



Planetary Protection: Policies and Practices



Session 2.4

Establishing and Monitoring an Aseptic Workspace

Dr. Erin Lalime
October 31, 2018





- When are aseptic operations necessary?
- Preparing the cleanroom
 - Cleaning, Monitoring, & Verification
- Preparing personnel and tools
 - Training
 - Tool sterilization
- Performing an aseptic operation
 - Monitoring for bioburden/particulate
 - Awareness of contact transfer



When are aseptic operations necessary?



- When exposing hardware with bioburden requirement only attainable by dry heat microbial reduction (DHMR) or other sterilization method
 - Requirement can not be directly verified by bioassay
 - Bioburden is verified by process
- Ideally DHMR happens as late as possible in I&T
 - At the highest possible assembly level
 - Late DHMR minimizes or eliminates need for aseptic operations
- If surfaces must be exposed, all operations must occur aseptically to prevent recontamination
 - NASA-HDBK-6022: *“All operations involving the manipulation of sterile items and sample processing shall be performed in laminar flow environments meeting at least Class 100 air cleanliness requirements”*
 - ESA ECSS-Q-ST-70-58C: *“ Action levels ... during aseptic operations are: < 1 CFU/m³ for air samples, < 400 CFU/m² for surfaces, < 1 CFU/glove print (5 fingers)... In some cases, aseptic operations can only be ensured if a more stringent particulate control (e.g. ISO 5) is applied”*



When are aseptic operations necessary?



When working with hardware that has undergone dry heat microbial reduction (DHMR) or other sterilization method!

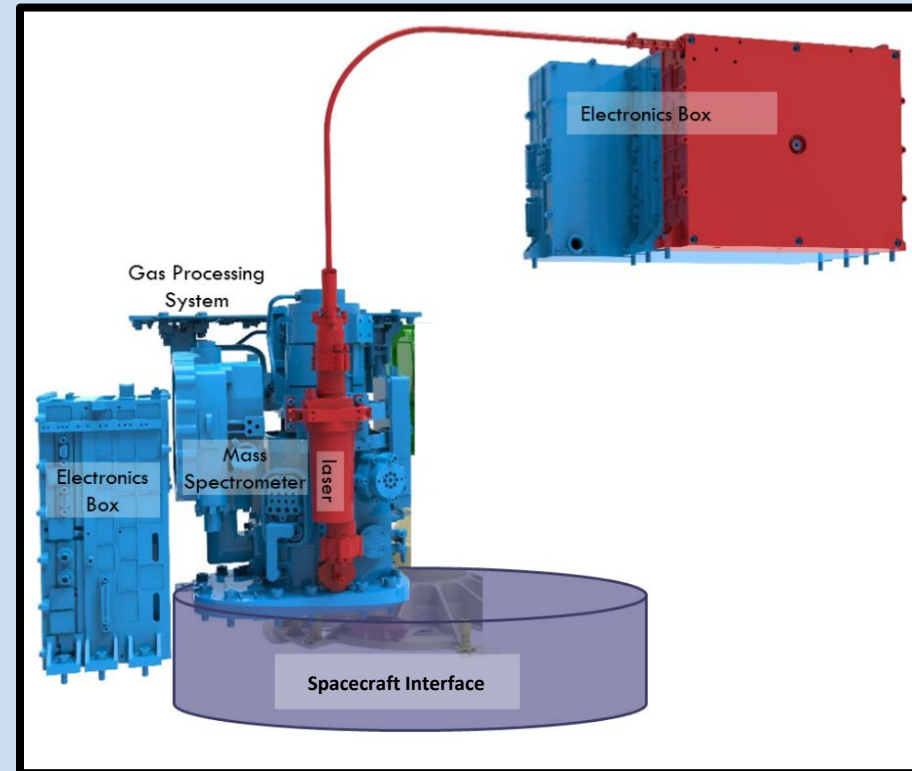
- Bioburden requirement is verified by the sterilization process
 - Exposing the sterile surfaces risks recontamination from a non-sterile environment
- Ideally DHMR happens...
 - As late as possible in the integration and testing (I&T) process
 - At the highest possible assembly level
 - Late DHMR minimizes or eliminates need for aseptic operations
- If a sterilized surface must be exposed, all operations must meet aseptic standards
 - **NASA:** *“All operations involving the manipulation of sterile items and sample processing shall be performed in laminar flow environments meeting at least Class 100 air cleanliness requirements”* (NASA-HDBK-6022)
 - **ESA:** *“Action levels ... during aseptic operations are: < 1 CFU/m³ for air samples, < 400 CFU/m² for surfaces, < 1 CFU/glove print (5 fingers)... In some cases, aseptic operations can only be ensured if a more stringent particulate control (e.g. ISO 5)”* (ECSS-Q-ST-70-58C)



