

# The Global Precipitation Measurement (GPM) Mission for Science and Society

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Capsule: The Global Precipitation Measurement (GPM) mission collects essential rain and snow  
data for scientific studies and societal benefit.

37 *Abstract*—Precipitation is a key source of freshwater; therefore observing global patterns of  
38 precipitation and its intensity is important for science, society, and understanding our planet in a  
39 changing climate. In 2014, NASA and the Japan Aerospace Exploration Agency (JAXA)  
40 launched the Global Precipitation Measurement (GPM) Core Observatory (GPM-CO) spacecraft.  
41 The GPM-CO carries the most advanced precipitation sensors currently in space including a  
42 dual-frequency precipitation radar provided by JAXA measuring the three-dimensional  
43 structures of precipitation and a well-calibrated, multi-frequency passive microwave radiometer  
44 providing wide-swath precipitation data. The GPM-CO was designed to measure rain rates from  
45 0.2-110.0 mm h<sup>-1</sup> and to detect moderate to intense snow events. The GPM-CO serves as a  
46 reference for unifying the data from a constellation of partner satellites to provide next-  
47 generation, merged precipitation estimates globally and with high spatial and temporal  
48 resolutions. Through improved measurements of rain and snow, precipitation data from GPM  
49 provides new information such as: details on precipitation structure and intensity; observations of  
50 hurricanes and typhoons as they transition from the tropics to mid-latitudes; data to advance  
51 near-real-time hazard assessment for floods, landslides and droughts; inputs to improve weather  
52 and climate models; and insights into agricultural productivity, famine, and public health. Since  
53 launch, GPM teams have calibrated satellite instruments, refined precipitation retrieval  
54 algorithms, expanded science investigations, and processed and disseminated precipitation data  
55 for a range of applications. The current status of GPM, its ongoing science, and future plans will  
56 be presented.

## 57 **Introduction and Motivation**

58 Water is essential to our planet, Earth. It literally moves mountains through erosion; transports  
59 heat in Earth's oceans and atmosphere; keeps our planet from freezing due to radiative impacts of  
60 atmospheric water vapor; causes catastrophes through droughts, floods, landslides, blizzards, and  
61 severe storms; but most importantly water is vital for nourishing all life on Earth. Precipitation as  
62 a source of freshwater links the Earth's water and energy cycles. Thus knowing when, where, and  
63 how precipitation falls is of paramount importance for science and society.

64 While there are areas of the world that have dense ground-based sensors for measuring  
65 precipitation in the form of rain gauges and radars, the vast oceans, less populated regions, and  
66 parts of developing countries lack adequate surface measurements of precipitation (Kidd et al.  
67 2016). Satellites provide an optimal platform from which to measure precipitation globally. In  
68 1997, NASA and the National Space Development Agency of Japan (NASDA), now the Japan  
69 Aerospace Exploration Agency (JAXA), launched the Tropical Rainfall Measuring Mission  
70 (TRMM) (Simpson et al. 1998, Kummerow et al. 1998, 2000), which operated until April 2015.  
71 The TRMM spacecraft had both a passive microwave multi-frequency imaging radiometer  
72 (provided by NASA) and a Ku-band radar channel (provided by NASDA) capable of generating  
73 three-dimensional views of precipitation structure (Kozu et al. 2001). TRMM's data continue to  
74 foster important scientific investigations such as Curtis et al. (2007), Adler et al. (2009), Shepherd  
75 et al. (2011), Liu et al. (2012), Houze et al. (2015), and Liu and Zipser (2015). In addition, TRMM  
76 has a large user community that has applied these data operationally to support decision making  
77 (Kirschbaum et al., 2016).

78 The Global Precipitation Measurement (GPM) Core Observatory (GPM-CO) spacecraft is an  
79 advanced successor to TRMM, with additional channels on both the Dual-frequency Precipitation  
80 Radar (DPR) and on the GPM Microwave Imager (GMI) with capabilities to sense light rain and  
81 falling snow (Hou et al. 2014, Hou et al. 2008). The GPM-CO, also a NASA-JAXA partnership,  
82 was launched in February 2014 and currently operates in a non-sun-synchronous orbit with an  
83 inclination angle of 65°. This orbit allows the GPM-CO to sample precipitation across all hours of  
84 the day from the tropics to the Arctic and Antarctic circles and for observing hurricanes and  
85 typhoons as they transition from the tropics to mid-latitudes. GPM expands TRMM's reach not  
86 only in terms of global coverage, but also through sophisticated satellite instrumentation, the inter-  
87 calibration of datasets from other microwave radiometers, coordinated merged precipitation data  
88 sets, reduced latency for delivering data products, simplified data access, expanded global ground  
89 validation efforts, and integrated user applications. Because of the application focus of GPM, the  
90 public release of precipitation products is required in near-real-time (1-5 hours after the  
91 observations are downlinked to the ground stations).

92 The GPM mission has several scientific objectives including (1) advancing precipitation  
93 measurements from space, (2) improving knowledge of precipitation systems, water cycle  
94 variability and freshwater availability, (3) improving climate modeling and prediction, (4)  
95 improving weather forecasting and four-dimensional (4D) reanalysis, and (5) improving  
96 hydrological modeling and prediction. More details about these scientific objectives can be found  
97 in Hou et al. (2014).

98 The GPM-CO well-calibrated instruments allow for scientifically-advanced observations of  
99 precipitation in the mid-latitudes where a majority of the Earth's population lives. The central

100 panel of Figure 1 shows the coverage of the GPM-CO, and several interesting precipitation events  
101 are shown in panels a-l. These examples indicate the breadth of GPM's observational capabilities  
102 through measurements of diverse weather systems, such as severe convection, falling snow, light  
103 rain, and frontal systems over both land and ocean. The measurements include surface precipitation  
104 rates available from GMI and 3-dimensional precipitation structure from DPR.

105 A founding concept of the GPM mission is the constellation of precipitation observations  
106 provided by national and international satellite partners of opportunity. International and national  
107 partnerships are formed independently by both NASA and JAXA for sharing satellite data, ground  
108 validation measurements, and scientific expertise (Hou et al. 2014). The GPM-CO serves as a  
109 calibrator to ensure unified precipitation estimates from all satellite partners at high temporal (0.5  
110 to 3.0 hours) and spatial (5 to 15 km) scales (Hou et al. 2014). Such satellite precipitation datasets  
111 can be merged via algorithms and accumulated over time as shown in Figure 2. These GPM products  
112 allow for detailed investigations of how and where precipitation is distributed and how these  
113 patterns change over days, seasons, and years. These estimates are also used to model and estimate  
114 hazard impacts (e.g. floods and droughts), weather related disasters, agricultural forecasting, and  
115 famine warnings (Kirschbaum et al., 2016).

116 The GPM-CO instruments and constellation concept will be discussed in Section 2.  
117 Precipitation retrieval algorithms, data products, processing, and availability will be presented in  
118 Section 3. Section 4 will be devoted to early validation results. In Section 5, the paper will  
119 summarize how GPM data have been used over the past two years for selected scientific  
120 investigations and societal applications. Material presented herein is primarily from the U.S.  
121 Science Team. Nevertheless, it is important to note that the current and future successes of GPM

122 are joint with our international partners, especially Japan. The paper will close with conclusions  
123 and next steps.

124

## 125 **GPM Core Observatory and Constellation Configuration**

126 An essential activity of the GPM mission is the use of the NASA-JAXA GPM-CO to unify  
127 and inter-calibrate data sets generated by constellation satellite partners and merge these into next-  
128 generation, high temporal resolution global precipitation estimates. Fundamental to the success of  
129 this activity is both the GPM-CO instrumentation and the constellation configuration.

130

### 131 *GPM Core Observatory*

132 The GPM-CO was launched February 28, 2014 at 3:37am JST (February 27, 2014 18:37 UTC)  
133 from Tanegashima Island, Japan. The prime mission lifetime (instrument design life) is 3 years  
134 and 2 months (for checkout) but fuel is projected to last well beyond that, potentially lasting 15 or  
135 more years if the instruments/spacecraft systems (e.g., batteries) do not fail and fuel requirements  
136 do not increase. The GMI and DPR together provide a powerful synergistic tool to assess  
137 precipitation micro- and macro-structure, intensity and phase globally at relatively high (regional)  
138 resolutions. The DPR with Ku-band (35.5 GHz) and Ka-band (13.6 GHz) channels provides three-  
139 dimensional (3D) precipitation (rain and snow) particle structure with vertical resolution of 250m,  
140 a horizontal resolution of ~5 km, and swath width of 125 km (Ka) and 245 km (Ku) (Hou et al.  
141 2014). The DPR was extensively calibrated pre-launch (Kojima et al., 2013) and its performance  
142 meets mission requirements (e.g., Kubota et al. 2015, Kubota et al. 2016, Toyoshima et al. 2015).  
143 (See also the sidebar on GPM's Mission Science Requirements.)

