Validation of IASI satellite ammonia observations at the pixel scale using in-1 situ vertical profiles 2

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- 39 Key Points:
- IASI NH₃ columns agree well with those derived from boundary layer, in-situ measurements with no significant biases at the pixel scale
- Validation in a hotspot region shows best agreement at narrow spatiotemporal scales on
 the order of the pixel size and mean transport time
- Additional accurate, airborne-based NH₃ datasets are critically needed for improved validations across a range of environments
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47 Abstract

Satellite ammonia (NH₃) observations provide unprecedented insights into NH₃ 48 emissions, spatiotemporal variabilities and trends, but validation with in-situ measurements 49 remains lacking. Here, total columns from the Infrared Atmospheric Sounding Interferometer 50 (IASI) were intercompared to boundary layer NH₃ profiles derived from aircraft- and surface-51 based measurements primarily in Colorado, USA, in the summer of 2014. IASI-NH₃ version 3 52 near real-time dataset compared well to in-situ derived columns (windows ±15 km around 53 centroid, ± 1 hour around overpass time) with a correlation of 0.58, a slope of 0.78 ± 0.14 , and an 54 intercept of $2.1 \times 10^{15} \pm 1.5 \times 10^{15}$ molecules cm⁻². Agreement degrades at larger spatiotemporal 55 windows, consistent with the short atmospheric lifetime of NH₃. We also examined IASI version 56 57 3R data, which relies on temperature retrievals from the ERA Reanalysis, and a third product generated using aircraft-measured temperature profiles. The overall agreement improves slightly 58 for both cases, and neither is biased within their combined measurement errors. Thus, 59 spatiotemporal averaging of IASI over large windows can be used to reduce retrieval noise. 60 61 Nonetheless, sampling artifacts of airborne NH₃ instruments result in significant uncertainties of the in-situ-derived columns. For example, large validation differences exist between ascent and 62 descent profiles, and the assumptions of the free tropospheric NH₃ profiles used above the 63 aircraft ceiling significantly impact the validation. Because short-lived species like NH₃ largely 64 65 reside within the boundary layer with complex vertical structures, more comprehensive validation is needed across a wide range of environments. More accurate and widespread in-situ 66 NH₃ datasets are therefore required for improved validations of satellite products. 67

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69 Plain Language Summary

Ammonia is an important species in the atmosphere that contributes to $PM_{2.5}$ formation, but it is challenging to measure. The major source of ammonia is agricultural activities. Improving our estimate of ammonia emissions requires widespread and frequent measurements such as those from satellite. To date, satellite-based ammonia measurements have not been extensively validated, particularly on the scale of individual measurements. We have compared satellite

ammonia measurements with those from ground-based and aircraft measurements and show that

satellite measurements are accurate at the scale of an individual pixel. However, we also show 76 77 that it is important to consider the spatial and temporal differences between the measurement scales (satellite vs. ground- and aircraft-based) in regions where ammonia is concentrated, and 78 79 large sources exist. Improved validations will require advances in airborne ammonia measurement technologies, particularly for the relatively low levels of ammonia that exist above 80 the boundary layer or away from strong sources. Finally, additional airborne-based 81 measurements are needed to compare to satellite-based measurements in other regions and 82 seasons to extend these conclusions to a global scale. 83

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85 1. Introduction

86 Gas-phase ammonia (NH_3) is a ubiquitous base in the atmosphere and an important component of the nitrogen cycle. Atmospheric NH₃ reacts with sulfuric acid and nitric acid to 87 form ammoniated (NH_4^+) aerosol particles, reducing visibility and causing adverse effects on 88 human health (Mensink & Deutsch, 2008; Ostro et al., 2015; Wang et al., 2006). Ammoniated 89 90 aerosols also affect the climate by scattering solar radiation, resulting in a negative radiative forcing (IPCC, 2013). Excess NH₃ deposited to ecosystems can cause soil acidification, water 91 92 eutrophication and loss of biodiversity (Galloway et al., 2004). Research suggests that NH₃ and NH_4^+ have become important contributors to the dry and wet deposition of reactive nitrogen (N_r) 93 94 in most regions of the United States due to reductions in nitrogen oxides (NO_x) emissions (Li et al., 2016). 95

Agricultural activities such as fertilizer application and livestock waste management 96 contribute to over 80% of total NH₃ emissions globally (Bouwman et al., 1997; Paulot et al., 97 2014). Other anthropogenic sources of NH₃ include chemical production, residential waste and 98 vehicle emissions (Behera et al., 2013; Sun et al., 2017; Van Damme et al., 2018). Global NH₃ 99 emissions are expected to increase in the forthcoming decades due to growing food demands 100 (Erisman et al., 2008; Lamarque et al., 2011). NH₃ is unregulated in many countries, but active 101 efforts are being made to quantify NH₃ emissions and understand their trends on regional to 102 global scales (Paulot et al., 2014; Van Damme et al., 2018). There remain significant 103 104 uncertainties in bottom-up NH₃ emission inventories as they require representative measurements to scale from a small subset of sources to the entire global budget (Beusen et al., 105 2008; Golston et al., 2020; Zhang et al., 2018). On the other hand, top-down approaches that 106 depend on inverse modeling of NH₄⁺ wet deposition data require widespread observations, 107 accurate vertical profiles, and estimates of chemical and deposition lifetimes (Paulot et al., 2014; 108 Zhu et al., 2013). However, current major monitoring networks such as the Ammonia 109 Monitoring Network (AMoN) in the U.S. and the Nationwide Nitrogen Deposition Monitoring 110 111 Network (NNDMN) in China lack the spatial coverage and temporal resolution needed to fully resolve the variabilities of NH₃, thereby introducing uncertainties in top-down estimates of NH₃ 112 emissions (National Atmospheric Deposition Program, 2019; Xu et al., 2015). 113

In the past decade, advances in remote sensing techniques have provided unprecedented global coverage and medium-term time series for studying NH₃ on a broader scale than achievable by ground-based measurements. Since the early 2000s, several satellites have been launched into space with infrared sounders to measure atmospheric constituents. Examples of satellites instruments that can measure NH₃ are the Tropospheric Emission Spectrometer (TES) (Shephard *et al.*, 2011), Infrared Atmospheric Sounding Interferometer (IASI) (Clarisse *et al.*,

