

Segregation-assisted plasticity in Ni-based Superalloys

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Abstract

Correlative high resolution transmission electron microscopy and energy-dispersive X-ray spectroscopy are used to study deformation-induced planar faults in the single crystal superalloy MD2 crept at 800 °C and 650 MPa. Segregation of Cr and Co at microtwins, APBs, CISFs/SISFs and CESFs/SESFs is confirmed and quantified. The extent of this is found to depend upon the fault type, being most pronounced for the APB. The CESF/SESF is studied in detail due to its role as a precursor of the microtwins causing the majority of plasticity under these conditions. Quantitative modelling is carried out to rationalize the findings; the experimental results are consistent with a greater predicted velocity for the lengthening of the CESF/SESF – compared with the other types of fault – and hence confirm its role in the diffusion-assisted plasticity needed for the microtwinning mechanism to be operative.

Keywords: Ni-based Superalloy, planar faults, segregation, diffusion, creep plasticity, phase transformation, STEM, EDX

1. Introduction

Planar faults such as anti-phase boundaries (APBs) and superlattice stacking faults (SSFs) of various flavors – intrinsic (SISF), extrinsic (SESF) or complex (CSF) – are of crucial importance to the deformation behavior of intermetallic compounds, and alloys which contain phases based upon them [1, 2, 3]. This is because dislocations, even when dissociated, cannot pass through the ordered

7 lattice without their creation in one form or another. A corollary is a range of
8 interesting but practically important plastic phenomena: anomalous yielding,
9 a substantial strain hardening effect and an anisotropy of tensile/compressive
10 behavior which is non-Schmidian [4, 5, 6].

11 The above applies particularly to the case of the Ni-based superalloys [7, 8]
12 – because of the presence of a significant fraction of the γ' -phase of ordered L1₂
13 crystallography. Well known is the substantial influence of the APB and its role
14 in the temperature-dependence of the yield point which is positive rather than
15 negative, at least until a temperature of approximately 800 °C is reached [9];
16 the accepted explanation is the anisotropy of the APB energy and in particular
17 the role of cross-slip from {111} to the {001} plane [10, 11, 12]. But the factors
18 leading to softening beyond 800 °C are not well understood [13] and further sys-
19 tematic experimentation is needed to understand the microscopic processes aris-
20 ing in this temperature range. Consequently, new nano- and micro-mechanical
21 interpretations need to be advanced, for scientific benefit and technological ef-
22 fect.

23 This paper is concerned primarily with the chemical segregation at the planar
24 defects introduced by creep deformation in a prototype Ni-based superalloy at
25 800 °C. In the alloy considered, the role of microtwinning in promoting creep
26 deformation at such temperature has been proven [14]; nonetheless, the factors
27 promoting nucleation and propagation of such microtwins need much further
28 clarification. First, experimental proof of chemical segregation to the different
29 type of planar faults formed in the superalloy is presented. Second, the driving
30 force for the segregation is rationalized and the fault lengthening problem is
31 modeled. Finally, the implications of this segregation-assisted plastic mechanism
32 on the strength of the superalloys are considered in detail.

33 **2. Experimental Methods**

34 *2.1. Creep Testing*

35 The single crystal prototype nickel based superalloy MD2 of composition Ni-
36 11.2Al-9.3Co-5.3Cr-2.6W-2Ta-1.65Ti-1.33Mo-0.2Si-0.03Hf (at.%) is studied [8].
37 The material was solution treated at 1275 °C for 8 hours, followed by ageing for 6
38 hours at 1080 °C and finally at 870 °C for 16 hours. The orientation of the bulk
39 crystal was checked using backscattered electron diffraction (EBSD) analysis
40 prior to extracting creep samples. Creep test pieces along the $\langle 011 \rangle$ direction
41 of gauge volume $16 \times 1.6 \times 1 \text{ mm}^3$ were manufactured using electrical discharge
42 machining (EDM). Monotonic creep tensile tests were performed at 800 °C under
43 an applied tensile load of 650 MPa, consistent with a region where a rich variety
44 of complex deformation mechanism appears (SISFs, SESFs, microtwins and
45 APBs) [15, 16, 17, 18]. Testing was conducted in an Instron electro-thermal
46 mechanical testing (ETMT) machine with digital image correlation (DIC) as
47 non-contact strain measurement. Three repetition tests were performed in order
48 to validate the repeatability of the results.

49 *2.2. STEM-EDX Analysis*

50 Post-mortem examination prior to scanning transmission electron microscopy
51 (STEM) analysis was carried out in order to identify the deformation regions
52 after creep. The samples were prepared by grinding and polishing finished with
53 colloidal silica. The examination plane was carefully selected for subsequent
54 STEM foil extraction, with the foil plane normal oriented along the $\langle 011 \rangle$ axis
55 perpendicular to the tensile direction of the specimen. The preliminary study
56 was performed using a JEOL 6500F field emission gun scanning electron micro-
57 scope (FEG-SEM) using an accelerating voltage of 10 kV and probe current of
58 300 pA. Backscattered electron images were acquired in order to reveal the de-
59 formed regions within the sample. An overview of the faulted deformed region
60 studied later by STEM is shown in Fig. 1b. STEM foils were extracted from
61 these regions normal to $\langle 011 \rangle$ orientation using an FEI Helios Nanolab Dual-
62 beam 600 focused ion beam (FIB). This assures planar faults are viewed edge-on

