# Microscale processes determining macroscale evolution of magnetic flux tubes along Earth's magnetopause

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# 25 Abstract

An important process affecting solar wind-Earth's magnetosphere coupling is non-steady 26 dayside magnetic reconnection, observationally evidenced by a flux-transfer-event (FTE) that 27 shows a bipolar variation of the magnetic field component normal to the magnetopause. FTEs 28 often consist of two interlinked flux tubes, but, local kinetic processes between the flux tubes are 29 30 not understood in the context of the FTE structuring, evolution, and impact. An FTE observed by MMS on 18 December 2017 consisted of two flux tubes of different topology. One includes field 31 lines with ends connected to the northern and southern hemispheres while the other includes field 32 lines with both ends connected to the magnetosheath. Reconnection occurring at the flux-tube 33 interface indicates how interacting flux tubes evolve into a flux rope with helical magnetic 34 topology that is either closed or open. This study demonstrates a new aspect of how micro-to-35 meso-scale dynamics occurring within FTEs determines their macroscale characteristics and 36 evolution. 37

## 38 Introduction

Solar wind-magnetosphere coupling often occurs in a localized and transient manner, 39 modifying the magnetosphere-ionosphere system. One of the most common and important 40 processes underlying such transient phenomena is non-steady dayside magnetic reconnection. 41 Contrary to continuous or quasi-steady reconnection, transient reconnection gives rise to a 42 localized structure of enhanced magnetic flux. This structure forms and convects over the surface 43 of Earth's magnetosphere called the magnetopause, due to the combination of the anti-sunward 44 magnetosheath flow and tension force exerted on the reconnected flux tube<sup>1</sup>. The observational 45 evidence of such transient structures is a bipolar signature in the magnetic field component 46 normal to the magnetopause  $(B_N)$  associated with the drifting motion (see dashed blue arrows in 47 Fig. 1a). 48

Since Russell and Elphic<sup>2</sup> termed this signature a flux transfer event (FTE), numerous *in*-49 situ observations have determined their typical signatures. In addition to the  $B_N$  reversal, these 50 signatures include enhanced magnetic field strength (B) due to a strong core field, an increase in 51 the total pressure, and a mixture of magnetosphere and magnetosheath plasmas. These signatures 52 have been explained by their generation via 1) localized bursts of dayside reconnection<sup>2</sup>, 2) 53 multiple X-lines<sup>3</sup>, or 3) temporal modulation of the reconnection rate during continuous single 54 X-line reconnection<sup>4</sup>. The different generation mechanisms give rise to different magnetic field 55 topology and connectivity to either the northern or southern hemisphere or the magnetosheath 56 (the shocked and slowed solar wind across Earth's bow shock). On the other hand, they 57 commonly invoke formation processes occurring over macroscopic scales, resulting in FTEs 58 with macroscale sizes comparable to one Earth radius  $(R_E)^5$ . 59

Recent observations using the data from the Magnetospheric Multiscale mission (MMS)<sup>6</sup> 60 with its high-resolution measurements and tetrahedral configurations with spacecraft separations 61 varying from a couple of  $d_i$  (ion inertial lengths) to a few  $d_e$  (electron inertial lengths) have 62 enabled detailed investigations of kinetic boundaries and physical processes occurring 63 within/around FTEs. The observations include evidence for 1) ion-scale secondary flux ropes 64 generated by dayside reconnection<sup>7,8</sup>, 2) multi-layered substructures within an  $FTE^9$ , 3) electron-or ion-scale current layers at the interface of two coalescing  $FTEs^{10,11}$ , 4) reconnection between 65 66 colliding reconnection jets in a compressed current sheet at the center of an  $FTE^{12}$ , 5) 67 reconnecting current sheet between interlinked flux tubes<sup>13,14</sup>, and 6) the formation of an FTE 68 driven by the electron vortex<sup>15</sup>. These observations indicate that microscale (electron) and 69 mesoscale (ion) physical processes occurring in/around FTEs play a crucial role in the 70 71 generation, structure, and evolution of FTEs.

These local kinetic processes, however, have not received sufficient attention in FTE formation, structuring, and evolution. Yet, they can be essential ingredients in the dynamics of FTEs that may grow into large-scale FTEs drifting down the tail along the magnetopause, forming the basis of magnetospheric activities such as geomagnetic storms and substorms. Thus, the localized physics occurring in FTEs may be key to understanding solar wind-magnetosphere coupling and the global magnetospheric system, which has not yet been explored.

