

# Voyage from Observations to Solar Activity Forecast through AI/ML and Data Assimilation

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# Observations of Solar Activity

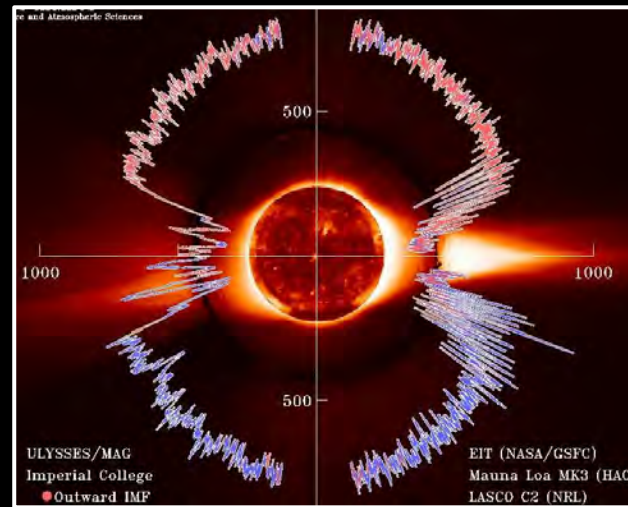
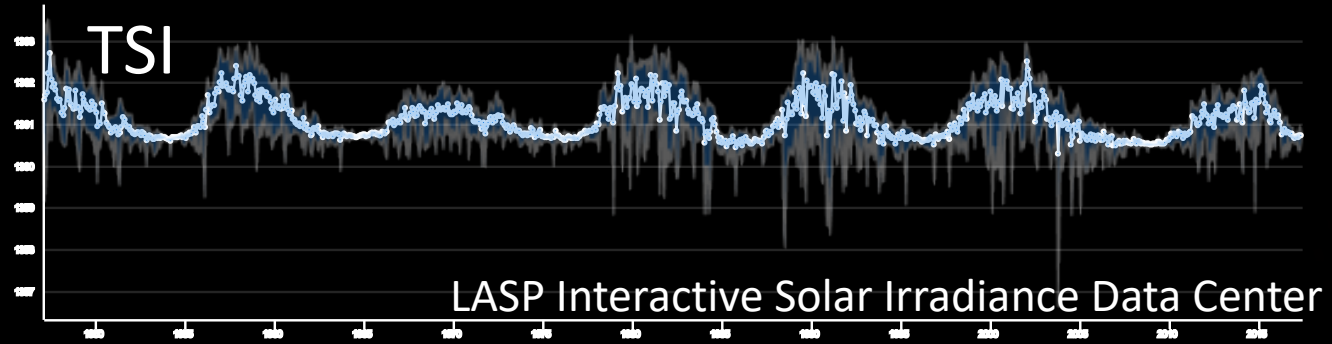
- ❖ Sunspot number
- ❖ Total Solar Irradiance
- ❖ Solar wind
- ❖ Polar faculae
- ❖ Surface magnetic fields
- ❖ Solar corona
- ❖ Differential rotation
- ❖ Meridional circulation

SDO/AIA

# Observations of Solar Activity

## 1D observations

- ❖ Sunspot number
- ❖ Total Solar Irradiance
- ❖ Solar wind
- ❖ Polar faculae
- ❖ Surface magnetic fields
- ❖ Solar corona
- ❖ Differential rotation
- ❖ Meridional circulation



ULYSSES/SWOOPS

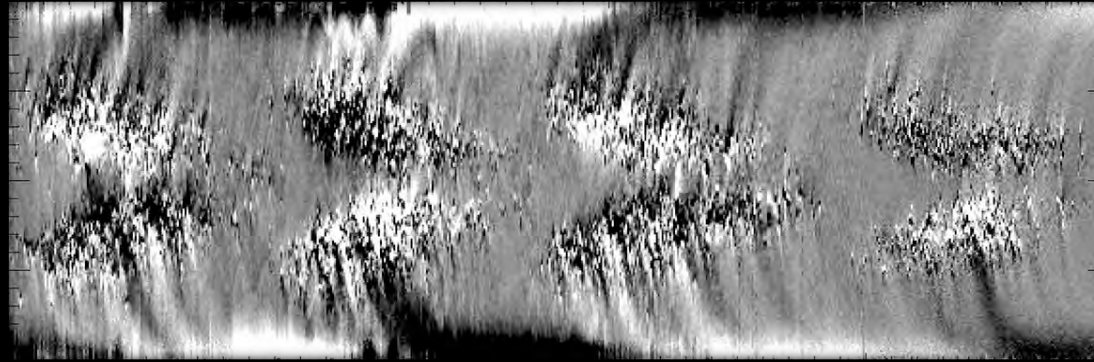
SDO/AIA

# Observations of Solar Activity

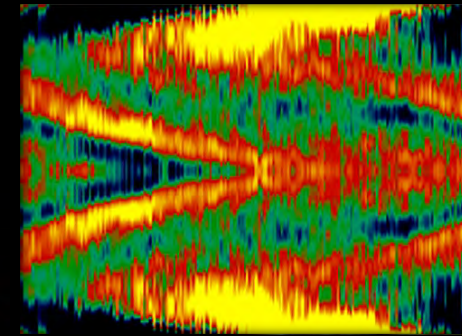
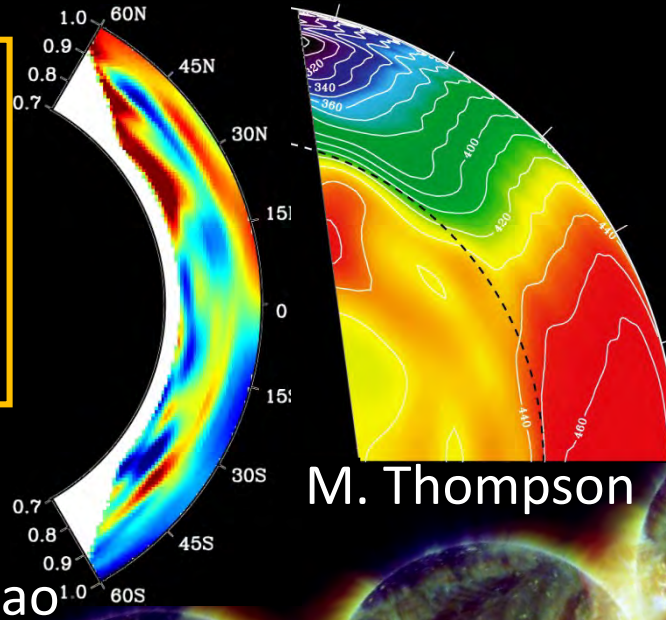
## 2D observations

- ❖ Sunspot number
- ❖ Total Solar Irradiance
- ❖ Solar wind
- ❖ Polar faculae
- ❖ Surface magnetic fields
- ❖ Solar corona
- ❖ Differential rotation
- ❖ Meridional circulation

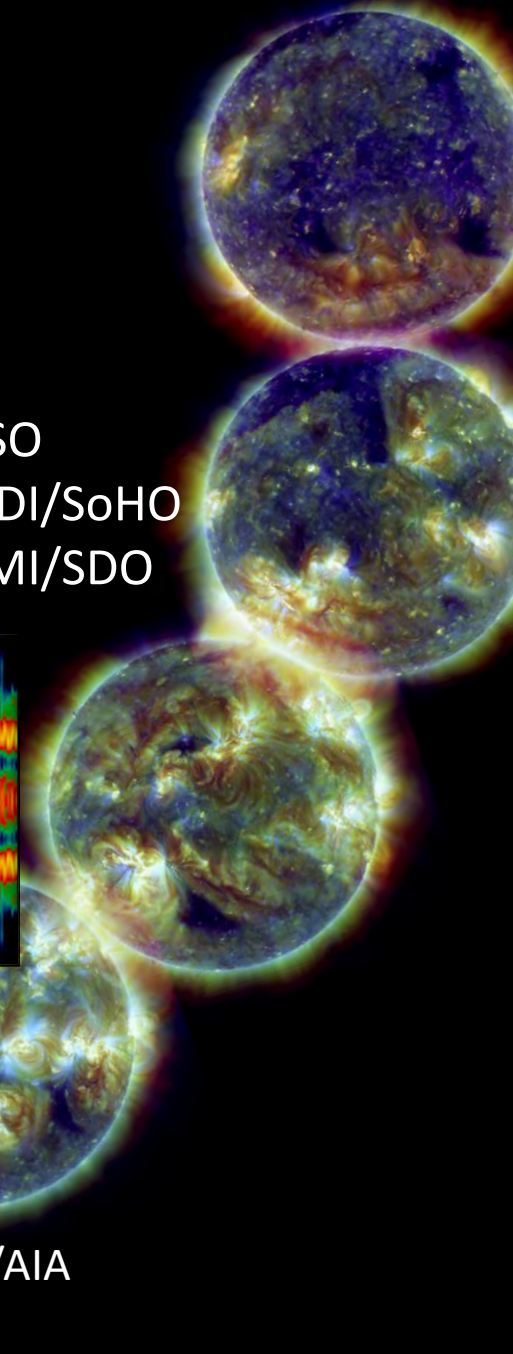
**No subsurface  
magnetic field observations**



