Modal Analysis of a Two-Parachute System

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The Orion capsule is designed to land under a nominal configuration of three main parachutes; however, the system is required to be fault tolerant and land successfully if one of the main parachutes fails to open. The Capsule Parachute Assembly System (CPAS) Team performed a series of drop tests in order to characterize the performance of the system with two main parachutes. During the series of drop tests, several distinct dynamical modes were observed. The most consequential of these is the pendulum mode. Three other modes are benign: flyout (scissors), maypole, and breathing. The actual multi-body system is nonlinear, flexible, and possesses significant cross-coupling. Rather than perform analysis of this highly complex system directly, we conduct analysis of each dynamical mode observed during flight, based on first principles. This approach is analogous to traditional aircraft flight dynamics analysis in which the full nonlinear behavior of the airframe is decomposed into longitudinal dynamics (phugoid and short-period modes) and lateral dynamics (spiral, roll-subsidence, and dutch-roll modes). This analysis is intended to supplement multi-body nonlinear simulations in order to provide further insight into the system.

I. Introduction

As discussed in Refs. [1] and [2], a series of flight tests was conducted to characterize the performance of the Orion Multi Purpose Crew Vehicle (MPCV) parachute cluster. It is apparent from flight tests that the system made up of two main parachutes and a capsule can undergo several distinct dynamical behaviors. The most significant and problematic of these is the pendulum mode in which the system develops a pronounced swinging motion with amplitude of up to 24 deg. Large excursions away from vertical by the capsule could cause it to strike the ground at a large horizontal or vertical speed and jeopardize the safety of the astronauts during a crewed mission. In Ref. [2] Ali et al. summarized a series of efforts taken by the CPAS program to understand and mitigate the pendulum issue. Other noticeable but benign modes include: 1) flyout (scissors) mode, where the parachutes move back and forth symmetrically with respect to the vertical axis, similar to the motion of a pair of scissors, 2) maypole mode, where the two parachutes circle around the vertical axis at a nearly constant radius and period, and 3) breathing mode, in which deformation of the non-rigid canopies affects the axial acceleration of the system in an oscillatory fashion. Because these modes are relatively harmless, little effort has been devoted to analyzing them compared to the pendulum motion.

Over the past 50 years, a number of analytical, numerical, and experimental investigations have been performed with the goal of understanding parachute-payload system pitch-plane dynamics and pendulum motion (for example, Refs. [3]–[6]). Reference [7] used Computational Fluid Dynamics (CFD) to study the stability of various main parachute configurations from the Apollo and MPCV programs. It was demonstrated that an increase in the porosity of the parachute improved its stability characteristics and hence reduced the
severity of the pendulum motion. Reference [6] provides similar insights regarding the flow physics associated with non-porous vs. porous configurations and how it affects the parachute stability characteristics. Most recently, Refs. [8] and [9] provide further analytical investigation of the two-parachute cluster pendulum motion via linear and non-linear analyses. Figure 1 shows a typical $C_N$ vs. $\alpha$ profile for an unstable parachute such as the MPCV main parachute. The aerodynamic normal force for each parachute is a nonlinear function of angle of attack, $\alpha$, with two stable equilibrium points at $\pm \alpha_0$. The effect of the $C_N$ profile on the pendulum motion is well studied. The effect of $C_N$ on the characteristics of the other modes will be examined as a part of this work.

![Figure 1. $C_N$ vs. $\alpha$ curve for unstable parachutes](image)

Motions of the actual system made up of two parachutes and a capsule are extremely complicated due to nonlineairities and flexibility effects. Often it is difficult to obtain insight into the fundamental dynamics of the system by examining results from a multi-body simulation based on nonlinear equations of motion.\textsuperscript{,7} In the current work, the dynamics of each mode observed during flight is derived from first principles on an individual basis by making numerous simplifications along the way. The intent is to gain a better understanding into the behavior of the complex multi-body system by studying the reduced set of differential equations associated with each mode. This approach is analogous to the traditional modal analysis technique used to study airplane flight dynamics\textsuperscript{11} in which the full nonlinear behavior of the airframe is decomposed into the phugoid and short period modes for the longitudinal dynamics, and the spiral, roll-subsidence, and dutch-roll modes for the lateral dynamics. The current paper takes the same analytical approach as Ref. [8] and [9] for the pendulum mode but focuses on the other three dynamical modes of the two-parachute configuration. The pendulum mode is discussed briefly for completeness. It is important to note that the paper does not address the mechanisms that cause the system to transition from one mode to another, nor does it discuss motions during which two or more modes occur simultaneously. According to Ref. [12], it is not obvious that there is always a unique stable configuration for a cluster of unstable parachutes. The remainder of the paper is organized as follows. Section II briefly addresses the pendulum mode along with the $C_N$ vs. $\alpha$ model identified from flight test data.\textsuperscript{9} A simplified planar model of the scissors mode is presented in Sec. III. Section IV analyzes the maypole motion and provides insights based on flight data regarding how aerodynamics can affect the characteristics of the maypole motion. “Breathing” motion of non-rigid parachutes is studied in Sec. V. Conclusions are presented in Sec. VI. Note: Due to ITAR (International Tariff and Arms Regulation) restrictions, all aerodynamic parameters shown in this paper are placeholders. Y-axis labels have been removed for figures with flight data.