2009; Van Damme et al., 2017; Whitburn et al., 2016), Cross-track Infrared Sounder (CrIS) 120 (Shephard & Cady-Pereira, 2015), Atmospheric Infrared Sounder (AIRS) (Warner et al., 2016) 121 and Greenhouse Gases Observing Satellite (GOSAT) (Someya, Imasu, Shiomi, & Saitoh, 2020). 122 Launched in 2004 and having ended its mission in 2018, TES provided the first satellite-based 123 NH₃ product, though it only performed limited measurements in its later years (Rasmussen, 124 2018). IASI provides Level-2 (L2) NH₃ products dating back to 2008 with much broader spatial 125 coverage than TES. AIRS has the longest data record of NH_3 on a single satellite between 2002 126 and 2016 (Warner et al., 2017). CrIS and GOSAT have also provided NH₃ products, but with 127 limited availability at this time (Dammers et al., 2019; Someya et al., 2020). 128

Despite the increasing use of satellite NH₃ products for inventory assessments, nitrogen 129 deposition, and aerosol chemistry, validation of satellite NH₃ measurements, especially against 130 independent in-situ measurements, remains limited (Dammers et al., 2019; Van Damme et al., 131 2018; Zhang et al., 2018). Although satellite data averaged over a large domain or an extended 132 period can be used to study regional and global characteristics of NH₃, validations of individual 133 satellite pixels help further understand the capabilities and limitations of satellite observations. 134 Meanwhile, analyses of satellite NH₃ on fine temporal (e.g. daily and weekly) and local scales, 135 such as studying fertilizer emissions, require validation for increased confidence (Fortems-136 Cheiney et al., 2016; Van Damme et al., 2018). Previously, Van Damme et al. (2015a) used an 137 averaged GEOS-Chem model profile to convert IASI NH₃ columns into surface concentrations 138 and found fair agreements between IASI and ground-based observations on monthly scales, and 139 moderate correlations with hourly airborne data, but the fixed profile shapes used for the 140 conversion introduced certain biases in IASI surface concentration estimations. Dammers et al. 141 (2016) validated IASI using column measurements from ground-based high-resolution Fourier-142 transform infrared spectroscopy (FTIR) at nine locations worldwide with spatial and temporal 143 windows of 25 km and 90 min, respectively. Correlations of ~0.8 were found where NH₃ levels 144 were high, though the study removed outliers during wintertime. In addition, the FTIR 145 measurements themselves have not been validated by in-situ profiles and instead rely upon 146 147 model a priori and limited surface observations (Dammers et al., 2015). Similarly, a comparison between CrIS and FTIR was conducted by Dammers et al. (2017), where an overall correlation 148 of 0.8 was observed, but the agreements for individual sites varied largely. Using a different 149 method, Sun et al. (2015) conducted a validation of TES NH₃ with collocated aircraft and mobile 150 lab measurements in California at the pixel scale and found the agreement to be within 10% for 151 selected dates. Furthermore, the spatial variability of NH₃ columns within a satellite pixel (IASI: 152 ~ 12 km in diameter) has been assessed over Colorado using structure functions analyses 153 (Follette-Cook et al., 2015) of mobile NH₃ column observations conducted on fine spatial scales 154 (few tens of meters; Kille et al., 2017). During the time of the study, 50% of the variability in 155 NH₃ columns was found within approximately 1.6 km, and 90% of the variability within 6 km 156 (Kille et al., 2017). The high variability of atmospheric NH₃ poses a fundamental sampling 157 challenge to satellite validation on the pixel scale and illustrates the need for a multi-platform 158 sampling strategy for best results. 159

To expand the scope and robustness of satellite NH_3 validations, we compare the IASI NH₃ at the pixel scale with a combination of aircraft-based profiles and an assortment of other in-situ fixed and mobile column surface observations taken during the summer of 2014 in Colorado, USA, and provide some insights on the winter of 2013 in California, USA. The general approach used here can be readily applied to other satellite NH_3 products, provided that

- 165 NH₃ vertical profiles are collocated with the satellite pixels within a temporal window that is
- 166 consistent with wind and atmospheric transport.

167 **2. Data and Methods**

168 2.1. IASI Observations

169 IASI is an infrared sounder onboard the polar-orbiting MetOp-A/B/C satellites, which were respectively launched in 2006, 2012 and 2018 (Clerbaux et al., 2009). It is sensitive to NH₃ 170 absorption features mainly between 800 and 1200 cm⁻¹ (Clarisse et al., 2010; Coheur et al., 171 2009). IASI provides twice-daily measurements of NH₃ with overpass times of 0930/2130 local 172 solar time (LST). IASI has a swath of 2400 km and a pixel size of 12 km in diameter at nadir. 173 The first IASI NH₃ product was retrieved using lookup tables (LUTs) based on simulations from 174 175 a forward radiative transfer model. A hyperspectral range index (HRI) was calculated from each observation and converted to NH_3 columns using the LUTs (Van Damme *et al.*, 2014). A 176 177 subsequent version (ANNI-NH3-v1) improved the retrieval of NH₃ by using an artificial neural network for IASI (ANNI) to transform the calculated HRI into column densities (Whitburn et al., 178 2016). Version 2 of the ANNI product further improved the algorithm by introducing separate 179 neural networks for land and sea scenes, and simplified input parameters. With the release of this 180 181 version, an additional product was made available using meteorological inputs from the European Centre for Medium-Range Weather Forecasts (ECMWF) Re-Analysis (ERA)-Interim 182 dataset for better interannual consistency, as opposed to using meteorological retrievals from 183 IASI itself to calculate columns (Van Damme et al., 2017). 184

In this work, we analyze version 3 of the ANNI-NH₃ product from IASI MetOp-A/B. 185 This version, processed using the retrieval framework outlined in Franco et al. (2018), features a 186 slightly increased measurement sensitivity due to small changes in the neural network 187 architecture and training and improved post-filtering. In addition, several debiasing procedures 188 have been introduced to correct for the gradual increase of CO₂ columns over the entire IASI 189 time-period and for IASI instrument calibrations. Despite the significant number of changes from 190 version 2 to version 3, the changes to the retrieved columns are modest in the mean. For columns 191 above 4×10^{15} molecules cm⁻², 80% of the data agree to within 20%. As infrared retrievals are 192 known to be quite sensitive to auxiliary input data, we evaluate the following products: (1) The 193 near real-time product, retrieved using meteorological data from the European Organisation for 194 the Exploitation of Meteorological Satellites (EUMETSAT) with cloud coverage < 25%, (2) the 195 reanalysis product, retrieved using meteorological data from ERA5/ECMWF with cloud 196 197 coverage < 10%, and (3) a dedicated product retrieved using collocated in-situ temperature profiles from aircraft, sonde and mobile measurements (other meteorological data such as skin 198 temperature are from EUMETSAT) with cloud coverage < 25%. The cloud coverage flag in the 199 reanalysis product is stricter (10%) than the near real-time product in order to provide a higher 200 quality dataset (e.g. for long-term trends), whereas the near real-time product defaults to 25% 201 (see Van Damme *et al.*, 2017). While nighttime observations also offer the possibility to measure 202 NH₃ (e.g. Van Damme, et al., 2015b), we only include the morning observations over land 203 surfaces as IASI has better sensitivity to NH₃ under these conditions. In addition, there were no 204 aircraft profiles available near the nighttime IASI overpass time (~2130 LST). 205