NSO  
MDI/SoHO  
HMI/SDO



R. Howe



SDO/AIA

# Machine Learning vs Data Assimilation

**Machine learning** is the scientific study of algorithms and statistical models that computer systems use to perform a specific task without using explicit instructions, relying on patterns and inference instead.

**Data assimilation** is a mathematical discipline that seeks to optimally combine theory with observations.

- Wikipedia

Supervised learning

Unsupervised learning

Reinforcement learning

Deep learning

Sequential assimilation

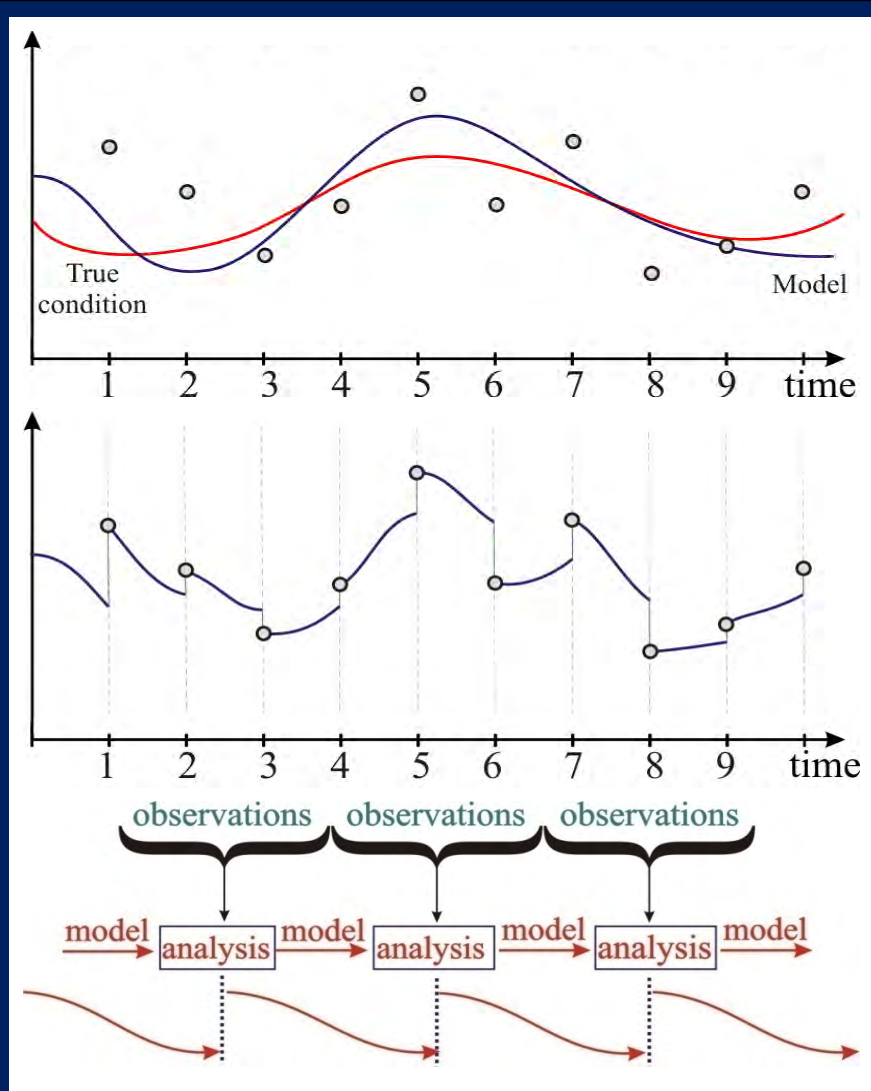
```
graph TD; A[Sequential assimilation] --> B[Ensemble methods]; A --> C[Variational methods]; D[Non-sequential (retrospective) assimilation];
```

Ensemble methods

Variational methods

Non-sequential (retrospective) assimilation

# Data Assimilation 101: Basic Concept & Kalman Filter



Observational data include errors, and a model constructed on their basis is characterized by some approximations; therefore, a prediction of the next set of observations will diverge from the real data.

$$d = M\psi^t + \varepsilon \quad \text{observations}$$

$$\frac{d\psi^t}{dt} = f(\psi^t, t) + q \quad \text{model}$$

$$\psi^f = M\psi^t + p^f \quad \text{forecast}$$

$$\psi^a = \psi^f + K(d - M\psi^f) \quad \text{Best estimate of a state}$$

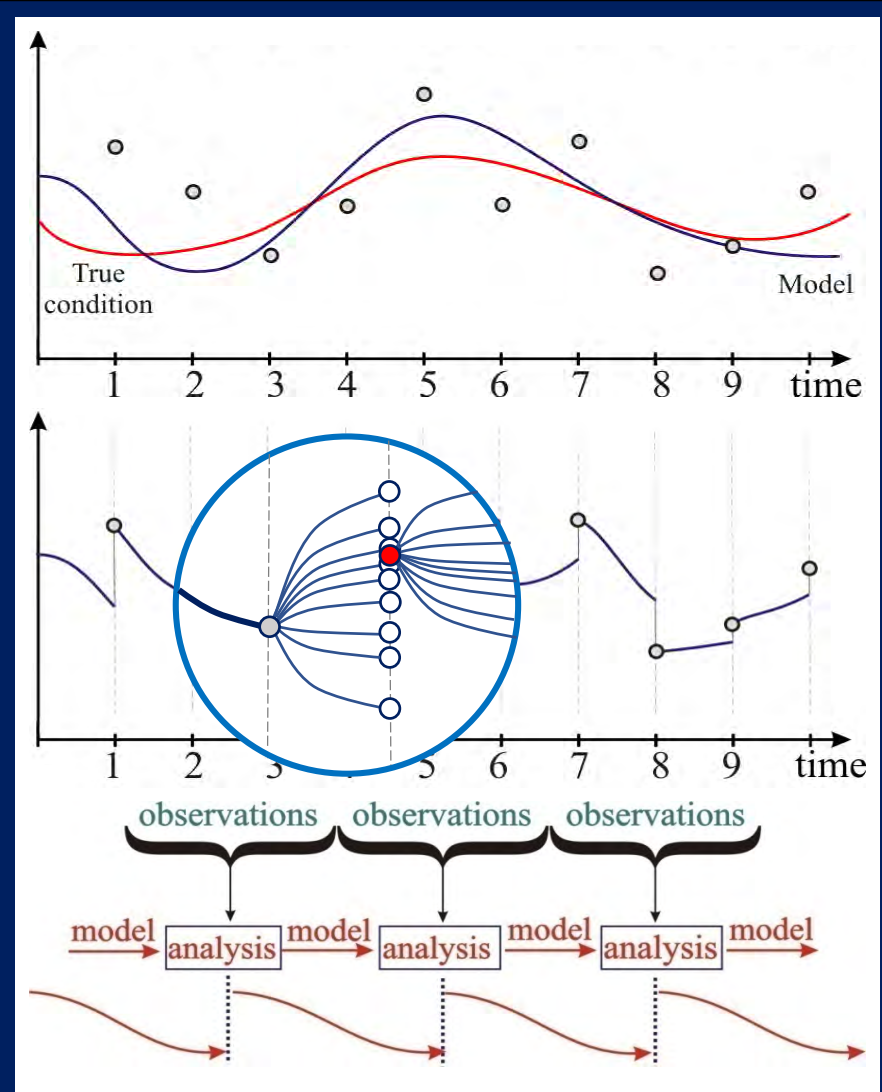
$$K = C_{\psi\psi}^f M^T (MC_{\psi\psi}^f M^T + C_{\varepsilon\varepsilon})^{-1} \quad \text{Kalman gain}$$

$$J[\psi^a] = (\psi^f - \psi^a)(C_{\psi\psi}^f)^{-1}(\psi^f - \psi^a) + \quad \text{Cost function}$$

$$+ (d - M\psi^a)^T W_{\varepsilon\varepsilon} (d - M\psi^a)$$

$W_{\varepsilon\varepsilon}$  is the inverse of the measurement error covariance matrix  $C_{\varepsilon\varepsilon}$

