



# **UTM INSPIRED ATM**

## **DISCUSSION DRAFT FOR AOSP STRATEGY MEETING 10/2017**

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# Outline

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- Lessons from current status and past
- Needs of future
- Movement towards UTM-inspired-ATM

# Lessons from Current Status and Past

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- Bottlenecks in the national airspace system: gates, runways, and workload
- Weather causes majority of delays (75% of delays) – disruptions cause inconvenience and are costly
- Costs: 25% costs are labor and 25% fuel (roughly)
- Large monolithic efforts such as Advanced Automation System (AAS) – didn't have budget and transition strategy

# Future Needs

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- Scalable – Density/diversity increase
- Resilient – continue operations through disruptions
- Agile – to add new entrants
- Sustainable – Government funding through trust fund, etc
- Safe – Departures and arrival ratio is 1.0
- Secure – No nefarious external interference
- Practical transition approach (successful examples RVSM)
- Access Diverse operators – No use case or user left behind regardless of size, weight, altitude, operating profile
- Equity – Diverse operations don't negatively impact each other
- Transformation As needed - transformation to accommodate evolving needs of airspace, leverage industry capabilities
- Affordable – Funding mechanisms and ticket taxes on passengers
- others

# **NASA's Long R&D and Transition Contributions**



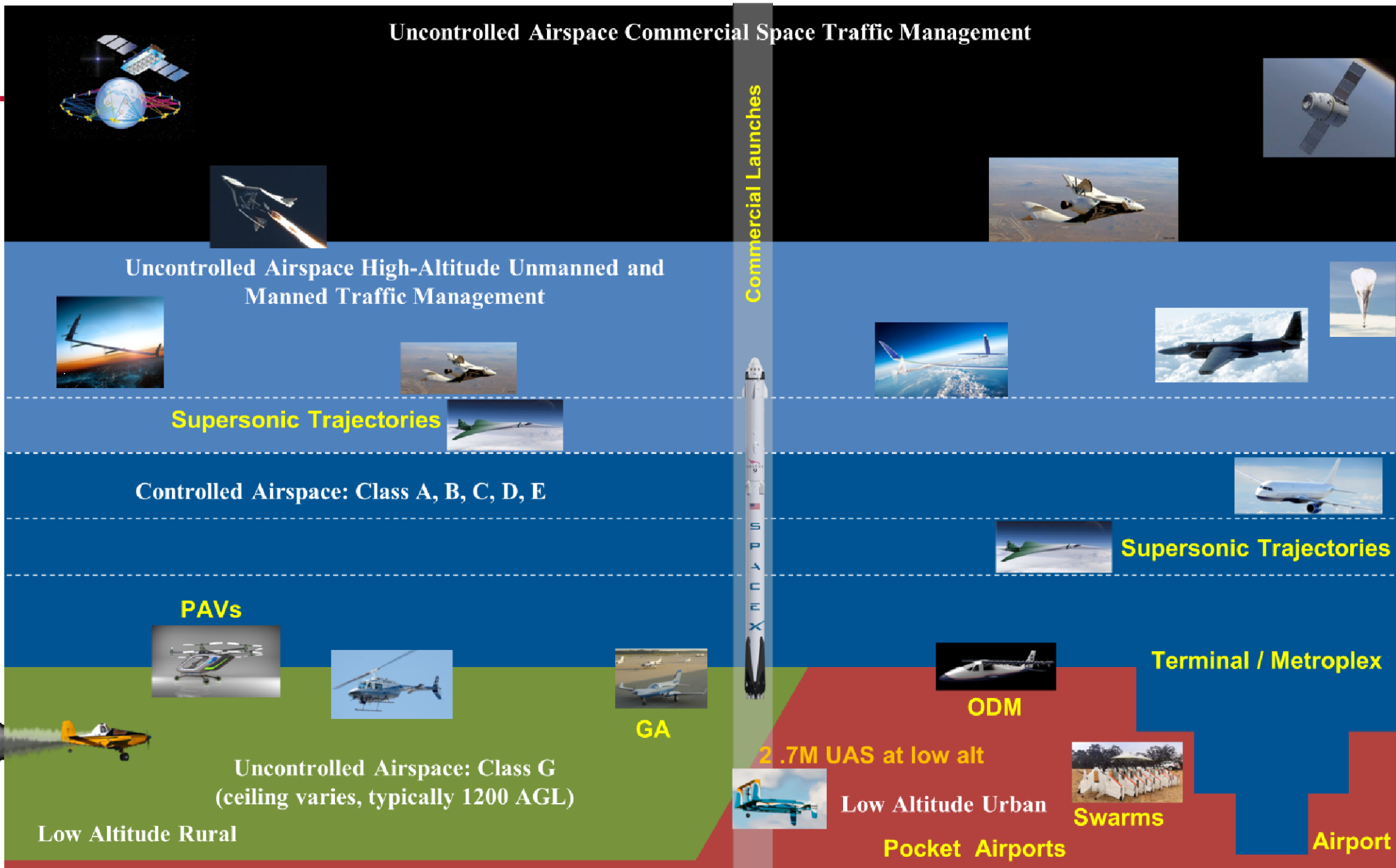
- Center-TRACON Automation System (CTAS) which resulted in multiple operational improvements – TMA, SMS, etc.
- SATS
- DAG-TM
- ATD 1, 2, and 3 portfolio products
- UTM
- Many others..

