Upstream Ultra-Low Frequency Waves observed by MESSENGER's Magnetometer: Implications for Particle Acceleration at Mercury's Bow Shock

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12 Key Points:

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13	- We perform the first statistical analysis (4536 events) of the main properties of
14	the lowest frequency waves in the Hermean foreshock.
15	- Small normalized wave amplitude ($\sim 0.2)$ and occurrence ($\sim 0.5\%)$ are likely due
16	to low backstreaming proton flux and variable external conditions.
17	- The normalized backstreaming protons speed ($\sim~0.95$ - 2.6) suggests that sim-
18	ilar acceleration processes occur at several planetary shocks.

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19 Abstract

We perform the first statistical analysis of the main properties of waves observed 20 in the 0.05-0.41 Hz frequency range in the Hermean foreshock by the MErcury Surface, 21 Space Environment, GEochemistry, and Ranging (MESSENGER) Magnetometer. Al-22 though we find similar polarization properties to the '30 second' waves observed at the 23 Earth's foreshock, the normalized wave amplitude $(\delta B/|\mathbf{B}_0| \sim 0.2)$ and occurrence rate 24 $(\sim 0.5\%)$ are much smaller. This could be associated with relatively lower backstream-25 ing proton fluxes, the smaller foreshock size and/or less stable solar wind (SW) condi-26 tions around Mercury. Furthermore, we estimate that the speed of resonant backstream-27 ing protons in the SW reference frame (likely source for these waves) ranges between 0.95 28 and 2.6 times the SW speed. The closeness between this range and what is observed at 29 other planetary foreshocks suggests that similar acceleration processes are responsible 30 for this energetic population and might be present in the shocks of exoplanets. 31

32 1 Introduction

The foreshock is the spatial region upstream of, but magnetically connected to the 33 bow shock. Due to this connection, particles from the incoming solar wind (SW) coex-34 ist with a second population of backstreaming ions, produced by reflection of SW par-35 ticles at the bow shock or leakage of plasma from downstream of the shock (e.g., Burgess 36 et al., 2012; Eastwood et al., 2005). As they move upstream along the interplanetary mag-37 netic field (IMF), the backstreaming particles provide a source of free energy for vari-38 ous plasma instabilities (e.g., Brinca, 1991; Gary, Akimoto & Winske, 1989; Mazelle et 39 al., 2003). 40

Ion reflection is a general property of high Mach number collisionless shocks (Biskamp, 41 1973; Burgess et al., 2012; Kennel et al., 1985; Paschmann et al., 1980; Sonnerup, 1969). 42 The analysis of the Hermean foreshock is extremely important to investigate ion reflec-43 tion and related physical processes occurring under low SW Mach numbers (e.g., Ger-44 shman et al., 2013; Masters et al., 2013; Russell et al., 1982; Slavin and Holzer, 1981). 45 In particular, the SW Alfvénic Mach number range observed at Mercury ($\sim 4-6$) is ex-46 pected to be right at or just above the critical value, where particle reflection at the bow 47 shock should be negligible (Kennel et al., 1985; Le et al., 2013). In the present paper we 48 characterize properties of backstreaming ions at Mercury by studying the occurrence and 49

⁵⁰ main properties of associated ultra-low frequency (ULF) waves observed in the foreshock,

⁵¹ based on MErcury Surface, Space ENvironment, GEochemistry, and Ranging (MESSEN-

⁵² GER) Magnetometer (MAG) observations.