# Data Assimilation 101: Ensemble Kalman Filter



Observational data include errors, and a model constructed on their basis is characterized by some approximations; therefore, a prediction of the next set of observations will diverge from the real data.

$$d_j = M\psi^t + \varepsilon_j \text{ observations}$$

$$\frac{d\psi^t}{dt} = f(\psi^t, t) + q \text{ model}$$

$$\psi_j^f = M\psi^t + p_j^f \text{ forecast}$$

$$\psi_j^a = \psi_j^f + K(d_j - M\psi_j^f) \text{ Best estimate of a state}$$

$$K = (C_{\psi\psi}^e)^f M^T (M(C_{\psi\psi}^e)^f M^T + C_{\varepsilon\varepsilon}^e)^{-1} \text{ Kalman gain}$$

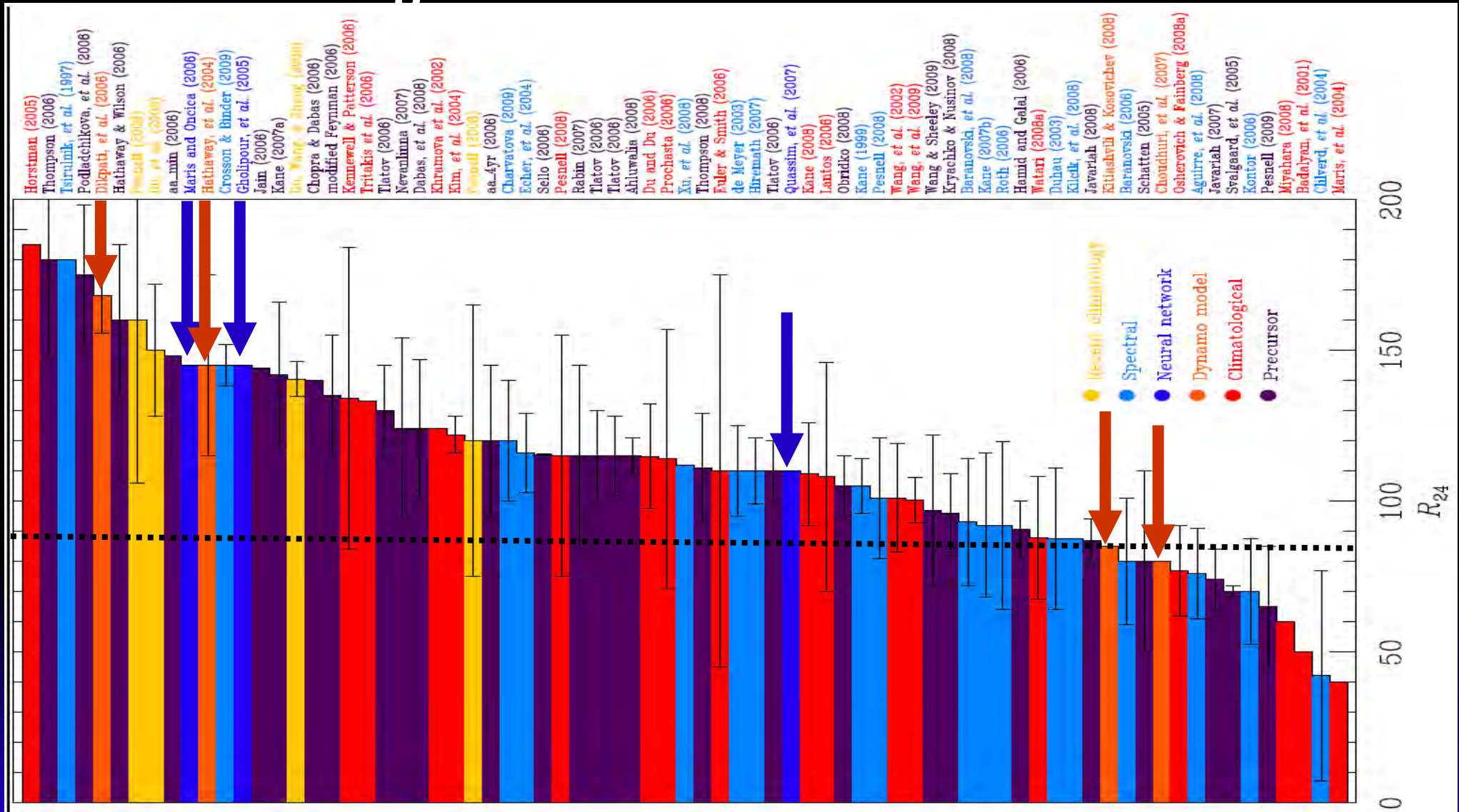
Methods of data assimilation allow us, with the help of the already constructed model and observational data, to determine the initial state that is in agreement with a new set of observations and to obtain a forecast of future observations and to estimate their errors.