This paper presents a new aspect of kinetic processes occurring within FTEs. These kinetic processes can lead to the topological structure and evolution of FTEs (Fig. 1d-e), implying the effect of micro-to-meso-scale dynamics occurring within FTEs on the macroscale characteristics of FTEs. We use an FTE event observed by the MMS on the dayside magnetopause on 18 December 2017 to illustrate this new aspect of FTEs. The detailed plasma and field data indicate that the FTE consists of two interlaced flux tubes. Using particle distributions and force analysis,

we investigate topological signatures of the FTE and discuss the kinetic processes occurring at the interface of the two flux tubes that lead to the formation of a large-scale flux rope connecting

the interface of the two flux tubes that lead to the formation of a large-scale flux rope connection both hemispheres, therefore, potentially regulating magnetic flux transfer into the magnetotail.

# 87 **Results**

Propagation, observation location, and scale size of the event. The MMS spacecraft 88 was located at [9.0, -1.2, 1.3]R<sub>E</sub> in Geocentric Solar Magnetospheric coordinates (GSM) at 89 90 ~08:15:00 UT on 18 December 2017. Figs. 2 and 3 present field and particle observations by MMS over 8 s from 08:14:59 UT to 08:15:07 UT. During this period the interplanetary magnetic 91 field (IMF) obtained from ARTEMIS-C was relatively steady, pointing mostly due duskward 92 93 and southward: [1.8, 8.0, -4.0] nT (not shown) in GSM. The tetrahedral-averaged magnetic field using the measurements from the four MMS spacecraft (Fig. 2a;  $B_X$ ,  $B_Y$ , and  $B_Z$  components 94 shown as blue, green, and red profiles), together with the magnetic strength (B; black) shows that 95  $B_X$  exhibits a bipolar signature, around which B increases, indicating an FTE. 96

97 All vector parameters displayed in Fig. 2(d-k) and 3 are shown in boundary normal coordinates (LMN; see the top of the right panel of Fig. 1a) that were determined from minimum 98 variance analysis (MVA)<sup>16</sup> and MDD<sup>17</sup>: L = [0.39, -0.61, 0.69], M = [0.45, -0.52, -0.72], N =99 [0.80, 0.60, 0.07] in GSM. M, indicating the axis of an FTE, has a large fraction along Z. This 100 indicates a significant deviation of LMN for the present event from nominal magnetopause LMN 101 102 coordinates (see black arrows in Fig. 4a). The panels of Fig. 2 are obtained using the measurements from the four MMS spacecraft with an average separation of 31.6 km. Fig. 3A and 103 B show MMS4 and MMS2 observations, respectively. 104

At ~08:15:03.2 UT,  $B_N$  (red profile in Fig. 2d and Fig. 3a) changed from negative to 105 positive (vertical dashed black line, 'C' shown on the top of Fig. 2 and 3). Coincidentally, the 106 magnetic field strength (B, black profile) increased. These magnetic perturbations are associated 107 with the overall motion of an FTE along -L (see the dashed blue arrow in Fig. 1a that represents a 108 relative trajectory of the spacecraft across an FTE mostly along L, observing a negative, and 109 then, positive  $B_N$ ). To investigate the propagation of the FTE, we performed multiple 110 triangulation analysis (MTA)<sup>18</sup> using a four-spacecraft timing analysis<sup>19,20</sup>. The direction of the 111 propagation vector was duskward and southward: [-0.45, 0.54, -0.71] in GSM or [-0.99, 0.07, -112 0.14] in LMN, with a speed of 204 km/s. This result is consistent with the prediction from the 113 maximum shear model<sup>21</sup> using the solar wind IMF condition for this event. White traces in Fig. 114 4(a) show primary X-lines over the surface of the magnetopause when viewed from the Sun. A 115 component reconnection X-line is located dawnward and northward of the MMS location (blue 116 rectangle), leading to a duskward and southward motion of an FTE (black lines departing from 117 the blue rectangle). The MTA-derived propagation vector (thick magenta arrow in Fig. 4a) 118 together with the L and M axes (black arrows) shows a good agreement between the observation 119 and the model prediction. Fig. 4(b) illustrates the FTE structure (to be detailed below) embedded 120 in the southern outflow region of the X-line when viewed mostly from the -M direction. 121

We define the location where  $B_N$  becomes negative before the  $B_N$  reversal as the leading boundary of the FTE ('L' at the top of Fig. 2 and 3, marked by vertical dashed magenta line) and the location where  $B_N$  is reduced after the  $B_N$  reversal as the trailing boundary of the FTE ('T' at the top of Fig. 2 and 3, marked by vertical dashed cyan lines). The cross-sectional scale of the FTE is then estimated to be 736 km, which is ~12.2  $d_i$  (ion inertial length: ~60 km for this event). The existence of the X-line above the FTE along *L* is also evidenced by the ion PAD (Fig. 3f). When  $B_L$  fluctuated around zero between ~'L' and 'T' (Fig. 3a), the ion flow mostly directed perpendicularly to **B**. When  $B_L$  was negative before ~'L' (positive around/after 'T'), the ion PAD exhibited a significant parallel flux (mostly perpendicular and slightly anti-parallel flux). This is consistent with thin magenta arrows along with the MMS trajectory (dashed cyan arrow) in the Fig. 4(b) illustration.

