

Human System Risk in Spaceflight

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Conflicts of Interest Disclosure

1. Assistant Professor of Emergency Medicine, Baylor College of Medicine
2. Assistant Professor of Space Medicine, Center for Space Medicine
3. Attending Physician, Ben Taub General Hospital

4. Assistant Director, Human Health and Performance, NASA Johnson Space Center

I have financial interests in the above entities.

Today I am speaking in my capacity as an Assistant Director for NASA



19

~560

1,000,000,000

Outline

- **Historical Spaceflight Medical Systems**
- **Upcoming Missions and Medical Challenges**
- **Medical Risk and Spaceflight Events**
- **Medical System and Technology Integration**

Space Medicine History

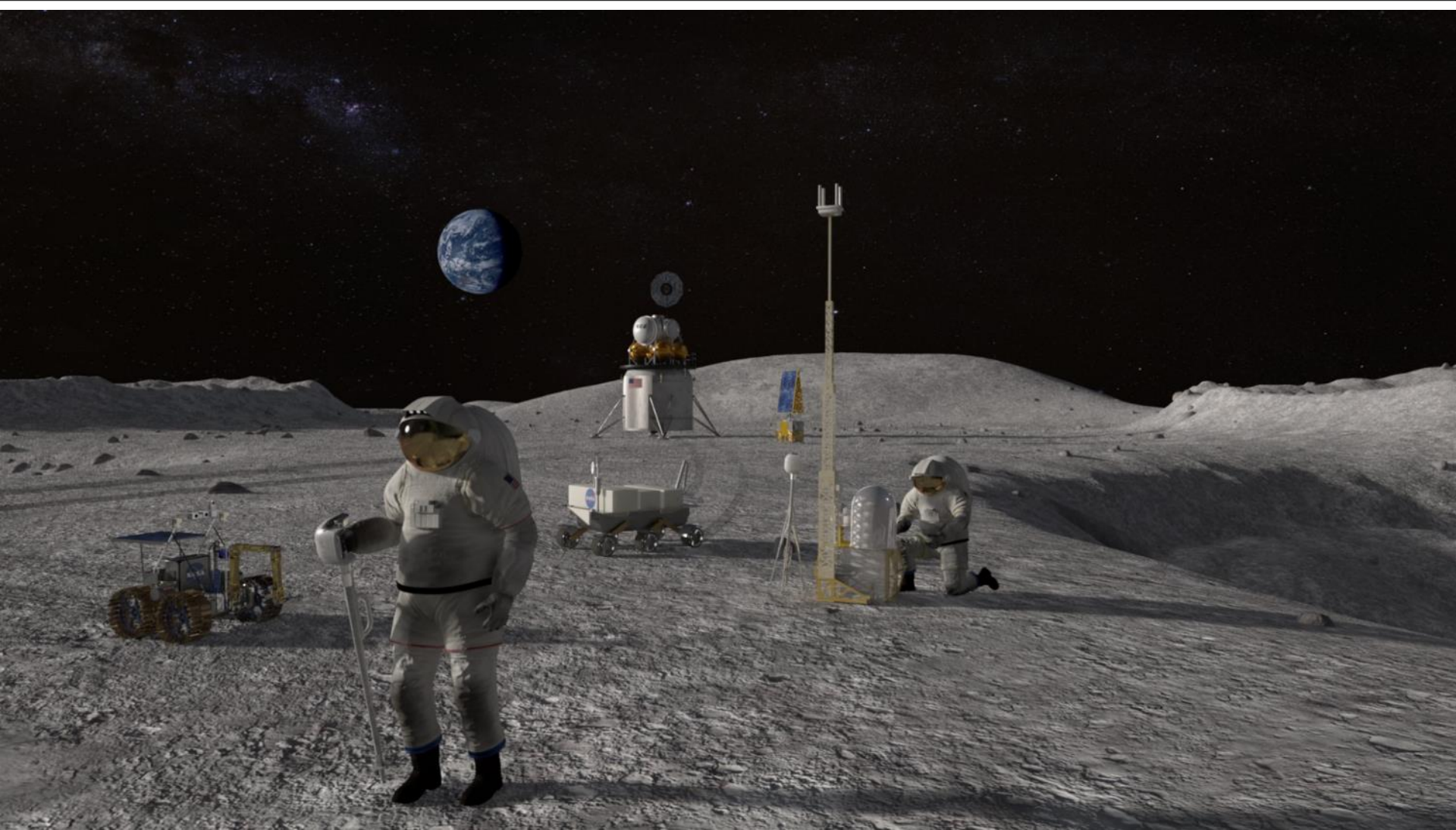


Gateway



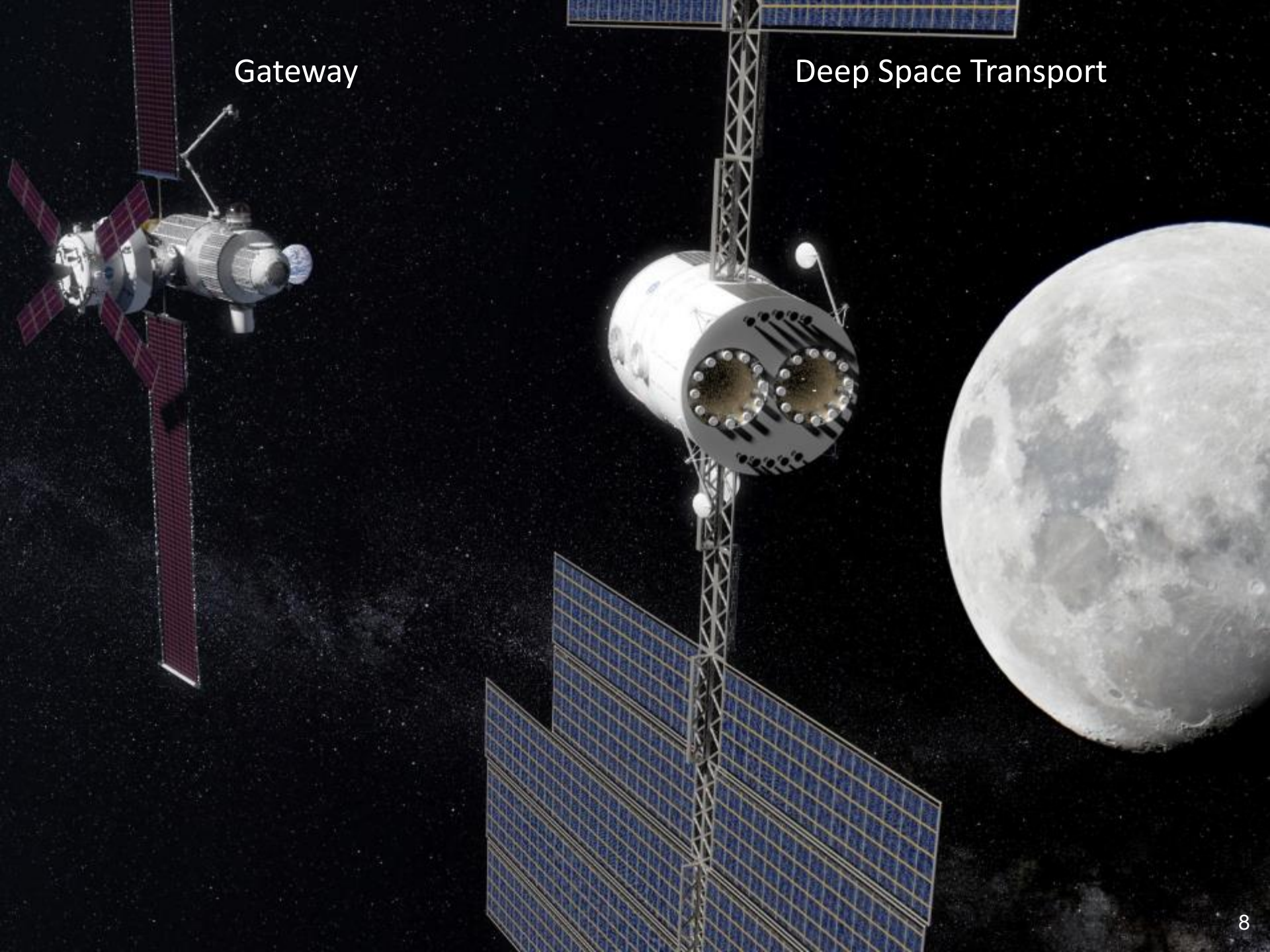
Orion

Artemis

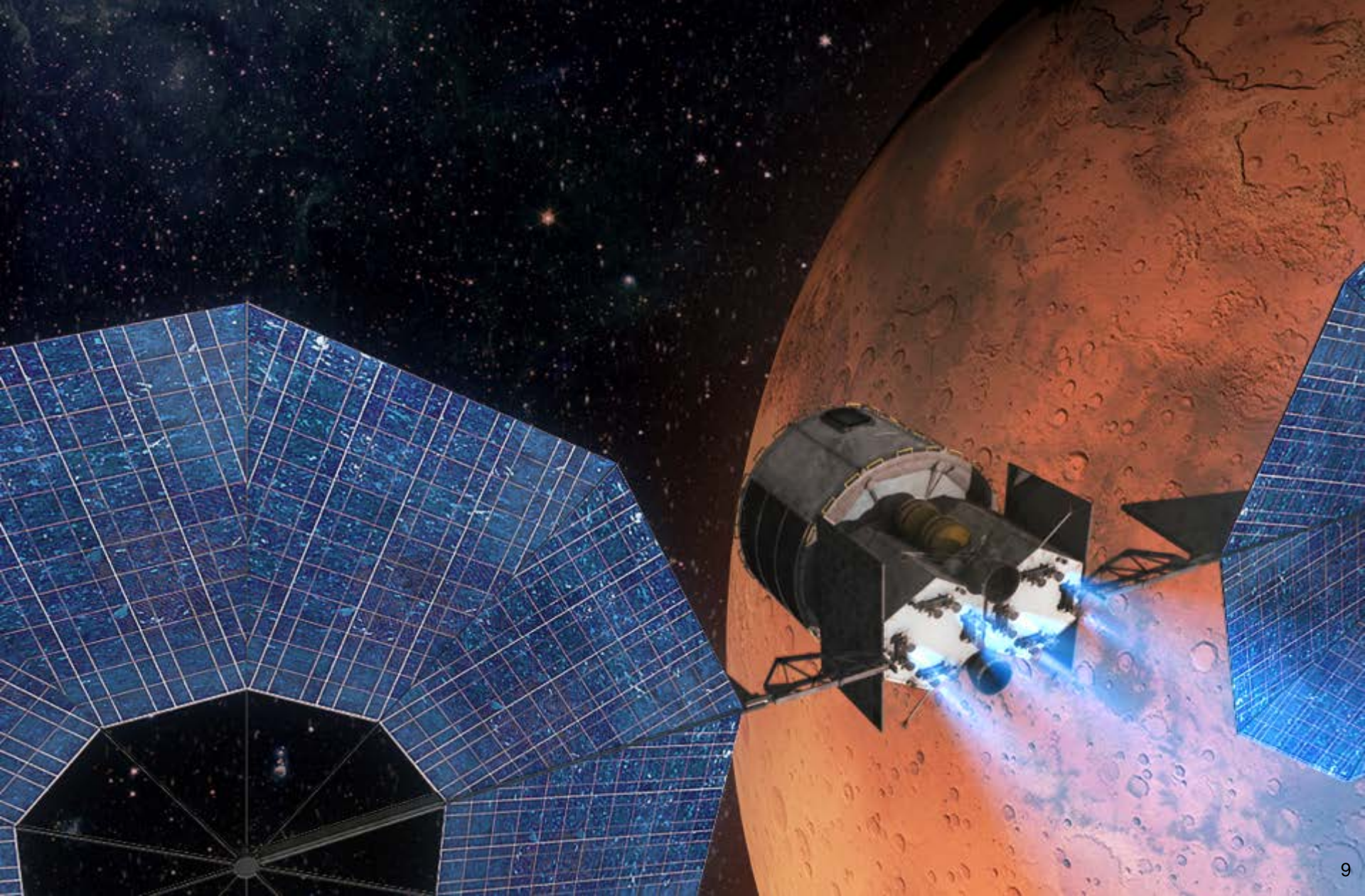


Gateway

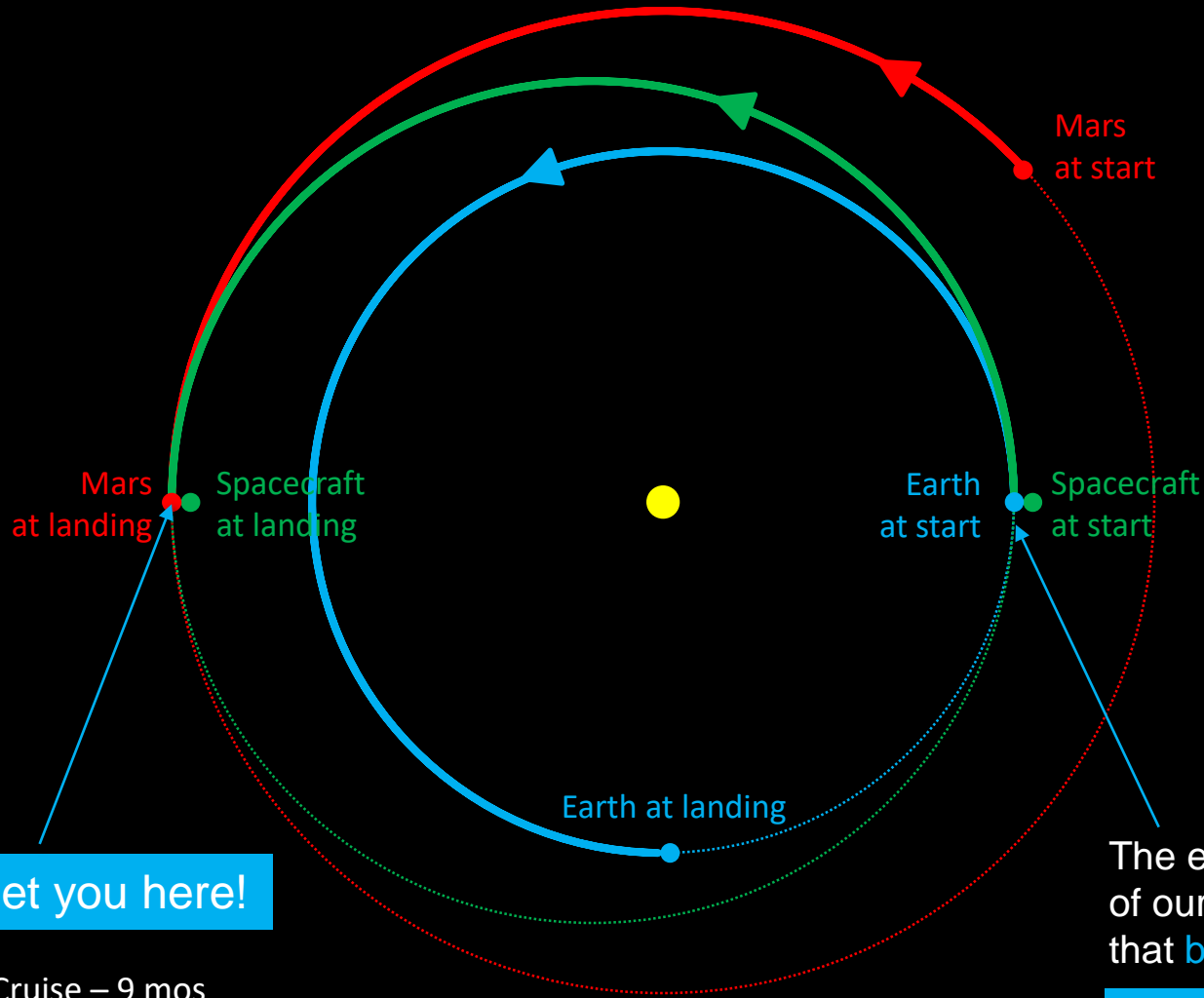
Deep Space Transport



What about Mars?



