

# Conjunction Assessment Risk Analysis



## NASA Conjunction Assessment Risk Analysis (CARA) Updated Requirements Architecture

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<sup>‡</sup> The Aerospace Corporation

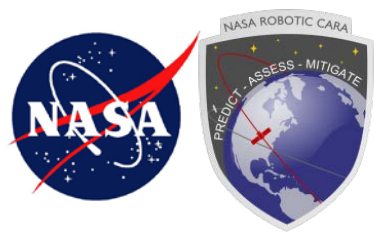
<sup>†</sup> a.i. solutions

<sup>¥</sup> Omitron, Inc.

**The 2019 AAS/AIAA Astrodynamics Specialist Conference**

**Portland, Maine**

**August 13<sup>th</sup> 2019**



# Agenda and Overview



- **Introduction**

- Background, motivation and objectives

- **Current CARA operations process**

- Current CARA operations process

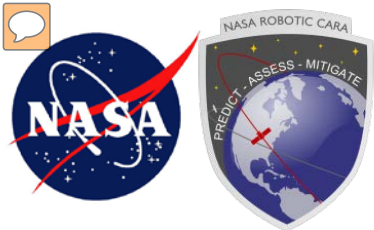
- Automated and Manual tools

- CAS System

- **Process Updates and Supporting Analysis**

- **Operations Devolution**

- **Conclusions**



# Background, Motivation and Objectives

- **Background: CARA History**

- Initiated in January 2005 to protect the Agency's unmanned spacecraft from collision with on-orbit objects
- Currently, supports about 70 operational Agency's assets
- Located at the NASA Goddard Space Flight Center in Greenbelt, MD

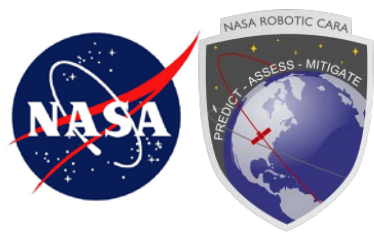
- **Motivation for an updated requirements architecture:**

- Recent developments in SSA and Commercial Space
  - Constellations launches: 100s to 1000s per constellation
  - Space Fence Radar: Sensitivity increase of the Space Surveillance Network (SSN) from current detection of 10cm in Low-Earth Orbit (LEO) to 5cm

- **Objectives**

- Improvements to existing process
- An extensive evaluation initiative to re-examine
  - risk assessment algorithms and techniques,
  - develop needed improvements and
  - assemble analysis-based operational requirements
- Summarize the technical challenges encountered

**Detailed process updates to some of the technical challenges will be presented in this CARA special session**



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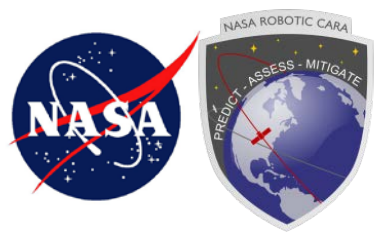
- Automated and Manual process

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- **Process Updates and Supporting Analysis**

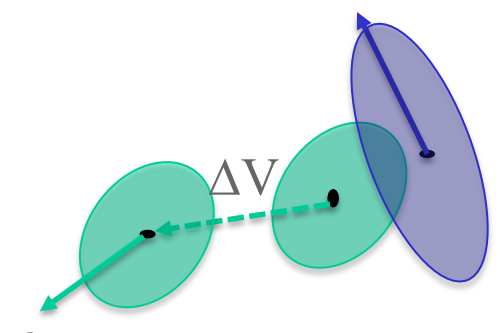
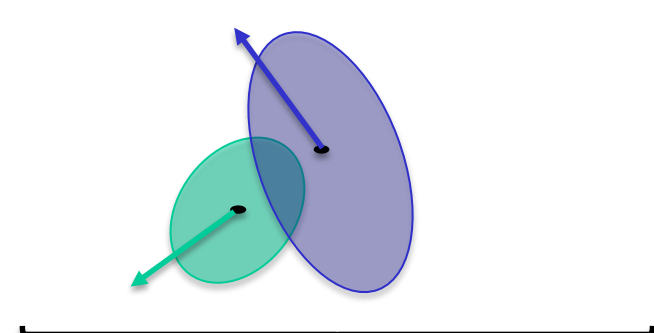
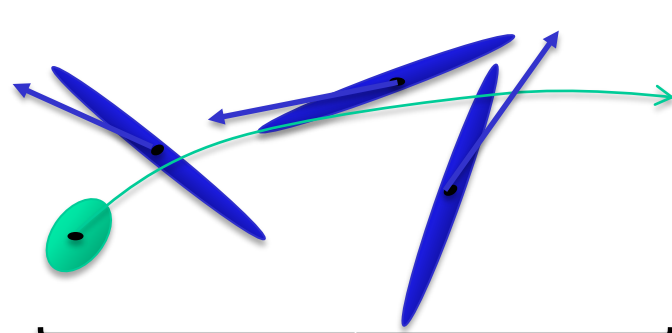
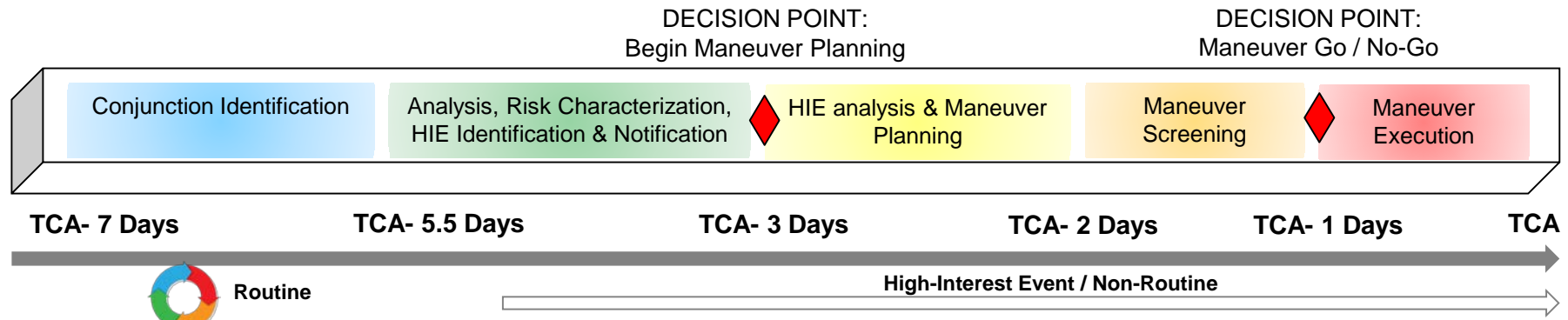
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# CARA Operations Process Overview