# Forecasts of Global Solar Activity: Solar Cycle 24

## Machine Learning

## Data Assimilation

Pesnell, 2012

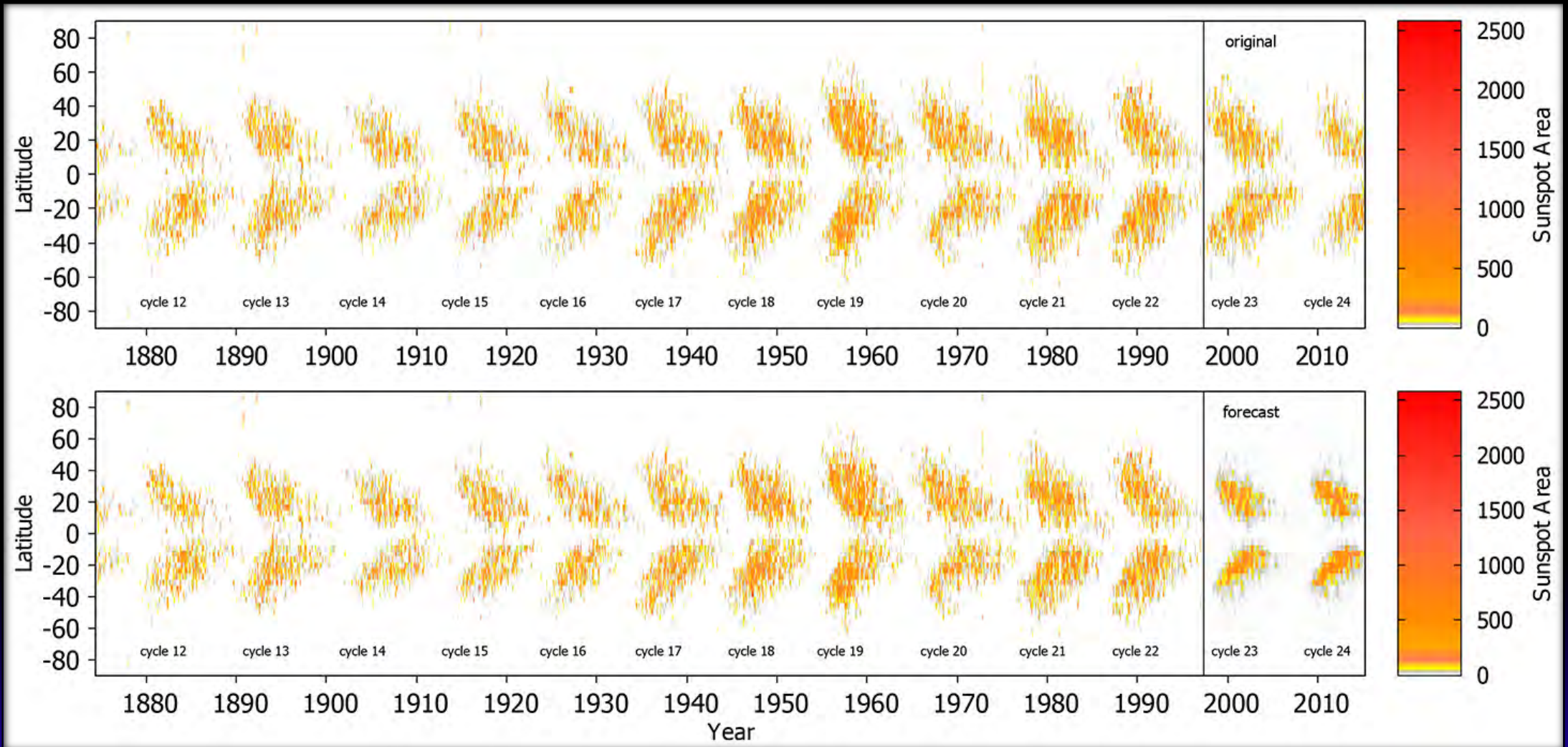




# Forecast of Sunspot Area with a Deep Neural Network

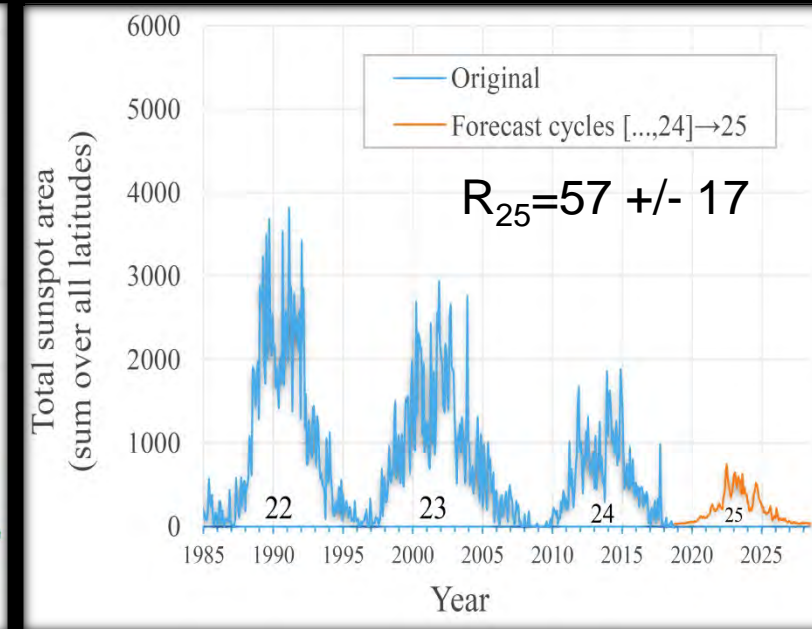
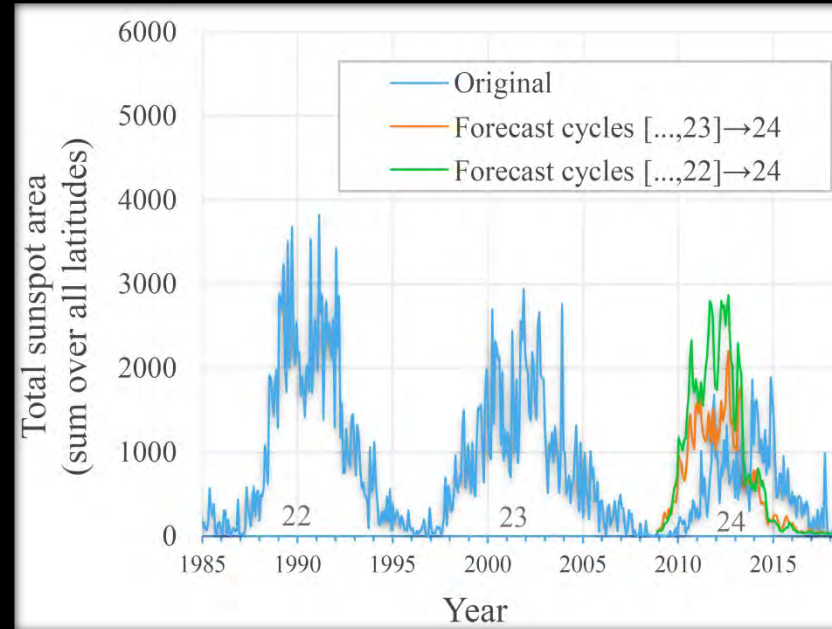
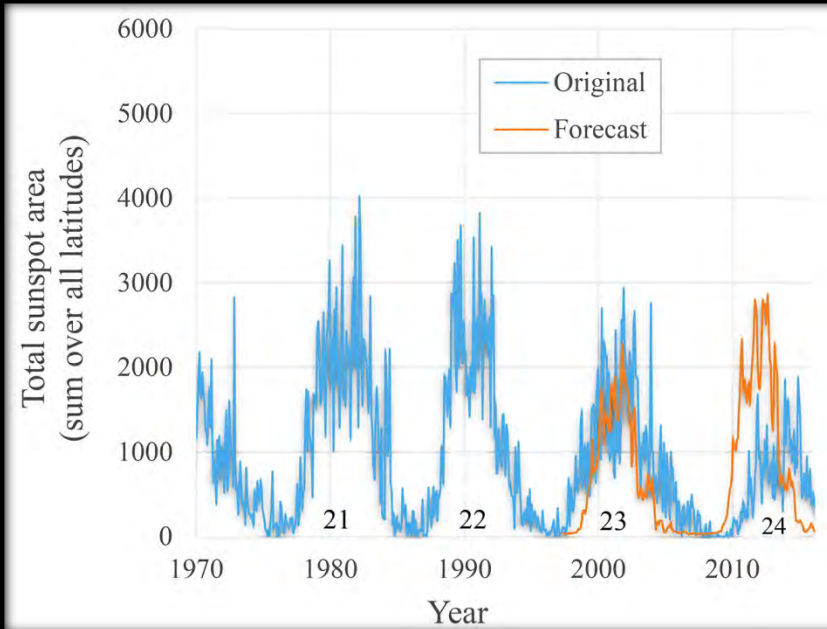
Base training set: 1646 latitudinal measurement times