To our knowledge, there have only been a few studies focusing on the Hermean fore-53 shock based on in-situ spacecraft observations. Fairfield and Behannon (1976) first re-54 ported Mariner 10 observations and classified Mercury upstream waves into two groups: 55 (1) lower-frequency (~ 0.1 -0.2 Hz) large-amplitude waves, and (2) higher-frequency (\sim 56 2 Hz) small-amplitude waves; similar to the so-called '30 second' and '1 Hz' waves ob-57 served at the Earth's foreshock, respectively (Fairfield et al., 1974; Greenstadt et al., 1968). 58 Le et al. (2013) performed an analysis of a survey of waves observed during an Hermean 59 foreshock passage on 26 March 2011, and constitutes the only related study based on MES-60 SENGER orbital data so far. In particular, the authors found that the lowest frequency 61 waves had small amplitudes $(\delta B/|\mathbf{B}_0| \sim 0.1)$, a frequency ~ 0.3 Hz, and were present 62 sporadically in Mercury's foreshock. 63

Although no data was presented for Mercury except an estimate from Fairfield and 64 Behannon (1976), Hoppe and Russell (1982) found that there is a linear relationship be-65 tween the observed wave frequency (of the lowest frequency mode) and the magnetic field 66 strength for foreshock encounters around several planets, suggesting that such wave fre-67 quencies depend on local gyrofrequencies. The present study aims to extend the current 68 state of knowledge about the Hermean foreshock by performing the first statistical study 69 of the lowest frequency waves observed by MESSENGER MAG during all its orbital phase. 70 Additionally, we add data to the relationship found in Hoppe and Russell (1982), we es-71 timate the velocity of resonant backstreaming protons, and perform comparisons with 72 other planetary foreshocks throughout the heliosphere. 73

⁷⁴ 2 MESSENGER MAG Observations: A Case Study and the Wave Se ⁷⁵ lection Criteria

The MESSENGER spacecraft was inserted into an ~ 12 -hr period, high eccentricity ($\sim 200 \times 15,000$ -km altitude), 82° inclination orbit about Mercury on 18 March 2011 (Solomon et al., 2007). The orbital period was reduced on 16 April 2012 to ~ 8 hr, lowering the apoapsis altitude to ~ 4.1 R_M, still providing measurements upstream from the Hermean bow shock (R_M stands for Mercury's radii equal to 2440 km). The reader is referred to Figure 2 in Slavin et al. (2019) for a plot of the trajectory of MESSENGER over its four-year mission. Average bow shock and magnetopause fits reported in Winslow et al. (2013) are shown for comparison: the corresponding standoff distances are 1.96 R_M and 1.45 R_M , respectively.

In this work we have analyzed all MESSENGER MAG data upstream from the Her-85 mean bow shock with a sampling rate of 20 Hz (Anderson et al., 2007). We display data 86 in the aberrated Mercury solar magnetic (MSM) coordinates. The MSM coordinate sys-87 tem is centered on Mercury's offset internal dipole (Anderson et al., 2011), with the X-88 MSM axis oriented sunward along the Sun–Mercury line and the Y-MSM axis opposite 89 to the Mercury's orbital velocity, respectively. The Z-MSM axis completes the right-handed 90 system. We assume an aberration of $\sim 7^{\circ}$ due to Mercury's average orbital speed through 91 a radial SW speed of 400 km s^{-1} to define the aberrated MSM coordinate system. 92

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2.1 A foreshock wave event observed at 0.283 Hz on 10 September 2011

Figure 1 shows an example of the lowest frequency waves observed by MESSEN-94 GER MAG in the Hermean foreshock. These measurements were obtained on 10 Septem-95 ber 2011, between 03:27:18.99 and 03:30:43.79 UT. MESSENGER's mean location is [0.27, 96 3.82, -5.81] R_M . The mean magnetic field vector is $\mathbf{B_0} = [-37.81, 5.98, 3.06]$ nT and makes 97 an angle of 10.06° with the X-MSM axis. All magnetic field components display oscil-98 lations with a well-defined frequency. The Y-MSM and Z-MSM magnetic field compo-99 nents have an amplitude around 3.9 nT, the X-MSM component has an amplitude around 100 1.3 nT. Panel e) shows the power spectral density (PSD) for the transverse (\mathbf{B}_{\perp}) and 101 compressive (B_{comp}) magnetic field components with respect to B_0 . The PSD (B_{\perp}) dis-102 plays a peak at a frequency that in the spacecraft reference frame (f_{sc}) is approximately 103 0.283 Hz (vertical red dashed line). We also find that these waves are restricted mainly 104 to the perpendicular plane to \mathbf{B}_0 , since $PSD(\mathbf{B}_{\perp}) >> PSD(B_{comp})$ around $f_{sc} \sim 0.283$ 105 Hz. 106