We note that the ANNI-NH3 products do not generate averaging kernels (AVKs) because the current retrieval method is not based on optimal estimation (i.e. the retrieval is unconstrained). We refer to Whitburn *et al.* (2016) and Van Damme *et al.* (2017) for a comprehensive discussion on the advantages and disadvantage of the neural network retrieval

approach for NH_3 . In short, one advantage of the current approach is that the reported NH_3 210 211 column values are directly comparable to models and observations as the retrieval includes an assumption about the NH₃ vertical distribution. The product does not exhibit biases over areas 212 where the sensitivity is low (and for which an optimal estimation retrieval would return a value 213 close to its a priori). The disadvantage is that the uncertainty in the measurements can be very 214 large. As a compromise, the retrieval employs a post-filter that removes those measurements 215 where the information content is close to zero. The upshot is that the measurements that pass the 216 post-filter are meant to be used at face value, together with the derived uncertainty; and it is in 217 this way that we perform the validation here. This also reflects how the users typically work with 218 the product. Evaluating the sensitivity of thermal infrared sounders to the boundary layer is both 219 out of the scope of this work and largely duplicates with previous studies (Bauduin, et al., 2014; 220 Clarisse et al., 2010; Whitburn et al., 2016). Thus, only the unconstrained columns were used to 221 provide an overview of the agreement between IASI and in-situ measurements. 222

223 2.2. In-situ Measurements

224 The Deriving Information on Surface conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) field experiment was a multi-year 225 campaign led by the National Aeronautics and Space Administration (NASA) (Crawford & 226 Pickering, 2014). Its mission was to validate collocated satellite observations of atmospheric 227 pollutants over four regions in the U.S. (Baltimore/Washington, California, Houston, and 228 Colorado). Airborne NH₃ measurements were only available in the California and Colorado 229 230 campaigns. The California campaign occurred between January 16 and February 7, 2013 over the San Joaquin Valley. In the following year, the experiment was continued in Colorado from 231 July 16 to August 16, along with a complimentary National Science Foundation (NSF) Front 232 Range Air Pollution and Photochemistry Experiment (FRAPPÉ) that had additional platforms 233 and NH₃ measurements (Flocke et al., 2020). The DISCOVER-AQ flight patterns were 234 specifically designed for satellite validations as the P-3B aircraft made repeated upward and 235 downward spirals. Vertical profiles were performed at designated locations where there were 236 collocated ground monitoring sites managed by the Colorado Department of Public Health and 237 Environment and the National Oceanic and Atmospheric Administration (NOAA). A typical P-238 3B spiral roughly spanned 5 km in diameter, which is particularly advantageous for validations 239 of short-lived species such as NH₃ that exhibit strong spatial gradients near source regions. 240

A unique aspect of the 2014 Colorado campaign compared to past field experiments was 241 the comprehensive suite of NH₃ measurements on multiple platforms: two aircraft, a tall tower, 242 and four mobile laboratories. The NASA P-3B aircraft measured NH₃ with a proton-transfer 243 time-of-flight mass spectrometer (PTR-MS) (Müller *et al.*, 2014). It is emphasized that NH_3 data 244 were only a side product of the PTR-MS measurements during DISCOVER-AQ. The instrument 245 was primarily measuring volatile organic compounds (VOCs) and thus not optimized for 246 detecting NH₃ (i.e. a high instrumental background resulting in a worse detection limit and 247 slower time response due to a ~ 2 m long inlet line, compared to an optimized NH₃ 248 measurement). The NSF/NCAR C-130 aircraft measured NH₃ with a closed-path, quantum 249 cascade laser-based instrument using a fast-response inertial inlet to minimize sampling artifacts 250 (QC-TILDAS, Aerodyne Research Inc.) (Schiferl et al., 2016). A moving carriage on the 251 Boulder Atmospheric Observatory's (BAO) 300 m tall tower in Erie, Colorado made profiles 252 253 every hour and was equipped with a closed-path, quantum cascade laser-based NH₃ instrument

(QC-TILDAS, Aerodyne Research Inc.) (Tevlin et al., 2017). The mobile laboratories included 254 in-situ measurements from an open-path, quantum cascade laser-based instrument onboard the 255 Princeton Atmospheric Chemistry Experiment, a cavity ring down instrument (Picarro G2103) 256 onboard the NOAA Chemical Sciences Division (CSD) van, and a closed-path, quantum cascade 257 laser-based instrument (OC-TILDAS) on the Aerodyne Mobile Laboratory (Eilerman et al., 2016; 258 Herndon et al., 2005; Tao et al., 2015). These three mobile laboratories conducted stationary and 259 moving intercomparisons and showed agreement to within 10% on average (Golston et al., 260 2020). Column NH₃ abundances were measured by the University of Colorado Solar Occultation 261 Flux (CU SOF) instrument onboard the CU/NCAR mobile laboratory (Kille et al., 2017). CU 262 SOF couples a digital fast solar tracker (Baidar et al., 2016; Patent No. 10379194, 2019) to a fast 263 scanning FTIR to measure the vertical column integral of NH₃ and other gases above the mobile 264 platform directly in the open atmosphere (Kille et al., 2017). Table 1 summarizes the 265 performances of the NH₃ instruments during the California and Colorado campaigns. 266

In addition to the NH₃ measurements, temperature and pressure measurements were taken 267 from the P-3B aircraft and specially launched radiosondes (iMet-1 & Vaisala RS92) timed with 268 aircraft flights. These data allowed for the construction of in-situ derived NH₃ columns and for 269 the generation of the third IASI NH₃ product with in-situ temperature measurements as input. 270 For the determination of atmospheric mixed layer height (MLH) used in the construction of in-271 situ NH₃ profiles, we examined P-3B aircraft profiles of other short-lived species with sources in 272 this region including nitrogen dioxide (NO₂) (Weinheimer et al., 1994), ethane (C₂H₆) from gas-273 274 oil activities (Yacovitch et al., 2014), and the number concentration of particles (CN) with diameters > 10 nm from the LARGE instrument (Beyersdorf et al., 2016). Additional MLH 275 measurements were incorporated from the High Spectral Resolution LIDAR 2 (HSRL2) 276 instrument onboard the NASA B200 aircraft (Scarino et al., 2014). Table 2 lists the dates on 277 which each platform measuring NH₃ was operational during these campaigns. 278

