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# On Benchmarking Quantum Heuristics

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- Quantum speedup:
  - Any possible classical algorithms — Proven speedup, Shor, Grover
  - State-of-art classical algorithms
  - A general-purpose classical algorithm — E.g., SA, QMC

[Rønnow, Wang, Job et. al., *Defining and detecting quantum speedup*, Science 2014]

- A different type of algorithms: quantum heuristics
  - Quantum annealing (QA) suitable for NISQ device
  - Variational quantum eigen solver (VQE)
  - Quantum approximate optimization algorithm (QAOA)

Purpose: No guaranteed speedup as a general algorithm

Approximate optimization

Exact optimization

Sampling: specific distribution, fair sampling

Universal QC Universality proven through demonstrating ability to generate universal basis sets.

[Lloyd, *Quantum approximate optimization is computationally universal*, arXiv:1812.11075]

## Aspects

- Purpose:
  - Approximate optimization
  - Exact optimization
  - Sampling: specific distribution, fair sampling
  - Universal QC
- Metrics:
  - approximation ratio
  - prob-to-exact-solution,
  - fairness, distance between distributions
  - quantum complexity
- **Scaling with  $n$** 
  - Additional Metrics for NISQ
    - Circuit depth
      - All-to-all connectivity of physical qubits
      - Easier to scale connectivity (2D grid, Google bristlecone, Rigetti, IBM)
      - Logical quantum circuit to physical circuit: Circuit Compilation (gate scheduling)
      - Choice of basis gate sets
    - Robustness
      - Variational nature will tolerate certain errors.
      - More actively sought fault-tolerance?
    - Classical parameter setting
      - Analytical methods: deterministic parameters
      - How to update parameter values (gradient based, statistical optimization)
      - Quantum control landscape: local minima; barren plateau
    - Benchmarking problem set
      - Typical vs worst-case
      - Small and hard

## Illustrating using QAOA for graph coloring

- — Choice of Phase-Separator and Mixer
  - Use cost function (standard)
  - Specially designed: 1D chain with parity-dependent parameters (universal QC)
- — Choice of initial states
- — Measurement: What can we infer from the expected value / average performance?
  - Circuit depth
    - All-to-all connectivity of physical qubits
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One leading Candidate of quantum heuristics:  
**Q**uantum **A**pproximate **O**ptimization **A**lgorithms (QAOA) →  
**Q**uantum **A**lternating **O**perator **A**nsatz

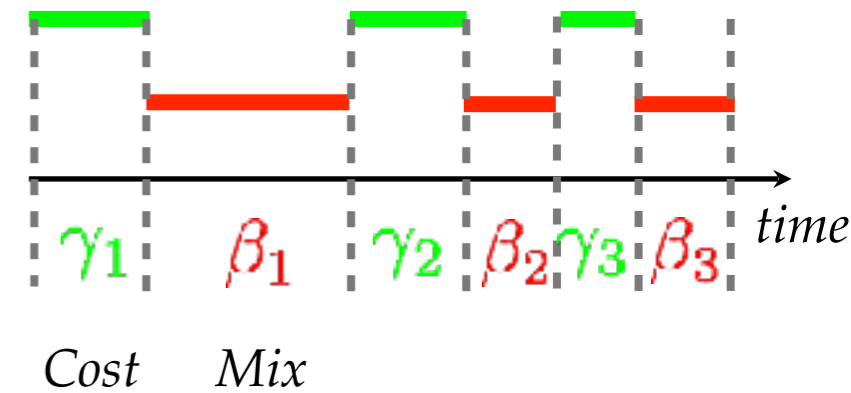
- One-line summary of the algorithm

$$U = e^{-i\beta_p H_M} e^{-i\gamma_p H_C} \dots e^{-i\beta_2 H_M} e^{-i\gamma_1 H_C}$$

[Farhi, Goldstone, and Gutmann, arXiv:1411.4028]

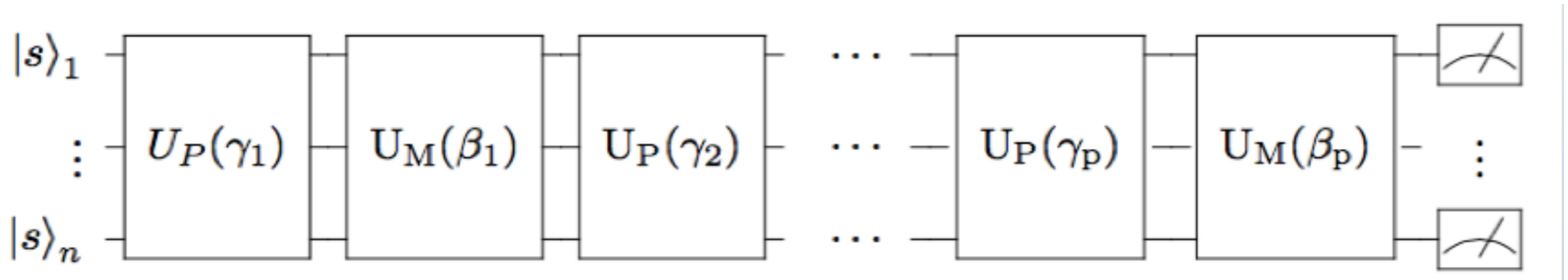
$$H_C = \sum_{j,j',\dots} (C_j \sigma_j^z + C_{j,j'} \sigma_j^z \sigma_{j'}^z + C_{j,j',j''} \sigma_j^z \sigma_{j'}^z \sigma_{j''}^z, + \dots)$$

$$H_M = \sum_j \sigma_j^x$$



Approach: QAOA<sub>p</sub> circuit:

- ▶ Prepare initial state
- ▶ Loop  $p$  times, and on iteration  $i$  apply Hamiltonians
  - ▶ (Phase separation) Cost-function-based  $H_C$  diag. in Z basis, for time  $\gamma_i$
  - ▶ (Mixing) Hamiltonian  $H_M$ , for time  $\beta_i$
- ▶ Measure in computational basis



## Choice of Mixer: QAOA for constrained optimization

- How are constrained problems approached?

Encode the constraints as **penalty** in the cost function. — Lagrange multipliers

Commonly practiced in quantum annealing.

**Alternative:** Use a mixer/driver that contains the quantum evolution in the subspace that satisfies the constraints.

Original motivation: Alleviate embedding burden [Hen & Spedalieri, 2016]

Another Advantage: Smaller search space!

We extend this idea to QAOA, formulate such mixers for a number of problems

**Concept:** [Hadfield, Wang, O'Gorman, Rieffel, Venturelli, Biswas, arXiv 1709.03489];

and **Performance & Circuit:** [Wang, Rubin, Dominy, Rieffel, arXiv:1904.09314]

study the performance of such alternate mixers

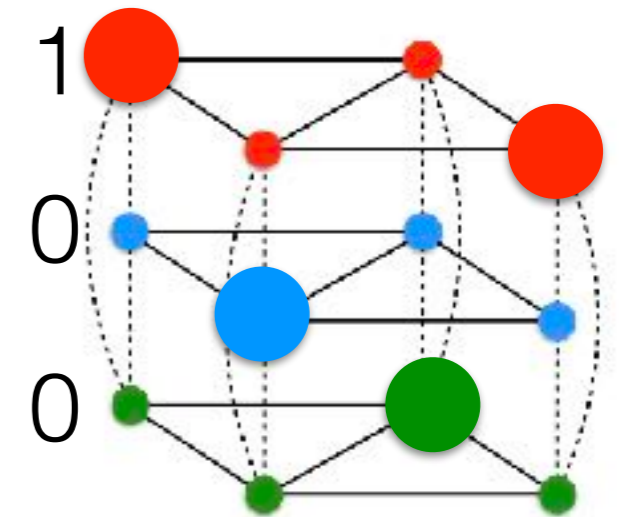
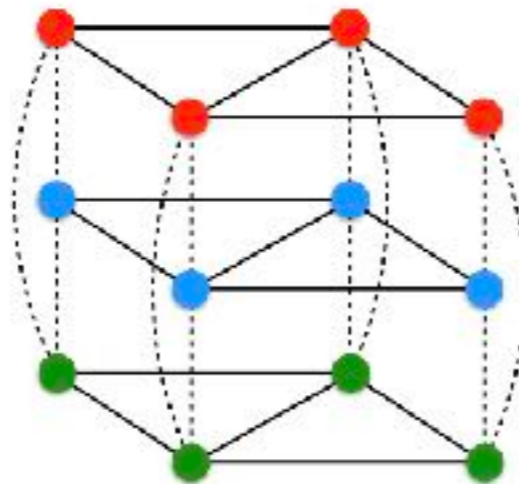
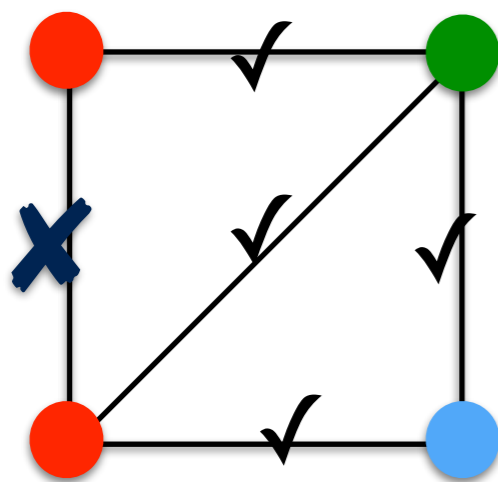
- Goal: Assign colors to vertices to maximize properly-colored edges (connecting two vertices of different color)

### Encoding:

Binary:  $x_{v,c} = 1$  whether vertex  $v$  is assigned color- $c$

### Constraints:

Each vertex should have exactly one color:  $\sum_{c=1}^k x_{v,c} = 1 \iff \sum_{c=1}^k \sigma_{v,c}^z = k - 2$