Test set: 242 latitudinal measurement times



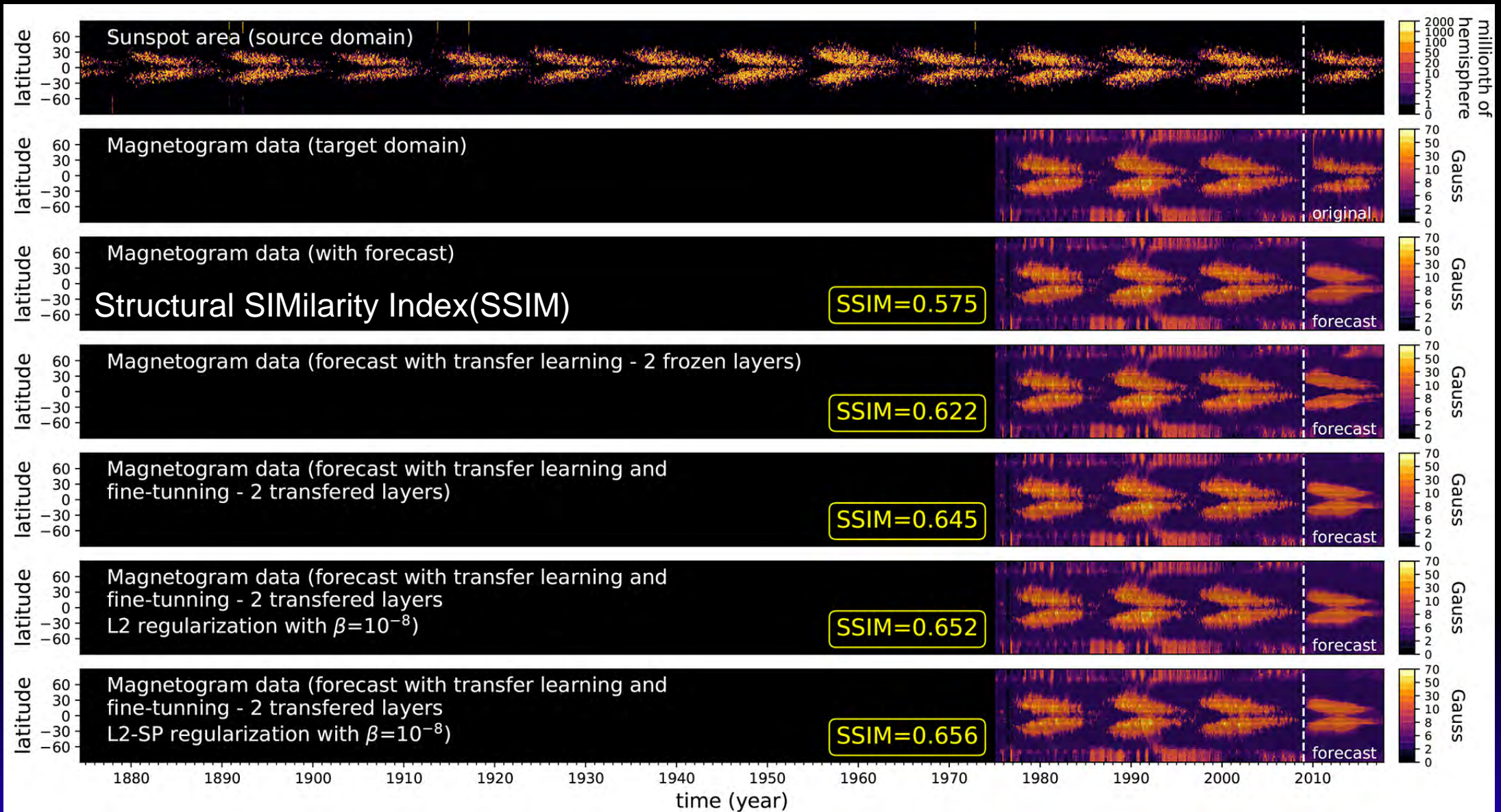
Covas et al., 2019

# Solar Cycle predictions with a neural network



Covas et al., 2019

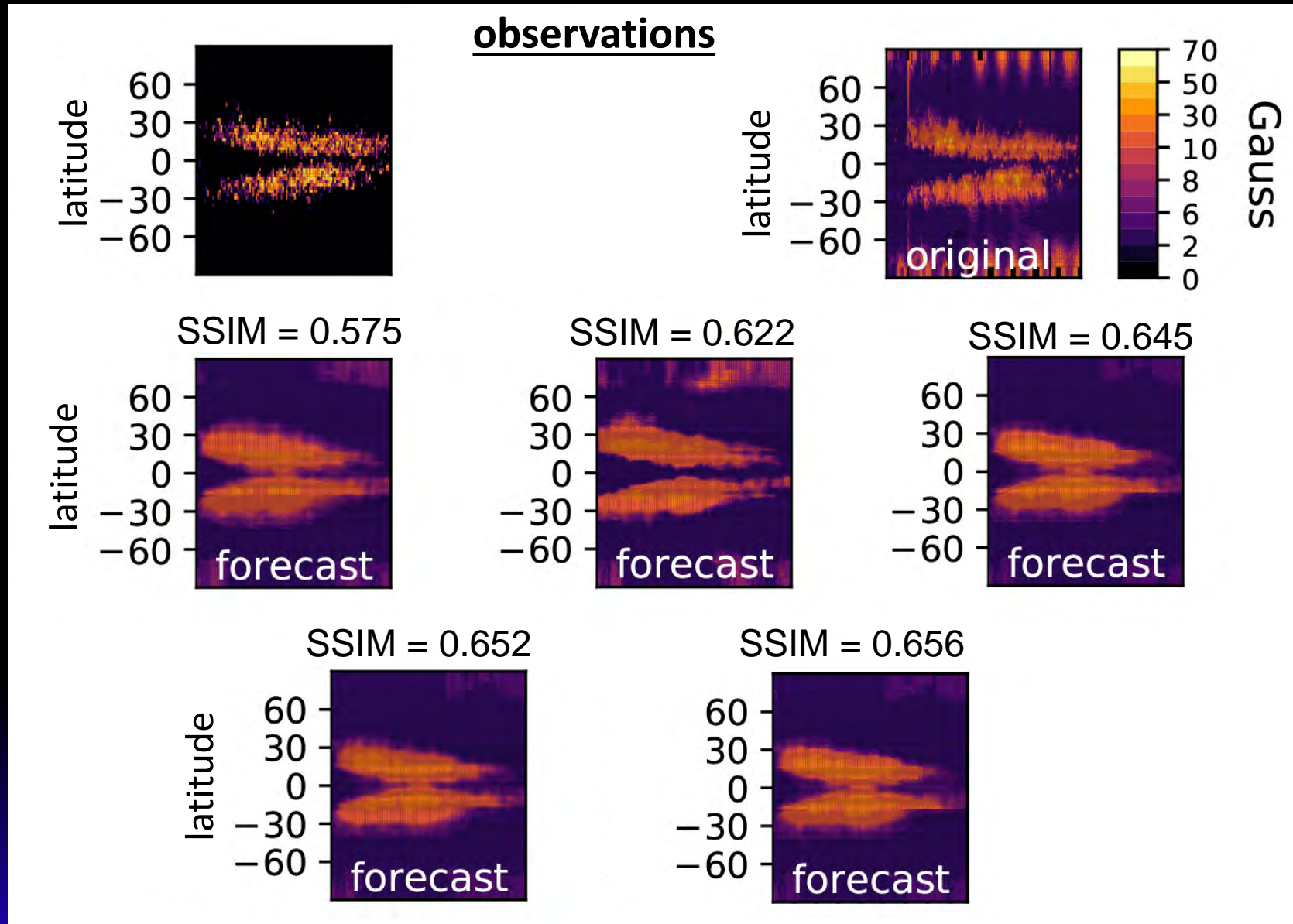
# Magnetic field reconstruction with a neural network