144 The GMI is a 13 channel conically scanning microwave radiometer (see Table 1 and Hou et al.  
145 2014 for details). GMI provides wide-swath (885 km) TB data to estimate surface precipitation at  
146 resolutions ranging from 5-25 km depending on frequency. Design requirements for GMI were  
147 driven both by requirements to build *a priori* databases to support Bayesian microwave  
148 precipitation retrieval algorithms (Kummerow et al. 2010, Kummerow et al. 2015) as well as to  
149 provide a reference radiance calibration standard for the GPM constellation (Hou et al. 2014). The  
150 design features needed to meet the requirements include a shroud over the warm load to eliminate  
151 solar intrusions, a robust reflective antenna coating to minimize emissivity issues, and the addition  
152 of noise diodes for a four point calibration of the window channels (Draper et al. 2013, 2015a,  
153 2015b). The GMI instrument is meeting its performance requirements (Draper et al. 2015) and has  
154 already been deemed one of the best calibrated conically scanning passive microwave radiometers  
155 in space with brightness temperature accuracy for all channels within 0.4K and stability within  
156 0.2K (Wentz and Draper, 2016).

157

### 158 *GPM Constellation Configuration*

159 The GPM mission encompasses the GPM-CO and a constellation of about 10 satellites (as of  
160 mid-2016) from national and international partners of opportunity [see Table 1 and Hou et al. 2014  
161 for details]. These satellites are designed and operated for the partners' missions, but these agencies  
162 are willing to share their data with GPM for the purpose of producing next-generation unified  
163 global precipitation estimates. The constellation satellites bearing passive radiometers fly  
164 independent polar or non-sun-synchronous orbits allowing for multiple coincident overpasses with  
165 the GPM-CO.

166 For the constellation partner data, the first step toward unified precipitation estimates is the  
167 inter-calibration of brightness temperatures (TB) using GMI as the reference standard. This  
168 ensures that the observed TB are consistent among the sensors with expected differences after  
169 accounting for variations in the observing frequencies, bandwidths, polarizations, and view angles  
170 (see Wilheit 2013, Wilheit et al. 2015, Zavadsky et al. 2013, Zhang et al. 2011, 2016 and Table 1).  
171 Figure 3 shows the extent of coverage provided by single 98-minute orbits for each of the various  
172 radiometer types in the GPM constellation.

173 Sensor inter-calibration between GMI and the partner sensors involves several steps, as  
174 described in Wilheit (2013, 2015) and Berg et al. (2016). Multiple independent approaches are  
175 compared during these steps, which help to identify flaws or limitations of a given approach, thus  
176 increasing confidence in the results and providing a measure of the uncertainty in the resulting  
177 calibration adjustments. After adjustments, residual differences between GMI channels and those  
178 on the constellation radiometers are generally smaller than 1 K (Berg et al. 2016). This is a  
179 remarkable achievement that now allows the project to focus on the precipitation products rather  
180 than TB uncertainties.

181 Future satellite inter-calibration tasks include understanding and quantifying the residual  
182 uncertainties in the estimated calibration differences due to the radiative transfer models and  
183 geophysical parameter retrievals and adapting to changes in the radiometer constellation. Updates  
184 in the GMI calibration algorithms and subsequent inter-calibration adjustments to the constellation  
185 sensors will occur during scheduled reprocessing of retrieval products. In addition, inter-  
186 calibrating TRMM's TMI and pre-GPM microwave constellation sensor data to GMI is necessary



187 for generating a consistent long-term next-generation precipitation record that covers the TRMM  
188 and GPM eras.

189

### 190 **Algorithms, Data Products, Data Processing and Data Availability**

191 The GPM-CO data processing is a joint NASA/JAXA effort. NASA data processing is  
192 done at GSFC (Greenbelt, MD) in the Precipitation Processing System (PPS). JAXA data  
193 processing is carried out at the Tsukuba Space Center (Tsukuba, Ibaraki, Japan) in the Mission  
194 Operations System (MOS). The interconnected architecture of this joint mission ground system  
195 can be seen in Figure 4. Working with the GPM principal investigators and science algorithm  
196 developers, PPS maintains the operational science data processing system and ensures the timely  
197 processing of all GPM science instrument data [see Hou et al. 2014 for a table of GPM products].  
198 During routine operations, raw instrument data (Level 0 data) is received in near-real-time by the  
199 PPS and processed using science algorithms to produce calibrated, swath-level instrument (Level  
200 1, L1) data. JAXA's MOC processes DPR Level 1 products and their Level 3 merged satellite  
201 products. Additional algorithms are used to compute geophysical parameters such as precipitation  
202 rate at the swath-level resolution (Level 2, L2 data products). [For reference, a special collection  
203 of papers describing the L2 precipitation algorithms is appearing in the *Journal of Atmospheric  
204 and Oceanic Technology*.] At the final stage of processing, Level 3 (L3) algorithms produce  
205 gridded and accumulated geophysical parameters including products such as latent heating profiles  
206 (e.g., Tao et al. 2016). It is envisioned that Level 4 data products developed through model-  
207 assimilated precipitation forecast and analysis will be available in the future.

208           The GPM mission has both near-real-time (NRT) and research-quality production  
209 requirements. Both NASA and JAXA contribute key processing efforts to fulfill these latency  
210 requirements. The NRT products are produced using forecast or earlier forms of ancillary data.

211           NRT products include GMI TB, and precipitation estimates from GMI (denoted GPROF),  
212 DPR, and Combined Radar-Radiometer Algorithm (denoted CORRA) (Kummerow et al. 2015,  
213 Seto et al. 2015, Grecu et al. 2016). GMI products are available within an hour of data collection  
214 while DPR and CORRA are available within 3 hours of data collection. Another NRT product  
215 developed by the U.S. team is the Integrated Multi-satellitE Retrievals for GPM (IMERG) gridded  
216 retrieval that is a Level 3 NASA product (Huffman et al. 2015). JAXA produces an analogous  
217 product called Global Satellite Mapping of Precipitation (GSMaP) (Kubota et al. 2007, Aonashi  
218 et al. 2009, Ushio et al. 2009). IMERG uses the GPM-CO to inter-calibrate precipitation data from  
219 all constellation radiometers. Temporal and spatial gaps in the IMERG microwave precipitation  
220 estimates (e.g., as shown in Figure 3) are filled by morphing the estimates in between the microwave  
221 overpasses, and incorporating IR estimates with a Kalman filter where the gaps are too long (over  
222 about 3 hours) to produce  $0.1^\circ \times 0.1^\circ$  half-hour global products. The IMERG product is produced  
223 twice in NRT; once approximately 5 hours after data collection and again approximately 14 hours  
224 after data collection.

225           All of the NRT products are also processed as research products. The geolocation of the  
226 research products is more consistent as predictive ephemeris rarely needs to be used. Research  
227 products are produced by PPS when all the required high quality ancillary and geolocation data  
228 are received with the objective for accuracy, completeness, and consistency. These research  
229 products are available hours to months after data collection and are stable for long-term

230 precipitation investigations. PPS generates and distributes all data from the instruments on the core  
231 satellite as well as Level 2 and Level 3 data from the partner constellation satellites. In addition to  
232 the standard HDF5 format files, a Geographic Information System (GIS; TIFF world files) product  
233 and ASCII text files are provided for selected product estimates. All GPM data are openly available  
234 and accessible from <https://pmm.nasa.gov/data-access/downloads/gpm>. JAXA's GPM products in  
235 general can be obtained from <https://www.gportal.jaxa.jp/gp/top.html> while the GSMaP multi-  
236 satellite merged data can be obtained from <http://sharaku.eorc.jaxa.jp/>. GPM data (Level 0-3) are  
237 periodically reprocessed as retrieval algorithms are improved. The at-launch Version 03 IMERG  
238 accumulation products are known to be high biased during heavy rain events and the next IMERG  
239 reprocessing to Version 04 (early 2017) is expected to address these high biases. GPM retrieval  
240 algorithms use the dual frequency channels of DPR and the high frequency channels of GMI and  
241 hence precipitation products from GPM are different than those from TRMM. Nevertheless, there  
242 are plans to reprocess inter-calibrated precipitation data (in winter 2017-2018) to produce a  
243 consistent long-term precipitation record that starts at the beginning of TRMM. GPM is meeting  
244 data latency requirements (as shown in the sidebar), on average, greater than 99% of the time.  
245 Recent PPS statistics show nearly 50 TB data downloaded by more than 1,000 unique users from  
246 all over the world in a single month.

247

## 248 **Validation Efforts**

249 GPM Ground Validation (GV) efforts include the direct statistical validation and verification of  
250 satellite estimates against high-quality ground measurements, and physical validation for  
251 algorithm improvement and hydrological models. Validating data is from both regular ongoing  
252 surface observations and focused field campaigns (Hou et al., 2014; see also

253 <https://pmm.nasa.gov/index.php?q=science/ground-validation>). Major GPM validation efforts are:  
254 (1) Comparisons among satellite precipitation products, (2) comparisons against ground datasets,  
255 and (3) analysis for meeting mission requirements.