**Constraints:**

Each vertex should have exactly one color:

$$\sum_{c=1}^k x_{v,c} = 1 \iff \sum_{c=1}^k \sigma_{v,c}^z = k - 2$$

**Implemented as penalty in cost:**

$$H_{\text{penalty}} = \left[ \sum_{c=1}^k \sigma_{v,c}^z - (k - 2) \right]^2$$

**Or**

**Stay in the feasible subspace:**  $\sum_{c=1}^k \sigma_{v,c}^z = k - 2$

XY-model

$$|\uparrow\downarrow\rangle\langle\downarrow\uparrow| + |\downarrow\uparrow\rangle\langle\uparrow\downarrow|$$

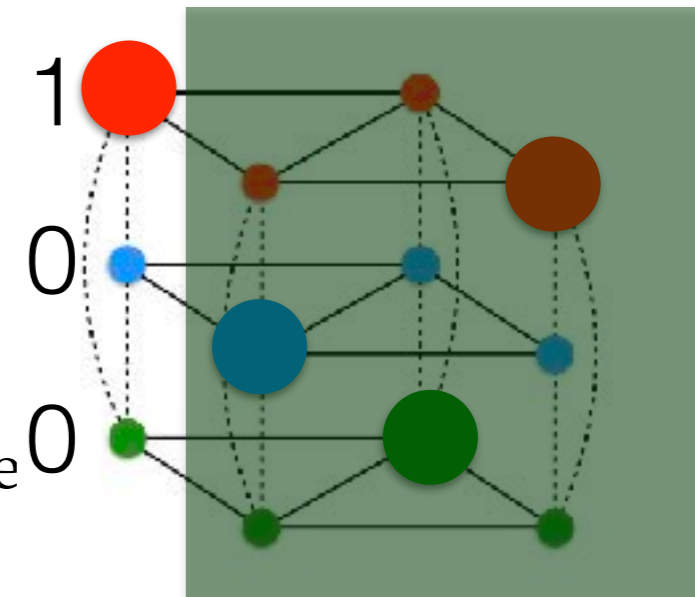
$$\propto \sigma_{v,c}^x \sigma_{v,c}^x + \sigma_{v,c}^y \sigma_{v,c}^y$$

Advantage:

Smaller search space: evolution contained in feasible subspace

Closer to hardware:

**XY interaction (or iswap-gate) can naturally happen on certain solid-state QC candidate systems**



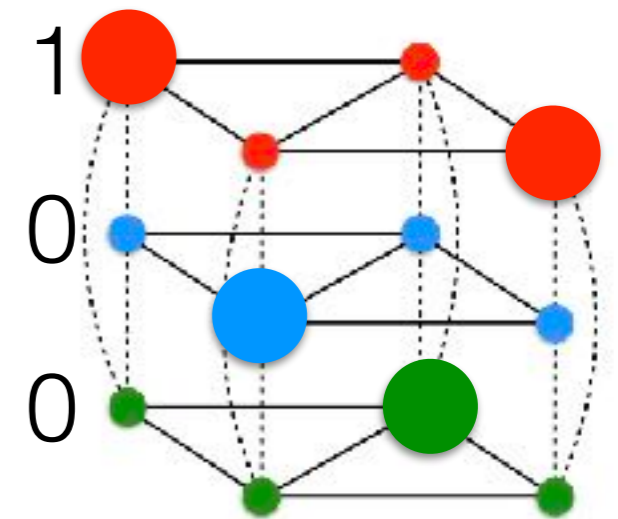


## Cost function

$$f_C = m - \sum_{c=1}^k \sum_{\{v,v'\} \in E} \mathcal{I}_{v,c} \mathcal{I}_{v',c}$$