## CARA Process Workflow



**Conjunction Assessment (CA)** is the process of identifying close approaches between two orbiting objects; sometimes called conjunction “screening”

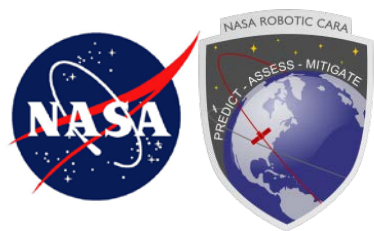
The **18<sup>th</sup> Space Control Squadron** at Vandenberg AFB, maintains the high accuracy catalog of space objects, screens CARA-supported assets against the catalog, performs OD/tasking, and generates close approach data

**CA Risk Analysis (CARA)** is the process of assessing collision risk and assisting satellites plan maneuvers to mitigate that risk, if warranted

The **CARA Team** at NASA GSFC serves all NASA operational uncrewed satellites, and is a service provider for some other external agencies/organizations

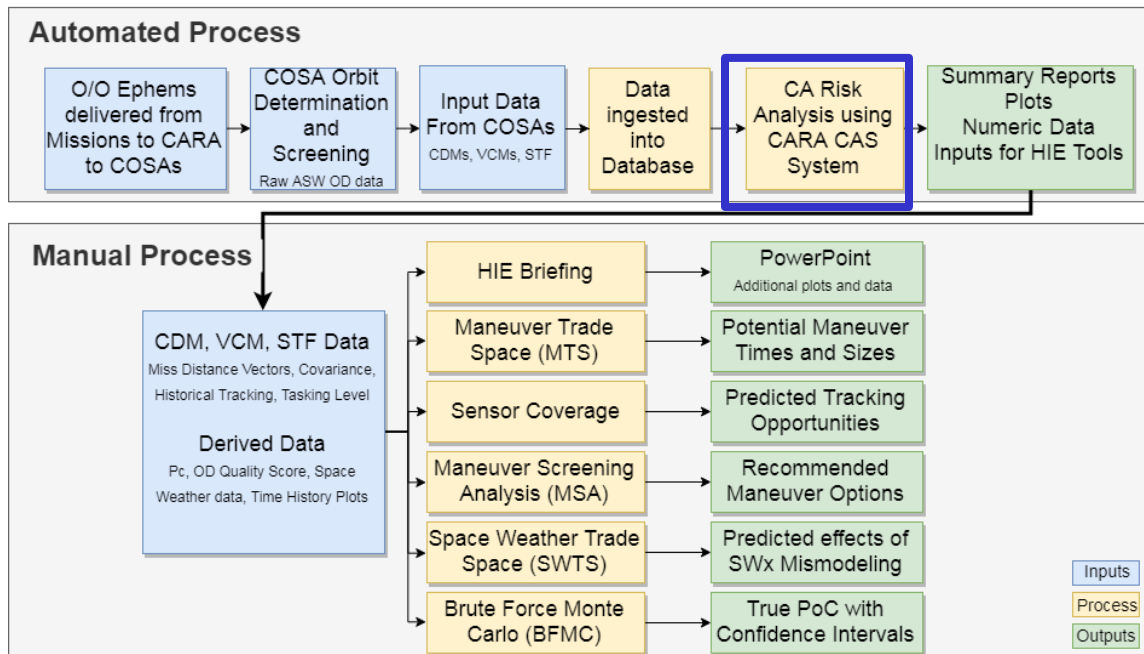
**Collision Avoidance (COLA)** is the process of executing mitigative action, typically in the form of an orbital maneuver, to reduce collision risk

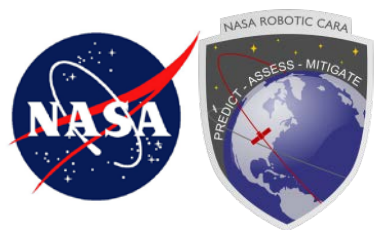
Each satellite **Owner/Operator (O/O)** – mission management, flight dynamics, and flight operations – are responsible for making maneuver decisions and executing the maneuvers



# Automated and Manual Process

- The **CARA** workflow has both automated and manual components that:
  - ingest inputs
  - processes data: parsing and algorithmic implementation
  - provides output: numeric data, plots, and reports





# CAS Automation Process Flow

- **Conjunction Assessment System (CAS) processes:**

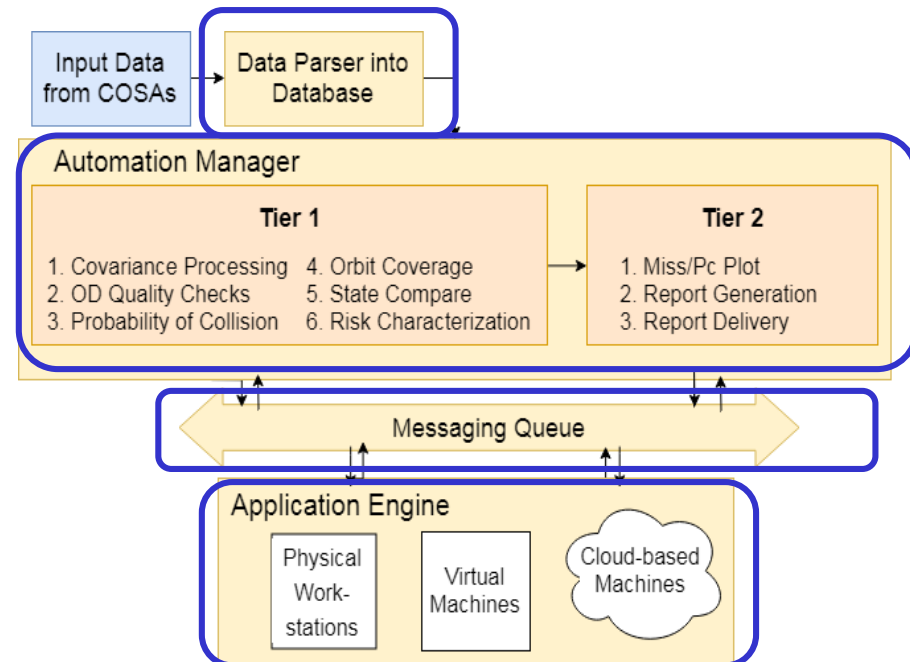
- the Conjunction Data Messages (CDMs) and
- the Sensor Tasking Files (STF) files