Covas et al., 2019

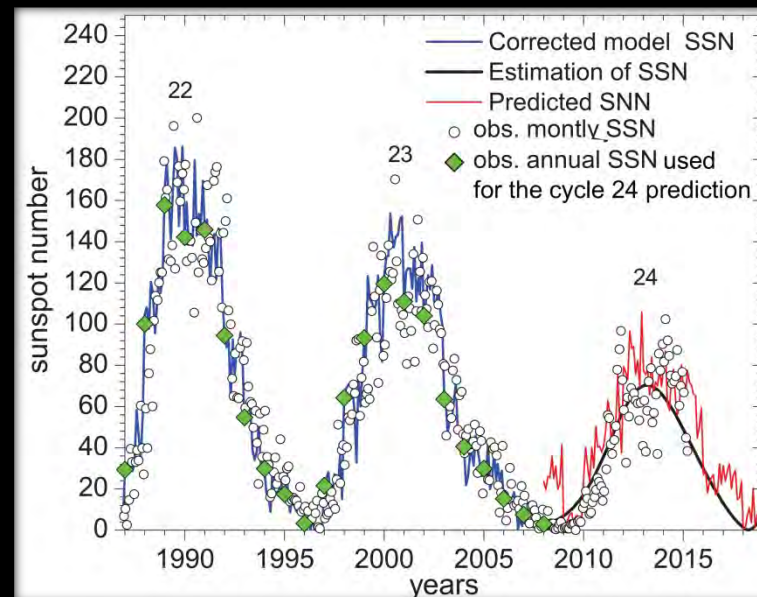
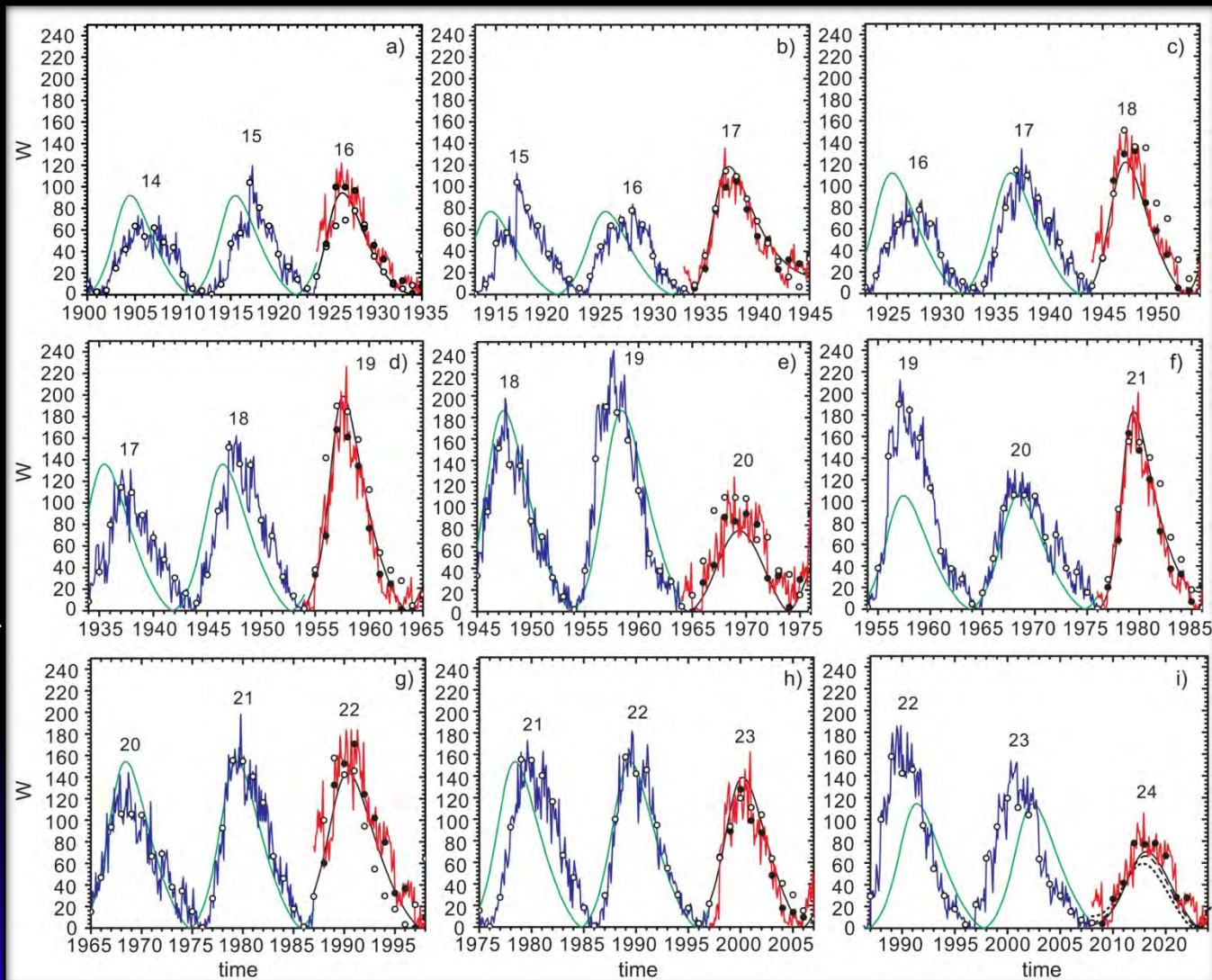
# Magnetic field reconstruction with a neural network

Covas et al., 2019



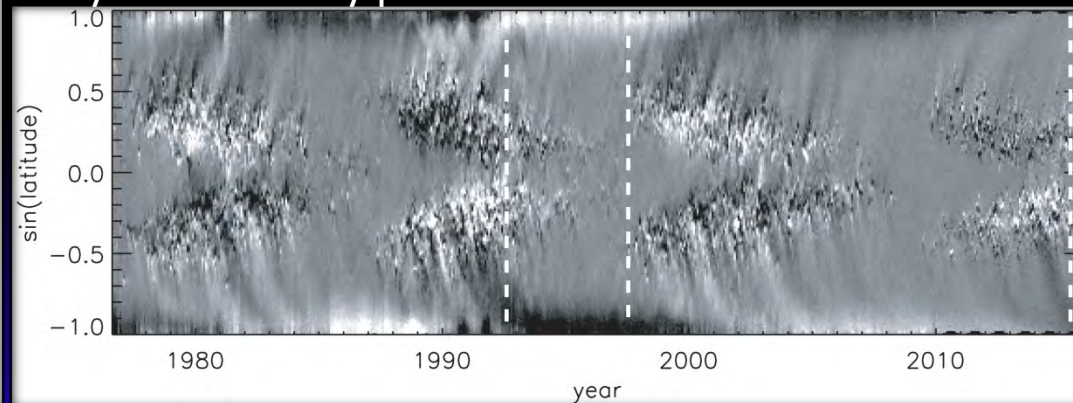
# Forecast of solar activity with long sunspot number time-series