## Cost Hamiltonian

$$H_C = \sum_{c=1}^k \sum_{\{v,v'\} \in E} \sigma_{v,c}^z \sigma_{v',c}^z$$



# Choice of “Cost Hamiltonian”: Role of energy in QAOA?

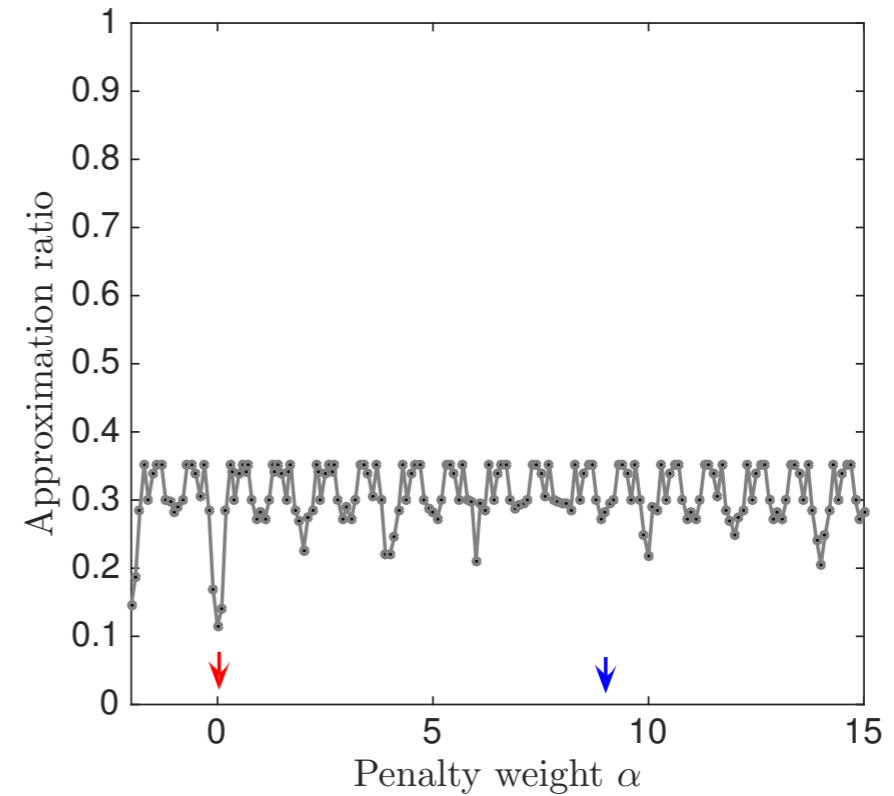
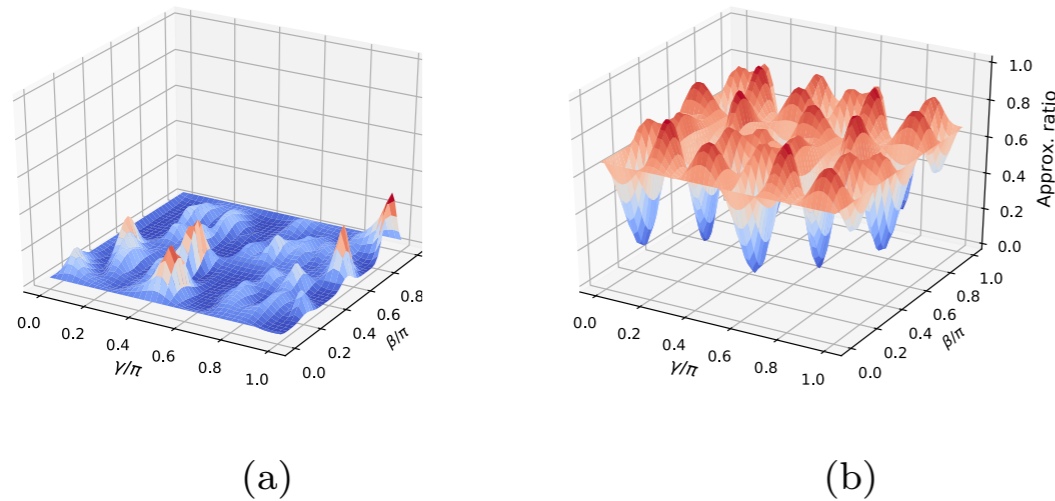
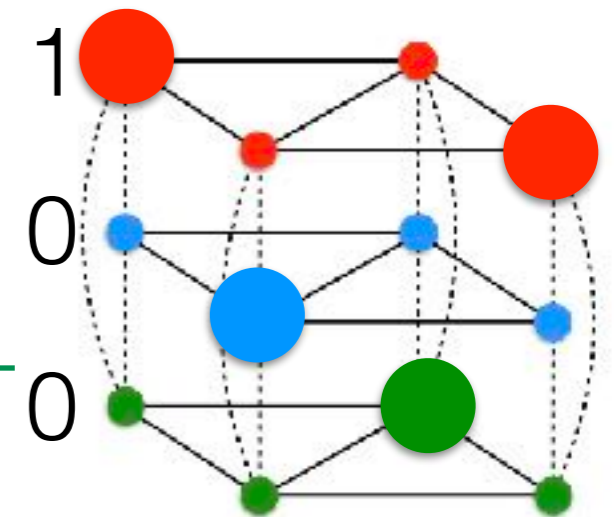


Figure 3. Numerical results for level 1 *QAOA* on the problem of 3-coloring of a triangle graph. (a) using *X* mixer along with phase-separating Hamiltonian, Eq. (8) where the penalty weight is taken to be the numerically determined optimal value  $\alpha^* = 1.7$ . (b) using the *XY* mixer with *W*-state being the initial state.



- Size of search space
 

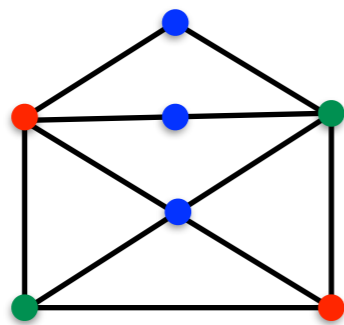
Penalty + X-mixer	Full Hilbert space	$2^{nk}$
XY mixer	Feasible subspace	$k^n$
Ratio: $\left(\frac{k}{2^k}\right)^n$	The feasible space <b>shrinks exponentially</b> with $n$ .	

