



Space Environments & Effects

Janet L. Barth NASA/GSFC (Emeritus)

IEEE Nuclear & Plasma Sciences Society Distinguished Lectures Program

Toulouse Graduate School of Aerospace Engineering 13 September 2018

To be presented by Janet L. Barth at the Nuclear and Plasma Sciences Society's Distinguished Lecturer Program, Toulouse, France, September 13, 2018.





- ALMA Common Software. (ACS)
- Astronomical Unit (AU)
- Command and Data Handling System (C&DH)
- Charge-Coupled Devices (CCDs)
- Communication (Comm)
- Cosmic Ray Effects on Micro-Electronics (CREME)
- Charge Transfer Ratio (CTR)
- Error Eetection and Correction (EDAC)
- Earth radius (ER)
- Galactic Cosmic Rays (GCR)
- Geostationary orbit or Geosynchronous orbit (GEO)
- Geostationary Operational Environmental Satellite (GOES)
- Goddard Space Flight Center
- Geostationary transfer orbit (GTO)
- Highly elliptical orbit (HEO)
- Hubble Space Telescope (HST)
- Integration and Test (I&T)
- Institute of Electrical and Electronics Engineers (IEEE)
- stable points in interplanetary space
- Low earth orbit (LEO)
- Linear Energy Transfer (LET)
- Microwave Anisotropy Probe (MAP)

- Multiple Bit Upset (MBU)
- Medium earth orbit (MEO)
- National Aeronautics and Space Administration (NASA)
- North American Aerospace Defense Command
 (NORAD)
- Nuclear & Plasma Sciences Society (NPSS)
- South Atlantic Anomaly (SAA)
- Solar Anomalous and Magnetospheric Particle Explorer (SAMPEX)
- Single Event (SE)
- Single Event Burnout (SEB)
- Single Event Effects (SEEs)
- Single Event Functional Interrupt (SEFI)
- Single Event Gate Rupture (SEGR)
- Single Event Latch-up (SEL)
- Solar Energetic Particles (SEPs)
- Single Event Transient (SET)
- Single Event Upset (SEU)
- Single Event Hard Errors (SHE)
- Solid State Star Tracker (SSST)
- Total Ionizing Dose (TID)
- United States Air Force (USAF)





- Space Environments & Effects
- Definitions & Units
- High Energy Radiation Environment
 - » Solar Protons & Heavier Ions
 - » Cosmic Ray lons
 - » Trapped Protons & Electrons (Van Allen Belts)
- Radiation Hardness Assurance
- Summary





Plasma

- Particle Radiation
- Neutral Gas Particles
 - Ultraviolet & X-ray
 - Micro-meteoroids & Orbital Debris Electromagnetic Radiation, Thermal
 - **Environment, Geomagnetic**
 - **Field, Gravitational Field**











- Distance
 - » Sun to Earth = 1 Astronomical Unit (AU)
 - » Earth radius (ER) = 6378.165 km at equator
- Spacecraft Orbits
 - » Inclination Angle between equatorial plane and orbit plane
 - » Altitude Distance from earth's surface in km
- Orbit Altitude
 - » LEO Low earth orbit < 1000 km</p>
 - » MEO Medium earth orbit 1000 km 36,000 km
 - » GEO Geostationary orbit = 36,000 km or 6.6 7.0 ER
 - » GEO Geosynchronous orbit
 - » GTO Geostationary transfer orbit
 - » HEO Highly elliptical orbit
 - » L1, L2, L3, etc. stable points in interplanetary space





Quantity	Units	Description
Particle Energy, E	eV, MeV, GeV	Electron volt - the amount of work done on an electron when it moves through a potential difference of one volt
Particle Flux Density, ϕ	# cm ⁻² s ⁻¹	Transfer of particles per unit time across a unit area form either side
Particle Fluence, Φ	# cm ⁻²	Time rate of particle fluence
Ionizing Dose, D	Rads, seiverts	The amount of radiation that deposits 0.01 joule of energy in 1 kg of material
Linear Energy Transfer, LET	Fluence/material density MeV cm ² mg ⁻¹	dE/dX – mean energy lost by a charged particle in electronic collisions per unit length of its trajectory