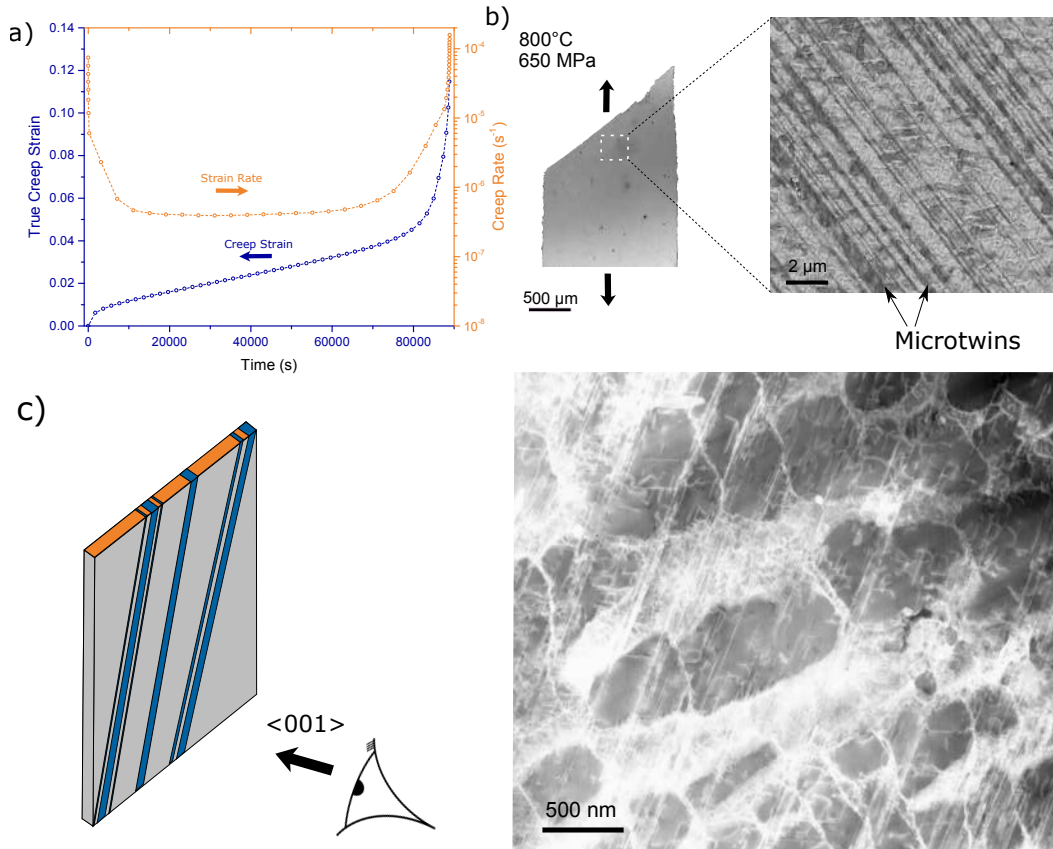


Figure 1: a) Strain and strain rate over time for $\langle 011 \rangle$ single crystal MD2 creep test at 800 °C and 650 MPa under tension; b) fracture surface of the tested specimen and SEM backscattered electron micrograph; c) detailed diagram of the STEM foil view angle relative to the crystal orientations and STEM micrograph of the deformed microstructure of the crept specimen.

63 using high angle annular dark field (HAADF) zone axis imaging as indicated
64 in Fig. 1c. Samples were thinned at 5 kV and then further cleaned using a
65 Fischione Nanomill. Energy dispersive X-ray analysis (EDX) of the foils was
66 performed on an image-corrected Titan3TM 60-300 kV with a Super-X detector
67 utilizing the Bruker Esprit software. Integrated line scans were conducted and
68 quantified through CliffLorimer analysis [19] using experimental K_α energies for
69 Ni, Co, Al, Cr and Ti. L_α was used for the case of Mo. The Cu specimen holder
70 signal was avoided by using the M_α lines for Ta and W since the L_α Ta and
71 W peaks corresponded too closely to a Cu peak to be accurately considered.
72 Deconvolution for the W and Ta M_α peaks, as well as background subtraction,
73 was used to reduce the influence of Bremsstrahlung. Higher atomic resolution
74 STEM analysis was performed using a probe-corrected Titan3TM 60-300 kV.

75 3. Results

76 The creep strain and strain rate evolutions during the test are presented in
77 Fig. 1a. The secondary creep stage extends for most of the test after a short
78 period of primary creep. This is consistent with creep testing in these range of
79 conditions along the $\langle 011 \rangle$ direction [20, 21, 22]. The fractured specimen after
80 testing is shown in Fig. 1b. Microtwin bands following the fracture plane can
81 be observed along an extensive region of the sample. The STEM analysis of
82 the deformed region is presented in Fig. 1c. The image shows a high density of
83 continuous faults preferentially along one slip direction. The existence of these
84 faults is a consistent proof of the high activity of partial dislocations shearing
85 at these testing conditions (800 °C-650 MPa). This high density of faults con-
86 trasts with the relatively cleaner microstructures reported by Smith et al. [2],
87 presumably explained by the higher level of strain imposed here to the sam-
88 ples studied. The complex dislocation structures extend also to the γ -channels
89 where dislocation pile-ups can be observed. Further HR-STEM confirmed the
90 presence of SESFs, SISFs, APBs and microtwins within the planar faults, with
91 the latter being the most repeated and accounting for most part of the plastic

92 deformation.

93 Several of these faulted structures were analyzed in detail using atomic reso-
94 lution STEM. Once the type of fault was identified, chemical analysis of the fault
95 was performed by means of EDX to study the different segregation mechanisms
96 among them. To avoid any doubt, the segregation and diffusion processes de-
97 tailed here are always referred to the shearing process within the γ' -precipitates.
98 No segregation is observed at the γ -channels in accordance with previous find-
99 ings for segregated microtwins [18, 23]. The different structures analysed are
100 presented next.

101 3.1. Microtwins

102 Two different microtwins within γ' precipitates have been analyzed. HAADF-
103 STEM images in the $\langle 011 \rangle$ zone axis of both microtwins are presented in Fig.
104 2-left. The first microtwin is in an early stage of growth, with around 15 $\{111\}$
105 planes thickness, while the second one is in a mature state extending over the
106 whole field of view. The concentration profiles across the microtwins interfaces
107 are shown in Fig. 2-right from vertically integrated EDX line scans. They
108 confirm the segregation of Cr – a well known γ -stabilizer – at the twin inter-
109 faces. For the case of the thin twin, the interfaces were also found to be slightly
110 enriched with respect of Mo, although the background noise from the measure-
111 ments make this less clear.

112 Nevertheless, the cores of both twins recover the nominal concentration of
113 the γ' -precipitate confining the segregation to just the twin interfaces. Barba
114 et al. [14] and Smith et al. [2] have proposed a model to rationalize this
115 phenomena. In their models there is a local shift of chemistry from $\gamma' \rightarrow \gamma$ at
116 the microtwin interfaces. This is needed to lower the energy of the high-energy
117 faults formed at the twin boundaries as a result of the Shockley partial shearing
118 whereas the core of the twin recovers the perfect lattice structure.

119 3.2. Intrinsic and extrinsic stacking faults: CISF/SISF and CESF/SESF

120 A HAADF-STEM image of a region containing five different planar faults
121 is shown in Fig. 3a. These correspond to two CESFs/SESFs on a first $\{111\}$

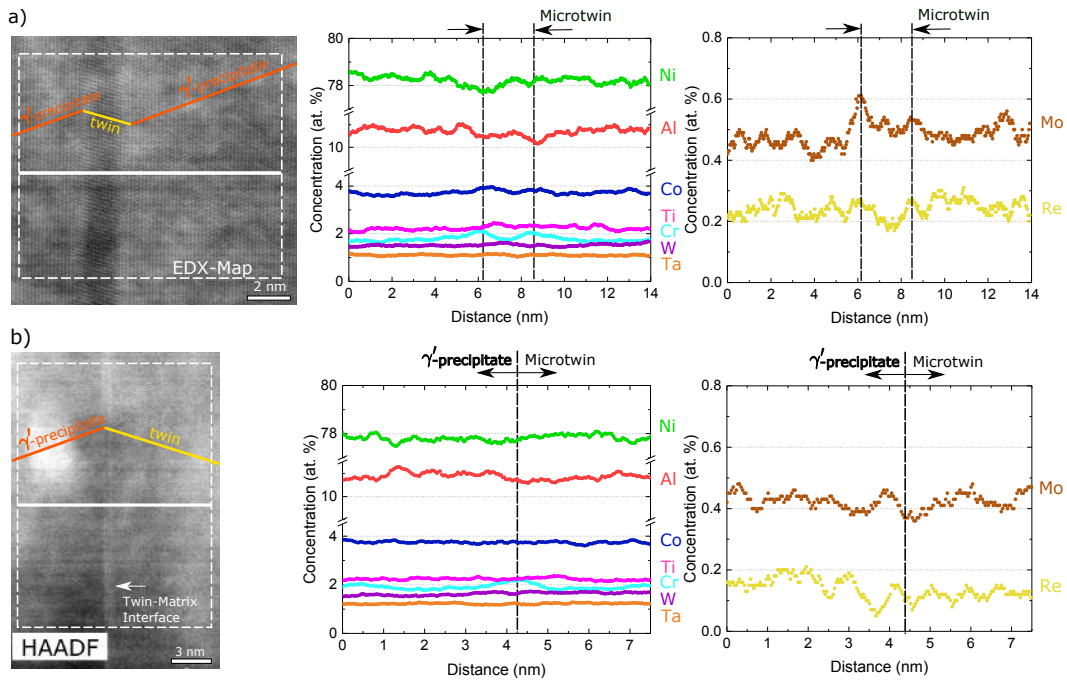


Figure 2: a) Left: HAADF-STEM detail of a microtwin showing the change of lattice orientation between the parent and twinned phase. Right: horizontal integrated EDX line scan across the twin indicated in the HAADF-STEM image; b) Left: HAADF-STEM micrograph of a γ' -twin interface showing the microtwin interface as a higher intensity line. Right: horizontal integrated EDX line scan across the twin interfaces. Twin-parent interfaces are indicated by dash-lines.