# Bench marking problem sets: What graphs to color on NISQ era hardware?

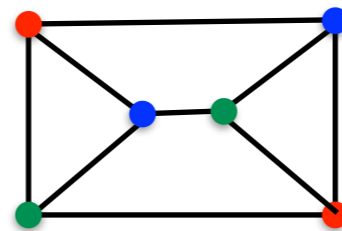
## Small & Hard graphs

- For a classical algorithm, there is a concept of  
**smallest slightly-hard-to-color graph**: *applying the algorithm will **sometimes** yield the optimal solution*  
 &  
**smallest hard-to-color graph**: *applying the algorithm **never** yields the optimal solution*

- Examples



Envelope



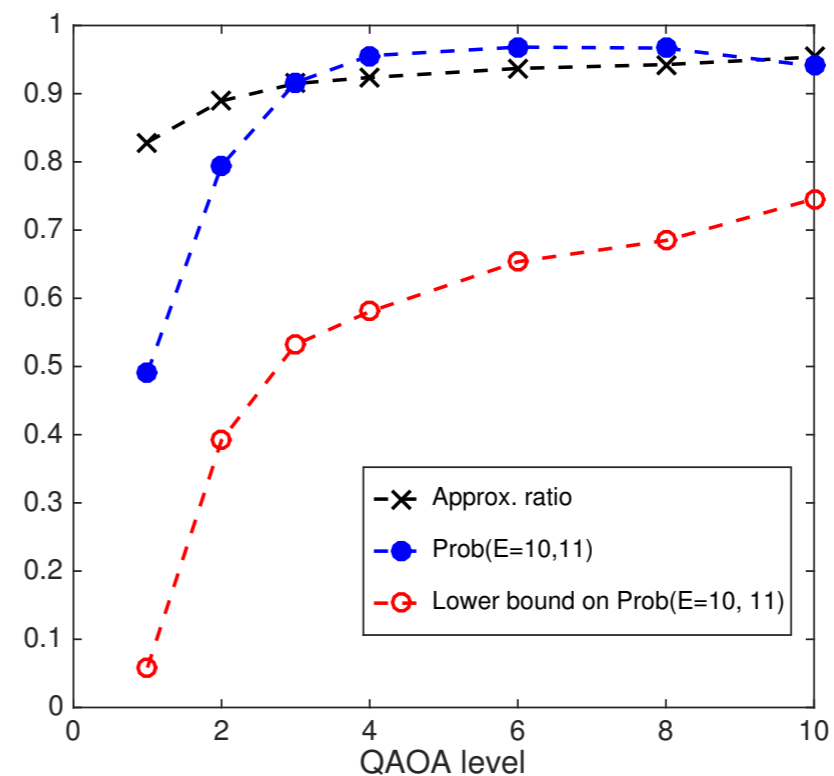
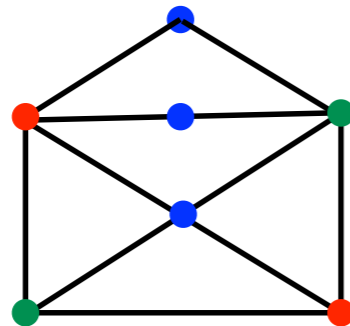
Prism

# Measurement/Parameter update: What can we infer from the expected value / average performance?

$X \in \{0, 1, \dots, m\}$ , if the mean value is  $\mu$  then for any  $l \leq \lfloor \mu \rfloor$ , where  $\lfloor \cdot \rfloor$  is the floor function, the probability of  $x$  taking value larger than  $l$  is lower-bounded as

$$\Pr(X > l) \geq \frac{\mu - l}{m - l} \quad (1)$$

For combinatorial optimization: High mean often accompanies high typical value



## Choice of initial states

**Generalized W-state:** For any number of qubits  
superposition of classical states of Hamming-weight 1.

Eigen-state of the XY mixer, an uniform superposition of all feasible classical states

$$|W\rangle_v = \frac{1}{\sqrt{k}} (|100 \dots 0\rangle + |010 \dots 0\rangle + \dots + |0 \dots 01\rangle)$$

