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2	Formation and Topology of Foreshock Bubbles
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ABSTRACT

50 We use global and local hybrid (kinetic ions, fluid electrons) simulations to investigate 51 the conditions under which foreshock bubbles (FBs) form and how their topology 52 changes with solar wind conditions. Foreshock bubbles form as a result of the interaction 53 between solar wind discontinuities and backstreaming ion beams in the foreshock. They 54 consist of an outer shock and its associated sheath plasma and a low density high 55 temperature core with low magnetic field strength. The structure of FBs is determined by 56 the angle between the interplanetary magnetic field (IMF) and the normal to the solar 57 wind discontinuity. We show that interaction of rotational discontinuities (RDs) with the 58 foreshock during small angles between the IMF and discontinuity normal results in the 59 formation of a nearly spherical bubble with a radius that scales with the width of the 60 foreshock. As this angle increases FBs become more elongated and eventually become 61 nearly planar structures with dimensions that scale with the length of the foreshock. 62 Despite this transformation, the signatures of FBs in spacecraft time series data remain the same in agreement with the observations. Global simulation results show that FBs 63 64 form when the solar wind flow speed corresponds to high or intermediate Alfvén Mach 65 numbers ($\sim>7$ M_A). In general, this is tied to the relative speed between the solar wind 66 and ion beams and drop in density of the backstreaming ions.

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1. INTRODUCTION

71 72

73 Collisionless ion dissipation processes at the bow shock lead to the formation of the 74 foreshock, a region upstream of the quasi-parallel bow shock populated with 75 backstreaming ion beams, ULF waves and nonlinear structures which have been the 76 topics of extensive studies at Earth [e.g. Asbridge et al., 1968; Greenstadt et al., 1968; 77 1980; Gosling et al., 1978; Paschmann et al., 1979; Bonifazi, Egidi, et al., 1980; 78 Bonifazi, Moreno, et al., 1980; Hoppe et al., 1981; Russell and Hoppe 1983; Le and 79 Russell, 1992; Omidi 2007; Blanco-Cano et al., 2009, 2011; Kajdic et al. 2010, 2011, 80 2013; Sibeck et al., 2008; Omidi et al., 2009; Omidi, Sibeck, et al., 2013; Omidi, Zhang, 81 et al., 2013; 2014; Rojas-Castillo et al., 2013; Zhang et al., 2013].

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83 Using global and local electromagnetic hybrid (kinetic ions, fluid electrons) 84 simulations, Omidi et al., [2010] showed that the interaction between rotational 85 discontinuities (RDs) embedded in the solar wind and the backstreaming ion beams in the foreshock results in the formation of nonlinear structures named foreshock bubbles (FB). 86 87 The interaction initially results in the deflection and deceleration of the solar wind and 88 the reflection of a fraction of the ion beam, followed by the launch of a sunward 89 propagating fast magnetosonic shock. Downstream of this shock is a decelerated and 90 heated sheath plasma which surrounds an inner core exhibiting hot and tenuous 91 populations of ions and electrons. The core magnetic field strength is typically depressed 92 with ULF waves superposed. Although the shock wave associated with the foreshock 93 bubble propagates sunward in the solar wind frame, the structure as a whole is carried by 94 the solar wind in the anti-sunward direction. As a result, under condition of small and 95 intermediate IMF cone angles prior to the arrival of the RD, the resultant FBs are carried 96 towards the bow shock and ultimately collide with it and the dayside magnetosphere 97 resulting in global magnetospheric impacts. In addition, the motion of the foreshock 98 bubble towards the bow shock constitutes a unique opportunity for particle acceleration 99 through Fermi processes making them an efficient means of particle energization.

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101 Observational confirmation for the existence of foreshock bubbles was first provided by 102 Turner et al. [2013] who used multi-spacecraft THEMIS observations to demonstrate the 103 formation and the evolution of foreshock bubbles. They established the criteria necessary 104 for distinguishing between FBs and hot flow anomalies (HFAs) that form as a result of 105 the interaction between solar wind discontinuities and the bow shock [e.g. Schwartz, 106 1995; Schwartz et al., 1988, 2000; Thomsen et al., 1986, 1988, 1993; Paschmann et al., 107 1988; Burgess, 1989; Thomas et al., 1991; Sibeck et al., 1998, 1999, 2000; Lin, 1997, 108 2002; Lucek et al., 2004; Omidi and Sibeck, 2007; Facsko et al., 2008; Eastwood et al., 109 2008; Jacobsen et al., 2009; Zhang et al. 2010]. Although FBs and HFAs have distinctly 110 different sizes and structures, their signatures in spacecraft time series data have many 111 similarities requiring proper attention to distinguish between the two. *Turner et al.* [2013] demonstrated that the size of foreshock bubbles at Earth is ~10 R_E , confirming the 112 113 predictions by Omidi et al. [2010]. In contrast, HFAs are smaller at about 1-2 R_E. 114 Observations confirm that the core region of foreshock bubbles contains high energy 115 particles.

