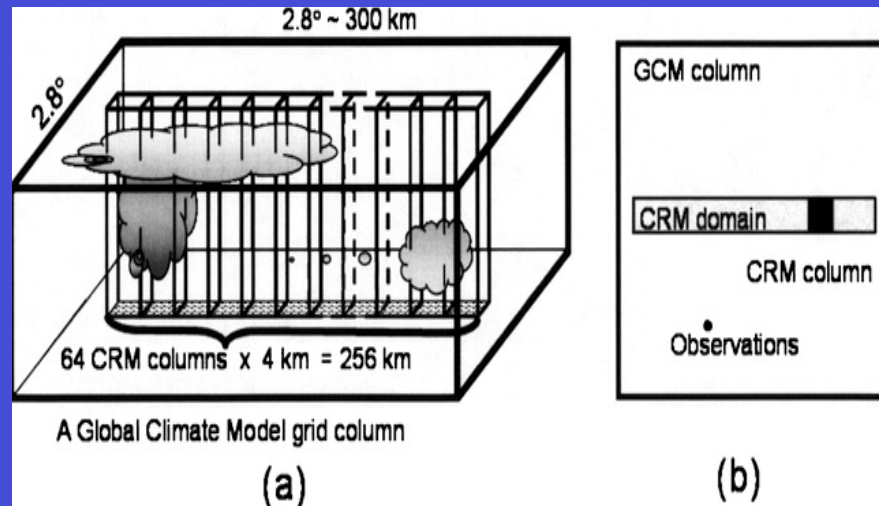


# Turbulence Closure in Cloud Model and Climate Modeling – An Upgraded Multi-scale Modeling Framework (MMF)



Kuan-Man Xu  
NASA Langley Research Center, USA

# Objectives

- to improve the simulation of low-level clouds with the multiscale modeling framework (MMF) approach
- to evaluate and compare the performance of MMF simulations against state-of-the-art observations
- to examine climate sensitivity and cloud feedback

Cheng & Xu (2011; *JGR*): initial implementation

Xu & Cheng (2013a,b; *J. Climate*): climatology and seasonal variation

Cheng & Xu (2013a; *J. Climate*): cloud regime transition

Cheng & Xu (2013b; *JGR*): diurnal variation

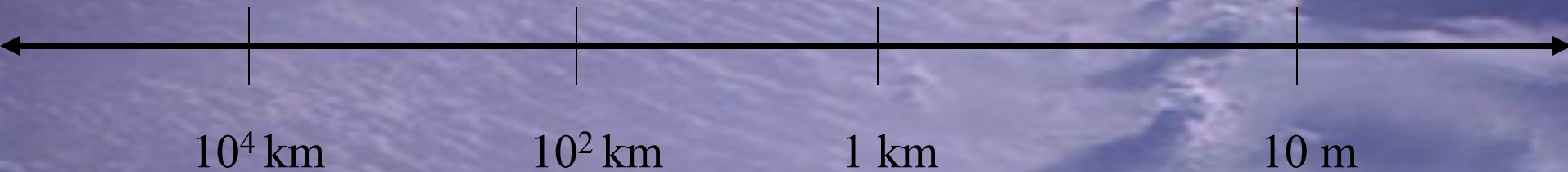
Cheng & Xu (2014; *JGR*): variable CRM orientation for MMF

Painemal *et al.* (2015; *J. Climate*): SE Atlantic mean & diurnal structure

# Outline

1. Introduction
2. History of cloud parameterization development
3. A higher-order turbulence closure — IPHOC
4. MMF climate simulation results
  - Climatology
  - Climate sensitivity
5. Conclusions

# Scales of Atmospheric Motion and Models



Global Large Eddy Simulation

Multi-scale Modeling Framework

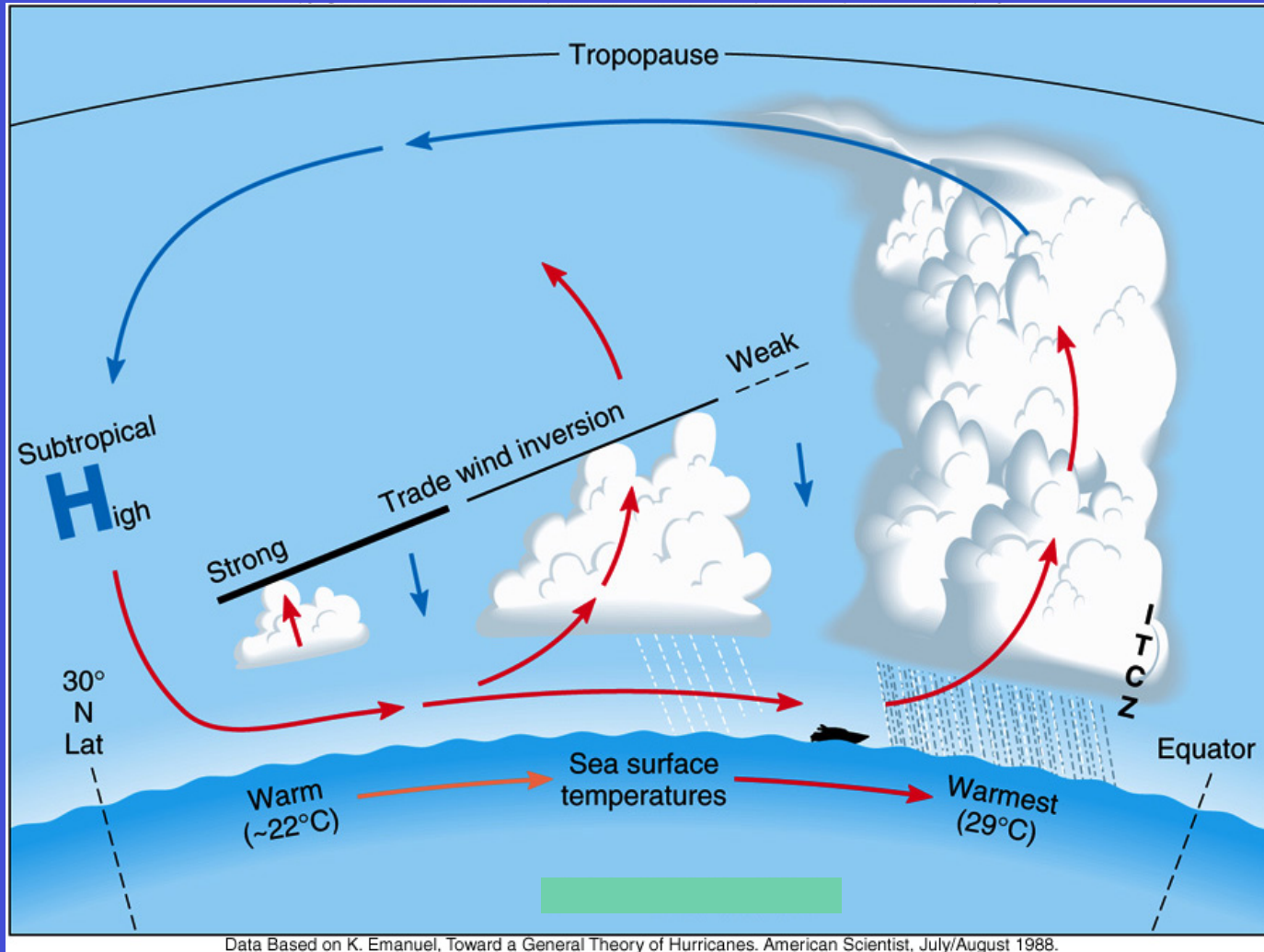
Global Cloud Resolving Model

High-resolution GCM

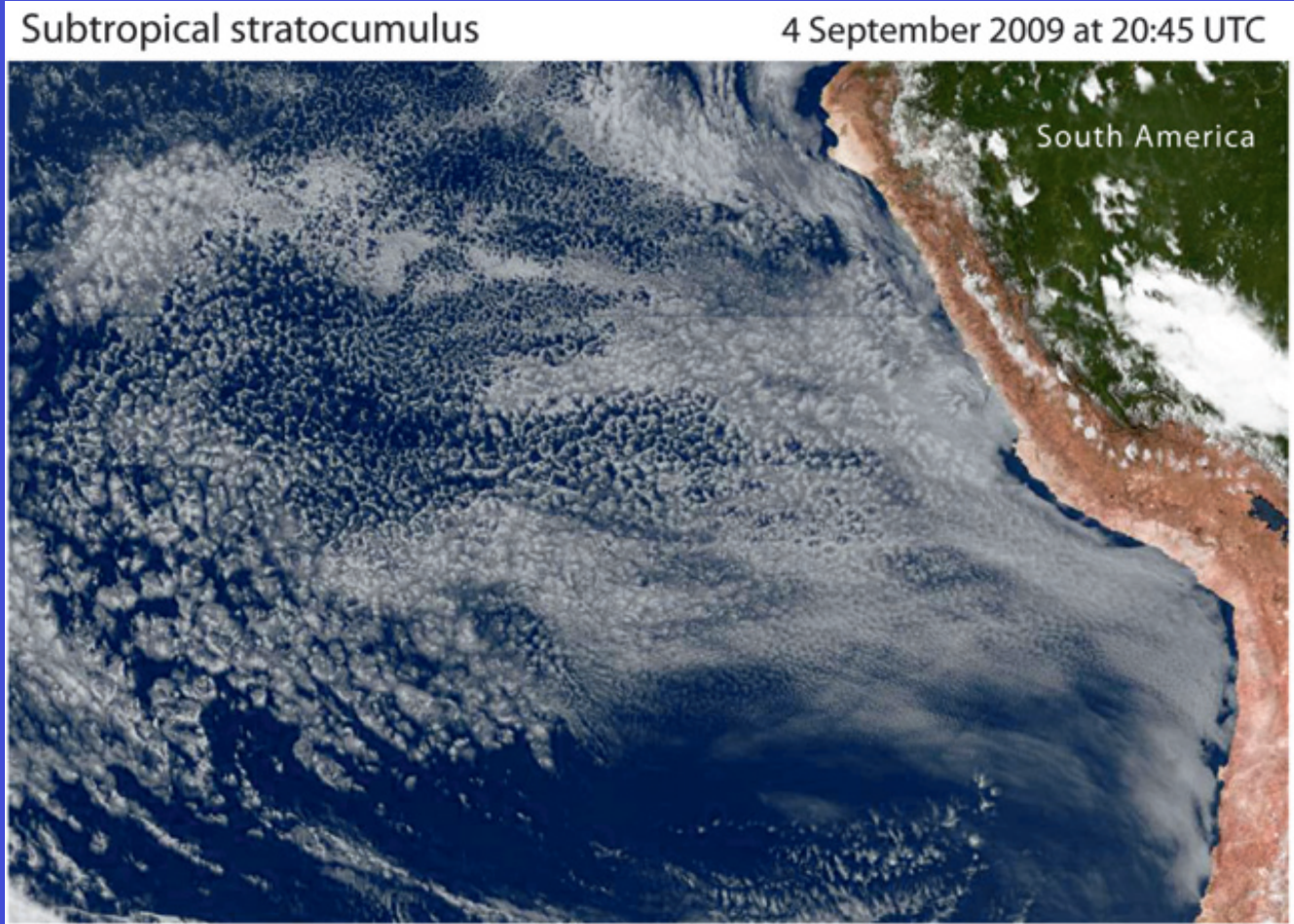
need a turbulence closure and/or other parameterizations



# Large-scale Circulations and Cloud Systems

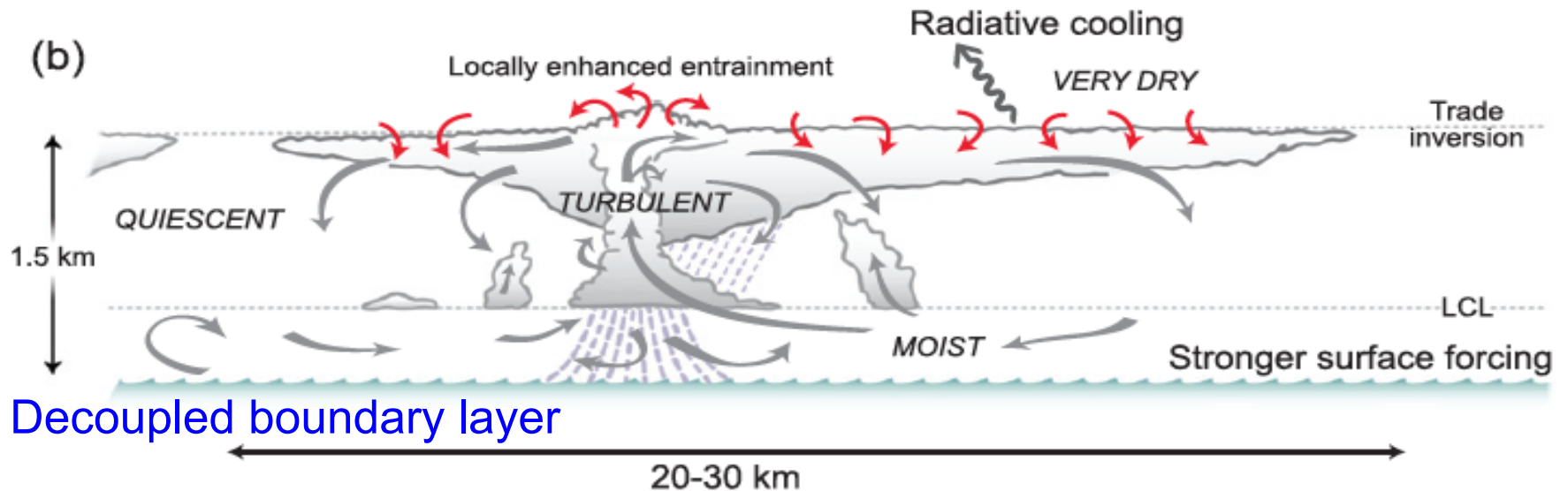
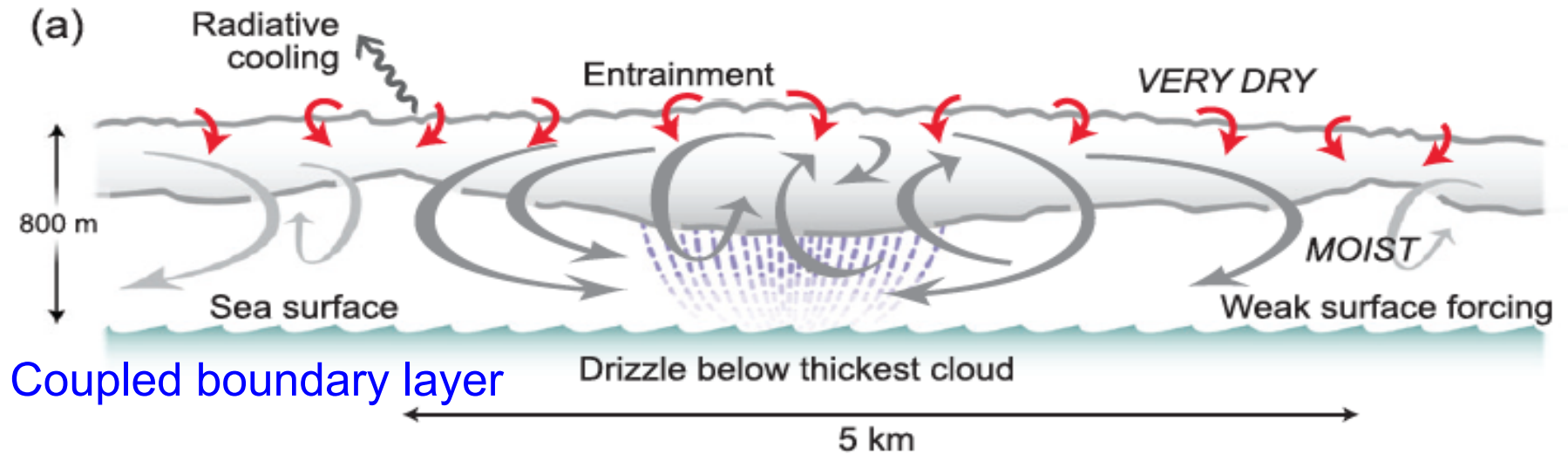