122 slip plane and two CESFs/SESFs and a CIFS/SISF on a complementary {111}
123 slip system. The faults can be either complex faults (CESF and CIFS) or regu-
124 lar faults (SESF and SISF) as the present analysis cannot distinguish between
125 them. The planar faults cut each other presenting interesting interactions and
126 blocking the growth of some of them. Some of the interaction spots and fault
127 ending points present a higher intensity in the HAADF-STEM image which
128 might be related with a higher concentration of heavier elements. This observa-
129 tion is consistent with the previous work in ME3 alloy by Smith et al. [24]. The
130 rationalization of these higher density regions associated with the fault growth
131 is discussed in the following section.

132

133 The EDX maps of the faults region are presented in Fig. 3a. These maps
134 highlight the segregation of Cr and Co along the planar faults. Conversely, Al
135 and Ni maps show depletion of these elements along the stacking faults. The
136 compositional changes for each fault have been quantified and they are shown
137 as compositional profiles in Fig. 3b. They have been integrated parallel to the
138 plane of each fault as indicated in Fig. 3a. For the case of the CIFS/SISF, strong
139 segregation of Cr and Mo is observed, while Co is segregated to a lesser extent.
140 In contrast, an intense depletion of Ni and Al from the fault lines is observed,
141 similarly to the microtwins. These data confirm the qualitative results of the
142 elemental maps presented before. The same phenomenon has been observed
143 to occur for the case of the CESFs/SESFs, being Co segregated to a slightly
144 higher intensity than in the CIFS/SISF. Non-conclusive data were found for Mo
145 segregation in the case of the CESFs/SESFs.

146 3.3. *Anti-phase boundary*

147 Finally, an array of planar faults containing an APB within a γ' precipitate
148 has been studied. A HAADF-STEM image of the dislocation/fault array is
149 shown in Fig. 4a. Several dislocations can be observed coinciding, after atomic
150 resolution fault analysis, with the higher intensity locations observed in the
151 image. These locations have been reported before to be associated with clouds

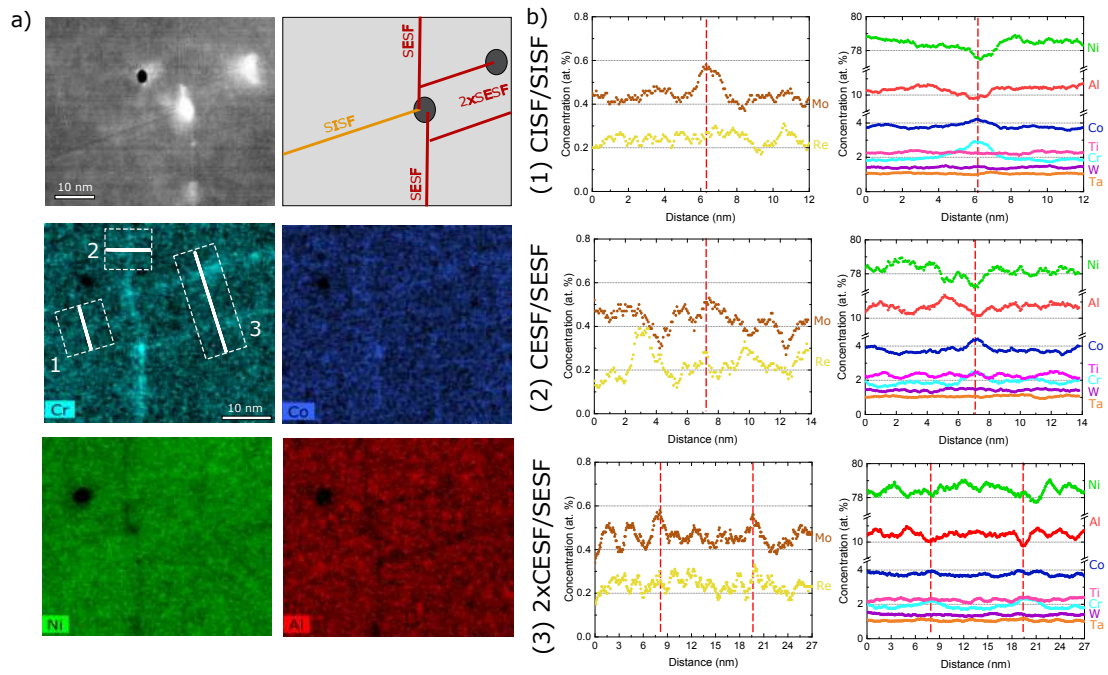


Figure 3: a) STEM micrograph of a faults structure (CISF/SISF+CESF/SESF +2xCESF/SESF) and its corresponding elemental EDX maps; b) integrated EDX line scans showing the concentration profiles across the different stacking faults detailed in (a). The positions of the faults are indicated by red lines.

152 of higher atomic number elements [25].

153 Analysis of this array of dislocations indicates that there has been interac-
154 tion of the primary (horizontal) $\{111\}$ slip system with the conjugate $\{111\}$
155 slip system. It has been found that a series of $a/2\langle 110\rangle$ dislocations from the
156 conjugate system are incorporated in the dislocation array of the primary slip
157 plane. This is apparent since Burgers circuits around the individual dislocations
158 configurations produce closure failures containing a component pointing out of
159 the primary glide plane, see Fig. 4b. In fact, this is consistent with the presence
160 of conjugate $a/2\langle 110\rangle$ dislocations content within the array.

161 The details of these interactions, and the steps leading to this configura-
162 tion, are difficult to deduce from this post-deformation analysis; however, the
163 most remarkable feature is between dislocations D1 and D2 in Fig. 4a. A
164 higher-magnification atomic resolution STEM micrograph of the region around
165 dislocation D2 is shown in Fig. 4b. To the left of this dislocation there is a
166 stacking fault that could be either an SISF or CISF. To the right of dislocation
167 D2 there is a region that apparently has perfect crystal stacking (i.e. no struc-
168 tural fault is present). However, closer inspection demonstrates that the higher
169 intensity planes (corresponding to the higher atomic number, Ni-rich sublattice
170 in the γ' structure) are actually offset by a $a/2\langle 110\rangle$ displacement in the
171 vicinity of the $\{111\}$ plane that would project the stacking fault located to the
172 left of D2. Note that the contrast from this superlattice fringe intensity is not
173 uniform, and is only clearly observed in certain regions of the image. This lack
174 of uniformity in contrast may be due to several factors, including the presence
175 of surface contamination in these electropolished TEM foils, as well as local
176 compositional fluctuations that may lead to a decrease in superlattice contrast.
177 It is noted that a similar patchiness to the superlattice contrast is found in all
178 regions investigated, as indicated for example in Fig. 2a.