Data Assimilation



Kitiashvili, 2016

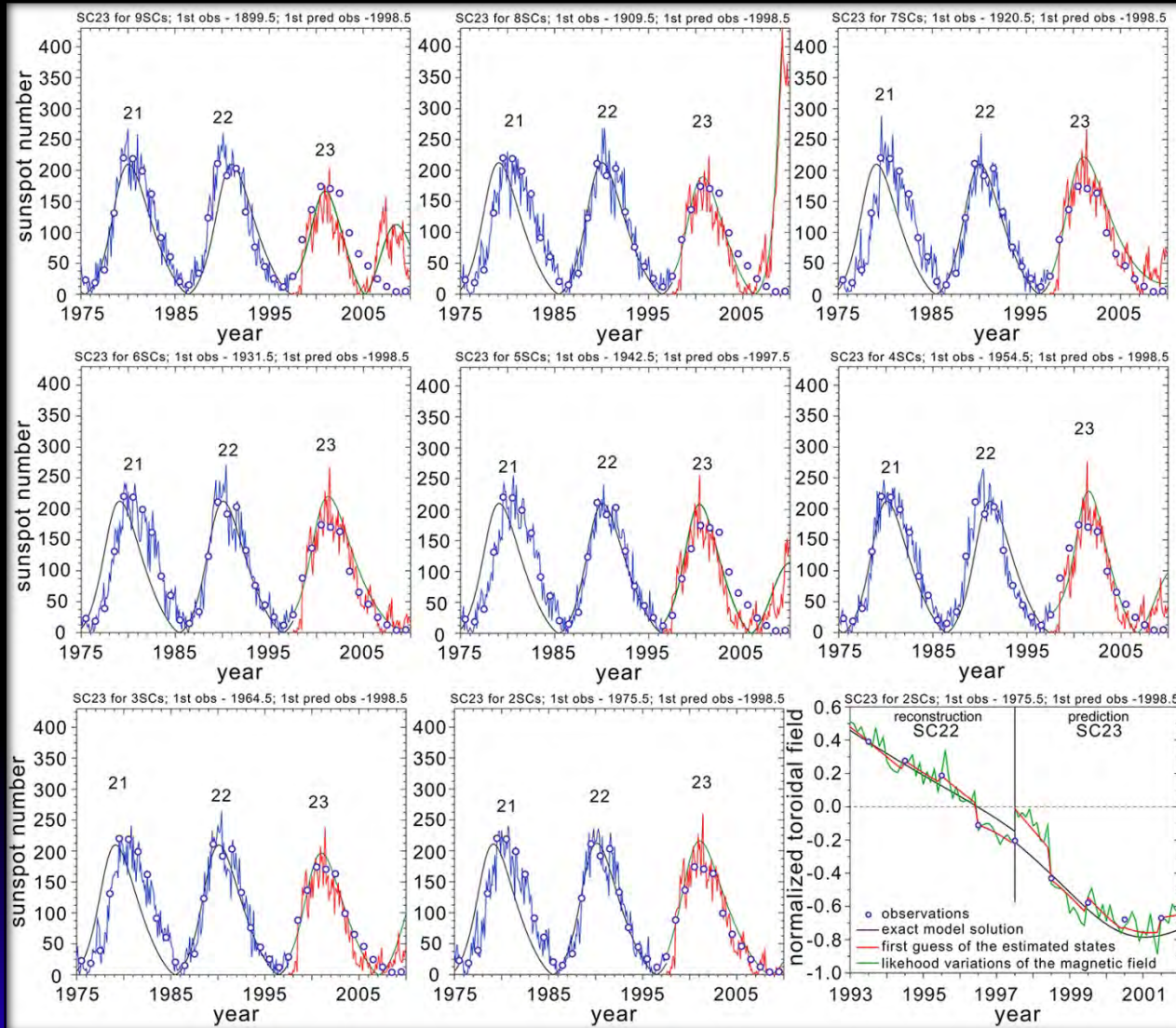
Early solar activity prediction



Kitiashvili & Kosovichev, 2008

# Forecast of solar activity with short sunspot number time-series

## Data Assimilation



## Criteria to identify an accurate model prediction:

- 1) the signs of the last available observation (for toroidal field) and the corresponding model solution should be the same;
- 2) the exact model solution for the prediction phase must be consistent with the model solution for the reconstruction phase (no solution flattening, jumps, or 'bumps', but the solution may shift according to the new initial condition);
- 3) the corrected solution (first guess estimate) at the initial moment of time during the prediction phase should not be greater than the best-estimate variations of the toroidal field;
- 4) the phase discrepancy between the exact model solution and observations should not be greater than 2 years

# Solar activity forecast using synoptic magnetograms

## Data Assimilation

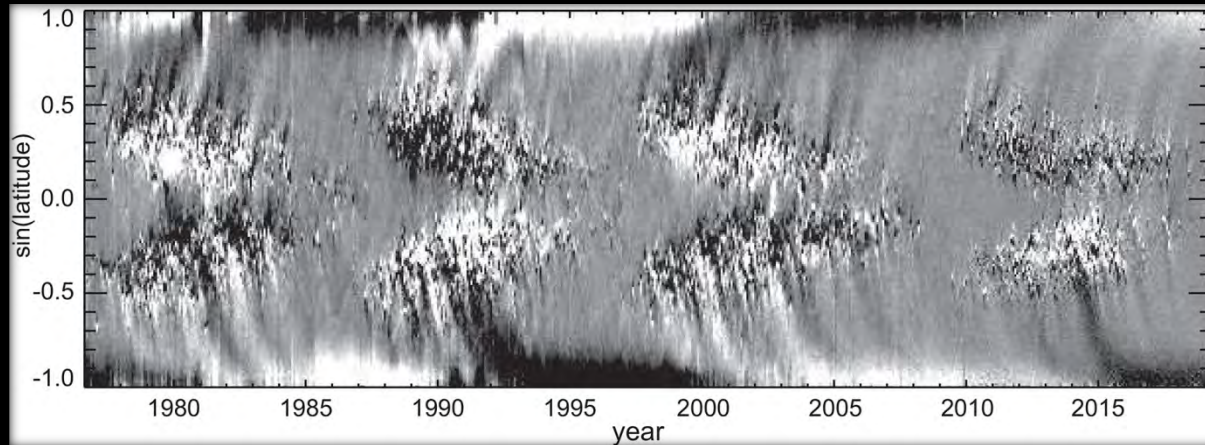
Synoptic magnetograms: SOLIS, MDI/SoHO, HMI/SDO

SC21

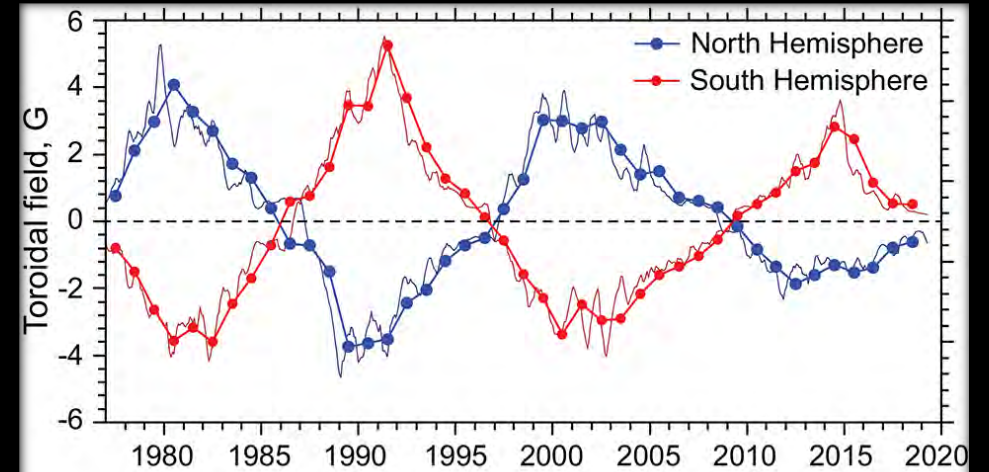
SC22

SC23

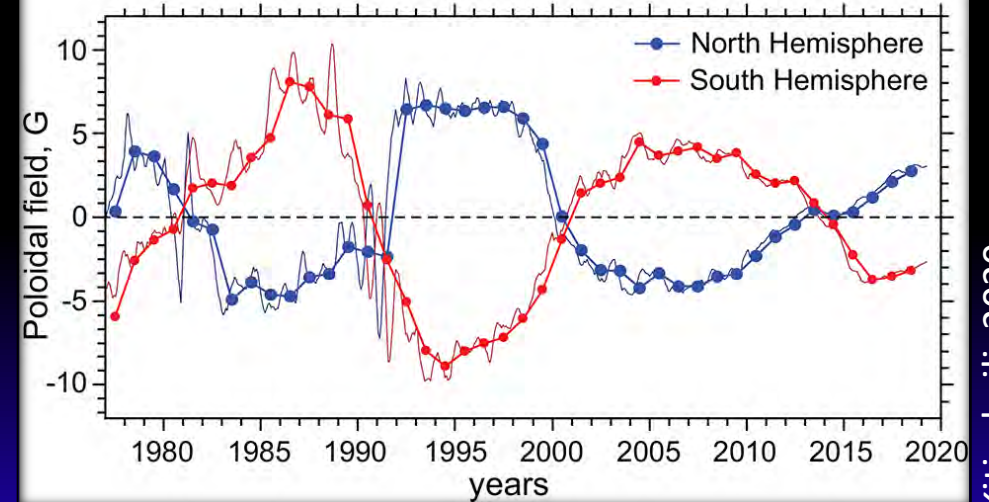
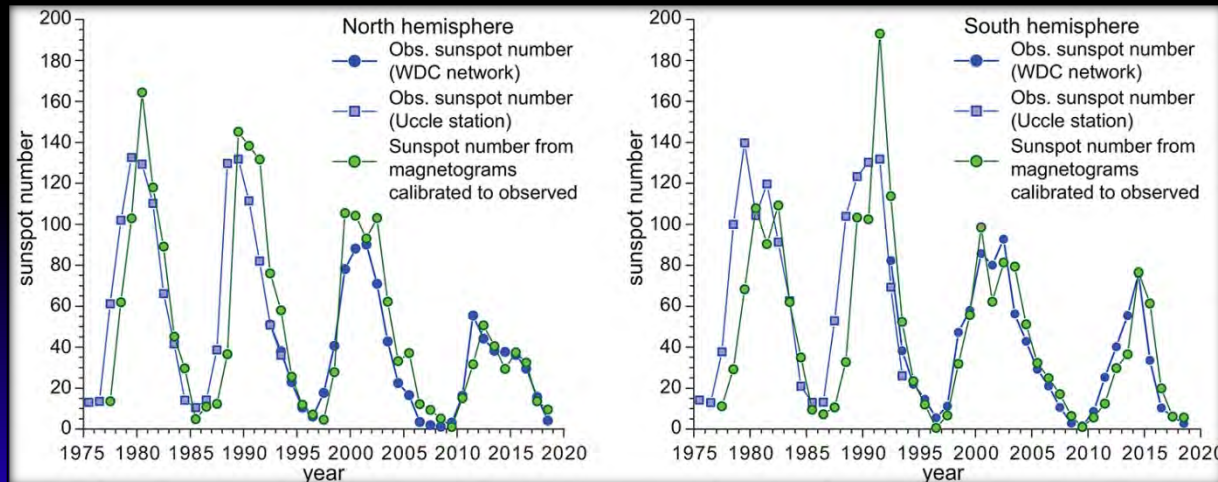
SC24