# Southeast (SE) Pacific Stratocumulus



from Wood (2012; *Mon. Wea. Rev.*)

# Physical Processes: Stratocumulus & PBLs

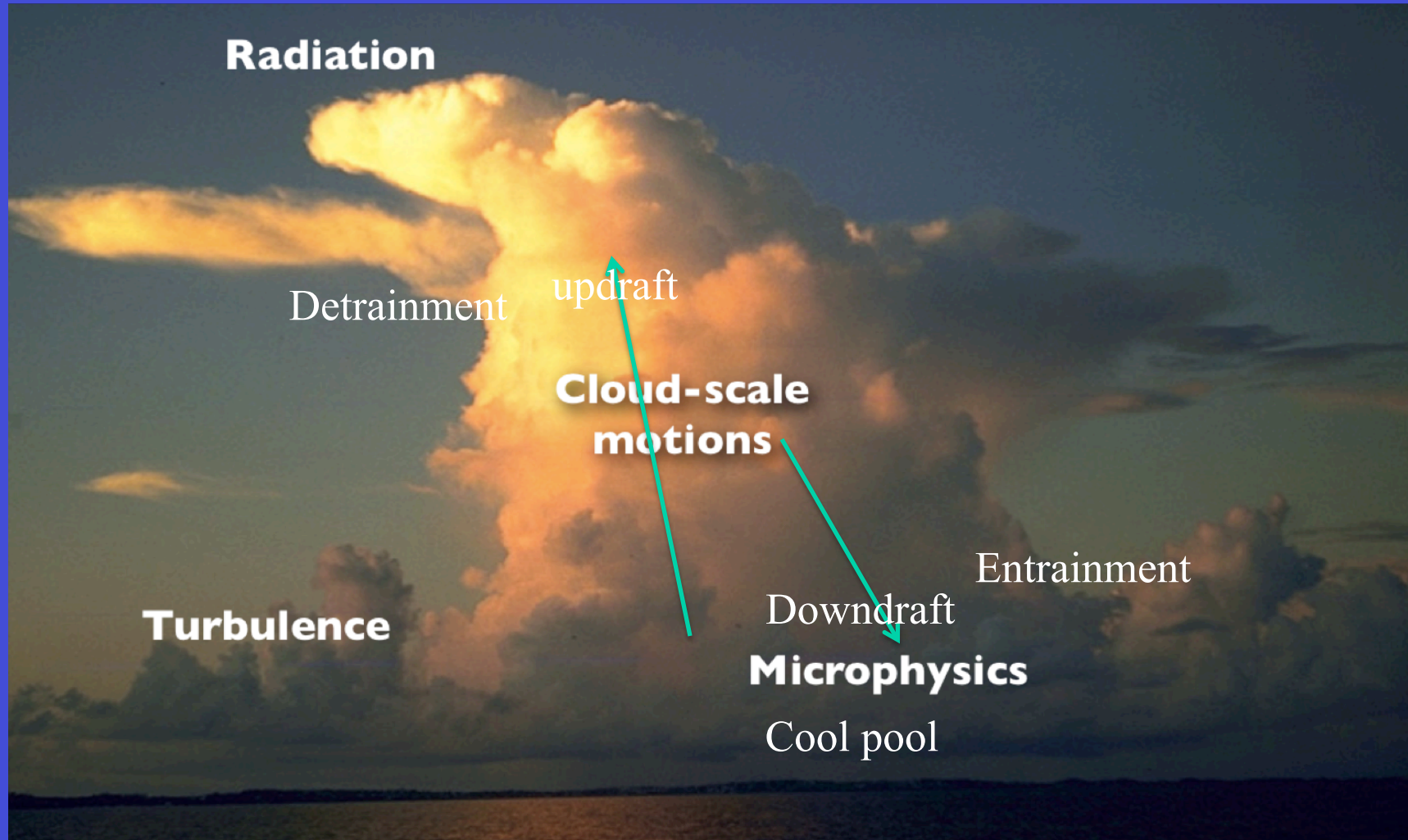


from Wood (2012; *Mon. Wea. Rev.*)



# Physical Processes: Deep convective clouds

(c)



## 2. History of cloud parameterization development

# The scope of cloud parameterization research

- **Theory**

  - Parameterization theories

  - Simple models of moist circulation systems

- **Numerical modeling**

  - Simulations of large-scale circulations using parameterizations

  - Cloud-system resolving models (CSRM)

- **Observations**

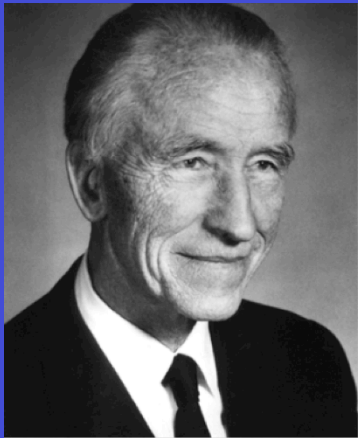
  - Tests of parameterization theories

- **Practical applications**

  - Climate-change simulations for policy-makers, e.g., IPCC

  - Numerical weather prediction and data assimilation

# Cloud parameterization history: Cumulus



**Jakob Bjerknes**

## Updrafts and environment

The “slice” method

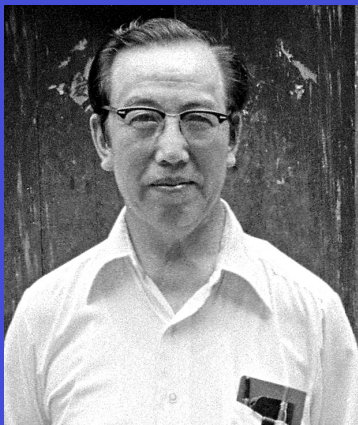
Bjerknes (1938)



**Syukuro Manabe**

## Moist convective adjustment

Manabe *et al.* (1965)

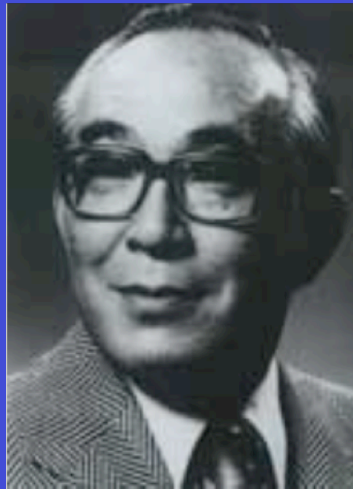


**Hsiao-Lan Kuo**

Moisture convergence to diabatic heating

Kuo (1965, 1974)

Betts & Miller (1986); Tiedtke (1989)  
Kain & Fritsch (1990); Emanuel (1991)  
Zhang & McFarlane (1995)  
Chikira & Sugiyama (2010)



**Akio Arakawa**

## Mass flux

## Quasi-equilibrium

## Coupling to the PBL

## Detrainment of condensed water

Arakawa & Schubert (1974)

Xu *et al.* (1992); Xu & Arakawa (1992)  
Xu (1993, 1994); Xu & Randall (1998)  
Jones & Randall (2011), Liu *et al.* (2015)



# Cloud parameterization history: Cloud amount



**Joseph Smagorinsky**

## **Stratiform cloud amount**

$$\sigma = f(RH, RH_0)$$

Smagorinsky (1960)



**Hilding Sundqvist**

## **Prognostic cloud water**

Sundqvist (1978)



**Michael Tiedtke**

## **Prognostic cloud amount**

Tiedtke (1993)

## **Primary motivation: Interactions with radiation**

### **Diagnostic cloud amount parameterizations:**

Smagorinsky (1960); Slingo (1980)

Xu & Krueger (1991)

### **Statistically-based cloud amount parameterizations:**

$$\bar{\sigma} = \begin{cases} \overline{RH}^p \left[ 1 - \exp \left( \frac{-\alpha_0 q_l}{[(1 - \overline{RH})_{lvs}]^{\gamma}} \right) \right], & \text{if } \overline{RH} < 1 \\ 1, & \text{if } \overline{RH} \geq 1 \end{cases}$$

# Cloud parameterization history: PBL cloud/turbulence



Doug Lilly

**Cloud-turbulence-radiation interactions**

**Cloud-top entrainment**

Fully prognostic third-order turbulence closures (TOC): Dry

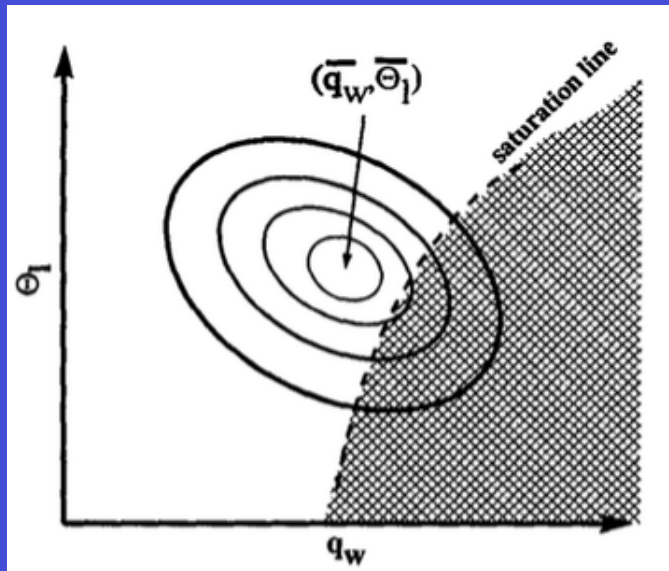
- Andre *et al.* (1976a, b, 1978) with moist processes (clouds) using the SDM approach

- Bougeault (1981a, b)
- Krueger (1988)
  - 5 first-order moments,
  - 11 second-order moments, and
  - 24 third-order moments

Sommeria-Deardorff-Mellor (SDM, 1977)



James Deardorff



Recent works: simplified TOC

Predict fewer higher-order moments

Use skewed PDFs (double Gaussian)

Golaz *et al.* (2002):  $\overline{w'^3}$  (10)

Cheng & Xu (2006):  $\overline{\theta_l'^3}, \overline{q_t'^3}, \overline{w'^3}$  (12)

# Cloud parameterization history: MMF approach



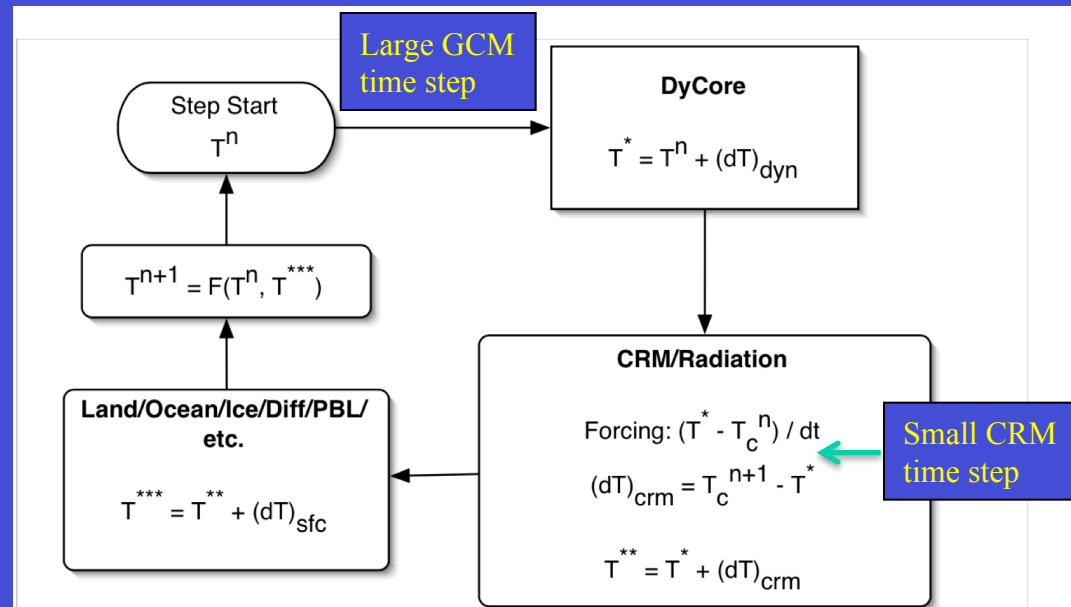
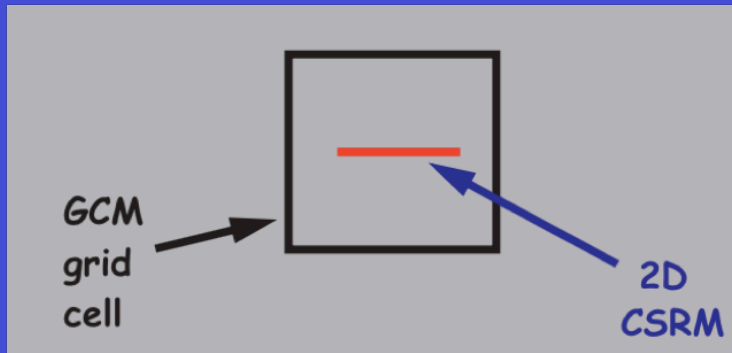
W. Grabowski



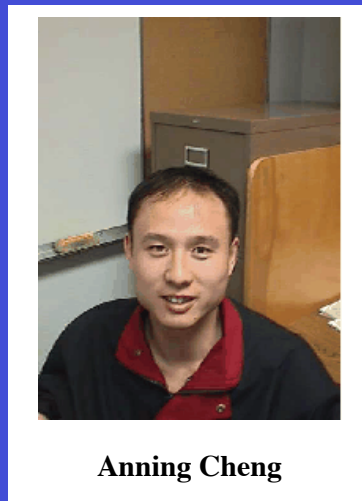
M. Khairoutdinov



David A. Randall



### 3. A higher-order turbulence closure — IPHOC



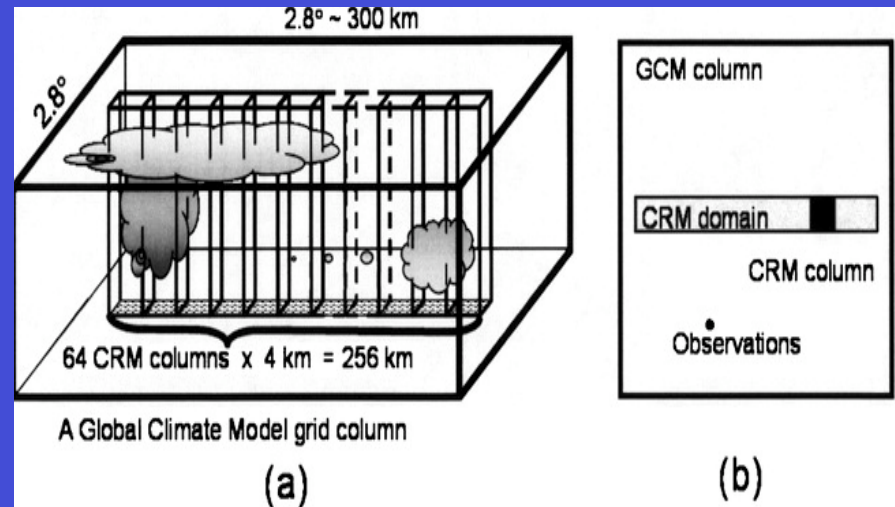
as a graduate student  
(IPHOC was developed after he joined NASA).