256 One evaluation technique compares zonal means among the various GPM instrument  
257 algorithms and established precipitation estimates such as the Global Precipitation Climatology  
258 Project (GPCP) data sets (Adler et al. 2003) and, over ocean, the Merged CloudSat, TRMM, Aqua  
259 version 2 (MCTA2) data (Behrangi et al. 2014). Both GPCP and MCTA2 include a variety of input  
260 data sets selected for utility in precipitation estimation at both low and high latitudes. Figure 5 shows  
261 the global zonal means for 2015 for land and ocean (Figure 5a), ocean only (Figure 5b), and land only  
262 (Figure 5c). This figure illustrates that DPR, Ku, CORRA, and GPROF algorithm retrievals are in  
263 good agreement. The GPM zonal accumulations underestimate with respect to the MCTA at higher  
264 latitudes. This is most attributable to the fact that the DPR minimum detectable reflectivities  
265 correspond to minimum rain rates of approximately  $0.2 \text{ mm h}^{-1}$ . Since much of the higher latitude  
266 precipitation is light, and CORRA and GPROF are based on DPR estimates, GPM is low in the  
267 higher latitudes. A high latitude, light precipitation solution for GPROF is being implemented in  
268 the upcoming algorithm Version 05 release. The mean daily precipitation in  $\text{mm day}^{-1}$  for each of  
269 the algorithms is provided in Table 2. This table shows that IMERG annual precipitation is lower  
270 than the other algorithms while there are interesting differences among the diverse approaches  
271 over land. Land surfaces tend to complicate the retrieval process and the various algorithms use  
272 different approaches to mitigate surface (emissivity and clutter) issues.

273 Direct statistical GV of GPM rainfall rate estimates relies primarily on existing high-  
274 resolution, quality-controlled U.S. national radar network rain rate products such as the NOAA

275 National Severe Storms Laboratory/University of Oklahoma Multi-Radar/Multi-Sensor (MRMS)  
276 products (e.g., Zhang et al., 2016 and references therein). Currently, the MRMS system  
277 (<http://mrms.ou.edu>) incorporates data from all polarimetric WSR-88D radars (NEXRAD), a large  
278 number of automated rain gauge networks, and model analyses in the Continental U.S. (CONUS)  
279 and southern Canada. The system creates a gridded mosaic of quantitative precipitation estimates  
280 (QPE) products on a  $0.01^\circ \times 0.01^\circ$  grid at a 2-minute temporal resolution (Zhang et al. 2016 for  
281 most recent updates). Of particular value to GPM GV are MRMS radar-based gauge-adjusted QPE.  
282 Collectively, these MRMS products provide an independent and consistent reference for directly  
283 evaluating post-launch GPM precipitation products across a large number of meteorological  
284 regimes as a function of resolution, accuracy, and sample size (Kirstetter et al. 2012).

285 For continental scale verification of GPM products over CONUS all MRMS data coincident  
286 with GPM orbits are continuously processed and saved as a GPM GV dataset ([http://wallops-  
287 prf.gsfc.nasa.gov/NMQ/index.html](http://wallops-prf.gsfc.nasa.gov/NMQ/index.html)). In addition to standard MRMS quality control procedures  
288 (see Zhang et al. 2016), additional procedures to minimize radar uncertainties are employed to  
289 derive a high-quality precipitation reference at the satellite product pixel resolution (Kirstetter et  
290 al. 2012). Filtering out instances when the radar-gauge ratios are outside of the range 0.1-10.0  
291 further refines the instantaneous gauge bias-corrected MRMS product. In addition only radar data  
292 with the best measurement conditions (i.e., no beam blockage and radar beam below the melting  
293 layer) defined by a Radar Quality Index (RQI) are retained. Gridded  $0.01^\circ$  MRMS products can  
294 then be matched to allow direct comparisons between the surface radar and satellite precipitation  
295 products (see Figure 6).

296 Independent comparisons of this GPM GV-MRMS reference data set with two dense, well-  
297 maintained, and data quality-controlled NASA rain gauge networks show that for c. 5 km footprint,  
298 30 minute accumulations  $> 0.5 \text{ mm h}^{-1}$ , biases are  $< 10\%$  while normalized mean absolute errors  
299 (NMAE) are  $< 35\text{-}40\%$ . These results are consistent with a quantitative assessment of the MRMS  
300 accuracy performed at its native resolution (Kirstetter et al. 2015b). Individual satellite radar  
301 matches are subsequently averaged to coarser 50 km grids, useful for quick look comparison  
302 products (cf. <http://wallops-prf.gsfc.nasa.gov/NMQ/index.html>) and for verifying GPM Level-1  
303 science requirements (e.g., Figure 7). Here the increased spatial averaging of the footprints together  
304 with removal of outliers (5<sup>th</sup> and 95<sup>th</sup> percentile) maintains low-bias while further reducing random  
305 error in the MRMS data relative to the 5 km footprint scale mentioned above.

306 The GPM-GV MRMS reference dataset and its derivatives have revealed and quantified  
307 several aspects of satellite-estimated rainfall retrieval errors and uncertainties including  
308 comparisons of rainfall detectability and rainfall rate distributions (Kirstetter et al. 2014),  
309 separation of systematic biases and random errors (Kirstetter et al. 2012), regional precipitation  
310 biases (Chen et al. 2013), influence of precipitation sub-pixel variability and surface (Kirstetter et  
311 al. 2015b; Carr et al. 2015), and comparison between satellite products (Kirstetter et al. 2013, 2014;  
312 Tan et al., 2016a, b).

313 Figure 6 provides an example of comparisons to GPM Core satellite products for  
314 instantaneous sampling times (e.g., coincident swath and MRMS sample time) as a density-scatter  
315 plot for individual near surface DPR sensor footprint scales (effective resolution 5 km). Here it is  
316 important to note that the scatter of the data exhibited in Figure 6 is expected based on the  
317 instantaneous nature of the comparison at high spatial resolution (e.g., effective FOV), and the

318 related intrinsic random error associated with matching associated precipitation estimates in time  
319 and space between MRMS and GPM L2 data swaths. Comparisons at this scale are best interpreted  
320 as a tool for evaluating the broader systematic bias behavior between GPM products using the GV  
321 as a third reference.

322 In Figure 6 good agreement between the GV MRMS reference and the near surface DPR-  
323 Normal Scan (NS) algorithm Version 04 is evident with a bias (defined as the mean relative error;  
324 MRE) and normalized mean absolute error (NMAE) of only -9.8% and 51.7%, respectively. The  
325 Normal Scan mode of DPR consists of retrievals using the Ku-band 245 km wide swath data. The  
326 agreement is particularly good for rainrates in the 1.0 – 10.0 mm h<sup>-1</sup> range. Note that the minimum  
327 detectable signal of the DPR (~0.2 mm h<sup>-1</sup>, in terms of rainfall) and partial beam filling are  
328 responsible for scatterplot differences at very low rain rates. Contingency statistics for DPR NS  
329 rain detection reveal that for ground “reference” rain rates > 0.2 mm h<sup>-1</sup> (the lower requirement  
330 threshold specified for DPR rain detection based on radar sensitivity), yield a DPR Probability of  
331 Detection (POD) of 64%, False Alarm Rate of 9%, and Heidke Skill Score (HSS) of 37%.

332 GPM Mission Science Requirements (see sidebar) stipulate thresholds for detection, bias,  
333 and random error (Hou et al., 2013). For example, rain rate estimates should exhibit a bias and  
334 random error of ≤ 50% (25%) at rain rates of 1 mm h<sup>-1</sup> (10 mm h<sup>-1</sup>) for areas of 50 km x 50 km.  
335 Figure 7 is presented for the DPR Normal Scan (NS) product as a preliminary example of assessing  
336 bias and random error. For non-zero raining pixels in Figure 7, the bias in each reference rain bin is  
337 computed as the MRE in percent while for the random error the NMAE is computed with the  
338 systematic error (bias) removed. Figure 7 suggests that the above GPM Mission Science  
339 Requirements have been met for the DPR example shown and the method used. While these results

340 are encouraging, work is ongoing to further test and refine methodologies for determining product-  
341 consistent lower rain rate thresholds for comparing GPM GPROF, CORRA, DPR, and MRMS  
342 datasets and for defining error types and to meet the other GPM Mission Science Requirements.

343

### 344 **Initial Scientific Investigations and Applications**

345         With two years worth of calibrated and validated precipitation estimates, GPM's data are  
346 being used for scientific studies (e.g., Liu and Liu 2016, Wentz and Meissner 2016, Panegrossi et  
347 al. 2016, and Prakash et al. 2016). Most of the science results are from investigations by members  
348 of the NASA Precipitation Measurement Missions science team (in 2016 consisting of 60 Principal  
349 Investigators from NASA centers and U.S. universities funded by NASA Headquarters while the  
350 Japanese PMM Science Team consists of 41 Principal Investigators). NOAA has a team of 16  
351 investigators involved with GPM and more than 20 international no-cost teams also play important  
352 roles in GPM science and validation efforts. Herein, two scientific investigations are reported:  
353 falling snow retrievals and monsoon studies.