# Core Attributes

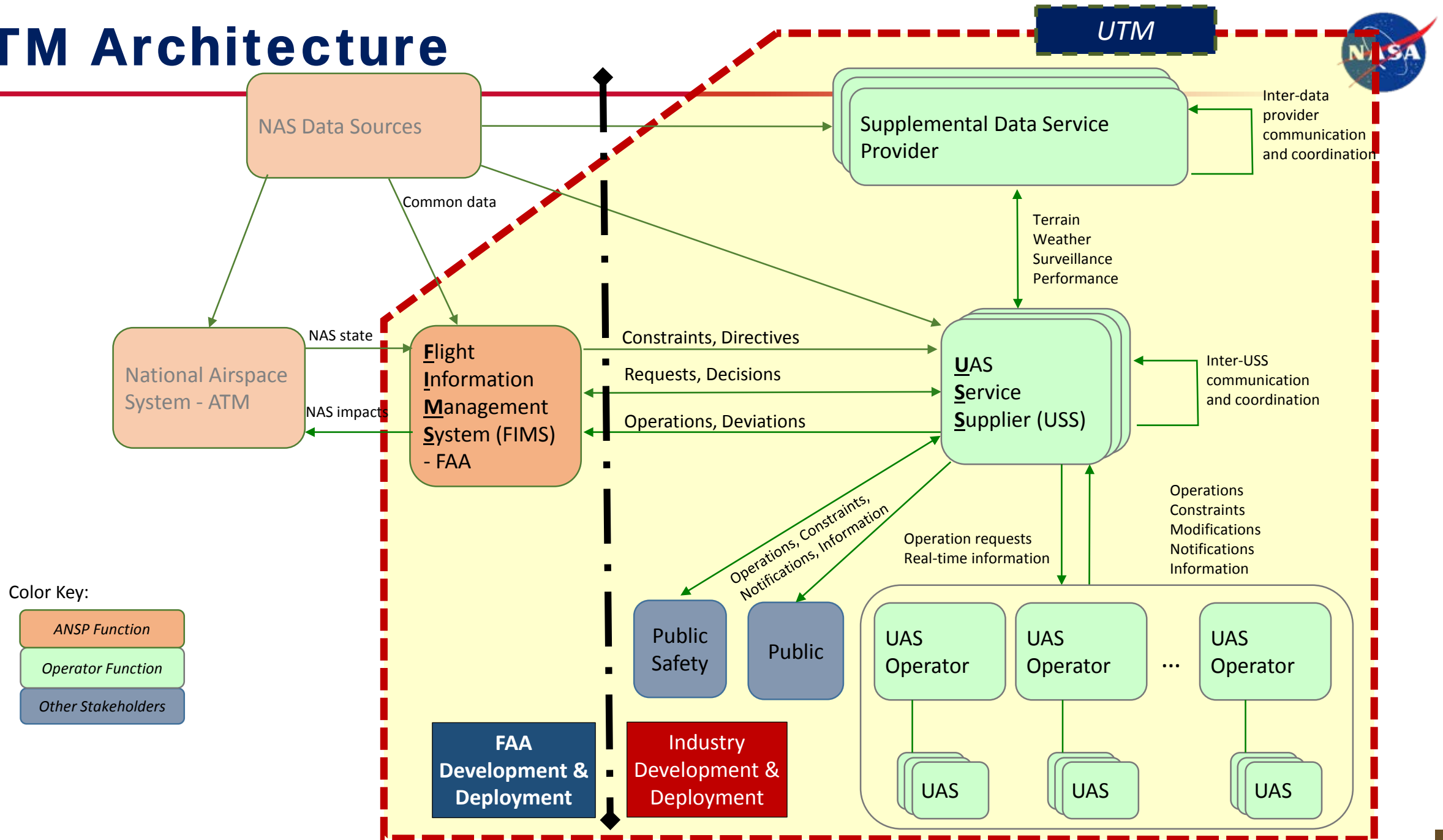
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- Interoperable (different users/domains/data exchanges/airspace class/altitude transitions are seamless)
- Cooperative (Users cooperate for intent, etc. to reduce burden on controller)
- Federated (multiple service suppliers work together for same or different services – some functions distributed, some centralized)
- Flexibility when possible, structure when necessary
- Risk based (e.g., oceanic separation, UTM levels, etc.)
- Adaptable to external conditions (e.g., weather, emergencies)
- Secure (cyber physical security for data, information, hardware/software, etc.)
- Safe (real-time and in-time understanding and prognostics for better decision making)
- Sustainable (be able to last long time)
- Others...