179 The salient point is that this region to the right of D2 appears to have the
180 structural attributes of an APB. Compositionally, it is also distinct from the
181 perfect γ' regions as shown in the analysis of this region shown in the EDX maps
182 of Fig. 4c. The elemental maps of the faults for Cr, Co and Al are presented

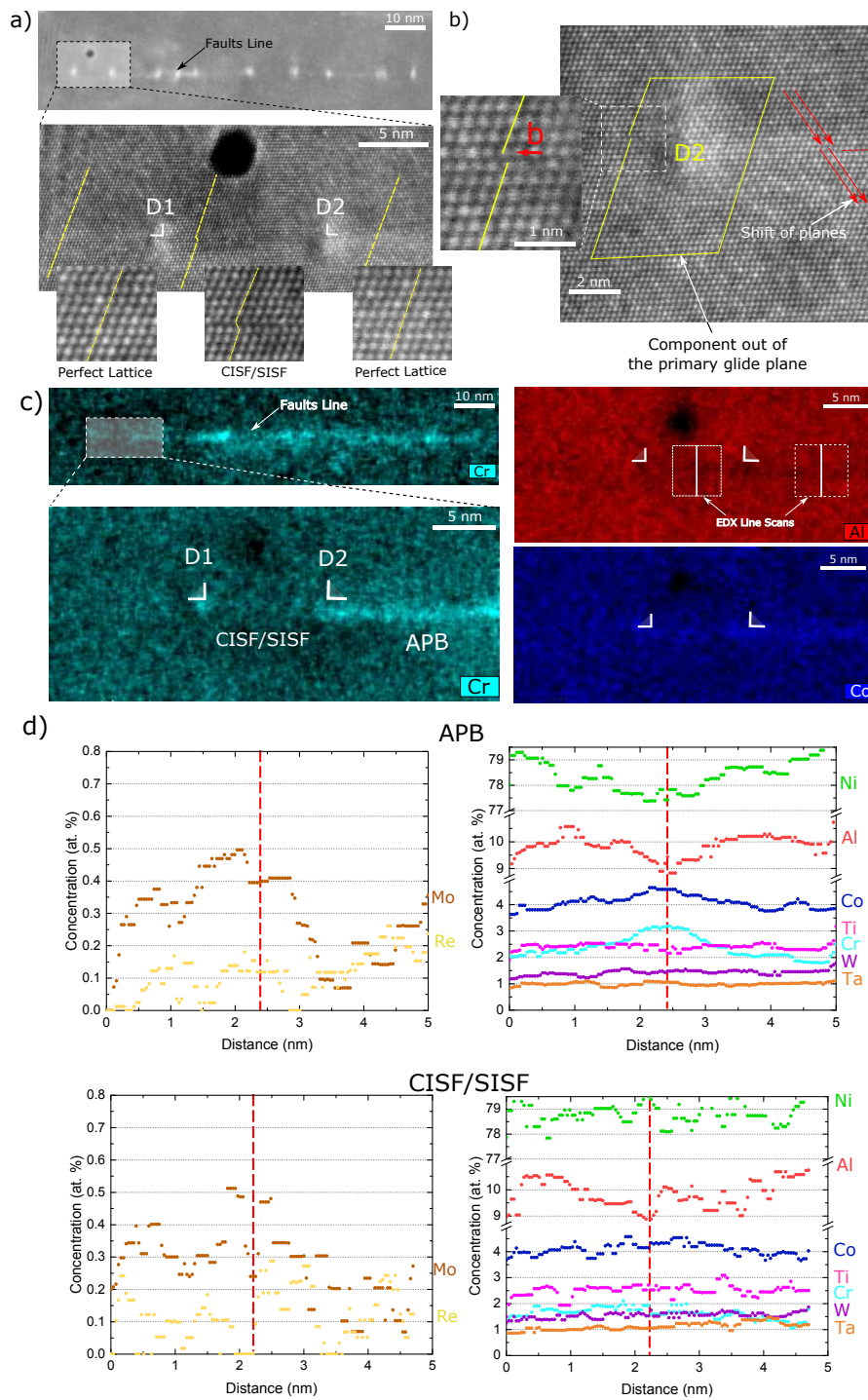


Figure 4: a) HAADF-STEM micrograph of a faults line containing multiple dislocations indicated by brighter spots (top). An atomic resolution STEM micrograph of the first two dislocations area is presented (bottom) with details of the atomic faulted structure. b) Cr, Co and Al EDX elemental map showing strong segregation along the fault line behind the second partial dislocation. This segregation suggest that the fault structure after the SISF/CISF corresponds to a energy-lowered APB.

183 here. The maps show that segregation of Cr is strongly localized to the APB
184 and more limited for the case of the CISF or SISF; the same phenomenon is
185 observed for Co. Additionally, severe depletion of Al is observed for both, the
186 APB and the CISF or SISF. Integrated concentration profiles of the detailed
187 region have also been computed for quantitative analysis and are presented in
188 Fig. 4d. The quantitative results confirm the strong segregation of Co and Cr
189 to the APB.

190 Summarizing, the quantitative results for the segregation of γ -stabilizers
191 (Co, Cr and Mo) to the different types of planar faults are given in Table 1.
192 The compositional values at the faults have been calculated from the integrated
193 average between the mid-points of the concentration peaks. These results are
194 averaged, if possible, from all analyzed cases for each kind of fault. The repeata-
195 bility of the segregation pattern for all the different planar faults is substantial
196 proof that the deformation kinetics of all shearing mechanisms is affected by the
197 long-range diffusion required for extensive elemental segregation. This process
198 is defined here as segregation-assisted shearing and it is further analyzed in the
199 next section.

200 4. Discussion

201 4.1. Segregation-assisted shearing

202 In this section, focus is put on the diffusion mechanisms controlling the
203 lengthening of the faults. Fig. 5a shows the STEM image of the growing tip
204 of a CESF/SESF fault. EDX elemental maps of the same region are presented
205 in Fig. 5b. The dislocation core is surrounded by an enriched atmosphere of
206 Cr and Co. The wake of the solute atmosphere leaves behind a segregated
207 trail of solute. In contrast, both regions show a pronounced depletion of Al.
208 The chemical compositions of the solute atmosphere and the γ' -precipitate are
209 presented in Fig. 5c for comparison. They have been obtained by integration
210 of the maps values. These results show that the local elemental composition
211 shifts from $\gamma' \rightarrow \gamma$ equilibrium chemistry. This shift is further confirmed by the