Platform	Method	Typical uncertainty	Referenced Work								
	C	alifornia (2013)									
NASA P-3B aircraft	PTR-MS	± 35%	(Müller et al., 2014)								
NASA P-3B aircraft	CRDS	$\pm (35\% + 1.7 \text{ ppbv}) + 0.2 \text{ ppbv}^{a}$	(Schiferl et al., 2016)								
Princeton mobile	Open-path QCL	$\pm 20\%$	(Miller et al., 2015)								
Colorado (2014)											
NASA P-3B aircraft	PTR-MS	$\pm 35\%$	(Müller et al., 2014)								
NSF/NCAR C-130 aircraft	QC-TILDAS	$\pm (22\% + 0.305 \text{ ppbv}) + 0.058 \text{ ppbv}^{a}$	(Ellis <i>et al.</i> , 2010; Schiferl <i>et al.</i> , 2016)								
Aerodyne mobile	QC-TILDAS	$\pm (22\% + 0.305 \text{ ppbv}) + 0.058 \text{ ppbv}^{a}$	(Ellis <i>et al.</i> , 2010; Schiferl <i>et al.</i> , 2016)								
Princeton mobile	Open-path QCL	\pm 10% + 0.2 ppbv a	(Miller <i>et al.</i> , 2014; Tao <i>et al.</i> , 2015)								

279	Table 1.	Summary	of in-situ	NH3 measuremen	nts during	DISCOVER	-AQ
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CU/NCAR mobile	SOF	\pm 4.4% + 1×10^{15} molecules cm^{-2 a}	(Kille et al., 2017)
NOAA CSD mobile	CRDS	$\pm~20\%~+~1~ppbv~^a$	(Eilerman <i>et al.</i> , 2016)
NOAA BAO tower	QC-TILDAS	$\pm~20\%$ + 0.5 ppbv $^{\rm a}$	(Tevlin et al., 2017)

Note. PTR-MS = proton transfer reaction-mass spectrometer; CRDS = cavity ring down 280 spectrometer; QCL = quantum cascade laser; NSF = National Science Foundation; NCAR = 281 National Center for Atmospheric Research; QC-TILDAS = quantum cascade tunable infrared 282 laser direct/differential absorption spectroscopy; CU = University of Colorado; SOF = Solar 283 Occultation Flux. BAO = Boulder Atmospheric Observatory. Uncertainties are presented as 284 reported in archived field data catalog and/or related publications. Subscripts in the third column: 285 ^a total uncertainty + limit of detection. In some cases, total uncertainty is reported as a 286 combination of a relative amount plus an absolute amount (% + X ppbv). 287

Table 2. Availability of in-situ NH_3 measurements in DISCOVER-AQ California in 2013 (upper table) and Colorado in 2014 (lower table). Colored and blank cells represent dates when data from each platform were or were not available, respectively.

Date Platform	1/16	1/17	1/18	61/1	1/20	1/21	1/22	1/25	1/26	1/27	1/28	1/29	1/30	1/31	1/2	2/3	2/4	2/5	2/6	<i>L/</i> Z
NASA P-3B (PTR-MS, A.Wisthaler)																				
NASA P-3B (CRDS, J. Nowak & A. Neuman)																				
Princeton mobile (Open-path QCL, M. Zondlo)																				

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Date Platform	7/16	7/17	7/18	7/19	7/20	7/21	7/22	7/23	7/24	7/25	7/26	7/27	7/28	7/29	7/30	7/31	8/1	8/2	8/3	8/4	8/5	8/6	8/7	8/8	8/9	8/10	8/11	8/12	8/13	8/14	8/15	8/16	8/18
NASA P-3B (PTR-MS, A.Wisthaler)																																	
NCAR/NSF C-130 (QC-TILDAS, S. Herndon, R. Roscioli, J. Nowak,)																																	
Aerodyne mobile (QC-TILDAS, C. Floerchinger)																																	
Princeton mobile (Open-path QCL, M. Zondlo)																																	
CU mobile (SOF-FTIR, R. Volkamer)																																	
NOAA CSD mobile (CRDS, A. Neuman)																																	
NOAA BAO tower																																	

(QC-TILDAS, J. Murphy)					
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292 2.3. Validation Algorithm

293 2.3.1. Spatiotemporal filtering

294 The general framework of the validation algorithm used in this study is shown in Figure 1. For each valid IASI observation, we applied a spatiotemporal window centered on the pixel's 295 center location and overpass time to filter for DISCOVER-AQ data within the designated 296 window. For example, if an in-situ measurement was made within a certain spatial distance (e.g. 297 ± 15 km) from the center of the IASI pixel, and the time difference relative to the IASI overpass 298 time was within the temporal window (e.g. ± 1 hour), the measurement was considered valid for 299 300 comparison. This applied to all in-situ NH_3 measurements and other relevant data (e.g. B200). One exception was the radiosondes, where we used the most temporally relevant launch even if 301 302 the instrument was located outside the window, especially when using smaller spatial windows. The other exception was the P-3B aircraft profiles, where all the data were included in a vertical 303 profile if the geographical center (mean latitude and longitude) of the aircraft spiral fell inside 304 the spatial window but parts of the spiral itself were outside the window. 305

We determined the optimal size of the spatiotemporal window based on wind speeds in 306 the boundary layer. To calculate typical boundary layer wind speeds, we used wind data 307 measured at 300 m on the BAO tower located in Erie, CO (40.050° N, 105.004° W) from 0600-308 1200 local time during DISCOVER-AQ to bracket the IASI morning overpass times. Next, in-309 situ NH₃ measurements located within the spatiotemporal window were aggregated into one 310 dataset. Each collocation between IASI and in-situ measurements required at least one aircraft 311 profile available so that an NH₃ vertical profile could be constructed. Figure 2 shows an example 312 of collocation between IASI and in-situ measurements on July 27, 2014. The location of the IASI 313 pixel centroid, denoted as a "+", is positioned in the center with its footprint boundary outlined 314 as a solid white ellipse. The spatial window (in this case, ± 15 km) is plotted as a dotted white 315 circle. For demonstration purposes only, the ± 3 hour temporal window is chosen in this case to 316 show as many different types of in-situ measurements as possible, but the vast majority of this 317 study focuses on a ± 1 hour temporal window as will be discussed later. The route of each in-situ 318 platform is marked with a different color. In this example, in-situ NH₃ measurements were 319 available from P-3B, C-130, Princeton mobile lab and BAO tower. 320



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Figure 1. Overview of the validation algorithm. The flowchart is repeated for each valid IASI pixel. If no in-situ data are found within the spatiotemporal window, the pixel will be skipped, and the next available pixel will be scanned for collocation. The four scenarios in Step 2 are described in section 2.3.2 and illustrated with an example in Figure 3. Step 3 is discussed in section 2.3.3.