MOMA-MS Aseptic Operation Example



- Mars Organic Molecule Analyzer- Mass Spectrometer (MOMA-MS)
 - On the ESA ExoMars 2020 Rover
 - Life detection mission
 - **PP Category IVb**
- Surfaces on the sample path were baked at DHMR temperatures to meet 0.03 CFU/m² requirement
- Re-exposure of the sample path was unavoidable due to testing configurations
- Each exposure conducted in aseptic environment or re-sterilized by localized DHMR



MOMA-MS Bioburden Requirements:

Internal sample path surfaces: 0.03 CFU/m²
External & non-sample path surfaces: 300-1000 CFU/m²



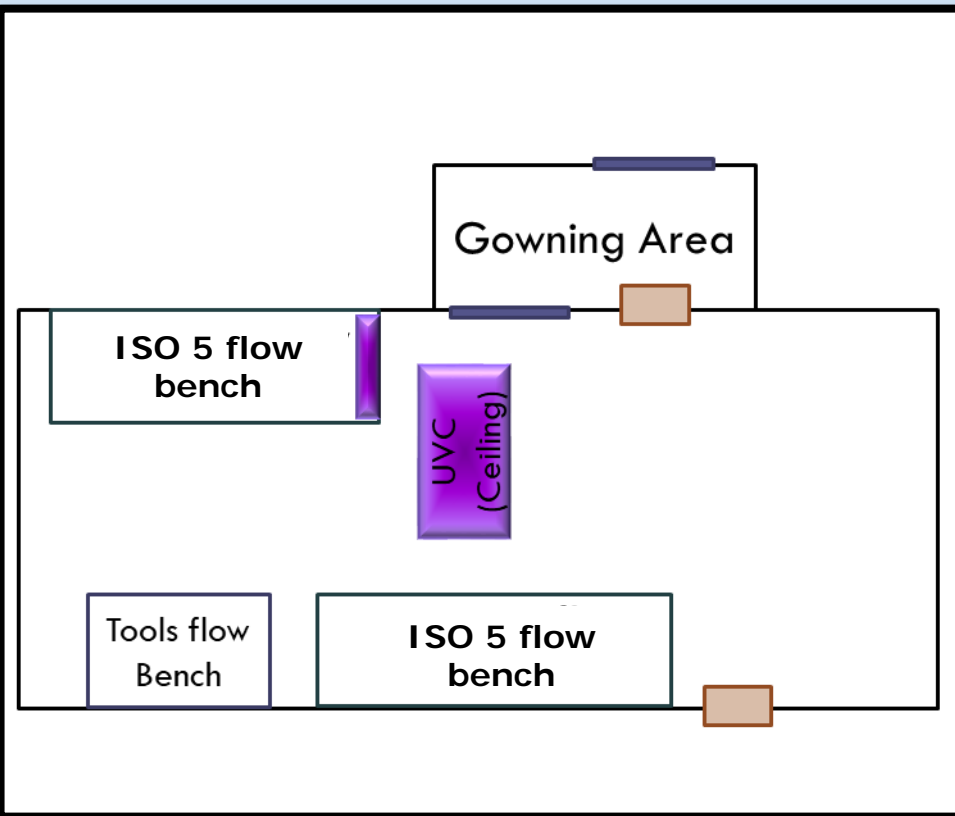
Overview of an aseptic operation



- Prepare an aseptic work space:
 - ISO Class 5
 - bioburden controlled and verified
- Plan and prepare the hardware:
 - Prepare sterile tools & equipment
 - Plan work to control exposure time
 - Plan work to minimize personnel and handling
- Monitor during the aseptic work
 - Continuously sample environment for particles and bioburden
 - Visually monitor hands and tools for contact transfer during operation