The polarization and wave vector of these low frequency waves are obtained from Minimum Variance Analysis (MVA). This technique provides an estimate of the direction of propagation for an assumed planar wave by calculating the eigenvalues of the covariance matrix of the magnetic field within a given time interval. The maximum, intermediate and minimum eigenvalues are denoted as λ_1, λ_2 , and λ_3 , respectively. The hypothesis that the waves are planar can be characterized by means of the λ_2/λ_3 ratio,

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Figure 1. MESSENGER Magnetometer observations in the Hermean foreshock. Panels a) to c) display the magnetic field aberrated MSM components, panel d) shows the magnetic field intensity. Panels e) displays the power spectral density of the transverse (in blue) and compressive (in black) magnetic field components with respect to the mean magnetic field. Panel f) displays magnetic field data in the maximum-intermediate plane between 03:27:27.94 and 03:27:42.74 UT. The red cross corresponds to the first measurement in this time interval.

and the wave vector \mathbf{k} is associated with the minimum variance eigenvector (\mathbf{e}_3). Note that \mathbf{e}_3 defines the direction of \mathbf{k} but not the sense (Sonnerup and Scheible, 1998).

Figure 1, panel f) shows the magnetic field components in the maximum-intermediate 115 plane (hodogram), obtained by applying MVA on MAG data between 03:27:27.94 and 116 03:27:42.74 UT (approximately 4 wave periods). The corresponding mean magnetic field 117 in the MVA basis $(\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3)$ is $\mathbf{B}_0 = [0.56, -10.96, 36.99]$ nT, pointing out of the maximum-118 intermediate plane. The sense of gyration of the magnetic field oscillations (black arrow) 119 with respect to \mathbf{B}_0 indicates that the wave polarization, in the spacecraft frame is left 120 handed. These waves are close to be circularly polarized $(\lambda_1/\lambda_2 = 1.34)$ and planar $(\lambda_2/\lambda_3 = 144.10)$. 121 The angle θ_{kB} between the estimated wave propagation direction and \mathbf{B}_0 is 16.52°, in-122 dicating that these waves are propagating quasi-parallel to the mean magnetic field. More-123 over, by assuming that \mathbf{k} points upstream we find that the angle between \mathbf{k} and the SW 124 velocity (θ_{kV}) is 157.96°. The normalized wave amplitude $(\delta B/|\mathbf{B}_0|)$ derived based on 125 the MVA eigenvalues (Song and Russell, 1999) is 0.08. The wave properties shown and 126 derived from Figure 1 are all consistent with the ones reported for the case study ana-127 lyzed in Le et al. (2013). 128

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2.2 Wave Selection Criteria

The methodology for the statistical analysis of these waves is the following: first, 130 we identify time intervals of 204.8 s with MAG observations when MESSENGER is up-131 stream from the Hermean bow shock. These intervals, at least ~ 10 wave periods long, 132 consist of 4096 measurements allowing computation of the $PSD(\mathbf{B}_{\perp})$ and $PSD(\mathbf{B}_{comp})$ 133 based on a Fast Fourier Transform algorithm with a frequency resolution Δf equal to 134 0.00488 Hz. Overlapping between contiguous time intervals is 87.5%. In addition, for each 135 of these 204.8 s time intervals, we apply the MVA on MAG data over each sub-interval 136 of ~ 4 observed wave periods contained in it. A wave train is often identified based on 137 a minimum of three observed wave periods. Our criteria is slightly more strict but does 138 not affect significantly the presented statistical results. Based on the eigenvalues and eigen-139 vectors and derived wave polarization properties for each sub-interval, we provide the 140 associated mean values and standard deviations for each 204.8 s time interval. A sim-141 ilar methodology has been considered to analyze ULF waves in the upstream region of 142 Mars (Romanelli et al., 2016). 143