# The Multiscale Modeling Framework

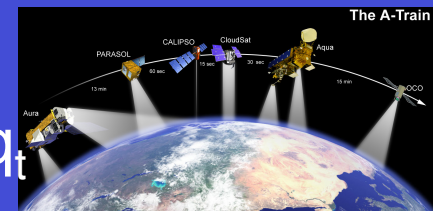
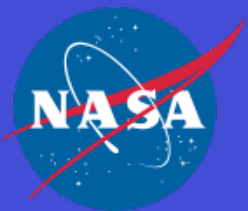
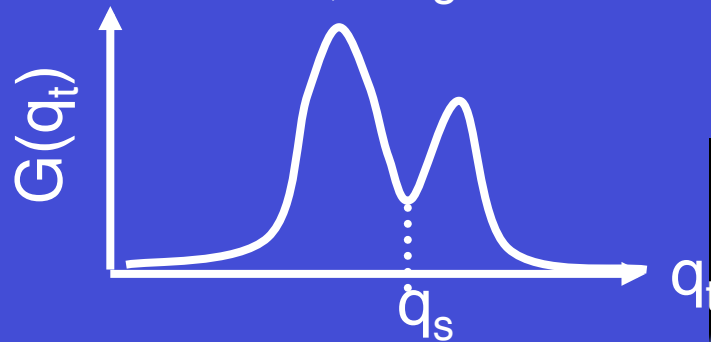
(Grabowski 2001; Khairoutdinov and Randall 2001; Cheng & Xu 2011; Xu and Cheng 2013a)

- ✦ A CRM is embedded at each grid column ( $\sim 100$  km) of the host GCM to represent cloud physical processes
- ✦ The CRM explicitly simulates cloud-scale dynamics ( $\sim 1$  km) and processes
- ✦ Periodic lateral boundary condition for CRM (not extended to the edges)



## Upgraded CRM with a third-order turbulence closure (IPHOC):

- ✦ Double-Gaussian distribution of liquid-water potential temperature ( $\theta_l$ ), total water mixing ratio ( $q_t$ ) and vertical velocity ( $w$ ), pdf =  $a G_1(w, q_t, \theta_l) + (1-a) G_2(w, q_t, \theta_l)$
- ✦ Skewnesses, i.e., the three third-order moments, predicted
- ✦ All first-, second-, third- and fourth-order moments, subgrid-scale condensation and buoyancy based on the same PDF





# IPHOC: Intermediately-prognostic higher-order turbulence closure

Advance 12 prognostic equations

$$\overline{w}, \overline{q}_t, \overline{\theta}_l, \overline{w'^2}, \overline{q_t'^2}, \overline{\theta_l'^2}, \overline{w'q_t'}, \overline{w'\theta_l'}, \overline{q_t'\theta_l'}, \overline{w'^3}, \overline{q_t'^3}, \overline{\theta_l'^3}$$

Use PDF to close higher-order moments, buoyancy terms



The image cannot be displayed. Your computer may not have enough memory to open the image, or the image may have been corrupted. Restart your computer, and then open the file again. If the red x still appears, you may have to delete the image and then insert it again.



The image cannot be displayed. Your computer may not have enough memory to open the image, or the image may have been corrupted. Restart your computer, and then open the file again. If the red x still appears, you may have to delete the image and then insert it again.

$\Delta t$

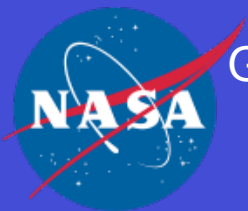
Select PDF from given family to match 12 moments



The image cannot be displayed. Your computer may not have enough memory to open the image, or the image may have been corrupted. Restart your computer, and then open the file again. If the red x still appears, you may have to delete the image and then insert it again.

Diagnose cloud fraction, liquid water from PDF

Golaz *et al.* (2002); Cheng & Xu (2006)



# The double Gaussian PDF expression

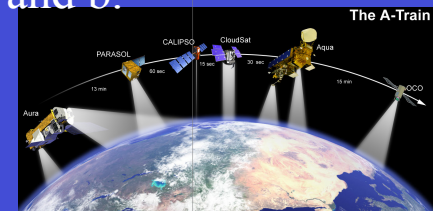
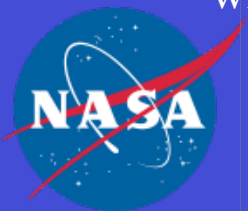
where  $l, m, n = 0, 1, 2$  or  $3$



The image cannot be displayed. Your computer may have to delete the image and then insert it again.

$$P(w, \theta_l, q_l) = aG_1(w, \theta_l, q_l) + (1 - a)G_2(w, \theta_l, q_l), \quad (2a)$$

where  $\psi_i$  are the Gaussian mean/position;  $\sigma_\psi$  is the variance or the width of the Gaussian;  $r_{a,b}$  is the inter-plume correlation between a and b.

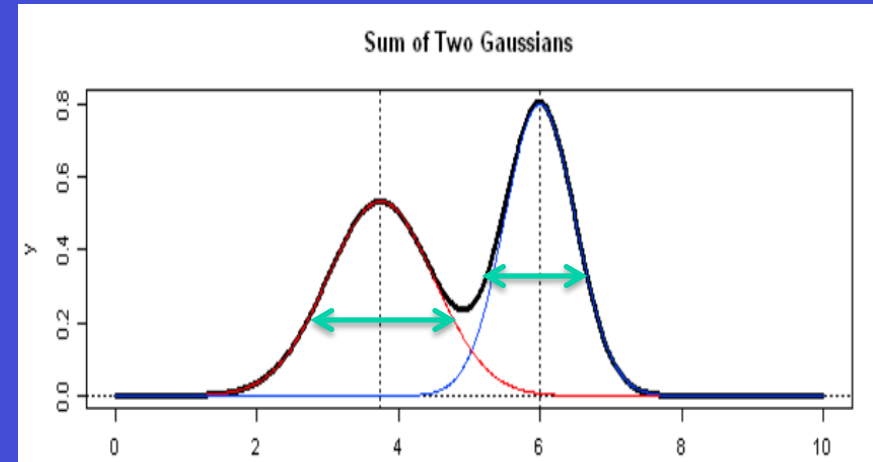


# How are the Gaussian parameters determined?

$$f(w, \theta_l, q_t) = aG_1(w, \theta_l, q_t) + (1 - a)G_2(w, \theta_l, q_t),$$

Double Gaussian parameters (19):

- Weighting of Gaussian 1 (a)
- Means (2 x 3)
- Variances (2 x 3)
- Correlations (2 x 3)



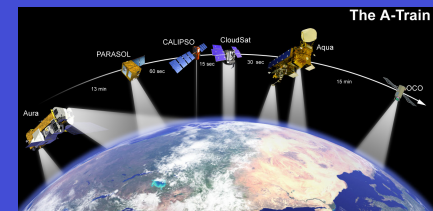
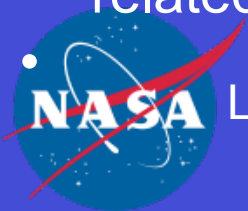
Known/predicted moments: 12

$$\overline{w}, \overline{q_t}, \overline{\theta_l}, \overline{w^2}, \overline{q_t^2}, \overline{\theta_l^2}, \overline{w'q_t'}, \overline{w'\theta_l'}, \overline{q_t'\theta_l'}, \overline{w^3}, \overline{q_t^3}, \overline{\theta_l^3}$$

- Analytical Gaussian II (widths of two gaussians in w differ; converging to single Gaussian if skewness=0)
- Within-plume correlations are set to be equal ( $r_{a,b1}=r_{a,b2}$ )
- Bias between the means of two Gaussians, variance and skewness are related through

$$k_\alpha = \frac{Sk_\alpha \sigma_\alpha^3}{(1 - a)B_\alpha^3},$$

Larson *et al.* (2002); Cheng & Xu (2006)



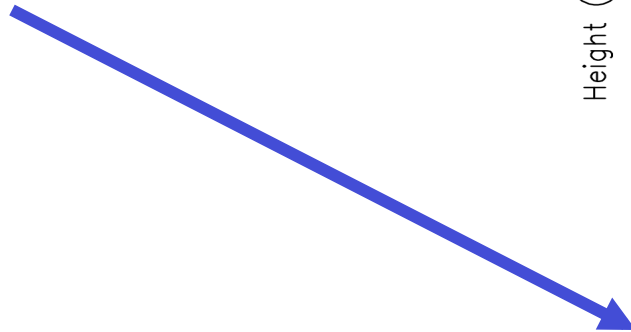
# IPHOC removes liquid water oscillation (LWO) in a fully prognostic third-order closure

Cheng *et al.* 2004, *J. Atmos. Sci.*, 61, 1621-1629.

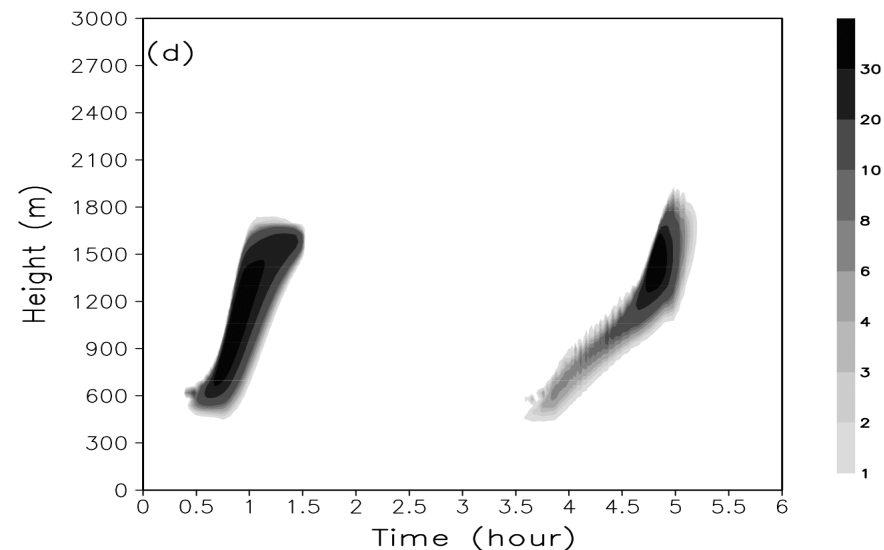
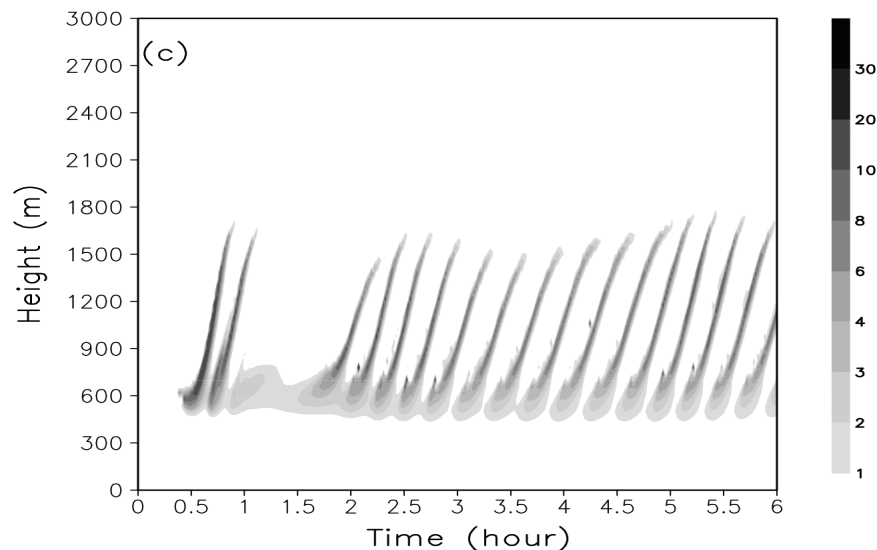
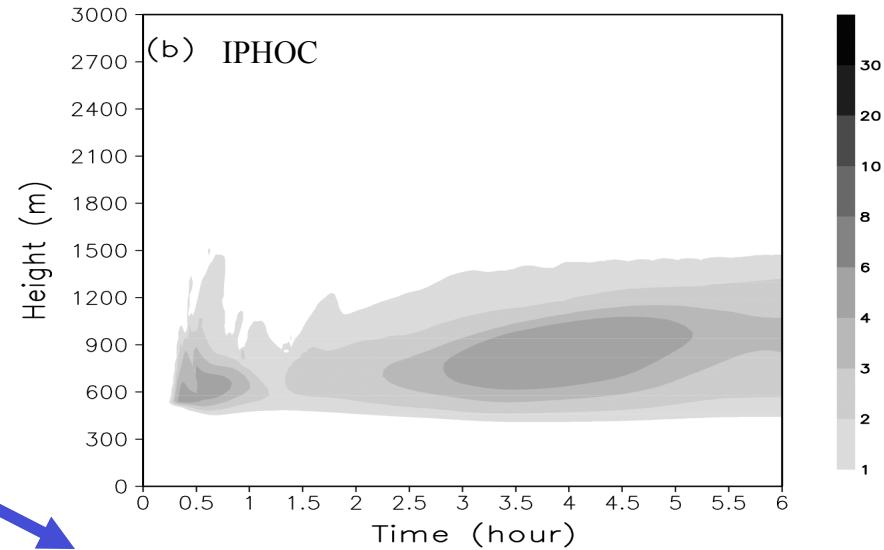
Properly parameterized LWB



Neglected liquid water buoyancy (LWB)