117 Subsequent investigations of foreshock bubbles have revealed additional information 118 regarding their properties, formation and impacts on the magnetosphere. For example, 119 Hartinger et al. [2013] showed the excitation of Pc5 ULF waves in the magnetosphere in 120 response to foreshock bubbles colliding with the bow shock and impacting 121 magnetosheath plasma and the magnetopause. Similarly, using data from spacecraft 122 located in the foreshock and magnetosphere and ground based observations, Archer et al. 123 [2015] demonstrated that foreshock bubbles have a global impact on the magnetosphere-124 ionosphere system as suggested in *Omidi et al. [2010]*. They also showed that amongst 125 the various foreshock transients, FBs have the largest impact on the magnetosphere and 126 ionosphere. Omidi et al. [2010] demonstrated the formation of foreshock bubbles by 127 rotational discontinuities in the solar wind. Subsequently, Liu et al. [2015] showed the 128 formation of FBs by tangential discontinuities (TDs) using THEMIS data. They showed 129 that this occurs due to the finite size of the superthermal upstream ion gyrodadii that can 130 penetrate through the thin discontinuity boundary. While the finite size of the solar wind 131 discontinuity makes its nature (TD versus RD) less important for FB generation, the fact 132 that TDs (with no normal component of magnetic field) can generate FBs suggests that 133 there is a wide range of normals that can result in FBs, a situation that has not been 134 previously explored, and requires further study by observations and simulations. Liu, 135 *Hietela, et al. [2016]* used multipoint observations of foreshock bubbles to examine their 136 structure and evolution and show how they compare to hot flow anomalies. Using the 137 properties of 6 observed FBs, they showed their sizes to vary between 2 and 15 R_E. Liu, 138 *Turner, et al.* [2016] showed that in agreement with the results of hybrid simulations, the 139 quasi-parallel portion of the shock wave associated with the FBs is responsible for the

140 formation of a new foreshock upstream of it. As the FB collides with the bow shock, the 141 old bow shock dissipates and is replaced with the FB shock wave and the associated 142 foreshock. Liu, Angelopoulos, et al. [2017]; Liu, Lu, et al. [2017]; Liu, Lu, et al. [2018] 143 have examined ion and electron acceleration by foreshock bubbles further establishing 144 them as efficient particle accelerators via Fermi processes. Acceleration of electrons to 145 relativistic energies by foreshock bubbles has been investigated by Wilson et al. [2016] 146 providing further evidence for their significant role in particle energization. Turner et al. 147 [2020] analyzed MMS observations of foreshock bubbles and reported observations of 148 deep localized magnetic holes within the FB core region. Sun et al. [2020] compared the 149 properties of FBs observed by MMS spacecraft with those predicted by hybrid 150 simulations. Finally, *Omidi et al. [2020]* used 3-D hybrid simulations and Venus Express 151 data to demonstrate that despite the smaller size of the Venusian foreshock, FBs can also 152 form at Venus resulting in major ionospheric impacts including its sunward expansion 153 and escape of ionospheric O^+ ions.

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In this paper, we use 2.5-D global and local hybrid simulations to improve our understanding of formation and topology of foreshock bubbles. Specifically, in Section 2 we examine the generation and topological variations of foreshock bubbles expected as a function of the angle between the interplanetary magnetic field (IMF) and the discontinuity normal. Section 3 examines the Mach number dependency of foreshock bubbles and how their formation depends on ion beam speed and density. Section 4 provides summary and conclusions.

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165 The parameter which has the biggest influence on the topology and structure of 166 foreshock bubbles is the angle between the IMF and the discontinuity normal vector (**n**). 167 Here we use simple diagrams in Figure 1 to illustrate this point. Panel 1a presents the 168 configuration for radial IMF where the cone angle between the IMF and flow velocity is 169 0° , the discontinuity normal is along the IMF, and the discontinuity is an RD. This 170 geometry is similar to that considered by *Omidi et al.* [2010] who showed that a nearly 171 spherical bubble formed with a size that scales with the width of the foreshock. Panel 1b 172 also presents a situation where (**n**) is again parallel to the IMF but the cone angle is 90° 173 and the foreshock lies on the flanks (or high latitudes) of the bow shock. As in Panel 1a, 174 this configuration also results in the formation of a nearly spherical foreshock bubble 175 with a size that scales with the width of the foreshock. Panels 1c and 1d correspond to a 176 configuration where the IMF and (\mathbf{n}) are perpendicular (here the discontinuity is a TD) 177 with 1c representing a radial IMF and 1d a cone angle of 90° . This geometry is similar to 178 that discussed by Liu et al. [2015]. In this limit-case, the TD encounters the foreshock 179 along the full length of it as opposed to its width. As such, the size of the FB scales with 180 the length of the foreshock as opposed to its width. This also implies that the FB cannot 181 be spherical but as the angle between (n) and IMF progressively increases it must instead 182 assume a more planar structure.