**Observation of the FTE consisting of two interlinked flux tubes.** While the  $B_N$  and B133 profiles suggest a typical southward-moving FTE across the spacecraft, we note a consecutive 134 weak variation of a negative-to-positive  $B_L$  during 'L'-'C' and 'C'-'T', as marked by '1', '2', '3', 135 and '4' in Fig. 2(d) and 3(a). The most invariant axis (Fig. 2b) is primarily along X for the earlier 136 interval ('L'-'C'), but significantly toward Y and Z for the later interval ('C'-'T'). When 137 averaged over each interval under the error indicator (Fig. 2c)  $\leq 0.5$ , the invariant axis in LMN 138 directs to [-0.24, -0.38, -0.89] for 'L'-'C', and [0.01, -0.83, 0.56] for 'C'-'T'. This result is 139 consistent with the result from MVA, particularly for 'C'-'T', during which the bipolar  $B_L$ 140 signature is clearer (Fig. 2d). The two invariant axes make an angle of 79.3°. This observation 141 indicates that the FTE consisted of the two flux tubes<sup>22</sup>. Fig. 4(b) shows a schematic diagram of 142 the two flux tubes oriented almost perpendicularly. Numbers, '1', '2', '3', and '4' as observed by 143 144 MMS crossing the structure along the trajectory (dashed cyan arrow) correspond well to those in Fig. 2(d) and 3(a). 145

Plasma parameters also show notable differences across 'C': ion density (temperature) is 146 lower (higher) during the interval between 'L' and 'C' than between 'C' and 'T' (Fig. 3b);  $V_{i,M}$ 147 changes from negative to positive across 'C' (green arrows in Fig. 3c). Most importantly, we 148 149 note that the electron PAD exhibit dramatic changes across 'C': the low and mid energy electron fluxes (Fig. 3i, k) were lower between 'L' and 'C' than between 'C' and 'T': 90° pitch-angle 150 electrons often greatly enhanced in the high-energy range (Fig. 31) only before 'C'. These 151 significant differences in the electron PAD across the FTE center indicate two interlaced flux 152 tubes<sup>14</sup>: the intense 90°-focused energetic population is likely to be trapped on the field lines 153 connected to both hemispheres (supported by the low density and high temperature of the plasma 154 in Fig. 3b; to be discussed below); the absence or reduction of such populations between 'C' and 155 'T' indicates magnetosheath field lines or open field lines that allow hot magnetospheric 156 populations to escape. Completely different magnetic connectivity before and after the center of 157 the FTE inferred from the electron PAD strongly supports the interpretation of two interlinked 158 flux tubes, instead of a single flux-rope-type FTE (such as an FTE illustrated in Fig. 1a). 159

For a commonly observed relatively force-free flux rope<sup>38</sup> ( $\mathbf{J} \times \mathbf{B} \approx 0$ ), the current density is 160 mostly parallel to **B** ( $J_{\parallel} > J_{\perp}$ ). Both the curl of the magnetic field and the curl of mostly field-161 aligned flow vectors are predicted to be symmetric for the axial (M) component (with a single 162 peak at the center) and bipolar for the tangential (L or N) component across the center. During 163 ~08:15:3.1-3.6 UT around 'C',  $J_{\parallel}$  is significantly greater than  $J_{\perp}$  (Fig. 2f). The predicted 164 signature is, however, ambiguous in the current density (Fig. 2e) and even opposite in the ion 165 vorticity (bipolar  $\Omega_{i,M}$  and relatively symmetric  $\Omega_{i,N}$  in Fig. 2k) across ~'C'. J<sub>||</sub> shows double 166 peaks around 'C', instead of a single peak (blue arrows in Fig. 2f). These peaks coincide with 167 bipolar peaks in  $\Omega_{i,M}$  (green arrows in Fig. 2k). Also, the magnetic curvature (Fig. 2h-j) that is 168 expected to be bipolar along L and N across the center of a typical flux rope, exhibits complicated 169 170 profiles, in particular, showing consecutive bipolar signatures in the L component (Fig. 2h). These observations further support that the present FTE consisted of two flux tubes. 171

**Reconnection at the interface of two interlinked flux tubes.** At the center of the  $B_N$ reversal around 'C', *B* (black profile in Fig. 2d and Fig. 3Aa) and the magnetic pressure (P<sub>B</sub>; blue in Fig. 3Ae) display a (weak) local depression. MMS2 (Fig. 3Ba and e) also observed a suppressed peak in these profiles around 'C'. This is a so-called 'M'-shaped crater FTE<sup>23</sup>. The plasma pressure (P<sub>pl</sub>; red profiles in Fig. 3e) was locally enhanced at the center of the FTE. These P<sub>B</sub> and P<sub>pl</sub> variations constitute a relatively single P<sub>tot</sub> (black profiles in Fig. 3e) enhancement around 'C'.

