National Aeronautics and Space Administration

NASA TECHNOLOGY TRANSFER PROGRAM

BRINGING NASA TECHNOLOGY DOWN TO EARTH

Building the Next Generation Technology Transfer Information System

Air Force Research Laboratory (AFRL)
National Aeronautics and Space Administration (NASA)

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March 25th, 2020

What is DTTIS?

Defense Technology Transfer Information System

DTTIS is a scalable data system built to grow and adapt to changing conditions. It collects information from tech transfer offices, patent attorneys, innovators, and more.

DTTIS automates workflows to standardize and streamline tech transfer business rules. Resulting in improved efficiency, standardized processes and reducing errors.

DTTIS is a search and reporting engine built to provide visibility and insight into Air Force's Technology Transfer and Transition (T3) Program.

Background Information

- **Current Dilemma: Office of the Secretary of Defense (OSD) Tech Transfer database systems and processes are obsolete, stove-piped, and ad-hoc.**

- **AF T3 team assessed multiple Commercial-Off-the-Shelf (COTS) and Government-Off-the-Shelf (GOTS) software tools and selected NASA's Technology Transfer System (NTTS) as the baselined solution**

- **NASA and AFRL established Reimbursable Inter-Agency Agreement (RIAA) in May 2019 to customize the NTTS patented software platform as next generation DTTIS Air Force (DTTIS-AF) platform**

- **After initial implementation, AF will work with other military branches (i.e., Navy, Army, etc.) for expansion to other services**

- **After initial expansion, AF will make DTTIS available to other Dept. of Defense (DoD) components**
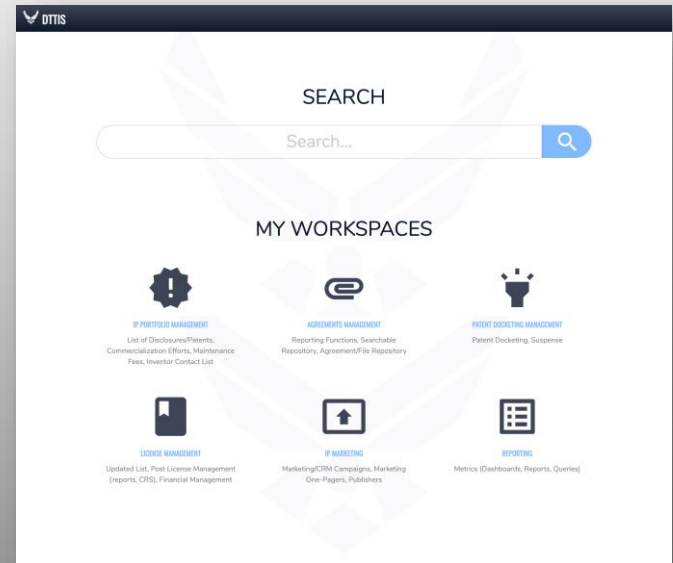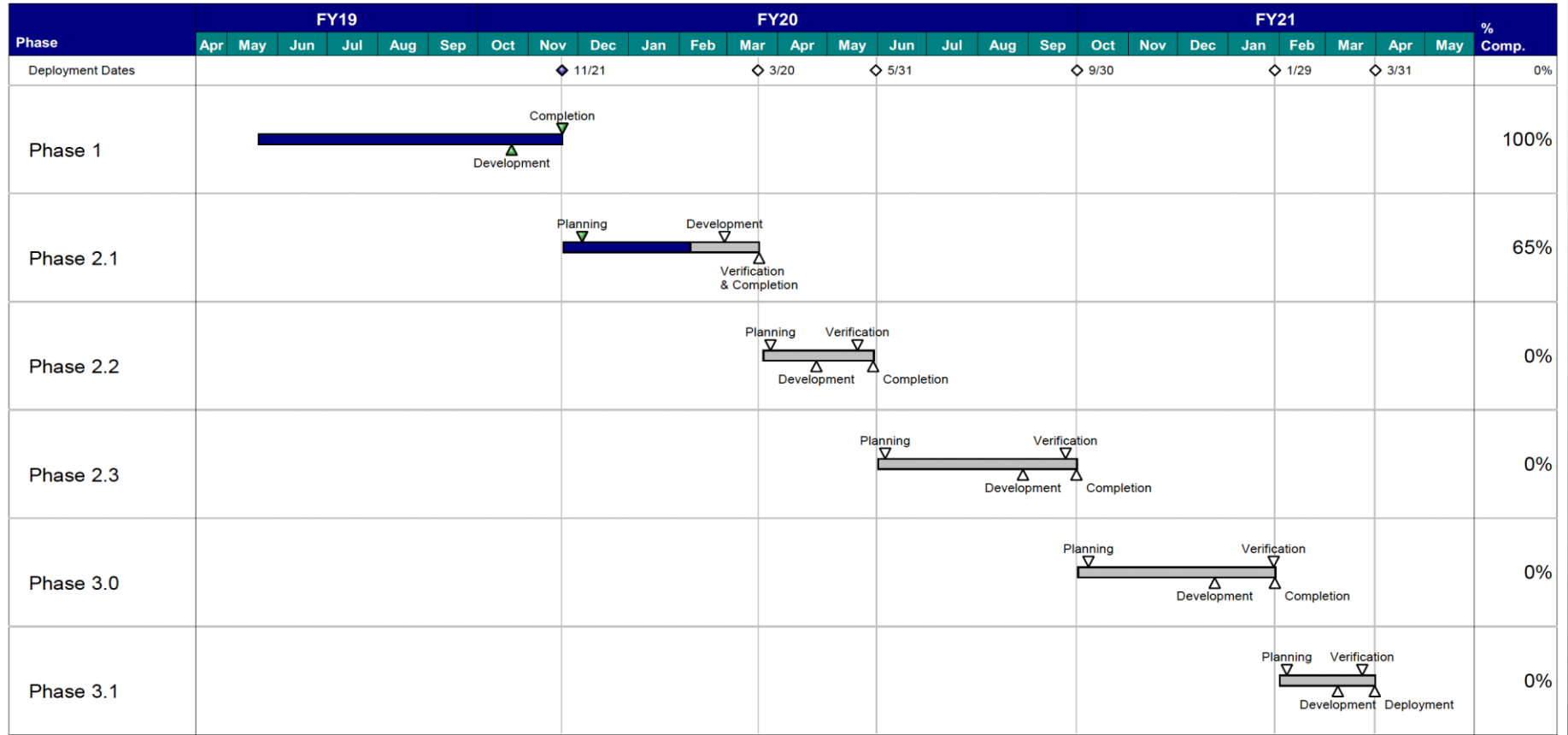
Key Strategic Benefits