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Figure 1 depicts limit-cases to illustrate why we expect the topology of foreshock bubbles to change with the angle between IMF and (**n**). In the remainder of this section

186 we use the results of 2.5-D global hybrid simulations to investigate how the structure of 187 FBs changes with this angle. We note that 3-D global hybrid simulations of foreshock 188 bubbles result in structures very similar to those obtained from 2.5-D runs Omidi et al. 189 [2020]. The simulation model used for the global runs is similar to that in *Omidi et al.* 190 [2009] where a plasma reflecting obstacle is used to generate the bow shock and the 191 associated foreshock. Simulations are performed in the X-Y plane with the solar wind 192 injected continuously from the X = 0 boundary and flow speed that in general may be in 193 the X-Y plane. A number of different box sizes are used to accommodate changes in FB 194 topology with solar wind conditions. Also, the proton skin depth (c/ω_p) is used to specify 195 the size of the simulation box where c is the speed of light and ω_p is the proton plasma 196 frequency. As noted below, different solar wind flow speeds are used in the study. 197 However, in all cases electron and ion betas (ratio of thermal to magnetic pressure) are 198 set to 0.5 and 1 respectively.

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200 Figure 2 shows results from a global hybrid run with IMF and flow speed along the X 201 direction and solar wind Alfvén Mach $M_A = 15$. Panel 2a shows density normalized to 202 solar wind value and magnetic field lines; Panel 2b shows total magnetic field strength 203 normalized to IMF strength and the position of the RD responsible for FB formation; 2c 204 shows ion temperature normalized to solar wind value and Panel 2d corresponds to flow 205 speed normalized to upstream Alfvén speed (V_A). We note that the presence of the RD results in a change in the cone angle to 26° by introducing a Z component of the magnetic 206 207 field behind the discontinuity which implies an increase in total magnetic field strength. 208 While MHD Rankine-Hugoniot conditions imply no change in magnetic field strength

209 across an RD, in kinetic plasmas this condition is not typically satisfied, e.g. the 210 magnetopause during southward IMF. Figure 2a identifies the foreshock bubble and the 211 associated shock, sheath and the core formed in this run as well as the new foreshock 212 formed upstream of the FB. Figure 2b shows the magnetic signatures of the FB which 213 due to the fast magnetosonic nature of the shock correlates with density at the shock and 214 in the sheath. The core region is associated with a turbulent magnetic field strength due to 215 ULF waves generated in the foreshock. Figure 2c shows elevated temperatures in the 216 foreshock due to the presence of backstreaming ion beams, however, the core region of 217 the FB is associated with higher temperatures (more energetic ions). Figure 2d shows 218 lowering of the flow speed in the foreshock and deceleration within the core of the 219 foreshock bubble. Figures 2a, 2b also show the enhancement in density and magnetic 220 field associated with the foreshock compressional boundary (FCB) [Sibeck et al., 2008; 221 Omidi et al., 2009; Omidi, Sibeck et al., 2013; Rojas-Castillo et al., 2013]. It is evident in 222 Figure 2 that the size of the foreshock bubble transverse to the Sun-Earth line is 223 comparable to the width of the foreshock.

Figure 3 is similar to Figure 2 except that it corresponds to a run with IMF cone angle of 15° prior to the arrival of the RD in which the Y (tangential) component of the magnetic field reverses sign. This rotation is clear in Figure 3a which shows 3 magnetic field lines. The general features of the foreshock bubble in Figure 3 are similar to those in Figure 2, i.e. the presence of a shock, sheath and a core associated with reduced density, velocity and enhanced temperature. The presence of ULF waves and turbulence in the core is also similar to that observed in Figure 2. However, the size of the FB formed in

Figure 3 is larger in the Y direction by virtue of the fact that the width of the foreshock(in the Y direction) is larger as compared to the radial IMF case.