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Figure 2. Example of collocated IASI and in-situ measurements on July 27, 2014 at 17:34:09 UTC. The left panel shows a two-dimensional view from the top and the right panel visualizes the movements of the in-situ platforms in a three-dimensional view. The center of the IASI pixel is denoted as "+". The solid ellipse marks the boundary of the IASI pixel. The dotted circle represents the ± 15 km spatial window. Note that the ± 3 hour temporal window in this case is only used to show how the choice of temporal windows impacts the availability of in-situ data.

Colored lines show the routes of the in-situ platforms: red = P-3B, yellow = C-130, green =Princeton mobile lab, blue dot = BAO tower. The 2D figure is overlaid on Google Maps (Google, Inc.) for better visualization of the geographical location and topography. Note that IASI pixels are circles of 12 km diameter at nadir, but elliptical otherwise as in this case.

2.3.2. Reconciling uncertainties in NH₃ profiles

339 The combined in-situ dataset was then used to calculate the integrated column density of NH₃. Two issues remained, however, in the construction of the in-situ-derived columns. First, 340 because the aircraft ceiling on most spirals was limited to ~ 5 km above sea level, it was 341 342 necessary to assume NH_3 concentrations in the middle and upper troposphere. Though NH_3 is expected to accumulate mostly in the boundary layer because of its short-lifetime on the order of 343 344 hours to days (Dentener & Crutzen, 1994; Seinfeld & Pandis, 2016), NH₃ has been detected in the middle and upper troposphere previously (Whitburn et al., 2016). Höpfner et al. (2016) for 345 instance measured NH₃ levels in the upper troposphere to be tens of parts per trillion by volume 346 $(1 \text{ pptv} = 10^{-12} \text{ mol/mol})$ in the outflow of an active monsoon system. However, the free 347 tropospheric NH_3 background was below the detection limit (< 3-5 pptv). More recently, 348 Höpfner et al. (2019) found that NH₃ concentrations in the upper troposphere could reach up to 1 349 ppbv in some regions during the Asian monsoon season, but were low in most other places. 350 Other studies that measured NH₃ profiles showed sharp decreases of NH₃ mixing ratio over 351 altitude (Nowak et al., 2010), and low NH₃ (< 1 ppbv) at these higher altitudes (Hoell et al., 352 1980; Ziereis & Arnold, 1986). Based on this evidence, it is reasonable to argue that NH₃ 353 354 concentrations in the upper troposphere are negligible compared to the lower troposphere. Second, a problem remained on how to deal with NH₃ concentrations measured by the aircraft 355 beyond the MLH. Previously, Sun et al. (2015) found that airborne NH₃ sensors (i.e. Picarro 356 CRDS and PTR-MS on the P-3B aircraft) in DISCOVER-AQ California exhibited sampling 357 artifacts above the MLH due to long and variable instrument response times. Because 358 DISCOVER-AQ CA and CO were in agricultural source regions, a strong gradient existed from 359 very high levels within the boundary layer to nominally clean free tropospheric levels. Such 360 strong gradients can be problematic for accurately quantifying NH₃ with an instrument or inlet 361 that has a time response slower than the rapid changes in ambient mixing ratios (Fehsenfeld et 362 al., 2002; Von Bobrutzki et al., 2010). 363

Given these issues on the column construction from the aircraft data, we accounted for 364 the lack of a full tropospheric profile and sampling artifacts by creating four possible scenarios 365 that bracketed the likely NH_3 distributions above the MLH in the troposphere. Figure 3 shows a 366 case studies used to address the vertical profile in the free troposphere: (1) integrating NH₃ only 367 up to the MLH, assuming negligible NH_3 concentrations above. Physically, this corresponds to 368 the assumption that NH_3 is contained within the boundary layer and dominates the column 369 measurement based on its short lifetime. It also assumes that sampling biases of instruments are 370 negligible in and around the strong gradient of the mixed layer. (2) Integrating NH₃ up to the 371 maximum aircraft altitude, assuming negligible NH₃ at altitudes higher than the aircraft ceiling. 372 This assumes that aircraft NH₃ measurements above the MLH are valid and real signals, but no 373 extrapolation is done beyond the aircraft range. (3) Integrating NH₃ up to the tropopause with 374 linear interpolation of decreasing mixing ratios from the maximum aircraft altitude to zero at the 375 tropopause estimated from sonde temperature profiles. In the real atmosphere, NH₃ is removed 376 377 from the atmosphere by reacting with nitric and sulfuric acids, and through dry deposition in the

gas phase and wet deposition as NH₄⁺ by cloud scavenging (Mensink & Deutsch, 2008; Mizak et 378 379 al., 2005; Nemitz, Milford, & Sutton, 2001). The linear interpolation represents a simplistic mode of decay of NH₃ concentrations over altitude via the removal pathways. (4) Integrating 380 NH₃ up to the tropopause, while assuming constant NH₃ mixing ratio from the maximum aircraft 381 altitude to the tropopause. The last scenario features a well-mixed free troposphere in which NH_3 382 is distributed uniformly in the vertical direction. In all four scenarios, we assumed NH₃ was 383 negligible beyond the tropopause based on previous studies (Höpfner et al., 2019, 2016). These 384 case studies of the free tropospheric NH₃ distribution helped to bracket the magnitude and 385 importance of the full NH₃ vertical profile that was not captured by the aircraft or caused by 386 potential sampling problems in the in-situ measurements. 387

Knowing the representative MLH for each collocation was necessary for the integration 388 of NH₃ profile in the first case. We estimated the MLH using three methods to minimize 389 potential errors and mismatches in some cases due to geographical elevation differences within 390 the window: (1) using the altitude of the first inversion of the temperature profile from the 391 nearest timed sonde launch (which may not necessarily be in the exact spatiotemporal window), 392 (2) using aerosol backscatter data from the B200 aircraft within the specified spatiotemporal 393 window, and (3) derived from the vertical profiles of other short-lived atmospheric tracers (NO_2 , 394 C_2H_6 and CN > 10 nm) measured by P-3B. The final MLH was calculated as the average of all 395 the available methods for each collocation. 396