179 The *B* reduction/suppression at 'C' might result from local reconnection. This is supported by the existence of an abrupt change in  $B_N$  (rather than sinusoidal bipolar  $B_N$ ), indicating a local 180 current sheet (red profile in Fig. 2d and Fig. 3a). [Note that the present L, M, and N axes 181 correspond to N, -M, and L axes, respectively, in nominal 2-D reconnection geometry, where L 182 directs along the current sheet and N points to the current sheet normal.] Correspondingly, ion 183 outflow jets directed along N (red arrows in Fig. 3c) and out-of-plane electron jets along M 184 (vertical green arrow in Fig. 3d) carried a significant electric current (Fig. 3m). J · E' fluctuated, 185 showing negative values before/around 'C' (Fig. 3n). These observations are consistent with 186 typical signatures of reconnection, particularly under a large guide field<sup>24,25</sup>. The negative 187 (positive)  $\mathbf{J} \cdot \mathbf{E}'$  represents a transfer of energy from plasmas to the magnetic fields (from the 188 fields to plasmas). The highly fluctuating  $\mathbf{I} \cdot \mathbf{E}'$  indicates strong interactions between the 189 magnetic fields and plasmas with the negative values implying the outer edge of the electron 190 diffusion region<sup>26</sup> or associations with waves<sup>27</sup>. 191

Fig. 5 shows 2-D cuts of 3-D electron distributions at three selected times before, around, and after 'C' (Fig. 5a, b, and c), denoted by black arrows at the bottom of Fig. 3A. The upper and lower panels show the electron distributions as a function of  $(V_{\parallel}, V_{\perp 1})$  and  $(V_{\perp 1}, V_{\perp 2})$ , respectively. Parallel and perpendicular directions are defined with respect to the local magnetic field (**B**). The two perpendicular directions are chosen to be perpendicular to **B** approximately along the ion bulk velocity  $(V_i)$ ,  $V_{\perp 1} = \mathbf{B} \times (V_i \times \mathbf{B})$  and  $V_{\perp 2} = \mathbf{B} \times V_i$ .

Fig. 5(a) shows a low-density, high-temperature (Fig. 3b) magnetospheric electron 198 distribution. Fig. 5(c) shows a heated, antiparallel-streaming magnetosheath electron distribution. 199 Fig. 5(b) shows a mixture of the two populations. Note the superposition of a magnetosheath 200 electron population shaped as a half shell in  $(-V_{\parallel}, V_{\perp 1})$  plane. At the same time, the  $(V_{\perp 1}, V_{\perp 2})$ 201 distribution shows a certain level of agyrotropy, i.e., a lack of axisymmetry (red arrow in the 202 lower middle panel in comparison to lower left and right panels showing almost gyrotropic 203 distributions). The half-shell shape in the  $(V_{\parallel}, V_{\perp})$  distributions together with a weak electron 204 agyrotropy indicates the outer edge of the electron diffusion region<sup>26</sup>. These simultaneous 205 observations of  $B_N$  reversal, ion outflow jets, out-of-plane electron jets, non-zero  $\mathbf{J} \cdot \mathbf{E}'$ , and anti-206 parallel half-shell/slightly agyrotropic electron distribution manifest that reconnection was 207 occurring in the interface between two interlinked flux tubes. 208

#### 209 Discussion

Magnetic topology of two interlinked flux tubes. Our analyses indicate that the present FTE consisted of two interlaced flux tubes. The electron PAD showed energy-dependent variations that infer different magnetic topologies<sup>8,28,29</sup> across the center of the FTE (Fig. 3j-l).

In particular, dramatic changes in the electron PADs were observed before and after 'C'. This includes a significant reduction in the low and mid energy electron fluxes (Fig. 3j, k) immediately before  $\sim$ 'C', during  $\sim$ 08:15:02.75-3.20 UT ('A'- $\sim$ 'L' at the top of Fig. 3A) for MMS4 and during  $\sim$ 08:15:02.70-3.15 UT ('A'- $\sim$ 'L' at the top of Fig. 3B) for MMS2. These low (and mid) energy electrons were mostly counter-streaming between 'L' and 'C', while lowenergy electrons were mostly one-directional (anti-parallel) immediately after ~'C' during  $\sim 08:15:03.20-3.65$  UT (~'L'-'B' at the top of Fig. 3A) for MMS4 and during  $\sim 08:15:03.15-3.55$ UT (~'L'-'B' at the top of Fig. 3B) for MMS2. The 90° pitch-angle electrons were greatly enhanced in the high-energy range (Fig. 3I) only before 'C'.