Nikkei Science, Inc. of Japan, by K. Endo





- High energies
 - » Electrons 10s of MeV
 - » Protons 100s of MeV
 - » Heavier Ions 1000s of MeV
- Solar variability drives population levels
 - » Long term solar cycle
 - » Solar rotation
 - » Solar storms, magnetospheric storms
- Magnetosphere filters galactic and solar particles
 - » Polar, low-earth orbits are exposed to interplanetary levels during passes over the poles
- Trapped population has complex spatial distribution







- Induced charge on surface
 - » Low energy plasma & photoelectric currents
 - "Hot" plasma (LEO vs. GEO)
- Orbits with high risk
 - » LEO maybe
 - » MEO ? probably
 - » GEO generally a greater concern
 - » GTO
- Risk factors
 - » Geomagnetic substorms resulting in injection of keV electrons
 - » Passage from eclipse to sunlight positive charge surface due to photoelectron emission
 - » Large spacecraft
 - » High voltage power system

Deep Dielectric Charging



- Process
 - » High energy electrons penetrate into dielectric materials (circuit boards and cables).
 - » Charge builds up and gives rise to intense electric fields.
 - » When charge exceeds the breakdown potential, discharge occurs.
- Missions affected
 - » Any spacecraft spending long periods in Van Allen belt electron regions
 - » MEO, GEO, GTO, Phasing loops
 - » Jovian
- Risk factors
 - » Accumulation of $> 10^{10} \text{ E} > 1 \text{ MeV}$ electrons within 10 hours
 - » Accumulation of > 3x10⁸ E > 2 MeV electrons/day for 3 consecutive days
 - » Accumulation of $> 10^9 E > 2 MeV$ electrons in a single day





- Cumulative long term non-ionizing damage
- Effect:
 - » Production of defects which result in charge transfer ratio (CTR) degradation
 - » Optocouplers, solar cells, CCDs, linear bipolar devices
- Shielding has some effect
 - » Solar cell cover glasses and mounting panels
 - » Only for some orbits







Total Ionizing Dose (TID)



- Cumulative long term ionizing damage
- Strongly dependent on mission duration, orbit, and shielding
- Effects
 - » Threshold Shifts
 - » Leakage Current
 - » Timing Skew
 - » Functional Failures
- Can reduce with shielding
 - » Low energy protons
 - » Electrons







- Event caused by a single charged particle
- Effects:
 - » Non-destructive: SEU, SET, MBU, SEFI, SHE
 - » Destructive: SEL, SEGR, SEB
- Severity is dependent on:
 - » type of effect
 - » system criticality
- Shielding has little effect





Solar Activity Cycle





- Caused by reversal of solar magnetic field
- Many indicators of the cycle
 - Sunspot count
 - F_{10.7} radio flux
 - Atmospheric density



Length varies from 9 - 13 years Recent solar cycles – 10 years

Little Ice Age in 1645 to 1715



Interplanetary Particles – Galactic Cosmic Ray Heavy Ions (GCRs)







- 1913 Victor Hess' Balloon experiments
- 1960's Light flashes in astronauts' eyes
- 1975 Binder et al. documented logic upsets on spacecraft
- Properties
 - » All elements in Periodic Table
 - » Energies in TeVs
 - » Found everywhere in interplanetary space
 - » Omnidirectional
 - » Filtered by the magnetosphere
 - » Mostly fully ionized
- Effects
 - » Astronaut dose
 - » Single event effects





Energy = 2 GeV/n, Normalized to Silicon = 10⁶





CNO - 24 Hour Averaged Mean Exposure Flux







Solar Minimum, 100 mils (2.54 mm) Al



Interplanetary Particles – Solar Energetic NPSS Particles (SEPs)



ASA

- 1946 Scott Forbush
- Increased levels of protons & heavy ions due to SEP events
- Properties
 - » Protons 100s of MeV
 - » Heavy ions 100s of MeV
 - » Abundances dependent on radial distance from Sun
 - » Partially ionized
 - » Number & intensity of events increase dramatically during "solar active" periods

Effects

- » Degradation
- » Single event effects (protons & heavy ions)







Date



Total Integral Proton Fluence Measured by GOES







Solar Proton Levels Averaged Over Worst Day





Trapped Particles





- Cosmic ray detector on Explorer I, 31 Jan 1958
 - » "Zero" levels on instrument
- Highlight of US participation in IGY