397 2.3.3. Vertical integration

The available in-situ NH₃ measurements at 1 Hz were vertically binned every 100 m to 398 399 reduce noise, and the median value in each layer was used to build the final vertical profiles. Median values were chosen because in-situ measurements on or near the ground immediately 400 downwind of sources (e.g. feedlots) would skew the mean to be unrepresentative of the overall 401 layer. When there was an altitude mismatch between the lowest in-situ bin and the ground at the 402 pixel's center location (i.e. in-situ altitude > IASI centroid altitude), the in-situ NH₃ mixing ratio 403 was extrapolated from the lowest bin to the IASI centroid ground level. An additional 404 requirement that there must be at least two bins between the ground and MLH was applied to 405 reduce uncertainties due to interpolation. In cases where the IASI centroid ground altitude was 406 higher than in-situ locations, only measurements higher than the IASI ground altitude were 407 included. Using the ideal gas law, the column NH₃ was integrated from gas density over altitude: 408

$$\omega_{\rm NH_3} = \int \frac{C_{\rm NH_3} P_{\rm air}}{k T_{\rm air}} dz$$

where \mathcal{O}_{NH_3} is the column density of NH₃ in the same unit as IASI (molecules cm⁻²), C_{NH_3} is the mixing ratio of NH₃ in air (ppbv), P_{air} is the air pressure (Pa), *k* is the Boltzmann's constant (1.38×10⁻²³ J K⁻¹), T_{air} is the air temperature (K), and *z* is the altitude (m).



413

Figure 3. The four assumed NH₃ vertical profiles in this work. Profile 1 (blue): integration up to 414 MLH with zero NH_3 above; Profile 2 (orange): integration up to maximum aircraft altitude and 415 zero NH₃ above; Profile 3 (red): integration up to tropopause with linear interpolation of 416 417 concentrations beyond aircraft altitudes to a value of zero at the tropopause; Profile 4 (green): integration up to tropopause assuming constant NH₃ beyond aircraft altitude. The median profile 418 from each assumption is shown with the interpolated sections above the aircraft altitude plotted 419 as dashed lines. In-situ NH₃ concentrations at 1 Hz are shown in gray. The thin blue line 420 indicates the ground altitude at the IASI pixel center. The thin black line denotes the 421 422 corresponding MLH in this case. Altitude MSL stands for altitude above mean sea level.

423 The in-situ temperature profiles used for generating the third alternative IASI-NH₃ 424 product described in section 2.1 were aggregated from the in-situ platforms in section 2.2 and filtered using the spatiotemporal window of interest. Temperature measurements from 425 radiosondes were needed to fill in the gaps of aircraft temperature measurements (e.g. near the 426 ground, above the ceiling), yet in many cases a sonde could not be found within the window. 427 Therefore, we always used the nearest sonde in time to construct the temperature profile, whether 428 429 or not it was located within the specified spatial window. In California, however, this approach 430 was not ideal in winter. Due to strong yet shallow inversions, there were often large discrepancies between the sonde temperatures and those measured by the aircraft, especially near 431 the surface. To reduce errors due to spatial separation, we used P-3B aircraft temperatures where 432 433 applicable and sonde measurements outside the aircraft altitude range for California. In Colorado in summer, the horizontal gradients of temperature were less pronounced, and temperature 434 profiles measured by P-3B and a given sonde usually matched up very well. Therefore, 435 temperature data from the two platforms were integrated together, while we further combined 436 them with ground measurements of temperature (e.g. mobile labs) if available. The merged 437 temperatures were grouped in bins of 100 m, and the mean value in each bin was used to make 438 the final temperature profile. 439

440 2.3.4. Data coverage

We quantify contributions from each in-situ platform to the validation dataset using two 441 approaches: (1) by the sum of total measurement time, and (2) by the number of cases when the 442 platforms were collocated with IASI. Some measurements were counted more than once if they 443 were found to be collocated with IASI in multiple windows that we tested. Figure 4 shows that 444 the P-3B aircraft that carried the PTR-MS instrument was the largest contributor to the in-situ 445 dataset in Colorado, because we required each IASI-in-situ collocation to have an aircraft profile 446 from P-3B (the C-130 aircraft, on the other hand, did not perform vertical profiles at fixed 447 locations). The Princeton mobile lab and the BAO tower were operational on most days and 448 became the next major contributors. Although each in-situ NH₃ instrument has a different 449 uncertainty estimate (Table 1), we applied a 35% error (i.e. typical PTR-MS uncertainty) to the 450 entire in-situ dataset to approximate the overall instrument uncertainty. Because of potential 451 systematic biases in the in-situ measurements, we did not use a weighted average of errors from 452 different measurements within a given profile layer. Instrument biases were thought to be the 453 limiting factors of the uncertainty in all the in-situ measurements of NH₃ mixing ratio, and unlike 454 random errors, these would not be reduced through averaging. 455

456



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Figure 4. Data contribution from each in-situ platform in DISCOVER-AQ Colorado by (a) sum of total measurement time (1,996 hours) and (b) number of cases collocated with IASI. Statistics shown here are for all nine spatiotemporal windows tested in this study (1,426 total matches, including overlapping cases among different windows).

462 **3. Results and Discussion**

463 3.1. Comparison between Different In-situ Profiles

The average wind speed at 300 m measured from the BAO tower during the campaign was $3.5\pm2.2 \text{ m s}^{-1}$ (median: 3.1 m s^{-1}). The mean value is equivalent to a spatial window of approximately 15 km in diameter on an hourly scale. While we recognize that wind conditions may vary slightly among different regimes, a spatial window of ±15 km around the IASI centroids and a temporal window ±60 min around the overpass times were internally consistent with one another and can be used to account for the transport of NH_3 under typical atmospheric conditions during this period.