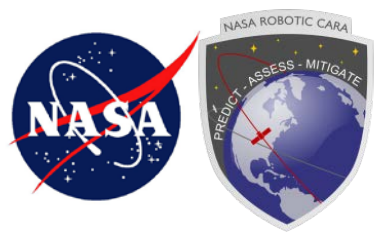
- **CAS contains 4 main parts:**

- Data parser, Automation Manager, a Messaging Queue, and Application Engines

- **Services from Automation Manager:**

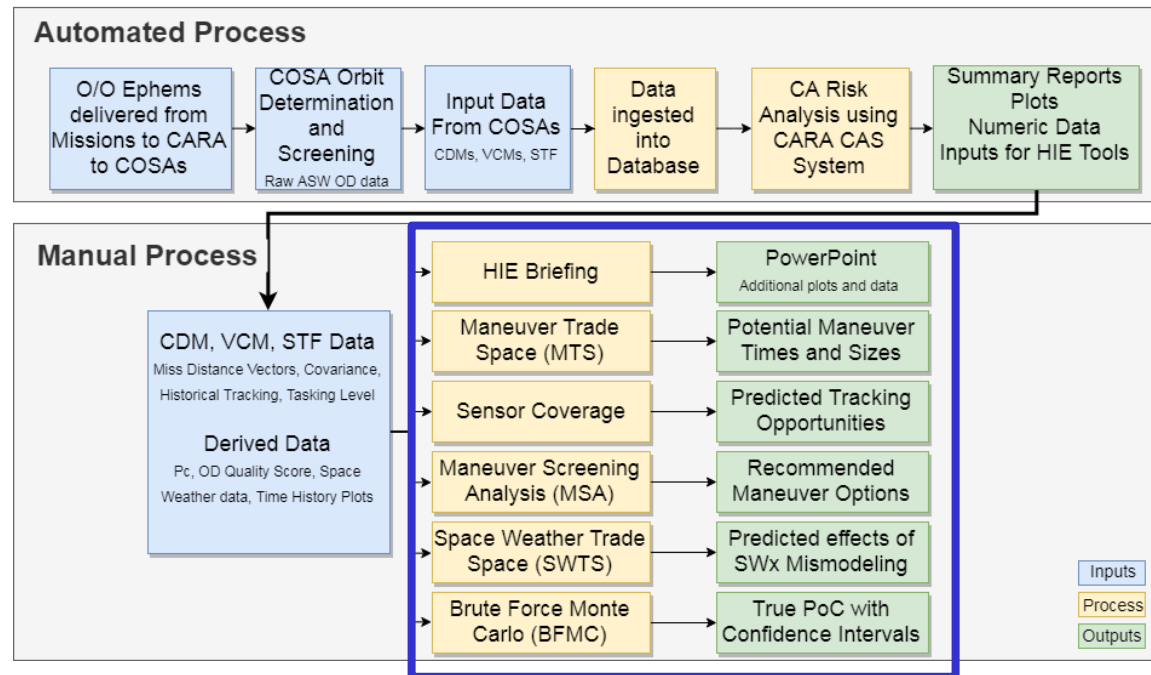
- Covariance Processing
- OD quality
- Probability of Collision (Pc)
- State Compare
- Risk Characterization
- Report Generation and
- Report Distribution





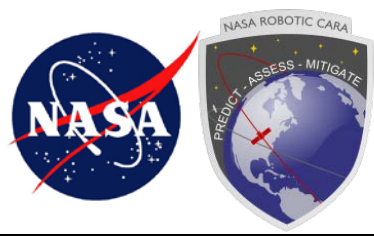
# Automated and Manual Process

- **The improvements to the existing risk assessment algorithms and techniques are addressed**
  - throughout the conjunction assessment & risk analysis of CAS and
  - the manual processing of CAS' output data for decision making



**Process, Tools  
and Outputs**





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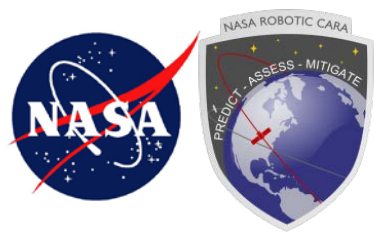
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- CAS System

- ➔ • **Process Updates and Supporting Analysis**

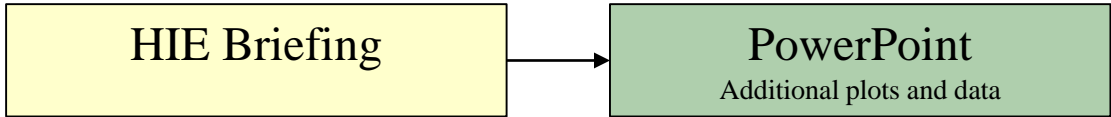
- **Operations Devolution**

- **Conclusions**



# Process Updates and Supporting Analysis

## • HIE Briefing



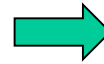
### Conjunction Assessment Risk Analysis



### Agenda

- Executive Summary
- Primary Object Information
- Secondary Object Information
- Conjunction Geometry
- Conjunction Event History
- Space Weather
- Sensor Coverage
- Maneuver Planning
- Summary & Recommendations
- Backup