## II. Pendulum Mode

Results from contemporary studies of the pendulum motion are reviewed briefly; details of the analyses can be found in Refs. [8] and [9]. The planar dumbbell model used to study the underlying dynamics of the pendulum motion is illustrated in Fig. 2. The capsule is modeled as a particle rather than an extended rigid body and aerodynamic forces acting on the capsule are ignored.\textsuperscript{3} The two parachutes are treated as a single particle. The rigid body $B$ contains two particles. Particle $P_C$ has a mass of $m_C$, the total mass of two parachutes, which includes dry mass as well as the mass of air trapped in each of the canopies. Particle $P_L$ has a mass of $m_L$ and represents the capsule. Body $B$ moves such that $P_C$ and $P_L$ remain at all times in
a plane fixed in a Newtonian reference frame \( N \). A right-handed set of mutually perpendicular unit vectors \( \mathbf{n}_1, \mathbf{n}_2, \) and \( \mathbf{n}_3 \) is fixed in \( N \). Unit vectors \( \mathbf{n}_1 \) and \( \mathbf{n}_3 \) lie in the plane in which motion takes place, and are directed as shown in Fig. 2; \( \mathbf{n}_1 \) is horizontal, \( \mathbf{n}_2 \) is directed into the page, and \( \mathbf{n}_3 \) is vertical, directed downward. A right-handed set of mutually perpendicular unit vectors \( \mathbf{b}_1, \mathbf{b}_2, \) and \( \mathbf{b}_3 \) is fixed in \( B \). Unit vectors \( \mathbf{b}_1 \) and \( \mathbf{b}_3 \) are directed as shown in Fig. 2; \( \mathbf{b}_1 \) has the same direction as the position vector \( \mathbf{r}_{PC} \) from \( P_C \) to \( P_L \). Unit vector \( \mathbf{b}_2 \) is directed into the page; note that it is fixed in \( N \) as well as in \( B \).

Figure 2. Rigid Body Containing Two Particles.

The following two relationships governing translation and rotation of the dumbbell are derived in Ref. [8].

\[
\begin{align*}
N^* \mathbf{a}^* & = \frac{1}{m_C + m_L} \left( - [A_x \sin \theta + A_z \cos \theta] \mathbf{n}_1 + [W_C + W_L - A_x \cos \theta + A_z \sin \theta] \mathbf{n}_3 \right) \quad (1) \\
\dot{\theta} + \frac{1}{m_C L} [(m_C g - W_C) \sin \theta - A_z] & = 0 \quad (2)
\end{align*}
\]

where \( W_L = m_L g \), and \( g \) is the magnitude of the local gravitational force per unit of mass. \( W_C \) is the sum of dry weights of the two parachutes; the weight of the air trapped in their canopies is ignored because the gravitational force exerted on that air is assumed to be counteracted by buoyancy effects from the ambient atmosphere. \( A_x \), the magnitude of the resultant of the aerodynamic axial forces applied to the two parachutes, can be expressed as:

\[
A_x = 2q_\infty S_{ref} C_A \quad (3)
\]

where \( q_\infty \) is the dynamic pressure, \( S_{ref} \) is the reference area of a single parachute, and \( C_A \) is the drag coefficient for a single parachute. The absolute value of \( A_z \) is the magnitude of the resultant of the aerodynamic normal forces applied to the two parachutes; \( A_z \) can be expressed as:

\[
A_z = -2q_\infty S_{ref} C_N \quad (4)
\]

\( C_N \) is the aerodynamic normal force coefficient for a single parachute. As discussed in Ref. [3] and [4], \( C_A \) and \( C_N \) are nonlinear functions of \( \alpha \), the instantaneous angle of attack of the parachute:

\[
C_A = C_{A_0} + \frac{1}{2} C_{A_0} \alpha_0 \left( \frac{\alpha^2}{\alpha_0^2} - 1 \right) \quad (5)
\]

\[
C_N(\alpha) = \frac{C_{N_0}}{2\alpha_0^2} (\alpha^3 - \alpha_0^2 \alpha) \quad (6)
\]

Here \( \alpha_0 \) is the stable trim angle of attack and \( C_{N_0} \) is the slope of the \( C_N \) curve at \( \alpha_0 \). An additional damping term, \( C_{N_d} \), was added to Eqs. (6) to account for unsteady time lag effects in the rotational degree-of-freedom.\(^8,9,13\) Table 1 shows the parameter estimation results\(^9\) from applying the output-error method in
system identification theory\textsuperscript{14,15} based on the pendulum motion observed from CDT (Capsule Drop Test) 3-11 and 3-12 flights. The estimated parameters and the their standard errors are listed in columns 2 and 3, respectively.

Table 1. Parameter Estimation Results from CDT 3-11

<table>
<thead>
<tr>
<th>parameter</th>
<th>$\hat{\theta}$</th>
<th>$s(\hat{\theta})$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$\alpha_0$ \text{ (rad)}</td>
<td>0.23</td>
<td>0.1</td>
</tr>
<tr>
<td>$CN_\alpha$ \text{ (rad$^{-1}$)}</td>
<td>0.4</td>
<td>0.1</td>
</tr>
<tr>
<td>$CN_A$ \text{ (rad/s)$^{-1}$}</td>
<td>1.5</td>
<td>0.3</td>
</tr>
</tbody>
</table>