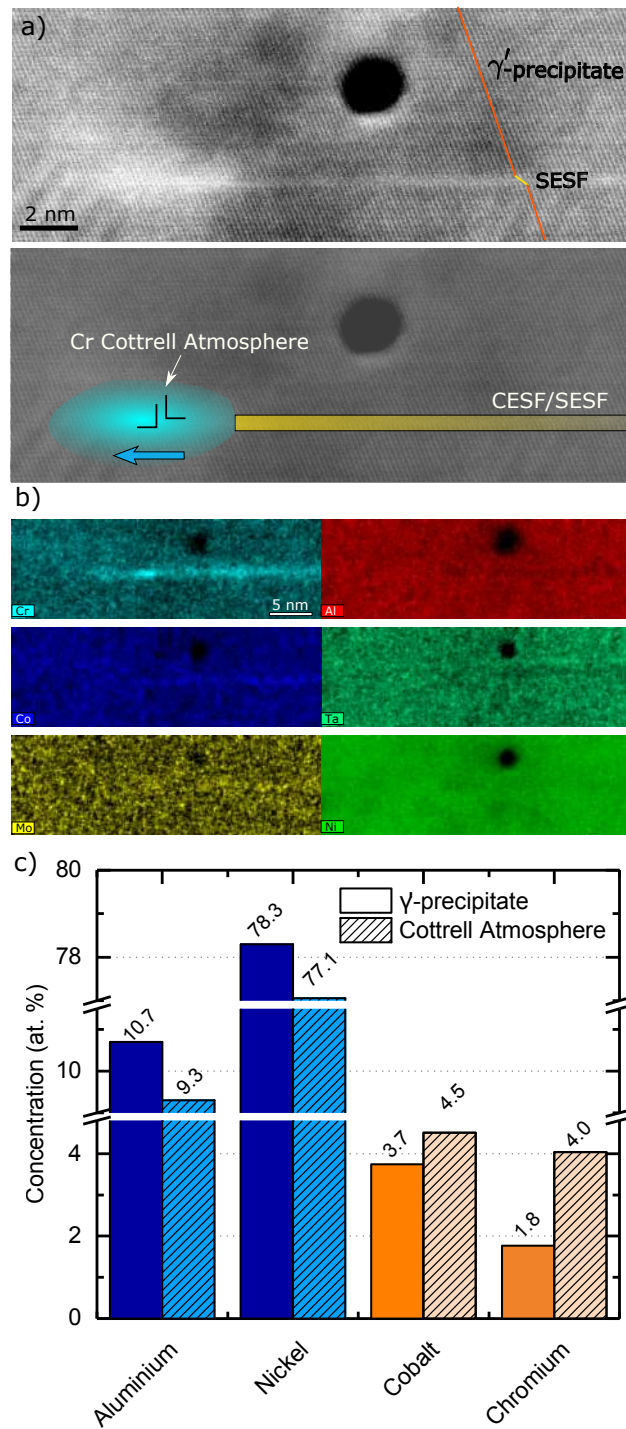


Figure 5: a) Terminating CESF/SESF with higher intensity at the growing front indicating the position of a solute-type atmosphere; b) EDX elemental maps showing segregation of Cr and Co along the fault, with a higher concentration solute atmosphere at the growing front; c) atomic composition of the solute atmosphere at the growing front of the fault and comparison with γ' chemical composition. The composition at the fault tip is shifted locally to a γ -like structure.

212 extended study presented in Fig. 6 for all the type of faults presented here.
 213 These ratios are calculated as:

$$214 \quad \gamma'\text{-stabilishers fraction} = \frac{(c_{Al} + c_{Ta} + c_{Ti} + c_{Nb})_{\text{fault}}}{(c_{Al} + c_{Ta} + c_{Ti} + c_{Nb})_{\gamma'\text{-phase}}} \quad (1)$$

$$215 \quad \gamma\text{-stabilishers fraction} = \frac{(c_{Co} + c_{Cr} + c_{Mo})_{\text{fault}}}{(c_{Co} + c_{Cr} + c_{Mo})_{\gamma\text{-phase}}} \quad (2)$$

216 In this figure, the ratios of γ' - and γ -stabilizers with respect to the γ' -phase
 217 values for the different faults are shown. For all the faults, a loss of γ' -stabilizers
 218 and conversely, an increase of γ -stabilizers is observed. Similar results have been
 219 reported by Smith et al. [17] for the case of SESFs on the disk-type alloy ME3.
 220 The same phenomenon is generalized in this work for CISFs/SISFs and APBs.

221 The results presented in Fig. 5 indicate the presence of at least two diffusion
 222 processes taking place simultaneously during dislocation shearing:

- 223 • Segregation along the fault: the Cr and Co enrichment of the different
 224 faults with respect to the surrounding γ' -precipitate composition requires
 225 long-range diffusion from the bulk. The diffusion flux is believed to be
 226 driven by the transformation of the high energy faults created by the
 227 shearing of the dislocations to low energy ones. This is achieved by stabi-
 228 lizing locally a γ -like structure at the fault and thus, removing the wrong-
 229 neighbors penalty. This process is illustrated schematically in Fig. 7 for
 230 the different faults observed. Obviating quantitative deviations of the
 231 different concentration levels, the segregation patterns reported show no
 232 qualitative distinction between faults. This implies that the same segrega-
 233 tion mechanisms are likely to be present regardless of the kind fault. The
 234 specific distinctions in terms of the concentration peaks might be related
 235 to the different bonding structures and in particular, their associated fault
 236 energies as illustrated in Fig. 7.
- 237 • Solute atmosphere around the twin partials: the partial nucleus is sur-
 238 rounded by a Co and Cr solute cloud of a few nm in size. The solute
 239 cloud presumably moves coupled with the partial dislocations as they
 240 shear the γ' -precipitates. The enhanced enrichment of the dislocation

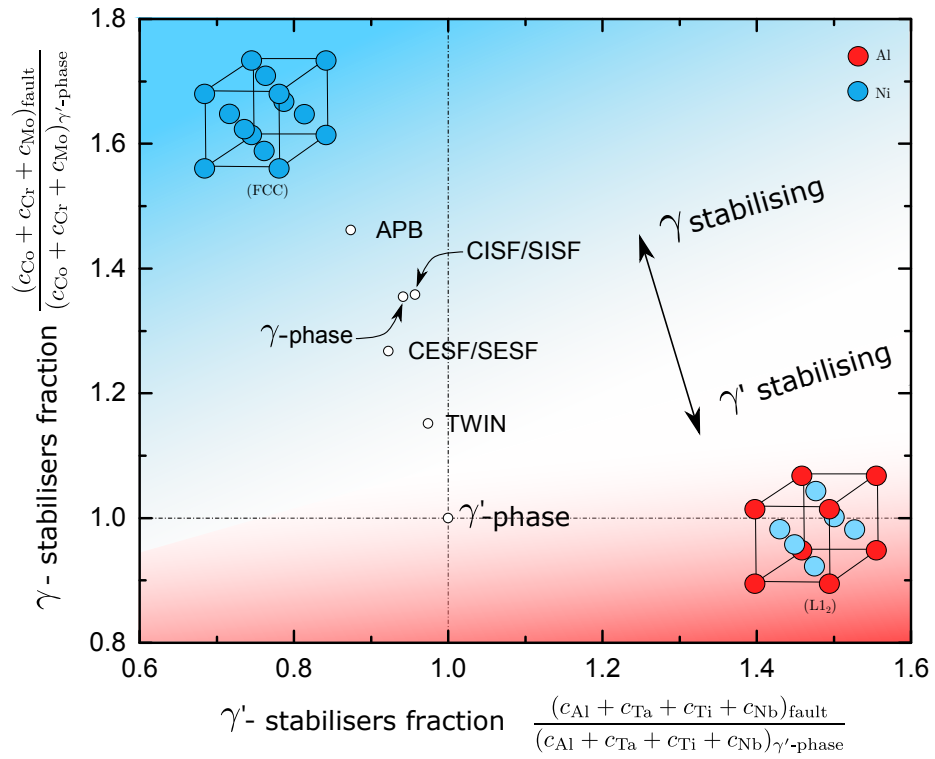


Figure 6: Ratios of γ' - and γ -stabilizers for the different faults reported in this paper. The ratios are calculated with respect to the γ' -phase values as indicated in the axes. For all the faults, a loss of γ' -stabilizers and conversely, an increase of γ -stabilizers is observed. This fact is specially intense for the case of the APB.