No comparable human experience for Mars



Will not get you here!

Trans-Mars Cruise – 9 mos
Full Mission - ~34 mos

The entire experience of our species fits into that blue dot.

What got you here...

Hazards of Spaceflight

Hazards Drive Human Spaceflight Risks

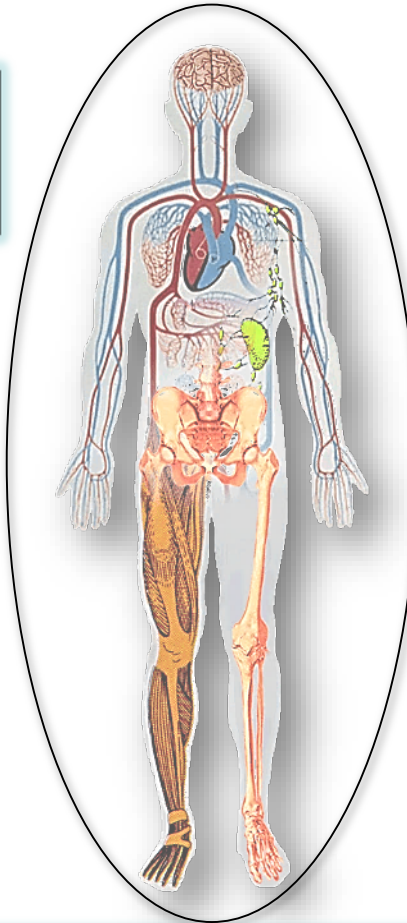
Altered Gravity -
Physiological Changes

Distance from earth

Space Radiation

Hostile/
Closed Environment

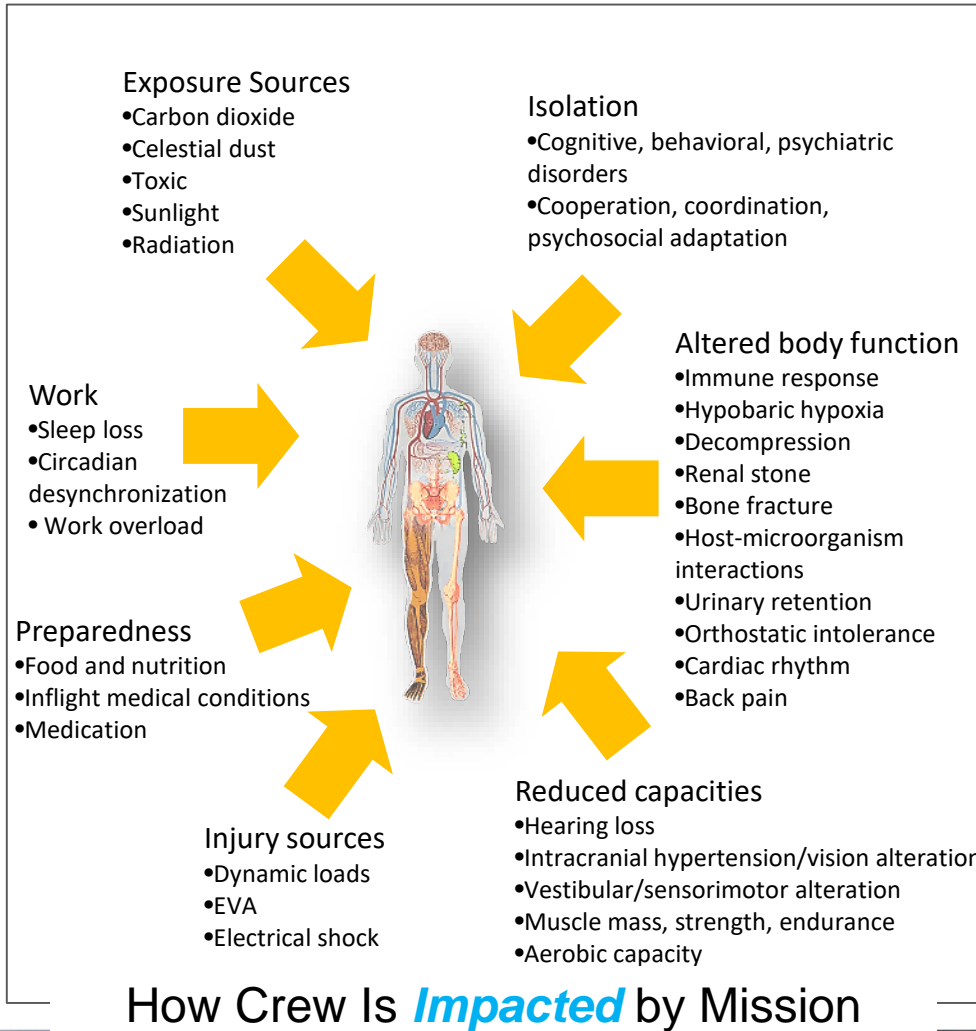
Isolation & Confinement



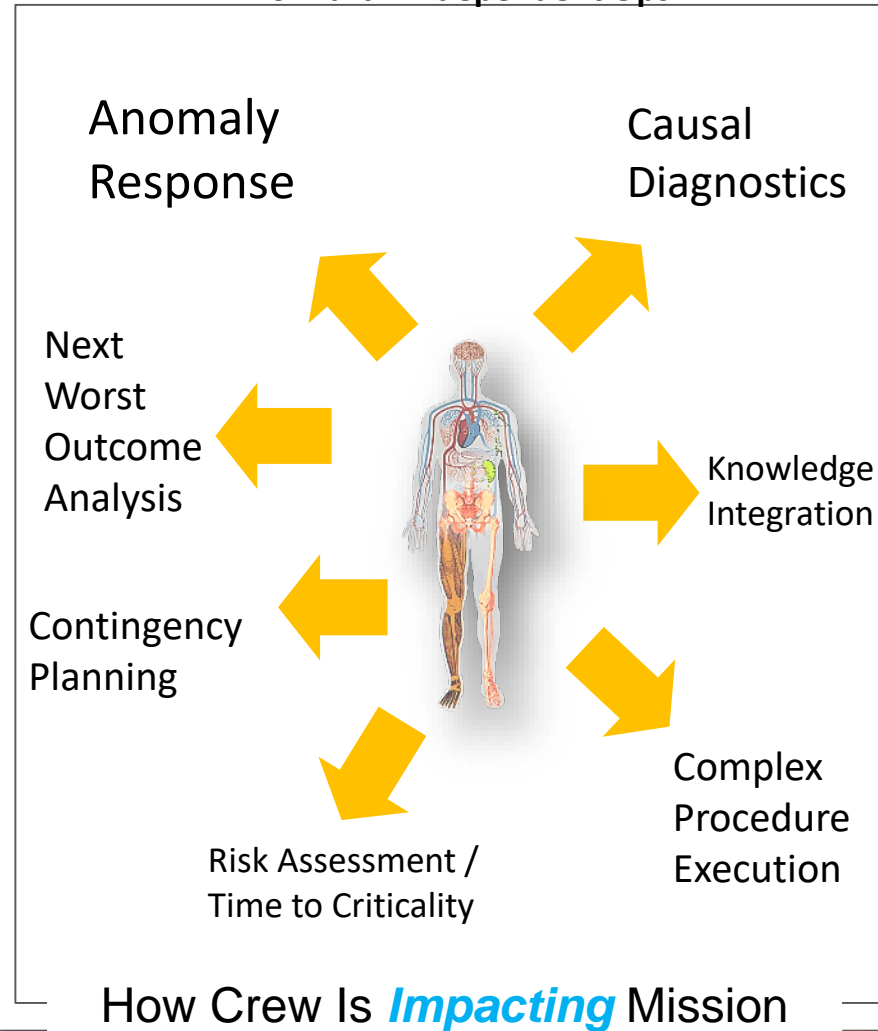
Why does performance matter?

Humans in Extreme Environments

Mitigating Impacts on Human Health & Performance



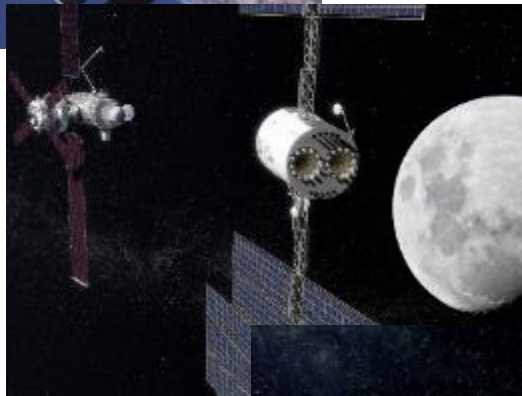
Enabling Unique Human Capabilities for Earth-Independent Ops



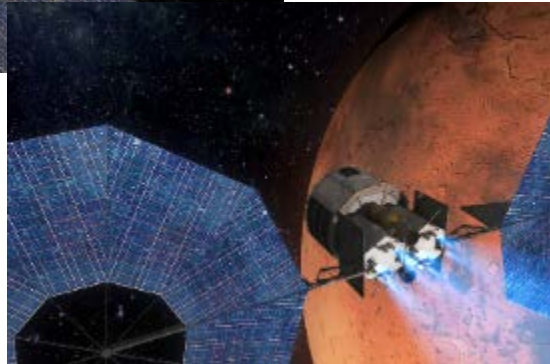
Progressive Earth Independence



- Real Time Communications
- Evacuation Capability (1.5 – 36 hrs)
- Strong Consumables Resupply



- Near Real Time Communications
- Evacuation Capability (**72 – 144 hrs**)
- **Limited** Consumables Resupply



- **No** Real Time Communications
- **No** Evacuation Capability
- **No** Consumables Resupply



Increasing exposure to Hazards

Crew Health and Performance Goal

Provide a crew that is fit for duty when the mission calls.

Do we need medicine in Spaceflight?

Table 2. Number of occurrences of medical conditions that have affected NASA astronauts during previous space missions (NASA 2017b). Data are obtained from LSAH records for medical conditions that occurred among US astronauts during the Space Shuttle Program, Mir, and ISS (through Expedition 13 in 2006) missions. EVA: extravehicular activity

Medical Condition	Events	Medical Condition	Events
Allergic reaction (mild to moderate)	11	Mouth ulcer	9
Ankle sprain/strain	11	Nasal congestion (space adaptation)	389
Back injury	31	Neck injury	9
Back pain (space adaptation)	382	Nose bleed (space adaptation)	6
Barotrauma (ear/sinus block)	31	Otitis externa	3
Choking/obstructed airway	3	Otitis media	3
Constipation (space adaptation)	113	Paresthesias	26
Diarrhea	33	Pharyngitis	11
Elbow sprain/strain	12	Respiratory infection	33
Eye abrasion (foreign body)	70	Shoulder sprain/strain	22
Eye chemical burn	6	Sinusitis	6
Eye infection	5	Skin abrasion	94
Finger dislocation	1	Skin infection	13
Fingernail delamination (EVA)	16	Skin laceration	1
Gastroenteritis	4	Skin rash	94
Headache (CO ₂ induced)	20	Smoke inhalation	3
Headache (late)	49	Space motion sickness (space adaptation)	325
Headache (space adaptation)	233	Urinary incontinence (space adaptation)	5
Hemorrhoids	2	Urinary retention (space adaptation) – female	5
Herpes Zoster reactivation (shingles)	1	Urinary retention (space adaptation) – male	4
Indigestion	6	Urinary tract infection – female	5
Influenza	1	Urinary tract infection – male	4
Insomnia (space adaptation)	299	Visual impairment/increased intracranial pressure (space adaptation)	15
Insomnia (late)	133	Wrist sprain/strain	5
Knee sprain/strain	7		

What about between flights?

- Kidney stone x 14
- Clostridium deficile infection
- Gastroenteritis/ colitis
- Inguinal hernia x 4
- Olecranon bursitis r/o septic joint
- Hand bacterial tenosynovitis
- Pneumonia x 2
- Corneal ulcer
- Severe epistaxis
- Right ovarian cyst
- Dysmenorrhea
- Sudden hearing loss x 2
- V-tach, exercise induced
- Angina
- Allergic reaction - severe
- Retinal detachment x 2
- Appendicitis x 2
- Diverticulitis
- Prostate Cancer x 5
- Stroke with Patent Foramen Ovale
- TIA from A. Fib
- Bladder Outlet Obstruction
- Ulcerative Colitis
- Flexor Digitorum Synovitis
- Bowel Resection
- Fatty Liver Disease
- Bulging Disc with Radiculopathy x 44 (Cervical 18 and Lumbar 26)
- Hypercholesterolemia
- Hypertension (essential)
- Atrial Fibrillation with ablation x 5
- Brain (Pituitary) Tumor x 2
- Choledocholithiasis x 4
- Pancreatitis x 2
- Hemorrhagic cyst
- Lower GI bleeding
- Duodenal ulcer with upper GI bleeding
- Malignant Melanoma
- Total Knee replacement x 2
- Total Hip Replacement X 2
- Shoulder surgical repair x 22
- Coronary Disease (Asymptomatic x 12)
- SANS

How is medical care provided in mission?

- Live remote guidance



- Live monitoring



- Store and forward

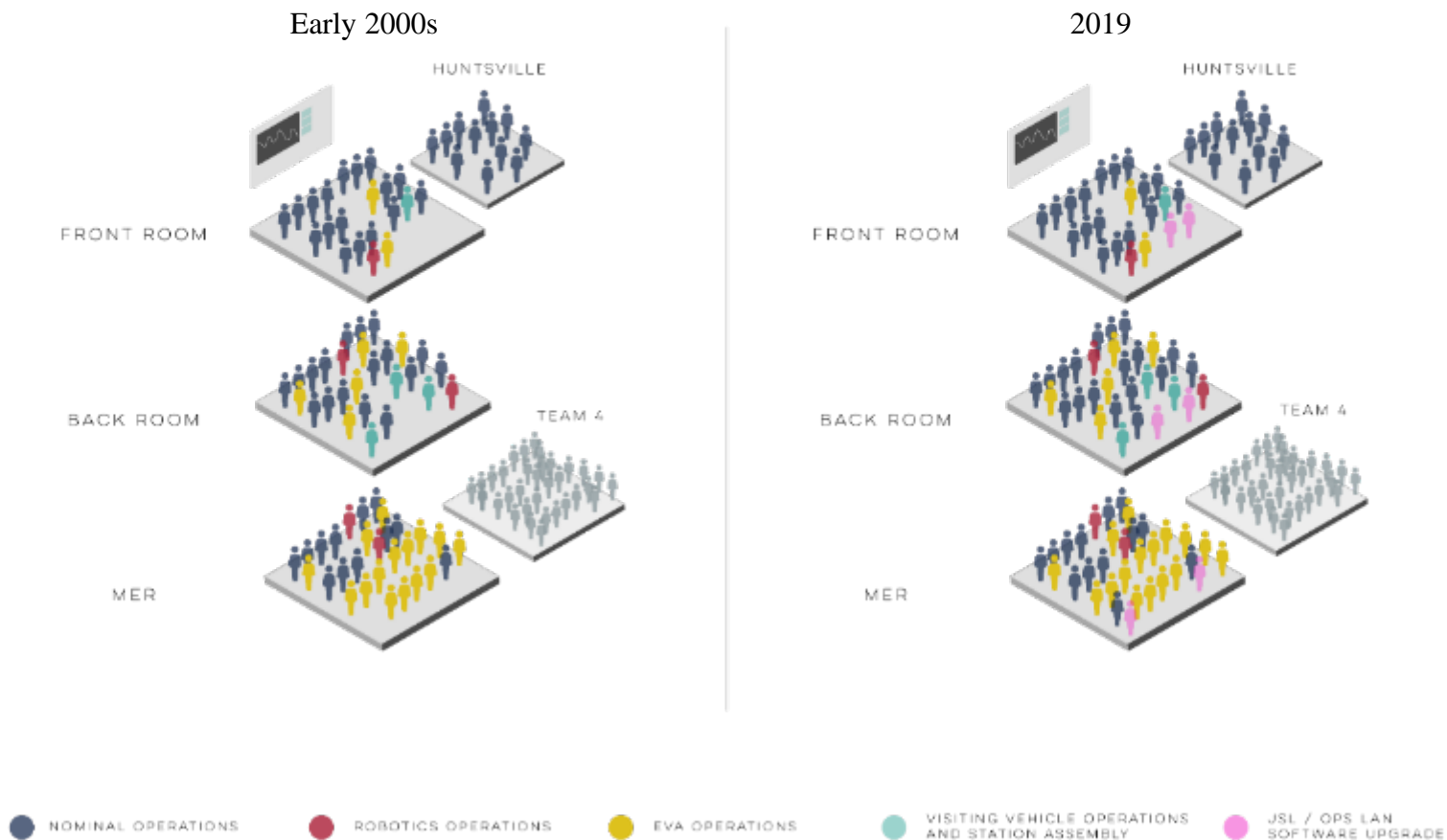


- Autonomous

What does autonomous mean?