- **Leverage from a proven NASA patented GOTS software platform to provide best value to AF and DoD T3 community**

- **DTTIS platform will facilitate, capture, manage, and streamline overall AF and DoD T3 processes and activities with data consolidation (i.e., minimize data duplications) and integration (i.e., Service-Oriented Architecture)**

- **DTTIS tool will provide effective Intellectual Property (IP) portfolio mgmt.**

- **Facilitates better communication and collaboration improvements between AF and DoD T3 stakeholders, users and customers**
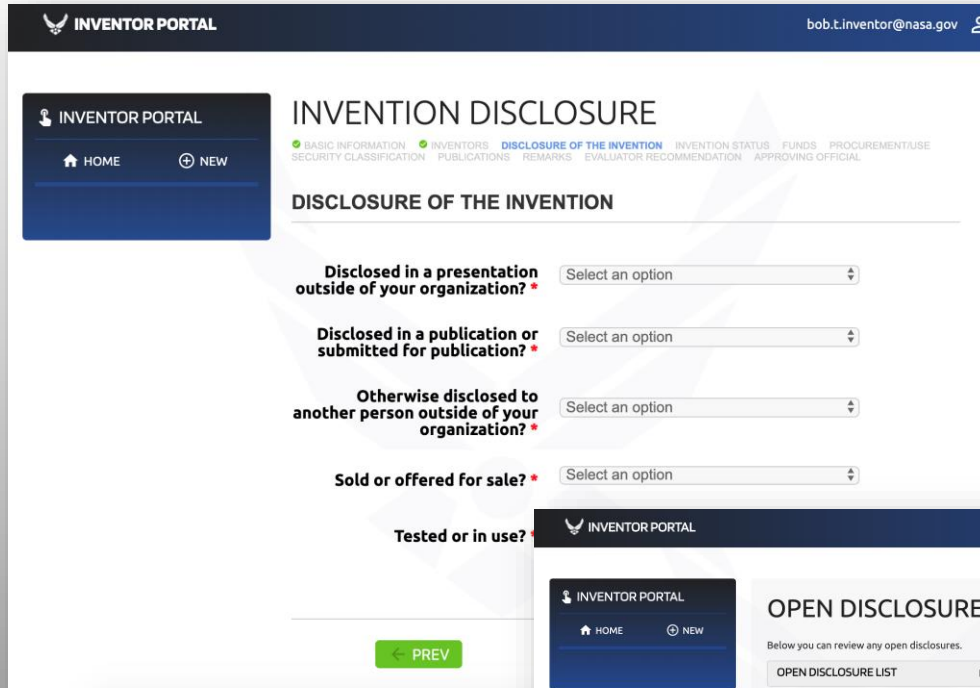
Development Roadmap