Cleanroom for aseptic operations



- ISO class 7 clean room
 - Maintains close to ISO 5
 - Aseptic operations take place at flow bench
- Daily cleaning:
 - Mop, alternating weekly between 70% IPA and 7% H_2O_2
 - Wipe critical surfaces with sterile 70% IPA
- Twice a week cleaning:
 - Wipe horizontal surfaces with sterile 70% IPA
 - Replace all garments
 - Run UVC lamps



Biocidal mopping



- Cleanroom mopped daily (M-F) with either 70% IPA or 7% H₂O₂
 - Alternate between IPA and H₂O₂ weekly

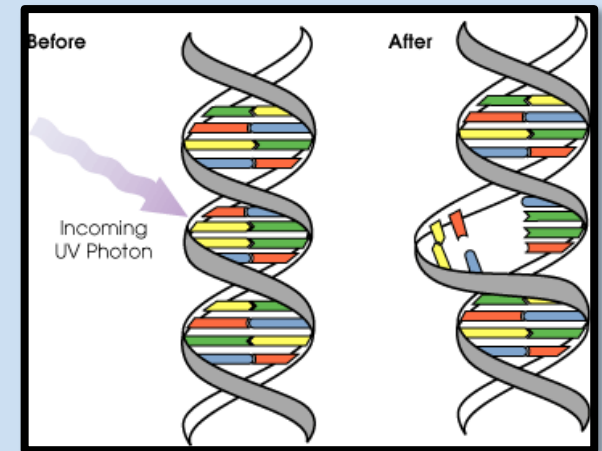
- Different biocidal mechanisms to prevent selecting for resistant organisms
 - 70% IPA denatures proteins
 - (70% IPA/30% water is a more effective biocide than 100% IPA)
 - 7% H₂O₂ disinfects by oxygen radical damage to DNA and proteins