..sample plots include

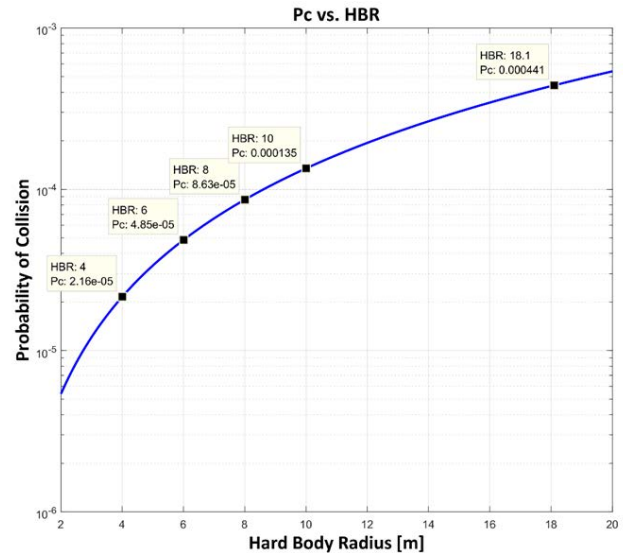


e.i. solutions Operations Training Program // 2016 // CSP



### Summary & Recommendations

- **Summary**
  - Secondary is poorly tracked
  - Low probability of detection for opportunities prior to TCA
  - Due to uncertainties large maneuver is required to mitigate risk
- **CARA Team Recommends**
  - Monitor event



*Pc vs HBR Tool:  
Varying HBR significantly varies  
the Pc*

**Process Update:  
(1) Accurate approaches  
for setting HBR**

### Primary vs. Secondary HIE Briefing

TCA: 11 Nov 2016 at 14:11:26 UTC  
Briefing number 1

NASA Robotic CARA Team  
Briefing Creation Time: 10 November 2016 18:24 UTC

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### Executive Summary

| Event Summary         |                       |
|-----------------------|-----------------------|
| Primary vs. Secondary |                       |
| TCA:                  | 11-Nov 2016 14:11 UTC |
| Time to TCA:          | 0.8                   |
| ASW CDMs Received:    | 19                    |
| O/O CDMs Received:    | 16                    |
| Last CDM Received:    | 10-Nov 2016 15:58 UTC |
| Next Delivery:        | 11-Nov 2016 00:00 UTC |

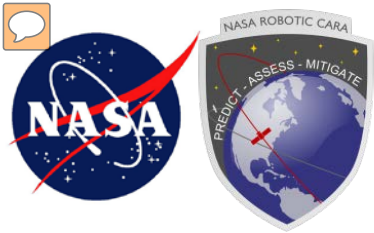
| Current Risk Summary     |          |          |
|--------------------------|----------|----------|
|                          | ASW      | O/O      |
| Probability of Collision | 1.70e-04 | 1.44e-04 |
| Miss Distance (m)        | 3601.1   | 3568.0   |
| Radius (m)               | 114.9    | 120.7    |
| In-Track (m)             | -2199.9  | -2348.7  |
| Cross-Track (m)          | -2498.4  | -2473.4  |
| HBR (m)                  | 20.00    | 20.00    |

The CARA team is confident in our risk assessment analysis because:

- We have confidence in the secondary object's epoch state solution.
- We have confidence in the secondary object's state and state uncertainty predictions.

Recommended Course of Action:  
- Monitor through TCA

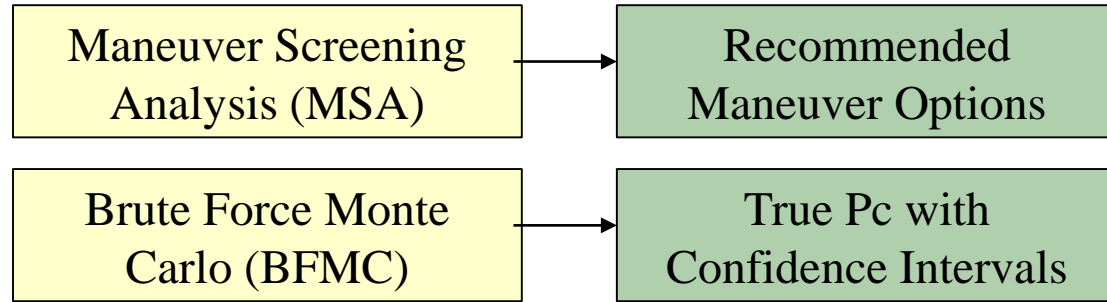
*PowerPoint presentation sample deck of an HIE Briefing that provides technical input for decision making.*



# Process Updates and Supporting Analysis

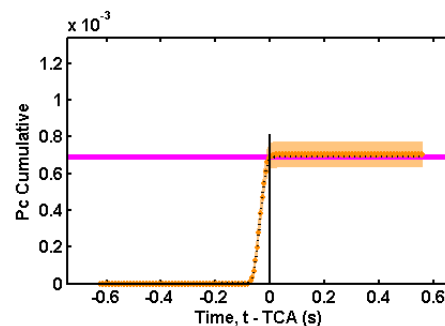
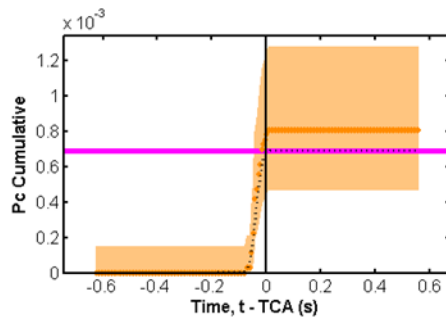
## • MSA and BFMC

**Process Update:**  
**(2) Using BFMC to accurately assess Repeating Conjunctions**



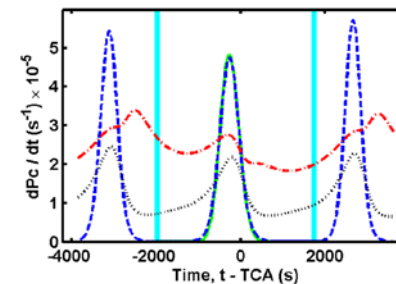
— 2DPc estimate =  $6.90718 \times 10^{-4}$   
 • MC final PI =  $8.1 \times 10^{-4}$   
 ■ 99.00% conf.  $4.7 \times 10^{-4} \leq PI \leq 0.00128$   
 ..... 3DPc final PI =  $6.91029 \times 10^{-4}$