Can be up to 150 people working the first 1 hour of a critical situation

MCC Staffing



MEDICAL RISK

Exploration Medical Conditions

SKIN

Burns secondary to Fire
Skin Abrasion
Skin Laceration

EYES

Acute Glaucoma
Eye Corneal Ulcer
Eye Infection
Retinal Detachment
Eye Abrasion
Eye Chemical Burn
Eye Penetration

EARS, NOSE, THROAT

Barotrauma (sinus block)
Nasal Congestion (SA)
Nosebleed (SA)
Acute Sinusitis
Hearing Loss
Otitis Externa
Otitis Media
Pharyngitis

DENTAL

Abscess
Caries
Exposed Pulp
Tooth Loss
Crown Loss
Filling Loss

CARDIOVASCULAR

Angina/Myocardial Infarction
Atrial Fibrillation / Atrial Flutter
Cardiogenic Shock secondary to Myocardial Infarction
Hypertension
Sudden Cardiac Arrest
Traumatic Hypovolemic Shock

GASTROINTESTINAL

Constipation (SA)
Abdominal Injury
Acute Cholecystitis
Acute Diverticulitis
Acute Pancreatitis
Appendicitis
Diarrhea
Gastroenteritis
Hemorrhoids
Indigestion
Small Bowel Obstruction

Pulmonary

Choking/Obstructed Airway
Respiratory Infection
Toxic Exposure: Ammonia
Smoke Inhalation
Chest Injury

*SA – Space Adaptation

NEUROLOGIC

Space Motion Sickness (SA)
Head Injury
Seizures
Headache
Stroke
Paresthesia
Headache (SA)
Neurogenic Shock
VIIP (SA)

MUSKULOSKELETAL

Back Pain (SA)
Abdominal Wall Hernia
Acute Arthritis
Back Injury
Ankle Sprain/Strain
Elbow Dislocation
Elbow Sprain/Strain
Finger Dislocation
Fingernail Delamination (EVA)
Hip Sprain/Strain
Hip/Proximal Femur Fracture
Knee Sprain/Strain
Lower Extremity Stress fracture
Lumbar Spine Fracture
Shoulder Dislocation
Shoulder Sprain/Strain
Acute Compartment Syndrome
Neck Injury
Wrist Sprain/Strain
Wrist Fracture

PSYCHIATRIC

Insomnia (Space Adaptation)
Late Insomnia
Anxiety
Behavioral Emergency
Depression

GENITOURINARY

Abnormal Uterine Bleeding
Acute Prostatitis
Nephrolithiasis
Urinary Incontinence (SA)
Urinary Retention (SA)
Vaginal Yeast Infection

INFECTION

Herpes Zoster (shingles)
Influenza
Mouth Ulcer
Sepsis
Skin Infection
Urinary Tract Infection

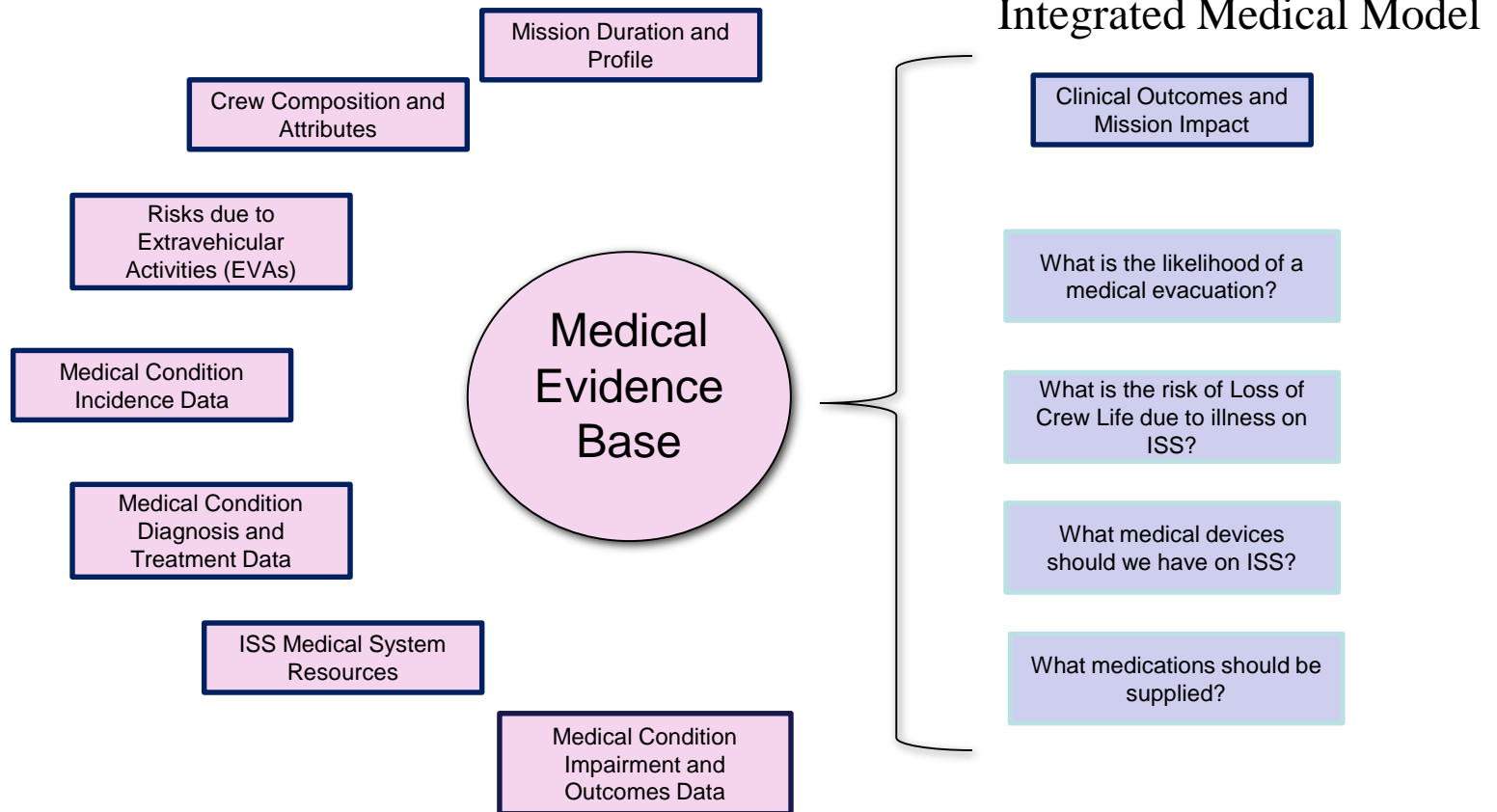
IMMUNE

Allergic Reaction
Anaphylaxis
Skin Rash
Medication Reaction

ENVIRONMENT

Acute Radiation Syndrome
Altitude Sickness
Decompression Sickness (EVA)
Headache (CO₂)

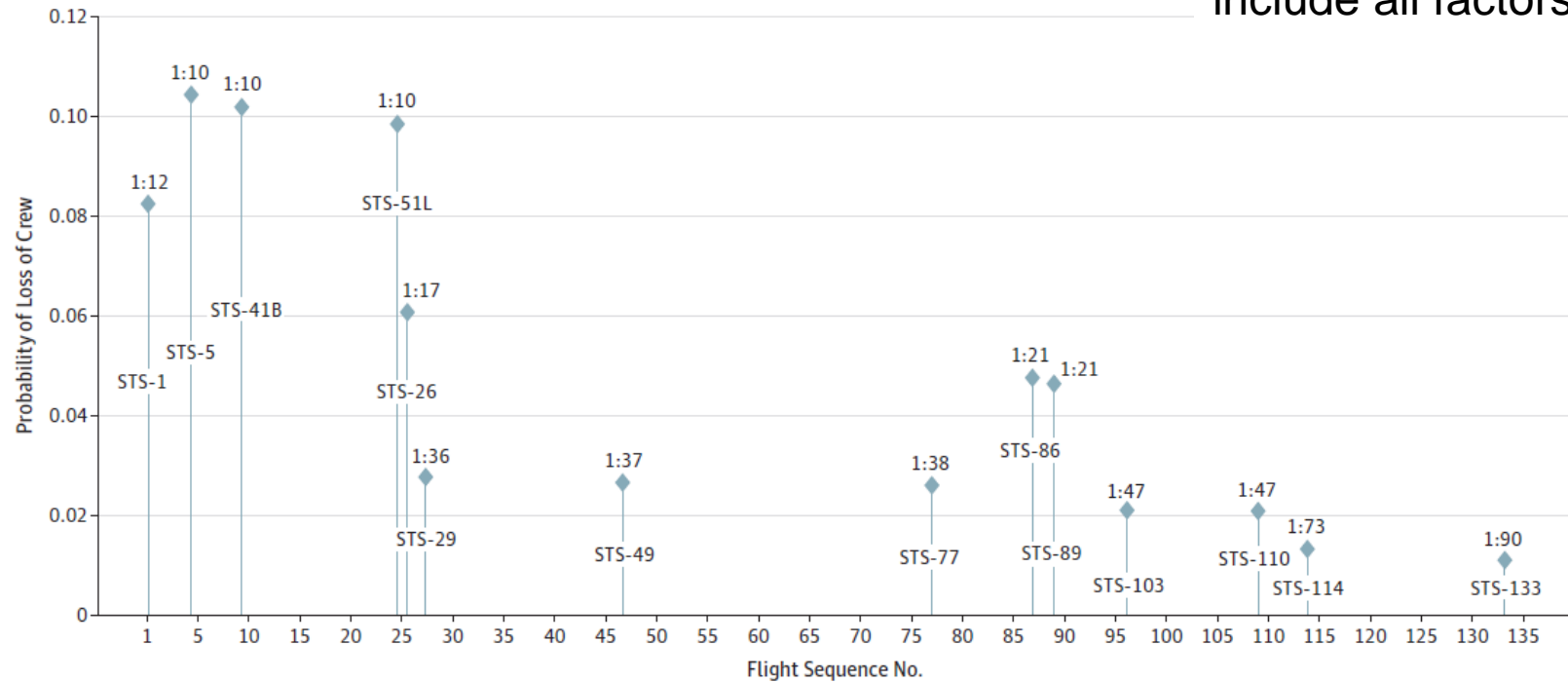
What need does it fill?



Accepting Risk

Figure. Results on Retrospective Analysis on Shuttle Risk

These results include all factors



STS-1 estimate includes crew escape with ejection seats (risk is 1:9 ratio without ejection seats). STS-1 risk may have been higher because of unquantified risks.

The vertical lines indicate individual flights. Adapted from the National Aeronautics and Space Administration Aerospace Safety Advisory Panel.⁴

Bagian, JAMA Neurology January, 2019




Evacuation

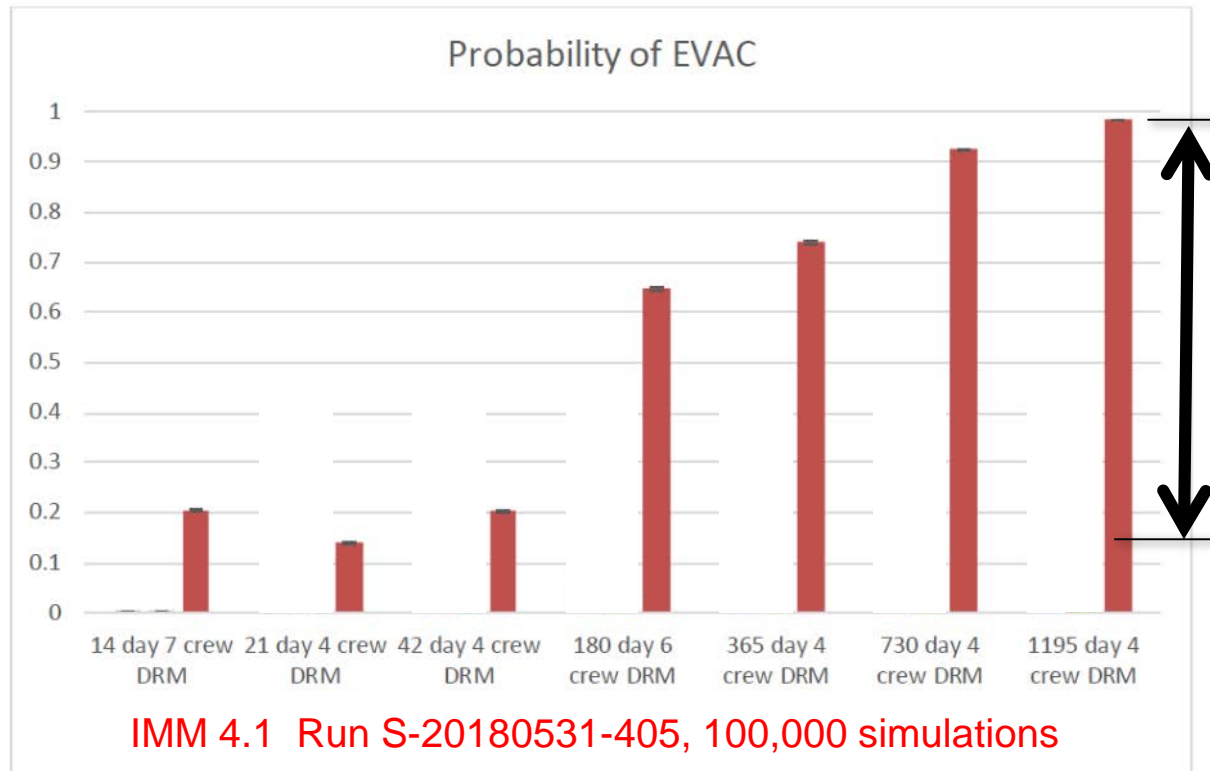
EVAC is baselined to ISS

EVAC if any criteria are met:

- potential LOCL
- potential significant permanent impairment
- potential intractable pain
- No other assumptions are made

What happens when evacuation takes longer? Or there is no evacuation option?