# UTM Architecture



# UTM Technical Capability Levels (TCLs)



## CAPABILITY 1: DEMONSTRATED HOW TO ENABLE MULTIPLE OPERATIONS UNDER CONSTRAINTS

- Notification of area of operation
- Over unpopulated land or water
- Minimal general aviation traffic in area
- Contingencies handled by UAS pilot

**Product: Overall con ops, architecture, and roles**

## CAPABILITY 3: FOCUSES ON HOW TO ENABLE MULTIPLE HETEROGENEOUS OPERATIONS

- Beyond visual line of sight/expanded
- Over moderately populated land
- Some interaction with manned aircraft
- Tracking, V2V, V2UTM and internet connected

**Product: Requirements for heterogeneous operations**

## CAPABILITY 2: DEMONSTRATED HOW TO ENABLE EXPANDED MULTIPLE OPERATIONS

- Beyond visual line-of-sight
- Tracking and low density operations
- Sparsely populated areas
- Procedures and “rules-of-the road”
- Longer range applications

**Product: Requirements for multiple BVLOS operations including off-nominal dynamic changes**

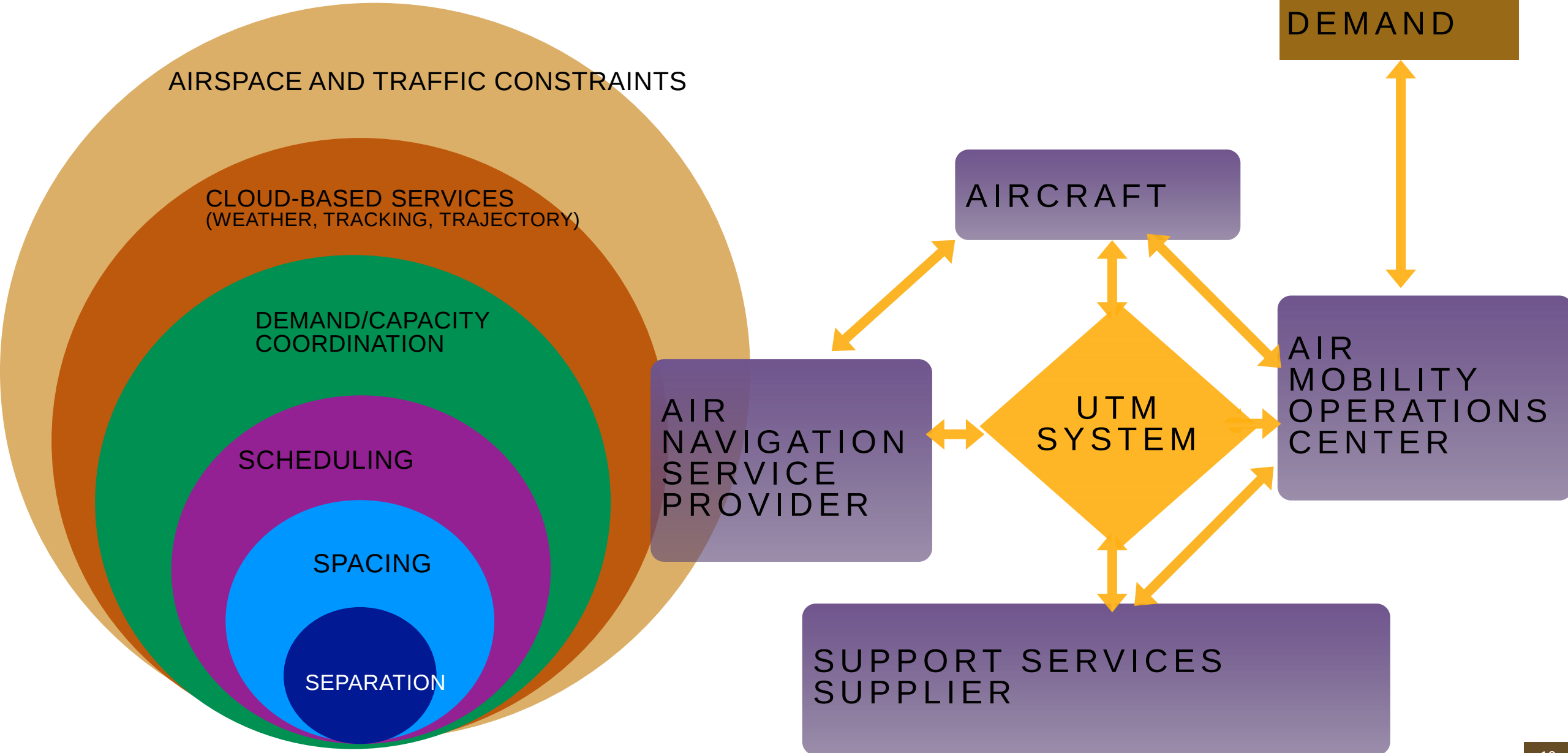
## CAPABILITY 4: FOCUSES ON ENABLING MULTIPLE HETEROGENEOUS HIGH DENSITY URBAN OPERATIONS