— 2DPc estimate =  $6.90718 \times 10^{-4}$   
 • MC final PI =  $7.03 \times 10^{-4}$   
 ■ 99.00% conf.  $6.37 \times 10^{-4} \leq PI \leq 7.74 \times 10^{-4}$   
 ..... 3DPc final PI =  $6.91029 \times 10^{-4}$

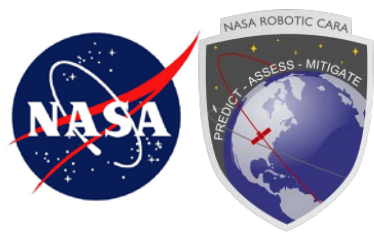


Two output plots are shown here using different numbers of trials. The left plot used  $3.4 \times 10^6$  trials compared to  $1.01 \times 10^6$  trials on the right. The increased number of trials reduced the 99% confidence interval. Both show the nominal 2D Pc within the confidence interval.

— Coppola bounds for  $\gamma = 1 \times 10^{-16}$   
 — Coppola 1: Linear motion,  $A=A(TCA), B=C=0$   
 - - - Coppola 2: Kep2Body,  $A=A(TCA), B=C=0$   
 - - - Coppola 3: Kep2Body,  $A=A(t), B=C=0$   
 ..... Coppola 4: Kep2Body,  $P=P(t)$

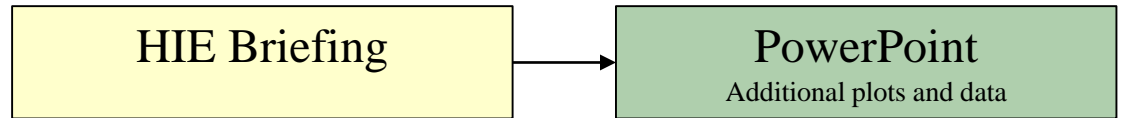


This strain of Monte Carlo calculation, which works with the TCA states and covariances but with the state uncertainty sampling performed in equinoctial elements, is being integrated with the NASA automated conjunction assessment system so that it can be automatically invoked in those situations in which the 2-D Pc is judged to be inadequate and for which Monte Carlo from epoch is not necessary. L. Newman et al. | August 2019 | 11



# Process Updates and Supporting Analysis

## • HIE Briefings



### Conjunction Assessment Risk Analysis



### Primary vs. Secondary HIE Briefing

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Briefing number 1

NASA Robotic CARA Team

Briefing Creation Time: 10 November 2016 18:24 UTC

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### Agenda

- Executive Summary
- Primary Object Information
- Secondary Object Information
- Conjunction Geometry
- Conjunction Event History
- Space Weather
- Sensor Coverage
- Maneuver Planning
- Summary & Recommendations
- Backup



..sample report



### Summary & Recommendations

- **Summary**
  - Secondary is poorly tracked
  - Low probability of detection for opportunities prior to TCA
  - Due to uncertainties large maneuver is required to mitigate risk
- **CARA Team Recommends**
  - Monitor event



### Executive Summary



### Summary & Recommendations

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|--|-----------------------|
| TCA:                                   | 11-Nov 2016 14:11 UTC |
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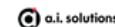
| Current Risk Summary     |          |          |
|--------------------------|----------|----------|
|                          | ASW      | O/D      |
| Probability of collision | 1.70e-04 | 1.66e-04 |
| Miss Distance (m)        | 3601.1   | 3568.0   |
| Radial (m)               | 114.9    | 120.7    |
| In-Track (m)             | -2390.9  | -2548.7  |
| Cross-Track (m)          | -2498.4  | -2473.4  |
| HBR (m)                  | 20.00    | 20.00    |

The CARA team is confident in our risk assessment analysis because

- We have confidence in the secondary object's epoch state solution.
- We have confidence in the secondary object's state and state uncertainty predictions.

Recommended Course of Action:  
-Monitor through TCA

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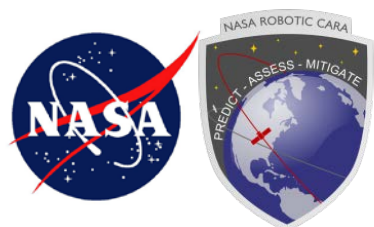
Operations Training Program // 2016 // OSFC

35

The use of Pc and other event data as a basis for CA recommendations.

**Process Update:  
(3) Collision Probability,  
Possibility and Plausibility**

PowerPoint presentation sample deck of an HIE Briefing that provides technical input for decision making.



# Process Updates and Supporting Analysis

## • HIE Briefing

HIE Briefing

PowerPoint  
Additional plots and data

Conjunction Assessment Risk Analysis



Agenda



### Primary vs. Secondary HIE Briefing

TCA: 11 Nov 2016 at 14:11:26 UTC  
Briefing number 1

NASA Robotic CARA Team

Briefing Creation Time: 10 November 2016 18:24 UTC

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Executive Summary



Summary & Recommendations

**Event Summary**  
Primary vs. Secondary

|                     |                       |
|---------------------|-----------------------|
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**Current Risk Summary**

|                          | ASW      | O/D      |
|--------------------------|----------|----------|
| Probability of Collision | 1.70e-04 | 1.66e-04 |
| Plan Distance (m)        | 3602.1   | 3568.0   |
| Radial (m)               | 114.9    | 132.7    |
| In-Track (m)             | 1290.9   | 1248.7   |
| Cross-Track (m)          | -2498.4  | -2473.4  |
| HRP (m)                  | 20.00    | 20.00    |