**Classical** initial states: random-coloring of the graph

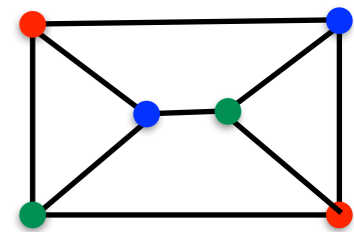
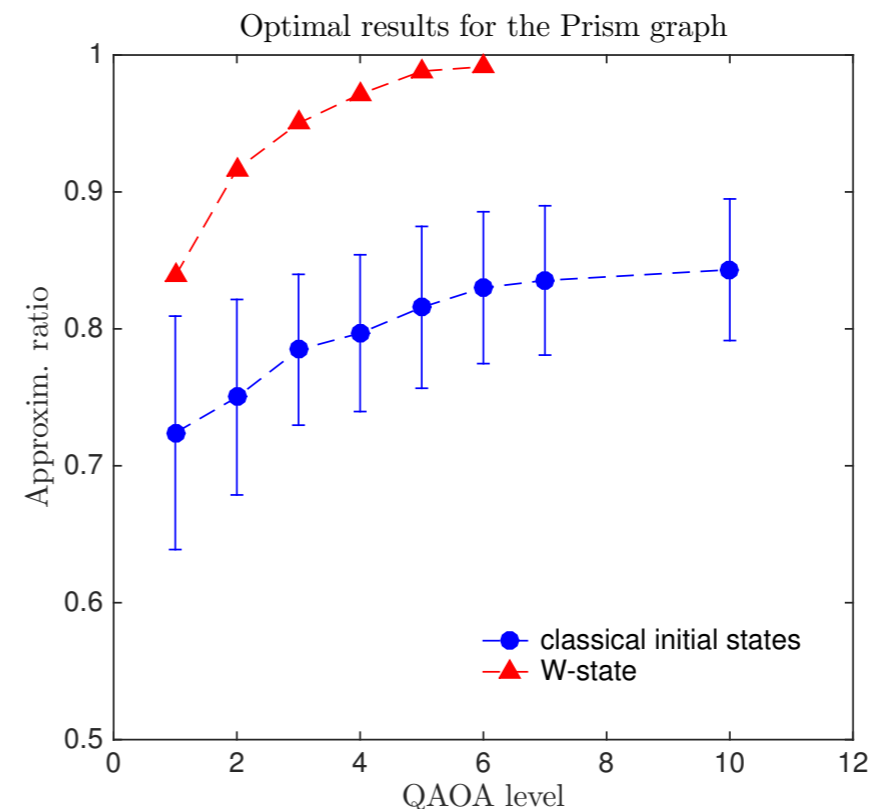
$$|\psi_0\rangle = |100 \dots 0\rangle \otimes |010 \dots 0\rangle \otimes \dots \otimes |0 \dots 01\rangle$$

Easier to prepare:  
 $n$  single-qubit gates

**Classical initial state: easy to generate**

**VS**

**W-state: Better performance**

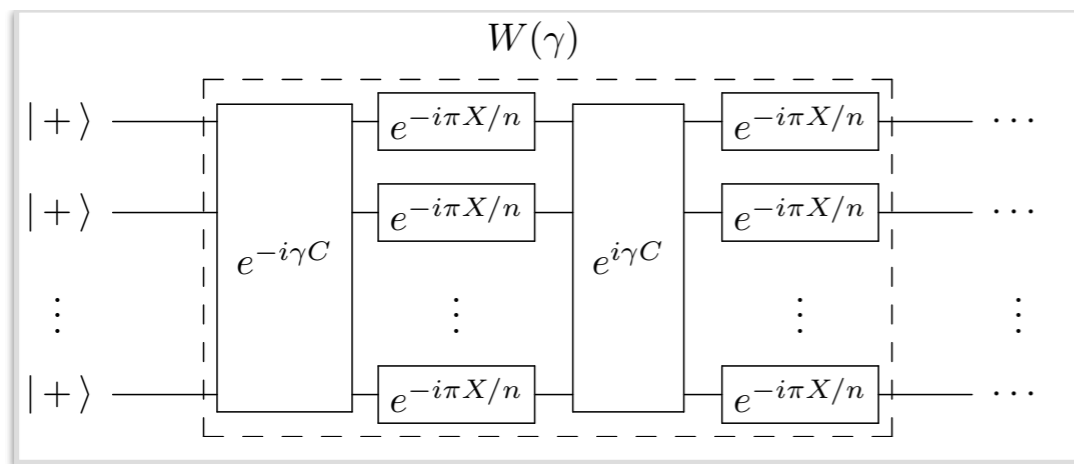


# Parameter setting

## QAOA for Grover's problem

Deterministic parameters:  
beta=pi/n, gamma=arbitrary

$$W(\gamma) = e^{-i\pi B/n} e^{i\gamma C} e^{-i\pi B/n} e^{-i\gamma C}$$



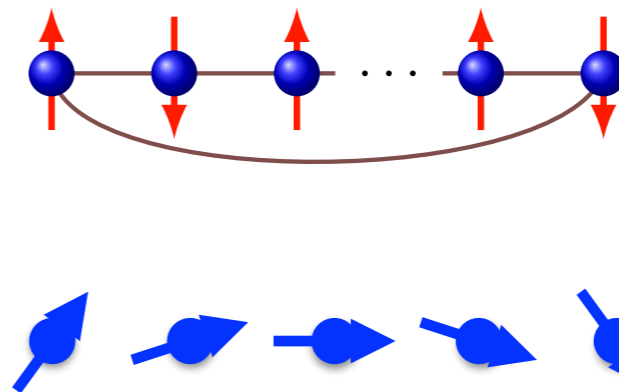
Reproduces the  $\sqrt{N}$  quantum speedup

$$\langle \mathbf{u} | \psi_{\text{out}} \rangle \simeq 1/\sqrt{2}, \quad p \simeq \frac{\pi}{4\sqrt{2}} \sqrt{N}$$

[Jiang, Rieffel, Wang, Near-optimal quantum circuit for Grover's unstructured search using a transverse field, PRA 2017]