III. Scissors Mode

Ref. \cite{1} describes the flyout or scissors motion as two parachutes moving sinusoidally away from or towards the vertical axis in a symmetrical fashion, while the capsule descends at nearly constant speed. A simple planar model involving three particles is used to study the underlying dynamics of the scissors motion as shown in Fig. 3. Particle $P_L$ has a mass of $m_L$ and represents the capsule. The two parachutes are treated as identical particles, $P_B$ and $P_C$; each has a mass of $m_C$, which includes dry mass as well as the mass of air trapped inside the canopy. The system moves such that the three particles remain at all times in a plane fixed in a Newtonian reference frame $N$. A right-handed set of mutually perpendicular unit vectors $\hat{n}_1$, $\hat{n}_2$, and $\hat{n}_3$ is fixed in $N$. Unit vectors $\hat{n}_1$ and $\hat{n}_2$ lie in the plane in which motion takes place, and are directed as shown in Fig. 3; $\hat{n}_1$ is horizontal, $\hat{n}_2$ is directed out of the page, and $\hat{n}_3$ is vertical, directed downward. $P_B$ and $P_C$ each are connected to $P_L$ by a massless, rigid link; the two links are connected by a revolute joint whose axis is parallel to $\hat{n}_2$. $P_B$ and one link are fixed in a reference frame $B$, whereas $P_C$ and the other link are fixed in a reference frame $C$. The orientations of $B$ and $C$ in $N$ are described by angles $\theta_1$ and $\theta_2$, respectively. A dextral set of mutually perpendicular unit vectors $\hat{b}_1$, $\hat{b}_2$, and $\hat{b}_3$ is fixed in $B$ and directed as shown in Fig. 3; $\hat{b}_2$ is directed out of the page. A similar set of unit vectors $\hat{c}_1$, $\hat{c}_2$, and $\hat{c}_3$ is fixed in $C$; $\hat{c}_2$ is directed into the page. The relationships of each set of unit vectors to $\hat{n}_1$, $\hat{n}_2$, and $\hat{n}_3$ are given in Tables 2 and 3, respectively. For example, the entry in the $r$th row and $s$th column (not counting the row or the column containing unit vectors) of Table 2 is the dot product $\hat{n}_r \cdot \hat{b}_s$ ($r, s = 1, 2, 3$). Note that $\hat{b}_2$ and $\hat{c}_2$ are each fixed in the three reference frames $N$, $B$, and $C$. The resultant external forces acting on $P_L$, $P_B$, and $P_C$ are denoted by $F_L$, $F_B$, and $F_C$, respectively.

The equation of motion governing the horizontal speed of $P_L$, which is not presented, shows that horizontal acceleration of $P_L$ vanishes under the following conditions: $(F_L + F_B + F_C) \cdot \hat{n}_1 = 0$, $\theta_1 = \theta_2$, $\theta_1 = \theta_2$, and $\ddot{\theta}_1 = \ddot{\theta}_2$. The latter three conditions simply correspond to the symmetric motion of the parachutes that characterizes the scissors behavior under consideration. In what follows we assume all four conditions exist, and take the horizontal speed of $P_L$ to be constant and equal to zero. In that case, the three-particle system has three degrees of freedom in $N$, and three motion variables $u_1$, $u_2$, and $u_3$ are introduced operationally as follows. The velocity $Nv^{P_L}$ of $P_L$ in $N$ is given by

$$Nv^{P_L} = w_L \hat{n}_3 = u_1 \hat{n}_3$$

(7)

The angular velocities in $N$ of $B$ and $C$ are, respectively

$$N\omega^B = -\dot{\theta}_1 \hat{b}_2 = -u_2 \hat{b}_2$$

(8)

$$N\omega^C = -\dot{\theta}_2 \hat{c}_2 = -u_3 \hat{c}_2$$

(9)

After noting that $P_L$ is fixed in $B$ and also in $C$, the velocities in $N$ of $P_B$ and $P_C$ can be expressed as

$$Nv^{P_B} = Nv^{P_L} + N\omega^B \times (-L \hat{b}_3) = u_1 \hat{n}_3 + Lu_2 \hat{b}_1$$

(10)

$$Nv^{P_C} = Nv^{P_L} + N\omega^C \times (-L \hat{c}_3) = u_1 \hat{n}_3 + Lu_3 \hat{c}_1$$

(11)
Figure 3. Scissors Mode Planar Model

Table 2. Direction Cosines, $\hat{n}_r \cdot \hat{b}_s$

<table>
<thead>
<tr>
<th>$\hat{n}_1$</th>
<th>$\hat{b}_1$</th>
<th>$\hat{b}_2$</th>
<th>$\hat{b}_3$</th>
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<tr>
<td>$\cos \theta_1$</td>
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<td>$-\sin \theta_1$</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>1</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>$\sin \theta_1$</td>
<td>0</td>
<td>$\cos \theta_1$</td>
<td></td>
</tr>
</tbody>
</table>

Table 3. Direction Cosines, $\hat{n}_r \cdot \hat{c}_s$

<table>
<thead>
<tr>
<th>$\hat{n}_1$</th>
<th>$\hat{c}_1$</th>
<th>$\hat{c}_2$</th>
<th>$\hat{c}_3$</th>
</tr>
</thead>
<tbody>
<tr>
<td>$-\cos \theta_2$</td>
<td>0</td>
<td>$\sin \theta_2$</td>
<td></td>
</tr>
<tr>
<td>0</td>
<td>$-1$</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>$\sin \theta_2$</td>
<td>0</td>
<td>$\cos \theta_2$</td>
<td></td>
</tr>
</tbody>
</table>

Kane’s partial velocities\(^{16}\) $N^r P_L$, $N^r P_B$, and $N^r P_C$ are obtained by inspecting the expressions for $N^r P_L$, $N^r P_B$, and $N^r P_C$ for the vector coefficients of the motion variables $u_r$ ($r = 1, 2, 3$). The partial velocities are recorded in Table 4.