We also determine whether the spacecraft was connected to the bow shock by uti-144 lizing the solar foreshock coordinates introduced by Greenstadt and Baum (1986), to-145 gether with bow shock fit reported in Winslow et al. (2013). By increasing the value of 146 the semi-latus rectum associated with the bow shock fit up to 30%, we implement a con-147 servative approach to ensure the results presented here correspond to identified events 148 upstream from the bow shock while accounting for variability in its location. We deter-149 mine MESSENGER was connected to the bow shock during each 204.8 s time interval, 150 if it was continuously connected during each of the contained sub-intervals of ~ 4 wave 151 periods. 152

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We consider that a wave event of interest has been identified when MESSENGER is connected to the shock and a peak in the PSD of the MAG observations satisfies:

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 $\operatorname{PSD}(\mathbf{B}_{\perp})|_{\Delta f_2} > r \operatorname{PSD}(\mathbf{B}_{\perp})|_{\Delta f_1},$

- $\mathrm{PSD}(\mathbf{B}_{\perp})|_{\Delta f_2} > r \,\mathrm{PSD}(\mathbf{B}_{\perp})|_{\Delta f_3},$
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and $\lambda_2/\lambda_3 > \lambda_{CRIT}^{2,3}$.

where Δf_1 , Δf_2 and Δf_3 make reference to [0.0293-0.0488]Hz, [0.0537-0.4150] 158 Hz, [0.4199-0.5957]Hz frequency ranges, respectively. We define Δf_2 as the frequency 159 interval where the low frequency waves of interest should be observed (Fairfield and Be-160 hannon, 1976; Hoppe and Russell, 1982; Le et al., 2013). To ensure that this is the case 161 for the majority of the wave events of interest, we restrict the analysis to cases where 162 the mean IMF magnitude over a given 204.8 s time interval is equal or larger than 10 163 nT. The values for r and $\lambda_{CRIT}^{2,3}$ define the criteria for the detection of the lowest fre-164 quency waves, based on the wave properties. The results presented in this paper corre-165 spond to r = 4, and $\lambda_{CRIT}^{2,3} = 5$. However, we do not find significant differences when 166 r is varied between 2 and 10, and $\lambda_{CRIT}^{2,3}$ is varied between 5 and 20; and when an anal-167 ogous analysis is performed considering 409.6 s windows ($\Delta f = 0.00244$ Hz). 168

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3 Statistical Results and Discussion

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3.1 Properties of Waves Observed at the 0.05-0.41 Hz range

Figure 2 shows the main polarization properties of 4536 identified wave events, that is, 204.8 s intervals where the waves of interest are observed and fulfill the conditions specified in the previous section. Assuming that **k** points upstream, panel a) shows that these waves propagate quasi antiparallel to the SW velocity with $\langle \theta_{kV} \rangle \pm \sigma(\theta_{kV}) = [164.47^{\circ} \pm$

 (6.50°) , where $\langle \rangle$ and σ make reference to the mean value and the standard deviation 175 associated with the corresponding histogram, respectively. Although the direction of \mathbf{k} 176 cannot be determined with single spacecraft observations, this hypothesis is supported 177 by the fact that the ion/ion right hand instability is most often the most unstable wave 178 mode for tenuous field aligned beams interacting with the background plasma (e.g., Gary, 179 1991). Such wave mode must necessarily co-stream with the ions along the background 180 magnetic field (i.e., points upstream) to resonate with a backstreaming proton popula-181 tion. This hypothesis is also in agreement with reports for the '30 second' waves observed 182 at the terrestrial foreshock (e.g., Wilson et al., 2016) and hybrid simulations of the Her-183 mean foreshock (Jarvinen et al., 2019). Panel b) shows that these waves propagate quasi-184 parallel to the mean magnetic field direction with $\langle \theta_{kB} \rangle \pm \sigma(\theta_{kB}) = [10.41^{\circ} \pm 4.04^{\circ}].$ 185 Panel c) shows that these waves are close to be circularly polarized, with $\langle \lambda_1/\lambda_2 \rangle$ 186 $\pm \sigma(\lambda_1/\lambda_2) = [1.24\pm0.19]$, however elliptically polarized waves are also present. Panel 187 d) shows that they have relatively low normalized wave amplitude, with $\langle \delta B / | \mathbf{B}_0 | \rangle$ 188 $\pm \sigma(\delta B/|\mathbf{B_0}|) = (0.20 \pm 0.06)$. Moreover, we find that these waves are left handed po-189 larized in the spacecraft reference frame. 190