- 1895 Birkeland theorizes particle trapping
- 1904 Stöermer calculations support theory of particle trapping around the Earth
- 1958 James Van Allen
- Properties
 - » Omnidirectional
 - » Protons: E ~ 1 keV 500 MeV
 - » Electrons: E ~ 1 keV 10 MeV
 - » Heavy lons: Low E (non-problem for electronics)
 - » Complex distribution based on energy & solar conditions
- Effects
 - » Degradation protons and electrons
 - » Single event effects protons
 - » Surface charging, Deep dielectric charging electrons





Spiral, Bounce, Drift





Trapped Radiation Belts





NASA/LWS Program, Johns Hopkins University Applied Physics Laboratory





South Atlantic Anomaly (SAA) & Belt Region





E > 30 MeV (#/cm²/s) - Solar Minimum



Trapped Proton Spectra



Integral Proton Fluences

- Energy range
 - » .04 500 MeV
- Range in AI:
 - » 30 MeV ~ .17 inch
- Effects:
 - » Total dose
 - » Single event effects
 - » Solar cell damage







ASA

SAA & Outer Zone Electrons



E > 0.5 MeV (#/cm²/s) - Solar Minimum



Trapped Electron Spectra



Integral Electron Fluences

- Energy range
 - » .04 7 MeV
- Range in Al:
- Effects:
 - » Total dose
 - » Surface charging
 - » Deep dielectric charging
 - » Solar cell damage







March 1991



To be presented by Janet L. Barth at the Nuclear and Plasma Sciences Society's Distinguished Lecturer Program, Toulouse, France, September 13, 2018.





2–6 MeV Electrons

Threshold = $100 e^{-1}$



Threshold = $1 e^{-1}$



D. N. Baker et al.

- Electron belt changes during Oct 2003 solar storm events
- Daily-averaged particle flux data from the SAMPEX satellite.

NASA/Goddard Space Flight Center/Scientific Visualization Studio



Single Event Upsets on Seastar Solid State Recorder



Orbit: 705 km, 98deg, Duration: 4 years



Harvey Saffron, Orbital Sciences, work performed for NASA GSFC



Radiation Environment Levels



Examples

Low: < 10 krads Moderate single event effects environment Low displacement damage environment Low altitude/ low inclination (HST, Shuttle, XTE) If Short mission duration

Moderate: 10-100 krads

Intense single event environment Moderate displacement damage environment Low altitude/ high inclination (EOS, GLAS) L1, L2, GEO Medium mission duration

High: >100 krads

Intense single event effects environment Intense displacement damage environment Europa, GTO, MEO, << 1 AU or Long mission duration

after LaBel





Radiation Hardness Assurance

- Space radiation penetrates spacecraft shielding and interacts with components to produce a broad range of effects.
- A rigorous methodology is needed to ensure that the radiation environment does not compromise the functionality and performance of the electronics.





- Equator-S SE Latchup on processor & redundant processor
- HST SE Transients on an optocoupler
- Terra Single particle events on the solid state star tracker (SSST)
- Flight Data Recorders SE upsets
 » HST
 - » SAMPEX
 - » Seastar

• MAP – SE Transient on a voltage comparator

Janet's Top 5 Quotes About Harness Assurance

- 5. "I hired radiation specialists from ACME, and I need you to fix their calculations for my program review tomorrow."
- 4. "I called to get the radiation environment for my mission. I need the number now so I'll wait while you look it up in your table."
- 3. "Extra overhead like radiation engineering is not part of our program philosophy." (followed with 2 weeks of 3-page emails with questions about radiation)
- 2. "Well, my radiation plan was to add some spot shielding after the board is built."
- 1. "Hello, you don't know me but I'm launching next week and I need you to sign these waivers."



