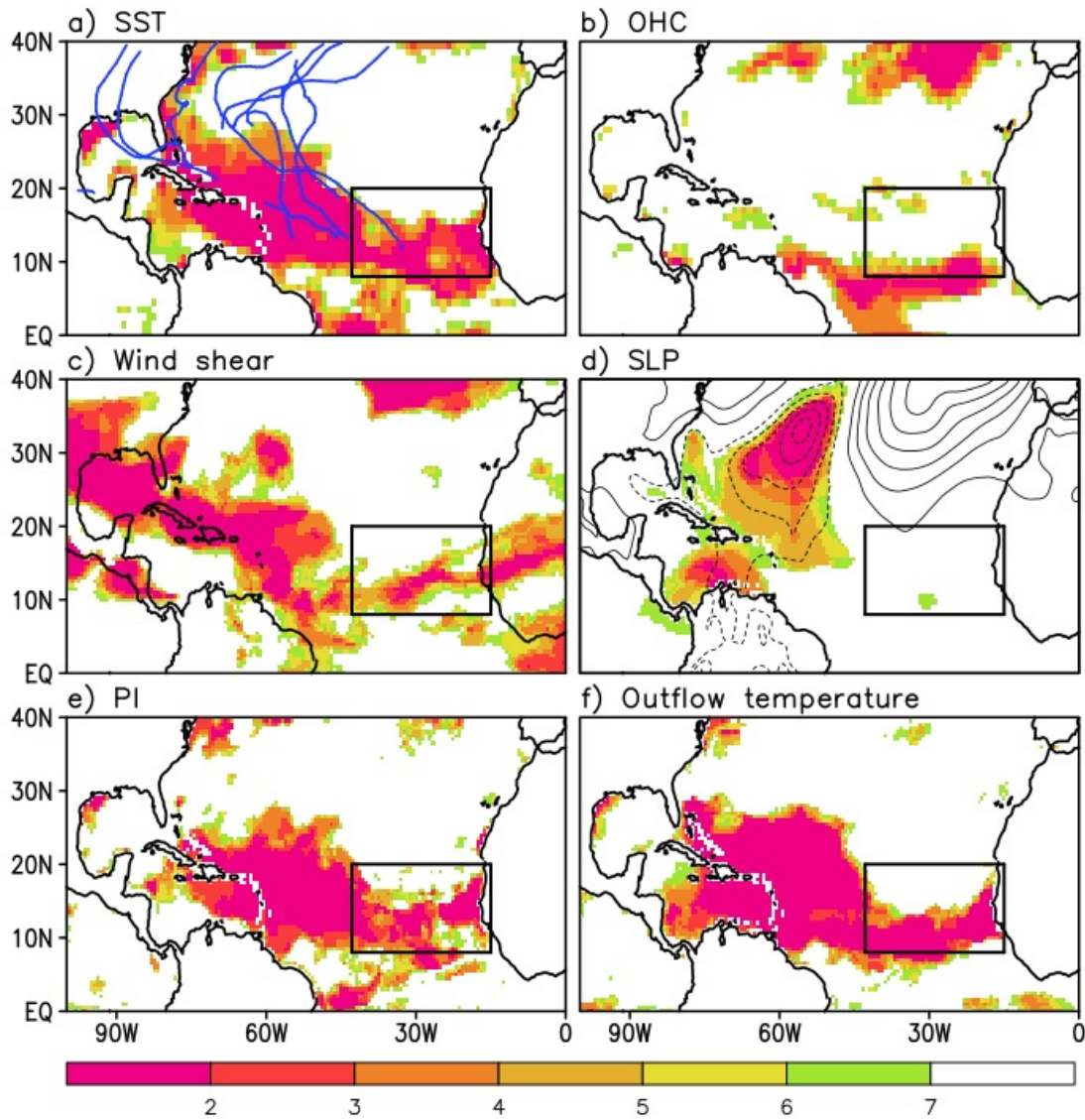


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561 **Figure 4.** Distribution of rankings corresponding to the anomalies of each key quantity during
 562 August/September 2017. Rankings are calculated over 1995–2017, the recent period of above-
 563 average tropical cyclone (TC) activity on decadal time scale. Ranking values are shaded only for
 564 the first top six rankings (1st – 6th). Key quantities investigated here, which play a crucial role in
 565 TC activity, are SST and ocean heat content (ocean impact), vertical wind shear and sea level
 566 pressure (dynamical impact), potential intensity and outflow temperature (thermodynamical
 567 impact). The blue lines in (a) are the TC tracks observed in August/September 2017. Contour lines
 568 in (d) represent the sea level pressure anomaly distribution. Black boxes denote the eastern Main
 569 Development Region (EMDR).

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Ranking distribution in Aug/Sep 2005



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572 **Figure 5.** Same as Figure 4 but for the other extremely strong hurricane year that occurred in 2005.