Ultraviolet light treatment of cleanroom

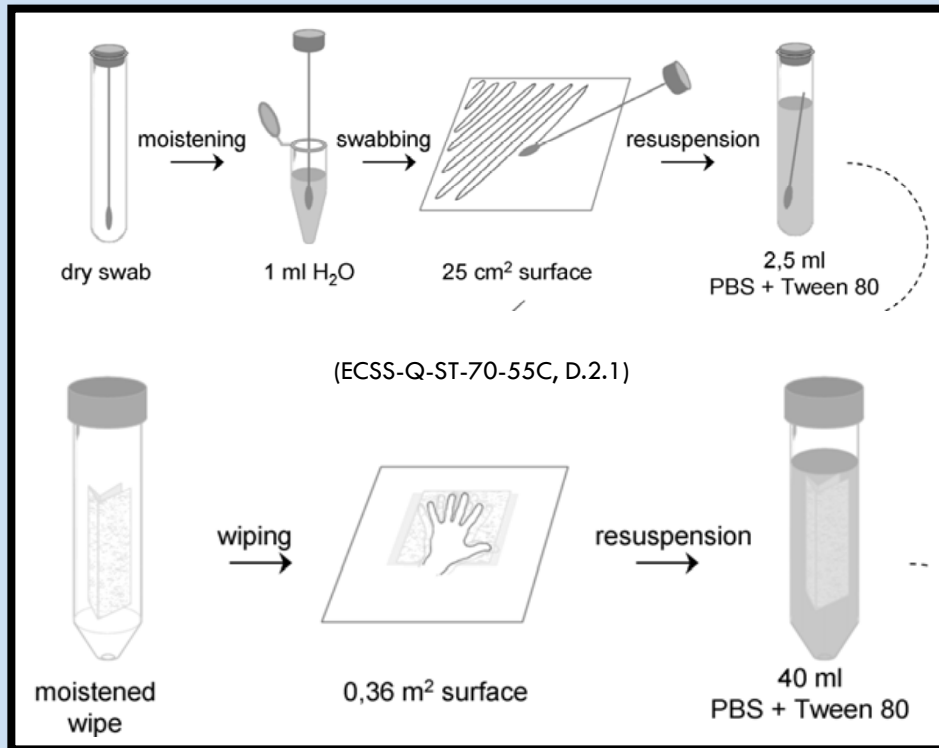


- Ultraviolet-C (UV-C) 100-290nm, 250-260nm is germicidal
 - Kills by crosslinking DNA, which disrupts replication
 - UV-C lamps (253nm) installed in cleanroom ceiling and a portable lamp on flow bench
 - Line of sight limited
- 22,000 $\mu\text{Ws}/\text{cm}^2$ (microwatt seconds/square centimeter) is a sufficient energy dose to kill 99% (2 log) of most common bacteria and bacterial spores on a surface
 - UV-C intensity at the floor of the measured at 30 $\mu\text{W}/\text{cm}^2$
 - 15 min exposure to reach 22,000 $\mu\text{Ws}/\text{cm}^2$



(NASA/David Herring/JPL)





➤ Weekly room monitoring

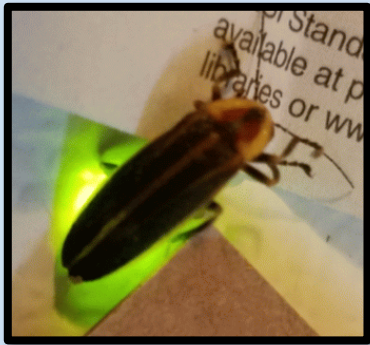
- General viable microbe screen (not spore specific)
- Swab 25cm² or wipe up to 1m² surface area
- Sample an established set of cleanroom surfaces for trending data
 - Same surfaces each time, but not necessarily exact location (ex. different locations on the same table)



ATP rapid bioburden assessment



- Pre-wet swab is used to sample a surface, swished in the reactant buffer



- Adenosine triphosphate (ATP) is the energy carrying molecule in all cell types
- ATP in the sample will react with the luciferase and luciferin in the buffer and produce light (**same chemistry that makes fireflies glow!**)
- Less than 5 minutes to sample
- Used for trending and quick check

- Pre-wet swab contains *chlorhexidine digluconate and benzethonium chloride*

- Not to be used on sensitive hardware
- Removable by 70% IPA wiping



- **Passive monitoring:** Allowing airborne microbes to settle onto a plate surface
 - Standard practice is to use a poured plate, but gelatin filters are used in cleanroom due to off gassing concerns
 - Used to monitor immediate environment during highly sensitive activities

- **Active monitoring:** Pulling air through a filter which is later transferred to a plate
 - Used in cleanroom trending and to monitor immediate environment during highly sensitive activities



Amelia Congedo/KBRWyle



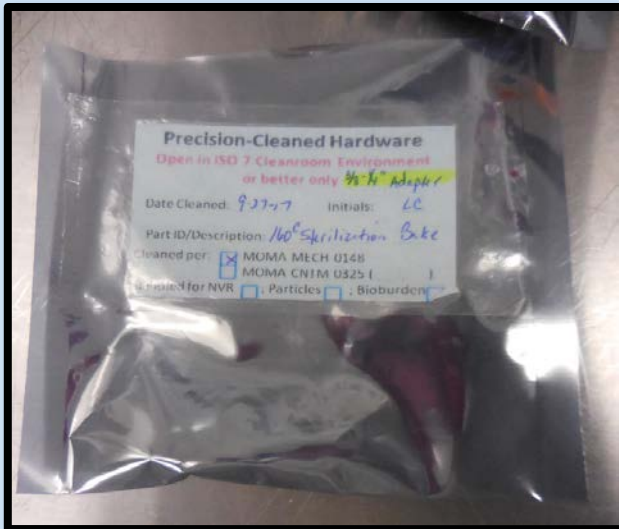


Biological contamination prevention - Personnel



- Personnel training
 - Everyone on the team: 1 day Planetary Protection overview
 - Everyone in the cleanroom: Standard cleanroom certification
 - **Aseptic operators only: Half-day aseptic operations training**
 - Covers: Sterile garmenting/gloves, sterile handling with a focus on contact transfer risk, tool/GSE preparation, and two-operator system for opening sterilized tools/components

- Personnel practice in aseptic environment
 - Single use sterile cleanroom coveralls, hood, and gloves
 - Two person system to manage sterile tools (pass sterile tool into workspace as needed)
 - Change gloves as needed