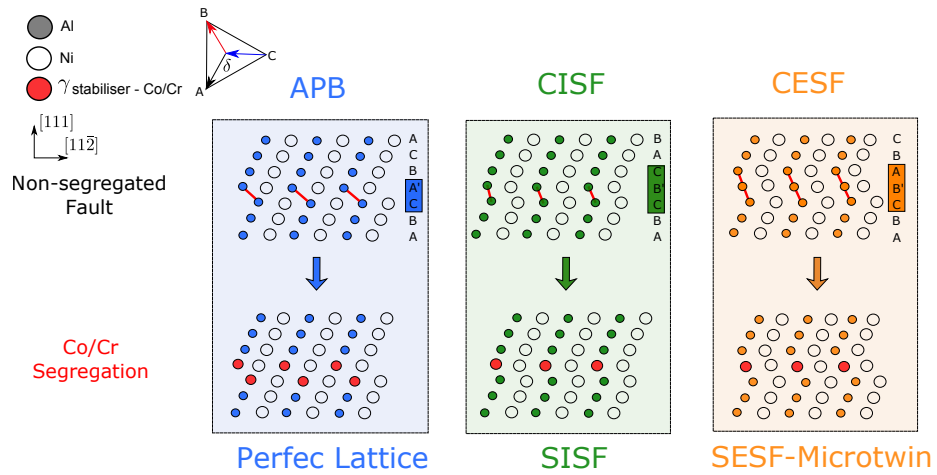


Figure 7: Atomic structures of the different faults observed in this paper (APB, SISF and SESF-Microtwin). Red lines represent high energy wrong neighbor bondings in the unsegregated faults (top) which might be suppressed or at least lowered their energy by the segregation of Ni-like atoms such as Cr and Co (bottom).

241 core respect to the fault might be driven by the reduction of the local
242 strain energy associated with the dislocation. Additionally, this cloud can
243 support, provisionally, the stabilization of the fault structure during the
244 initial moments after the dislocation shearing and before the long-range
245 diffusion segregation to the fault occurs.

246 Additionally, a third diffusion mechanism might be operative simultaneously
247 for the case of CESFs/SESFs and microtwins. This mechanism implies the
248 short-range atomic reshuffling of the Ni and Al lattice sites at the fault line
249 leading to the perfect SESF-twin structure [13, 26].

250 Two different diffusion scales can be identified among the different diffu-
251 sion mechanisms. For the latter case of the atomic reshuffling and the solute-
252 atmosphere motion, the diffusion scale relative to the dislocation motion can
253 be considered as short-range atomic movement. Nonetheless, the extended seg-
254regation events observed require long-range diffusion which, at the same time,
255 implies much slower time scales. It is well known that when several mechanisms
256 are coupled simultaneously, the slowest one is limiting the advance of the rest
257 [27]. Therefore, in the light of this, it seems reasonable to assume that as a
258 first approximation, the lengthening of the different faults under these condi-
259 tions (800 °C and 650 MPa) is governed by the segregation of γ -stabilizers to
260 the fault. This is further supported by the work of Smith et al. [28] where a
261 comparative study of the lengthening rates for the different diffusion processes
262 taking place is presented. This picture of the plastic deformation proposed here
263 is probably applicable across a range of medium-high temperatures and high
264 stresses. These ideas are further developed and incorporated into a mathemati-
265 cal model to estimate the diffusion kinetics of the different faults in the following
266 section.

267 *4.2. Estimation of fault growth rates for segregation-assisted shearing*

268 In this section, the model presented by Barba et al. [14] for segregation as-
269 sisted growth of microtwins is extended for the case of CISFs/SISFs, CESFs/SESFs
270 and APBs. As reported in the previous section, up to three different diffusional

271 processes can be acting simultaneously at the tip of the growing faults. Here,
 272 the bulk diffusion process supporting the segregation (assumed to be the time-
 273 limiting process) is modeled in order to compute the fault lengthening rates.
 274 This is done by solving the diffusion fields around the faults faithfully with the
 275 concentration data for each type of fault obtained by the EDX analysis and
 276 presented in Table 1. A schematic illustration of the lengthening problem is
 277 presented in Fig. 8a. The lengthening rate of the faults v_f is then computed
 278 from the mass conservation law at the growing interface:

$$279 \quad v_f = D_{\text{eff}} \left. \frac{\partial c}{\partial x} \right|_{\text{interface}} (c_f - c_p)^{-1} \quad (3)$$

280 where v_f is the fault growth rate, $\left. \frac{\partial c}{\partial x} \right|_{\text{interface}}$ is the concentration gradient at the
 281 growing interface, $D_{\text{eff}} = \frac{D_{\text{Cr}} c_{\text{Cr}} + D_{\text{Co}} c_{\text{Co}}}{c_{\text{Cr}} + c_{\text{Co}}}$ is the effective diffusivity of Cr and
 282 Co in the γ' parent phase and c_f and c_p are the effective Co+Cr concentrations
 283 ($c = c_{\text{Cr}} + c_{\text{Co}}$) at the fault and in the parent phase next to the fault tip,
 284 respectively. No conclusive results were obtained for molybdenum segregation
 285 and therefore, it is not included in this problem. It is important to notice that
 286 the APB case is slightly different from the other kind of faults as they do not
 287 extend along the whole precipitate but instead a fault of finite extension moves
 288 with the segregation line. Then the mathematical problem presented here differs
 289 slightly from the real case but in any case, the values obtained here for APBs
 290 velocities represent a lower bound. For more details of the mathematical model
 291 the reader is referred to the work of Barba et al. [14].

292 4.2.1. Definition of model parameters

293 The velocities for the different types of faults are obtained by imposing the
 294 effective segregated Cr and Co concentrations at the fault and the γ' -phase
 295 nominal concentration, both obtained from the EDX-analysis presented before.
 296 The compositions at the fault (c_f) are the ones calculated in Table 2. The Co
 297 and Cr concentrations in the γ' at infinity (c_∞) are extracted using the averaged
 298 EDX concentrations in the γ' far field from the twin for each fault. Additionally,
 299 the γ' equilibrium composition (c_e) and the chemical diffusivities of Co and Cr