- **Multi-phased technical approach using an agile/iterative development approach**

- **Phase 1 focused on invention disclosures, input forms, and user workspace (aka "Alpha" version) – Completed as of November 2019**

- **Phase 2 focuses on agreements mgmt., patent docketing, licensing, commercialization evaluation, and intuitive reporting, browsing, navigating and search capabilities with overall improvements to Phase 1 system (aka "Beta" version) – Estimated Completion Date (ECD): May 2020**

- **Phase 3 focuses on integrating with DoD's IT infrastructure and services (i.e., authentication via CAC Smartcard, fine-grained access controls for authorization, email notifications, etc.) for production deployment with overall improvements to Phase 2 system and provide user training, documentation, and transition to AF – ECD: March 2021**

DTTIS-AF Integrated MS-Project Schedules

Inventor Portal
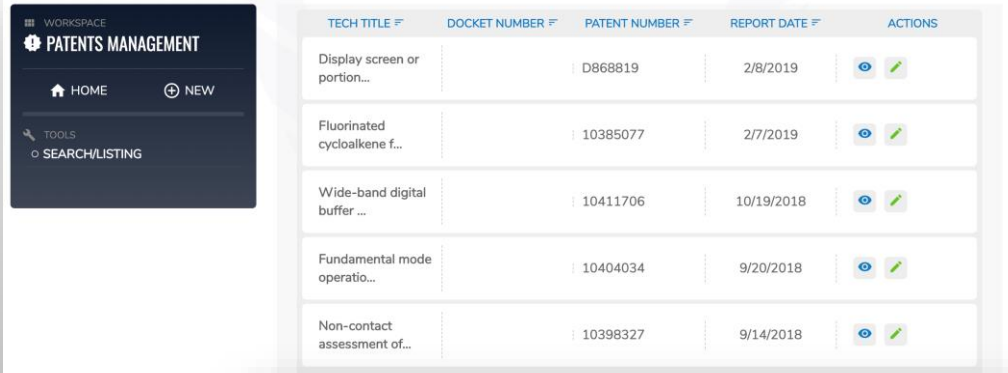
- **One-stop shop for inventors and reviewers to submit, review and approve disclosures.**