- Sterile tools
 - After precision cleaning, compatible tools are sterilized by dry heat
 - Double foil wrapped and cleanroom bagged to allow non-sterile operator to open the outer pack while the sterile operator touches only the inner wrap
 - Prepare sterile foil for sterile field and wrapping non sterile surfaces

- Non-sterile tools
 - Tools that are not compatible with sterilization will not be used in direct contact with sample path surfaces post-DHMR



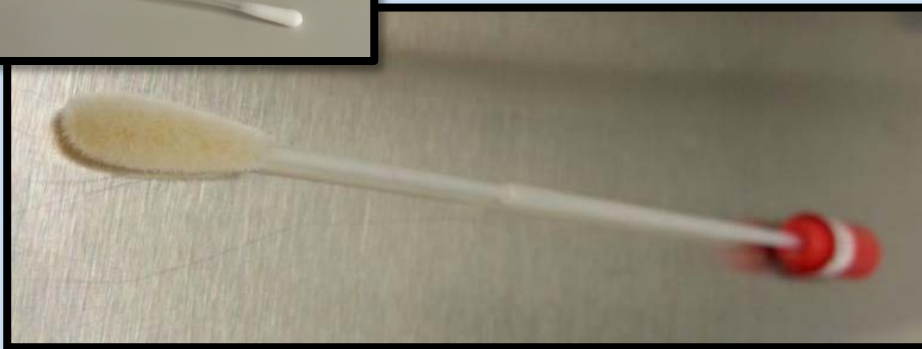
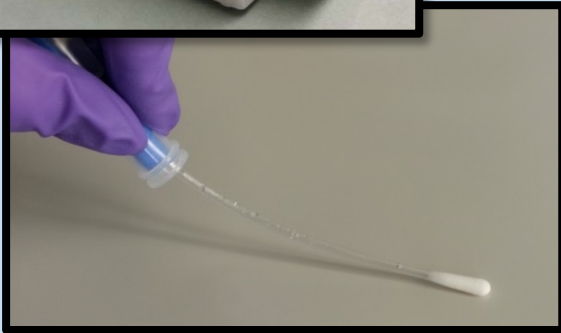
Preparation for aseptic operations



- Work area:
 - 70% isopropanol and 7% hydrogen peroxide used to clean surfaces
 - When possible, sanitizing UVC lamps are also used
- Hardware:
 - Non-sterile surfaces isolated with bag or drape (where feasible)
 - Non-sterile exposed surface wiped with sterile 70% isopropanol
- All tools are cleaned and sterilized
 - Tools that cannot be sterilized are wrapped with sterile foil before being handled, and the sockets that interact directly with the hardware are sterile



- Four forms of cleanliness verification:
 - Air samples for viable bioburden (<1 CFU/m²)
 - ATP rapid bioassay
 - Any high ATP readings require immediate re-cleaning before swab bioassays
 - Surface samples for viable bioburden (72 h, <400 CFU/m²)
 - Particle counter monitoring (ISO Class 5)