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Supplementary Figures/Information

The Roles of Climate Change and Climate Variability in the 2017 Atlantic Hurricane Season

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Andrea M. Molod¹, and Steven Pawson¹**

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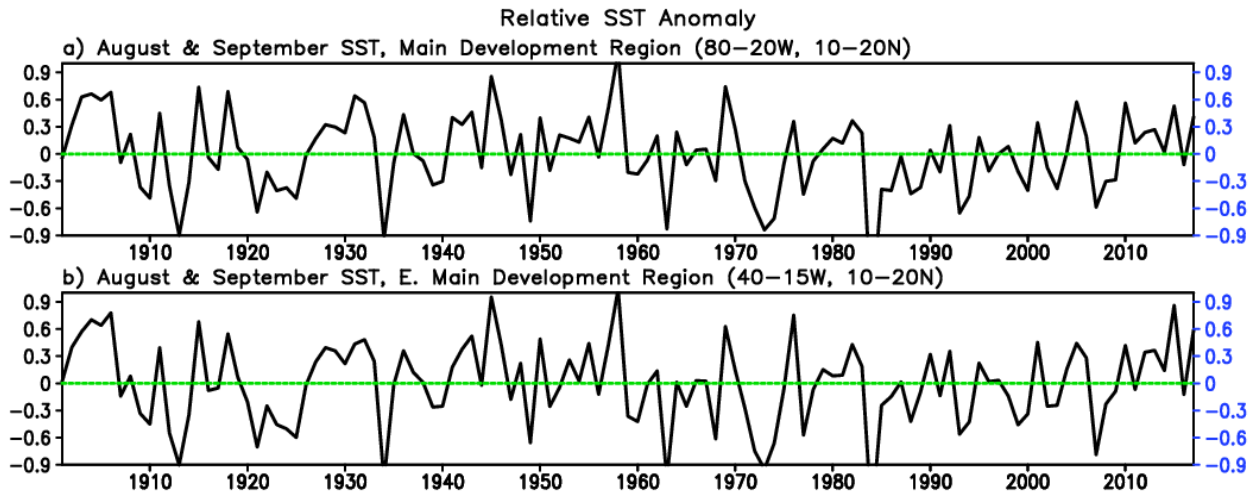
²Goddard Earth Sciences Technology and Research / I. M. Systems Group

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22 **Supplementary Figure 1**



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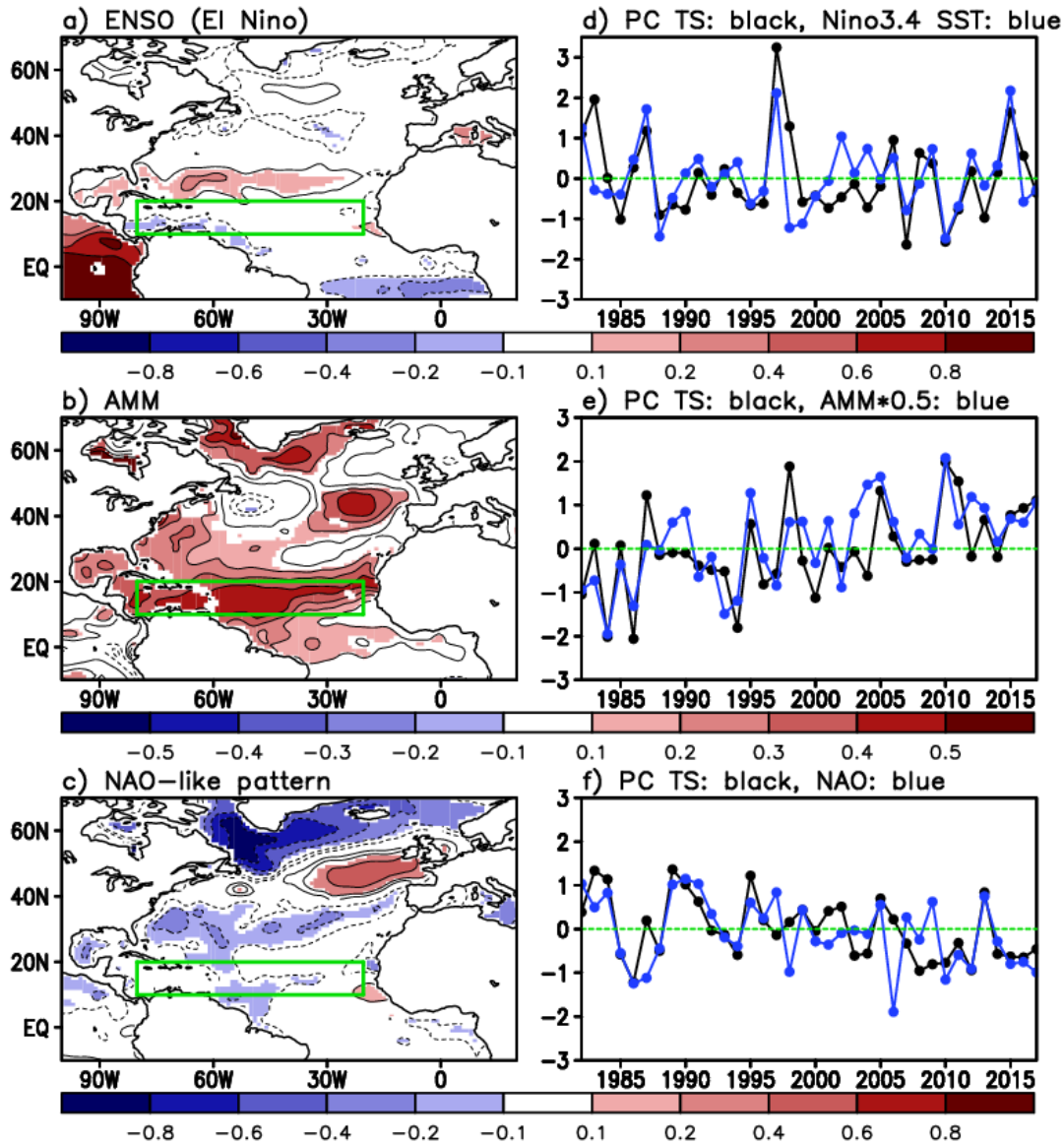
25 **Figure 1.** Time series of the August/September SST anomalies relative to the mean SST averaged
26 over 0°–360°E, 30°S–30°N. The results are presented for the period 1901–2017 for the MDR
27 (upper-panel) and EMDR (lower-panel)..