These 90°-focused energetic electrons can be either locally energized or trapped on the 222 field lines connected to both hemispheres. The former corresponds to trapped electrons locally 223 bouncing within the exhaust region with a large magnetic gradient/curvature, showing a pitch-224 angle broadening at magnetic-strength minima in accordance with the first adiabatic invariant<sup>8</sup>. 225 We over-plot black and magenta dotted contours over the electron PADs (Fig. 3j-l) that represent 226 loss-cone angles under an assumption that there is a mirror point with a magnetic strength of 109 227 nT (black) or 100 nT (magenta). These contours appear to generally separate the 90°-focused 228 energetic population from field-aligned ( $< \sim 45^{\circ}$ ) and weaker anti-parallel ( $> \sim 135^{\circ}$ ) populations 229 (Fig. 31). This signature is, however, mostly seen before 'C', during which the pitch-angle 230 broadening often shows a deviation from the expectation. Furthermore, the locally 231 bouncing/focusing population will result in a balance in fluxes between parallel and anti-parallel 232 components. The parallel population prevails over the anti-parallel throughout the period shown 233 in Fig. 3 (compare Fig. 3g and 3i). There is also an interval during which the anti-parallel 234 population is more dominant (e.g., red arrows at the top of Fig. 31). Although the former can be 235 explained by the fact that the overall structure was embedded in the southern outflow region of 236 an X-line (Fig. 4b), the latter is hardly explained. Thus, the local energization cannot fully 237 explain these 90°-focused energetic electrons that were exclusively observed before 'C', and 238 accompanied by the imbalanced parallel and anti-parallel fluxes. 239

For electrons being trapped on the field lines with their ends connected to the northern and 240 southern hemispheres, it takes  $\sim 5 \text{ s} (2 \text{ s})$  for 1 keV (10 keV) electrons to travel 5 R<sub>E</sub> along the 241 magnetopause field lines. The most energetic electrons on recently-closed field lines (via 242 reconnection at the interface of the two flux tubes) will constitute the 90°-focused population, 243 244 while less energetic electrons will lead to the imbalance between the parallel and anti-parallel fluxes. On the other hand, the most energetic electrons on early-closed field lines can escape 245 away from the field lines, while less energetic ones remain trapped at 90°. This feature is exactly 246 seen as an inverse energy-time dispersion of high-energy electrons with perpendicular (or anti-247 parallel) pitch angles (red arrows in Fig. 3h and 3i). 248

The absence or reduction of these 90°-focused energetic electrons after 'C' indicates 249 magnetosheath field lines or open field lines with one end connected to the northern or southern 250 hemisphere (Fig. 31). During 'C'-'T', the parallel high-energy population was still denser than 251 the anti-parallel one, possibly due to the background effect associated with the location of the 252 overall structure. Before/around 'B', a notable reduction in these energetic electrons, together 253 with uni/bi-directional low-energy electrons (Fig. 3j) indicates the magnetosheath field lines 254 (with neither end connected to the hemisphere), on which the low-energy magnetosheath 255 256 electrons flow along one direction or both directions with respect to **B**.

Thus, the energy-dependent PAD variations across the center of the FTE infer that the two flux tubes contain field lines of different magnetic topologies: one with the field lines connected to both hemispheres and the other with open field lines connected to the magnetosheath. This was further evidenced by the plasma density and temperature (Fig. 3b) and particle distribution functions (Fig. 5).

Fig. 1(b-e) illustrates the generation of such interlinked flux tubes and their connectivity to 262 either both hemispheres or the magnetosheath. For the southward and duskward IMF during this 263 event (Fig. 1b), reconnected field lines at '1' in Fig. 1(c) (generating cyan field lines) can 264 constitute a flux tube 'ft1'-'ft2' in Fig. 1(d), with one end connected to the northern hemisphere. 265 Reconnected field lines at '2' in Fig. 1(c) (generating magenta field lines) can constitute a flux 266 tube 'ft3'-'ft4', with one end connected to the southern hemisphere (Fig. 1d). When the interface 267 of the interlaced flux tubes undergoes reconnection (dashed violet arrows in Fig. 1d), 'ft1' and 268 'ft4' field lines are reconnected, constituting "ft C-T" with both ends connected to the 269 magnetosheath (blue arrows in Fig. 1e), and 'ft2' and 'ft3' field lines are reconnected, 270 constituting "ft L-C" with both ends connected to the magnetosphere (red arrows in Fig. 1e). 271 When these newly intertwined flux tubes move southward/duskward past by MMS4 as depicted 272 by blue arrows in Fig. 1(e) mostly along L, "ft L-C" is first traversed by MMS, then, "ft C-T" is 273 traversed, consistent with the observations of Fig. 4(b) and the electron PADs. 274

We note that the reconnecting flux tubes (Fig. 1d) result in a more complicated structure (Fig. 1e). This structure will exert strong magnetic tension force toward the interface of the two interlinked flux tubes, which facilitates an interaction of the interface. The plasma and field data showed that the two flux tubes were reconnecting at the interface (dashed violet arrows in Fig. 1e). The magnetic curvature,  $(\mathbf{B} \cdot \nabla \mathbf{B})_N / \mu_0$  (black in Fig. 2j) reverses its sign from positive to negative across 'C', as expected for "ft L-C" and "ft C-T" in Fig. 6d. The strong, clearly-bipolar feature across the FTE center, thus, supports the complicated interlaced flux tubes, Fig. 1e.