NASA GSFC Missions











Hardness Assurance Methodology



• Definition

» Activities undertaken to ensure that the electronic piece parts placed in the space system perform to their design specifications after exposure to the space radiation environment

Goal

» A system tolerant to the radiation environment within the level of risk that is acceptable for the mission





- Step 1 Describe the mission radiation environment and define the radiation levels within the spacecraft
- Step 2 Assess the radiation sensitivity of the parts based on radiation databases and relevant radiation tests
- Step 3 Perform Worst Case Analysis (WCA) of the impact of the radiation effect taking into account the system and circuit design
- Step 4 Categorize parts for acceptability
- Step 5 If needed, develop Risk Management Plan



Spacecraft Charging – System Hardening



- Two distinct problems
 - » Surface charging
 - » Deep-dielectric charging
- Risk Avoidance
 - » Assume there will be a problem
 - » Evaluate with NASCAP 2K
 - » Follow accepted design practices
 - Grounding
 - Shielding
 - Material selection
 - Circuit design







- Risk avoidance
 - » Component selection
 - » Shielding strategies
 - May need more accurate knowledge of component shielding
- Risk management
 - » Plan for graceful degradation
 - » Requires accurate knowledge of how device will respond in the space environment
 - System criticality
 - Application
 - Characterization of device response
 - * Parametric degradation
 - Enhanced low dose rate







Risk Avoidance

- » Not possible for all technologies
- » Protons are difficult to stop with shielding
- » Hardening techniques are not effective
- » Hardness changes with processing
- Risk Management
 - » Reduce effect with shielding
 - » Plan for degradation
 - » Knowledge of radiation environment at detector
 - » May require on-ground simulation
 - » Models are not validated need test flights
 - » Mitigation through software





Risk avoidance

- » Rad-hard does not always imply SEE hard.
- » Shielding is not an effective mitigator.
- » System should be hard to latchup.
 - Is not always possible to find replacement part
- » Performance requirements push designers to use sensitive technologies.
- Risk management
 - » Typical for non-destructive events EDAC
 - » Destructive rate prediction for assessment of level of risk
 - » Both require accurate knowledge of how device will respond in the space environment
 - Type of effect & system criticality
 - Definition of peak & average environments
 - Characterization of device response to particle hits





- The radiation environment has complex spatial distribution and solar variability
 - » Environment definition for Project "A" will not work for Project "B"
- Start early in in the program avoid retrofitting & redesign
 - » Mission concept identify show stoppers
 - » Mission planning trade studies
 - » Mission design detailed analyses, quantify residual risk
 - » Launch & operations manage residual risk
- Radiation hardness assurance Is a system issue not a component issue
- Shielding is not a "cure-all".





C. Poivey, "Radiation Hardness Assurance for Space Systems," Notes from the 2002 IEEE Nuclear and Space Radiation Effects Short Course, Phoenix, AZ.

J.L. Barth ; K.A. LaBel ; C. Poivey, "Radiation assurance for the space environment," 2004 International Conference on Integrated Circuit Design and Technology (IEEE Cat. No.04EX866).

J.L. Barth, "Modeling Space Radiation Environments," Notes from the 1997 IEEE Nuclear and Space Radiation Effects Short Course, Snowmass, CO.





Thank you!

IEEE Nuclear & Plasma Sciences Society

Distinguished Lectures Program Chapter Grants Awards Child Care at Conferences Women in Engineering Events Young Professionals Events













adapted from T. W. Hill by P.H. Reiff





- Sources
 - » Outer zone Solar wind & ionospheric electrons & ions
 - » Inner zone Cosmic ray albedo neutron decay (CRAND)
 - » Trapped heavy ions Interplanetary particles
 - » Others
 - In situ acceleration
 - Artificial, e.g. Starfish explosion
- Losses
 - » Collisions
 - Earth's atmosphere
 - H in the exosphere
 - Particles in the plasmasphere
- Stable over long periods of time
 - » Inner belts Years
 - » Outer belts Minutes





Space Environment Model Use in Spacecraft Life Cycle









Mission Concept

- » Observation requirements & observation vantage points
- » Development and validation of primary technologies
- Mission Planning
 - » Mission success criteria, e.g., data acquisition time line
 - » Architecture trade studies, e.g., downlink budget, recorder size
 - » Risk acceptance criteria
- Design
 - Component screening, redundancy, shielding requirements, grounding, error detection and correction methods





Launch & Operations

- » Asset protection
 - Shut down systems
 - Avoid risky operations, such as, maneuvers, system reconfiguration, data download, or re-entry