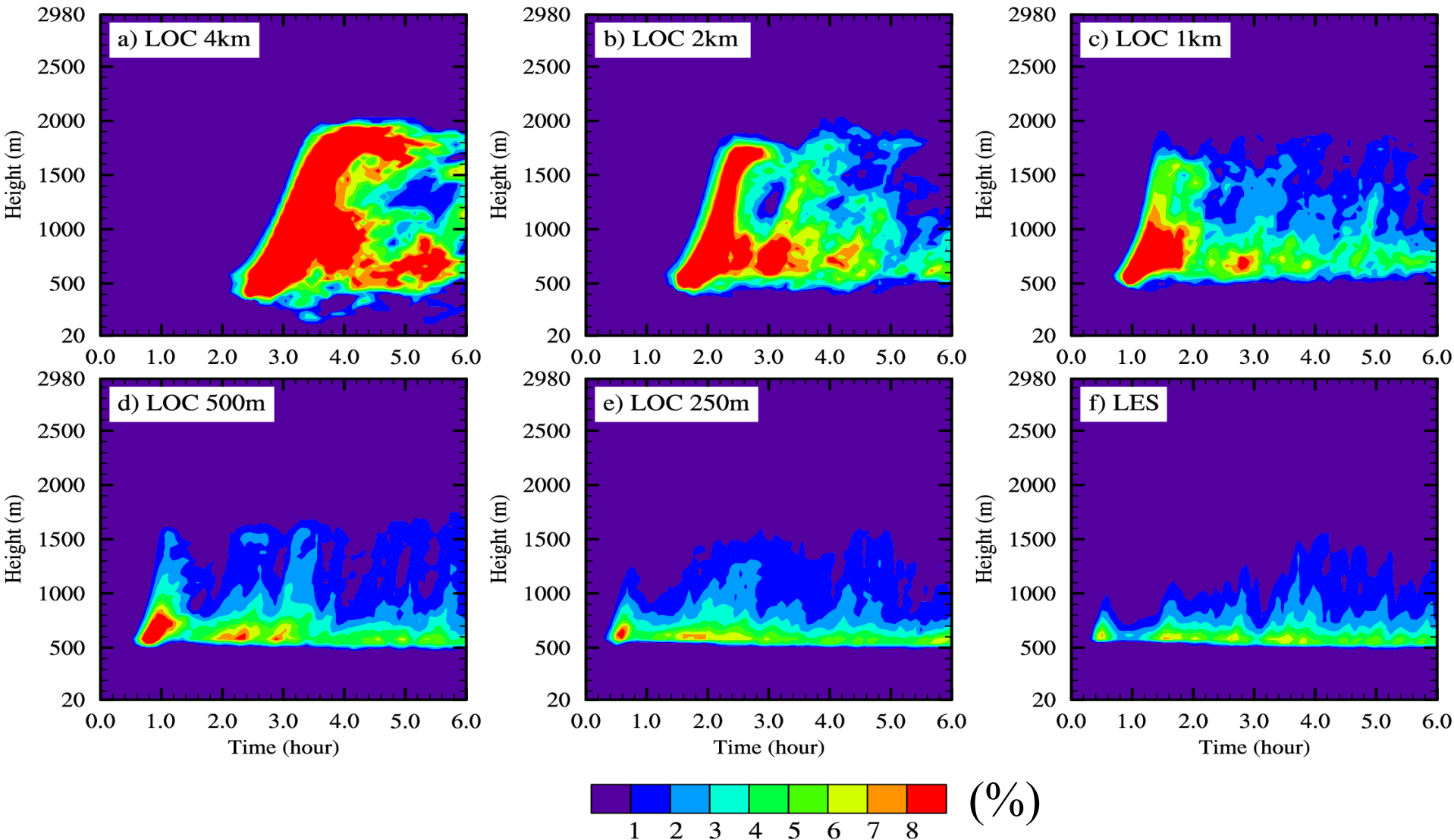


LWO



# Low-order closure (LOC): CRM-simulated fractions of shallow cumuli (BOMEX case)

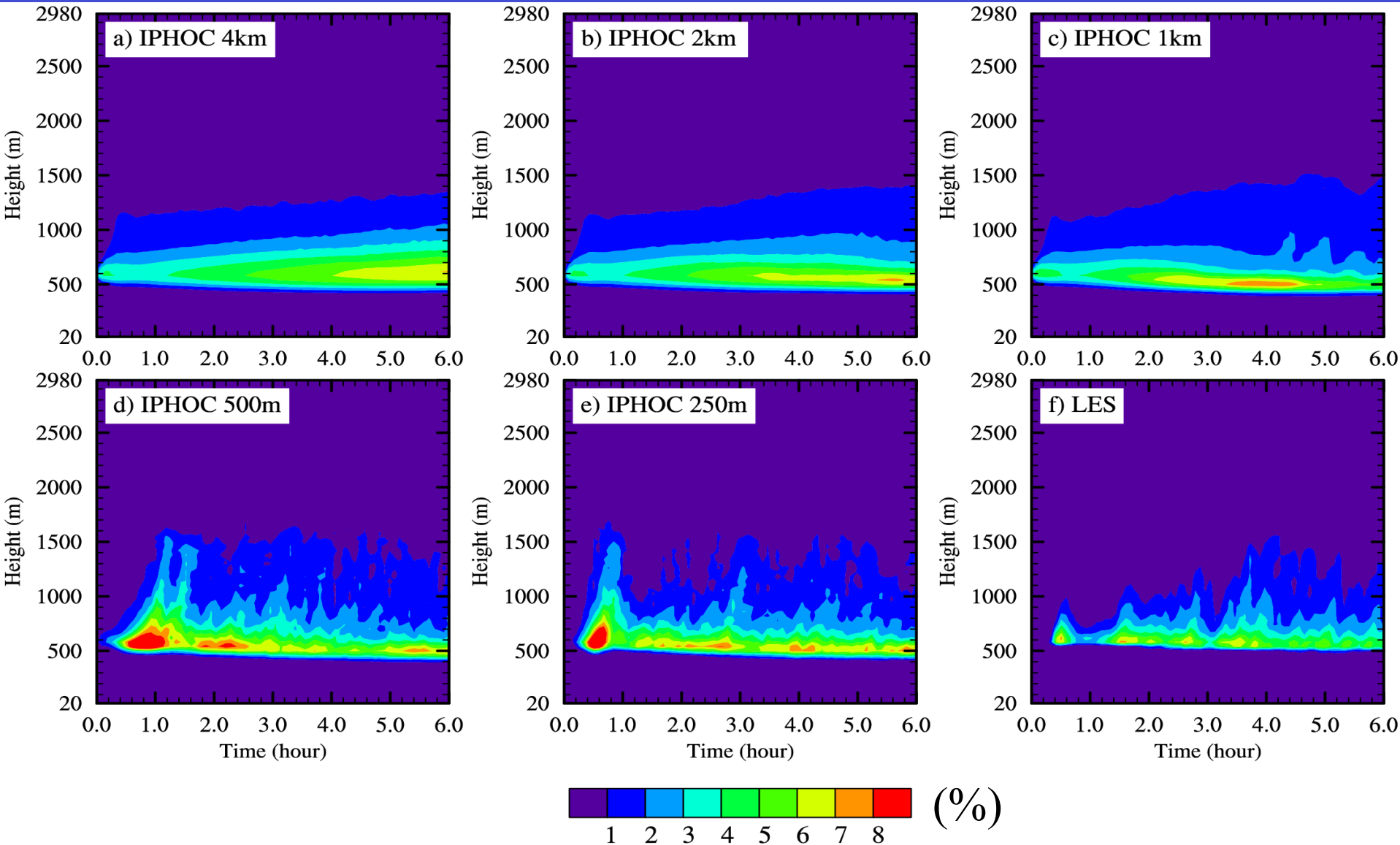
Cheng A., & K.-M. Xu, 2008, *J. Meteor. Soc. Jpn.*, 86A, 67-86





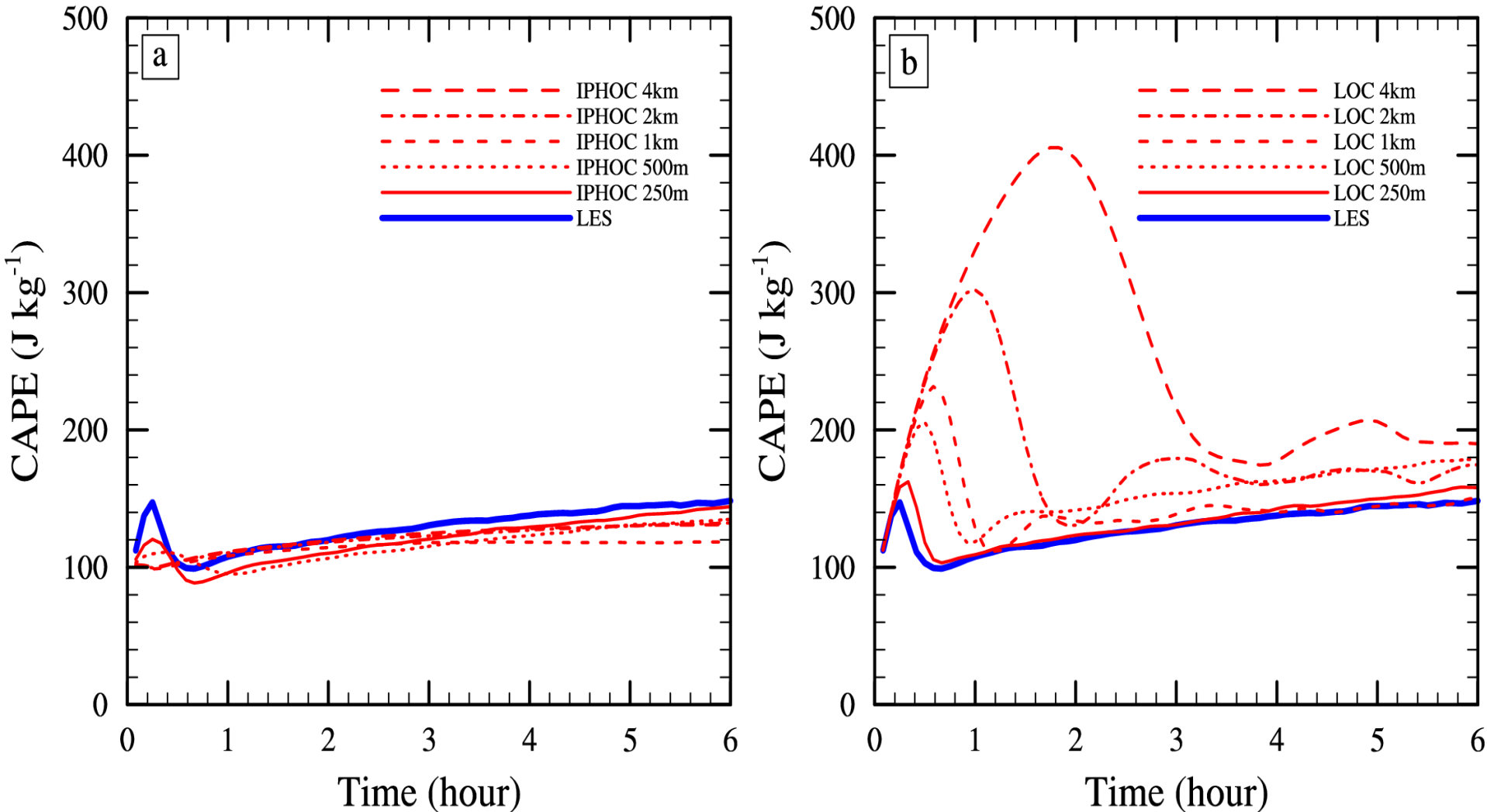
# IPHOC: CRM-simulated fractions of shallow cumuli (BOMEX case)

Cheng A., & K.-M. Xu, 2008, *J. Meteor. Soc. Jpn.*, 86A, 67-86



# Insufficient SGS transports from low-order closure (LOC) cause initial build-up of CAPE (convective available potential energy)

Cheng A., & K.-M. Xu, 2008, *J. Meteor. Soc. Jpn.*, 86A, 67-86 .



## 4. MMF climate simulation results – experiments

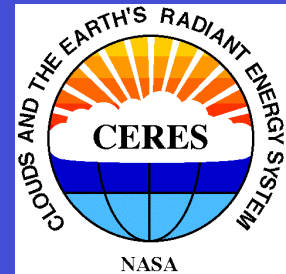
| Experiment name | Other name/<br>duration                                  | Feature                                                                  |
|-----------------|----------------------------------------------------------|--------------------------------------------------------------------------|
| IP-12L          | Control experiment<br>(SPCAM-IPHOC);<br>10 yrs and 3 mos | With IPHOC, 12 levels<br>below 700 hPa                                   |
| IP-6L           | SPCAM-IPHOC (6L);<br>2 yrs and 3 mos test                | Same as IP-12L except<br>for 6 levels below 700<br>hPa                   |
| SP-12L          | SPCAM (12L); 2 yrs<br>and 3 mos test                     | Same as IP-12L except<br>without IPHOC (i.e.,<br>with low-order closure) |
| SP-6L           | SPCAM (6L); 2 yrs<br>and 3 mos test                      | Same as IP-6L except<br>without IPHOC                                    |

# MMF “control” climate simulation

- The model, SPCAM-IPHOC, is Community Atmosphere Model (CAM) version 3.5 with finite-volume dynamical core as the host GCM.
- The CRM is the 2-D version of System for Atmospheric Modeling (SAM) with IPHOC higher-order turbulence closure, the grid spacing is 4 km, with 32 columns within a GCM grid box.
- Grid spacing of the host GCM is  $1.9^{\circ} \times 2.5^{\circ}$ ; doubling the number of levels below 700 hPa (6 to 12) from the standard CAM configuration; the total number of vertical layers is 32.
- The simulation is forced with climatological monthly-mean SST and sea ice distributions (i.e., without interannual variation).
- Simulation duration is 10 years and 3 mos; last nine years analyzed for climatology and seasonal cycle; last year analyzed for diurnal variability due to availability of hourly model output.
- Same setup for sensitivity runs with 2 yr and 3 mos duration except for the number of vertical layers and with/without IPHOC.