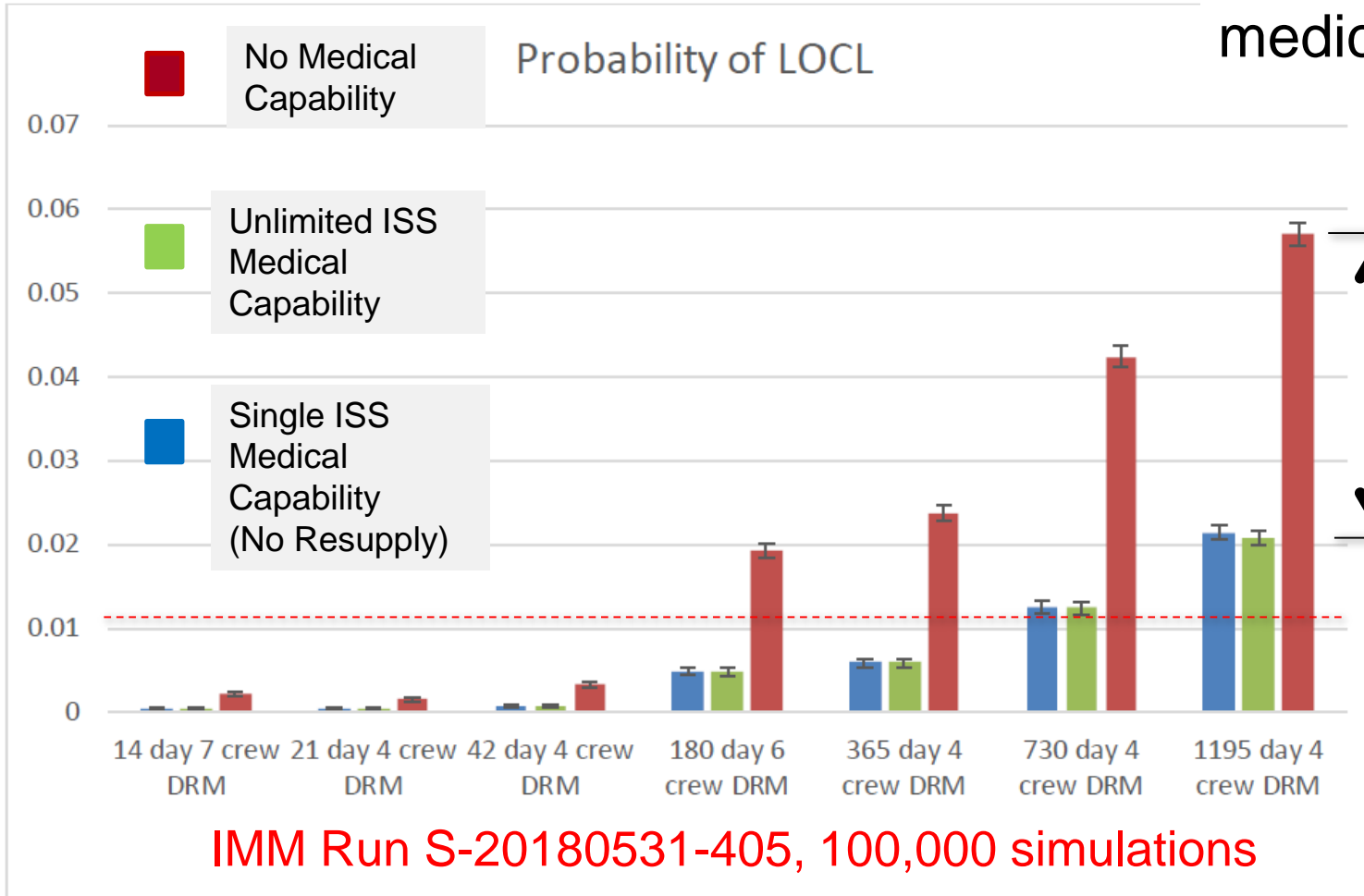
-  No Medical Capability
-  Unlimited ISS Medical Capability
-  Single ISS Medical Capability (No Resupply)



Estimated difference between having unlimited ISS medical capability and no medical capability

Loss of Crew Life

These results are medical event only



Estimated difference between having unlimited ISS medical capability and no medical capability

1/90 is where the Space Shuttle total LOC risk fell at the end of the program.

Remember we flew with risk as high as 1/10 in early shuttle.

Quantifying Performance Deficits

Small differences between treated and untreated reflect the benefits of selecting very healthy individuals

CHI Definition: Proportion of mission time *not* lost to medical events

$$1 - \frac{\sum QTL}{L * n} = CHI$$

N = # crew,

L = mission length,

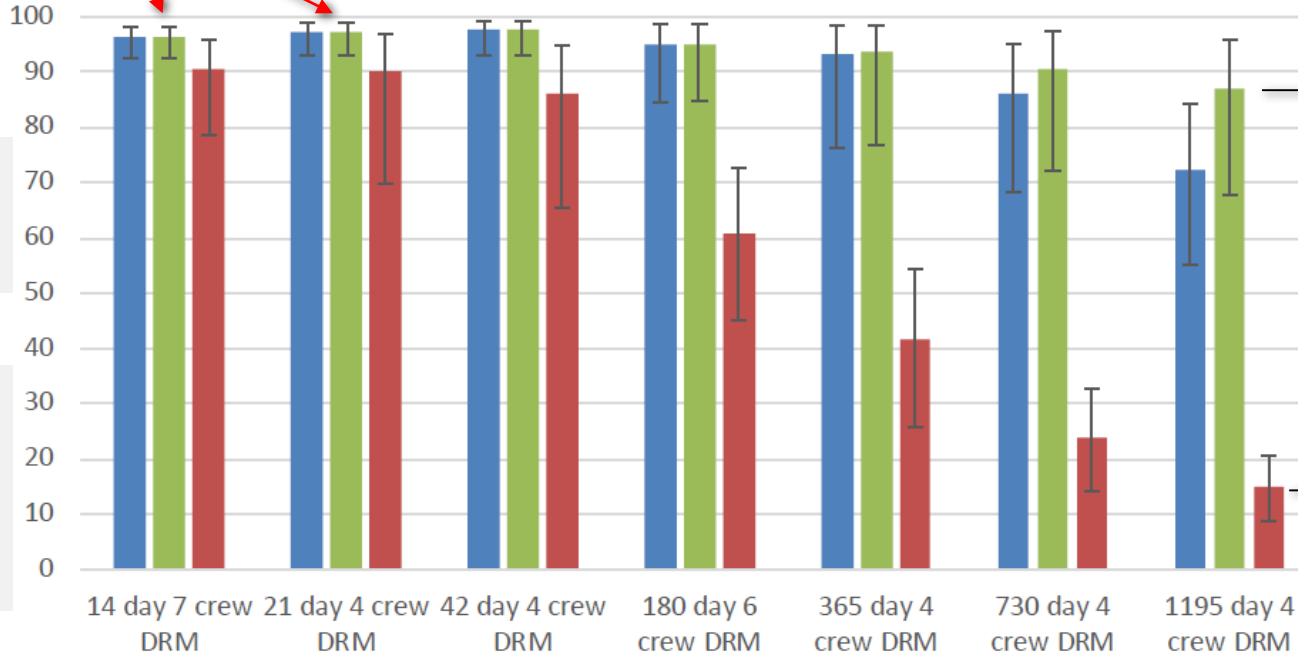
QTL = quality time lost; is a function of functional impairment and duration

Crew Health Index

No Medical Capability

Unlimited ISS Medical Capability

Single ISS Medical Capability (No Resupply)



Expected Performance deficit between Unlimited ISS Capability and No Medical Capability.

IMM Run S-20180531-405, 100,000 simulations

Spaceflight Medical Risk

Medical Risk

~100 Medical Conditions

Medical Conditions for which we have not planned.

How do models compare to real life?

IMM Simulation Data

- Medical Illness
 - VIIP/SANS
 - Dental Abscess
 - Kidney Stone
 - Sepsis
 - Stroke
 - Angina/ MI
 - Afib/Aflutter
- Environmental
 - Smoke/Toxic Exposure
- Injury/Trauma
 - Hypovolemic Shock
 - Wrist Fracture
 - Back Injury

Actual Russian Flight Data*

- EVAC
 - Urosepsis
 - Cardiac Arrhythmia
 - Smoke Inhalation
- Close Call EVAC
 - Kidney Stone
 - Dental Abscess
 - Toxic Exposure

* Russian medical data not used in IMM

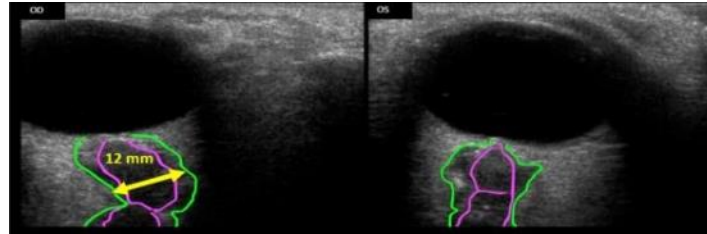
Fire and Toxic Exposure



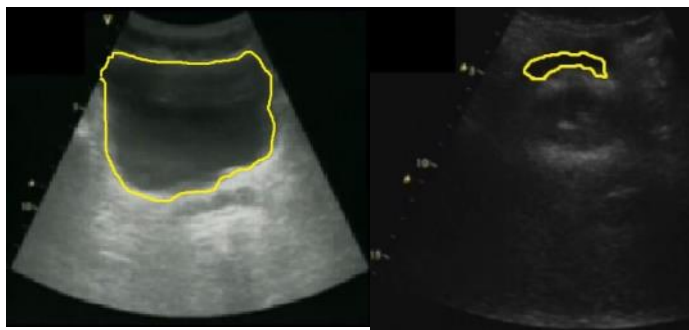
Near Drowning in EVA



SANS – adaptation or pathology?