Table 4. Partial Velocities, Scissors Motion

<table>
<thead>
<tr>
<th>$r$</th>
<th>$N^r P_L$</th>
<th>$N^r P_B$</th>
<th>$N^r P_C$</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>$\hat{n}_3$</td>
<td>$\hat{n}_3$</td>
<td>$\hat{n}_3$</td>
</tr>
<tr>
<td>2</td>
<td>0</td>
<td>$L\hat{b}_1$</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>0</td>
<td>0</td>
<td>$L\hat{c}_1$</td>
</tr>
</tbody>
</table>

The acceleration $N^r a_{P_L}$ of $P_L$ is given by

$$N^r a_{P_L} = \dot{\hat{u}}_1 \hat{n}_3$$ \hspace{1cm} (12)

The angular accelerations in $N$ of $B$ and $C$ are simply

$$N^r \alpha^B = -\dot{\hat{u}}_2 \hat{b}_2$$ \hspace{1cm} (13)

$$N^r \alpha^C = -\dot{\hat{u}}_3 \hat{c}_2$$ \hspace{1cm} (14)

Keeping in mind that $P_L$ is fixed in $B$ and also in $C$, the accelerations in $N$ of $P_B$ and $P_C$ are given by

$$N^r a_{P_B} = N^r a_{P_L} + N^r \alpha^B \times (-L\hat{b}_3) + N^r \omega^B \times N^r \omega^B \times (-L\hat{b}_3) = \dot{\hat{u}}_1 \hat{n}_3 + \dot{\hat{u}}_2 \hat{b}_2 \times L\hat{b}_3 - \hat{u}_2 \hat{b}_2 \times L\hat{b}_1$$

$$= \dot{\hat{u}}_1 \hat{n}_3 + L(\dot{\hat{u}}_2 \hat{b}_1 + \hat{u}_2^2 \hat{b}_3)$$ \hspace{1cm} (15)
\[ N \mathbf{a}^{Pc} = N \mathbf{a}^{Pl} + N \mathbf{C} \cdot (-\mathbf{L} \mathbf{c}_3) + N \mathbf{C} \cdot N \mathbf{C} \cdot (-\mathbf{L} \mathbf{c}_3) = \dot{u}_1 \mathbf{c}_3 + \dot{u}_3 \mathbf{c}_2 \times \mathbf{L} \mathbf{c}_3 - u_3 \mathbf{c}_2 \times \mathbf{L} u_3 \mathbf{c}_1 \\
= \dot{u}_1 \mathbf{c}_3 + L(\dot{u}_3 \mathbf{c}_1 + u_3^2 \mathbf{c}_3) \]

Kane’s dynamical equations of motion\(^6\) for the three-particle system are given by
\[ N \mathbf{v}_r^{Pl} \cdot (\mathbf{F}_L - m_L N \mathbf{a}^{Pl}) + N \mathbf{v}_r^{Pa} \cdot (\mathbf{F}_B - m_C N \mathbf{a}^{Pa}) + N \mathbf{v}_r^{Pc} \cdot (\mathbf{F}_C - m_C N \mathbf{a}^{Pc}) = 0 \quad (r = 1, 2, 3) \] (17)
For \( r = 1 \), we obtain
\[ \dot{\mathbf{u}}_3 \cdot (\mathbf{F}_L - m_L \dot{\mathbf{u}}_3 + \mathbf{F}_B - m_C [\dot{u}_1 \mathbf{c}_3 + L(\dot{u}_2 \mathbf{c}_1 + u_2^2 \mathbf{c}_3)]) + \dot{\mathbf{u}}_3 \cdot (\mathbf{F}_C - m_C [\dot{u}_1 \mathbf{c}_3 + L(\dot{u}_3 \mathbf{c}_1 + u_3^2 \mathbf{c}_3)]) = 0 \] (18)
\[ (m_L + 2m_C) \dot{u}_1 + m_C L (\sin \theta_1 \dot{u}_2 + \sin \theta_2 \dot{u}_3) = \dot{\mathbf{u}}_3 \cdot (\mathbf{F}_L + \mathbf{F}_B + \mathbf{F}_C) - m_C L (\cos \theta_1 u_2^2 + \cos \theta_2 u_3^2) \] (19)
This equation of motion involves dynamic coupling between the payload translation and the rotational motion of both rigid links. For \( r = 2 \),
\[ L \dot{\mathbf{b}}_1 \cdot (\mathbf{F}_B - m_C [\dot{u}_1 \mathbf{c}_3 + L(\dot{u}_2 \mathbf{c}_1 + u_2^2 \mathbf{c}_3)]) = L \dot{\mathbf{b}}_1 \cdot \mathbf{F}_B - m_C L (\sin \theta_1 \dot{u}_1 + \dot{L} \mathbf{b}_2) = 0 \] (20)
\[ m_C L \sin \theta_1 \dot{u}_1 + m_C L^2 \dot{u}_2 = L \dot{\mathbf{b}}_1 \cdot \mathbf{F}_B \] (21)
Here, dynamic coupling exists between the payload translation and the rotational motion of the link attached to \( P_B \). Finally for \( r = 3 \),
\[ L \dot{\mathbf{c}}_1 \cdot (\mathbf{F}_C - m_C [\dot{u}_1 \mathbf{c}_3 + L(\dot{u}_3 \mathbf{c}_1 + u_3^2 \mathbf{c}_3)]) = L \dot{\mathbf{c}}_1 \cdot \mathbf{F}_C - m_C L (\sin \theta_2 \dot{u}_1 + \dot{L} \mathbf{c}_3) = 0 \] (22)
\[ m_C L \sin \theta_2 \dot{u}_1 + m_C L^2 \dot{u}_3 = L \dot{\mathbf{c}}_1 \cdot \mathbf{F}_C \] (23)
In this case, dynamic coupling exists between the payload translation and the rotational motion of the link attached to \( P_C \). Equation (21) is essentially the same as (23). Therefore, symmetric motion of the parachutes occurs when the magnitude of the normal force \( \dot{\mathbf{b}}_1 \cdot \mathbf{F}_B \) applied to \( P_B \) is identical to the magnitude of the normal force \( \dot{\mathbf{c}}_1 \cdot \mathbf{F}_C \) applied to \( P_C \), the initial values of \( \theta_1 \) and \( \theta_2 \) are identical, and the initial values of \( u_2 = \dot{\theta}_1 \) and \( u_3 = \dot{\theta}_2 \) are identical. The equations of motion can be written in matrix form,
\[
\begin{bmatrix}
  m_L + 2m_C & m_C L \sin \theta_1 & m_C L \sin \theta_2 \\
  m_C L \sin \theta_1 & m_C L^2 & 0 \\
  m_C L \sin \theta_2 & 0 & m_C L^2 
\end{bmatrix}
\begin{bmatrix}
  \dot{u}_1 \\
  \dot{u}_2 \\
  \dot{u}_3
\end{bmatrix}
= \begin{bmatrix}
  \dot{\mathbf{u}}_3 \cdot (\mathbf{F}_L + \mathbf{F}_B + \mathbf{F}_C) - m_C L (\cos \theta_1 u_2^2 + \cos \theta_2 u_3^2) \\
  L \dot{\mathbf{b}}_1 \cdot \mathbf{F}_B \\
  L \dot{\mathbf{c}}_1 \cdot \mathbf{F}_C
\end{bmatrix}
\]
(24)
The mass matrix is symmetric, as expected. One can, of course, divide the second and third equations by \( L \).
According to Ref. [3], the contribution of aerodynamic forces to \( \mathbf{F}_L \) is ignored, and the force can be expressed as
\[ \mathbf{F}_L = m_L g \mathbf{c}_3 \] (25)
The resultant external force applied to \( P_B \) is given by
\[ \mathbf{F}_B = q_s S_{ref} \cdot [-C_N^{1a} \dot{\mathbf{b}}_1 - C_A \dot{\mathbf{b}}_3] + W_C \mathbf{c}_3 \] (26)
where \( W_C \) is the dry weight of a single parachute; the weight of the air trapped in the canopy is ignored because the gravitational force exerted on that air is assumed to be counteracted by buoyancy effects from the ambient atmosphere. The total normal force coefficient \( (C_N)_{tot} \) is the sum of the free-stream normal force coefficient \( (C_N)_{fs} \) and the normal force coefficient due to parachute proximity effects, \( (C_N)_{prox} \),
\[ (C_N)_{tot} = (C_N)_{fs} + (C_N)_{prox} \] (27)
As shown in Fig. 1 and Eq. (6), \( (C_N)_{fs} \) is generally a nonlinear function of \( \alpha \). In general, it is also a function of \( \dot{\alpha} \). For this analysis it is assumed that the parachutes are oscillating about some trimmed \( \alpha \). Assuming small angles, \( \theta' \approx \alpha' \), where \( \theta' \) and \( \alpha' \) are deviations about the trimmed \( \theta \) and \( \alpha \) respectively, and \( C_N \) varies