- **Step by step form to guide the inventor through the disclosure process.**

- **Generate AF1279 PDFs on the fly.**

IP Portfolio Workspace
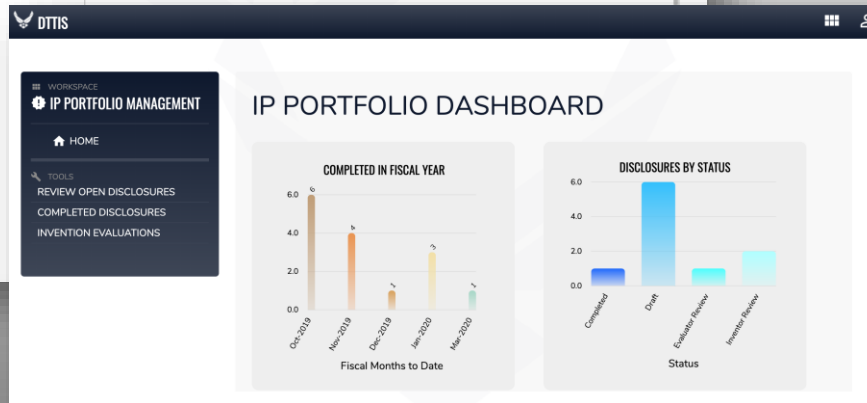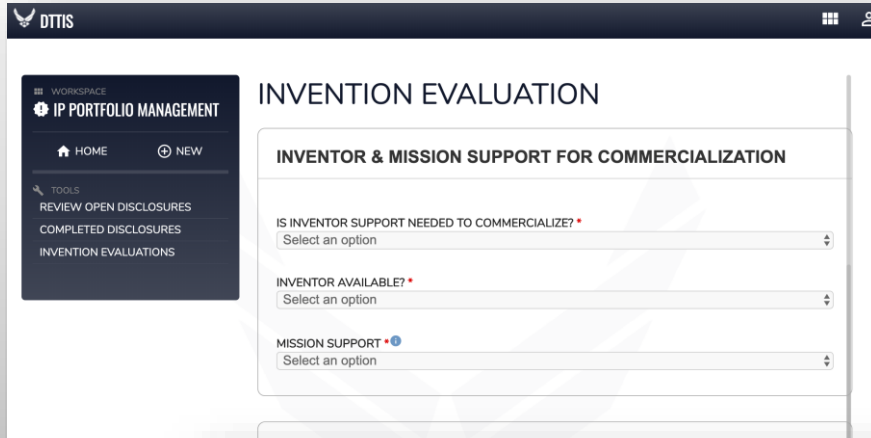
- **Manage entire IP portfolio from a single workspace**

  Monitor disclosures being drafted or in review

  Evaluate inventions for licensing potential

  Dashboard to visualize metrics related to intellectual property

  Generate PDF of AF1279

- **Upcoming Enhancements**

  Patent Docketing

  Additional Dashboard Metrics

Patent Docketing Workspace
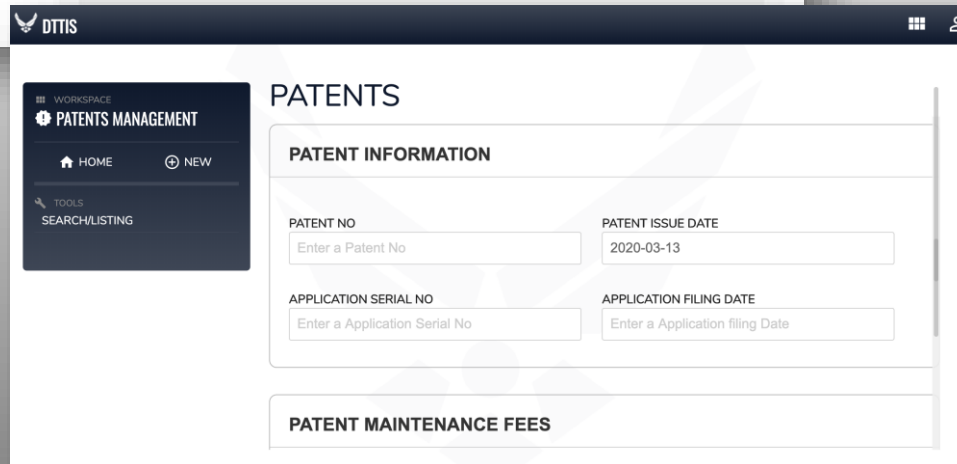
- **Manage entire patent portfolio from the Patent Docketing Workspace**