All these wave properties are consistent with fast magnetosonic waves, intrinsically 191 right-handed polarized in the SW reference frame, but observed with the opposite po-192 larization due to the Doppler shift between the SW and the spacecraft rest frames. The 193 most plausible mechanism responsible for these waves is the ion-ion right hand resonant 194 instability, where SW backstreaming protons interact with the incoming magnetized SW 195 plasma. Such instability satisfies approximately the cyclotron resonance condition (e.g., 196 Brinca, 1991; Gary, Akimoto & Winske, 1989; Mazelle et al., 2003), allowing to estimate 197 properties of the backstreaming ions, based on the observed wave properties. 198

The cyclotron resonance condition between a backstreaming proton and a righthand wave is:

$$\omega - k_{\parallel} V_r + \Omega_p = 0 \tag{1}$$

where ω is the wave frequency in the SW rest frame, Ω_p is the proton gyrofrequency, \mathbf{k}_{\parallel} is the component of the wave vector parallel to the background magnetic field, and \mathbf{V}_r is the parallel component of the resonant ion velocity (in the SW frame). The observed wave frequency ($\omega_{sc} = 2\pi f_{SC}$) is Doppler shifted as a result of the relative motion be-



Figure 2. Normalized number of identified waves events rate as a function of θ_{kV} (Panel a), θ_{kB} (Panel b), λ_1/λ_2 (Panel c) and the normalized wave amplitude (Panel d).

tween the spacecraft and SW reference frame. Thus, the observed wave frequency is ω_{sc} = $\omega + \mathbf{k} \cdot \mathbf{V}_{sw}$. Making use of Equation (1) we obtain:

$$\omega_{sc} = \omega + (\omega + \Omega_p) \frac{V_{sw}}{V_r} \frac{\cos(\theta_{kV})}{\cos(\theta_{kB})} \tag{2}$$

The value of $a = \omega/\Omega_p$ near the wavenumber of maximum growth of the ion-ion right hand instability depends on several plasma parameters, e.g., the beam density and drift velocity. However, at least for beam densities between 0.01 and 0.1 the total electron density and fast beams (with respect to the Alfvén speed), *a* does not depend on |B| (Gary, 1993). Making use of this condition in Equation (2), we can expect an increasing trend between $|w_{sc}|$ and the background magnetic field (Hoppe and Russell, 1982), if the factor $\frac{V_{sw}}{V_r} \frac{\cos(\theta_{kV})}{\cos(\theta_{kB})}$ does not depend strongly on |B|.

Figure (3a) shows the observed wave frequency of all identified events as a function of the corresponding IMF magnitude. We find an increasing trend between the observed $|f_{SC}|$ and |B|, with $|f_{SC}|$ and |B| ranging between 0.068 Hz and 0.366 Hz, and



Figure 3. Upstream observed wave frequency as a function of the IMF magnitude. Panel a) Blue solid and black dash lines correspond to the best fit obtained in this work and the straight line reported in Hoppe and Russell (1982), respectively. Orange lines correspond to the expected relationship between $|f_{SC}|$ and |B| for $V_r/V_{SW} = 1$ and $V_r/V_{SW} = 2.5$, considering the mean values for θ_{kB} a θ_{kV} . Panel b) Adapted Figure 1 from Hoppe and Russell (1982), including the results presented in the present paper (open green dots).