- Beyond visual line of sight
- Urban environments, higher density
- Autonomous V2V, internet connected
- Large-scale contingencies mitigation
- Urban use cases

**Product: Requirements to manage contingencies in high density, heterogeneous, and constrained operations**

**Risk-based approach: depends on application and geography**

# Connected system for scalability



# CRAWL-WALK-RUN APPROACH

## Low-density: Initial Operational Capability

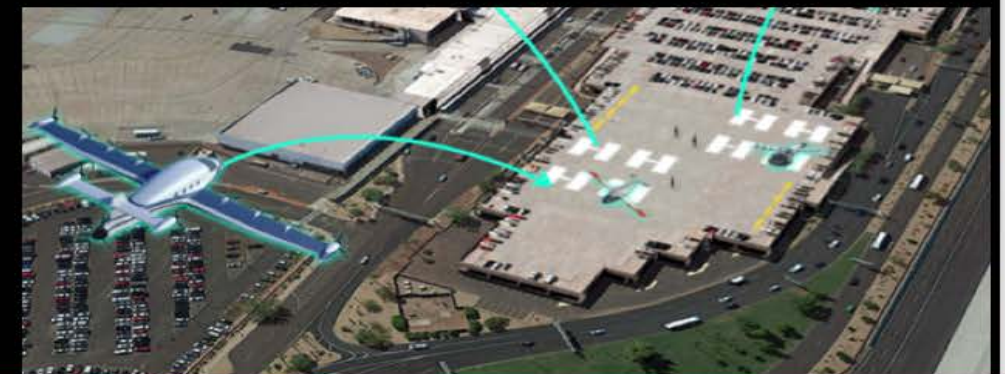
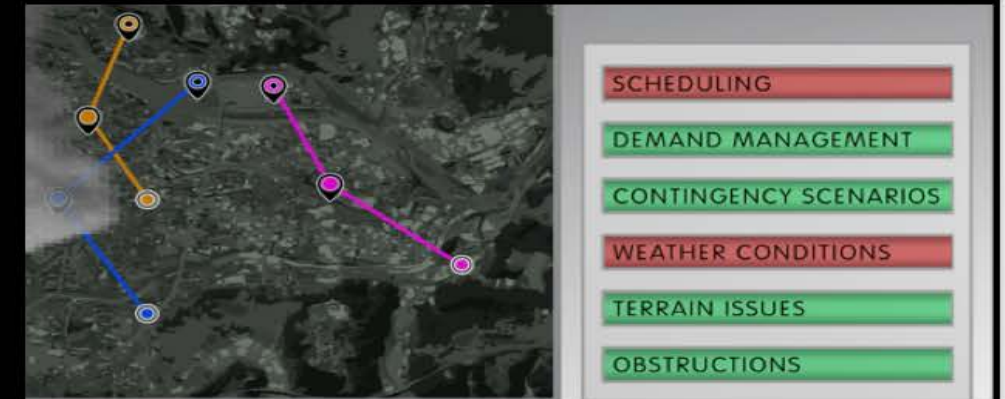
- Helicopter routes using today's procedures
- Clearance into controlled airspace (UTM - authorization and notification)
- User created conflict-free trajectories and own tracking