- **Upcoming Enhancements**

  Implementation of patent rules

  USPTO data connection

  Notification of important dates (provisional and nonprovisional patent expiration, USPTO actions, maintenance fees)
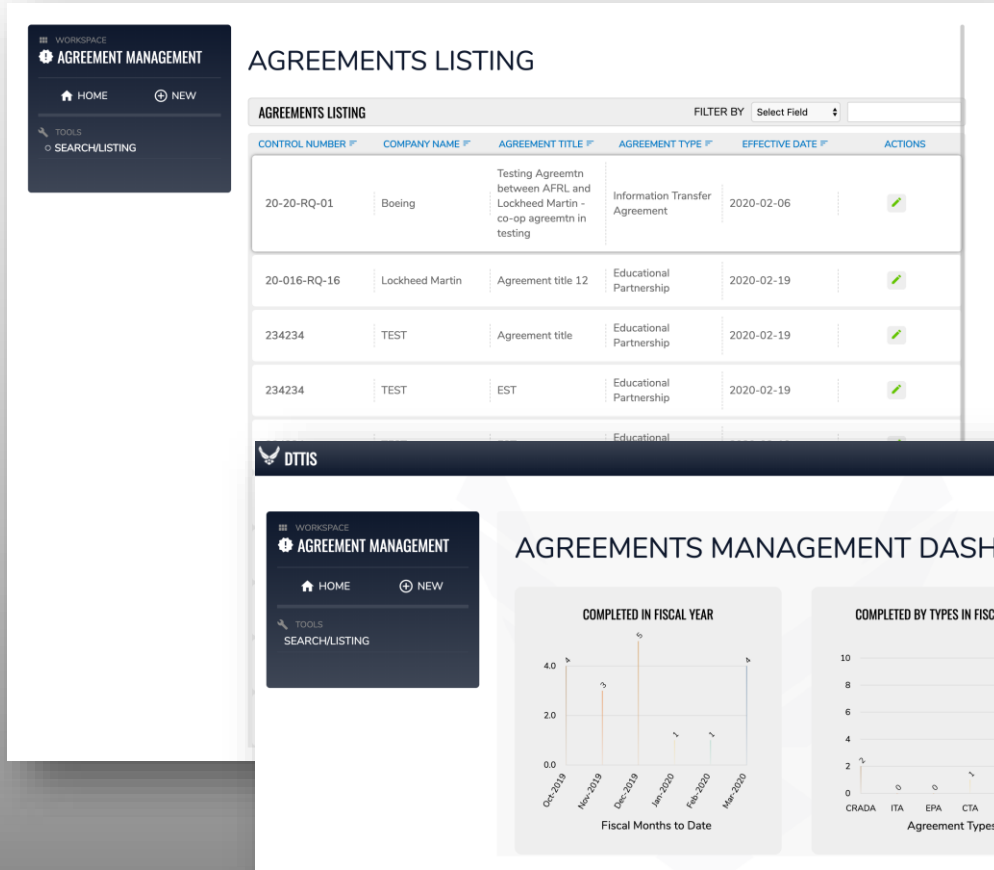
Agreements Management Workspace

- **Customized workspace to manage agreements**

  CRADA, MOA/MOU, License, JOA, and more.

- **Dashboard to view real-time metrics**

  Metrics breakdown by agreement types, number of agreements executed in date period, and more.

- **Upcoming Enhancements**

  Agreement/File Repository

  Agreement Templates

  Additional Dashboard Metrics

License Management Workspace

- **Customized workspace to manage licenses**

  Exclusive, Co-Exclusive, Non-Exclusive, and more.