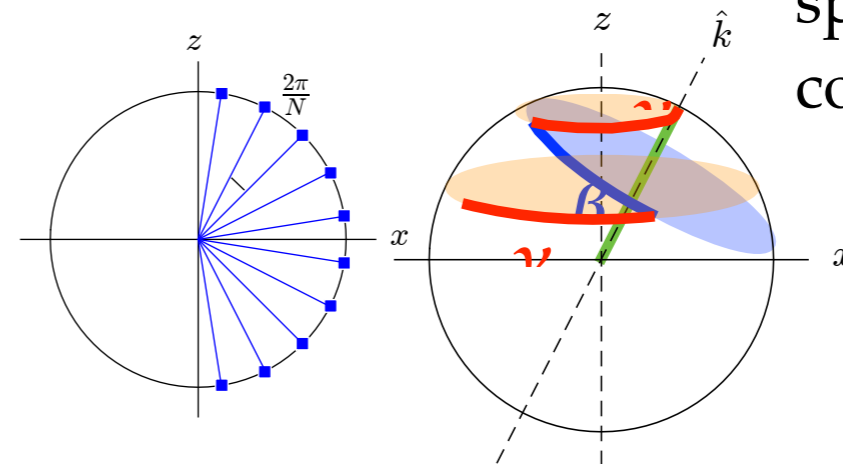
## QAOA for AF ring (MaxCut on a ring)

- Anti-Ferromagnetic Chain:  $H_C = \sum_j \sigma_j^z \sigma_{j+1}^z$
- Analysis: Jordan-Wigner transformation for 1D spin chain with n.n. couplings