Toroidal and poloidal magnetic field components



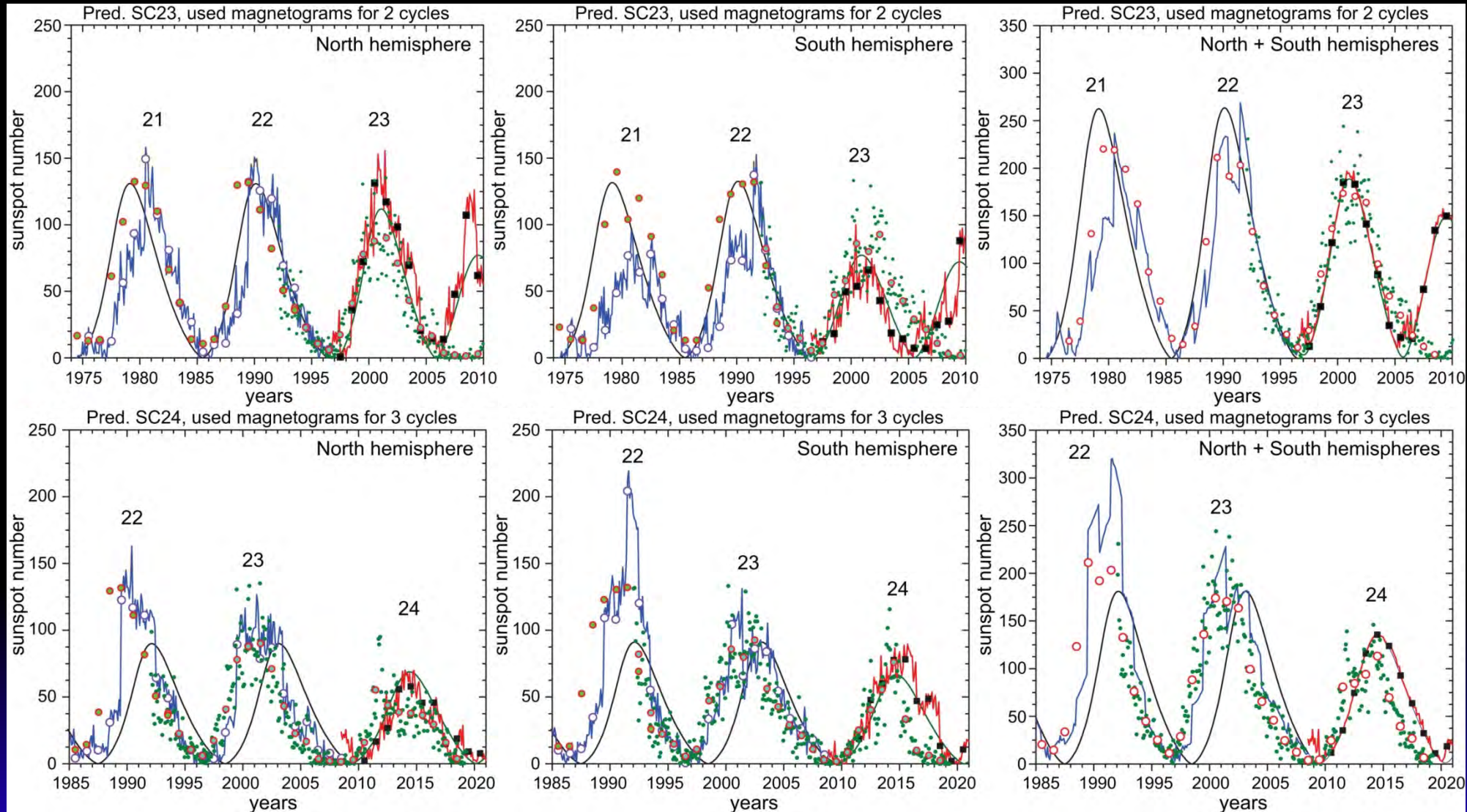
Sunspot number: observed vs estimated



Kitiashvili, 2020

# 'Test' predictions of Solar Cycles 23 and 24

## Data Assimilation

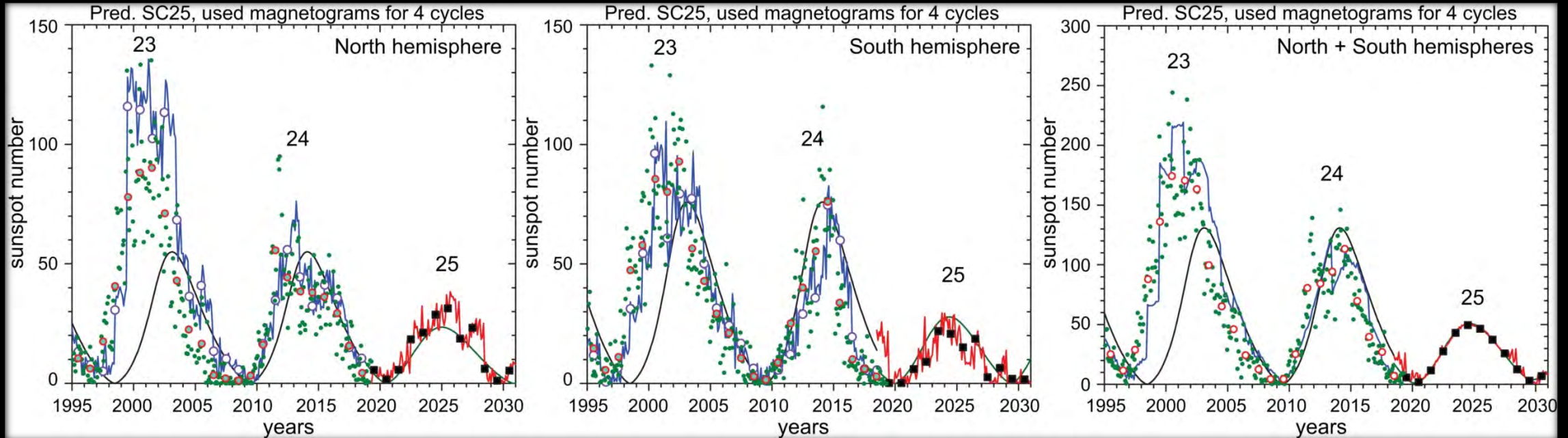


Kitiashvili, 2020



# Solar Cycle 25

## Data Assimilation



Solar Cycle 25 will be weaker than the current cycle and will start after an extended solar minimum during 2019 - 2021. The maximum of activity will occur in 2024 - 2025 with a sunspot number at the maximum of about  $50 \pm 15$  with an error estimate of  $\sim 30\%$ .

SC25 will start in the Southern hemisphere in 2020 and reach maximum in 2024 with a sunspot number of  $\sim 28 (\pm 10\%)$ . Solar activity in the Northern hemisphere will be delayed for about 1 year (with error of  $\pm 0.5$  year) and reach maximum in 2025 with a sunspot number of  $\sim 23 \pm 5 (\pm 21)$ .

# Challenges of Solar Activity Forecasting

- Limited knowledge about past and current global solar activity
- Short time series of available observations
- No realistic theoretical description of global solar dynamics
- Evaluation quality of a forecast
- Estimation errors and uncertainties both in observations and models
- Physics- and observation-based global solar activity characterization (new standard development)

# Work Plan to build reliable forecasts of Solar Activity

- 1) Continue synoptic observations of solar surface and subsurface dynamics
- 2) Development of new data analysis techniques
  - a) procedures for error estimates and observational data reconstruction
  - b) hybrid AI/DA methods
  - c) methods of cross-analysis of different types of observations
- 3) Development of a methodology to extract information about magnetic field strength, distribution, and dynamics from helioseismic inferences
- 4) Development of global first-principle models of solar dynamics and dynamo