Urinary Tract Infections and Sepsis



In-flight Post-void
Ultrasound

Ground Post-void
Ultrasound

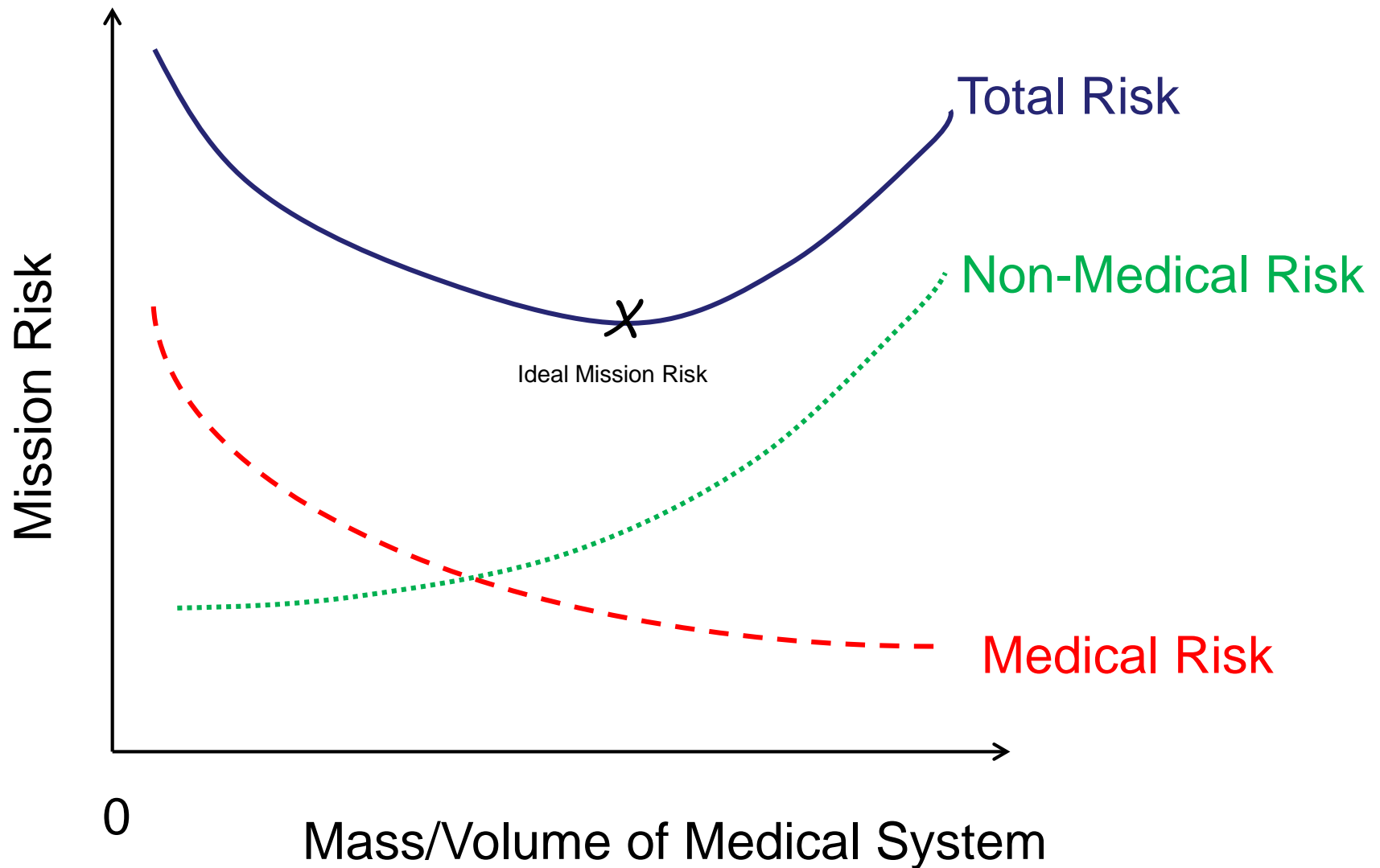
Return to Earth gravity



Capsule Egress



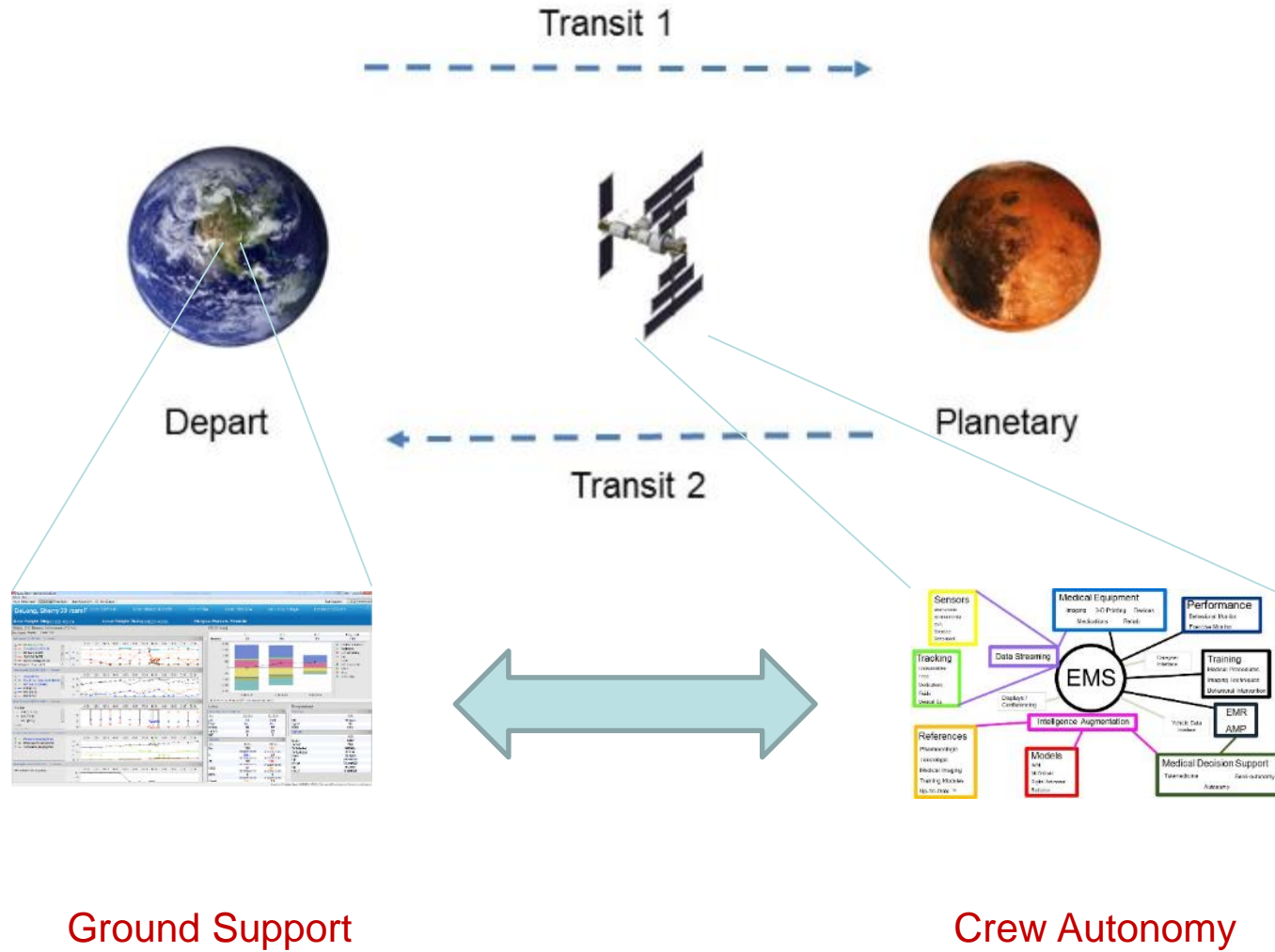
Medical and Non-medical Risk



There are more risks than medical

Human Spaceflight Risks	In Mission Risk - Operations						Post Mission Risk - Long Term Health					
	Low Earth Orbit	Low Earth Orbit	Deep Space Sortie	Lunar Visit/ Habitation	Deep Space Journey/ Habitation	Planetary Visit/ Habitation	Low Earth Orbit	Low Earth Orbit	Deep Space Sortie	Lunar Visit/ Habitation	Deep Space Journey/ Habitation	Planetary Visit/ Habitation
	6 Months	1 Year	1 Month	1 Year	1 Year	3 Years	6 Months	1 Year	1 Month	1 Year	1 Year	3 Years
Renal Stone Formation	Accepted	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation	Accepted	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation
Inflight Medical Conditions	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation	Requires Mitigation	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation	Requires Mitigation
Vision Alterations	Accepted	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation	Accepted	Accepted	Accepted	Accepted	Requires Mitigation	Requires Mitigation
Cardiac Rhythm Problems	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Requires Mitigation	Requires Mitigation	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring
Cognitive or Behavioral Conditions	Accepted with Monitoring	Requires Mitigation	Accepted with Monitoring	Requires Mitigation	Requires Mitigation	Requires Mitigation	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Requires Mitigation
Space Radiation Exposure	Accepted	Accepted	Accepted	Accepted	Requires Mitigation / Data	Requires Mitigation / Data	Accepted with PELs	Accepted with PELs	Accepted with PELs	Requires Mitigation	Requires Mitigation	Requires Mitigation
Inadequate Food and Nutrition	Accepted / Optimize	Accepted / Optimize	Accepted / Optimize	Accepted / Optimize	Accepted / Optimize	Requires Mitigation	Accepted	Accepted	Accepted	Accepted	Accepted / Optimize	Requires Mitigation
EVA Operations	Accepted	Accepted	Accepted / Optimize	Requires Mitigation	Accepted / Optimize	Requires Mitigation	Accepted	Accepted	Accepted / Optimize	Requires Mitigation	Accepted / Optimize	Requires Mitigation
Psychosocial Adaptation within a Team	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Requires Mitigation	Requires Mitigation	Accepted	Accepted	Accepted	Accepted	Accepted	Accepted with Monitoring
Inadequate Human-System Interaction Design	Accepted with Monitoring	Accepted with Monitoring	Accepted with Monitoring	Standard Refinement, May Require Mitigation	Standard Refinement, May Require Mitigation	Requires Mitigation	Accepted	Accepted	Accepted	Accepted	Accepted	Accepted

Implementation requires a Health and Performance System



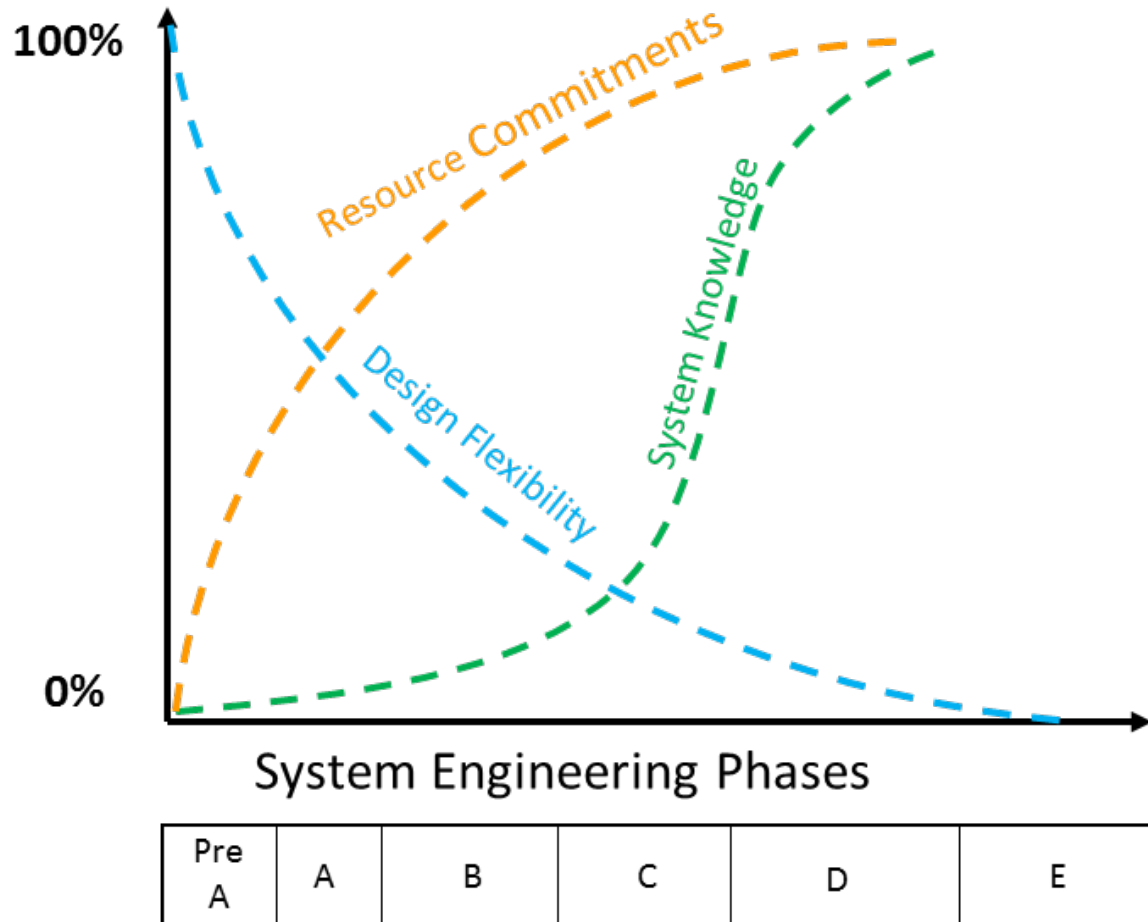
NASA Engineering Life Cycle

NASA Life-Cycle Phases	<u>Approval for Formulation</u>		<u>Approval for Implementation</u>		Implementation		
Project Life-Cycle Phases	Pre-Phase A: Concept Studies	Phase A: Concept & Technology Development	Phase B: Preliminary Design & Technology Completion	Phase C: Final Design & Fabrication	Phase D: System Assembly, Integration & Test, Launch & Checkout	Phase E: Operations & Sustainment	Phase F: Closeout
Project Life-Cycle Gates & Reviews	KDP A ▽ △ MCR	KDP B ▽ ▲ ▲ SRRSDR	KDP C ▽ ▲ PDR	KDP D ▽ ▲ ▲ CDR SIR	KDP E ▽ ▲ ▲ ORR FRR	KDP F ▽	

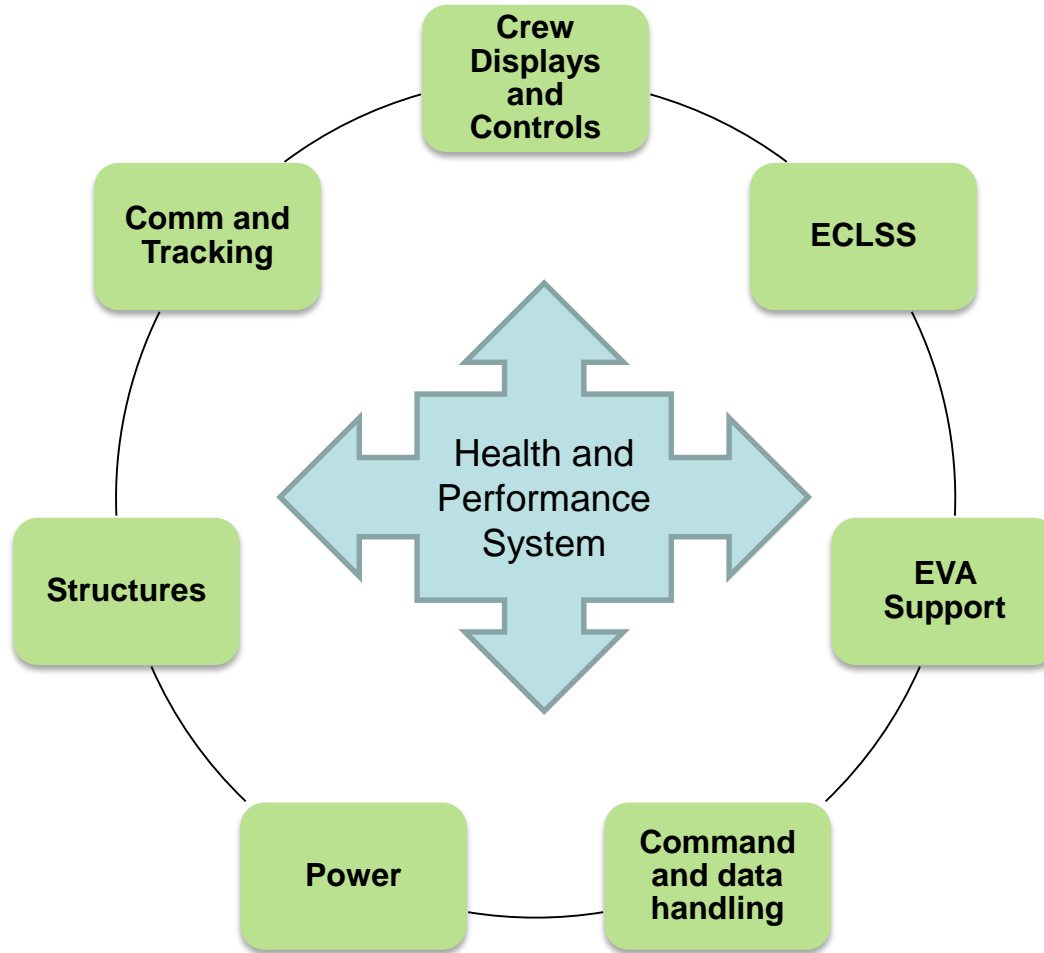


Years

Human Systems Integration



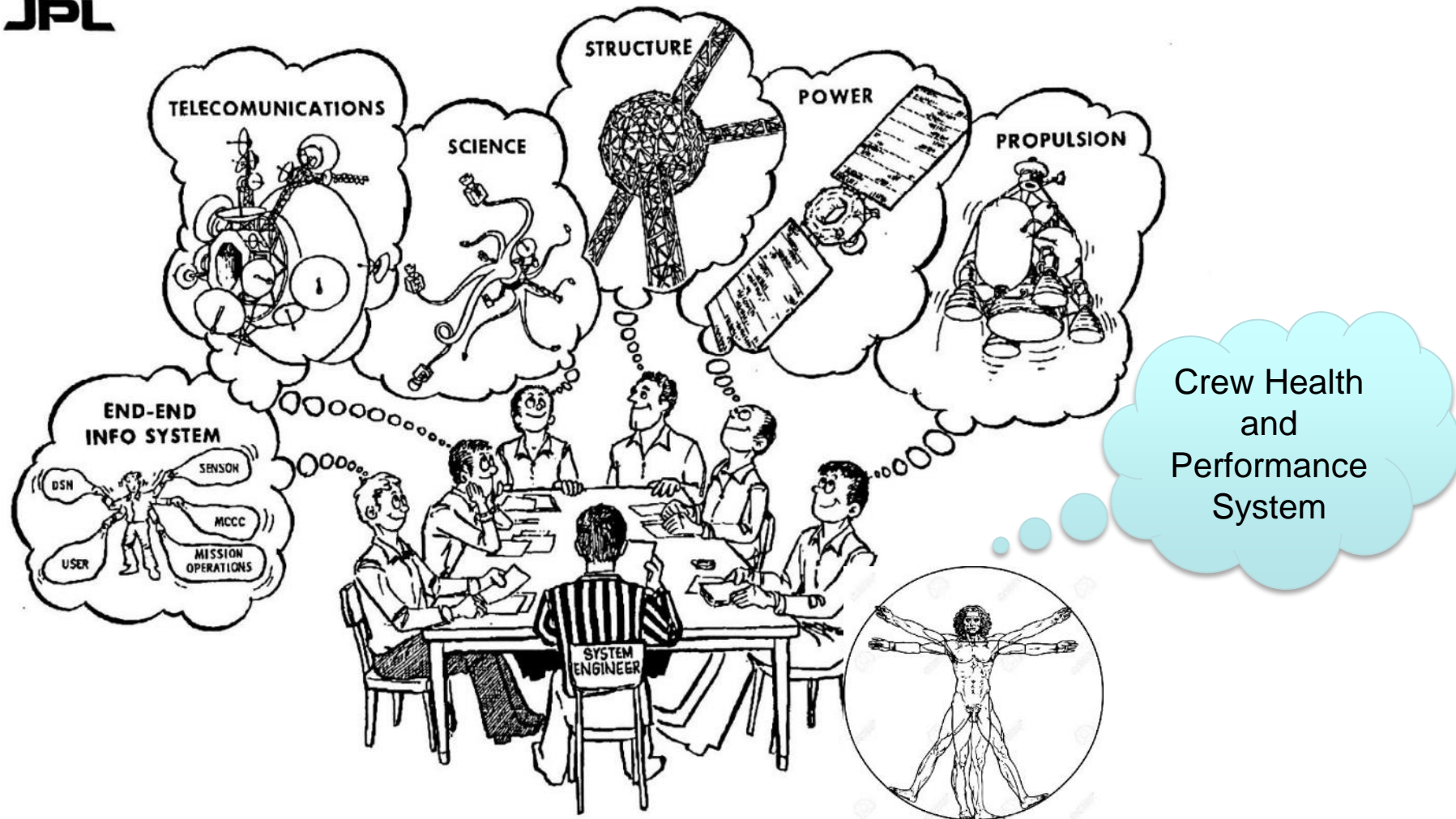
System Interfaces with the Flight System



Medical Systems Engineering

DESIGN TEAM OPERATIONS

JPL



SYSTEM ENGINEERING AT JPL

06/14/91

4.9

Crew Health and Performance System Must...