354         Scientifically, retrievals of falling snow from space represent an important data set for  
355 understanding the Earth's atmospheric, hydrological, and energy cycles. While satellite-based  
356 remote sensing provides global coverage of falling snow events, the science is relatively new and  
357 retrievals are still undergoing development addressing challenges such as those listed in  
358 Skofronick-Jackson et al. (2015). GPM's mission goal of estimating falling snow is demonstrated  
359 in an example from March 17, 2014, just 18 days after launch (Figure 1c). More generally, the GMI  
360 observed the average snow rate, maximum snow rate, and fraction of precipitation that fell as snow  
361 over the winter of 2014-2015 (Figure 8). While these snow estimates are not fully validated they do  
362 support the requirement that GPM detect falling snow. The high rates over the south-central states



363 may not be representative of typical winter conditions, but may have resulted from the occurrence  
364 of several heavy snow events in mid-late February of 2015 when GMI had good overpasses. Of  
365 particular note for this period were the large snowfall rates along the west coast of Canada and  
366 southern coast of Alaska, where coastal topography may enhance local snowfall rates.

367         Looking elsewhere, the GPM mission can track the advance and retreat of India's annual  
368 monsoon and the tropical storms that impact India's populations. As shown in Figure 9, GPM  
369 observes the detailed structure of the copious monsoon precipitation as it marches from south to  
370 north across India over the seasons, with Tropical Cyclone Hudhud (Oct 2014) on the left and  
371 Storm Roamu (May 2016) on the far right of the timeline. Figure 9 shows the advance of the  
372 monsoon season from offshore in May to inland by July, and the retreat back to the Bay of Bengal  
373 from September to November over two years of GPM data. Over longer precipitation records,  
374 interannual variations due to the effect of large-scale oceanic or atmospheric patterns or to climate  
375 change may be identified, information that is crucial for societal applications and benefit.

376         Integrating satellite observations into land surface modeling systems is a critical  
377 component of how to resolve the state of the water cycle and stresses on the system during extreme  
378 events. The NASA Land Information System (LIS; Kumar et al. 2006, Peters-Lidard et al. 2007)  
379 runs operationally at the Short-term Prediction Research and Transition (SPoRT, 2016) Center  
380 (Jedlovec 2013, Zavadsky et al. 2013, Case et al. 2016) at NASA's Marshall Space Flight Center  
381 (Xia et al. 2012, Zhang et al. 2016, Vargas et al. 2015) to produce analyses and short term forecasts  
382 of soil moisture and other fields. LIS is a land surface modeling and data assimilation framework  
383 designed to integrate satellite observations, including GPM and the Soil Moisture Active Passive  
384 (SMAP) satellite data (Entekhabi et al., 2010) into the modeling infrastructure

385 (<http://lis.gsfc.nasa.gov/>). The integration of GPM data within LIS, run operationally at SPoRT,  
386 can capture soil moisture changes. For example, LIS identified an extreme soil moisture increase  
387 the first week of October 2015 when a closed upper low over the Southeastern U.S. combined with  
388 a deep tropical moisture plume associated with Hurricane Joaquin, led to historic rainfall over the  
389 Carolinas. The SPoRT Center provided model outputs from LIS to Eastern Region NWS forecast  
390 offices in near-real-time. In other cases, these data are also used by a variety of end users  
391 experimentally for assessing drought, flooding potential, and situational awareness for wildfire  
392 and blowing dust. There is great potential in the future for using GPM estimates together with  
393 other space-based soil-moisture measurements from SMAP to improve weather and hydrological  
394 prediction.

395         The GPM suite of products contributes to a wide range of societal applications such as:  
396 tropical cyclone location and intensity, famine early warning, drought monitoring, water resource  
397 management, agriculture, numerical weather prediction, land system modeling, global climate  
398 modeling, disease tracking, economic studies, and animal migration; many of which were initially  
399 developed with TRMM data. Many of these applications require near-real-time data as well as  
400 longer-term, well-calibrated precipitation information. IMERG is starting to be used as an input  
401 for forecasts in other regions of the world, especially areas lacking adequate ground-based  
402 coverage. Selected applications are reported in Kirschbaum et al. (2016), Ward et al. (2015),  
403 Kucera et al. (2013), and Kirschbaum and Patel (2016).

404

## 405 **Conclusions and Next Steps**

406 The Global Precipitation Measurement mission provides unprecedented and highly useful  
407 global precipitation datasets. GPM's Core Observatory data are used to inter-calibrate a set of  
408 precipitation observations from constellation partner sensors. By merging GPM multi-satellite  
409 estimates with other IR satellite data, products with temporal resolutions down to 30 minutes and  
410 spatial resolutions as small as  $0.1^\circ$  by  $0.1^\circ$  are possible. Latencies, at 1-5 hours (depending on the  
411 product) after data collection, are vital for GPM's operational users. Research quality products  
412 (with accuracy requirements as indicated in the Sidebar GPM's Mission Science Requirements)  
413 are available later (12 hrs to several months) for intensive scientific studies ranging from  
414 diagnosing microphysical precipitation particle characteristics to assessing regional and global  
415 patterns of precipitation. The GPM mission provides indispensable precipitation data from micro  
416 to local to global scales via retrieved precipitation particle size distributions inside clouds, 5-15  
417 km resolution estimates of regional precipitation, and merged global precipitation.

418 GPM's algorithms have been updated several times (currently on Version 04) with an  
419 additional update planned for 2017. After the release of Version 05, work will begin to reprocess  
420 Level 0-3 products back to the beginning of TRMM (1998) and also for partner satellite data sets  
421 to establish a long and consistent record of precipitation. Scientific studies and societal  
422 applications using GPM data are ongoing and growing rapidly. Knowing the horizontal and  
423 vertical structure of precipitation is important for improving weather forecasting and climate  
424 change models. The planned processing of a consistent precipitation record encompassing the  
425 TRMM and GPM era will be of high value to future generations of scientific studies and user  
426 applications. The consistent TRMM-plus-GPM record will generate interesting scientific insights  
427 and re-invigorate applications in hydrological/land surface modeling and numerical weather

428 prediction. Going forward in time, GPM's prime mission lifetime lasts until May 2017 at which  
429 time GPM will move into Extended Operations. Current predictions suggest that the station-  
430 keeping fuel will last 15 or more years, implying that instruments or spacecraft systems (like the  
431 batteries) will likely be the life-limiting factors as long as the fuel requirements do not increase.

432 In quantifying precipitation, a key Earth system component, the GPM mission provides  
433 fundamental knowledge of the water cycle and compliments other NASA satellite missions such  
434 as the Gravity Recovery and Climate Experiment (GRACE), that measures changes in  
435 groundwater levels in underground aquifers (among other observations) (Tapley et al. 2004); the  
436 Soil Moisture Active Passive (SMAP) satellite (Entekhabi et al. 2010); Aquarius (while it was  
437 operating), that observed ocean salinity (Le Vine et al. 2010); and CloudSat, which measures the  
438 properties of clouds and light precipitation (Stephens et al. 2002). Integrated multidisciplinary  
439 scientific investigations can provide greater understanding of our complex Earth system. GPM has  
440 and will continue to provide valuable and freely accessible precipitation data for science and  
441 society.

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444

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455 **Sidebar 1: GPM's Mission Science Requirements**

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456 Prior to the GPM's launch in 2014, NASA formally documented Core Observatory requirements  
457 to be met within GPM's 3-year Prime Mission operations period in order for GPM to be deemed  
458 fully successful. Several of these requirements dealt with instrument performance or operational  
459 elements (e.g., orbit maintained to within  $\pm 1$  km of operational orbital attitude) and will not be  
460 discussed here. Most of the requirements pertained to scientific accuracy and science data and are  
461 key to ensuring stable and validated precipitation products expected by both scientific investigators  
462 and application users. Specifically, these science requirements are:

- 463 • Measurements of the same geophysical scenes using both active and passive technique  
464 from 65°N to 65°S latitude with mean sampling time of 24 hours
- 465 • Using the DPR:
  - 466 – Quantify rain rates between 0.22 and 110.00 mm h<sup>-1</sup>
  - 467 – Detect snowfall at an effective resolution of 5 km
- 468 • Using the GMI
  - 469 – Quantify rain rates between 0.2 and 60.0 mm h<sup>-1</sup>
  - 470 – Detect snowfall at an effective resolution of 15 km
- 471 • Estimate precipitation particle size distribution (e.g., quantitative estimates of precipitation  
472 microphysical properties such as the mean median mass diameter of particle size  
473 distribution to within  $\pm 0.5$  mm.)

- 474 • Provide calibrated ground-based precipitation measurements and associated error  
475 characterizations at 50 km horizontal resolution for comparison with space-based radar and  
476 radiometer measurements at designated ground validation sites within ground tracks of the  
477 GPM Core Observatory.
- 478 – The biases in instantaneous rain rates between the ground-based and space-based  
479 estimates should not exceed 50% at 1 mm h<sup>-1</sup> or 25% at 10 mm h<sup>-1</sup>
  - 480 – The random errors between the ground-based and space-based estimates should not  
481 exceed 50% at 1 mm h<sup>-1</sup> or 25% at 10 mm h<sup>-1</sup>.
- 482 • In order to provide data in near-real-time for hurricane monitoring, numerical weather  
483 prediction, hydrological model forecast and other application and operational uses:
- 484 – Combined radar/radiometer swath products will be available within 3 hours of  
485 observation time, 90% of the time, and
  - 486 – Radiometer precipitation products will be available within 1 hour of observation  
487 time, 90% of the time.