28

29 **Supplementary Figure 2**

30 The main features of the three leading modes (ENSO, AMM, and NAO) are briefly
31 summarized here:

- 32 1) The El Niño mode (Fig. 2a) shows positive SST anomalies over the tropical eastern Pacific,
33 with near zero or negative anomalies across the MDR¹, indicating unfavorable conditions
34 for the TC genesis over the North Atlantic. The PC time series and the Niño 3.4 SST index
35 in Fig. 2d show the positive peaks during El Niño events (e.g., 1982, 1997, and 2015). The
36 weak La Niña (or near neutral) conditions of August/September 2017 are manifested in the
37 small amplitude of this PC.
- 38 2) The positive phase of the AMM mode (Fig. 2b) is characterized by positive SST anomalies
39 over most of the Northern Atlantic covering the MDR^{2,3}. Both 2005 and 2010 experienced
40 large positive phases of the AMM (Fig. 2e) - years with the strongest Atlantic TC activity
41 so far this century. 2017 is also characterized by a large positive phase of the AMM that
42 contributed to a favorable environment for TC activity, but the magnitude is a little smaller
43 than those for 2005 and 2010 (Fig. 2e).
- 44 3) The positive phase of the NAO-like mode (Fig. 2c) includes the well-known North-South
45 tripole structure over the extra-tropical Atlantic⁴. A negative or near zero SST anomaly
46 dominates the MDR, which is not favorable for strong TC activity. The negative phase of
47 the NAO is known to be more favorable for TC genesis over this region⁵. While the weak
48 TC activity coincide with the positive phase of this mode in 2013, the modest amplitude
49 negative NAO in 2017 (PC in Fig. 2f) indicates that the NAO is likely to have had a positive
50 impact on the TC activity.



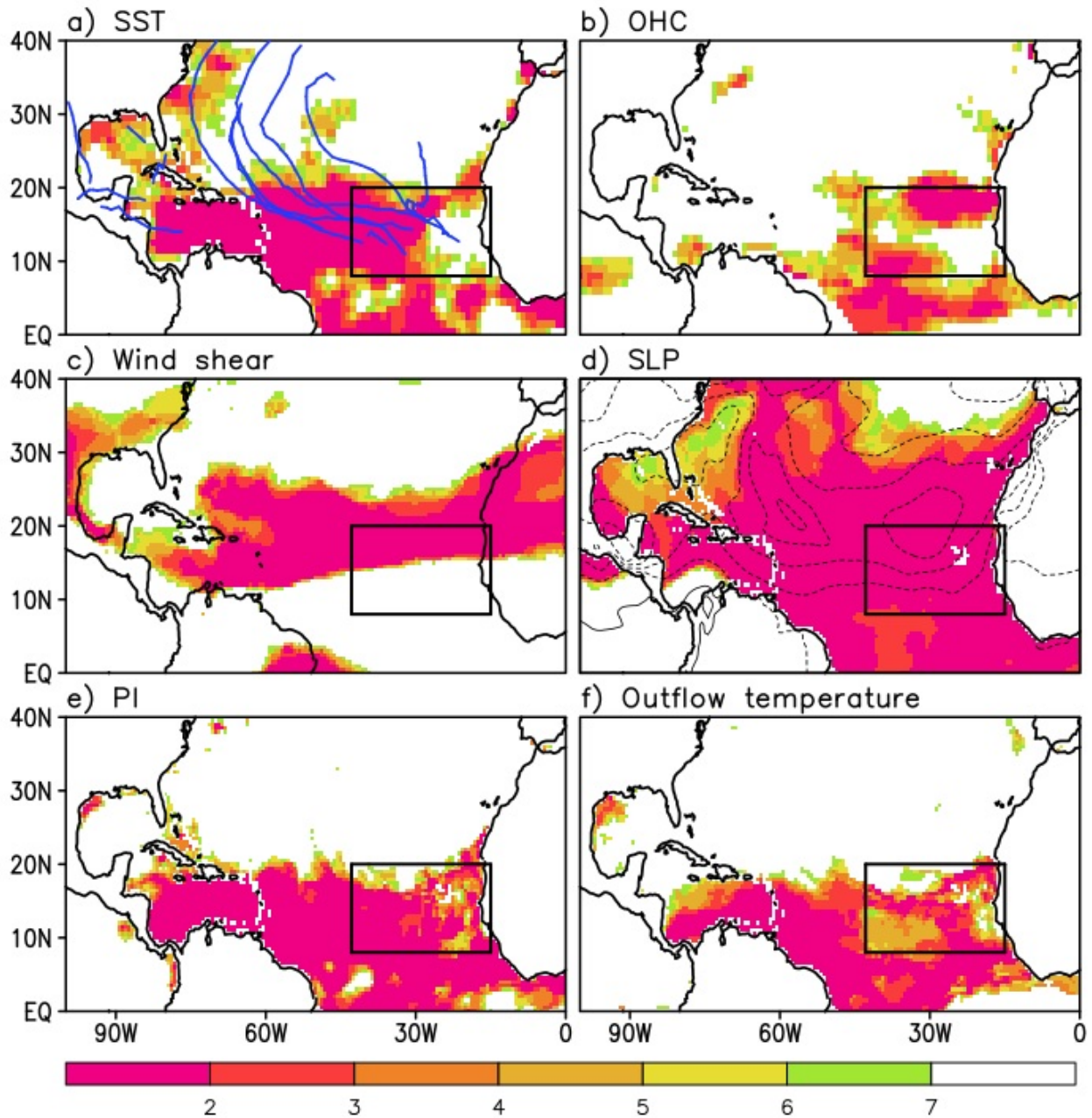
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52 **Figure 2.** The first three REOFs of the detrended observed SST ($^{\circ}\text{C}$) for August/September 1982–
 53 2017. The climate change signal included in the long-term upward trend has been first removed
 54 for the period 1901–2017 to solely investigate the climate variability, and the resulting SST for the
 55 period 1982–2017 is applied to the REOF analysis. From top to bottom, each panel represents the
 56 ENSO, the AMM, and the NAO-like mode. The left panels show distributions of non-normalized
 57 eigenvectors while the corresponding PC time series (black) are on the right. Eigenvector values
 58 statistically significant at 10 percent are shaded. Green boxes denote the Main Development
 59 Region (MDR). The sign convention corresponds to what is generally accepted to be the positive
 60 phases of these modes. Blue lines denoting official indices archived at NOAA/CPC are
 61 superimposed to indicate strong agreement with the PCs. Note that the frequent positive phase of
 62 the AMM in recent years (panel e) is associated with the Atlantic Multidecadal Oscillation that
 63 has been in the positive phase on decadal time scale since 1995.