Within the ± 15 km and ± 60 min spatiotemporal window, the four different scenarios of 471 NH₃ profiles were quantitatively evaluated and compared based on the data in Colorado, given 472 the larger suite of measurements in this campaign. For comparison purposes, we only show the 473 comparison between in-situ and the reanalysis IASI product, but the overall conclusions hold for 474 the other two IASI products as well. The IASI reanalysis product versus the four in-situ profiles 475 476 plotted in Figure 5 with an orthogonal linear regression fit (lsqfitma, are https://www.mbari.org/index-of-downloadable-files/) that minimizes the perpendicular distances 477 to the fitted line from the abscissa and ordinate variables simultaneously. We did not force the 478 intercept through zero because the IASI instrument has a detection limit (Van Damme et al., 479 2014), and therefore a non-zero intercept is more reasonable and realistic in representing the 480 sensitivity of IASI towards in-situ data. Profile 1 leads to the lowest column abundances among 481 the four profiles because all the NH₃ measurements above the MLH are excluded. The column 482 abundances increase sequentially from profile 1 to 4 as the contributions from the free 483 troposphere increase. In theory, the MLH assumption (profile 1) would be the closest 484 representation of vertical distributions of NH₃ in the real atmosphere based on its short lifetime, 485 emissions at the surface, and negligible amounts measured elsewhere in the free troposphere. 486 The correlation coefficient and slope between IASI and in-situ data in Colorado indeed show a 487 sequential degradation from profile 1 to 4 as extra NH₃ is added into the integration. Profile 1 488 shows the overall best agreement between IASI and in-situ, and this is consistent with the 489 expectation that most NH₃ is accumulated within the boundary layer. We note that profile 2, 490 which treats all aircraft data as valid, shows a similar correlation (though with a factor of two 491 difference in the slope). However, there are potential caveats associated with using the airborne 492 measurements above the MLH given the sampling artifacts, which will be discussed in detail in 493 section 3.5. All the correlations shown are tested to be statistically significant (p<0.05, same for 494 495 all the analyses onward).

496 While we calculated the MLH using three different approaches, there were many cases in which only one or two of the approaches was available, especially when using smaller 497 spatiotemporal windows. For example, only 19 of the 63 cases shown in Figure 5 had valid MLH 498 information from all three approaches simultaneously. The means and standard deviations of 499 MLH in these 19 cases are as follows: B200: (2.3 ± 0.31) km; P-3B tracers: (2.8 ± 0.36) km; sonde: 500 (2.7 ± 0.24) km. These variabilities can partially be attributed to the spatial and temporal 501 502 separation between the instruments, thus the reason we chose to use the average of the available approaches as the representative MLH. 503



Figure 5. Reanalysis IASI product versus in-situ integrated columns from the four profiles for the ± 15 km and ± 60 min window in Colorado. Profile 1: integration up to MLH; Profile 2: integration up to maximum aircraft altitude; Profile 3: integration up to tropopause assuming a linearly decreasing NH₃ mixing ratio beyond aircraft altitude; Profile 4: integration up to tropopause assuming constant NH₃ beyond aircraft altitude. Error bars indicate the uncertainties of columns. Red line shows the best fit using orthogonal regression. Dashed line represents the 1:1 slope.

512 3.2. Comparison between Different IASI Products

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The three IASI products described in section 2.1 were compared with vertically 513 integrated in-situ columns under the MLH assumption (profile 1) within the ± 15 km and ± 60 min 514 window in Colorado (Fig. 6). The near real-time product comparing with in-situ columns 515 integrated up to the MLH and within the ± 15 km and ± 60 min spatiotemporal window yielded a 516 correlation coefficient of 0.58, an intercept of $(2.1\pm1.5)\times10^{15}$ molecules cm⁻² and a slope of 517 0.78 ± 0.14 . The reanalysis product showed no real changes in the correlation coefficient (0.57) 518 and intercept $((1.3\pm1.9)\times10^{15} \text{ molecules cm}^2)$, but a slope closer to unity (1.0 ± 0.19) was 519 observed. The in-situ derived IASI product showed a similar correlation (0.54), slope (1.1 ± 0.22) 520

and intercept $((1.7\pm2.2)\times10^{15}$ molecules cm⁻²). All the correlations are statistically significant. The intercepts for the three products are nearly indistinguishable from zero, particularly when compared to column amounts > 10^{16} molecules cm⁻², suggesting that there is no significant absolute bias between the IASI and in-situ datasets.

Accurate temperature measurements are needed for NH_3 retrievals, especially in the 525 lower layers of the atmosphere where errors in the temperature profile can affect the retrieved 526 NH₃ columns significantly. The reanalysis product relies on gridded ECMWF meteorological 527 528 data, and our results showed the robustness of this implementation, which allows for long-term consistent time series of IASI. The in-situ derived IASI product shows a slightly deviated slope 529 from unity, but the fact that this product matched well with the reanalysis product (Fig. 6d) 530 suggests that the retrievals are still internally coherent. The deviation may be attributed to the 531 fact that the in-situ temperature profiles largely consisted of sonde data, and sonde measurements 532 were not always representative of the IASI pixel in space and time. Our results are also 533 consistent with previous findings that the historic version 2.1 near real-time product (before 534 September 30, 2014 and the release of the IASI L2 version 6.0.5 by EUMETSAT) has a low bias 535 against the reanalysis product due to an overestimation of the thermal contrasts between the 536 surface and the lower tropospheric air (Van Damme et al., 2017). The differences in temperature 537 inputs are further illustrated in Figure 7, where we plot the mean temperature profile used in the 538 retrieval of each IASI product (left panel) and an example of a single observation (right panel). 539 The different profile shapes and thermal contrast values explain why the retrieved column 540 541 abundances vary. The largest thermal contrast (TC, shown as inset) between the surface air temperature and skin temperature (i.e. soil temperature) is found in the near real-time product, 542 confirming the reason why the retrieved columns are biased low against the other two products. 543

544





Figure 6. IASI-NH₃ products (a. near real-time, b. reanalysis, c. in-situ derived) versus the insitu NH₃ columns in Colorado based on the ± 15 km and ± 60 min window and MLH assumption. The intracomparison between the reanalysis and in-situ derived IASI products is shown in (d).

549 Red line shows the best fit using orthogonal regression. Dashed line represents the 1:1 slope.



Figure 7. EUMETSAT L2 (dark blue), ERA5 (red) and in situ (light blue) temperature profiles used in the retrieval of the near real-time, reanalysis and in-situ derived IASI products. Left panel: mean temperature profile in this study; right panel: a single observation on Aug 3, 2014 from IASI MetOp-A (39.867° N, 105.205° W).