488 At the time of the writing of this article all science requirements have been shown to have been  
489 met but have not been documented in the literature. Several papers are being prepared on proving  
490 these requirements and will be included in the AMS Special Collection of GPM Publications.

491

## Acronym List

492		
493	AMSR2	Advanced Microwave Scanning Radiometer for Earth Observing System 2
494	ASCII	American Standard Code for Information Interchange
495	ATMS	Advanced Technology Microwave Sounder
496	CNES	Centre National d'Etudes Spatiales
497	ISRO	Indian Space Research Organisation
498	CONUS	Continental US
499	CORRA	Combined Radar-Radiometer Algorithm
500	dBZ	decibel relative to Z
501	DMSP	Defense Meteorological Satellite Program
502	DPR	Dual-frequency Precipitation Radar
503	EUMESAT	European Union Meteorological Satellites
504	FOV	Field of View
505	4D	Four-dimensional
506	GCOM-W1	Global Change Observation Mission - Water
507	GCPEX	Global Precipitation Measurement Cold Season Precipitation Experiment
508	GIS	Geographic Information System
509	GHz	Gigahertz
510	GMI	GPM Microwave Imager
511	GPCP	Global Precipitation Climatology Project
512	GPM	Global Precipitation Measurement
513	GPM-CO	Global Precipitation Measurement Core Observatory

514 GPROF Goddard Profiling Algorithm  
515 GRACE Gravity Recovery and Climate Experiment  
516 GSFC Goddard Space Flight Center  
517 GSMaP Global Satellite Mapping of Precipitation  
518 GV Ground Validation  
519 HDF5 Hierarchical Data Format  
520 IMERG Integrated Multi-satellitE Retrievals for GPM  
521 IR Infrared  
522 JAXA Japan Aerospace Exploration Agency  
523 JPSS1 Joint Polar Satellite System-1  
524 JST Japan Standard Time  
525 LIS Land Information System  
526 MAE Mean Absolute Error  
527 MHS Microwave Humidity Sounder  
528 MHz Megahertz  
529 MOS Mission Operations System  
530 MRE Mean Relative Error  
531 MRMS Multi-Radar/Multi-Sensor  
532 MSFC Marshall Space Flight Center  
533 NASA National Aeronautics and Space Administration  
534 NEDT Noise Equivalent Delta Temperature  
535 NEXRAD Next-Generation Radar



536 NOAA National Oceanic and Atmospheric Administration  
537 NPP NASA Postdoctoral Program  
538 NRT Near-Real-Time  
539 NS Normal Scan  
540 NWS National Weather Service  
541 PMM Precipitation Measurement Missions  
542 PPS Precipitation Processing System  
543 QPE Quantitative Precipitation Estimates  
544 SAPHIR Sounder for Probing Vertical Profiles of Humidity  
545 SMAP Soil Moisture Active Passive  
546 SPoRT Short-term Prediction Research and Transition  
547 SSMIS Special Sensor Microwave Imager/Sounder  
548 TB Brightness Temperature  
549 TIFF Tagged Image File Format  
550 TMI TRMM Microwave Imager  
551 TRMM Tropical Rainfall Measuring Mission  
552 3D Three-Dimensional  
553 U.S. United States  
554 UTC Coordinated Universal Time  
555 WMO World Meteorological Organization  
556 WSR-88D Weather Surveillance Radar 88 Doppler  
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766 Table 1: Channel availability by frequency and polarization (V=Vertically polarized,  
767 H=Horizontally polarized) for the GPM constellation radiometers. GMI, TMI, AMSR2, and  
768 SSMIS are all conically scanning imagers while MHS, ATMS, and SAPHIR are cross-track  
769 scanning water vapor sounders. ATMS is currently operating on board Suomi NPP with a second  
770 copy to launch on board JPSS1 in mid 2017.

Sensor	Satellite	6-7 GHz	10 GHz	18-19 GHz	21-23 GHz	31-37 GHz	85-92 GHz	150-166 GHz	183 GHz
<b>GMI</b>	GPM		10.65 VH	18.7 VH	23.8 V	36.64 VH	89.0 VH	166 VH	183.31 V $\pm 3$ , $\pm 7$
<b>TMI</b>	TRMM		10.65 VH	19.35 VH	21.3 V	37.0 VH	85.5 VH		
<b>AMSR2</b>	GCOM-W1	6.925 VH 7.3 VH	10.65 VH	18.7 VH	23.8 VH	36.5 VH	89.0 VH		
<b>SSMIS</b>	DMSP F16, F17, F18, F19			19.35 VH	22.235 V	37.0 VH	91.655 VH	150 H	183.31 H $\pm 1$ , $\pm 3$ , $\pm 6.6$
<b>MHS</b>	NOAA-18/19, MetOp-A/B						89 V	157 V	183.31 H $\pm 1$ , $\pm 3$ , 190.31V
<b>ATMS</b>	Suomi NPP, JPSS1				23.8 V	31.4 V	88.2 V	165.5 H	183.31 H $\pm 1$ , $\pm 1.8$ , $\pm 3$ , $\pm 4.5$ , $\pm 7$
<b>SAPHIR</b>	Megha- Tropiques								183.31 H $\pm 0.2$ , $\pm 1.1$ , $\pm 2.8$ , $\pm 4.2$ , $\pm 6.8$ , $\pm 11$

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775 Table 2: Area weighted mean annual precipitation in mm day<sup>-1</sup> for each of the algorithms  
 776 globally, over land, and over ocean from +/- 50 degrees latitude.

	<b>Global Mean Daily Precipitation</b>	<b>Oceanic Mean Daily Precipitation</b>	<b>Land Mean Daily Precipitation</b>
<b>DPR</b>	2.51	2.77	1.72
<b>GPROF</b>	2.86	2.99	2.36
<b>Ku</b>	2.81	3.03	2.05
<b>CORRA</b>	2.83	2.85	2.77
<b>IMERG</b>	2.48	2.44	2.39
<b>GPCP</b>	2.95	3.15	2.43
<b>GSMaP</b>	2.74	2.83	2.12

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779 Figure 1: GPM-CO GMI composite brightness temperatures and example precipitation event  
780 cases. Center panel: composite 89 GHz brightness temperatures averaged over 24 months  
781 showing the latitudinal extent of the GPM-CO measurements. Example precipitation cases (a) A  
782 North Pacific frontal system from GMI, (b) Severe storms in Texas from GMI, (c) winter storm  
783 over the Eastern U.S. as observed in 3D from the DPR, (d) North Atlantic winter storm from  
784 GMI, (e) Typhoon Fantala as observed in 3D from the DPR, (f) Typhoons Chan-Hom and  
785 Nangka in two successive orbits from GMI, (g) a South Pacific frontal system from GMI, (h) a  
786 South Atlantic frontal system from GMI, (i) a line of convection in Africa in 3D from the DPR,  
787 (j-k) Sumatra land/sea convection day and night from GMI, and (l) an Australian weather system  
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789 Figure 2: Integrated Multi-satellitE Retrievals for GPM (IMERG) accumulated precipitation  
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792 also shows a major storm over the North Sea near Europe, the origins of Hurricane Gonzalo on  
793 the western coast of Africa, and a deep tropical depression that produced floods across northern  
794 India. IMERG gridded products are produced every 30 minutes with  $0.1^\circ \times 0.1^\circ$  grid boxes,  
795 currently covering the latitude band  $60^\circ\text{N-S}$ .

796 Figure 3: Precipitation estimates are shown for a single orbit of each of the GPM constellation  
797 radiometer types for January 1, 2015. The conically-scanning window-channel radiometers are  
798 shown on the left and the cross-track scanning water vapor sounding radiometers are shown on  
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800 and GPM satellites, b) ATMS on board NOAA's Suomi NPP satellite, c) AMSR2 on board

801 JAXA's GCOM-W1 satellite, d) SAPHIR on board the CNES-ISRO Megha-Tropiques satellite,  
802 e) SSMIS on board the DMSP F16, F17, F18 and F19 satellites, and f) MHS on board the  
803 NOAA-18, NOAA-19, and EUMETSAT MetOp-A and Metop-B satellites.

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805 Figure 4: GPM mission operations data and communication system. GPM-CO satellite data are  
806 downlinked in near-real-time via the NASA Tracking and Data Relay Satellite System (TDRSS)  
807 to White Sands, New Mexico, where the GPM Mission Operations Center retrieves it, ensures its  
808 integrity and passes it to PPS. Partner data, ancillary information and validation measurements  
809 are also processed by mission operations.

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811 Figure 5: Zonal precipitation averages (in  $\text{mm day}^{-1}$ ) for the full annual cycle in 2015. The five  
812 estimates are: GPM DPR (dual-frequency radar in red), GPM GPROF (GMI passive radiometer  
813 in blue), GPM Ku (single-frequency radar in green), GPM CORRA (DPR+GMI in orange),  
814 IMERG (GPM merged with constellation estimates in purple), GPCP global estimates (in light  
815 blue), and MCTA2 estimates over ocean (in black, covering the years 2007-2010). The GPCP is  
816 Version 2.3, MCTA is Version 2, IMERG is Version 03, and the other GPM products are  
817 Version 04.