64

65 **Supplementary Figure 3**

Ranking distribution in Aug/Sep 2010



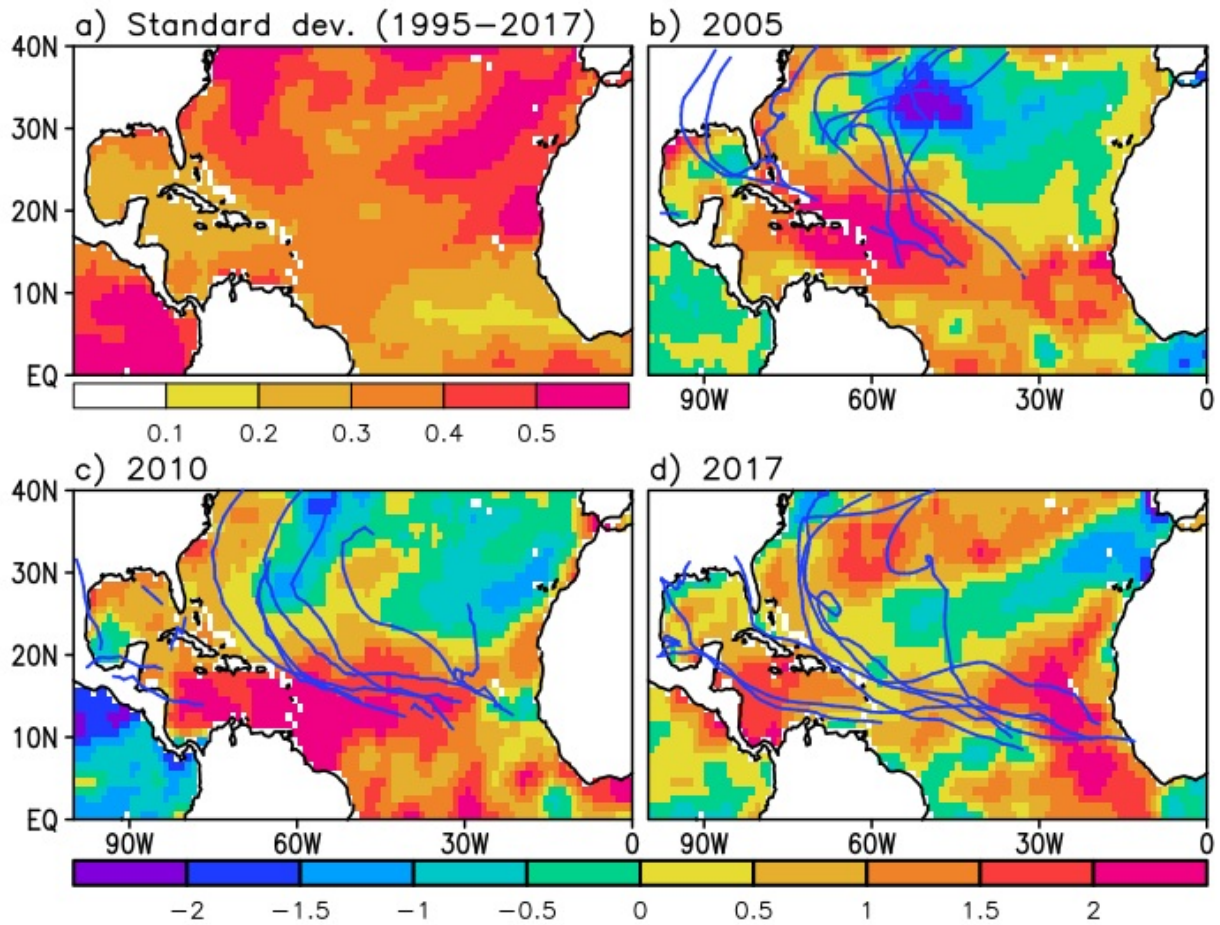
66

67 **Figure 3.** Same as Figure 4 in the main article but for the other extremely strong hurricane year
68 that occurred in 2010. The ranking is calculated at each grid point for the years 1995-2017 - the
69 recent period of above-average TC activity.