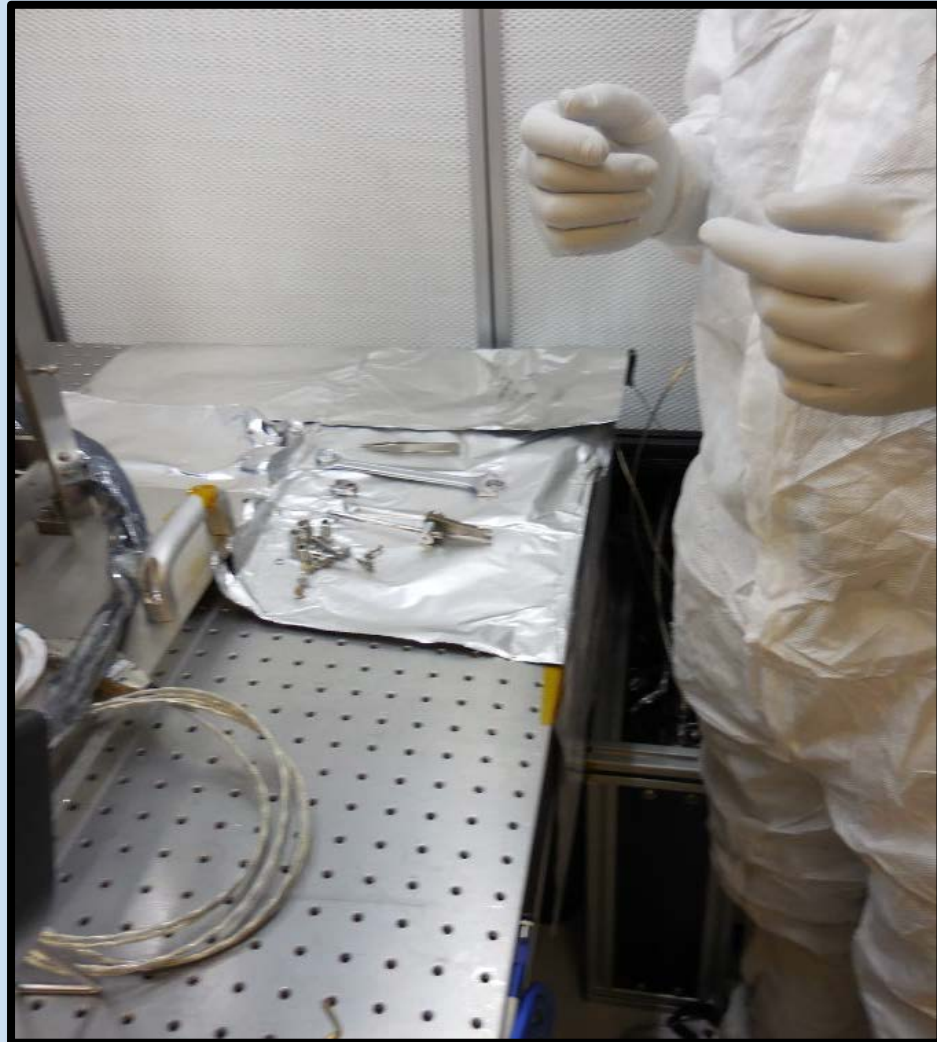
After cleaning and bioassay, the **cleanroom is closed to all entry for 72 hours** until the results from swab bioassays are finalized.



Contact transfer risk



- There are two types of surfaces during an aseptic operation: sterile and clean
 - **Sterile surfaces** are those isolated since DHMR, sterile tools, and/or sterile foil
 - **Clean surfaces** include exterior surfaces of the hardware that are not maintained sterile, cleanroom tables/walls, etc.
 - Surfaces were cleaned and tested by bioassay
- Change sterile gloves as often as necessary to limit contact transfer risk between sterile and clean surfaces
- Dedicated person to monitor personnel and tool contact



- Tool handling
 - Must only be exposed to ISO 5 or cleaner aseptic conditions
 - Must be handled wearing sterile gowning
 - Only wiped with sterile wipes
- Sterile field
 - Must only be set on sterile surface or sterile fields
 - Must be opened by an assistant who is not handling sterile items
 - Packages of foil will be sterilized for sterile fields (working surfaces)
 - Sterile fields are single use and only for the continuous working session