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linearly with $\alpha$. $(C_N)_{\text{prox}}$ is a function of $D_{\text{prox}}$, the distance between the parachute centers, and $V_{\text{prox}}$, the time derivative of $D_{\text{prox}}$. Proximity distance can be expressed as $D_{\text{prox}} = 2L \sin \theta$, and its time derivative is thus $V_{\text{prox}} = 2L \cos \theta \dot{\theta}$. The derivatives of the normal force coefficients have a relationship similar to Eq. (27).

$$(C_N)_{\text{tot}} = (C_N)_{\text{fs}} + (C_N)_{\text{prox}}$$

(28)

The resultant external force applied to $P_C$ is given by

$$F_C = q_\infty S_{\text{ref}}\left[-(C_N)_{\text{tot}} \hat{e}_1 - C_A \hat{e}_3\right] + W_C \hat{n}_3$$

(29)

The dynamical equations of motion (Eq. 24) can be solved numerically to obtain insights into the scissors motion as shown in Fig. 4. System parameters are listed in Table 5. A representative value of $(C_N)_{\text{tot}} = 0.225$ rad$^{-1}$ is used and zero damping due to $\dot{\alpha}$ and $V_{\text{prox}}$ is assumed. The three-particle system is translating in the direction of $\hat{n}_3$ while $P_L$ and $P_C$ oscillate symmetrically about their respective trimmed $\alpha$ (or $\theta$). It is most interesting to note that as the parachutes fly outwards away from the vertical axis, the descent rate $u_1 = w_L$ of $P_L$ decreases in magnitude. Conversely, as the parachutes move inwards, $w_L$ increases in magnitude. This phenomenon is a result of the dynamic coupling present in the system.

Table 5. System Parameters.

<table>
<thead>
<tr>
<th>parameter</th>
<th>value</th>
<th>units</th>
</tr>
</thead>
<tbody>
<tr>
<td>$S_{\text{ref}}$ (single parachute)</td>
<td>10563</td>
<td>ft$^2$</td>
</tr>
<tr>
<td>$L$</td>
<td>235</td>
<td>ft</td>
</tr>
<tr>
<td>Capsule Weight, $W_L$</td>
<td>21906</td>
<td>lb$\ell$</td>
</tr>
<tr>
<td>Dry Weight of One Parachute, $W_C$</td>
<td>327</td>
<td>lb$\ell$</td>
</tr>
<tr>
<td>Total Mass of One Parachutes, (dry and entrapped air), $m_C$</td>
<td>307</td>
<td>slugs</td>
</tr>
</tbody>
</table>

If the dynamic coupling in Eqs. (24) is ignored (valid approximation since contribution of $\dot{u}_1$ to $\dot{u}_2$ is small), damping is neglected, and $\theta_1$ is assumed to remain small, the second of Eqs. (24) describes an undamped harmonic oscillation:

$$\dot{u}_2 = \ddot{\theta}_1 \approx \frac{W_C - q_\infty S_{\text{ref}}(C_N)_{\text{tot}}}{m_CL} \theta_1$$

(30)

The period associated with the scissors motion, $T$, is found to be inversely proportional to $(C_N)_{\text{tot}}$

$$T = 2\pi \sqrt{\frac{m_CL}{q_\infty S_{\text{ref}}(C_N)_{\text{tot}} - W_C}}$$

(31)