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71 **Supplementary Figure 4**

SST standard dev. and Anomalies in Aug/Sep (2005, 2010, and 2017)



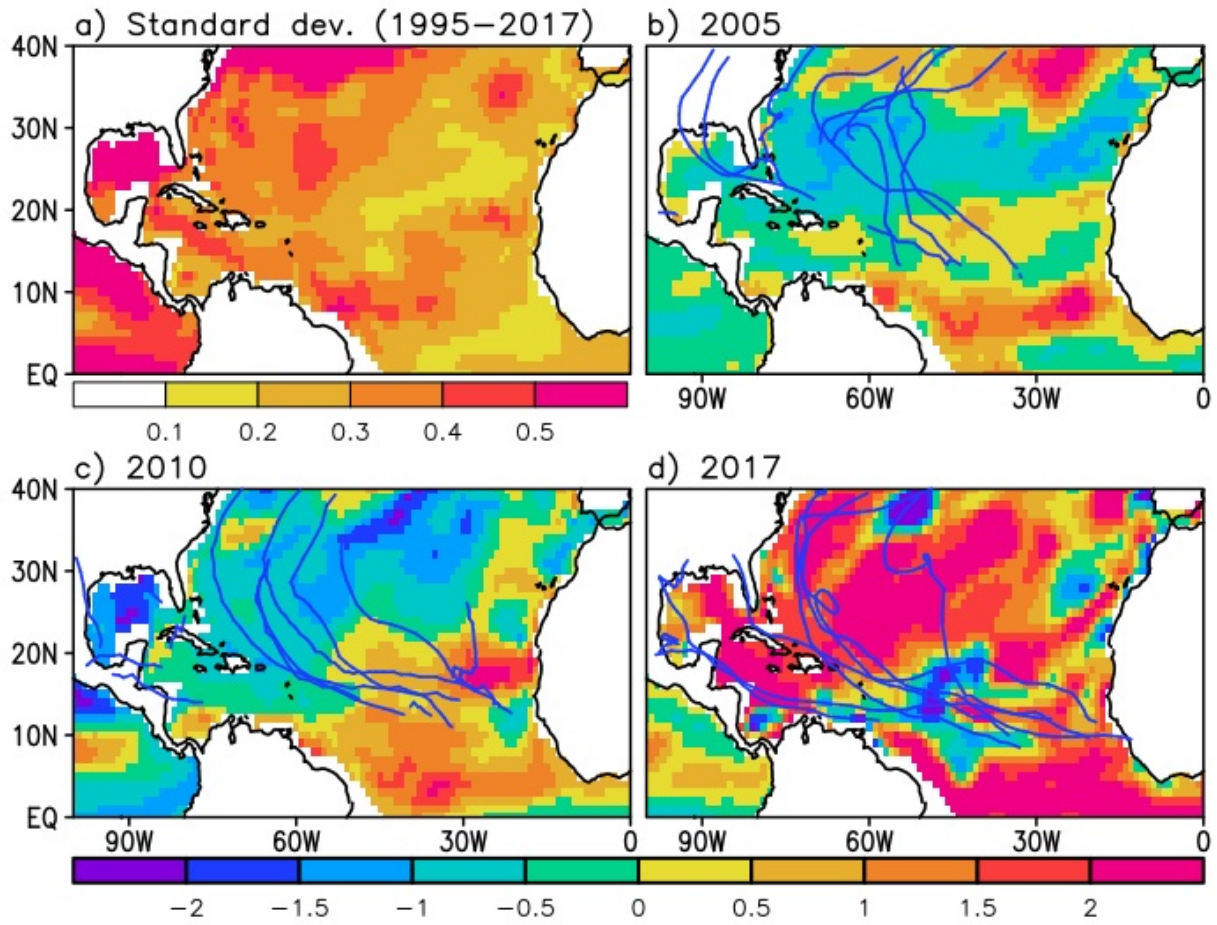
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73 **Figure 4.** a) Distribution of standard deviation of the August/September mean SST over 1995–
74 2017. Three other panels represent standardized anomaly distributions for 2005 (b), 2010 (c), and
75 2017 (d). Standardized anomaly is defined as the anomaly for a particular year divided by standard
76 deviation. The blue lines in (b), (c), and (d) are the TC tracks observed in August/September each
77 year.