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Figure 4 shows results from a run with IMF cone angle of 30° before the arrival of the 235 236 RD which rotates the Y component of the magnetic field by 180°. As in the previous 237 runs, the general plasma and magnetic field properties of the resulting FB exhibits a 238 shock, sheath and a core with features similar to those seen in Figures 2 and 3. On the 239 other hand, the size of the FB in Figure 4 increases further in the Y direction due to the 240 even broader width of the foreshock and the extension of the quasi-parallel bow shock to 241 the flank (or higher latitudes) of the bow shock surface. Comparison of Figures 2, 3 and 4 242 shows clear evidence for the elongation of the foreshock bubble in the Y direction with 243 increasing IMF cone angle, which demonstrates its topological evolution.

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245 Further increases in the IMF cone angle and the movement of the ion foreshock towards 246 the flanks (or higher latitudes) result in a further elongation of the FB in the Y direction 247 and a topology approaching a planar structure. Figure 5 presents results for the cone angle of 60° with (**n**) along the X direction as in the previous runs. It shows the formation 248 249 of a planar FB that extends from the bow shock all the way to the Y = 0 boundary of the 250 simulation box. In reality, such FBs may extend 10s of R_E perpendicular to the flank 251 given that the quasi-parallel bow shock and the associated foreshock may extend more 252 than 100 R_E down the tail. The strength of the FB decreases with distance from the bow 253 shock. Despite the large IMF cone angle the FB continues to exhibit a structure 254 consisting of a shock, sheath and a core, albeit now much more planar in nature. As such, 255 the passage of this planar FB over a spacecraft produces a similar time series data. This is 256 demonstrated in panels e-h in Figure 5 that show the ion temperature, flow velocity in X, 257 density and magnetic field strength as a function of time as observed by the simulated spacecraft marked by "S" in Figure 5b. The resulting time series data shows the classic 258 259 features of an FB with the spacecraft first encountering the core region, followed by the 260 sheath plasma and the shock wave. An interesting aspect of planar FBs is that their shock 261 and the bow shock combine into in a single deformed bow shock similar to what happens 262 at the Titan-Saturn system during periods of high solar wind pressure [Omidi et al., 263 2017]. Under these conditions, ions escaping the quasi-parallel bow shock can interact 264 with the quasi-perpendicular portions of the bow shock resulting in further acceleration 265 through shock drift processes. Figure 6a shows the ion temperature from the run with cone angle of 60° zoomed near the FB and the quasi-perpendicular portion of the bow 266 267 shock. Also shown are two magnetic field lines serving to locate the RD and demonstrate 268 the magnetic connection from the FB shock wave to the bow shock. It is evident from 269 Figure 6a that some ions can escape the FB bow shock and follow the magnetic field to 270 regions close to the quasi-perpendicular bow shock despite the FB's shock normal angle 271 being 60°.

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Figures 6b and 6c show the density and temperature from a run with an IMF cone angle of 89° and $M_A = 15$. A number of magnetic field lines are also shown in both panels which serve to locate the position of the RD. Again, the Y component of the magnetic field (in this case the predominant component) rotates by 180° . It is evident from these panels that no foreshock bubble has formed in this run. The reason is evident in Figure 6c 278 which shows that the backstreaming ion beams originating at the quasi-parallel shock are 279 accelerated tailwards (+X direction) by the motional electric field in the solar wind as 280 they move in the –Y direction. This implies that the relative speed between the solar wind 281 discontinuity and ion beams is reduced; as demonstrated in the next section this has a 282 major effect on FB formation. The results in Figure 6b illustrate that as the IMF cone 283 angle increases and the motional electric field pushes the backstreaming ion beams 284 tailward the processes of FB formation comes to a halt. It implies that while RDs near the 285 limit of TDs (i.e. a small normal component of magnetic field) can still result in the 286 formation of foreshock bubbles, TDs themselves may or may not lead to the generation 287 of FBs depending on the solar wind conditions.