The N component of the magnetic curvature (black profiles in Fig. 21) is not balanced by 282 any of the pressure gradients (red, blue, and green profiles in Fig. 21). The L and M components 283 (black profiles in Fig. 2i and j) are, however, partly balanced by the gradient of the total pressure, 284  $\nabla P_{\text{tot}}$  (green) or the magnetic pressure ( $\nabla P_{\text{B}}$ , blue). Around 'C', the current density parallel to **B** is larger than the perpendicular component, which is, however, significant ( $J_{\parallel} = \sim 1800 \text{ nA/m}^2$ ;  $J_{\perp}$ 285 286  $= \sim 1000 \text{ nA/m}^2$ ; Fig. 2f). These suggest that the FTE is neither force-free nor force-balanced. 287 This might indicate that the FTE was under evolution, explaining the highly variable plasma 288 flows (Fig. 3c, d), current (Fig. 2e, f; Fig. 3m), and  $\mathbf{I} \cdot \mathbf{E}'$  (Fig. 2g; Fig. 3n) within the FTE. 289 Depending on the evolutionary phase of the interlinked flux tubes, a portion of field lines within 290 the FTE can be either connected to either hemisphere (Fig. 6c) or both hemispheres (Fig. 6d). 291

Implications and conclusion. The complex magnetic field topology and various magnetic 292 293 connectivity including field lines with both ends connected to the magnetosphere within the FTE result from the kinetic process, i.e., reconnection locally occurring at the center of the FTE (not 294 occurring outside or at the periphery of the FTE). This makes a striking distinction from the 295 previous multiple X-line FTE model<sup>3</sup> (Fig. 1a) that can also involve either newly-opened 296 magnetosheath field lines or completely closed field lines within an FTE<sup>28,29</sup>. When connected to 297 both hemispheres, the flux tube becomes an efficient channel for solar wind transfer into the 298 299 magnetosphere. The resulting complicated structure (Fig. 1e) resembles a typical flux rope structure, and consecutive interface reconnection (e.g., dashed violet arrow in Fig. 1e) will lead 300 further evolution to a flux rope (twining flux tubes). The interlinking of flux tubes will also 301 potentially suppress magnetic flux transfer into the magnetotail, via which FTEs act for the main 302 driver of the magnetospheric dynamics such as substorms and storms<sup>30</sup>. The magnetic 303 connectivity will continuously vary during different epochs in the evolution, both regulating the 304 305 transfer of the solar wind into the magnetosphere and the magnetic flux transfer from the dayside to the magnetotail. 306

The importance of the local kinetic processes occurring inside FTEs is, therefore, two-fold. First, they lead to the topological structure and evolution of FTEs. Second, they determine macroscale characteristics of FTEs (magnetic connectivity and magnetic content), including their global effects. Using the MMS observation of the FTE event, we emphasized the importance and impact of one of the local kinetic processes, i.e., reconnection, occurring within FTEs, implying that the kinetic process can play a crucial role in the generation, structure, evolution, and impact of ETER

of FTEs.

## 314 Methods

Instrumentation and data availability. The MMS spacecraft<sup>6</sup> flying in low-inclination 315 and highly elliptical orbits provide the measurements at/near Earth's magnetopause, bow show, 316 and magnetotail. The four spacecraft are identically equipped with instruments including plasma 317 instruments (FPI)<sup>31</sup>, magnetometers (FGM)<sup>32</sup>, and electric field instruments (EDP) consisting of 318 the spin-plane double probe (SDP)<sup>33</sup> and the axial double probe (ADP)<sup>34</sup>. We used the magnetic 319 field data from FGM with a time resolution of 10-ms in burst mode, the DC electric field data 320 with a 0.122-ms time resolution in burst mode, and particle data in burst mode from the FPI/DIS 321 for ions and FPI/DES for electrons with a 150-ms and 30-ms time resolution, respectively, a 322 11.25° angular resolution, and an energy range of ~10 eV-26 keV. The MMS data are accessible 323 through the public link provided by the MMS science working group teams: 324 http://lasp.colorado.edu/mms/sdc/public/. 325

The Moon-orbiting ARTEMIS spacecraft<sup>35</sup>, designed to investigate the Moon's interaction with the solar wind, also provide high time resolution (3-s) data of solar wind conditions. We use the data from the fluxgate magnetometer (FGM) and electrostatic analyzer (ESA) to obtain interplanetary magnetic field (IMF) orientation and solar wind speed for the present FTE event. The ARTEMIS data are available at http://themis.ssl.berkeley.edu/. The data were lagged by 13 minutes in this event to account for the transit time of the solar wind from ARTEMIS-C, located at [64.2, 3.3, 3.3]R<sub>E</sub> in GSM to MMS at [9.0, -1.2, 1.3]R<sub>E</sub> in GSM.