10 and 40.5 nT, respectively. The best straight line passing through the origin is $|f_{SC}|(Hz) =$ 217 $0.00796 \pm 0.00170 |B|(nT)$, a fit whose slope is ~ 30% greater than the value (0.0058) 218 reported in Hoppe and Russell (1982). This difference could be due to the combined ef-219 fect of small differences in the V_{SW}/V_r , θ_{kV} , θ_{kB} and ω/Ω_p values, associated with waves 220 present at Mercury's and Earth's foreshock. As shown in Figure 2, $\sigma(\theta_{kV})$ and $\sigma(\theta_{kB})$ 221 are small. Therefore, dispersion in the observed linear trend is mainly associated with 222 different values of V_{SW}/V_r . For instance, the solid orange lines show the predicted re-223 lationship between $|f_{SC}|$ and |B|, for $V_r/V_{SW} = 1$ and $V_r/V_{SW} = 2.5$, considering the 224 mean values for θ_{kB} and θ_{kV} (Figure 2) and a = 0.15 (e.g., Gary, 1978). Figure (3b) 225 displays $|f_{SC}|$ as a function of |B| (in logarithmic scale) including observations at other 226 planetary foreshocks (Hoppe and Russell, 1982). The bar corresponds to the estimated 227 wave frequency range for Mercury (Fairfield and Behannon, 1976). As can be seen, the 228 increasing trend between the observed $|f_{SC}|$ and |B| is observed throughout several so-229 lar system planetary foreshocks. 230

3.2 Implications for the Speed of Backstreaming Protons in the Fore shock of Mercury

Given Equation (2), we estimate the ratio between the particle velocity parallel to the magnetic field (in the SW reference frame) and the SW speed, V_r/V_{sw} , as follows:

$$\frac{V_r}{V_{SW}} = \frac{(1+a)\cos(\theta_{kV})}{\left[(\omega_{sc}/\Omega_p) - a\right]\cos(\theta_{kB})}$$
(3)

We consider $a \sim 0.15$, a value close to what was reported for ULF waves at the 235 Earth's foreshock (e.g., Mazelle et al., 2003), and also consistent with Gary (1978). Fig-236 ure (4a) shows the normalized histogram of V_r/V_{sw} for all the analyzed events. We find 237 that $\langle V_r/V_{sw} \rangle + \sigma(V_r/V_{sw}) = 1.66 \pm 0.25$, with V_r/V_{sw} ranging between 0.95 and 238 2.6, range that is very close to what was predicted for Mercury (1.2-2.2) (Hoppe and Rus-239 sell, 1982). Reported values of V_r/V_{sw} for Venus (1.7, 1.9), Earth (2.5±0.3), and Jupiter 240 (2.1, 2.3) are on the same order to what we find for Mercury. These results show that 241 the observed wave frequencies in these planetary foreshocks are consistent with resonance 242 with beams of protons of similar energy, with speeds ranging between ~ 1 and ~ 2.5 the 243 SW speed. 244

For easy comparison with several papers, Figure (4b) shows the histogram for $P_{gc} = V_{gc}/V_{sw}$, that is, the ratio between the guiding center velocity of a backstreaming particle in the foreshock region (in the spacecraft reference frame) and the SW speed. Meziane and D'Uston (1998) showed that:

$$P_{gc} = \sqrt{1 + (V_r/V_{sw})^2 - 2(V_r/V_{sw})\cos(\theta_{BX})}$$
(4)