78

79 **Supplementary Figure 5**

OHC standard dev. and Anomalies in Aug/Sep (2005, 2010, and 2017)

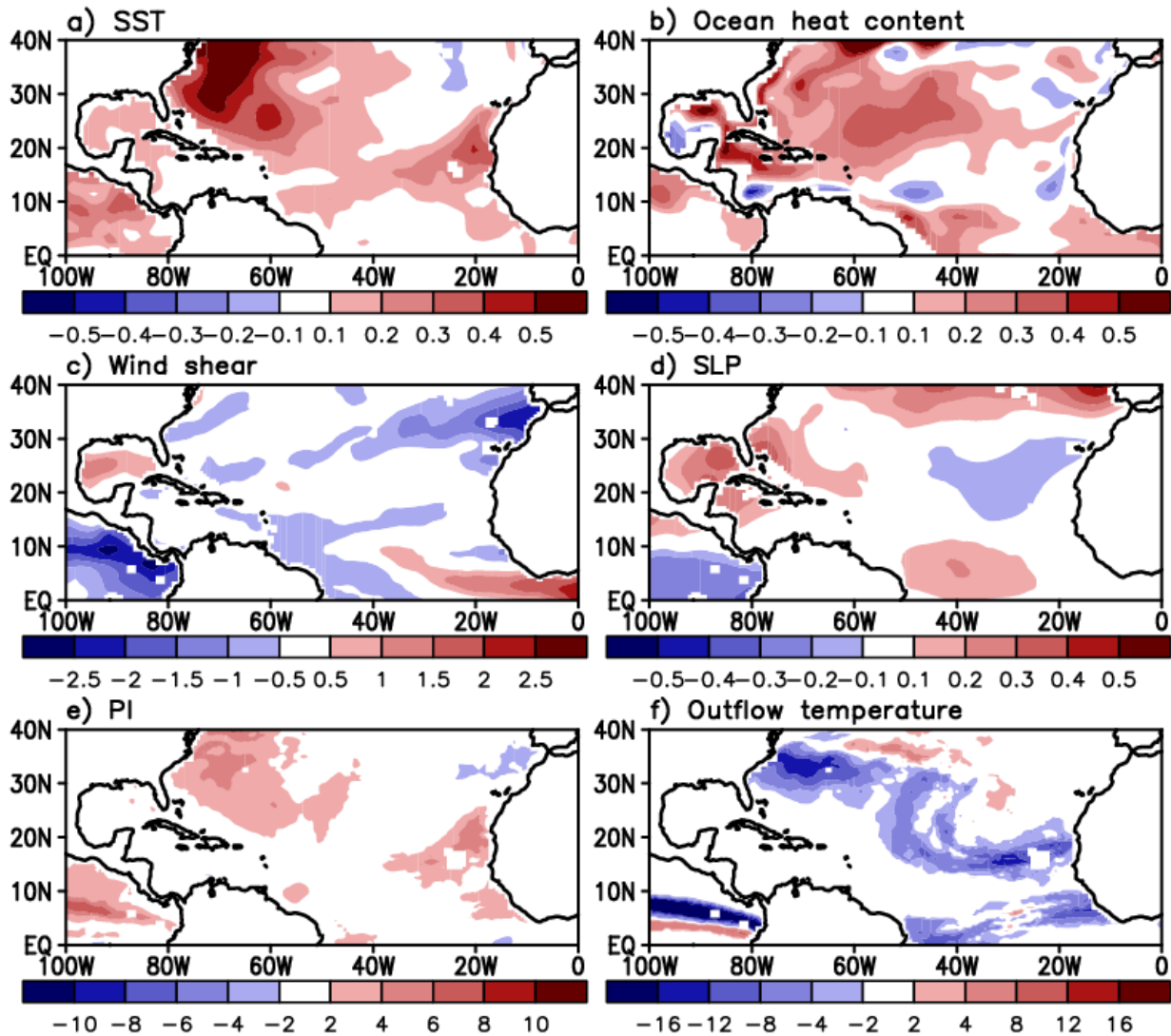


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81 **Figure 5.** Same as Fig. 4 but for ocean heat content.

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83 **Supplementary Figure 6**