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819 Figure 6: Density scatterplot of DPR-Normal Scan V04 versus reference MRMS precipitation  
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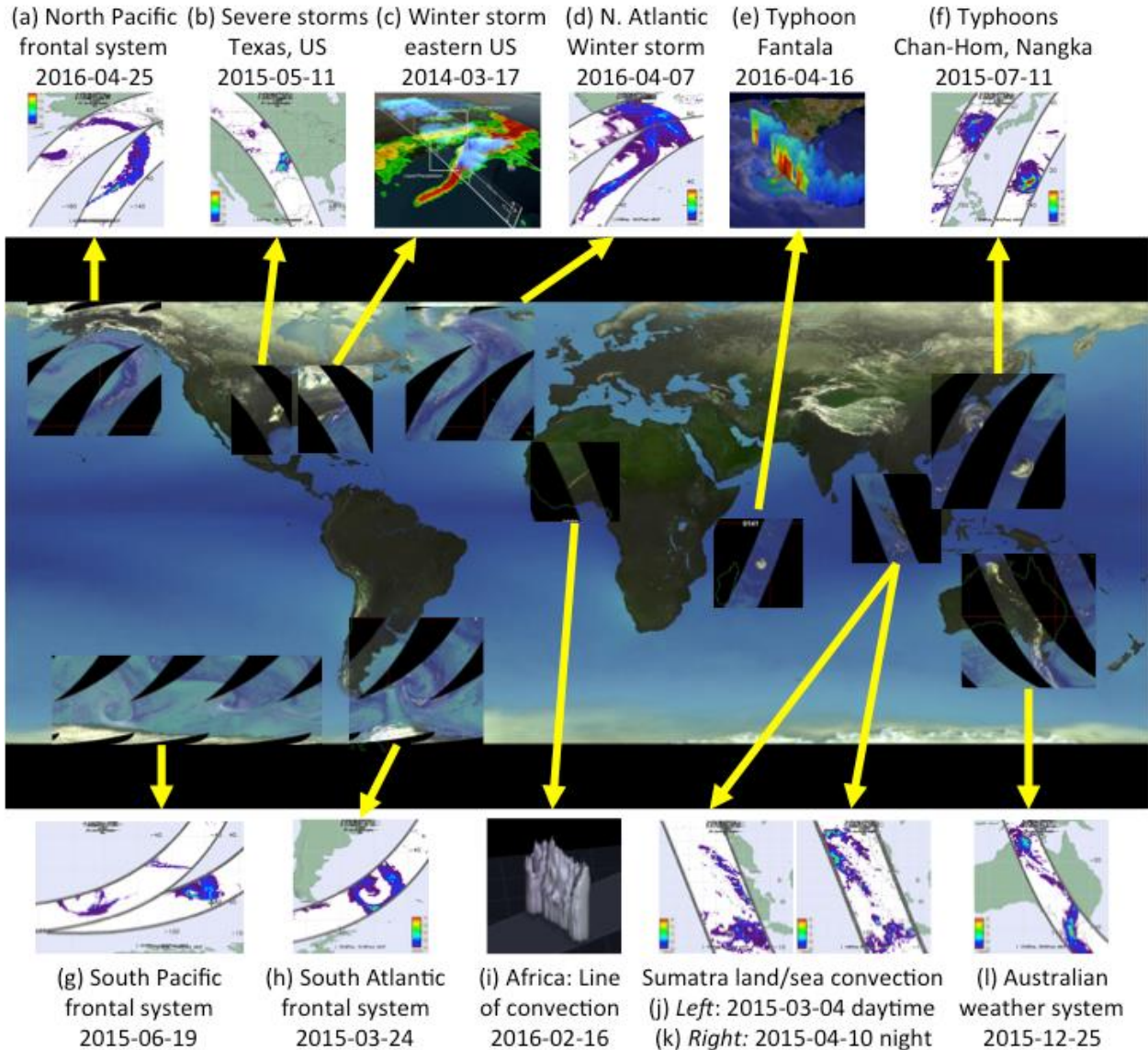
825 Figure 7: Conditional DPR V04 bias (MRE; solid black line) and random error (mean absolute  
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829 comparison. The dashed red lines indicate the GPM Mission Science Requirements 50% (25%)  
830 at the specified precipitation rates of 1.0 (10.0)  $\text{mm h}^{-1}$ .

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832 Figure 8: The (a) average and (b) maximum liquid equivalent snowfall rates, and (c) fraction of  
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834 February 2015 from the GMI GPROF (Version 04) retrieval algorithm.