- **Dashboard to view real-time metrics**
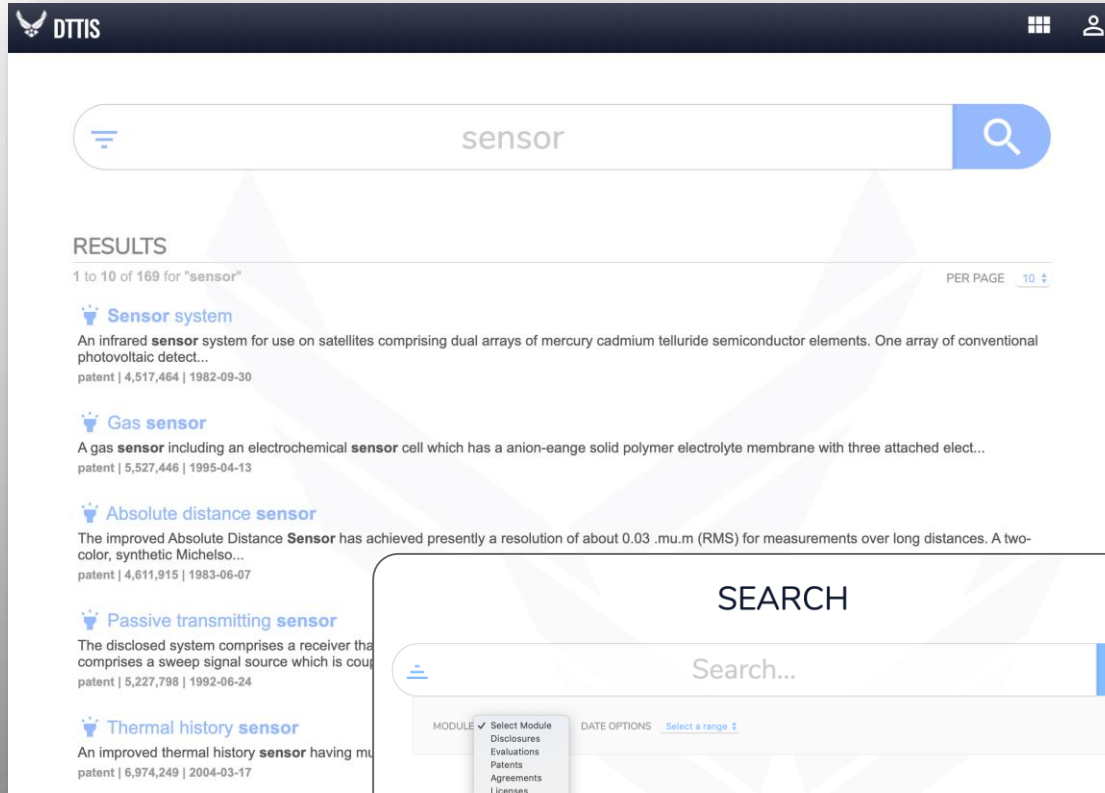
  Metrics breakdown by license types, number of licenses executed in date period, and more.

- **Upcoming Enhancements**

  License Royalty Tracking and Distribution

Keyword Full-Text Search Engine

- **Search across entire DTTIS system:**

  Invention Disclosures

  Invention Evaluations

  Patents

  Agreements

  Licenses

  Attachments

- **Implemented with ranking and relevancy**

- **Ability to apply filters to results to target key documents**

Upcoming Key Strategic Product Capabilities

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- **Patent Docketing**

  Implementation of patent rules

  Integration with United States Patent & Trademark Office (USPTO)

- **IP Marketing Workspace**

  Generate technology marketing one-pagers

  Publisher/Feed to publish content for websites

  Customer Relationship Mgmt. (CRM) connectors to connect system to SuiteCRM tool

- **Reports & Metrics**

  Report Builder

  Technology Transfer Metrics Report

  Technology Transfer Dashboard

- **System Integration with AF/DoD IT Infrastructure**

  Email Notification System

  Authorization Services

  DoD CAC Authentication Integration

  Digital Signatures

Questions?

Backup Slides

NTTS High-Level System Architecture

Intuitive User Interfaces (Both External & Internal)

- e-NTR
- PAAM
- T2 Center Sites
- ICB
- T2 Portal & Dashboard
- Software Catalog & Repository
- Innovator Dashboard
- ATLAS
- Mobile Apps (iPad/iPhone)
- EHB

NASA Authentication (User Account Management)

Authorization (Access Control)

T2 REST(ful) APIs and Web Services

- Patents
- NTRs/NTSRs
- Partnerships
- Software Releases
- Waivers
- TOPS
- Tech Briefs

- Licenses
- Grants/Contracts
- Tech Transfers
- Awards
- Company
- People
- …etc…