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289 The planar foreshock bubble in Figure 5 corresponds to a configuration similar to that 290 depicted in Figure 1d, although it does not correspond to the TD limit. In the remainder 291 of this section we show results from a run that is similar to the configuration in Figure 1c, 292 but includes an RD instead of a TD. Figure 7 shows the density from this run at 4 different times corresponding to 75 to 175 Ω^{-1} where Ω is the proton gyro-frequency. 293 294 Also shown in red are magnetic field lines and in white dashed lines showing the RD 295 which has a normal (**n**) along the Y direction. Figure 7a shows the solar wind flow vector (V_{SW}) which has a component of 15 V_A along the X direction and 2 V_A along the Y 296 297 direction so that the RD moves in the +Y direction. Figure 7a corresponds to a time when 298 the RD has just encountered the foreshock. By the time corresponding to Figure 7b, the 299 RD has moved further into the foreshock and as a result a planar FB has formed 300 extending from the bow shock sunward into the foreshock. Figure 7c depicts a time when 301 the RD intersection with the bow shock results in the formation of a hot flow anomaly 302 which does not extend as far away from the bow shock as the FB. As the RD continues to 303 traverse the foreshock it eventually reaches locations that fall outside of the preexisting 304 foreshock and as a result the FB dissipates. Figure 7d corresponds to such a time when 305 the RD is nearly out of the foreshock and the foreshock bubble is no longer present. In 306 contrast, the HFA continues to exist at the intersection of the RD with the bow shock due 307 to the interaction of the reflected ions with the RD there. When the RD passes beyond the 308 bow shock, the HFA will also dissipate. The interpretation that both a foreshock bubble 309 and an HFA are formed in this run is consistent with the fact that the FB forms prior to 310 the formation of the HFA, they co-exist for some time and then the FB dissipates while 311 the HFA is still active. Similarly, although not shown here both the FB and the HFA in 312 Figure 7 exhibit all the expected plasma and magnetic signatures and the distinctions 313 discussed by Turner et al. [2013].

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3. MACH NUMBER DEPENDENCE OF FORESHOCK BUBBLES

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In this section, we use global and local hybrid simulations to investigate the dependence of foreshock bubble formation on solar wind speed and the density of the backstreaming ions. Figure 8a, b, c show the density normalized to solar wind value from three runs with the IMF and discontinuity normal (**n**) along the X direction and solar wind Alfvén Mach numbers of 15, 11 and 7 respectively. It is evident from this figure that the density jump associated with the FB shock and sheath diminish with decreasing Mach number indicating the weakening of the FB. This suggests that foreshock bubbles only form at intermediate and high solar wind Mach numbers. To further substantiate this conclusion, Figure 9 shows the density from three runs with RD normal along X and IMF cone angle of 60° with panels a, b and c corresponding to solar wind Mach numbers of 15, 11 and 7 respectively. As in the case of spherical FBs, Figure 9 illustrates that the density perturbations associated with the planar FBs also diminish with decreasing Mach. Note that in the case of Figure 9c, the foreshock bubble is not strong enough to extend all the way to the Y = 0 boundary of the simulation box.

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332 The weakening of the foreshock bubbles with reduced solar wind Mach number could 333 be due to two factors: First there is a reduction in the relative velocity between the solar 334 wind and backstreaming ion beams in the foreshock. Second, there is a decrease in the 335 densities of the backstreaming ion beams as a result of the reduced shock strength. In 336 order to better understand the role of each of these factors, we use local hybrid 337 simulations first employed by Omidi et al. [2010] to generate foreshock bubbles. In this 338 approach the bow shock is replaced by a finite width (in Y direction) ion beam injected 339 continuously from the right hand boundary of the simulation box with a beam velocity in 340 the -X (sunward) direction, while the solar wind and the embedded RD is injected from 341 the left hand boundary as usual with a velocity along the X direction. The advantage of 342 this approach lies with the fact that we have direct control over the density and velocity 343 of the backstreaming ion beams independent of solar wind conditions allowing us to 344 better understand the role of each parameter in FB formation. In all the local hybrid runs 345 discussed below we assume both the IMF and the RD normal are in the X direction.

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347 Figure 10 shows the density normalized to its upstream value from four local hybrid 348 runs. In all four runs, the density of the backstreaming ion beams is set at 5% of the solar 349 wind density. The velocity of the backstreaming ion beams is set to that of the solar wind 350 which corresponds to $M_A = 11, 9, 8$ and 7 in Figures 10a, b, c, d respectively. Also shown 351 in each panel is the simulation time which increases with decreasing Mach number. It is 352 evident in Figure 10 that despite the beam density being the same in all four runs, the 353 strength of the resulting FBs decreases with decreasing Mach number. Accordingly, the 354 observed reduction in the strength of the FBs in global simulations with Mach number is 355 directly tied to the decrease in the relative speed between the solar wind and the backstreaming ions. 356

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358 While the relative speed between the solar wind and the backstreaming ions plays a 359 critical role in the formation of foreshock bubbles, the density of these ions also plays a 360 critical role as demonstrated below. Figure 11 shows the density from four runs in which 361 the solar wind and ion beam velocity is 11 V_A in +X and -X respectively while the beam 362 density corresponds to 5% of solar wind in Figure 11a, 2.5% in 11b, 1% in 11c and 0.5% 363 in 11d. It is evident that when the beam density is 1% of solar wind or more a foreshock 364 bubble forms, while for density of 0.5% no FB forms although density structures 365 associated with the generation of ULF waves are evident in panel 11d. In the following, 366 we further discuss the role of ion beam density in the formation of foreshock bubbles.