# Datasets used in evaluating the simulation

- ✦ Precipitation observations (32-yr; 1979-2011): Global Precipitation Climatology Project (GPCP; Adler et al. 2003)
- ✦ Low-level/total cloud amount and liquid water content (4-yr; 2006-10): CloudSat, CALIPSO, CERES and MODIS merged data (C3M; Kato et al. 2010, 2011)
- ✦ Cloud radiative effects at the TOA and surface (10-yr; 2000-10): CERES EBAF version 2.6r (TOA; Loeb et al. 2009) and surface EBAF2.6 (Kato et al. 2012)
- ✦ Precipitable water (13-yr; 1988-2001): NASA Water Vapor Project (NVAP)
- ✦ Meteorological state variables (10-yr; 2000-10): ECMWF Interim Re-Analysis or ERA40





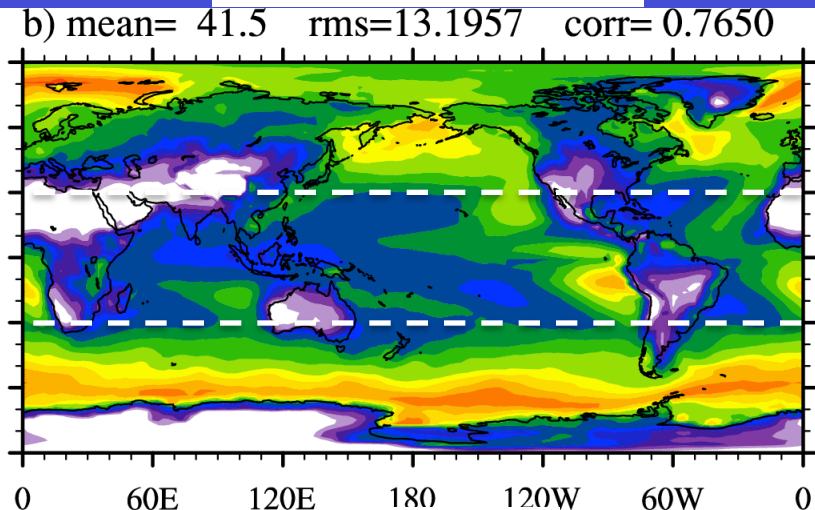
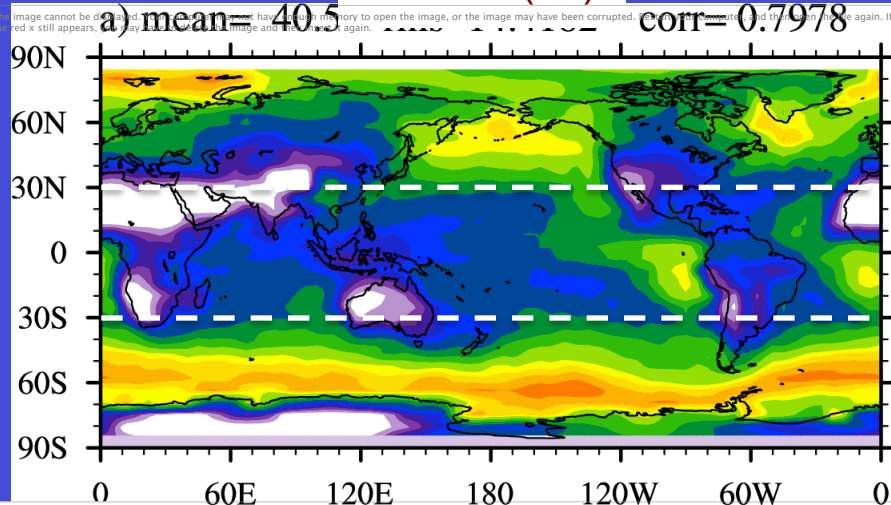
## 4. MMF climate simulation results:

- a) Climatology

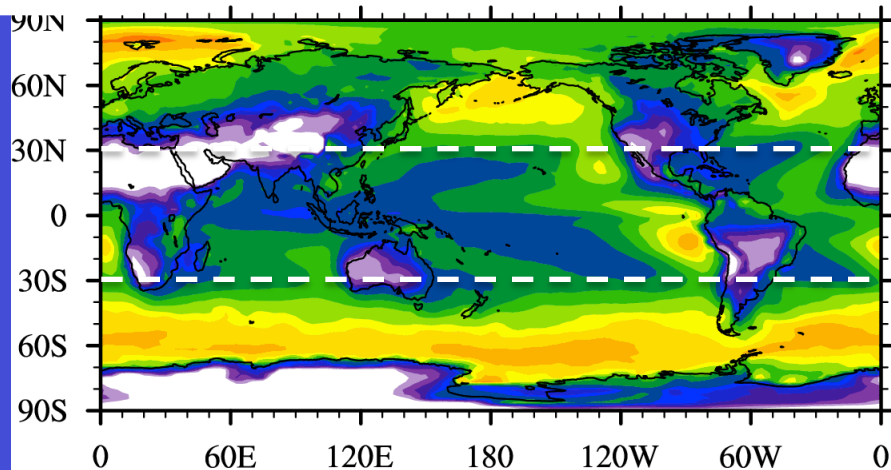
# Annual-mean low-level (sfc - 700 hPa) cloud amount (%)

SPCAM (6L)

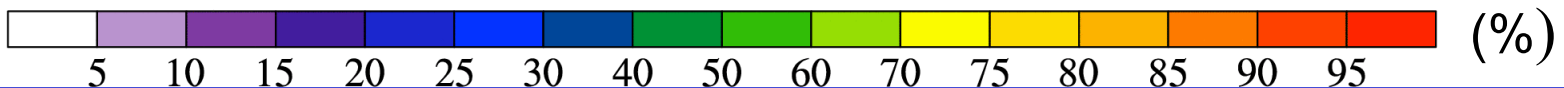
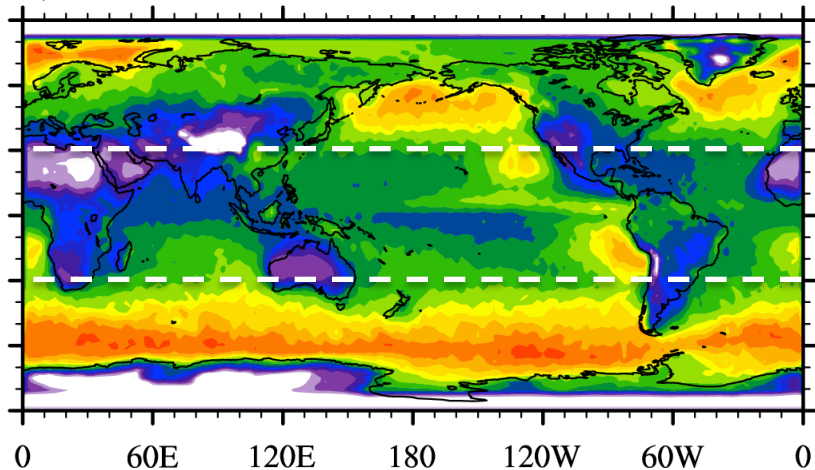
SPCAM-IPHOC (6L)



IP-12L mean= 45.1 rms=10.3306 corr= 0.8037



d) mean= 50.3 C3M Obs.

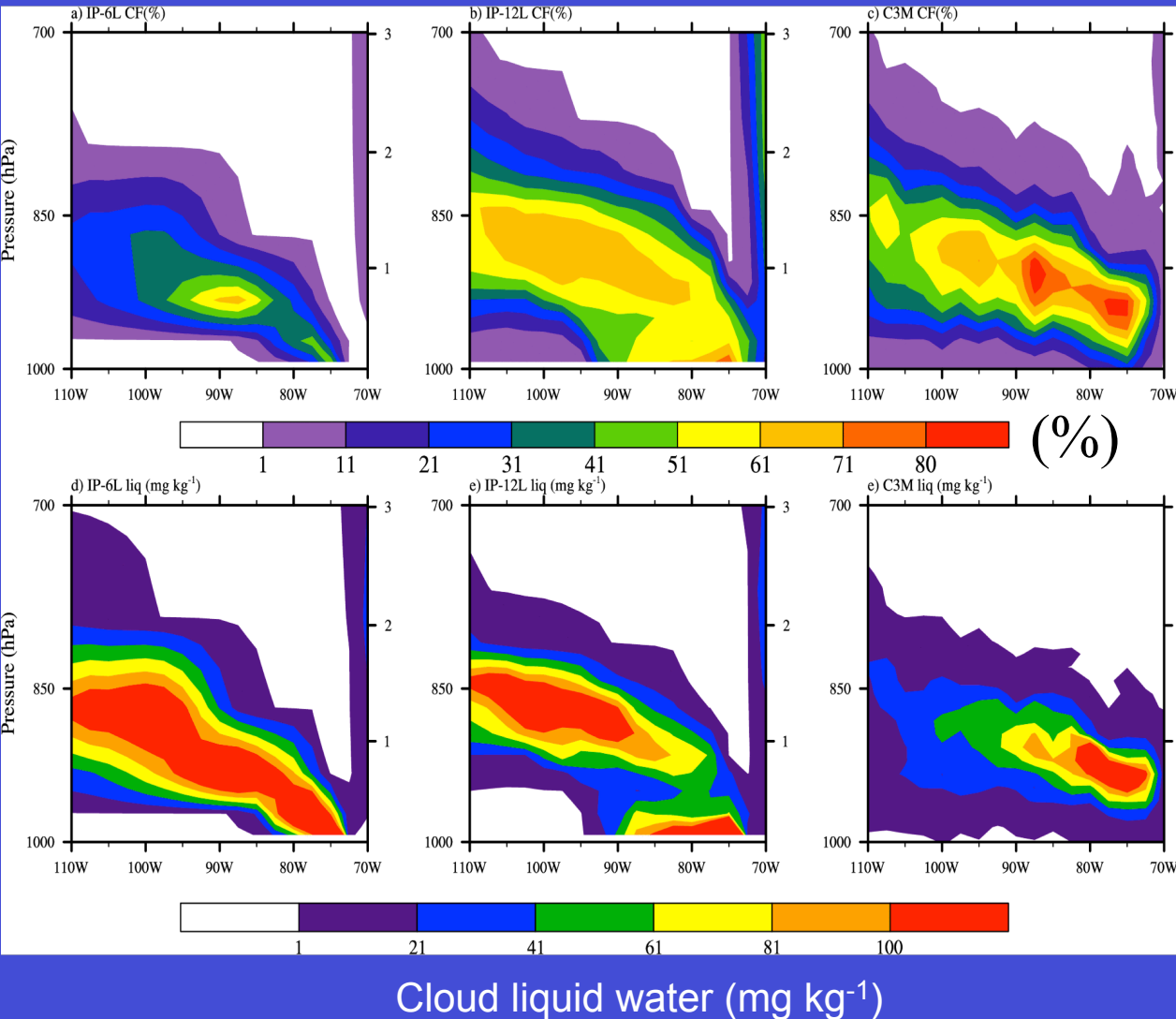


# Annual-mean cloud fraction (top) and cloud liquid water (bottom) west of S. America at 18°S

IP-6L

IP-12L

(C3M Obs.)



SPCAM-IPHOC runs, with 6 levels or 12 levels below 700 hPa).

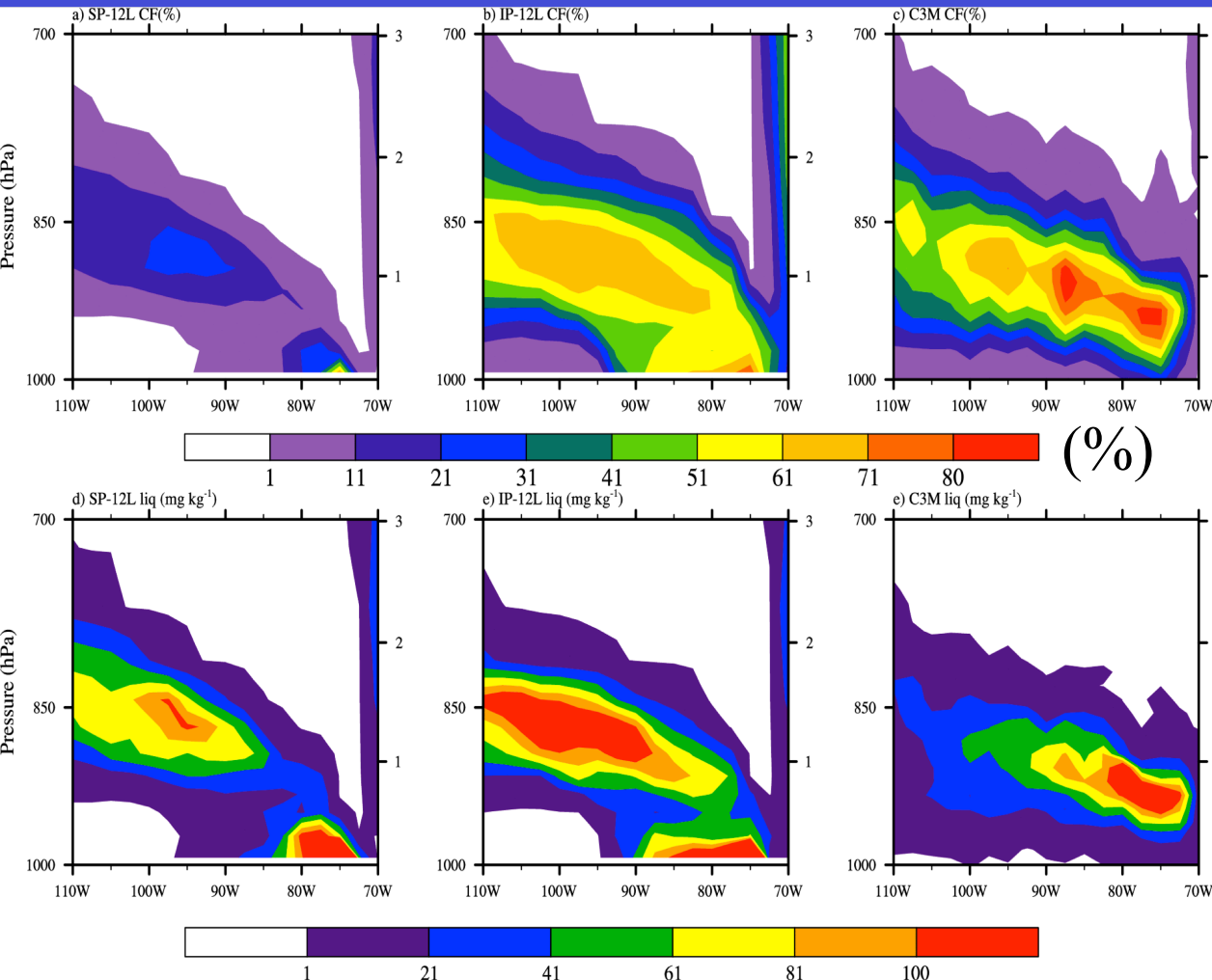
The increased vertical resolution improves the agreement with observations, but overestimate of cloud water is pronounced.

# Annual-mean cloud fraction (top) and cloud liquid water (bottom) west of South America at 18°S

SP-12L

IP-12L

(C3M Obs.)