- **Protect from environmental hazards**

- Radiation protection
- Noise, vibration, CO₂, etc.



- **Keep healthy crew well**

- Exercise
- Other physiological countermeasures
- Food
- Behavioral health



- **Prevent, diagnose, treat, manage long-term health care**

- Data system
 - Medical Data Capture
 - Medical Training
- Medical devices
- Medical supplies

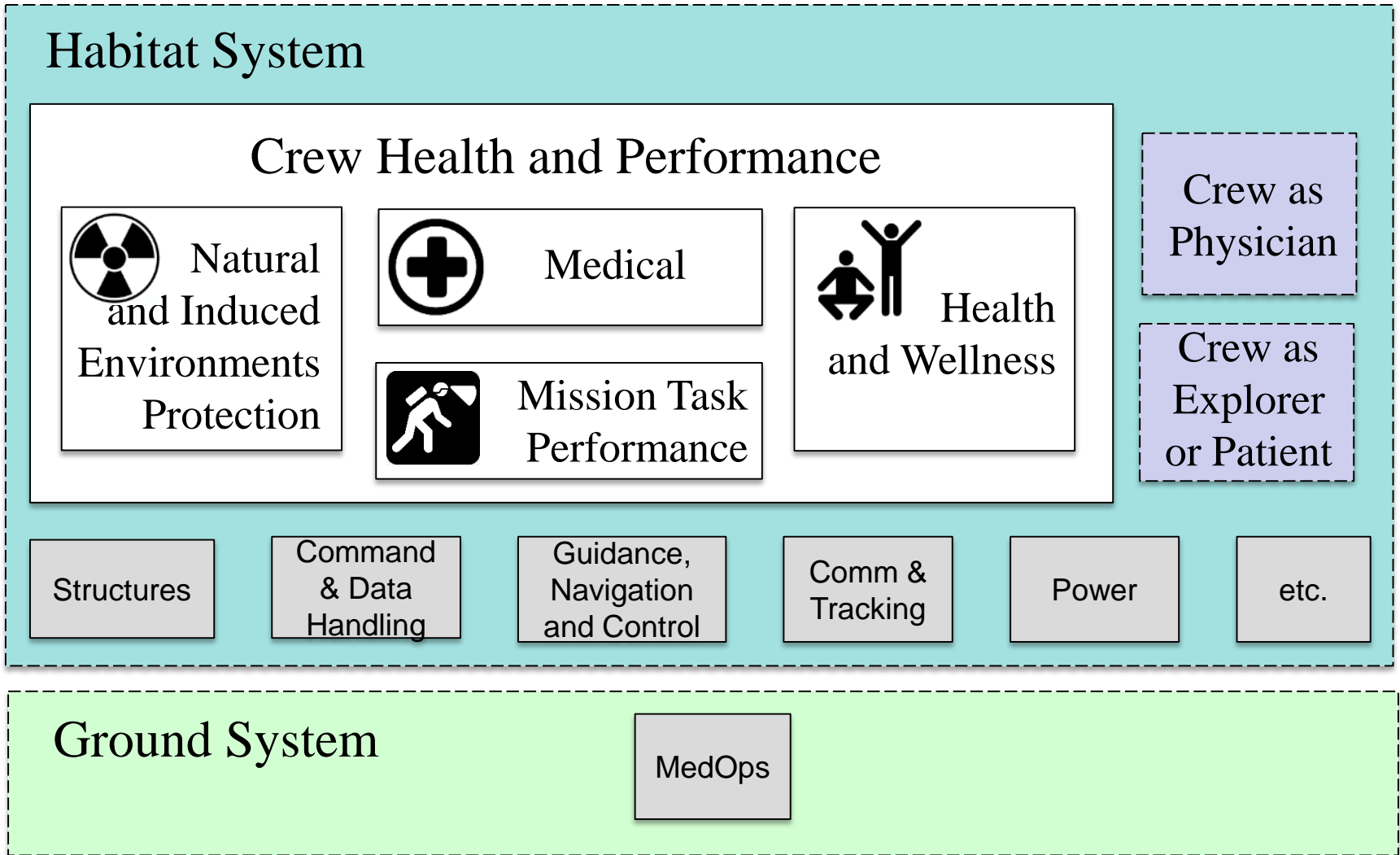


- **Support crew to accomplish mission tasks**

- Procedures
- Training
- User interfaces

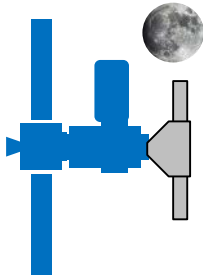


Vehicle/Mission Architecture Integration

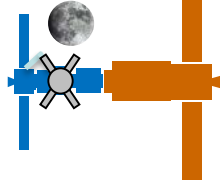


Stepwise Progression

Gateway-Artemis
2024



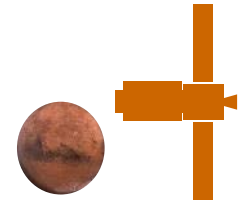
Deep Space Transport
2027



Precursor
2029



Mars
2033



Human System
Requirements

Test System Data
Management

Ground Optimize for 42
Day Mission

Deploy System Data
Handling

Initial Ground Operations
Changes

Exercise Deep Space
Comm, Autonomy, and
Decision Paths

Deploy Revised Ground
Ops

Optimally Autonomous
Crew

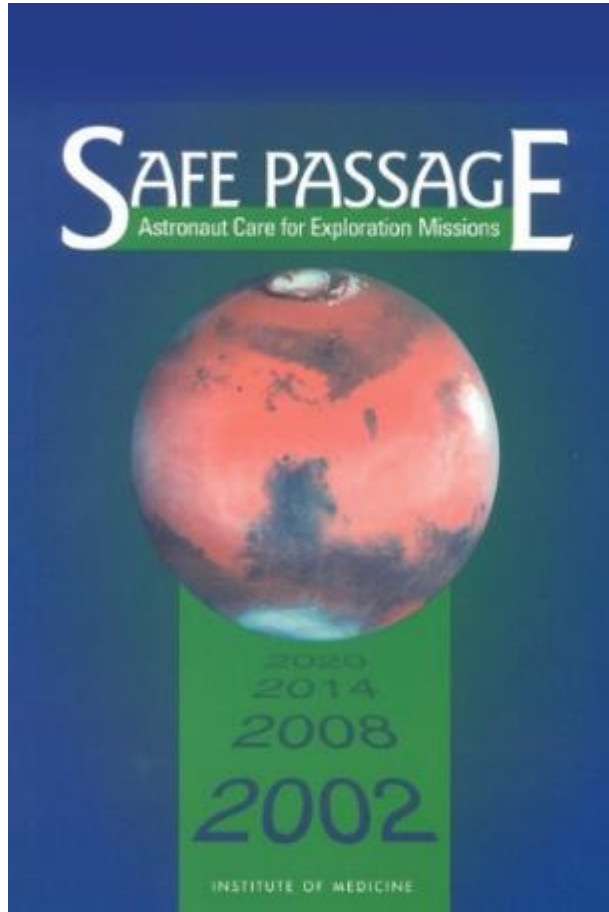
Redefined Ground
Operations Paradigm

Ground System
Requirements

Perspective

- **Less than 600 people - The entire human experience in space**
- **19 years – we've had a constant human presence in low earth orbit**
- **Every day we fly we learn more, but each step farther out requires re-examining our assumptions and reassessing the current state of technology.**
- **Medicine and Engineering are intimately tied together in reducing the risks humans face in spaceflight.**

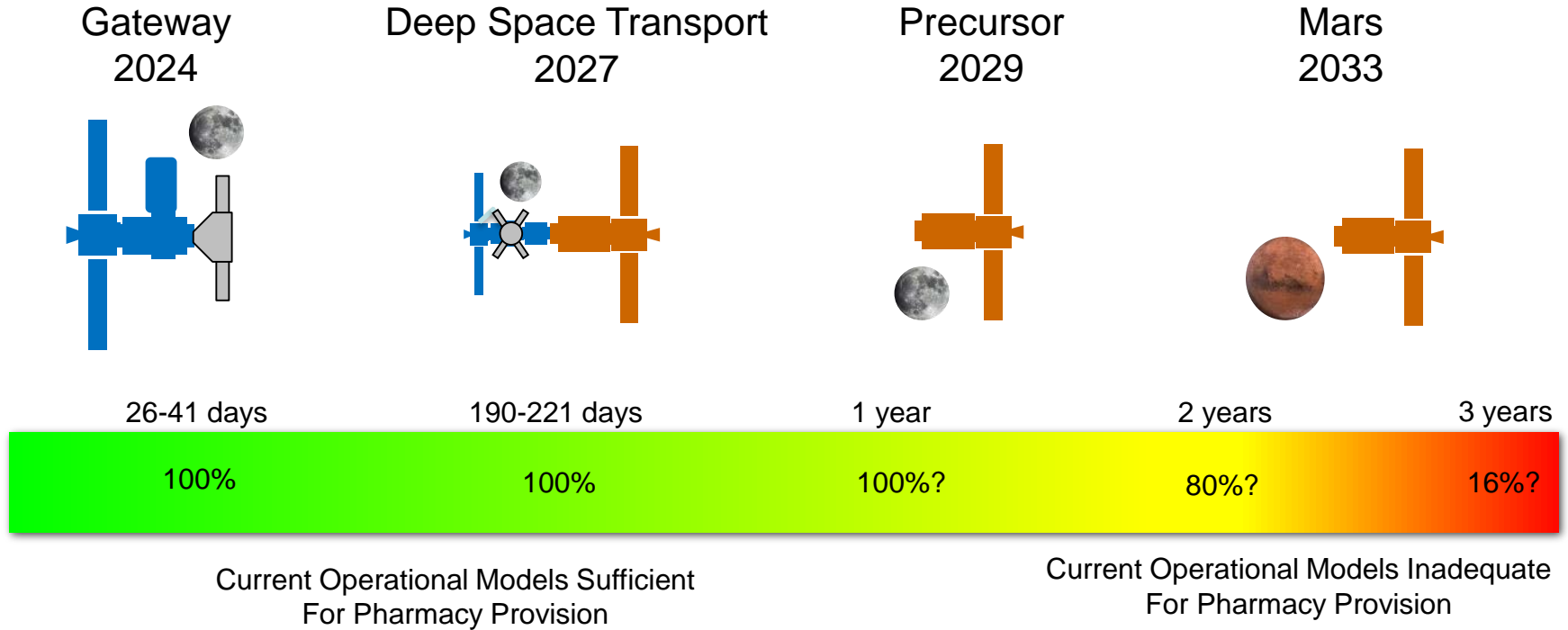
Our work continues...