where θ_{BX} is the angle that the X-axis makes with the IMF direction. We find that the 249 waves identified in the Hermean foreshock have $\langle P_{qc} \rangle + \sigma(P_{qc}) = 0.76 \pm 0.27$, as a 250 result of the relatively low IMF cone angle range observed around Mercury (e.g., James 251 et al., 2017). Studies on other planetary foreshocks reported larger values for $\langle P_{qc} \rangle$ 252 (e.g., Shan et al., 2018, and references therein). Indeed, Shan et al. (2018) and Andrés 253 et al. (2015) reported that $P_{gc} = 1.07$ (for $\theta_{BX} = 36^{\circ}$) and $P_{gc} = 1.05 \pm 0.01$ (for 254 $\theta_{BX} = 45^{\circ}$), when restricted to the ULF wave boundary in the Venusian and Earth's 255 foreshock, respectively. The finding by Andrés et al. (2015) is in approximate agreement 256 with results $(P_{gc} = 1.11 \pm 0.04)$ reported in Meziane and D'Uston (1998), and contrasts 257 with the value associated for the field aligned beam-gyrating boundary ($P_{gc} = 1.68 \pm$ 258 (0.08), derived in Meziane et al. (2004). This difference might be explained if the latter 259



Figure 4. Normalized number of wave events as a function of V_r/V_{sw} (Panel a) and P_{gc} (Panel b).

boundary is the same (or close) to the quasi-monochromatic ULF wave boundary. A com-260 parison between the reported P_{gc} values and the associated θ_{BX} for these planetary fore-261 shocks and the Hermean foreshock supports the idea that the V_r/V_{sw} range is similar 262 for these magnetospheric environments. It is also worth noticing that the mean values 263 and standard deviation of V_r/V_{sw} and P_{gc} do not vary strongly when a ranges between 264 0.05 and 0.15, in association with changes in plasma properties affecting the maximum 265 linear wave growth rate of the ion-ion right hand instability (Gary, 1993). Indeed, $\langle V_r/V_{sw} \rangle$ 266 $+\sigma(V_r/V_{sw}) = 1.79 \pm 0.31$ and $< P_{gc} > +\sigma(P_{gc}) = 0.88 \pm 0.33$ for a = 0.05 and 267 $< V_r/V_{sw} > +\sigma(V_r/V_{sw}) = 1.72 \pm 0.28$ and $< P_{gc} > +\sigma(P_{gc}) = 0.82 \pm 0.30$ for 268 a = 0.10.269

To our knowledge, the only study that has provided sufficient information to derive P_{gc} for the Hermean foreshock is Jarvinen et al. (2019). These authors performed a hybrid simulation of the interaction of Mercury with the SW under conditions proper of perihelion. If we assume that the field aligned beam that might give rise to the simulated waves of interest has approximately the same energy as the foreshock ions that coexist with the simulated quasi-monochromatic waves (see description of Figure 6), we

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conclude that this simulation suggests that $V_r/V_{sw} \sim 1.27$ and $P_{gc} \sim 0.43$. Both esti-276 mations are within the computed ranges shown in Figure (4a) and (4b). Note that while 277 this simulation considers a specific set of conditions, Figure 4 is associated with wave events 278 observed along all Mercury's eccentric orbit around the Sun. Indeed, the energy gained 279 by the backstreaming protons is partly controlled by the size of the bow shock and the 280 tangential convective electric field, among other factors that vary with the heliocentric 281 distance (e.g., Meziane et al., 2017). A detailed analysis on the possible acceleration mech-282 anisms of the backstreaming protons in the Hermean foreshock is beyond the scope of 283 this article. 284

Moreover, if nonlinear wave-particle trapping takes place in the Hermean foreshock, 285 we could expect to observed gyrophase bunched distribution functions. By applying the 286 theoretical framework considered in (Mazelle et al., 2000), (Mazelle et al., 2003) and Ro-287 manelli, Mazelle & Meziane (2018), we find that quasi-monochromatic waves with $\delta B/|\mathbf{B}_0| \sim$ 288 0.2 that might arise by field-aligned beams will tend to trap particles with the same en-289 ergy (in the wave rest frame) around a pitch angle of $\sim 40^{\circ}$. A future analysis of ve-290 locity distribution functions provided by MESSENGER Fast Imaging Plasma Spectrom-291 eter and by the upcoming Bepi-Colombo mission (Benkhoff et al., 2010) should be per-292 formed to test this prediction. 293