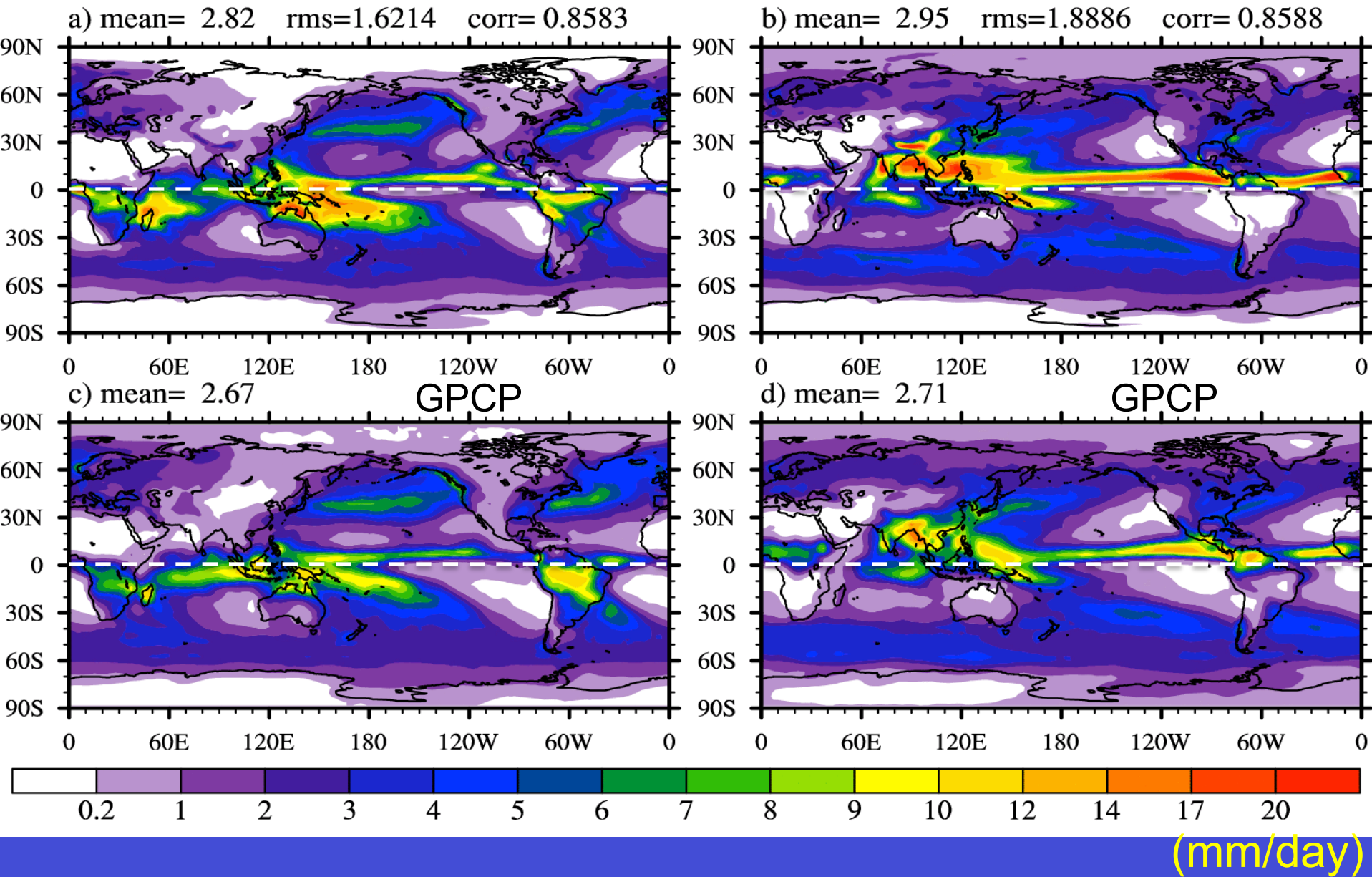


Both SPCAM and SPCAM-IPHOC runs, with 12 levels below 700 hPa.

- SPCAM: clouds are produced only through grid-scale condensation;
- SPCAM-IPHOC: subgrid scale condensation
- The inclusion of IPHOC helps the simulation

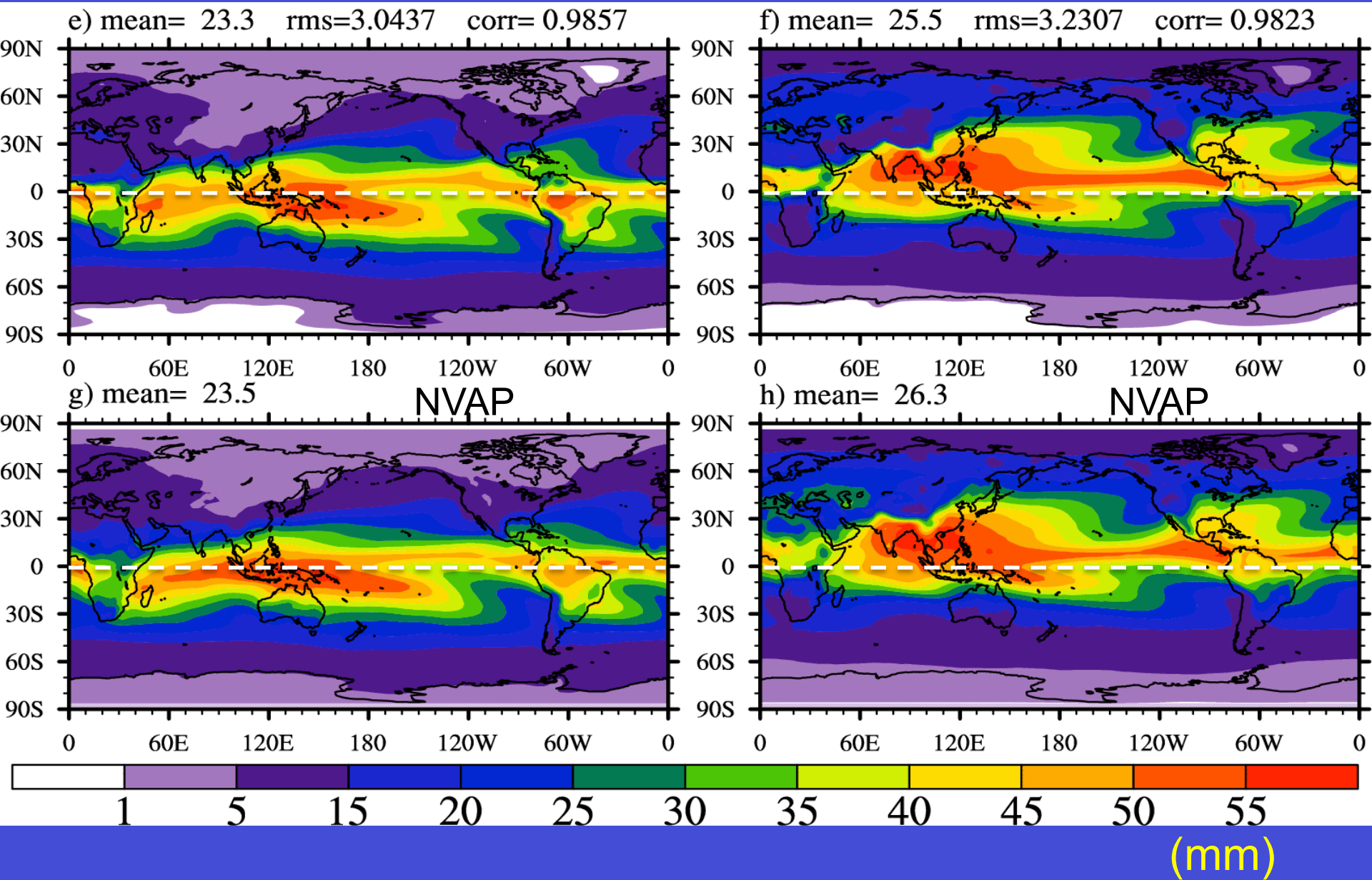
Cloud liquid water ( $\text{mg kg}^{-1}$ )

# Surface precipitation rate (left—DJF; right—JJA)



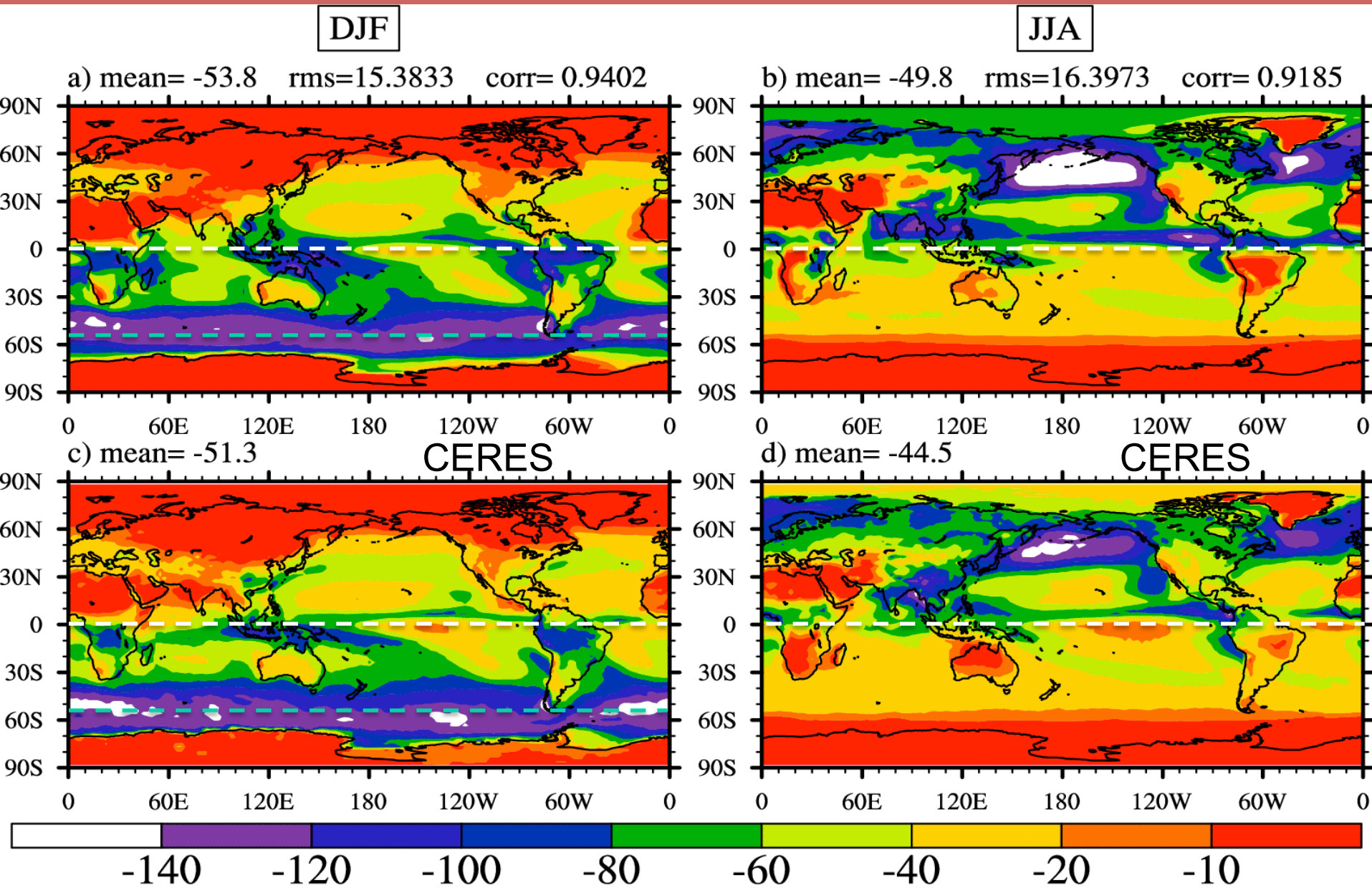


# Column-integrated vapor (left—DJF; right—JJA)



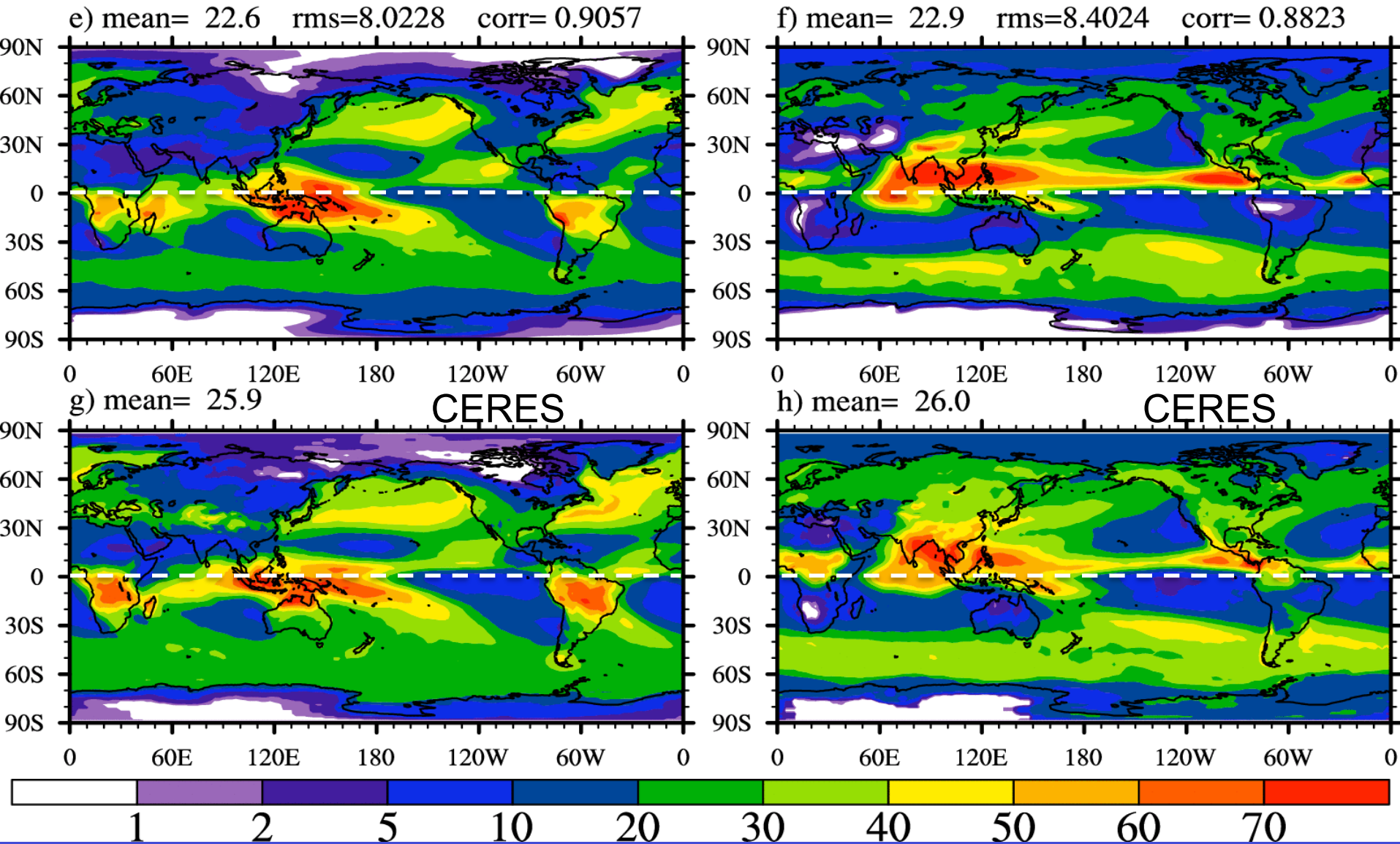


# TOA SW Cloud Rad. Eff. (left—DJF; right—JJA)



Cloud radiative effect = radiative flux (cloudy sky – clear sky) (W m<sup>-2</sup>)

# TOA LW Cloud Rad. Eff. (left—DJF; right—JJA)



Cloud radiative effect = radiative flux (cloudy sky – clear sky) ( $\text{W m}^{-2}$ )