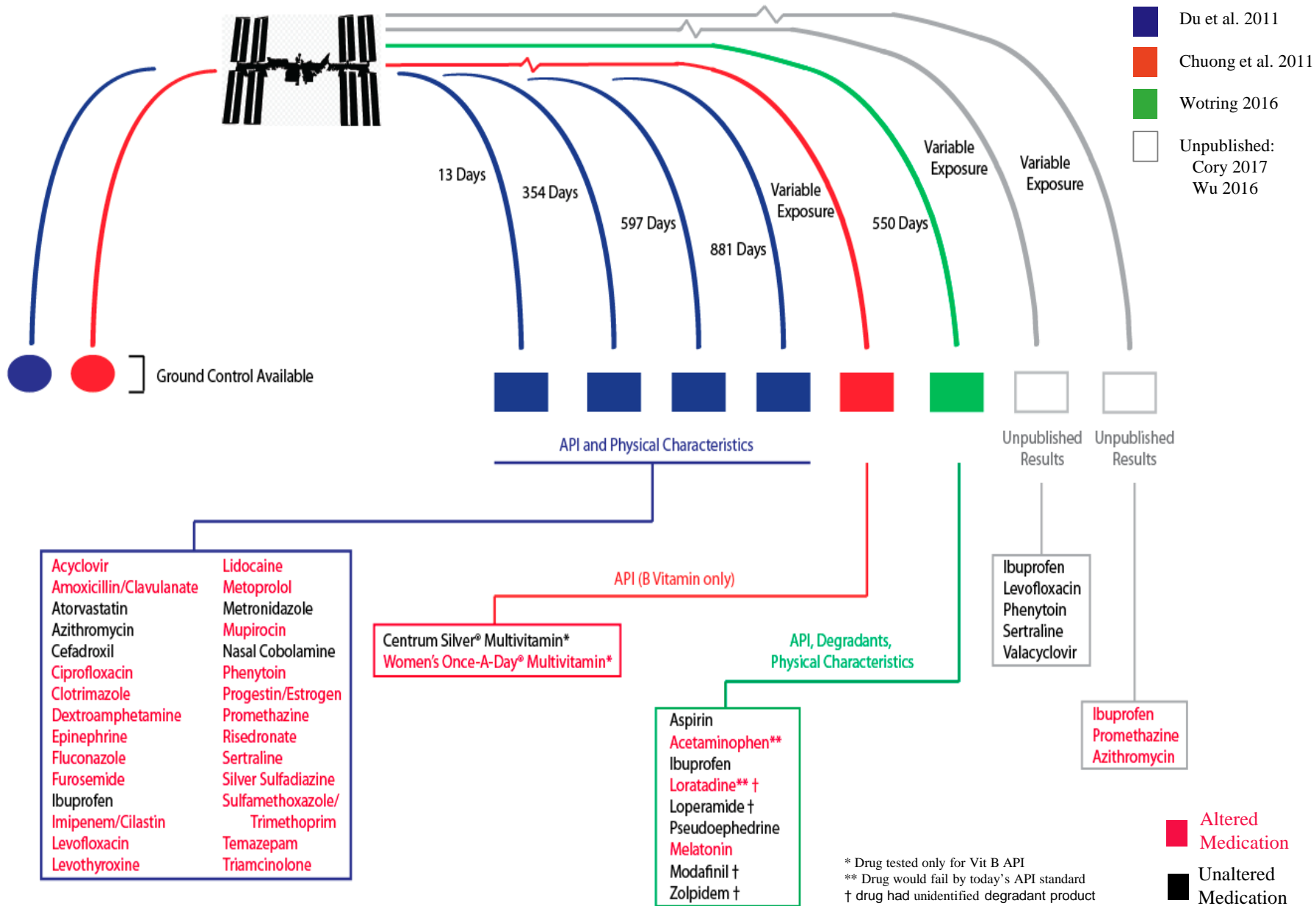


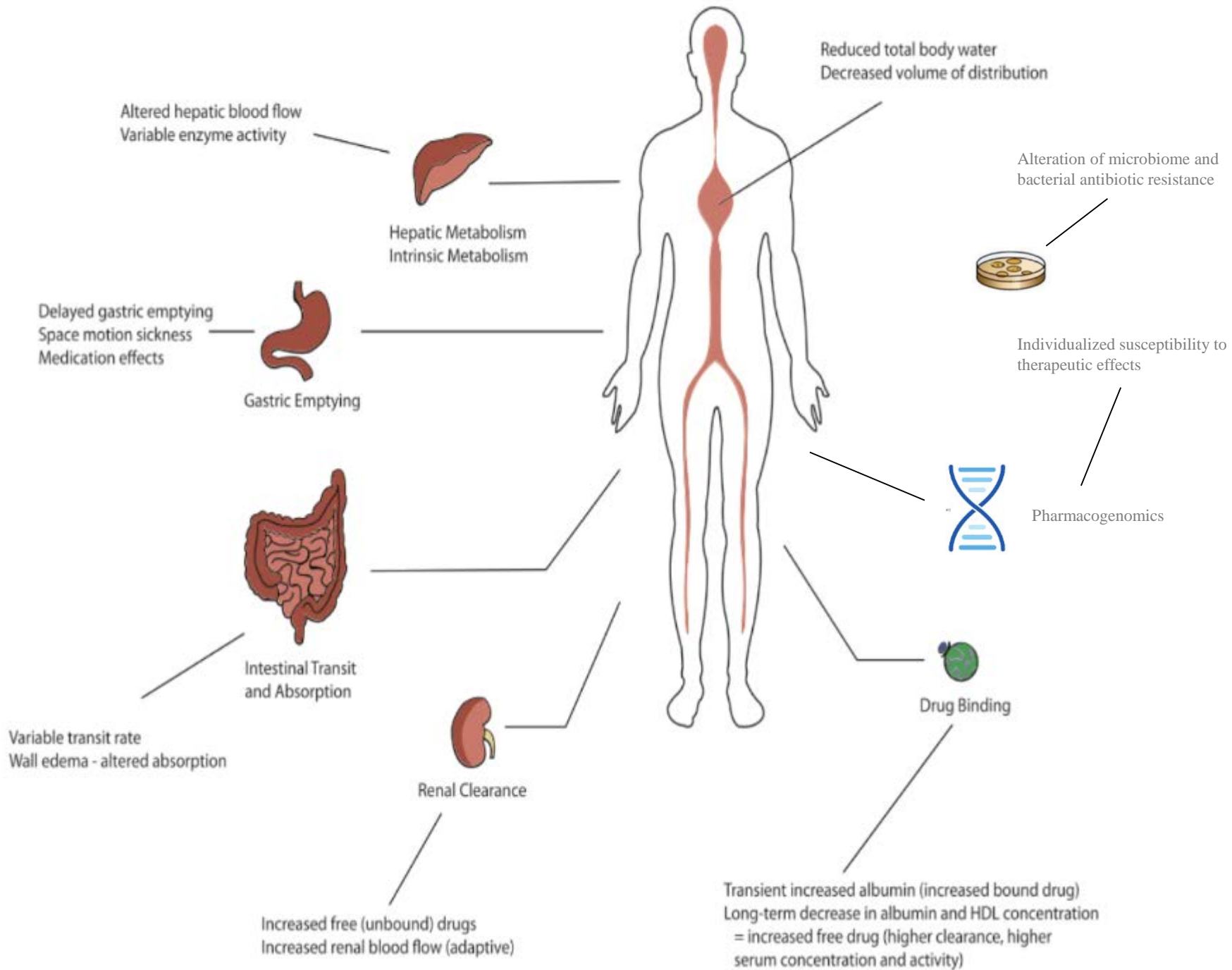
- *From Conclusion 6:*
- *“The human being must be integrated into the space mission in the same way in which all other aspects of the mission are integrated.”*

Backup

Consumables Resupply







Increasing Crew Self-Reliance

27Apr17 crew note from HMS-ULTRSND-SCAN-CMO:

You know what would really help us? If we had pictures of a "perfect case" for each type of image. Given the time lag between ground and ISS - and the minute adjustments we are making for the correct image- the ground is like "3 seconds ago". If we had a picture of what we should make each image look like, we will print it out and have it above the machine so we can more quickly get to what you want and then stabilize for the ground to catch up. I think it will also help cosmonauts considerably given the high amount of commanding/translation. Just a thought - but I think it would help us be more efficient.

Provision of Training and Crew Support

MENU **COMFORT** Baseline Date: 2/9/2017 ID: 9201 NOTES EXIT

FUNDOSCOPY OBJECTIVE FOUNDATION SETUP EXAM PROCEDURE QUIZ REFERENCE

Eye Anatomy CellScope Use Taking Images Eye Pathology

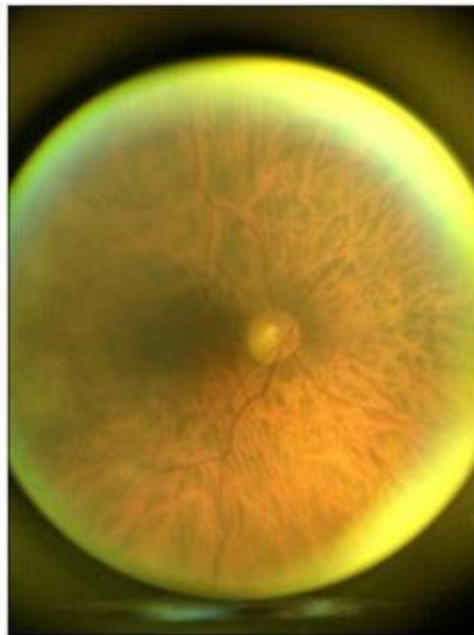
TAKING A GOOD IMAGE: COMPOSITION

Tips for good composition:

To move the optic disc down the subject needs to look up.

To move the optic disc right the subject needs to look right.

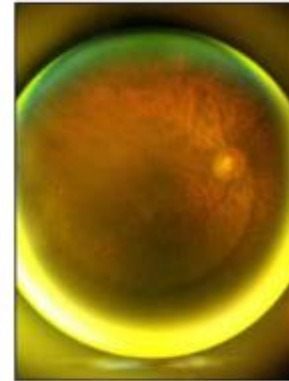
In a good composition the optic disc is centered.



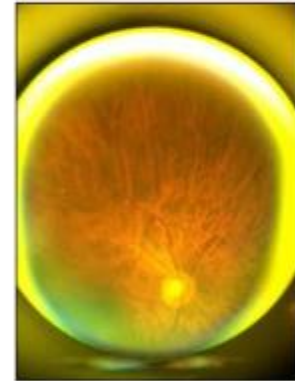
Good composition

Bad composition

In poor composition, the optic disc is not centered or not visible.



Bad composition
Optic disc is too far right



Bad composition
Optic disc is too low

PREVIOUS 1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 NEXT

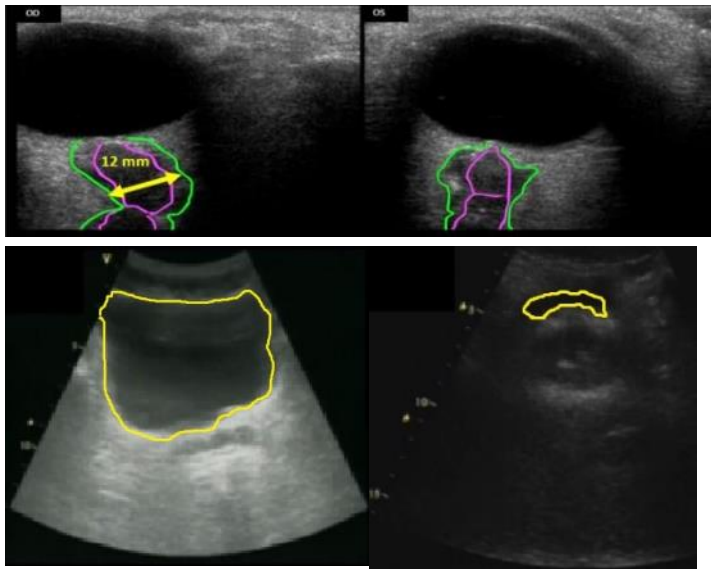
but I think it would help us be more efficient.

Remote -> Autonomy

Augmented
Reality
Training
Tietronix

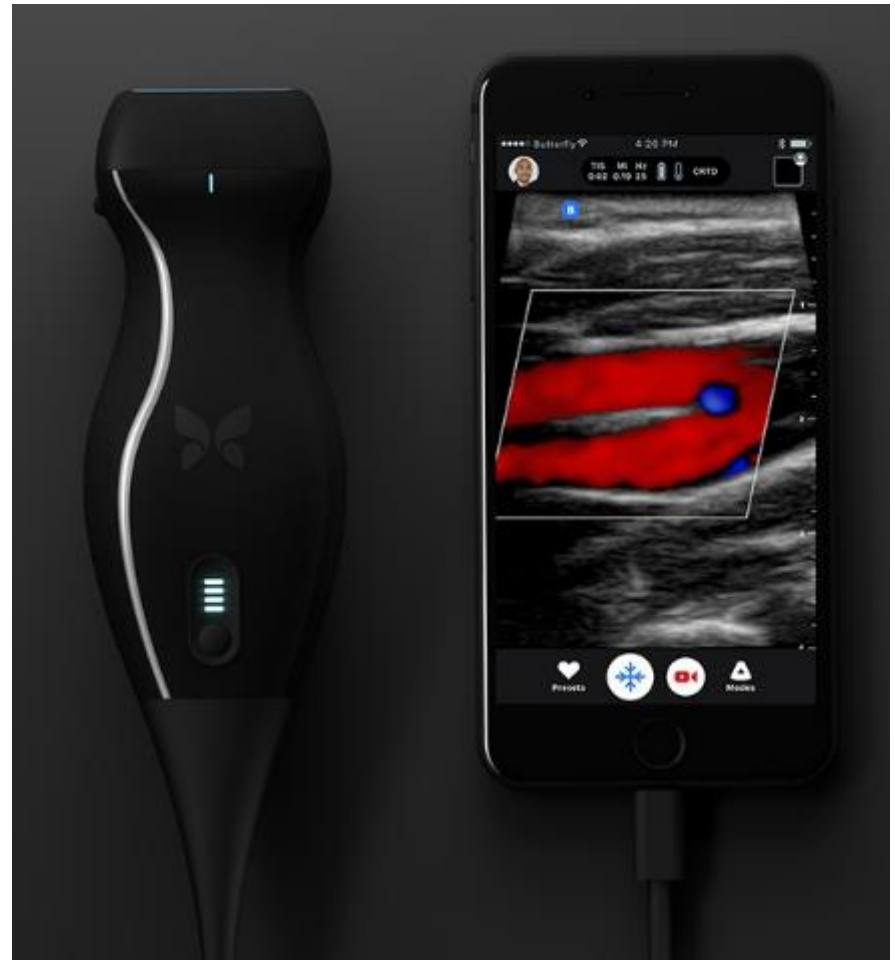


Sensor Technology



In-flight Post-void
Ultrasound

Ground Post-void
Ultrasound



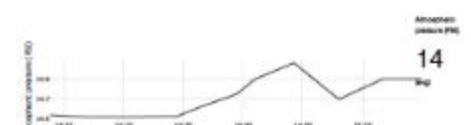
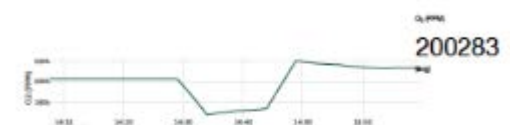
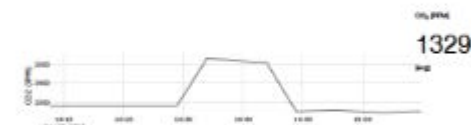
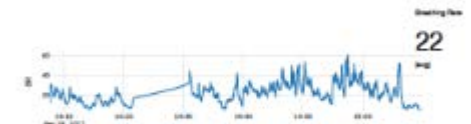
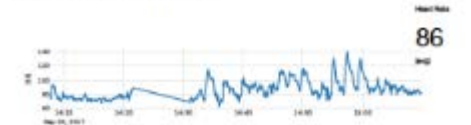
Where are we today?



L24, F75 telemetry report.

Check Out Time Month Day September 8 14:07:00 (UTC) WDC (L24) F75

Start: 2017-09-08 14:07:00 UTC Stop: 2017-09-08 14:08:00 UTC Interval: 2000



Data Sent/Collective by MDA System via Telemetry with CFS (CCSDS Protocol)

Mars Telecommunication - Three Main Challenges

- Three major challenges face all communication with deep space (CLA):
 - **Capacity:** The link data rate or average daily volume
 - **Latency:** Speed of light delay between the planets
 - **Availability:** The percentage of time (over a day/Sol, week, month or year) that an asset has access to a link
- Deep Space Network CLA needs to be considered for the case of crew support needs
- What data rates are acceptable for Store-and-Forward type crew support? Emergency medical support? Behavioral health support?
- What if emergencies occur during planned daily link outages (due to Mars occultation)?

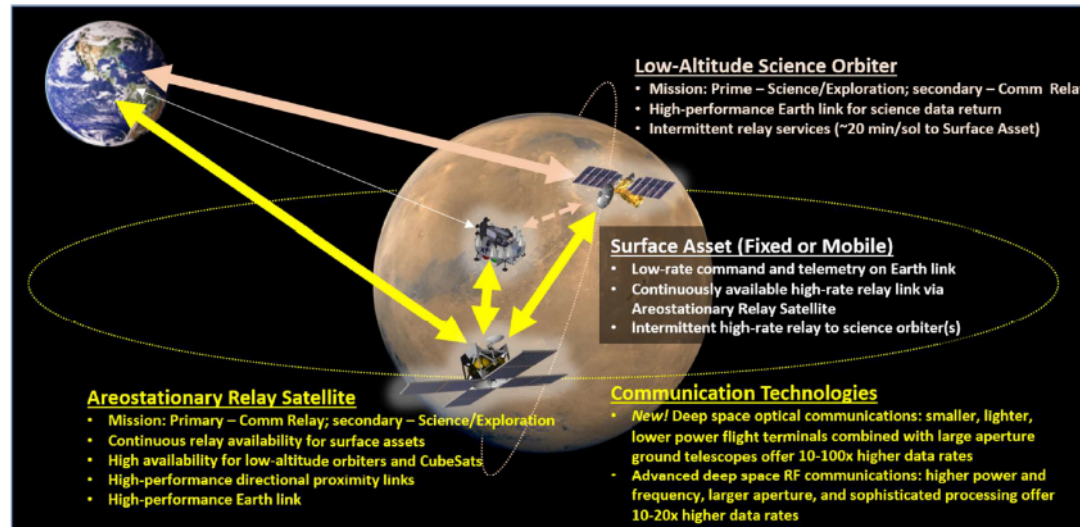


Figure 1. Advanced RF and optical communications technologies combined with using the areostationary orbit offer 100-1000x greater data return from Mars and nearly continuous availability.