Finally, we compute the ratio between the number of time intervals with waves and 294 the number of intervals when MESSENGER is connected to the shock. We determine 295 that the occurrence rate of the lowest frequency waves is approximately 0.5%. This num-296 ber varies depending upon the wave selection criteria. However, if we consider a less re-297 strictive criteria based only on PSD properties (e.g., r = 2), this ratio is ~ 1.5%, still 298 very low. This low occurrence rate value is in agreement with initial observations by Le 299 et al. (2013) and could be due to several factors: relatively low backstreaming ion fluxes 300 due to the low SW Alfvénic Mach numbers around Mercury; the small size of the Her-301 mean foreshock where the waves can grow once the instability occurs; and/or the short 302 timescales over which the external conditions may vary, that could disturb the growing 303 phase of the waves. As reported in Le et al. (2013), MESSENGER has not detected these 304 waves in the steepening waveform, often observed in the terrestrial foreshock. The lack 305 or potentially lower wave occurrence rate in such compressive stage is consistent with 306 the small wave amplitude and propagation angles shown in Figure 2 and the lack of steep-307

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³⁰⁸ ened waveforms and shocklets in the upstream region of low Mach number interplane-

tary shocks (Blanco-Cano et al., 2013; Blanco-Cano et al., 2016).

310 4 Conclusions

We performed the first statistical analysis of the main properties of ultra-low frequency waves in Mercury's foreshock, making use of high-time resolution MESSENGER magnetic field measurements. We find that waves with a power spectral density peak in the 0.05-0.41 Hz range are close to be circularly polarized, they propagate quasi-parallel to the background magnetic field (~ 10°), quasi antiparallel to the solar wind velocity (~ 165°) and have relatively low normalized wave amplitude ($\delta B/|\mathbf{B_0}| \sim 0.2$).

These waves have similar properties to the '30 second waves' observed in the Earth's 317 foreshock, previously associated with fast magnetosonic waves generated by backstream-318 ing protons. In sharp contrast with the terrestrial foreshock, the normalized wave am-319 plitude and the occurrence rate of these waves ($\sim 0.5\%$) seems relatively low in the Her-320 mean foreshock, suggesting significant lower backstreaming protons fluxes likely due to 321 the relatively low solar wind Alfvénic Mach number. These differences could also be re-322 lated to the smaller foreshock size and/or more variable solar wind conditions. An anal-323 vsis of MESSENGER MAG observations focused on the conditions that favor the pres-324 ence of these waves will be performed in a future study to elucidate what is the main 325 constraining factor. 326

Finally, we estimate that the velocity of resonant backstreaming protons parallel 327 to the magnetic field in the solar wind reference frame (normalized with the solar wind 328 speed) ranges between 0.95 - 2.6. These results are consistent with particles being ac-329 celerated at the Hermean bow shock up to energies on the same order of other solar sys-330 tem planetary bow shocks, even under the low solar wind Alfvénic Mach regime around 331 Mercury. As reported in Hoppe and Russell (1982), the apparent generality of this phe-332 nomena in the solar system suggests that similar acceleration mechanisms might take 333 place in the bow shocks of exoplanets, and might provide a source of cosmic rays. 334

335 Acknowledgments

N.R. is an Assistant Research Scientist at NASA Goddard Space Flight Center, and University of Maryland Baltimore County hired through a cooperative agreement with Cen-

- ter for Research and Exploration in Space Sciences & Technology II. Support for this 338
- research was provided by NASA's Planetary Science Division Research Program. DJG 339
- and GAD were supported by the NASA ROSES Discovery Data Analysis program un-340
- der grant NNX16AJ05G. MESSENGER Magnetometer data used in this study are pub-341
- licly available through the Planetary Data System (https://pds-ppi.igpp.ucla.edu/index.jsp). 342

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