Determination of boundary normal coordinates (LMN). We determined boundary 333 normal coordinates (LMN) by we performing 1) minimum variance analysis (MVA)<sup>16</sup> and 2) 334 minimum directional derivative (MDD) analysis<sup>17</sup>. The former method using the four-spacecraft 335 magnetic field data during 0815:01.0-04.5 UT derived L = [0.35, -0.72, 0.60], M = [0.46, -0.43, -0.43]336 0.78], and N = [0.82, 0.55, 0.17] in GSM. To comply with conventions, N points outward from 337 the magnetopause and L points northward along the dayside magnetopause, partly aligning the 338 magnetospheric magnetic field (see the top of the right panel of Fig. 1a). The medium-to-339 minimum (maximum-to-medium) eigenvalue ratio was  $\sim 3.3$  (4.5), indicating a relatively reliable 340 calculation<sup>36</sup>. The MDD result is shown in Fig. 2(b-c). The eigenvector of the matrix, 341  $(\nabla \mathbf{B})(\nabla \mathbf{B})^{\mathrm{T}}$  corresponding to the minimum eigenvalue significantly fluctuated (Fig. 2b). At 342 ~0815:03.5 UT, around which the sign of  $B_X$  was reversed (Fig. 2a) and the error was minimized 343 (Fig. 2c), the three eigenvectors of  $(\nabla \mathbf{B})(\nabla \mathbf{B})^{\mathrm{T}}$  pointed L = [0.39, -0.61, 0.69], M = [0.45, -0.52, 0.52]344 -0.72], and N = [0.80, 0.60, 0.07] in GSM, where M corresponds to the (negative/positive) 345 eigenvector for the minimum eigenvalue of  $(\nabla B)(\nabla B)^T$  (Fig. 2b), representing the most invariant 346 axis. The difference between MVA-derived and MDD-derived L, M, and N ranged from  $5.8^{\circ}$  to 347 8.3°. We used the averaged MVA and MDD result: L = [0.38, -0.66, 0.65], M = [0.46, -0.48, -0.48]348 0.75], and N = [0.81, 0.58, 0.12] in GSM. 349

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Figure 1. (a) The multiple X-line FTE model for the southward and dawnward IMF. The 440 441 unreconnected magnetospheric and magnetosheath magnetic field lines are shown by black and blue arrows, respectively. Red arrows represent reconnected magnetic field lines. The edge of the 442 443 FTE is shown in green. The left panel shows a view from the Sun (the normal to the magnetopause surface) and the right panel shows a view from dawn to dusk (along the direction 444 tangential to the magnetopause). The nominal LMN coordinates for the FTE are shown at the top 445 of the right panel. (b-d) Illustration of the generation of interlinked flux tubes under the 446 southward and duskward IMF and (e) their connectivity to either hemisphere or both 447 hemispheres: reconnected field lines at '1' in (c) (generating cyan field lines) can constitute a 448 flux tube 'ft1'-'ft2' in (d), with one end connected to the northern hemisphere. Reconnected field 449 lines at '2' in (c) (generating magenta field lines) can constitute a flux tube 'ft3'-'ft4', with one 450 end connected to the southern hemisphere (d). When the interface of the interlaced flux tubes 451 undergoes consecutive reconnection (dashed violet arrows in d), 'ft1' and 'ft4' field lines are 452 reconnected, constituting "ft C-T" with both ends open (blue arrows in e), and 'ft2' and 'ft3' 453 field lines are reconnected, constituting "ft L-C" with both ends connected to the magnetosphere 454 (red arrows in e). 455