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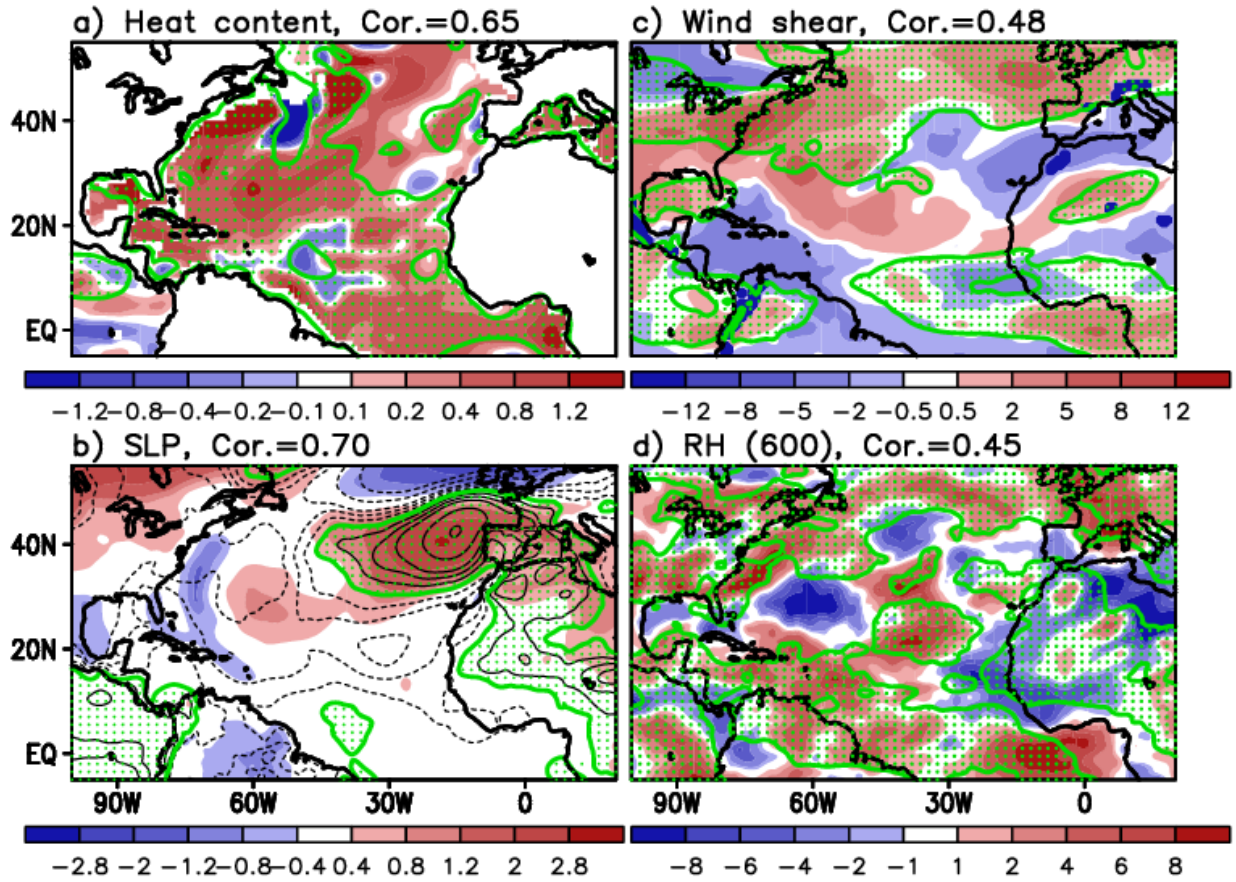
85 **Figure 6.** Distribution of the trend (per decade) over the recent above-average TC activity period
 86 1995–2017. We note that, while the OHC trend computed from the GMAO ODAS (panel b) is
 87 generally similar to the OHC trend computed from the NOAA National Oceanic Data Center
 88 (NODC) data, there are differences that suggest there may be some uncertainty in the OHC
 89 estimates. One notable difference is that the trend values computed for this period in the GMAO
 90 ODAS OHC data (panel b) to a large extent reflect a recent substantial OHC increase that occurred
 91 near the end of global warming hiatus (around 2013), while the NOAA NODC OHC data show a
 92 more gradual (linear) increase over this time period.

93

94 **Supplementary Figure 7**

95 We calculate the AMM-associated patterns in key variables during AS 2017. Supplementary
96 Figure 7 compares the anomaly fields (from the mean over 1995-2017, the recent period of above-
97 average TC activity) with those determined by regressing the anomaly patterns against the AMM.
98 The results show that the spatial distributions of the regressed anomalies tend to match the actual
99 anomalies well, indicating an important role of the AMM. The spatial correlations over the North
100 Atlantic basin (100° – 15° W, 5° – 50° N) for OHC and SLP are found to be 0.65 and 0.70,
101 respectively. Figure 7b suggests that the enhancement of the SLP anomaly over the central mid-
102 latitude Atlantic is associated with changes in the Azores high. The positive SLP anomaly there
103 likely acts to produce TC tracks that extend westward into the Caribbean Sea, Gulf of Mexico, and
104 the southeastern US, and then recurve along the western edge of the Atlantic high SLP system.

105 The AMM also contributes to the tropospheric shear and humidity anomaly, though the match
106 between the regressed and actual anomaly is not as strong: the spatial correlations are 0.48 (for
107 wind shear), and 0.45 (for humidity). Decreases in shear and increases in relative humidity over
108 the MDR associated with the positive phase of the AMM is consistent with the results of ^{3,6}.
109 Additional confirmation that the key variables are connected more strongly with the AMM than
110 with the ENSO or the NAO, is presented in Supplementary Figure 8 (see the time series and related
111 discussion). The AMM is also more closely related to the interannual variation of the number of
112 major hurricanes than either ENSO or the NAO (Fig. 8e).



113

114 **Figure 7.** Shadings represent the observed anomaly of ocean heat content (10^{22} J), SLP (mb),
 115 vertical wind shear ($m s^{-1}$), and relative humidity (%) during August/September 2017 from the
 116 mean over 1995–2017. Green dots represent the area of positive regressed anomaly associated with
 117 the AMM. For wind shear, negative anomaly favors hurricanes. The regressed anomaly values are
 118 additionally contoured for the SLP (panel b). The thick green contours denotes the zero line of the
 119 regressed anomaly values. Spatial correlations between the actual anomaly and regressed anomaly
 120 over the Atlantic basin (100° – 15° W, 5° – 50° N) are shown above the each panel.