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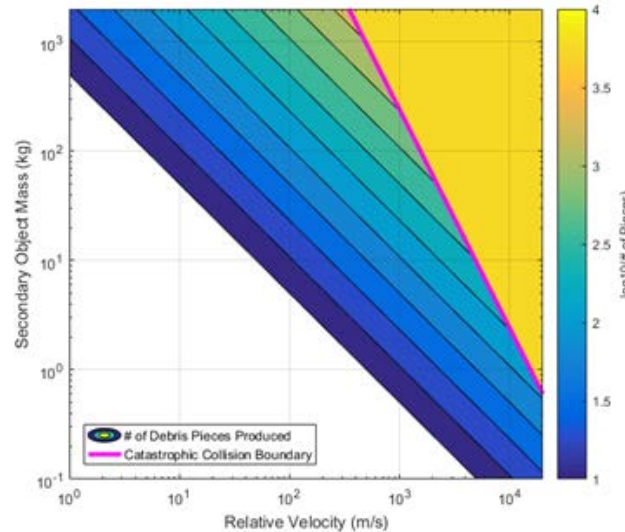
**Recommended Course of Action:**  
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Omicron | o.i. solutions | Operations Training Program // 2016 // 2016

Omicron | o.i. solutions | Operations Training Program // 2016 // 2016

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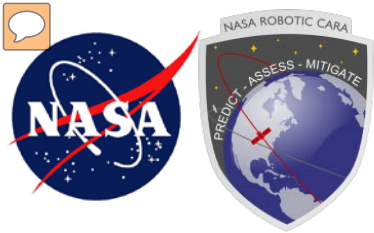
..input considerations



Fragmentation algorithms developed by the NASA ODPO to assess the debris production potential of any given conjunction.

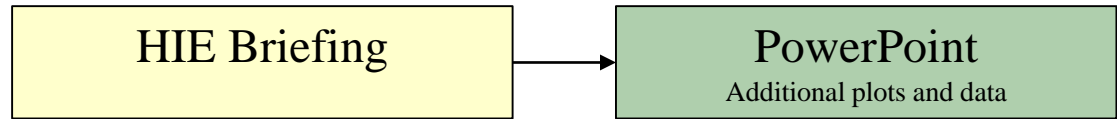
PowerPoint presentation sample deck of an HIE Briefing that provides technical input for decision making.

**Process Update:**  
**(4) Collision Consequence for Pc threshold recommendations**



# Process Updates and Supporting Analysis

## • HIE Briefing



### Conjunction Assessment Risk Analysis



### Primary vs. Secondary HIE Briefing

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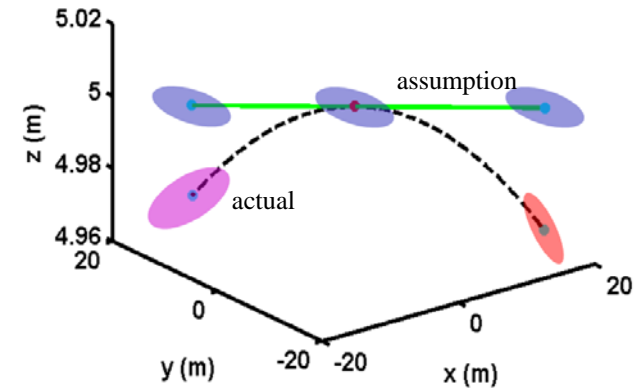
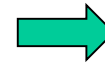
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**.input considerations**



*Multivariate Normality (MVN) assumption can be flawed*

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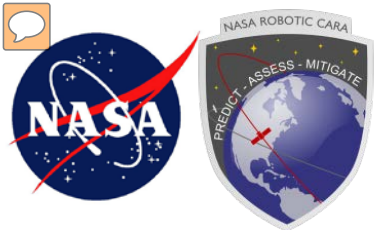
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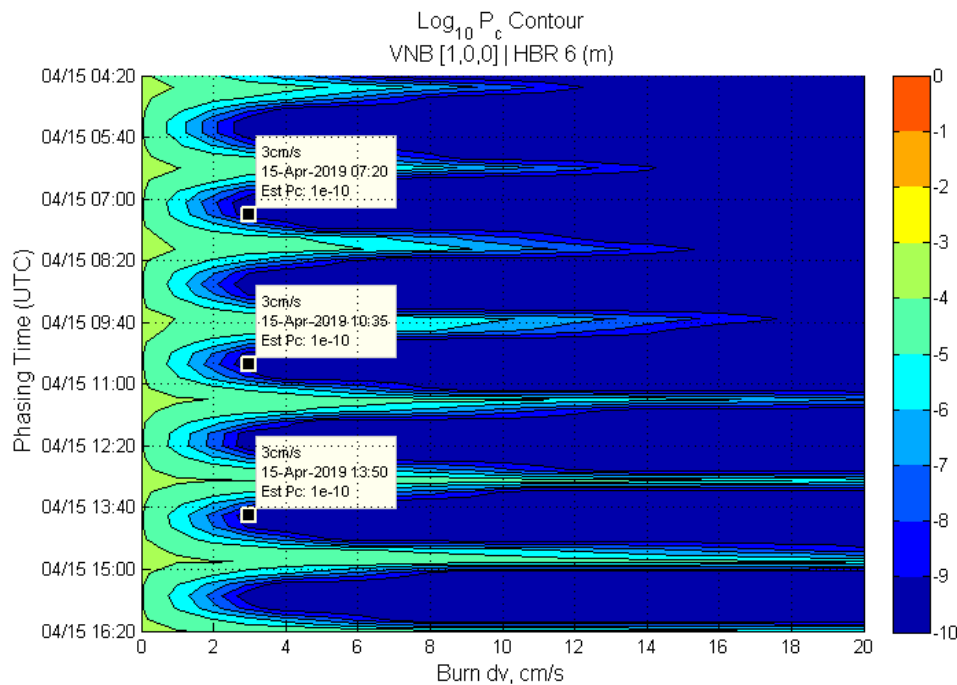
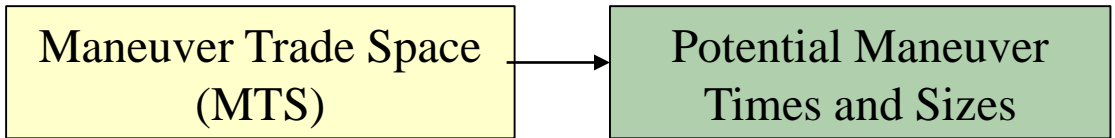
**Process Update:**  
**(5) Multi Variate Normal (Gaussian) evaluation of Cartesian-Framed Covariances**