$(C_N)_{\text{tot}}$ can be expressed as a function of $T$ and key system parameters:

$$(C_N)_{\text{tot}} = \frac{1}{q_\infty S_{\text{ref}}} \left(\frac{4\pi^2 m_CL}{T^2} + W_C\right)$$

(32)
The scissors motion observed during the CDT 3-02 and 3-08 flights is shown in Fig. 5. The motion is approximately planar in nature. The red and blue curves indicate the paths traced out by the parachutes relative to the capsule during one cycle of the flyout motion. The observed period of oscillation from both flights is roughly 12 seconds. From Eq. (32), a value of \((C_{N_{α}})_{\text{tot}} = 1.9 \text{ rad}^{-1}\) can be determined. A value of 0.4 \text{ rad}^{-1} was determined for \((C_{N_{α}})_{fs}\) from the pendulum motion analysis shown in Table 1. This suggests that \((C_{N_{α}})_{\text{prox}}\) should be on the order of 1.5 \text{ rad}^{-1}. 

![Figure 4. Scissors Mode Simulation Results](image)

![Figure 5. Scissors Motion from Flight Data. CDT 3-02 (left), CDT 3-08 (right)](image)
Figure 6 shows derived measurements taken during the CDT 3-02 flight of the total normal force coefficient, $C_{N\text{tot}}$, resolved along the proximity axis with the corresponding proximity distance, $D_{\text{prox}}$, for each parachute. $D_{\text{prox}}$ is normalized by the nominal diameter of the parachutes, 116 ft. The data appears to be fairly linear for $\zeta_1 \leq D_{\text{prox}} \leq \zeta_2$ and flattens out for $D_{\text{prox}} > \zeta_2$. The red line indicates a least-squares linear fit. It is apparent that $C_{N\text{tot}}$ has an equilibrium at $D_{\text{prox}}$ of $\zeta_1$ (or $\theta_o = \theta_1 = \theta_2 = \alpha \text{ rad}$). The slope of the least-squares fit is approximately 1.9 rad$^{-1}$, which is consistent with the analysis of the scissors motion in isolation as described in the previous paragraph. Same exercises were performed with plots not presented here for the CDT 3-08, CDT 3-11, and CDT 3-12 flights, all of which yielded similar results.

Figure 6. $C_{N\text{prox}}$ from CDT 3-02. Parachute #1 (left), Parachute #2 (right)

IV. Maypole Mode

Maypole motion described in Ref. [2] consists of two parachutes orbiting about the vertical axis. Ref. [12] refer to it as the spiral motion. A simplified model used to study maypole motion is illustrated in Fig. 7. The three particles $P_L$, $P_B$, and $P_C$ are the same as those described in Sec. III; in the present model, however, all three are assumed to be fixed in a rigid body $B$. A right-handed set of mutually perpendicular unit vectors $\mathbf{b}_1$, $\mathbf{b}_2$, and $\mathbf{b}_3$ is fixed in $B$ and directed as shown in Fig. 7. $\mathbf{b}_2$ is normal to the plane containing $P_L$, $P_B$, and $P_C$. $\mathbf{b}_3$ is parallel to an axis of symmetry of $B$, which is therefore a central principal axis of inertia of $B$. A dextral set of mutually perpendicular unit vectors $\mathbf{n}_1$, $\mathbf{n}_2$, and $\mathbf{n}_3$ is fixed in a Newtonian reference frame $N$. $\mathbf{n}_1$ is horizontal, $\mathbf{n}_2$ is directed out of the page, and $\mathbf{n}_3$ is vertical, directed downward. $B$ moves in $N$ such that $\mathbf{b}_3 = \mathbf{n}_3$ at all times. Moreover, the velocity in $N$ of every point on the axis of symmetry of $B$ has the same constant magnitude and the same direction as $\mathbf{n}_3$. For example, $P_L$ lies on the axis of symmetry, so the velocity of $P_L$ in $N$ can be written as

$$N\mathbf{v}_{P_L} = V_3\mathbf{n}_3$$  \hspace{1cm} (33)

where $V_3$ is a constant. Hence, the acceleration in $N$ of $P_L$ and every point on the axis of symmetry is zero,

$$N\mathbf{a}_{P_L} = 0$$  \hspace{1cm} (34)

The mass center of $B$, denoted by $B^*$, lies on the axis of symmetry and therefore has an acceleration in $N$ equal to zero. Based on first principles, this requires that the resultant of all external forces applied to $B$ is equal to zero. The angular velocity $N\mathbf{\omega}^B$ of $B$ in $N$ that characterizes maypole motion is parallel to a central principal axis of inertia of $B$.

$$N\mathbf{\omega}^B = \Omega\mathbf{b}_3 = \Omega\mathbf{n}_3$$  \hspace{1cm} (35)

where $\Omega$ is a constant. Thus, it can be seen that the angular acceleration $N\mathbf{\alpha}^B$ of $B$ in $N$ is zero,

$$N\mathbf{\alpha}^B = 0$$  \hspace{1cm} (36)

Euler’s rotational equations of motion are satisfied by Eqs. (35) and (36) only if the resultant moment about $B^*$ of all external forces applied to $B$ is equal to zero.

Two additional sets of dextral, mutually perpendicular unit vectors are introduced for convenience in conducting kinematic analysis and expressing the forces applied to $B$. Both sets of unit vectors are fixed in $B$. The first set contains $\mathbf{e}_1$, $\mathbf{e}_2$, and $\mathbf{e}_3$, whereas the second set contains $\mathbf{f}_1$, $\mathbf{f}_2$, and $\mathbf{f}_3$. The relationships of
each set of unit vectors to \( \hat{b}_1, \hat{b}_2, \) and \( \hat{b}_3 \) are given in Tables 6 and 7, where the constant angle \( \Phi \) is shown in Fig. 7.