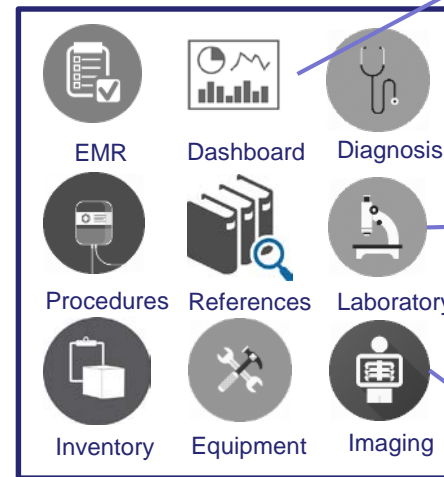
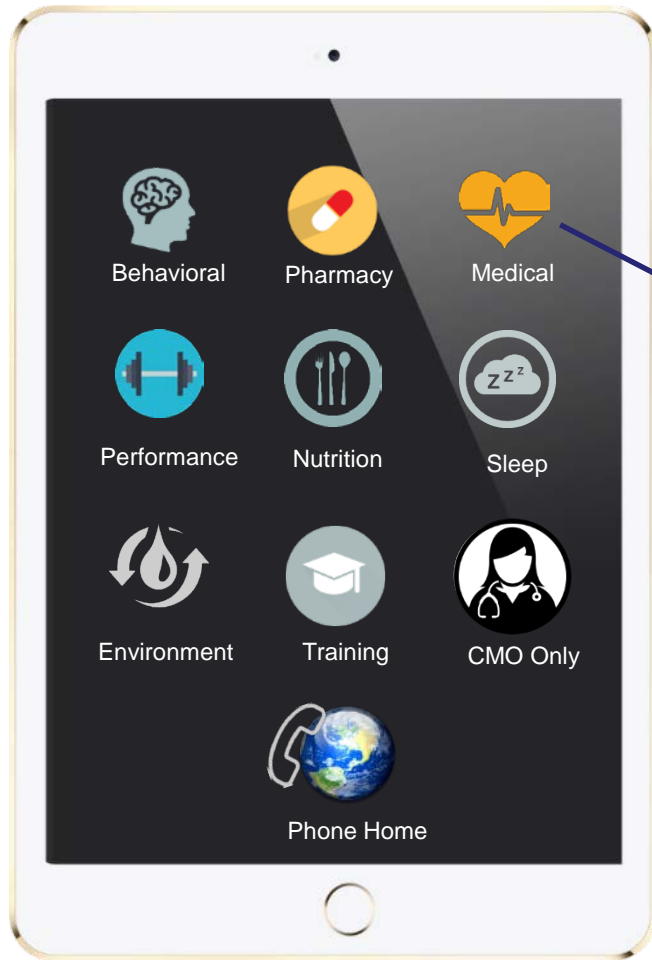
	Frequency Band	Maturity	S/C Aperture	S/C Tx Power	Ground Rx / Tx	Data Rate @2AU (Megabits/sec)
LEO: ISS	Ku-band	TRL 10 / Operational	2 m	>2 W N/A	TDRSS Relay	Return: 90-110 (1/19: 517)* Forward: 25 (1/19: 50)*
MARS Current State-of-the-art (MRO SDST)	X band	TRL 10 / Operational	3 m	100 W	1x34 m DSN Station	Return: 1-2
		TRL 10 / Operational		N/A	1x34 m DSN Station	Forward: 0.5
	Ka-band	TRL 10 / Operational	35 W	1x34 m DSN Station	Return: 6	
		TRL 4	None	N/A	N/A	Forward: 0
Next Generation Mars Trunks	Ka-band / DSRC	TRL 3-4	5 m	1kW	1x34 m DSN Station	Return: EST 250
					1x34 m DSN Station	Forward: EST 125
[Future human missions]	Optical / Lasercomm / DSOC	TRL 6	22 cm	4 W	5 m ground telescope	Return: >5.2
				N/A		Forward: >0.1
		TRL 3	50 cm	40 W	12+ m ground telescope	Return: >600
				N/A		Forward: >25

* - Expected performance after Jan 2019 upgrade

We're not bringing an Intensive Care Unit

Crew Health and Performance System

These technologies exist today

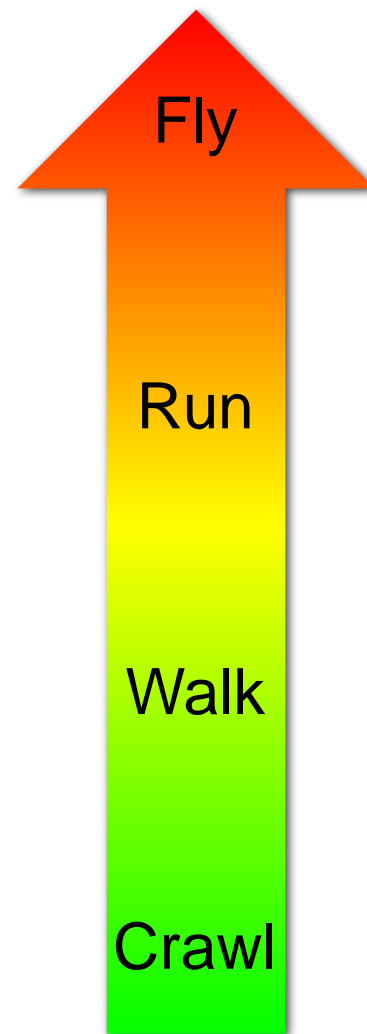


Medical

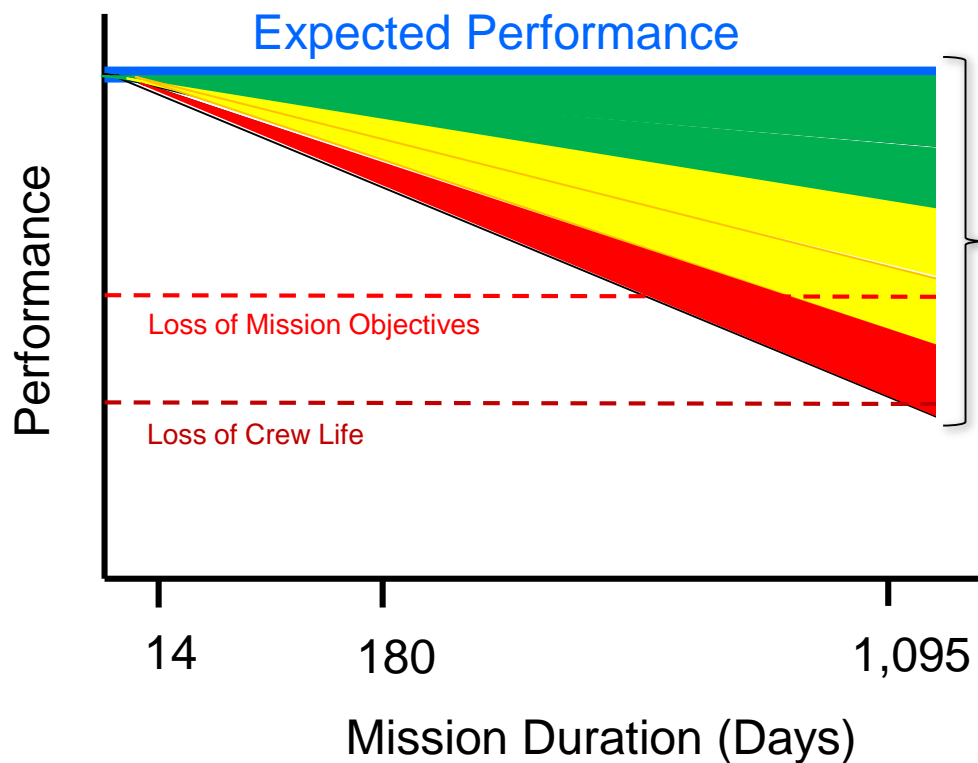


Can we replace the doctor?

- Full Artificial Intelligence
- Integrative Health and Performance Prediction
- Condition Specific Guidance
- Differential Diagnosis Generation
- Automated Image/Data Analysis
- Knowledge Support/Known Algorithm Provision
- Preventive Care Strategies



Risks to Health & Human Performance won't occur in isolation



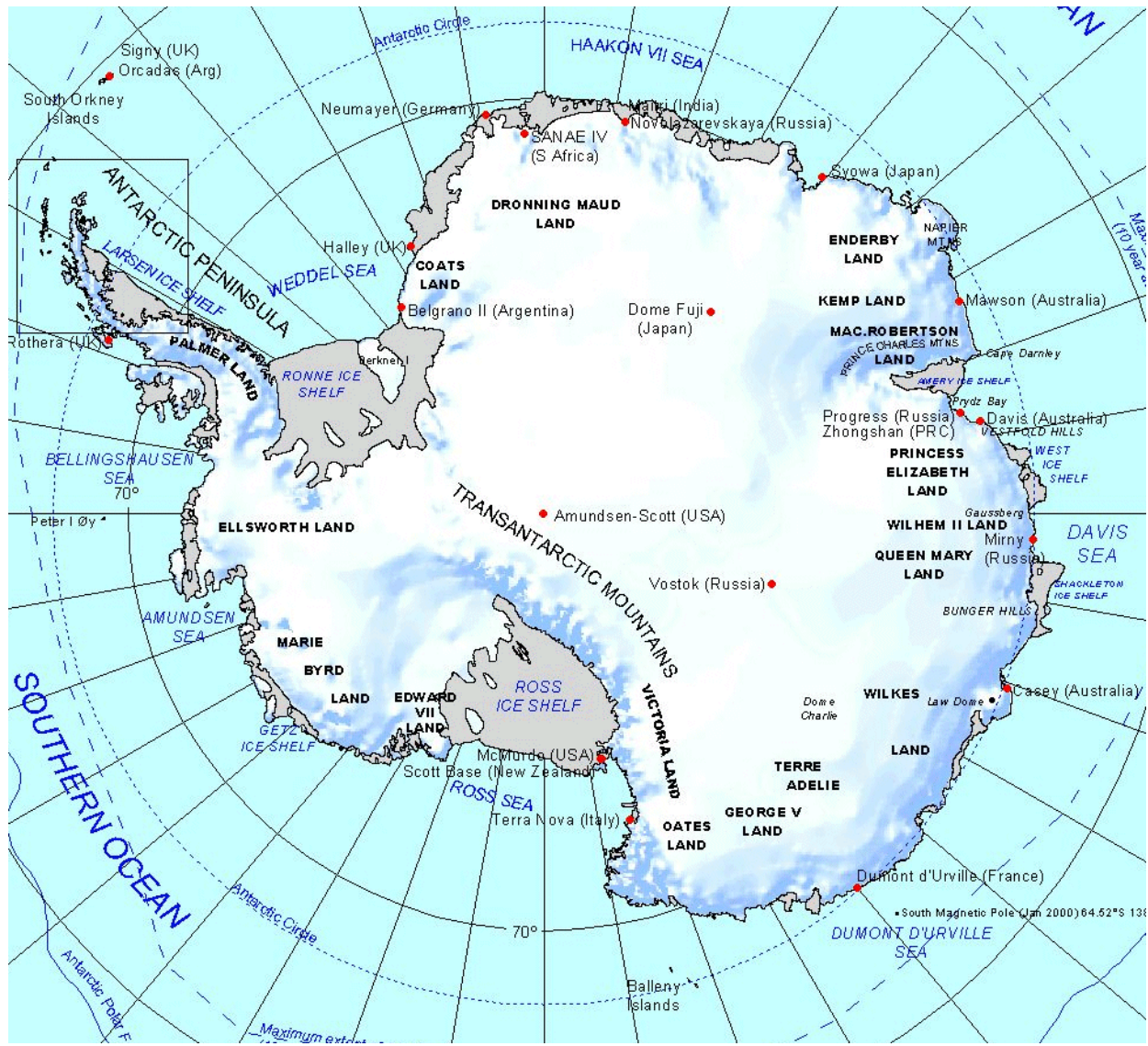
Example Factors Affecting Performance

- Physical Deconditioning
- Chronic Radiation Effects
- Depression/Team Dynamics
- Loss of Real Time support
- Insufficient Medical Capability

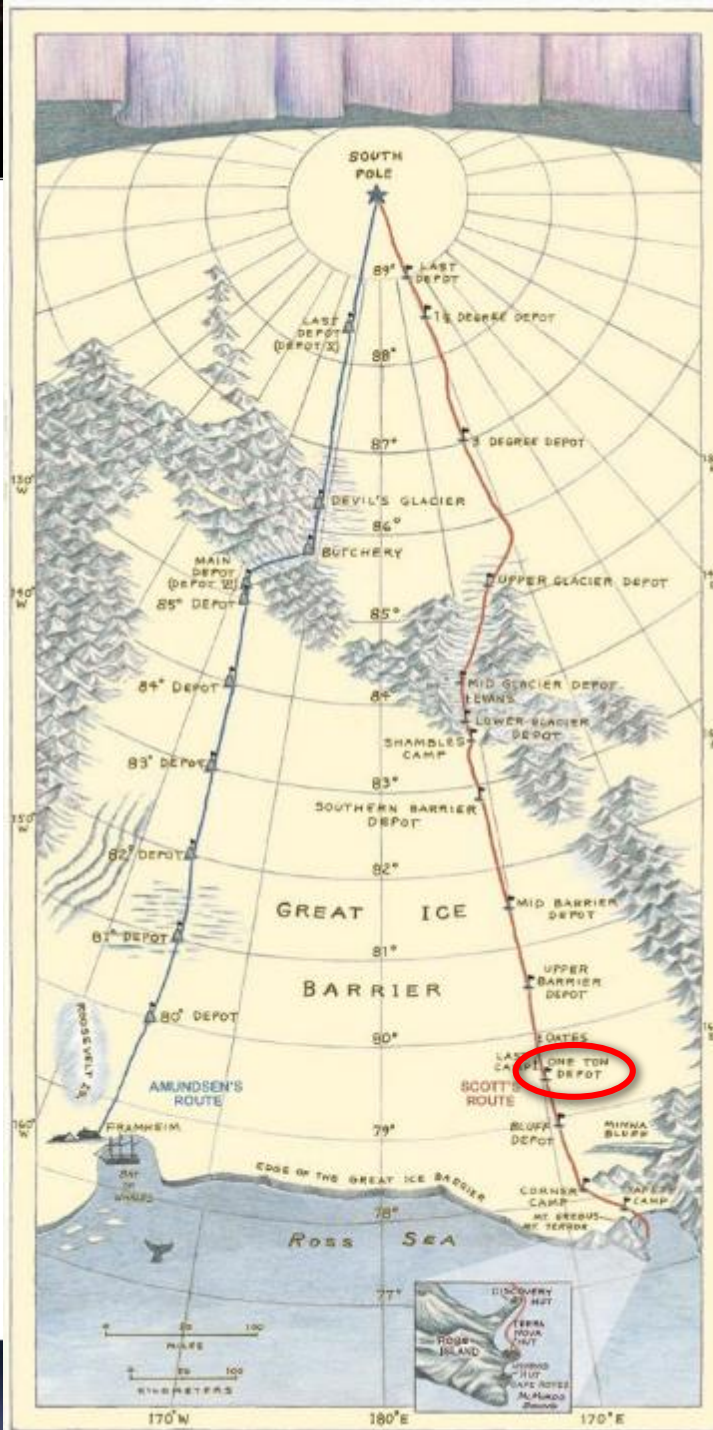
Where are the red lines and when will we hit them?

Observation Hill





1911 - 1912



Amundsen and Scott Pole Race

Differences between Scott and Amundsen expeditions



Scott

- Overttechnologization (16 men, 23 dogs, 10 ponies, 13 sledges, 2 motor sledges)
- Followed what was tried before “because it worked”
- Thin margin of error
- Last minute decisions
- Died 12 miles short of his last food depot



Amundsen

- Focused preparation (5 men, 20 dogs)
- Made a new path
- Significant margin of error
- Lots and lots of preparation and field testing
- Got to Pole first and lived



There are evidence-based ways to systematically identify and match what is needed from a health perspective with what gets fielded in a mission