*PowerPoint presentation sample deck of an HIE Briefing that provides technical input for decision making.*



# Process Updates and Supporting Analysis

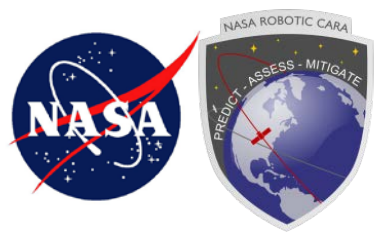
- **Maneuver Trade Space**



CARA's recommended post-maneuver Pc remediation is set to  $1 \times 10^{-10}$ ; conservative based on previous analysis

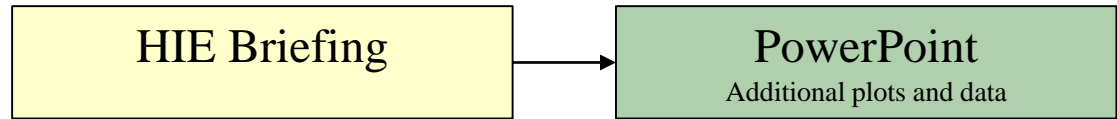
*Recommended maneuver times and sizes are highlighted by the CARA Operator. Alternate time ranges and/or maneuver directions can be provided at mission request.*

**Process Update:**  
**(6) Determining appropriate Pc remediation thresholds**



# Process Updates and Supporting Analysis

## • HIE Briefing



### Conjunction Assessment Risk Analysis



### Primary vs. Secondary HIE Briefing

TCA: 11 Nov 2016 at 14:11:26 UTC  
Briefing number 1

NASA Robotic CARA Team  
Briefing Creation Time: 10 November 2016 18:24 UTC

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### Executive Summary

| Event Summary<br>Primary vs. Secondary |                       |
|--|-----------------------|
| TCA:                                   | 11-Nov 2016 14:11 UTC |
| Time to TCA:                           | 0.8                   |
| ASW CDMs Received:                     | 19                    |
| O/O CDMs Received:                     | 16                    |
| Last CDM Received:                     | 10-Nov 2016 15:58 UTC |
| Next Delivery:                         | 11-Nov 2016 00:00 UTC |

| Current Risk Summary     |          |          |
|--------------------------|----------|----------|
|                          | ASW      | O/O      |
| Probability of Collision | 1.70e-04 | 1.66e-04 |
| Miss Distance (m)        | 3601.1   | 3568.0   |
| Radial (m)               | 114.9    | 120.7    |
| In-Track (m)             | -2390.9  | -2568.7  |
| Cross-Track (m)          | -2498.4  | -2473.4  |
| XBR (m)                  | 20.00    | 20.00    |

The CARA team is confident in our risk assessment analysis because:

- We have confidence in the secondary object's epoch state solution.
- We have confidence in the secondary object's state and state uncertainty predictions.

Recommended Course of Action:  
-Monitor through TCA

*PowerPoint presentation sample deck of an HIE Briefing that provides technical input for decision making.*



### Agenda

- Executive Summary
- Primary Object Information
- Secondary Object Information
- Conjunction Geometry
- Conjunction Event History
- Space Weather
- Sensor Coverage
- Maneuver Planning
- Summary & Recommendations
- Backup

..input considerations

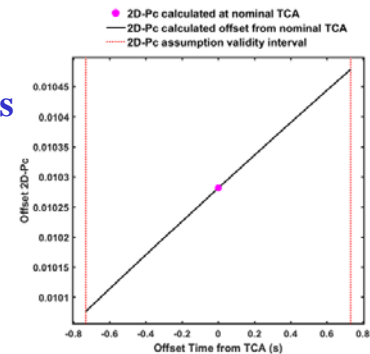


### Summary & Recommendations

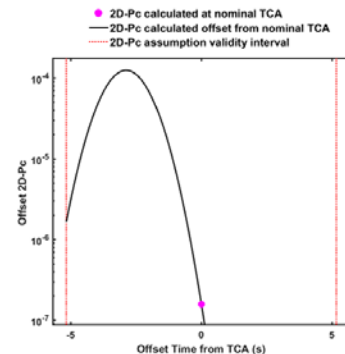
- **Summary**
  - Secondary is poorly tracked
  - Low probability of detection for opportunities prior to TCA
  - Due to uncertainties large maneuver is required to mitigate risk
- **CARA Team Recommends**
  - Monitor event



### Aqua Satellite Conjunction



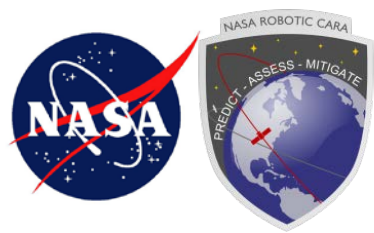
### Van Allen Satellite Conjunction



*2D Pc assumptions may not apply for some edge cases*

**Process Update:  
(7) 2D Pc Boundaries  
implementation  
recommendations and usage**





# Agenda and Overview

- **Introduction**

- Background, Motivation and objectives

- **Current CARA operations process**

- Current CARA operations process

- Automated and Manual process

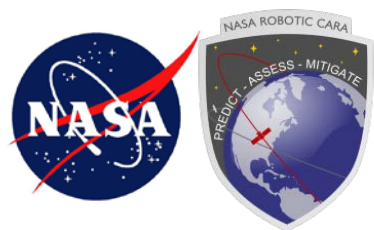
- CAS System

- **Process Updates and Supporting Analysis**



- **Operations Devolution**

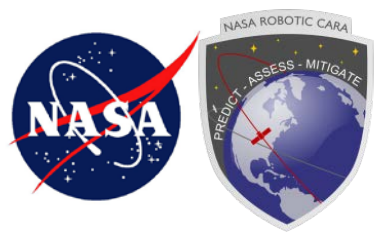
- **Conclusions**



# Devolution

- Devolution:** the operations portion of CARA could be pushed out to the mission flight operation teams as an option.
  - Pending completion of 2 pilot programs over the course of the next 2 years
- CARA will still remain the CA technical authority under the NASA Office of the Chief Engineer as well as provide CA operations for non-devolving missions**
- CARA will evaluate 3<sup>rd</sup> party tools to determine whether they meet the Agency's CA needs.**
  - A tool certification plan identifies the essential ✓ and enhancing + tool features
  - Benchmark test cases are available for each item on the list (list will evolve over time as new capabilities emerge)