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To examine the interaction between the ion beam and RD in some detail, we compare results from the two runs corresponding to beam densities of 0.5% and 5% in Figure 11. 370 Specifically, we examine the evolution of the RD using the Z component of the magnetic 371 field (B_Z) and the backstreaming ions using temperature. Panel a in Figure 12 shows the 372 profile of B_Z as a function of X (at Y = 150) and the total temperature as a function of X and Y at time 5.12 Ω^{-1} for the run with beam density of 0.5%. The RD is identified by the 373 374 red dashed line with $B_Z = 0$ to the right of it and $B_Z = 0.5$ (normalized to IMF strength) to 375 the left. Ion temperature allows us to identify the ion beam and examine its evolution 376 despite its low density because its presence elevates the second moment of the 377 distribution function and temperature. Note that for the sake of clarity, the limits on the 378 temperature color bar are not the true maximum values. Figure 12a corresponds to the 379 time of initial interaction between the ion beam and the RD with little change in either. 380 Figure 12b corresponds to a later time when the RD has moved further to the right and 381 the ion beam to the left. The overall structure of the RD remains unchanged. Figure 13c depicts the system at time 25 Ω^{-1} which shows large amplitude oscillations associated 382 383 with the excitation of ULF waves to the left of the RD. These waves are generated by the 384 interaction of the backstreaming ions and the solar wind with their wave vector pointing 385 in the -X (sunward) direction, however, they are carried in the +X direction by the solar 386 wind. This can be seen in Figure 13d which shows further evolution of the waves. It is 387 also evident that the RD remains relatively unchanged and that the ion beam goes through 388 the discontinuity without significant interaction.

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Figure 13 is similar to Figure 12 except that it corresponds to the run with beam density of 5%. One difference between the two figures is that due to the larger density of the ion beam, ULF waves are excited earlier and they can be seen both upstream and 393 downstream of the RD. For our purposes, a more dramatic difference is the nature of the 394 interaction between the RD and the ion beam. For example, a comparison between 395 Figures 13b and 13c shows a marked increase in the amplitude of the RD and steepening 396 of the discontinuity front. Figure 13d shows further growth and steepening of the RD 397 which results in the reflection of a fraction of the ion beam and the formation of the 398 foreshock bubble. The results demonstrate that the formation of foreshock bubbles is tied 399 to a nonlinear coupling between the RD and the backstreaming ion beam which results in 400 amplification and steepening of the RD and reflection of a portion of the beam. This 401 interaction occurs for beam densities of $\sim>1\%$ of solar wind density.

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4. SUMMARY AND CONCLUSIONS

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405 We have used the results of global and local 2.5-D hybrid (kinetic ions, fluid electrons) 406 simulations to investigate and understand the formation and topology of foreshock 407 bubbles. In regards to topology, the most influential parameter is the angle between the 408 IMF and the discontinuity normal. By performing four global simulations with discontinuity normals along the X direction and IMF cone angles of 0° , 15° , 30° , 60° we 409 410 demonstrate the topological transformation of FBs from spherical to planar structures. A change in the direction of the IMF from cone angle of 0° to 15° results in an elongation of 411 412 the FB which occurs due to the broadening of the foreshock. Further increase to cone 413 angle of 30° leads to even more pronounced elongation of the FB as the quasi-parallel 414 shock and the foreshock move towards the flanks (or higher latitudes). With a cone angle 415 of 60° , a planar FB forms on the flanks or high latitudes which may extend 10s of R_E 416 away from the bow shock. An interesting aspect of this geometry is the possibility of 417 energetic ions escaping from the foreshock bubble and then interacting with the quasi-418 perpendicular bow shock, undergoing further acceleration through shock drift 419 acceleration.

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Global hybrid simulations at larger cone angles (89° in this study) show that the 421 422 interaction between the discontinuity and the foreshock does not result in the formation 423 of a foreshock bubble. The reason for this is the presence of the motional electric field in 424 the solar wind which results in the tailward acceleration of the ion beams originating at 425 the quasi-parallel bow shock as they move upstream. As a result, the relative speed 426 between the discontinuity and the ion beams is reduced which inhibits the formation of a 427 foreshock bubble. In general, as RDs approach the TD limit (i.e. a small normal 428 component of the magnetic field) the presence of the motional electric field in the solar 429 wind results in the reduction of the relative speed between the discontinuity and the ion 430 beams which limits but does not prohibit the formation of a FB.