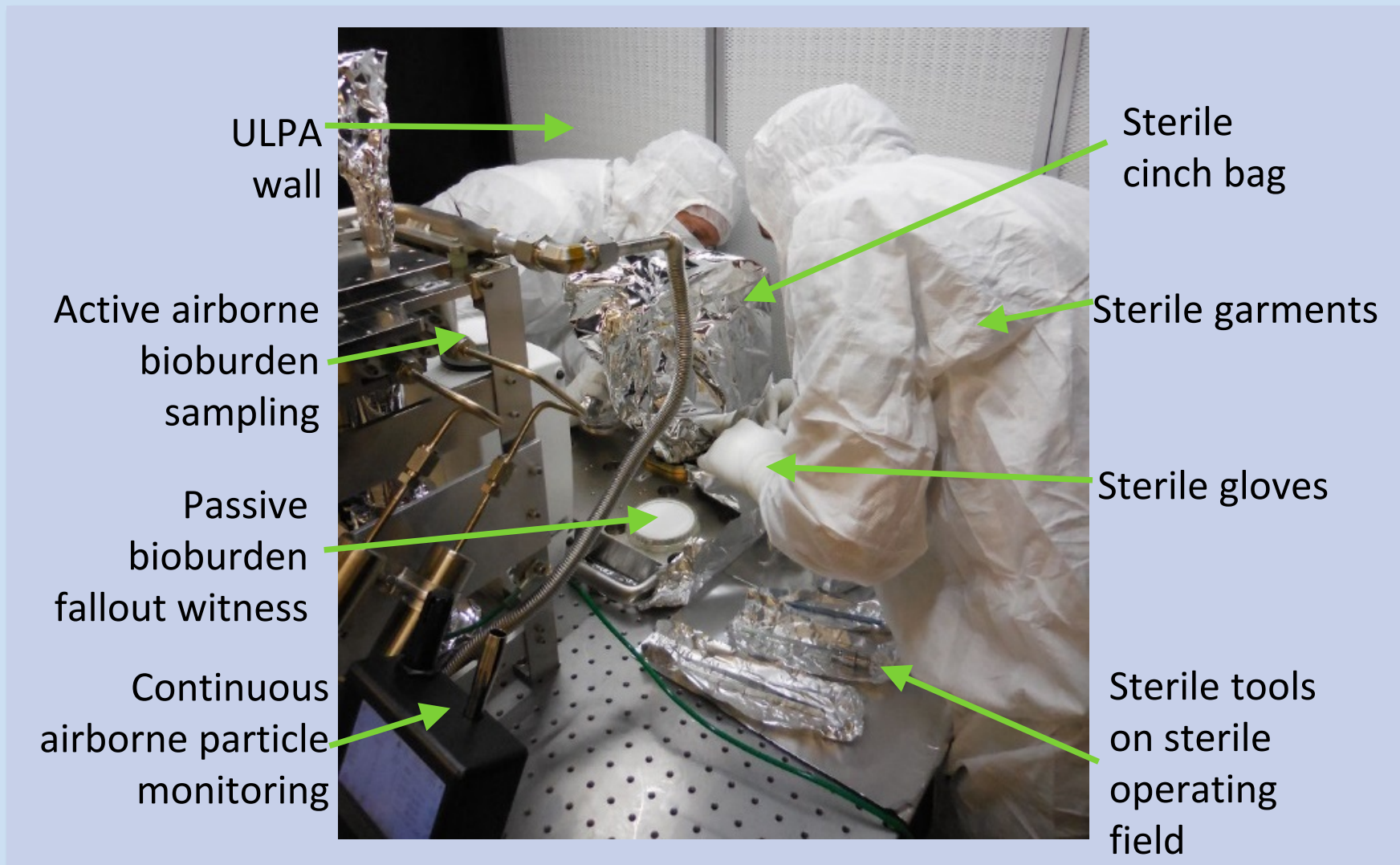
Minimize exposure time



- Even a bioburden verified ISO 5 work space may not be 100% microbe free
- Depending on the mission requirements, even 1 microorganism could be too many
 - Ex: MOMA's sample path requirement of 0.03 cfu/m², with <1m² of exposed surface during an aseptic operation)
- Longer exposure of a sensitive surface increases the risk of unacceptable contamination
- Carefully plan operations so everything is in place to open and close sensitive surfaces as quickly as possible
 - If possible, eliminate direct contact with critical surfaces
- Project-specific analysis of acceptable exposure times based on surface area exposed, bioburden trending in cleanroom, and as necessary, post exposure data from bioburden monitoring
 - Determine acceptable exposure time before operation
 - Record exposure time during operation



Sample path exposure





Review



- Prepare and verify the work space
 - ISO class 5 (class 5 cleanroom or flow bench in ISO class 7)
 - Biocidal cleaning to reduce bioburden
 - Bioburden verifications: surface and airborne bioassays
- Prepare personnel and tools
 - Cleanroom and aseptic training
 - Sterilize tools or find ways to isolate non-sterile tool surfaces
- Conduct aseptic operations carefully, quickly, and clean
 - Sterile garments and gloves (change gloves as often as needed)
 - Only sterile tools in contact with critical surfaces
 - Minimize and record exposure time