| Item                                  | Tool Feature | Topical Area   | Maneuverable Spacecraft Requirement | Non-Maneuverable Spacecraft Requirement |
|---------------------------------------|--------------|--|-------------------------------------|---|
| Point Estimate of Risk                | T-1.1        | Miss-Distance Reporting  | ✓                                   | ✓                                       |
|                                       | T-1.2        | 2-D Pc Calculation from ASW data   | ✓                                   | ✓                                       |
|                                       | T-1.3        | Identify and flag when 2-D Pc Calculation from ASW data is Non-Positive Definite | ✓                                   | ✓                                       |
|                                       | T-1.4        | 2-D Pc Calculation from ASW data with Covariance Cross-Correlation               | +                                   | +                                       |
|                                       | T-1.5        | Indication of 2-D assumption inadequacy  | ✓                                   | ✓                                       |
|                                       | T-1.6        | Owner/Operator Ephemeris/Pc Calculation  | ✓ (HEO,GEO),<br>+ (LEO)             | ✓                                       |
|                                       | T-1.7        | Identify and flag Missing Covariance for Pc Calculation                          | ✓ (or T-1.8)                        | ✓ (or T-1.8)                            |
|                                       | T-1.8        | Covariance Synthesis Capability  | ✓ (or T-1.7)                        | ✓ (or T-1.7)                            |
|                                       | T-1.9        | Monte Carlo from TCA: equinoctial frame  | ✓ (or T-1.10)                       | ✓ (or T-1.10)                           |
|                                       | T-1.10       | Position Monte Carlo from Epoch  | ✓ (GEO)                             | ✓ (GEO)                                 |
|                                       | T-1.11       | Collision Consequence  | +                                   | +                                       |
| Pc Error Analysis                     | T-2.1        | Covariance mis-sizing sensitivity  | +                                   | +                                       |
|                                       | T-2.2        | Pc Uncertainty: Full consideration of all error sources                          | +                                   | +                                       |
| Predicted Situation at Decision Point | T-3.1        | Historical Pc Trending (Event Histories)   | +                                   | ✓                                       |
|                                       | T-3.2        | Space Weather Sensitivity  | +                                   | +                                       |
|                                       | T-3.3        | Tracking Prediction  | +                                   | +                                       |
|                                       | T-3.4        | Predictive Pc Trending   | +                                   | +                                       |
| Maneuver Planning Aids                | T-4.1        | MTS: Single Conjunction  | N/A                                 | ✓                                       |
|                                       | T-4.2        | MTS: Multiple Conjunctions   | N/A                                 | ✓                                       |
|                                       | T-4.3        | Maneuver Trade-Space: Execution Error  | N/A                                 | +                                       |
| Stress Loading                        | T-5.1        | Loading Performance Test   | ✓                                   | ✓                                       |



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- Current CARA operations process

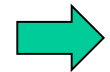
- Automated and Manual process

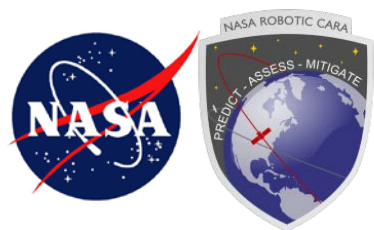
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- **Process Updates and Supporting Analysis**

- **Operations Devolution**

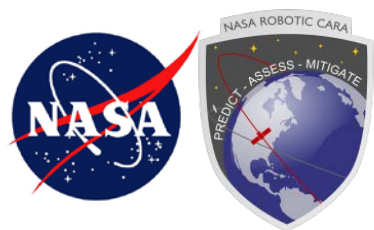
- **Conclusions**





# Conclusions

- **CA field is relatively new and so is constantly evolving**
  - Data sources moving from exclusive DoD-control to commercial availability
  - Space Fence implementation adds smaller objects to catalog
  - Anticipated large constellations will add congestion in certain orbits
    - Use of electric propulsion in large constellations as missions are inserted and deorbited cause additional complication for CA due to inability to do non-cooperative tracking
- **CARA performing extensive R&D to develop more robust algorithms to handle this evolution to handle the various technical challenges**
- **NASA plans to continue to evolve our CA process: improving operations, streamlining approaches, and collaborating with other operators to make the most of limited resources.**



# CARA process updates Special Session Presentation

**A. Mashiku #AAS-19-702**

*RECOMMENDED METHODS FOR SETTING MISSION CONJUNCTION ANALYSIS HARD BODY RADII*

**L. Baars #AAS-612**

*ASSESSING GEO AND LEO REPEATING CONJUNCTIONS USING HIGH FIDELITY BRUTE FORCE MONTE CARLO SIMULATIONS*

**M. Hejduk # AAS-652**

*SATELLITE COLLISION 'PROBABILITY,' 'POSSIBILITY,' AND 'PLAUSIBILITY': A CATEGORIZATION OF COMPETING CA RISK ASSESSMENT PARADIGMS*

**T. Lechtenberg # AAS-19-669**

*AN OPERATIONAL ALGORITHM FOR EVALUATING SATELLITE COLLISION CONSEQUENCE*

**T. Lechtenberg # AAS-19-671**

*MULTIVARIATE NORMALITY OF CARTESIAN-FRAMED COVARIANCES: EVALUATION AND OPERATIONAL SIGNIFICANCE*

**D. Hall # AAS-631**

*DETERMINING APPROPRIATE RISK REMEDIATION THRESHOLDS FROM EMPIRICAL CONJUNCTION DATA USING SURVIVAL PROBABILITY METHODS*

**D. Hall # AAS-632**

*IMPLEMENTATION RECOMMENDATIONS AND USAGE BOUNDARIES FOR THE TWO-DIMENSIONAL PROBABILITY OF COLLISION CALCULATION*