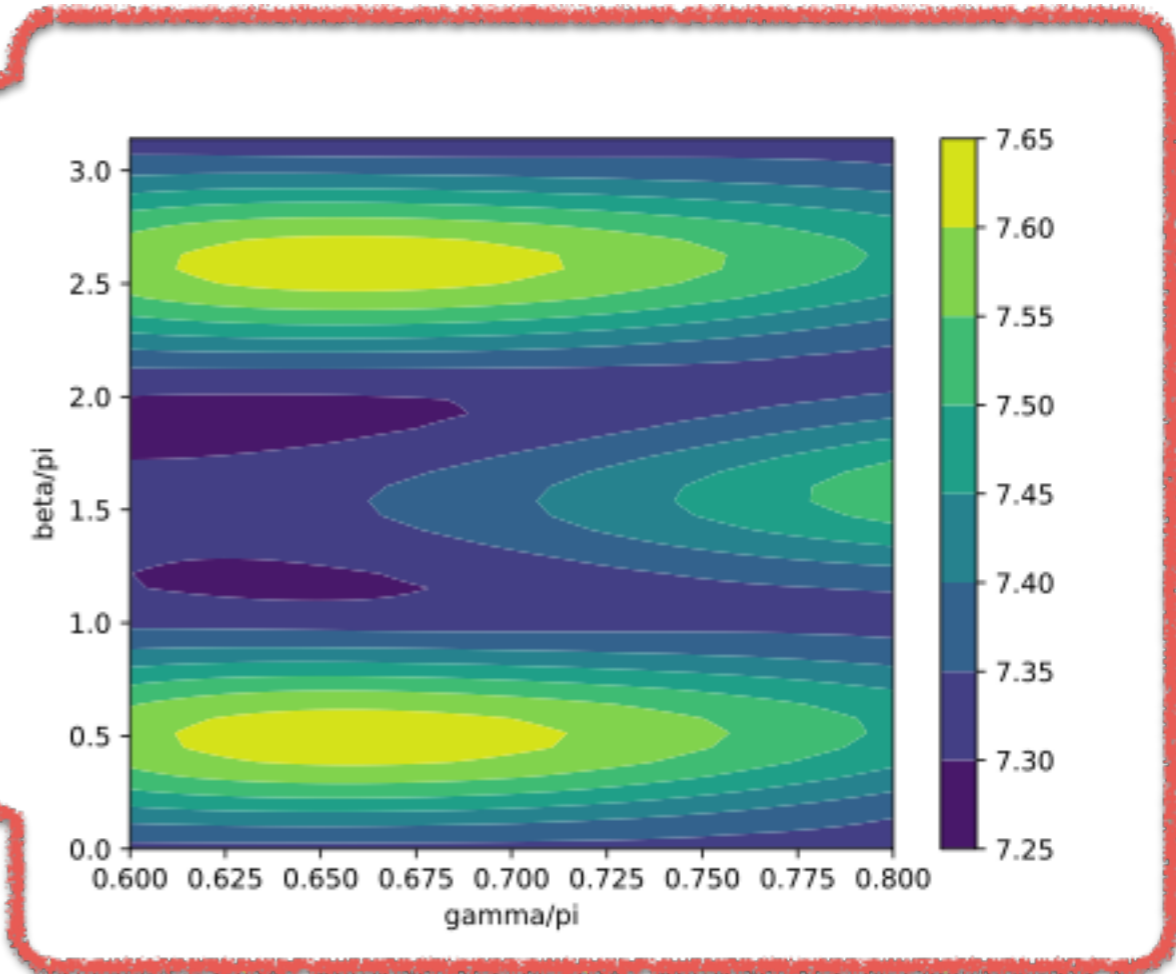
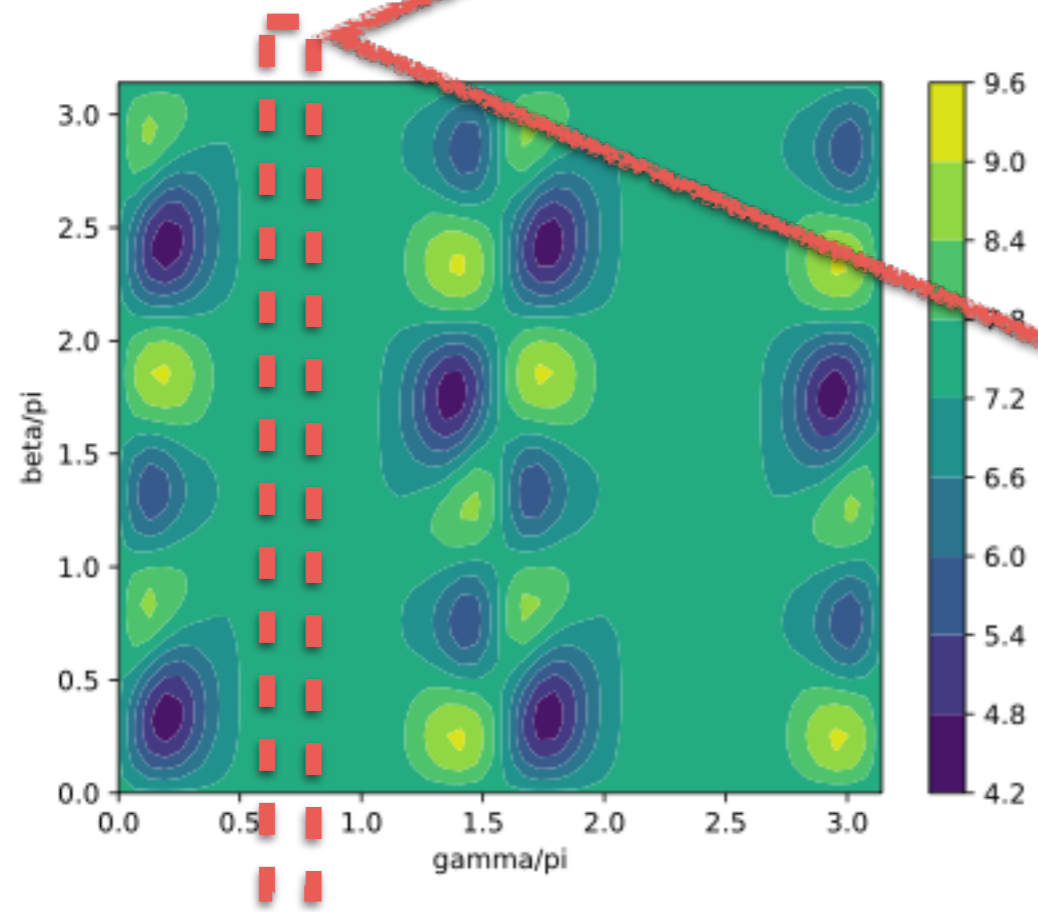
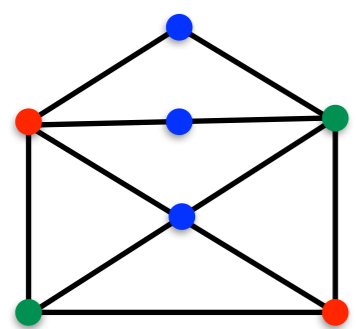
coupled spin chain of size  $N$

2-axis control of  $N/2$  independent spins with collective angles



[Wang, Hadfield, Jiang, Rieffel, QAOA for MaxCut: a fermionic review, PRA 2018]

# Quantum control landscape: local minima; barren plateau



Rugged landscape — stochastic optimizing is needed



- We outlined important aspects of benchmarking quantum heuristics
- Using QAOA with XY mixer as an example, we demonstrated that influences to algorithm performance could come from
  - Design principle
    - Choice of “Cost function”: challenges the guidance role of energy in QAOA
    - Choice of Mixers: contains search in feasible subspace satisfying constraints
    - Choice of initial state: tradeoff between good (noise-free) performance and complexity of state-preparation
  - Implementation on hardware
    - Circuit-depth for XY gates: can be efficiently implemented on hardware: from all-to-all to a chain connectivity
  - Parameter setting and Quantum control landscape

**XY mixers for QAOA: [Wang, Rubin, Dominy, Rieffel, *arXiv:1904.09314*]**

**QAOA for Grover: [Jiang, Rieffel, Wang, *PRA* 2017]**

**From QAOA to QAOA: [Hadfield, Wang, O’Gorman, Rieffel, Venturelli, Biswas, *Algorithms* 2019]**

**QAOA for MaxCut: a fermionic view, [Wang, Hadfield, Jiang, Rieffel, *PRA* 2018]**



Thank You!