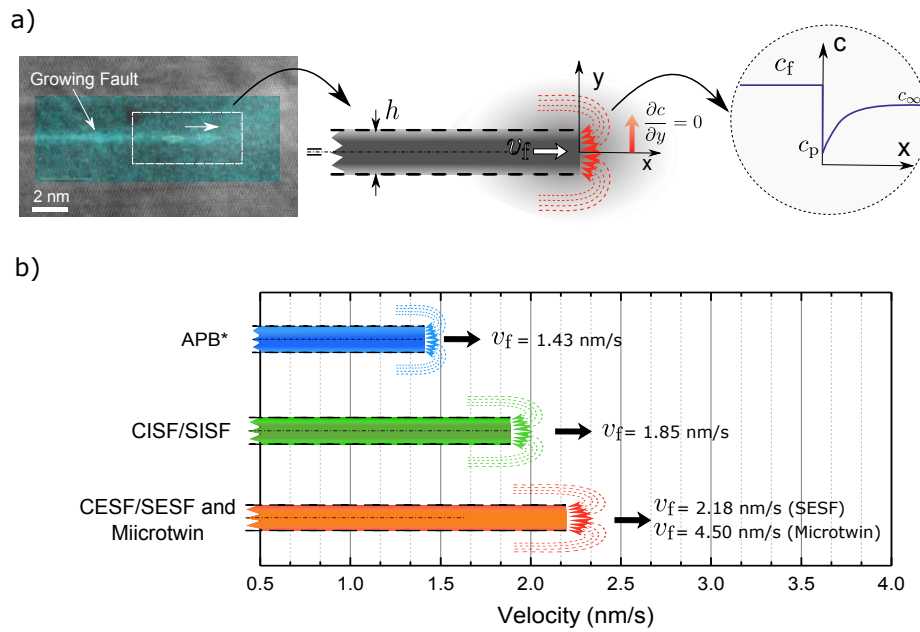


Figure 8: a) Schematics of the diffusion problem formulated for the segregation assisted growth of the different faults. A detail of the concentration profile at the fault tip is shown; b) computed fault lengthening rates for the different types of faults observed experimentally. *The growth rate value for the APB case represents an estimation of its lower limit.

300 (D_{Co} and D_{Cr}) are obtained using Thermo-Calc software (database TTN18) and
301 DICTRA (database MOBNI3) for equilibrium phase calculations and kinetics,
302 respectively [29, 30]. The computed values for the relevant parameters used in
303 the model are detailed in Table 2.

304 4.2.2. Calculated velocities

305 The lengthening rates computed for the different faults are presented in Fig.
306 8b. The slowest fault shearing mechanism is the APB (with the aforementioned
307 assumptions), followed by the SISF/CISF and finally the SESF/CESF with the
308 higher lengthening rates. It is noticeable that the fastest fault (CESF-SESF) is
309 also the one with the highest stacking fault energy Γ , which may be unexpected
310 ($\Gamma_{\text{CESF-2}} > \Gamma_{\text{CISF}} > \Gamma_{\text{APB}} > \Gamma_{\text{SISF}} > \Gamma_{\text{SESF}}$)[31]. This may be caused by the
311 additional combined effect of the reordering process present in the CESF/SESF
312 lengthening [13, 26]. The reordering process can reduce the amount of segre-
313 gation needed and therefore provide higher lengthening rates for CESF/SESF
314 than the other two mechanisms. From these results it arises that shearing by
315 Shockley partial dislocations promotes significantly the plastic flow of the al-
316 loy at high temperatures. Therefore, mechanisms that limit the plasticity to
317 just APB shearing are desirable for strengthening the alloy as observed exper-
318 imentally by Smith et al [2]. Additionally, it is noteworthy the higher level of
319 segregation observed for APB, which may be linked with the necessity of higher
320 amounts of γ -stabilizers in order to lower the energy of the fault.

321 4.3. Implications of the plastic-segregation events

322 The segregation-assisted dislocation shearing presented here might be cru-
323 cial for understanding one of the still daunting aspects of the superalloys: the
324 sudden drop of yield-strength properties at high temperatures ($T \approx > 800^\circ\text{C}$).
325 This concept is illustrated in Fig. 9 where the diffusivities of Cr and Co are
326 computed against the yield stress along the $\langle 001 \rangle$ orientation of the commer-
327 cial superalloy CMSX-4. This superalloy has a similar composition and me-
328 chanical behavior to MD2. During plastic deformation at low temperatures

329 ($T < 700^\circ\text{C}$), the diffusion processes are still limited and the dislocations need
330 to enter into the γ' -precipitates without the assistance of segregation. This cre-
331 ates ‘full-energy’ faults within the γ' -precipitates which strengthen considerably
332 the alloy. This regime is where the wide variety of standard plasticity theories
333 for superalloys are applicable [11, 32]. As the temperature increases, the dif-
334 fusion processes gradually start to become more and more important during
335 plastic deformation. The dislocation shearing within the γ' -precipitates is now
336 assisted by local changes in the chemistry and reordering processes and the clas-
337 sical strengthening mechanism for Ni-based superalloys (the high-energy faults
338 shearing) vanishes. This provokes a reduction of the stress required to shear
339 the γ' -precipitates and, as a consequence, a sudden drop of the plastic-strength
340 of the alloy, as observed in Fig. 9. At really high temperatures ($T > 900^\circ\text{C}$),
341 the dislocation climbing of γ' precipitates and the rafting processes becomes the
342 dominating plastic mechanisms degrading even more the mechanical strength
343 of the alloy [33, 34, 35].

344 As a result, the strong effect of the local chemical changes on the creep and
345 plastic strength must be included in future models. One of the first experimental
346 attempts to accomplish with this purpose has been presented by Smith et al. [2],
347 proposing the addition of certain elements (Ti, Ta and Nb) to block the effect
348 of the γ -stabilizers and eventually strengthening the alloy. In order to push
349 forward the temperature capabilities of these alloys, these segregation processes
350 need to be fully integrated in multi-physics continuum models which potentially
351 will allow to capture the dependence of the strength of the alloy on its chemical
352 composition.

353 5. Summary and Conclusions

354 The single crystal superalloy MD2 deformed at 800°C and 650 MPa has been
355 studied. The following specific conclusions can be drawn from this study:

- 356 1. HR-STEM at atomic resolution has been used to characterize the creep
357 deformation mechanisms occurring in this material under these conditions;

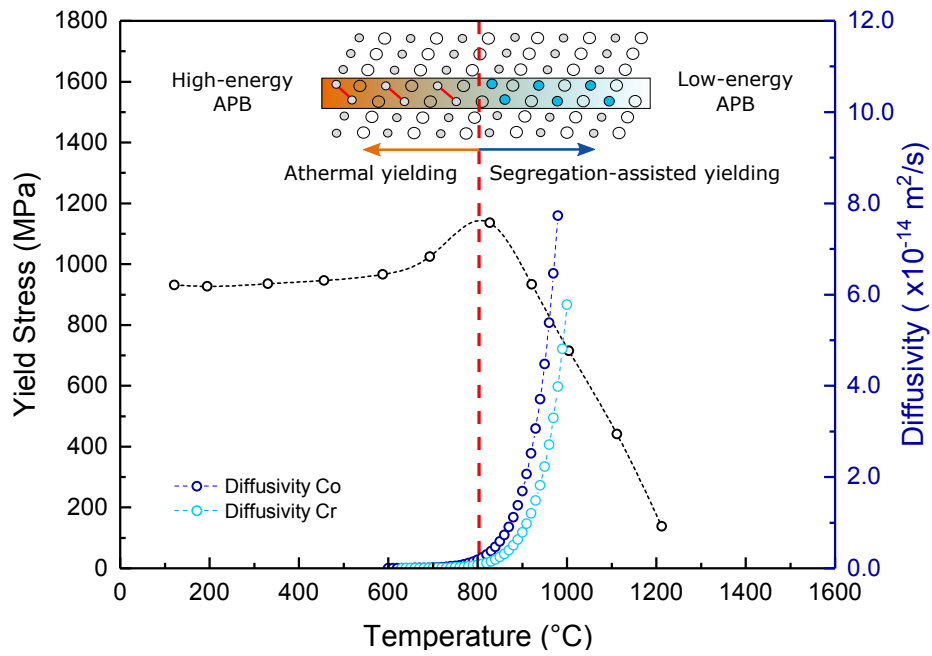


Figure 9: Correlation between the evolution of the yield stress of the single-crystal superalloy CMSX-4 [36] with temperature and the diffusivity of Cr and Co within the γ' -precipitates. The results presented in this paper suggest that at higher temperatures ($T > 800^\circ\text{C}$) the segregation to the deformation faults (APBs, CESFs/SESFs, CISFs/SISFs or microtwins) controls the strength of the alloy by changing locally its composition and therefore the fault energies necessary for dislocation glide.