Figure 2. Four MMS observations of an FTE detected on 18 December, 2017: (a) the 456 tetrahedral-averaged magnetic field using the measurements from the four MMS spacecraft:  $B_X$ , 457  $B_{Y}$ , and  $B_{Z}$  components (blue, green, and red profiles) in GSM, together with the magnetic 458 strength (B; black); (b) the result of minimum directional derivative (MDD) analysis<sup>17</sup> showing 459 the eigenvector of the matrix,  $(\nabla \mathbf{B})(\nabla \mathbf{B})^{\mathrm{T}}$  in GSM, corresponding to the minimum eigenvalue, 460 with an error indicator,  $|\nabla \cdot \mathbf{B}| / |\nabla \times \mathbf{B}|$  (c). All vector parameters in the lower panels are shown in 461 boundary normal coordinates, LMN that were determined from minimum variance analysis 462  $(MVA)^{16}$  and  $MDD^{17}$ : L = [0.39, -0.61, 0.69], M = [0.45, -0.52, -0.72], N = [0.80, 0.60, 0.07] in 463 GSM: (d) the tetrahedral-averaged magnetic field (B) with  $B_L$ ,  $B_M$ , and  $B_N$  components (blue, 464 green, and red profiles), together with the magnetic strength (black); (e, f) the current densities 465 (**J**; e) that are decomposed into two components (f) parallel (blue profiles;  $J_{\parallel}$ ) and perpendicular 466 (red;  $J_{\perp}$ ) to **B** calculated from the curlometer technique<sup>38</sup>; (g) Joule dissipation in the electron rest 467 frame,  $\mathbf{J} \cdot \mathbf{E}'$ , where  $\mathbf{E}'$  is the electric field in the electron frame of reference,  $\mathbf{E}' = \mathbf{E} + \mathbf{V}_e \times \mathbf{B}$ ; (h-j) 468 the L, M, N component of the magnetic curvature,  $(\mathbf{B} \cdot \nabla \mathbf{B})/\mu_0$  (black) and the gradients of the 469 total pressure ( $\nabla P_{tot}$ , green), the plasma pressure ( $\nabla P_{pl}$ , red), and the magnetic pressure ( $\nabla P_{B}$ , 470 blue); (k) ion flow vorticity ( $\Omega_i = \nabla \times \mathbf{V}_i$ ). Vertical dashed magenta, black, and cyan lines denote 471 the leading edge, center, and trailing edge of the FTE. 472



Figure 3. MMS4 (A) and MMS2 (B) observations of the FTE: (a) magnetic field (B),  $B_L$ ,  $B_M$ , 473 and  $B_N$  components (blue, green, and red profiles), together with the magnetic strength (black); 474 (b) ion density (black) and temperature (red); (c) ion velocity  $(V_i)$ ; (d) electron velocity  $(V_e)$ ; (e) 475 plasma (red) and magnetic (blue) pressures, and the sum of plasma and magnetic pressures 476 (black); (f) ion pitch angle distribution (PAD); (g-i) energy spectrograms of electrons of parallel 477  $(0^{\circ} \sim 30^{\circ}; g)$ , perpendicular  $(60^{\circ} \sim 120^{\circ}; h)$ , and anti-parallel  $(150^{\circ} \sim 180^{\circ}; i)$  pitch angles; (j-l) pitch 478 angle distributions (PAD) of the low- (~10 eV  $\leq$  energy < 100 eV; j), mid- (100 eV  $\leq$  energy < 1 479 keV; k), and high- (1 keV  $\leq$  energy  $\leq$  26 keV; l) energy electrons; (m) the current densities 480 obtained from the particle data; (n) Joule dissipation in the electron rest frame,  $\mathbf{J} \cdot \mathbf{E}'$ , using the 481 single spacecraft data. All vector parameters are shown in LMN coordinates. Vertical dashed 482 483 dashed magenta, black, and cyan lines denote the leading edge, center, and trailing edge of the FTE. Vertical dashed red and black lines mark the location where abrupt changes in the low and 484 mid energy electron fluxes (Fig. 3j, k) appear. 485



Figure 4. Modeled shear angles between the magnetosheath and magnetospheric magnetic field 486 lines using the solar wind IMF condition and Earth's dipole tilt for the event shown in Fig. 2 and 487 3 (a). White traces represent primary X-lines over the surface of the magnetopause when viewed 488 from the Sun. A blue rectangle denotes the location of the MMS spacecraft. Black lines and a 489 thick magenta arrow show the model prediction and the observation, respectively, of the plasma 490 bulk flow or the motion of the FTE observed at the MMS location. (b) a schematic diagram of 491 the FTE structure consisting of two interlinked flux tubes embedded in the southern outflow 492 region of the reconnection X-line when viewed mostly from the -*M* direction. 493



Figure 5. MMS4 observations of 2-D cuts of 3-D electron distributions (integrated over  $\pm 11.25^{\circ}$ 494 from the cut) at three selected times before, around, and after the center of FTE (a, b, and c), 495 denoted by black arrows at the bottom of Fig. 3A. The upper and lower panels show the electron 496 distributions as a function of  $(V_{\parallel}, V_{\perp 1})$  and  $(V_{\perp 1}, V_{\perp 2})$ , respectively. Parallel and perpendicular 497 directions are defined with respect to the local magnetic field (B). The two perpendicular 498 directions are chosen to be perpendicular to **B** approximately along the ion bulk velocity velocity 499  $(\mathbf{V}_i), \mathbf{V}_{\perp 1} = \mathbf{B} \times (\mathbf{V}_i \times \mathbf{B})$  and  $\mathbf{V}_{\perp 2} = \mathbf{B} \times \mathbf{V}_i$ . A lack of axisymmetry in the  $(\mathbf{V}_{\perp 1}, \mathbf{V}_{\perp 2})$  distribution is 500 denoted by a red arrow in the lower middle panel in comparison to lower left and right panels 501 showing almost gyrotropic distributions. 502