\( \hat{P}_L, \hat{P}_B, \) and \( \hat{P}_C \) are all fixed in \( B \); therefore, the velocities in \( N \) of \( \hat{P}_B \) and \( \hat{P}_C \) can be expressed in terms of \( N \hat{v}_{PL} \).

\[
N \hat{v}_{PB} = N \hat{v}_{PL} + N \omega^B \times ( -L \hat{e}_3 ) = V_3 \hat{n}_3 - \Omega \hat{b}_3 \times L( -\sin \Phi \hat{b}_1 + \cos \Phi \hat{b}_3 ) = V_3 \hat{n}_3 + \Omega L \sin \Phi \hat{b}_2 \\
(37)
\]

\[
N \hat{v}_{PC} = N \hat{v}_{PL} + N \omega^B \times ( -L \hat{f}_3 ) = V_3 \hat{n}_3 - \Omega \hat{b}_3 \times L( \sin \Phi \hat{b}_1 + \cos \Phi \hat{b}_3 ) = V_3 \hat{n}_3 - \Omega L \sin \Phi \hat{b}_2 \\
(38)
\]

The accelerations in \( N \) of \( \hat{P}_B \) and \( \hat{P}_C \) are then determined to be

\[
N \hat{a}_{PB} = 0 + \Omega L \sin \Phi \hat{b}_2 = \Omega L \sin \Phi \hat{b}_3 \times \hat{b}_2 = -R \Omega^2 \hat{b}_1 \\
(39)
\]

\[
N \hat{a}_{PC} = 0 - \Omega L \sin \Phi \hat{b}_2 = -\Omega L \sin \Phi \hat{b}_3 \times \hat{b}_2 = R \Omega^2 \hat{b}_1 \\
(40)
\]

where \( R = L \sin \Phi \), as indicated in Fig. 7.

The resultants of the external forces acting on \( \hat{P}_L, \hat{P}_B, \) and \( \hat{P}_C \) are once again denoted by \( \mathbf{F}_L, \mathbf{F}_B, \) and \( \mathbf{F}_C \), respectively. As in Sec. III, \( \mathbf{F}_L \) is expressed as

\[
\mathbf{F}_L = m_L g \hat{n}_3 = W_L \hat{n}_3 \\
(41)
\]

The resultant external force applied to \( \hat{P}_B \) is in general given by

\[
\mathbf{F}_B = q_\infty S_{ref} [ -((C_N)_{tot} \hat{e}_1 + C_Y \hat{e}_2 - C_A \hat{e}_3) + W_C \hat{n}_3 ] \\
(42)
\]

where \( W_C \) is the dry weight of a single parachute. \( (C_N)_{tot} \) can in this case be expressed as in Eq. (27). In addition, it is assumed that \( \Phi = \alpha \) and the parachutes are in static equilibrium with constant flyout angles and at some trimmed angle of attack, \( \alpha_{trim} \), while performing the maypole motion. The resultant external force applied to \( \hat{P}_C \) is similar to \( \mathbf{F}_B \).

\[
\mathbf{F}_C = q_\infty S_{ref} [ -((C_N)_{tot} \hat{f}_1 + C_Y \hat{f}_2 - C_A \hat{f}_3) + W_C \hat{n}_3 ] \\
(43)
\]

However, the side forces associated with \( C_Y \) would yield a nonzero moment about \( B^* \) that is parallel to \( \hat{b}_3 \). Hence, maypole motion requires

\[
C_Y = 0 \\
(44)
\]
Because $P_L$ and $P_B$ are connected by a rigid link, each exerts a force on the other. The force exerted by $P_L$ on $P_B$ can be expressed as $T\hat{e}_3$. This internal force must be accounted for when applying Newton’s second law to $P_B$; however, forming dot products with $\hat{e}_1$ will eliminate $T$. That is,

$$(\mathbf{F}_B + T\hat{e}_3) \cdot \hat{e}_1 = \mathbf{F}_B \cdot \hat{e}_1 = m_C N a^P_n \cdot \hat{e}_1$$

(45)

Upon substitution from Eqs. (39) and (42), we have

$$\{ q_{\infty}S_{\text{ref}}[-(C_N)_{\text{tot}}\hat{e}_1 - CA\hat{e}_3] + W_C\hat{n}_3 \} \cdot \hat{e}_1 = -q_{\infty}S_{\text{ref}}(C_N)_{\text{tot}} + W_C\sin\Phi$$

$$= -m_C R\Omega^2 \hat{b}_1 \cdot \hat{e}_1$$

$$= -m_C R\Omega^2 \cos\Phi$$

(46)

This relationship can be solved for $(C_N)_{\text{tot}}$.

$$(C_N)_{\text{tot}} = \frac{m_C R\Omega^2 \cos\Phi + W_C\sin\Phi}{q_{\infty}S_{\text{ref}}}$$

(47)

Thus, the aerodynamic normal force is seen to be directly proportional to the magnitude of the centripetal acceleration of $P_B$ (or $P_C$). One can also conclude that the radius and period of the maypole mode is dependent on the value of $(C_N)_{\text{tot}}$ at $\alpha_{\text{trim}}$. For a given orbital radius $R$, the orbital angular rate is given by

$$\Omega = \sqrt{\frac{q_{\infty}S_{\text{ref}}(C_N)_{\text{tot}} - W_C\sin\Phi}{m_C R\cos\Phi}}$$

(48)

The orbital period of maypole motion is thus seen to be inversely proportional to $(C_N)_{\text{tot}}$. This conclusion appears to contradict the findings in Ref. [12] which suggests that the orbital period increases with $C_N$. However, the authors of Ref. [12] state that those results are only applicable to a narrow range of initial conditions. Hence, that may explain the discrepancy. Finally, by appealing to the fact that the resultant external force applied to $B$ must be $\mathbf{0}$ in order for maypole motion to take place, a relationship between $(C_N)_{\text{tot}}$ and $CA$ can be obtained. The resultant is given by

$$\mathbf{F}_B + \mathbf{F}_C + \mathbf{F}_L = q_{\infty}S_{\text{ref}}[-(C_N)_{\text{tot}}(\hat{e}_1 + \hat{f}_1) - CA(\hat{e}_3 + \hat{f}_3)] + (2W_C + W_L)\hat{n}_3 = \mathbf{0}$$