## 4. MMF climate simulation results:

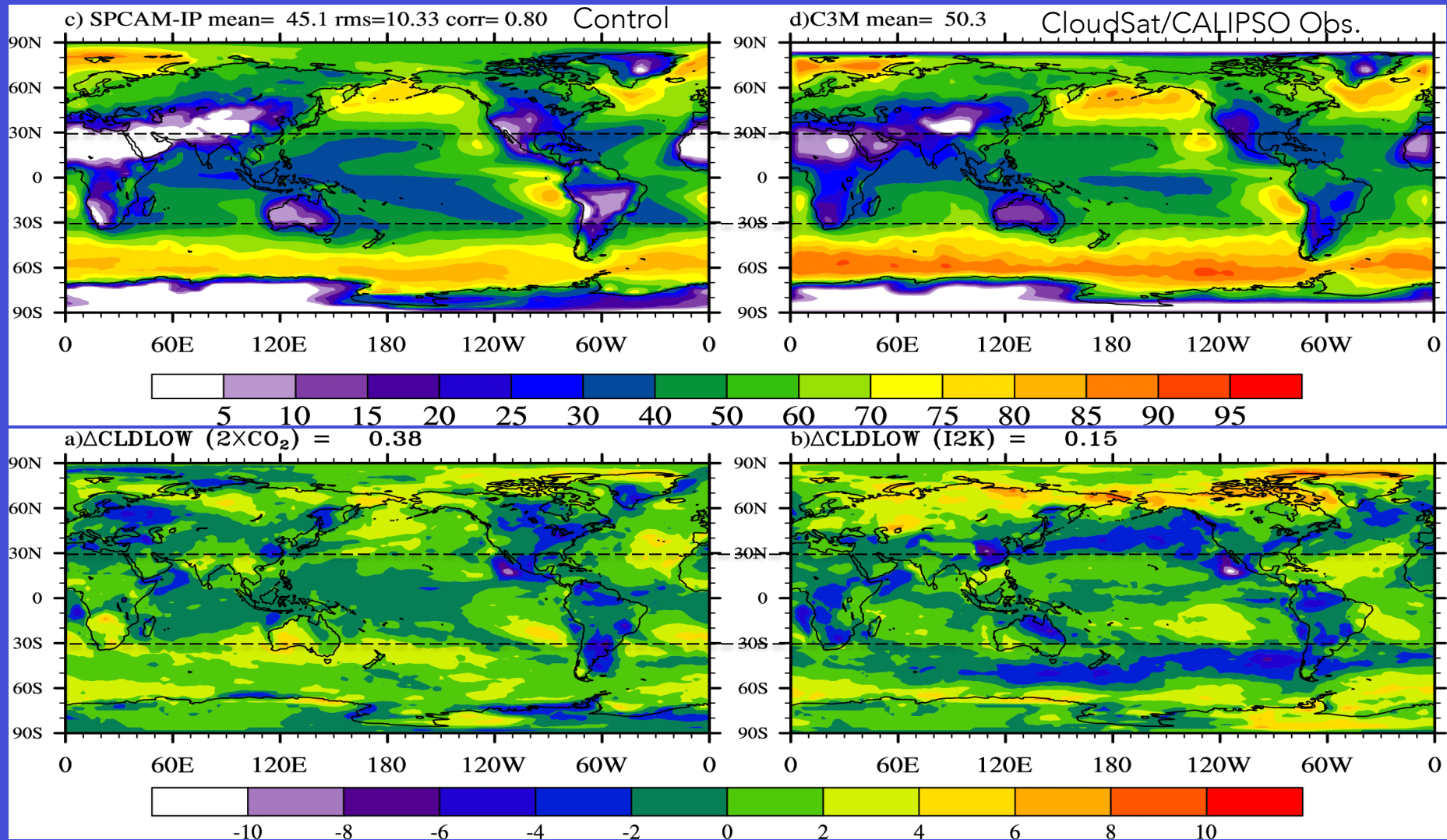
### b) Climate sensitivity

# Why climate sensitivity study with MMF?

- Conventional GCMs do not simulate low clouds well
- Low clouds remains the largest uncertainty in cloud feedbacks and climate projection
- The version of MMF simulates realistic low clouds
- Control simulation
  - Forced with climatological SST and sea ice distributions (not an AMIP-type simulation) with present-day CO<sub>2</sub> concentration
  - Simulation duration is 10 yrs and 3 mos, with last 9 yrs analyzed
- Doubled CO<sub>2</sub> (2xCO<sub>2</sub>) simulation
  - Same as the control except that CO<sub>2</sub> is doubled at the beginning of the simulation but SST and sea ice are fixed as the control
- +2 K SST (I2K) simulation
  - Same as the control except that SST is increased by 2 K

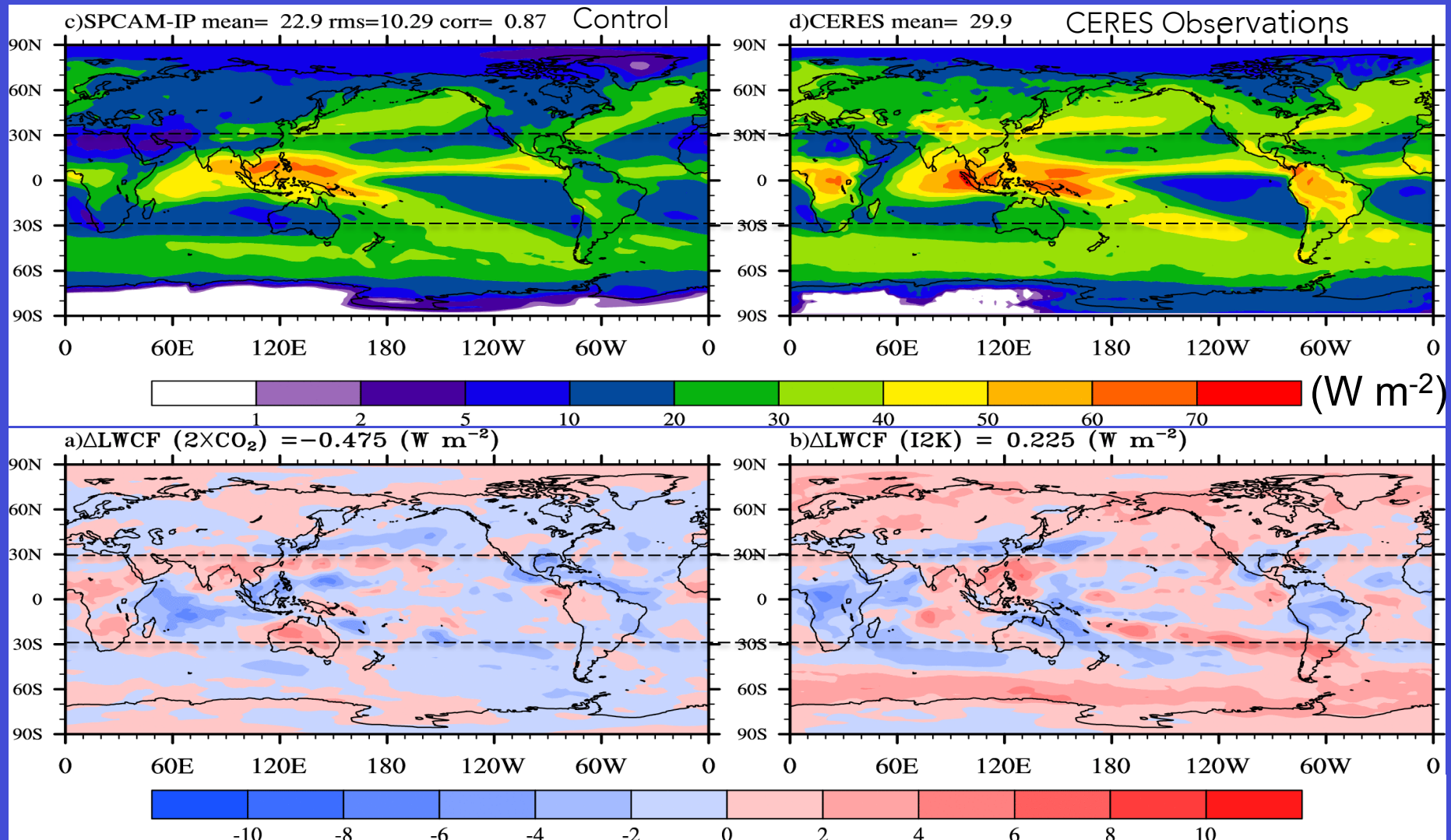


# Low-level (surface -- 700 hPa) cloud fraction (%) from 10-yr control simulation and changes in sensitivity simulations



More in cumulus regions in 12K; but stratocumulus regions in 2xCO<sub>2</sub>  
More in poleward of storm track in 12K, but equatorward in 2xCO<sub>2</sub>

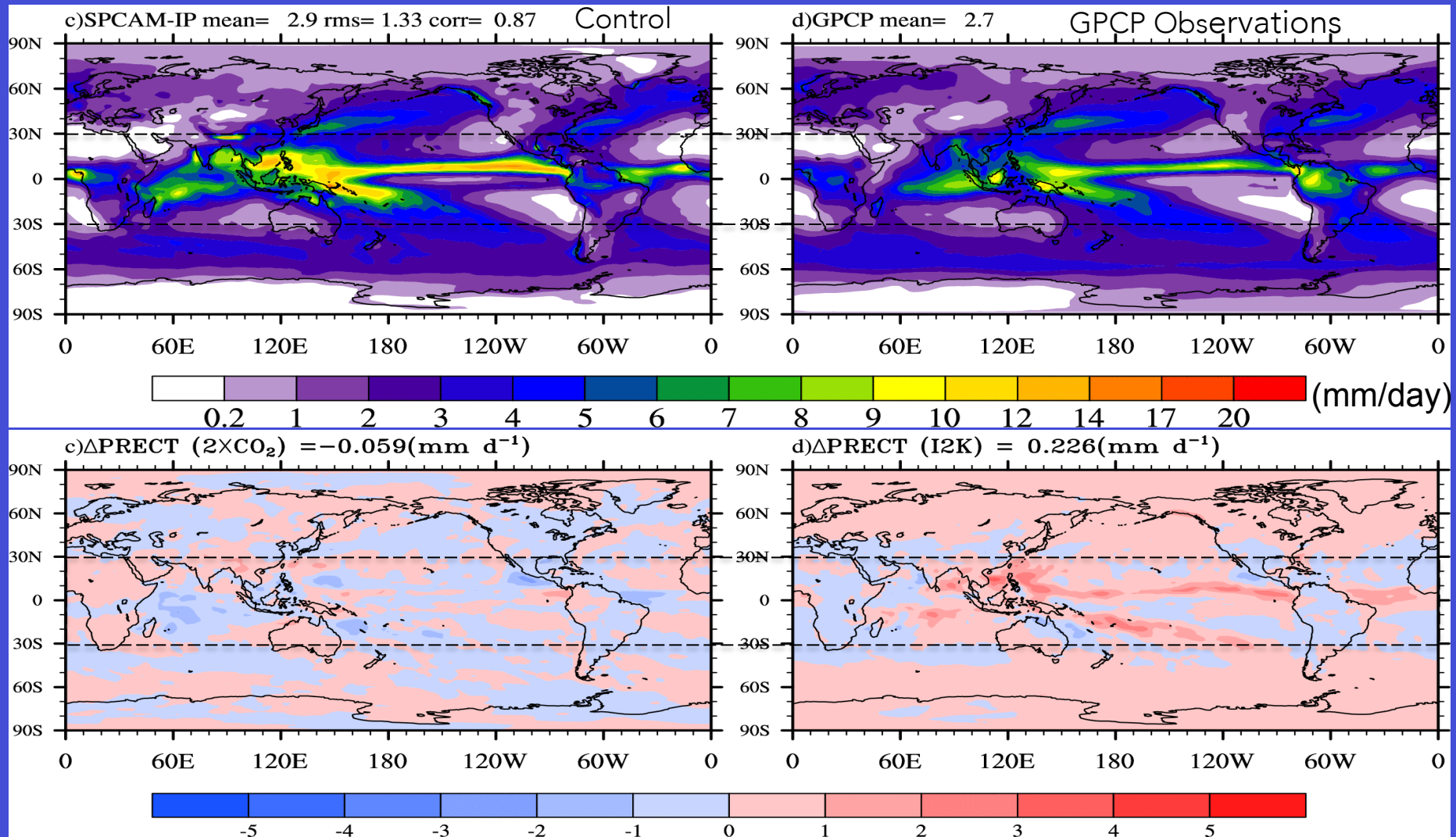
# Longwave Cloud Radiative Forcing from 10-yr control simulation and changes in sensitivity simulations



Changes in LW CRE are larger in 12K (higher clouds due to higher SSTs); Storm tracks moves polarwards and stronger SPCZ in 12K