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We also used a global hybrid simulation to demonstrate the formation of planar foreshock bubbles during periods of small IMF cone angle with discontinuity normals at large angles with respect to the IMF. A distinction between this case and that for planar FBs during large IMF cone angles is the width of the foreshock. During small cone angles the width of the foreshock is ~10 R_E vs. ~100 R_E during large IMF cone angles. As such, the distance traveled by the FB before dissipation is smaller during small IMF cone angles. The results also showed the formation of a hot flow anomaly due to the intersection of the RD with the bow shock demonstrating that one discontinuity can resultin the formation of both a foreshock bubble and a HFA. This was also demonstrated in 3-

441 D hybrid simulation results reported by *Omidi et al.* [2020].

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443 Using results from global hybrid simulations, we showed that both spherical and planar 444 foreshock bubbles become weaker as the solar wind Mach number decreases. FBs are expected to form for intermediate and high Alfvén Mach numbers $M_A \sim> 7$. This is in 445 agreement with the observations reported by Turner et al. [2020] and Lee et al. [2020]. 446 447 To further understand the role of the relative speed between the solar wind and the ion 448 beam as well as the density of the backstreaming ions we performed local hybrid 449 simulations in which the bow shock is replaced with a beam of ions with finite width. 450 The results show that keeping the density of the ion beam at 5% of the solar wind density 451 and reducing the solar wind speed results in weakening of the foreshock bubble, 452 indicating the importance of the flow speed in FB formation. Using four runs with solar 453 wind flow speed of $M_A = 11$ and ion beam densities of 5%, 2.5%, 1% and 0.5% we 454 showed the weakening of the FBs with reduced beam densities such that no FB is formed 455 when beam density of 0.5% is used. Comparing the properties of the RDs and ion beams 456 in the runs with beam densities of 5% and 0.5% shows considerable differences between 457 the two. Specifically, with beam density of 0.5% the RD is found to remain unchanged as 458 the ion beam passes through it without any reflection. When beam density of 5% is used 459 the RD is found to grow in amplitude and steepen as it encounters the beam. This steepening also results in the reflection of a fraction of the ion beam. The results 460 461 demonstrate that the formation of foreshock bubbles is tied to a nonlinear interaction

462	between the RD and the ion beam. The role of FB topology on ion acceleration processes
463	is an important question currently under investigation and will be discussed in a future
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691	FIGURE CAPTIONS
692 693 694 695 696	Figure 1a-d depicts 4 configurations for FB formation and topology based on the directions of the IMF and the discontinuity normal vector (\mathbf{n}) . When the IMF and \mathbf{n} are parallel we expect spherical foreshock bubbles to form. When IMF and \mathbf{n} are perpendicular we expect planar foreshock bubbles to form.
698 699 700 701 702 703	Figure 2a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with radial IMF and solar wind Alfvén Mach number of 15. Panel (a) shows the shock wave, sheath and core regions of the spherical FB that forms in the run.
704 705 706 707 708 709	Figure 3a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 15° and solar wind Alfvén Mach number of 15. It is evident that compared to Figure 2, the FB in this figure is more elongated in the Y direction.
710 711 712 713 714 715	Figure 4a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 30° and solar wind Alfvén Mach number of 15. It is evident that compared to Figures 1 and 2, the FB in this figure is more elongated in the Y direction.
713 716 717 718 719 720 721 722	Figure 5a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 60° and solar wind Alfvén Mach number of 15. Formation of a planar FB is evident. Panels (e)-(h) show the time series data for ion temperature, flow velocity in X, density and magnetic field strength measure by the simulated spacecraft marked as "S" in panel (b).
723 724 725 726 727 728 729 730 731	Figure 6a shows the ion temperature zoomed near the bow shock and the FB for the run with IMF cone angle of 60° and solar wind Alfvén Mach number of 15. Also shown are a couple of magnetic field lines. The presence of backstreaming ions originating from the FB shock and reaching the quasi-perpendicular bow shock is evident. Panels 6b-c show the density and ion temperature from a global hybrid simulation run with IMF cone angle of 89° and solar wind Alfvén Mach number of 15. No foreshock bubble is formed in this case.
732 733 734 735 736	Figure 7a-d show the density at 4 different times during a nearly radial IMF run with RD normal (n) along the Y direction. Interaction of the RD represented by dashed line with the foreshock results in the formation of a planar FB while the interaction with the bow shock results in the formation of an HFA.

- Figure 8 a-c show the density from 3 runs with radial IMF and solar wind Alfvén Mach numbers of 15, 11 and 7 respectively demonstrating the weakening of the FB with
- 739 decreasing Mach number.
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Figure 9 a-c show the density from 3 runs with IMF cone angle of 60° and solar wind
Alfvén Mach numbers of 15, 11 and 7 respectively demonstrating the weakening of the
planar FB with decreasing Mach number.