NTTS Search & Analytics Engine

Automated Workflow Engine

NETMARK XDB Database Framework

NTTS System Overview

NASA **TECHNOLOGY**
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DEDICATED VIRTUAL OR CLOUD-BASED HOSTING

Application Layer

Search and REST(ful)
Web Services API Layer

Database Layer
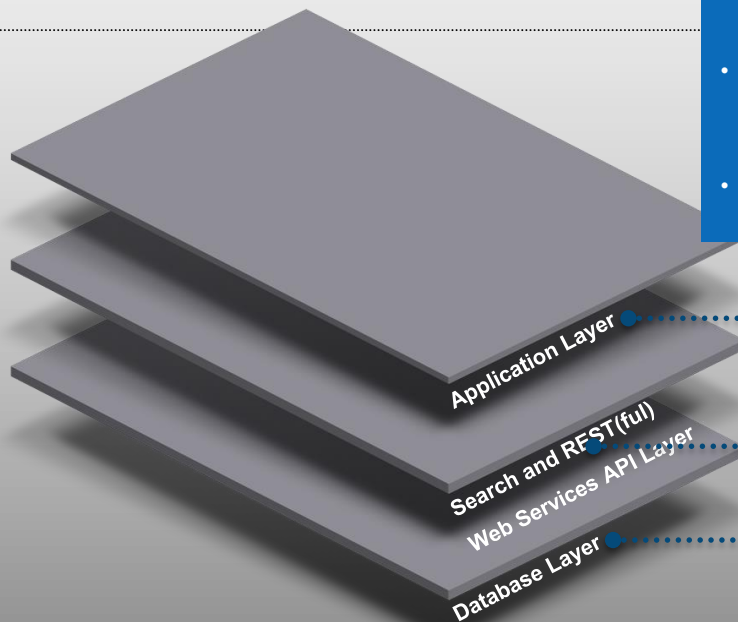
Native XML or JSON data storage provides a high-level architectural flexibility, scalability, and allows:
- The management and integrated information from database as well as raw information into business documents and processes.
- Data integration across multiple sources in an enterprise solution that does not require heavy investment in databases and middleware maintenance.
- Cost-effectiveness and scalability of data integration in enterprise environments.

NTTS Data Modules
Data module interfaces for NTTS users to retrieve, save, and process data.

NTTS Search Protocol
Custom layer which all the NTTS modules utilize to retrieve data in XML or JSON formats.

Netmark XDB
Semi-structured data storage.

Technology Transfer Key System Capabilities Comparison				
Key Capabilities	**NTTS**	**iEdison**	**IPfolio**	**TechTracS**
Data Collection and Management	Full	Partial	Full	Partial
Extramural Reporting	Full	Full	Full	Full
Intramural Reporting	Full	Partial	Full	Full
Workflow Automation	Full	Partial	Partial	Partial
Business and Marketing Communications	Full	Partial	Partial	Partial
Business Intelligence, Metrics, Analytics, Visualization	Full	Partial	Partial	Partial
APIs and Web Services	Full	Partial	Partial	Partial
Cloud-enabled for Scalability and Flexibility	Full	No capacity	Full	Full
Patent Docketing	Partial	Partial	Full	Partial
Security Compliance—Authentication and Authorization Services Tailored to U.S. Federal Government IT Security Standards—NIST FIPS–199, FedRAMP, etc.)	Full	Full	No capacity	No capacity

● Full capacity   ● Partial capacity   ○ No capacity

Important Notes:
1. Interagency Edison (iEdison) is a technology transfer tool managed by NIH's Office of Extramural Research: https://public.era.nih.gov/iedison/public/login.do
2. IPfolio is a Commercial-Off-the-Shelf (COTS) technology transfer tool: https://www.folio.com
3. Knowledge Sharing System (KSS) TechTracS is a COTS-based technology transfer tool: https://www.knowledgesharing.com

NTTS Return on Investment (ROI) - Paperwork Reduction Act (PRA)

As part of U.S. federal regulation, the Paperwork Reduction Act of 1980 (Pub. L. No. 96-511, 94 Stat. 2812, codified at 44 U.S.C. 3501-3521), NTTS Automated Technology Licensing Application System (ATLAS) provided an average of about 8 hours time saved for each user response with an average annual ATLAS rate of 360 responses/year.

This equates to a total of 8 hrs./response x 360 responses/year = 2,880 hrs./year saved, which equates to projected cost savings of about $169,920/year!

OMB Control Number: 2700-0169
ICR Reference Number:201811-2700-001

Agency: NASA
Expiration Date: 12/31/2021
Title: Automated Technology Licensing Application System (ATLAS)

ICR AnnualResponses: 360

ICR Annual Hours: 2,880

ICR Annual Costs: $ 169,920

Information Collections:

Title	Form Name	Form Number	Annual Responses	Annual Hours	Annual Cost
Automated Technology Licensing Application System (ATLAS)	NASA Tech Transfer License Application	n/a	360	2,880	$ 169,920