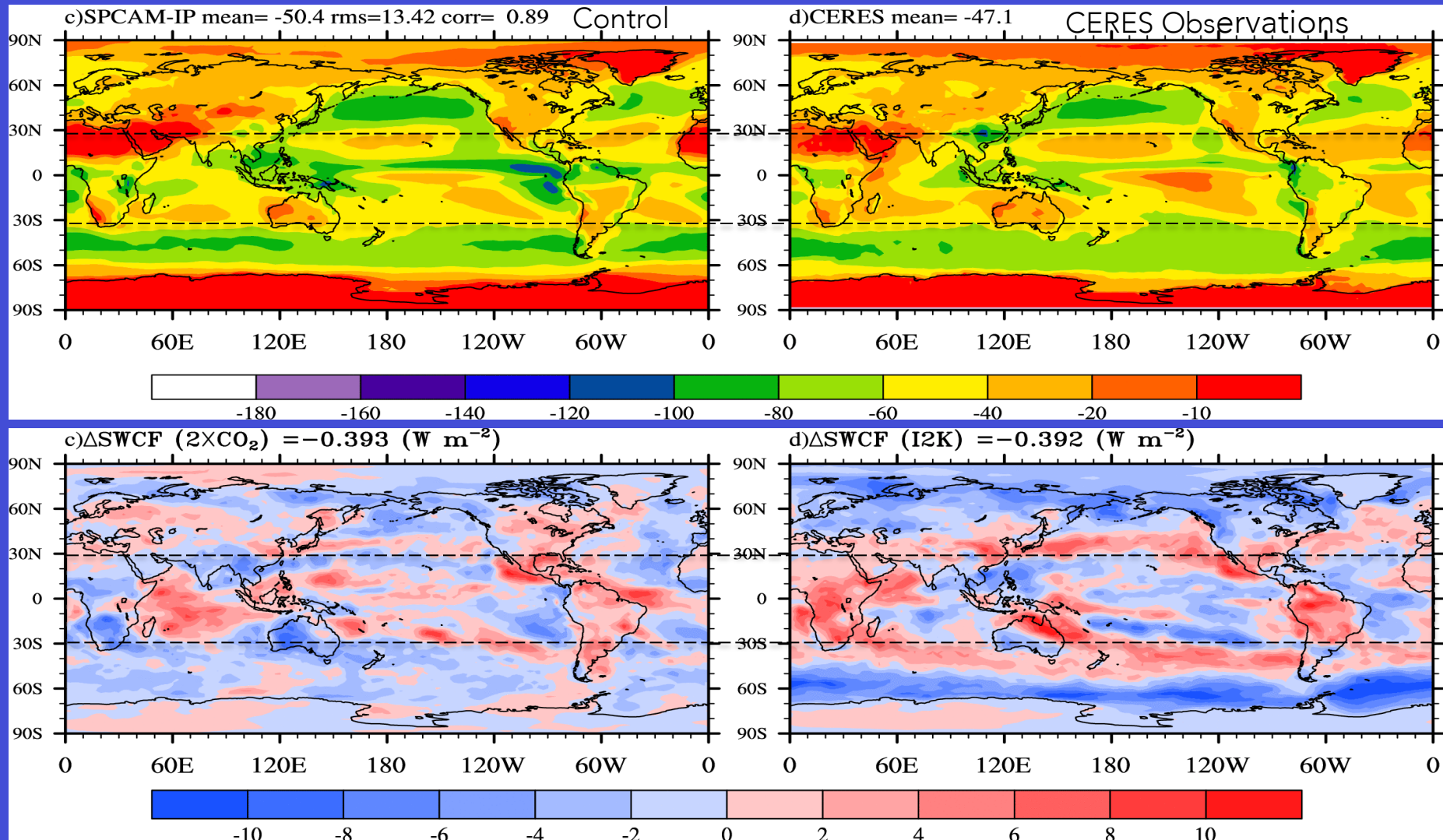


# Surface Precipitation Rate from 10-yr control simulation and changes in sensitivity simulations



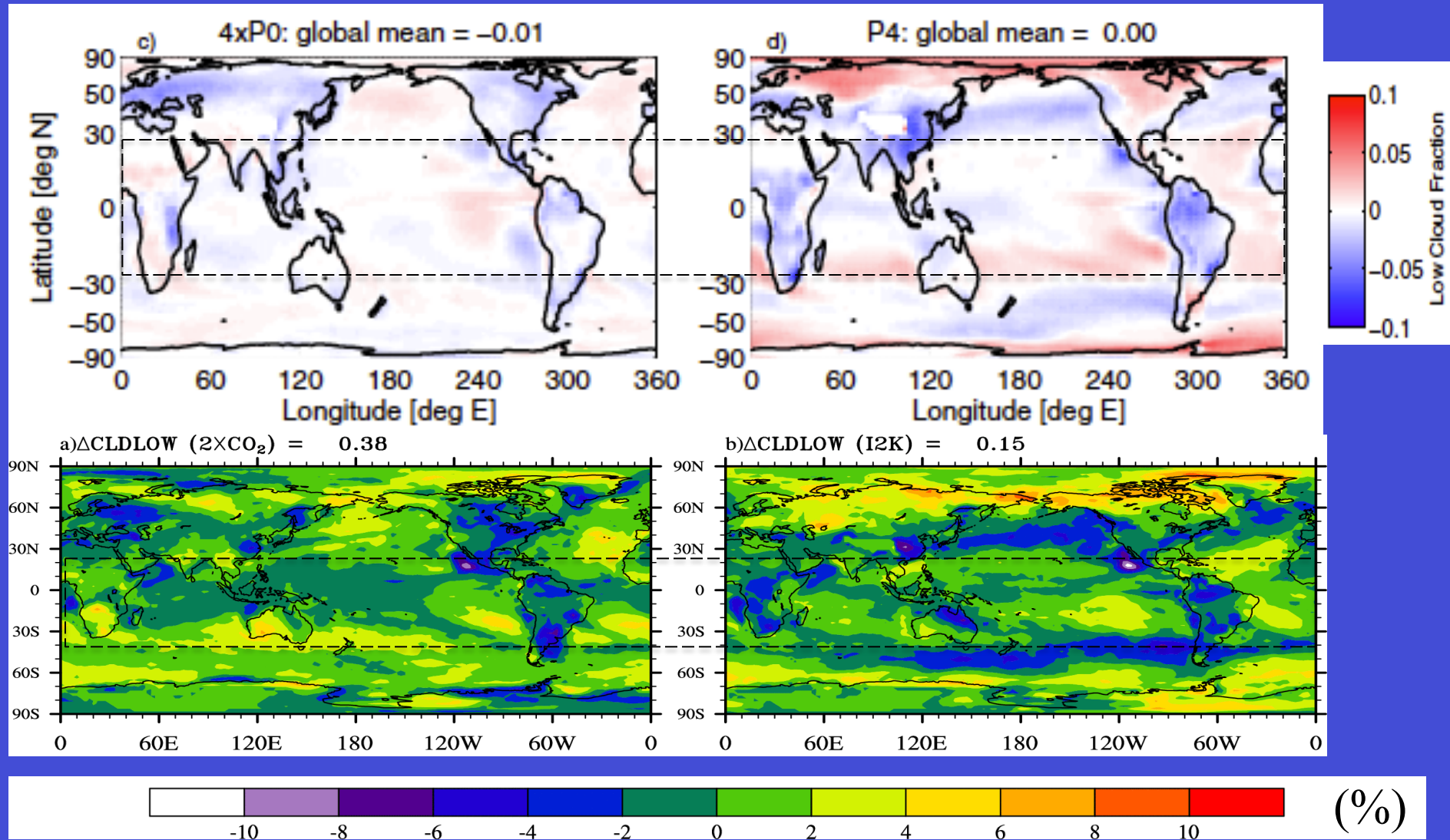
Increases at ITCZ and SPCZ (polarward movements) in  $12\text{K}$ ;  
General small decreases in tropics and over lands in  $2\times\text{CO}_2$

# Shortwave Cloud Radiative Forcing from 10-yr control simulation and changes in sensitivity simulations



Changes in SW CRE reflect mostly the changes in low cloud fraction in both sensitivity simulations; some due to high clouds

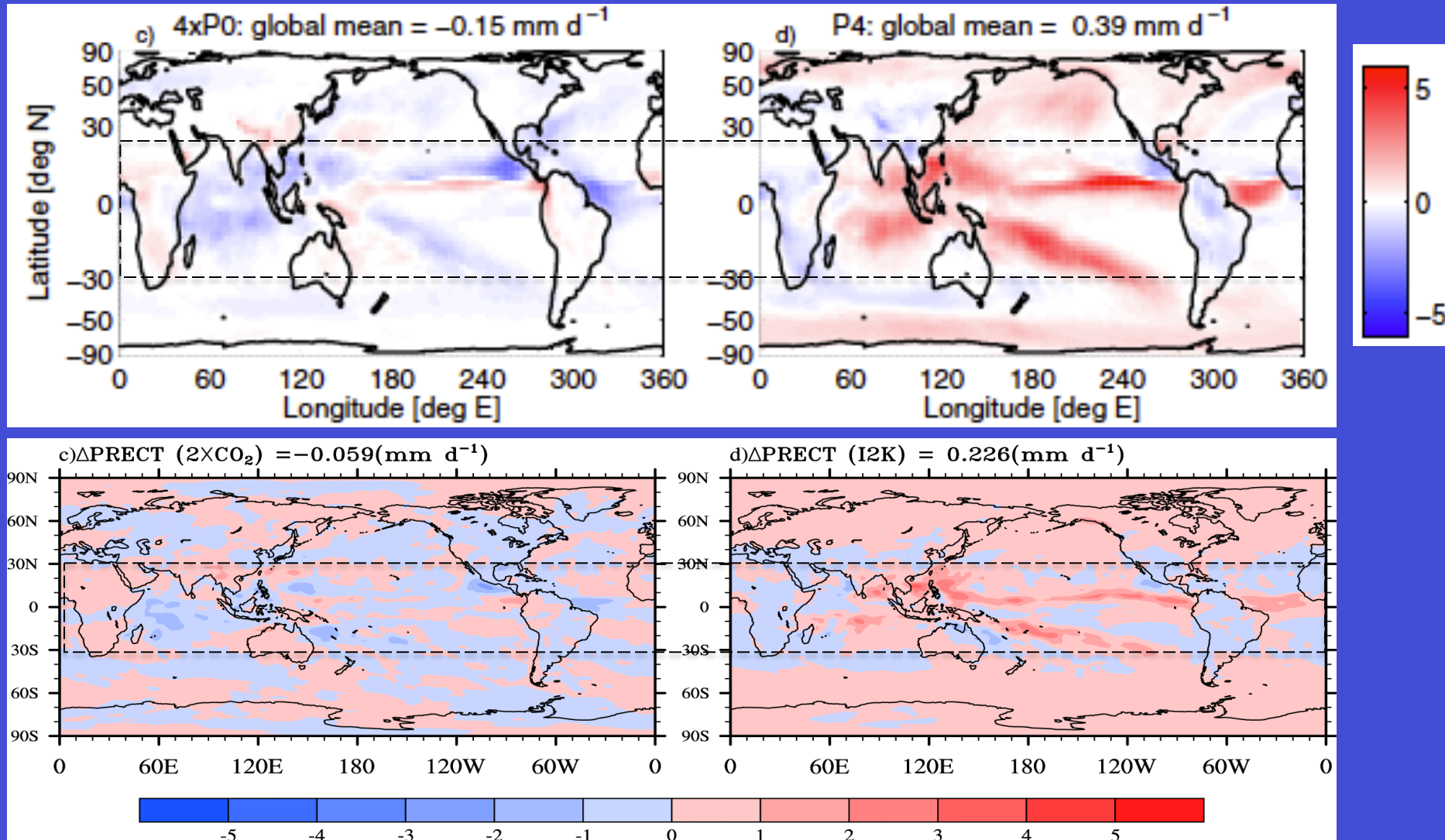
# Low-level (surface -- 700 hPa) cloud fraction (%) changes in sensitivity simulations (Bretherton et al. 2014)



Differences appear in cumulus regions in +SST simulations  
There are large differences in  $\text{CO}_2$  simulations everywhere



# Surface Precipitation Rate changes in sensitivity simulations (Bretherton *et al.*, 2014)

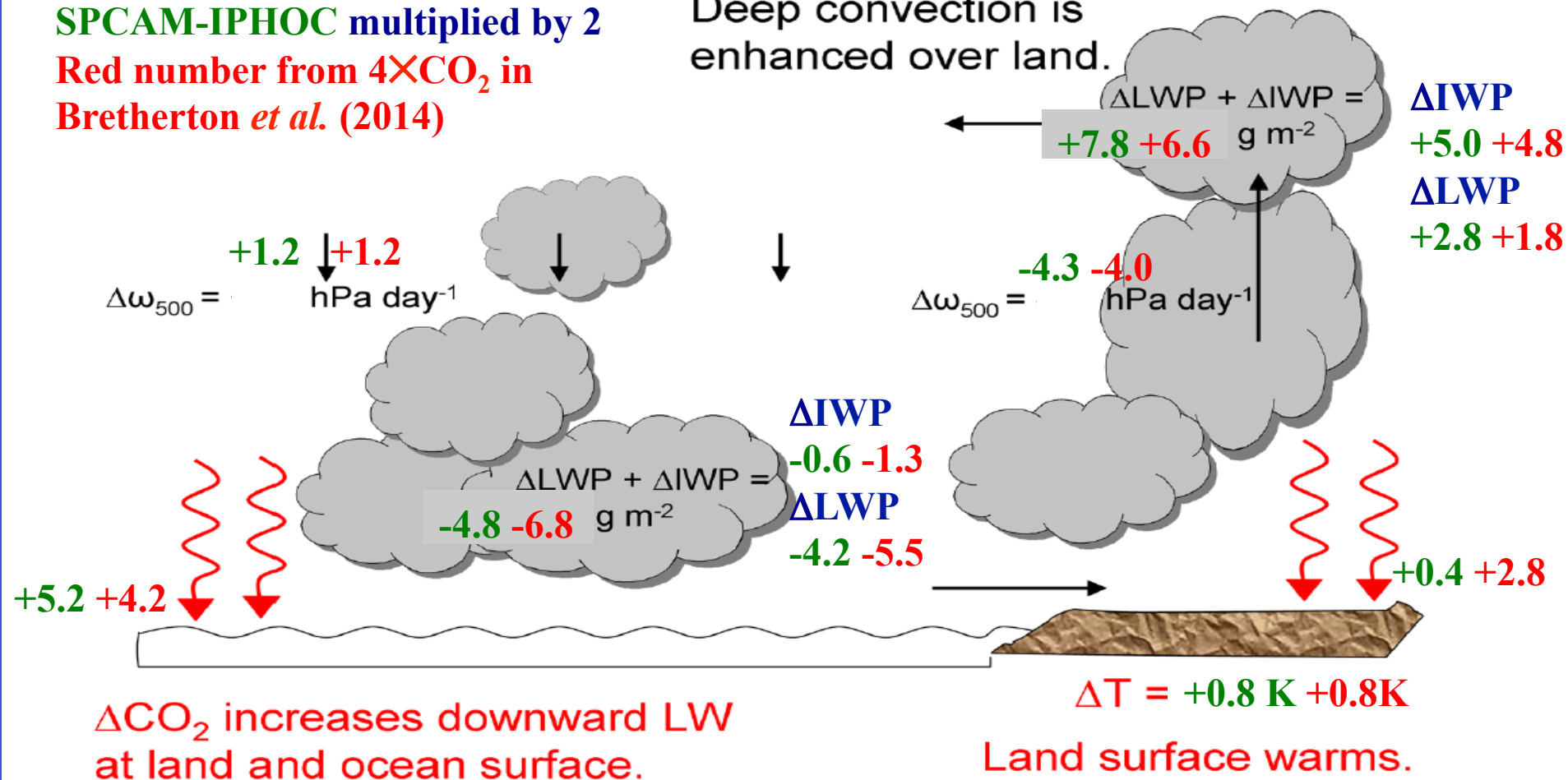


Similar increases at ITCZ and SPCZ in +SST simulations;  
More increases over lands in  $2\times\text{CO}_2$  than in  $4\times\text{CO}_2$

# Changes in the tropical land and ocean (30° N/S) from CO<sub>2</sub> sensitivity simulations

Number in Green 2×CO<sub>2</sub> from SPCAM-IPHOC multiplied by 2  
Red number from 4×CO<sub>2</sub> in Bretherton *et al.* (2014)

Deep convection is enhanced over land.





# Summary and conclusions

- The MMF climate simulation greatly reduces regional biases associate with low-level clouds
- The simulated climatology agree with observations in many aspects, but not perfect everywhere
- The low cloud fractions increase in the tropics/subtropics in both sensitivity simulations, but for different reasons
- The polarward displacement of storm tracks results in large changes (+ve polarward and –ve equatorward) in cloud fraction and cloud radiative effects in +2K SST simulation
- Precipitation increases in +2K SST are pronounced, especially ITCZ and SPCZ, but almost no increases in the global mean of 2xCO<sub>2</sub> simulation, in which the local changes are dis-similar to those of +2K SST in most continents
- There are many similarities with the MMF without the higher-order turbulence closure, but there are some differences