- Figure 10 a-d show the density from 4 local runs with solar wind Alfvén Mach numbers
 of 11, 9, 8 and 7 respectively demonstrating the weakening of the FB with decreasing
 Mach number.
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Figure 11 a-d show the density from 4 local runs with solar wind Alfvén Mach numbers
of 11 and beam densities of 5%, 2.5%, 1% and 0.5% respectively demonstrating the
weakening of the FB with decreasing ion beam density.

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Figure 12 a-d show the Z component of the magnetic field and total ion temperature at 4 times during a local run with ion beam density of 0.5% of solar wind where no FB is formed. Note that the RD remains relatively unchanged in time and no ions are found to reflect from the RD.

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Figure 13 a-d show the Z component of the magnetic field and total ion temperature at 4 times during a local run with ion beam density of 5% of solar wind where an FB is formed. Note that the RD grows and steepens due to interaction with the ion beam and results in the reflection of a portion of these ions.

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Figure 1a-d depicts 4 configurations for FB formation and topology based on the directions of the IMF and the discontinuity normal vector (**n**). When the IMF and **n** are parallel we expect spherical foreshock bubbles to form. When IMF and **n** are perpendicular we expect planar foreshock bubbles to form.



Figure 2a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with radial IMF and solar wind Alfvén Mach number of 15. Panel (a) shows the shock wave, sheath and core regions of the spherical FB that forms in the run.



Figure 3a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 15° and solar wind Alfvén Mach number of 15. It is evident that compared to Figure 2, the FB in this figure is more elongated in the Y direction.



Figure 4a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 30° and solar wind Alfvén Mach number of 15. It is evident that compared to Figures 1 and 2, the FB in this figure is more elongated in the Y direction.





Figure 5a-d show the density, magnetic field strength, ion temperature and ion velocity in X direction respectively from a global hybrid simulation run with IMF cone angle of 60° and solar wind Alfvén Mach number of 15. Formation of a planar FB is evident. Panels (e)-(h) show the time series data for ion temperature, flow velocity in X, density and magnetic field strength measure by the simulated spacecraft marked as "S" in panel (b).



Figure 6a shows the ion temperature zoomed near the bow shock and the FB for the run with IMF cone angle of 60° and solar wind Alfvén Mach number of 15. Also shown are a couple of magnetic field lines. The presence of backstreaming ions originating from the FB shock and reaching the quasi-perpendicular bow shock is evident. Panels 6b-c show the density and ion temperature from a global hybrid simulation run with IMF cone angle of 89° and solar wind Alfvén Mach number of 15. No foreshock bubble is formed in this case.



Figure 7a-d show the density at 4 different times during a nearly radial IMF run with RD
normal (n) along the Y direction. Interaction of the RD represented by dashed line with
the foreshock results in the formation of a planar FB while the interaction with the bow
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Figure 8 a-c show the density from 3 runs with radial IMF and solar wind Alfvén Mach
numbers of 15, 11 and 7 respectively demonstrating the weakening of the FB with
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Figure 9 a-c show the density from 3 runs with IMF cone angle of 60° and solar wind
Alfvén Mach numbers of 15, 11 and 7 respectively demonstrating the weakening of the
planar FB with decreasing Mach number.



Figure 10 a-d show the density from 4 local runs with solar wind Alfvén Mach numbers
of 11, 9, 8 and 7 respectively demonstrating the weakening of the FB with decreasing
Mach number

Number Density (c) Nbeam = 0.01 Nsw (a) Nbeam = 0.05 Nsw RD RD $t = 25 \Omega^{1}$ $t = 38 \Omega^3$ Y (c/ ω_p) N/Nsw 4.0 3.2 2.4 (b) Nbeam = 0.025 Nsw (d) Nbeam = 0.005 Nsw RD RD $t = 44 \Omega$ $t = 25 \Omega^{1}$ 1.6 Υ (c/ω_p) .80 .00 X (c/ω_p) X (c/ω_p)

Figure 11 a-d show the density from 4 local runs with solar wind Alfvén Mach numbers
of 11 and beam densities of 5%, 2.5%, 1% and 0.5% respectively demonstrating the
weakening of the FB with decreasing ion beam density.



Figure 12 a-d show the Z component of the magnetic field and total ion temperature at 4 times during a local run with ion beam density of 0.5% of solar wind where no FB is formed. Note that the RD remains relatively unchanged in time and no ions are found to reflect from the RD.



Figure 13 a-d show the Z component of the magnetic field and total ion temperature at 4 times during a local run with ion beam density of 5% of solar wind where an FB is formed. Note that the RD grows and steepens due to interaction with the ion beam and results in the reflection of a portion of these ions.