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836 Figure 9: GPM depicts characteristics of India's monsoon seasons in 2014 and 2015. The time-  
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842 panel is along the mid-line of the rectangle, and the averages are taken along the perpendiculars  
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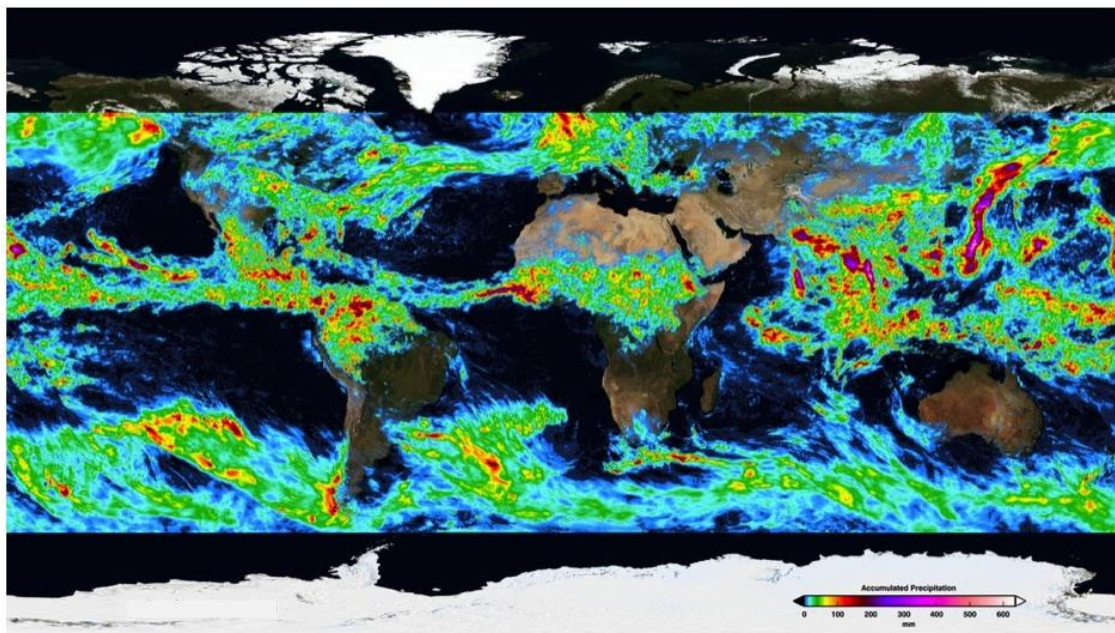
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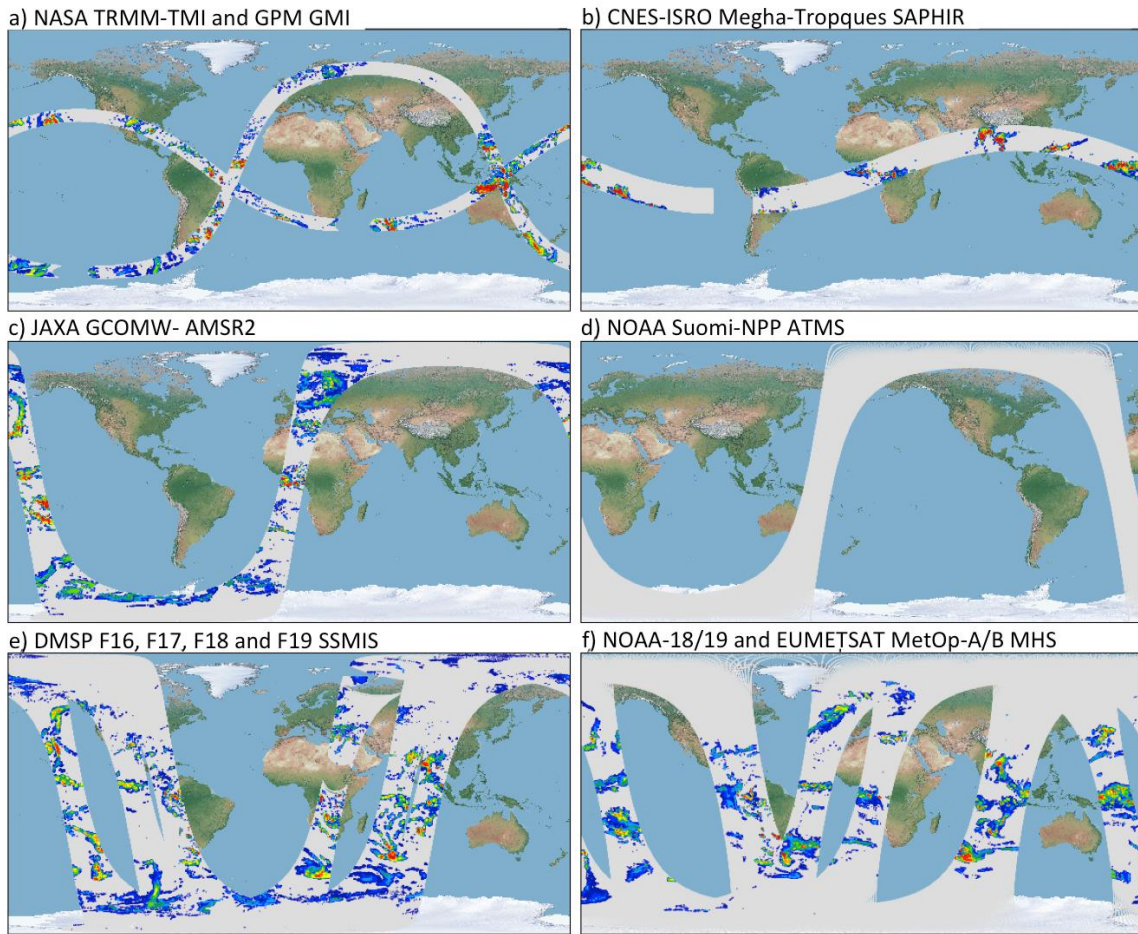
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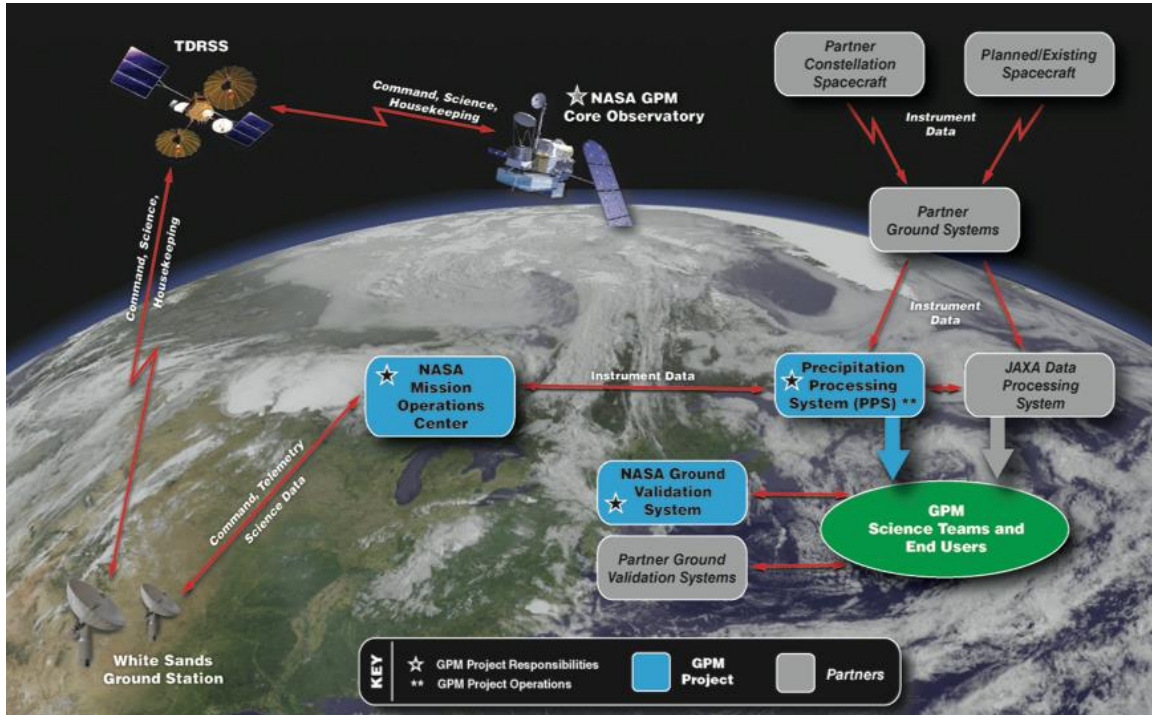
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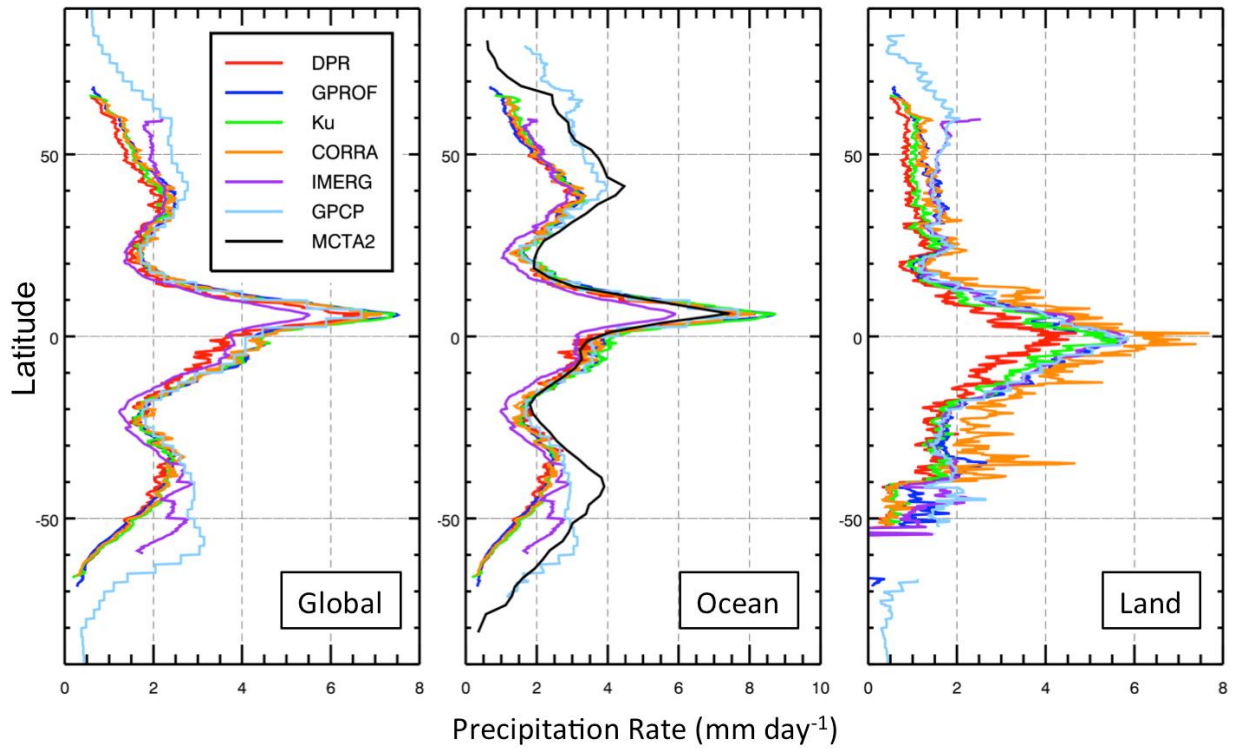


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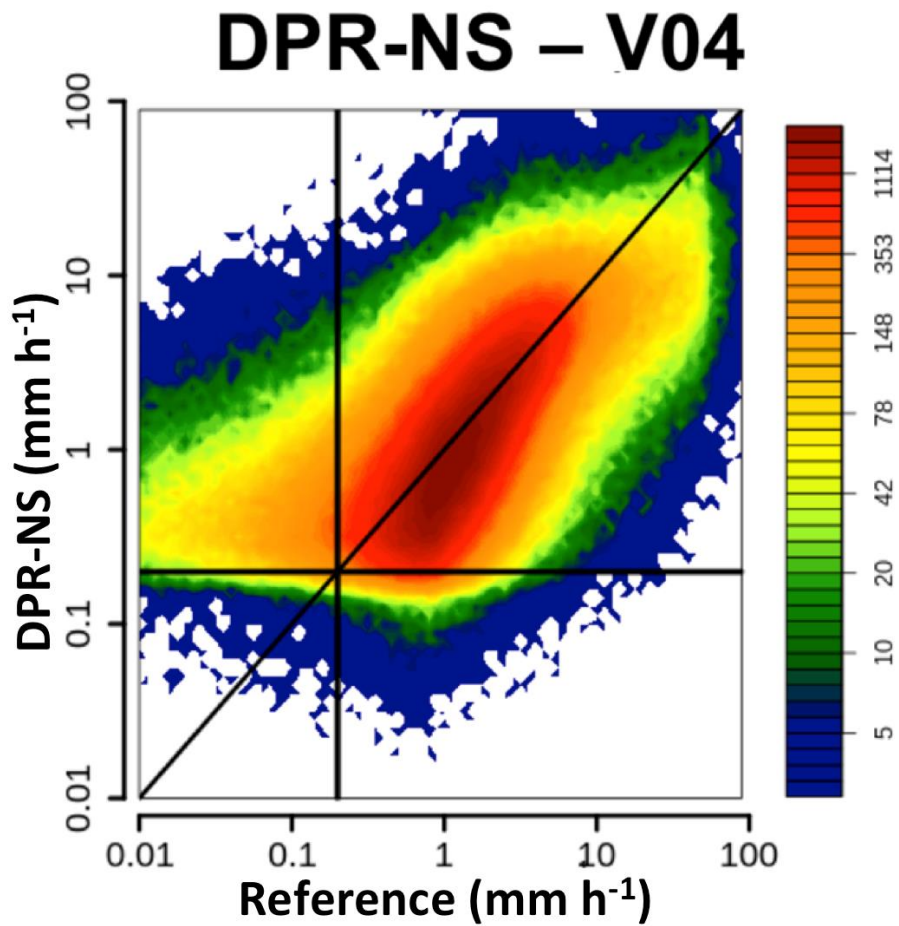
### Zonal Mean Annual Accumulations