(49)

Hence,

$$(\mathbf{F}_B + \mathbf{F}_C + \mathbf{F}_L) \cdot \hat{b}_3 = \{ q_{\infty}S_{\text{ref}}[-(C_N)_{\text{tot}}(\hat{e}_1 + \hat{f}_1) - CA(\hat{e}_3 + \hat{f}_3)] + (2W_C + W_L)\hat{n}_3 \} \cdot \hat{b}_3$$

$$= -q_{\infty}S_{\text{ref}}(2(C_N)_{\text{tot}}\sin\Phi + 2CA\cos\Phi) + 2W_C + W_L$$

$$= 0$$

(50)

or

$$(C_N)_{\text{tot}} = \frac{2W_C + m_L g - 2q_{\infty}S_{\text{ref}}CA\cos\Phi}{2q_{\infty}S_{\text{ref}}\sin\Phi}$$

(51)

According to Ref. [2], the CDT 3-02 flight exhibited one full period of the maypole motion, as illustrated in Fig. 8. The motion is approximately circular in nature and lasted for about 50 seconds. The red and blue curves indicate the paths traced out by the parachutes relative to the capsule during the maypole motion.

---

Table 6. Direction Cosines, $\hat{b}_i \cdot \hat{e}_i$.  

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<td>$\hat{b}_3$</td>
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<td>0</td>
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Table 7. Direction Cosines, $\hat{b}_i \cdot \hat{f}_i$.  

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<td>$\hat{b}_3$</td>
<td>$\sin\Phi$</td>
<td>0</td>
<td>$\cos\Phi$</td>
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</table>
The analysis discussed in this section is based on the assumption that during the maypole motion the parachutes orbit around the vertical axis of symmetry in a perfect circle with a constant angular speed. To analyze the flight data, the line integrals of the paths traced out by the parachutes during the maypole motion were computed. This resulted in an equivalent circle with a radius of 60 ft or a constant flyout angle (or $\alpha_{\text{trim}}$) of 0.26 rad. This is consistent with the observation from Ref. [12] that the interference aerodynamic forces cause the unstable parachutes to seek a trim angle of attack greater than the freestream trim $\alpha$ (0.23 rad shown in Table 1). Using Eq. (47), the normal force coefficient required to maintain the maypole motion is determined to be approximately 0.03. This result is consistent with the analysis from Sec. III which suggests that the scissors and maypole modes are dominated by proximity aerodynamics.

V. Breathing Mode

Parachutes are made out of flexible materials and are inherently non-rigid objects. As they deform during flight, the projected reference area $S_{\text{proj}}$ changes and affects the axial motion of the system. Ref. [2] describes this axial oscillatory behavior as the “breathing mode.” CDT 3-02 flight test data showed that during the breathing mode as the canopies contracted from the nominal reference area, $V_{\text{down}}$ increased; conversely, as the canopies increased from the nominal reference area, $V_{\text{down}}$ decreased. This oscillatory behavior occurred with a period of 7 seconds.

The underlying dynamics of the breathing mode is straightforward and can be represented by Eqs. (52)–(54). The parameter $\eta$ is used to approximate the deformation of the chute away from its nominal projected area. The oscillatory deformation behavior can be represented by a second-order harmonic oscillator. The natural frequency $\omega_n$ is dependent on many parameters such as the parachute material properties, porosity, natural environments, etc.

$$\ddot{\eta} + \omega_n^2 \eta = 0$$

The axial force coefficient consists of a baseline term and a term dependent on $\eta$:

$$C_A = C_{A_0} + C_{A_\eta} \eta$$

The equation of motion in the down direction is as follows:

$$(m_L + 2m_{C,\text{dry}}) \ddot{w} = S_{\text{ref}} \rho w^2 C_A + (m_L + 2m_{C,\text{dry}}) g$$

Figure 9 shows simulation results for the breathing mode with the system having a nominal $V_{\text{down}}$ of approximately 34 ft/s. $\omega_n$ is scaled such that the period of oscillation is around 7 seconds to match the flight data.
\( \eta = 0 \) represents the nominal \( S_{\text{proj}} \), while \( \eta = \pm 1 \) represents \( S_{\text{proj}} \) at its maximum and minimum derivations from the nominal. It is apparent that as \( \eta \) approaches 1, \( V_{\text{down}} \) approaches its minimum value of 32 ft/s and as \( \eta \) approaches -1, \( V_{\text{down}} \) approaches its maximum value of 35.2 ft/s. Furthermore, \( \eta \) has a slight phase lead on \( V_{\text{down}} \) as one would expect.

![Graph showing \( \eta \) and \( V_{\text{down}} \) over time.](image)

**Figure 9. Breathing Mode Simulation Results**

### VI. Conclusion

The overall motion of a system containing two parachutes and a capsule is extremely complicated with nonlinearities and flexibility effects. It is usually difficult to obtain insight into the fundamental dynamics of the system by examining results from a multi-body simulation based on nonlinear equations of motion. In the current work, the dynamics of the scissors, maypole, breathing, and pendulum modes observed during various drop tests is studied on an individual basis by using a simplified dynamics model for each mode. Analysis of the flight data shows that the scissors and maypole modes are largely dominated by proximity aerodynamics. The separate studies of each mode produce compatible results and provide a better understanding of the behavior of the complex multi-body system.

### VII. Acknowledgements

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### References