Anomaly Resolution

» Lessons learned need to be applied to <u>all</u> phases



The Solar Cycle





Ascending Phase

- » Sunspots appear
- » Solar wind flow is increasingly perturbed by coronal mass ejections
- » Atmospheric heating increases

Solar Maximum

- » Intensity and frequency of solar energetic particle (SEPs) events increase
- » Magnetic storms associated with solar event activity increase

Declining Phase

 » Coronal holes expand (non-polar) resulting in high velocity solar wind streams → Recurrent magnetic storms (& electron storms in belts)

Solar Minimum

- » Solar wind flow not disturbed due to minimal SEP event occurrence
- » Atmospheric heating decreases



Planetary Atmospheres





Galactic Cosmic Rays Entering Atmosphere

Simon Swordy (U. Chicago), NASA

- GCRs enter atmosphere & collide with matter
- Secondary particles are produced - Important product is neutrons

Earth

- » Magnetic field causes a latitude dependence
- » GCRs enter atmosphere & collide with O & N
- Mars
 - » No magnetic field Primary cosmic rays are important component
 - » Thin atmosphere Products from interactions with Mars atmosphere and surface materials are also important



Atmospheric Radiation





Serge Korff

- Balloon observations, high elevations, rockets, high-flying aircraft
- » Published study included effects of altitude and latitude dependence

- 1951 Serge Korff
- Collisions between cosmic rays
 & atmospheric O & N
 - » Product High energy neutrons
- 1990' s Neutron induced effects in aircraft avionics & ground systems
- Effects
 - » Single Event Upsets (Low Earth orbits, aircraft, ground)
 - » Passenger & crew exposure in aircraft







Altitude (km)





10⁻²

 10^{-3}

10⁻⁴ L 10⁻¹





Energy (MeV)

10¹

 10^{2}

10

 10^{0}





Climax Neutron Monitor



To be presented by Janet L. Barth at the Nuclear and Plasma Sciences Society's Distinguished Lecturer Program, Toulouse, France, September 13, 2018.





Meteoroids

- » Primarily remnants of comet orbits
 - Several times a year Earth intersects a comet orbit
 - Other planets
- » Asteroid belt
 - Daily Sporadic particles released
- Debris
 - » Operational payloads, spent rockets stages, fragments of rockets and satellites, other hardware and ejecta
 - » USAF Space Command tracks over 7,000 > 10 cm objects in LEO
 - » Tens of thousands smaller objects



Satellite & Debris Tracking





Micro-meteoroid & Orbital Debris Effects



Damage and decompression threat

- Hypervelocity impacts from larger particles
- Surface erosion from collisions with smaller objects
- Surface effects on thermal, electrical, and optical properties
- Increases contamination



Impact into solar-cell cover glass. Such impacts can degrade the power producing ability of such devices



Penetration through an aluminized Mylar foil



Penetrations, and commonly associated ringed structure, in silver-Teflon thermal blanket







- Definition
 - » Neutral portion of the atmosphere
 - » Composed of neutral gas particles
 - » 90 600 km
- Variations
 - » Altitude Atomic mass
 - Lower altitude Atomic oxygen (AO) (200 400 km)
 - Higher altitude Hydrogen & Helium
 - » Heating
 - Solar cycle effects due to absorption of solar extreme ultraviolet radiation (EUV)
 - Proxy measurement with 10.7-cm radio flux (F10.7)
 - » Solar storms





Degradation of surface materials

- » Atomic oxygen in synergy with plasma and UV
- Spacecraft drag
- Spacecraft glow















- Energy < 100 keV No radiation effects
- Ionized gas where electron and ion densities are approximately equal
- Sources
 - » lonosphere
 - Electrically charged portion of the atmosphere
 - Low energy (eV)/High Density
 - » Plasmasphere Magnetosphere
 - Source ionosphere and solar wind
 - High energy (keV)/Low density
 - Storms Geomagnetic substorm activity
 - » Solar Wind
 - Sun's corona
 - Seen at > 10 Billion km from the Sun by Pioneer 10



Plasma Effects

- Surface charging can be destructive
- Solar array coupling to plasma
 - Current drain
- Contamination
 - Dense pressure of atmosphere in LEO
- Generation and emission of plasma waves