358 these involve microtwins – in mature and embryo stages (CESFs/SESFs)–
359 and other type of planar faults such as CISFs/SISFs or APBs.

- 360 2. All types of planar faults examined are enriched with γ -stabilizers – Cr,
361 Co and Mo (to some extent) – with respect to the nominal γ' -phase com-
362 position of the alloy. Concomitantly, a depletion of γ' -stabilizers at the
363 faults has been observed. This produces a shift of the chemical structure
364 from the γ' -phase composition to the γ -phase one. This shift is especially
365 intense for the case of APBs.
- 366 3. When the planar faults evolve to mature microtwins, the segregation is
367 confined to the twin/matrix boundaries, so that the nominal γ' -phase
368 composition inside the twin is recovered. This implies a contribution of
369 diffusion within the twin to its growth.
- 370 4. The diffusion processes active during the planar fault shearing have been
371 studied in detail. Two different diffusion scales have been identified: the
372 long-range diffusion associated with the fault segregation process and the
373 short-range scale of the solute cloud surrounding the dislocation core and
374 also of the atomic-reordering.
- 375 5. The experimental observations have been introduced in an extended math-
376 ematical model so that the lengthening rates for the different faults can
377 be calculated. The results suggest that the SISFs and SESFs are likely to
378 promote high temperature plastic flow more effectively than the APBs.
- 379 6. These observations suggest that high temperature time-dependent plas-
380 ticity is assisted by chemical changes confined locally at the faults, thus
381 contributing to a drop in the observed strength of the alloy. This phe-
382 nomenon – which changes the stress necessary for plastic deformation –
383 needs to be accounted for in future theories for the high temperature time-
384 dependent plasticity of these materials ($T > 700^\circ\text{C}$).

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450 **Figure 1** – a) Strain and strain rate over time for $\langle 011 \rangle$ single crystal MD2 creep
451 test at 800 °C and 650 MPa under tension; b) fracture surface of the tested spec-
452 imen and SEM backscattered electron micrograph; c) detailed diagram of the
453 STEM foil view angle relative to the crystal orientations and STEM micrograph
454 of the deformed microstructure of the crept specimen.

455

456 **Figure 2** – a) Left: HAADF-STEM detail of a microtwin showing the change
457 of lattice orientation between the parent and twinned phase. Right: horizon-
458 tal integrated EDX line scan across the twin indicated in the HAADF-STEM
459 image; b) Left: HAADF-STEM micrograph of a γ' -twin interface showing the
460 microtwin interface as a higher intensity line. Right: horizontal integrated
461 EDX line scan across the twin interfaces. Twin-parent interfaces are indicated
462 by dash-lines.

463

464 **Figure 3** – a) STEM micrograph of a faults structure (CISF/SISF+CESF/SESF
465 +2xCESF/SESF) and its corresponding elemental EDX maps; b) integrated
466 EDX line scans showing the concentration profiles across the different stacking
467 faults detailed in (a). The positions of the faults are indicated by red lines.

468

469 **Figure 4** – a) HAADF-STEM micrograph of a faults line containing multiple
470 dislocations indicated by brighter spots (top). An atomic resolution STEM mi-
471 crograph of the first two dislocations area is presented (bottom) with details of
472 the atomic faulted structure. b) Cr, Co and Al EDX elemental map showing
473 strong segregation along the fault line behind the second partial dislocation.
474 This segregation suggest that the fault structure after the SISF/CISF corre-
475 sponds to a energy-lowered APB.

476

477 **Figure 5** – a) Terminating CESF/SESF with higher intensity at the growing
478 front indicating the position of a solute-type atmosphere; b) EDX elemental
479 maps showing segregation of Cr and Co along the fault, with a higher concen-
480 tration solute atmosphere at the growing front; c) atomic composition of the

481 solute atmosphere at the growing front of the fault and comparison with γ'
482 chemical composition . The composition at the fault tip is shifted locally to a
483 γ -like structure.

484

485 **Figure 6** – Ratios of γ' - and γ -stabilizers for the different faults reported in this
486 paper. The ratios are calculated with respect to the γ' -phase values as indicated
487 in the axes. For all the faults, a lost of γ' -stabilizers and conversely, an increase
488 of γ -stabilizers is observed. This fact is specially intense for the case of the APB.

489

490 **Figure 7** – Atomic structures of the different faults observed in this paper
491 (APB, SISF and SESF-Microtwin). Red lines represent high energy wrong
492 neighbor bondings in the unsegregated faults (top) which might be suppressed
493 or at least lowered their energy by the segregation of Ni-like atoms such as Cr
494 and Co (bottom).

495

496 **Figure 8** – a) Schematics of the diffusion problem formulated for the segrega-
497 tion assisted growth of the different faults. A detail of the concentration profile
498 at the fault tip is shown; b) computed fault lengthening rates for the different
499 types of faults observed experimentally. *The growth rate value for the APB
500 case represents an estimation of its lower limit.

501

502 **Figure 9** – Correlation between the evolution of the yield stress of the single-
503 crystal superalloy CMSX-4 [36] with temperature and the diffusivity of Cr and
504 Co within the γ' -precipitates. The results presented in this paper suggest that
505 at higher temperatures ($T > 800$ °C) the segregation to the deformation faults
506 (APBs, CESFs/SESFs, CISFs/SISFs or microtwins) controls the strength of
507 the alloy by changing locally its composition and therefore the fault energies
508 necessary for dislocation glide.

Table 1: Averaged values for the concentrations of Cr and Co at the faults (or fault interfaces for microtwins) and at the γ' precipitate. The concentrations at the faults are averaged-integrated assuming the cut-off point to be half of the peak. When several faults of the same type were available (for twins and SESFs) the concentration values are standard-averaged values between the same type of faults.

Fault	Fault		γ' -bulk	
	c_{Cr} (at.%)	c_{Co} (at.%)	c_{Cr} (at.%)	c_{Cr} (at.%)
Twin	2.10	3.83	1.79	3.70
SESF	2.42	4.10	1.80	3.66
SISF	2.87	4.19	1.84	3.71
APB	3.18	4.57	1.82	3.19

Table 2: Parameters used for calculating the faults dislocation velocities in MD2 Ni-based superalloy.

D_{Co} (m ² /s)	D_{Cr} (m ² /s)	D_{effe} (m ² /s)	c_e (%)	h_t (m)
2.35×10^{-18}	1.77×10^{-18}	2.10×10^{-18}	4.99	$7/3\sqrt{3} \times 10^{-10}$