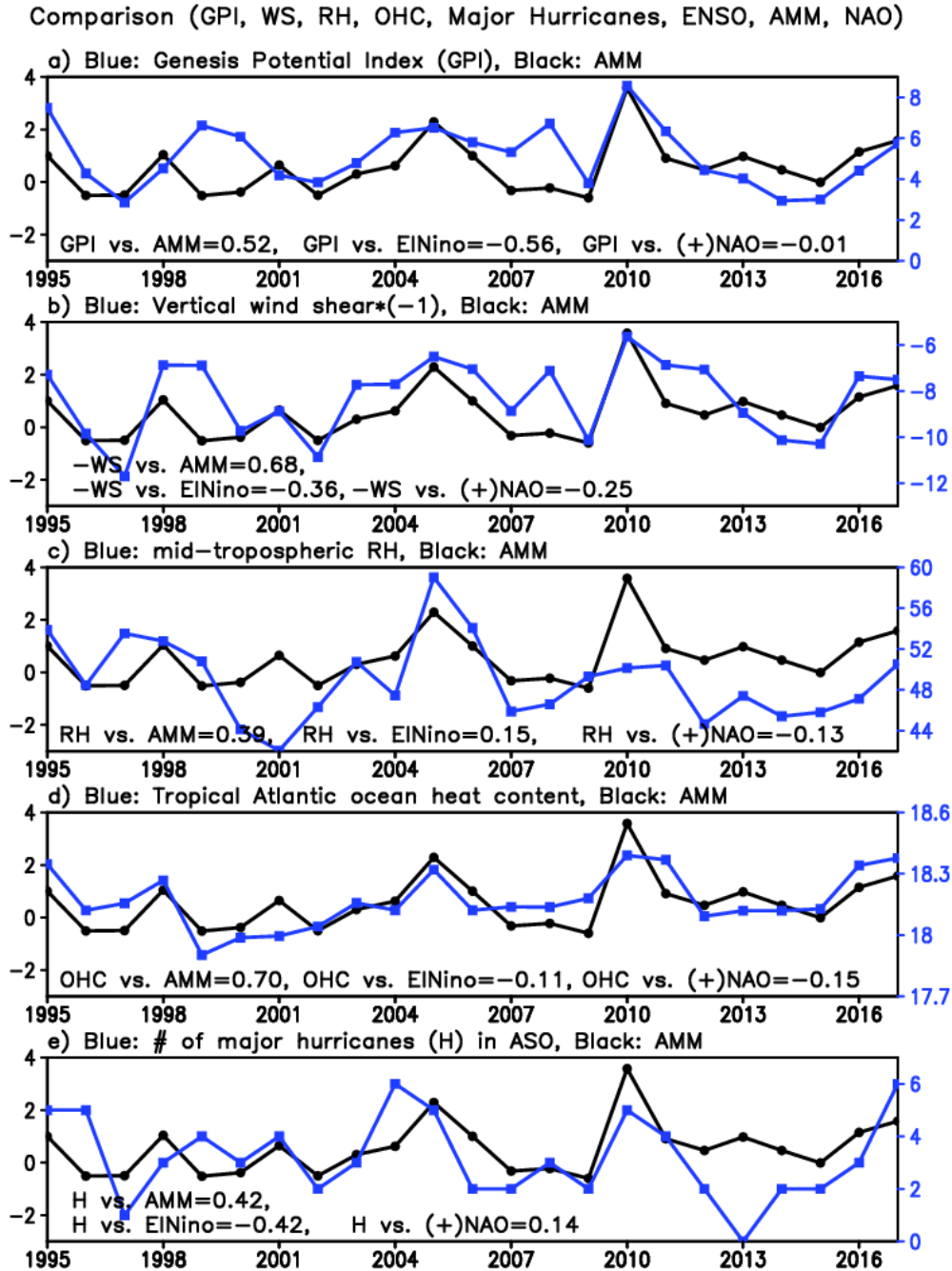
121

122 **Supplementary Figure 8**

123 We first examine the Genesis potential index (GPI)⁷, a widely used index that includes a
124 number of quantities (e.g., SST, atmospheric shear, humidity, SLP, and lower level vorticity) to
125 explain TC genesis activity. The top panel shows the year-to-year variations of the anomalous
126 GPI over the MDR. The variations are remarkably coincident with the interannual variation of the
127 AMM, denoted by the black line. The vertical wind shear (b), relative humidity (c), and tropical
128 ocean heat content (d) are also found to co-vary with the AMM. The temporal correlations with
129 the AMM are 0.52 (GPI), 0.68 (vertical wind shear $\times (-1)$), 0.39 (relative humidity), and 0.70
130 (tropical ocean heat content), all of which are statistically significant at the 1% level, except for
131 relative humidity. These correlations are considerably higher than those with El Niño and the NAO
132 (compare correlations inside each panel). Because the AMM (and also the AMO) is related to an
133 anomalous meridional SST gradient in the tropics and associated cross-equatorial circulation³, it
134 is not surprising that the interannual variation of ocean heat content matches well the variation of
135 the AMM with a relatively high correlation of 0.70 (Fig. 8d). The bottom panel (Fig. 8e) reveals
136 that the AMM variation closely follows the number of major hurricanes in August–October each
137 year (correlation=0.42), comparable to ENSO and better than the NAO.

138

139



140

141 **Figure 8.** The first four panels: Interannual variation of the Genesis Potential Index (GPI), vertical
 142 wind shear multiplied by -1 (m s^{-1}), mid-tropospheric relative humidity (600mb) (%), and ocean
 143 heat content (10^{22} J) over the Main Development Region in August–September over 1995–2017,
 144 all of which are denoted by blue lines. Time series in black represents the AMM time series over
 145 the same period. The bottom panel: Blue line represents the interannual variation of the number of
 146 major hurricanes during August–October each year whereas the black line is the AMM time series.
 147 Correlations between the five quantities (GPI, shear, humidity, ocean heat content, and major
 148 hurricane count) versus each climate mode are provided inside each panel.

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