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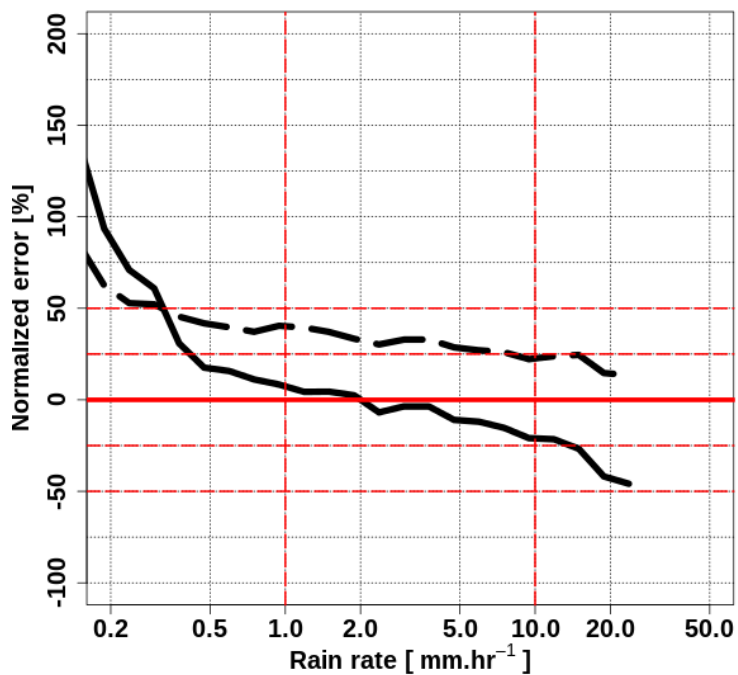
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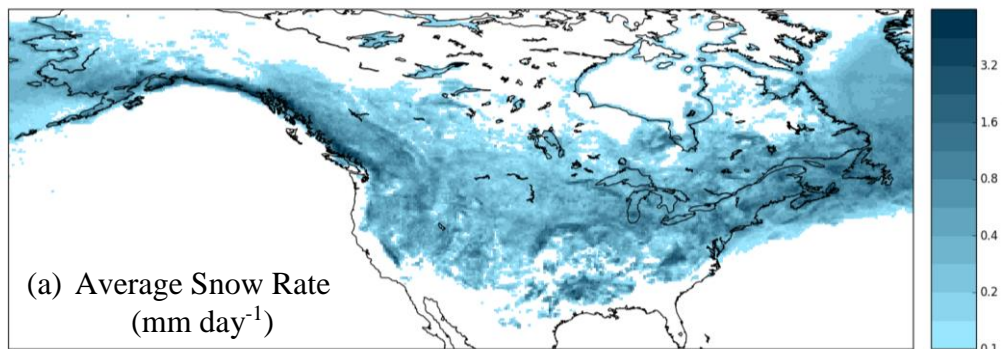
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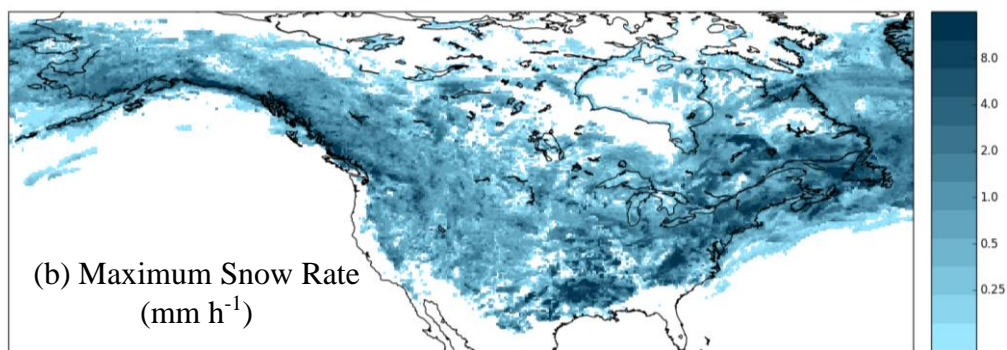
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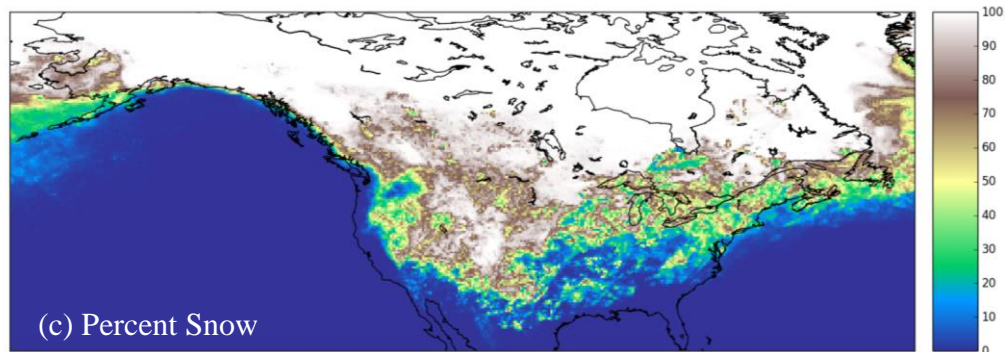
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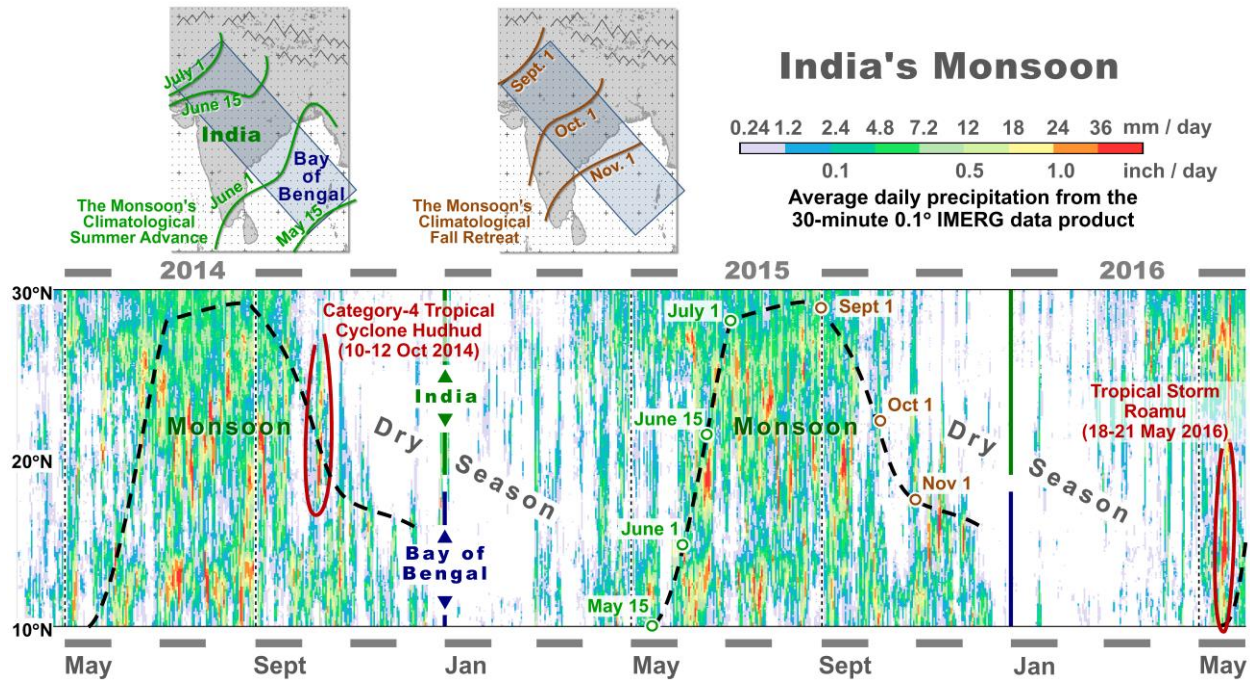
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