## Medium-density: Interim Operational Capability

- User creates conflict-free trajectories
- Interoperable, cooperative, intent sharing through UTM
- Self-managed operations (e.g., detect and avoid, contingency management)

## High-density: Mature Operational Capability

- Fully-autonomous planning, scheduling, separations, entry/exit controlled airspace, interoperability, and contingency management
- Multiple, simultaneous take-offs and landings



RESEARCH NEEDED TO IDENTIFY REQUIREMENTS FOR SCALED OPERATIONS

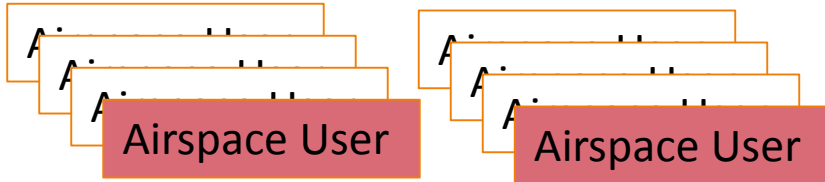
## Current ATM

All services are provided by the FAA

- Traffic flow management
- Airspace directives/constraints
- Scheduling, sequencing and spacing
- Separation management
- Off-nominal management
- Every vehicle interaction in real-time

FAA Systems

Humans address off-nominal and contingencies



Very little interaction among users, and 3<sup>rd</sup> party services

- Human in the epi-center of information integration
- Every data moves through FAA systems for every vehicle
- Each change focused in on domain-specific FAA system

## UTM-inspired-ATM

Some services are provided by FAA

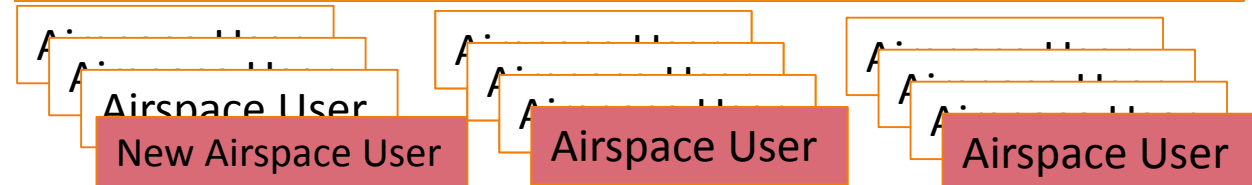
- Airspace directives/constraints
- Resource availability and changes to resources (e.g., arrival/departure rates, resource schedules)
- Separation

FAA  
Systems

User or third party services

- Flow management
- Sequencing, and spacing
- User participation strategic Separation (e.g., oceanic)

Automation addresses off-nominal and contingencies



Users collaborate/cooperate for efficiency, intra-user preferences for flights into constrained resources

- Automation in the epi-center of information integration
- New paradigm: digital and connected ecosystems- outside apps, scalability

NASA Unique Role: Architecture, data exchange, service allocation/roles/responsibilities, rules of engagement, service performance requirements, automation for contingency management and disruption handling, machine learning environment and algorithms for continuous improvement, certification/acceptance approaches, and technology transitions

# Current Airspace Operations: Reason for Change

## Current State of the Art

- Not scalable due to human-centric decisions and actions
- Virtually all interactions/data goes through FAA systems
- Does not leverage industry capabilities

## Results In

- Does not accommodate new users and higher density of current users
- Modernization becomes slow process
- All costs are borne by government

## Change is needed/Redefine

- Methods and techniques
- Interactions among operators and FAA system
- Service-oriented architecture
- Safety systems – increasing airspace access while ensuring safety

If we don't change now the global leadership will suffer

# Summary

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- Tenets of UTM-inspired-ATM – movement towards cooperative traffic management
- Leverages industry services
- Practical implementation strategy

# QUESTIONS?

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*Embracing innovation in aviation while respecting its safety tradition*