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While linear regression provides a straightforward picture of how two datasets relate with 555 each other, the fitted slopes and intercepts can be driven by outliers on either side. We 556 additionally calculated histograms to check for potential differences that may exist between the 557 IASI and in-situ measurements. The remainders obtained from subtracting in-situ columns from 558 corresponding IASI columns are illustrated in Figure 8 (same scenarios as Fig. 6: IASI products 559 versus the in-situ MLH assumption for the ± 15 km and ± 60 min window in Colorado). The 560 Freedman-Diaconis rule was used to calculate the appropriate bin width and number of bins for 561 each distribution (Freedman & Diaconis, 1981). A Gaussian function was used to fit the 562 563 remainders for a smoother interpretation of distribution. The histograms show that the remainders were clustered around zero for all three products, with the near real-time product 564 having the smallest mean. This again indicates that the IASI products do not appear to have large 565 systematic biases. Therefore, averaging IASI observations under different scenarios 566 (low/moderate/high NH₃) likely will yield improved detection limits as any random 567 measurement noise becomes averaged out. 568



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Figure 8. Histograms showing the remainders between IASI and in-situ NH₃ columns in Colorado (± 15 km, ± 60 min window, MLH assumption) for (a) the near real-time, (b) the reanalysis and (c) the in-situ derived products. The fitted Gaussian distribution is plotted as a black curve. μ and σ denote the mean and standard deviation of the fitted Gaussian function, respectively.

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3.3. Comparison between Colorado and California

The DISCOVER-AQ California campaign was conducted over the San Joaquin Valley, 576 577 the most productive agricultural region in the U.S., with Kern County and Tulare County ranking top in total value of production as of 2017 (CDFA, 2018). Previous studies have found 578 significantly high NH₃ abundances in the San Joaquin Valley (Clarisse et al., 2010; Makar et al., 579 2009), making it a suitable area to evaluate the sensitivity of IASI towards surface NH_{3} . 580 However, using the same criteria as for Colorado, only a limited number of collocated case 581 (N=3, 9, 4 for near real-time, reanalysis and in-situ derived products) were found in California. 582 Part of the reason was that only the MetOp-A satellite was available during that time, whereas 583 both MetOp-A and B were operational during the Colorado campaign. The main factor, however, 584 was that the California campaign happened during winter in a valley area, where high cloud 585 coverage, low thermal contrast and strong but shallow temperature inversions were frequently 586 present. These conditions pose a challenge for accurate satellite NH₃ retrievals from an infrared 587 sounder. For demonstration purposes, a test was conducted from January 16 to February 7, 2013 588 to retrieve NH₃ columns for all the IASI MetOp-A pixels within the DISCOVER-AQ California 589 590 domain. Using the near real-time product as an example, only 22% of pixels produced valid columns after filtering for cloud (< 25%), accounting for the availability of skin temperature and 591 applying post-filtering, a process that was used to remove erroneous retrievals (Van Damme et 592 al., 2017). In contrast, a similar test between July 17 and August 10, 2014 over the DISCOVER-593 AQ Colorado domain showed that 51% of the MetOp-A and B pixels remained after the quality 594 control process. The limited sample size makes it extremely difficult to draw any conclusions on 595 the agreement between IASI and in-situ measurements in California. Given this, the scope of this 596 study is limited to Colorado. Table S2 lists the orthogonal regression results between the IASI 597 reanalysis product and the in-situ MLH assumption in California for all the spatiotemporal 598 599 windows we tested. The California example highlights the fact that validation results in one area may not apply to another area, especially where the conditions are dramatically different for 600 satellite observations. Similar to the results in Colorado, the reanalysis product in California 601 vielded more datapoints because of the increased availability of temperature profiles. 602

603 3.4. Comparison Between Spatiotemporal Windows

604 As described in section 3.1, we used the ± 15 km spatial window in conjunction with the ± 60 min temporal window to account for the transport of NH₃ based on the typical boundary 605 layer wind speed of 3.5 ± 2.2 m s⁻¹. We also evaluated the sensitivity of agreement between IASI 606 and in-situ data using other combinations of spatiotemporal windows up to a factor of three apart 607 in space and time. Table 3 lists the orthogonal regression results between IASI and the in-situ 608 MLH assumption under nine different spatiotemporal windows. The near real-time IASI product 609 is shown here as an example. For statistics of the other two IASI products, we refer to Table S3 610 and S4. The correlations in all nine windows are tested to be statistically significant. Aside from 611 the ± 15 km and ± 60 min window that has been adopted throughout the analysis, the ± 15 km and 612 ± 20 min window also showed similar performance. The "within pixel" and ± 20 min window 613 even outperforms the original window in terms of slope and correlation, which is reasonable 614 since we expect that most NH₃ emitted will not drift far from their source in such a short time 615 frame. However, it should be noted that the ± 15 km and ± 60 min window has a much larger 616 sample size than the two windows above, providing higher statistical power. From a statistical 617 perspective, none of the remaining windows are comparable to the ones discussed in terms of 618 overall agreement. 619

Table 3. Orthogonal regression statistics between the near real-time IASI product and the in-situ

621 *MLH assumption in Colorado for all the spatiotemporal windows tested, including overlapping* 622 *points*

Temporal window		20 min			60 min			180 min	
Spatial window	Within pixel	15 km	45 km	Within pixel	15 km	45 km	Within pixel	15 km	45 km
Slope	0.93	0.76	0.09	2.1	0.78	0.85	2.3	2.7	0.42
	±0.17	±0.17	±0.03	± 0.72	±0.14	±0.13	± 0.50	±0.66	± 0.06
Intercept	8.0e14	1.2e15	7.5e15	-4.7e15	2.1e15	1.3e15	-3.9e15	-7.9e15	4.2e15
	±2.4e15	±2.7e15	±6.4e14	±5.1e15	±1.5e15	±1.0e15	±3.2e15	$\pm 4.4e15$	±5.1e14
Correlation coefficient	0.84	0.68	0.22	0.50	0.58	0.38	0.64	0.41	0.38
Number of datapoints	12	22	152	25	60	244	32	81	259
IASI mean	1.1e16	1.1e16	8.4e15	9.2e15	8.8e15	7.1e15	9.4e15	9.2e15	6.7e15
	±9.7e15	±9.2e15	±6.9e15	±7.6e15	±7.1e15	±6.4e15	±7.8e15	±8.0e15	±5.8e15
In-situ	1.1e16	1.3e16	1.0e16	6.6e15	8.5e15	6.8e15	5.7e15	6.3e15	5.9e15
mean	±1.0e16	±1.1e16	±1.9e16	±5.1e15	±8.2e15	±6.8e15	±4.4e15	±5.0e15	±8.4e15
% difference	0.60	-15	-18	39	3.1	4.0	66	46	13

- *Note.* "Within pixel" means that the in-situ measurements must be located within the actual IASI
 pixel (~ 12 km in diameter). Standard deviations are shown for slopes, intercepts and means. The
 last row shows the percent difference between the means of IASI and in-situ columns.
- 626 3.5. Ascent and Descent Aircraft Profiles

An earlier study on the validation of TES NH₃ suggested that the two airborne NH₃ sensors (PTR-MS and CRDS) in DISCOVER-AQ California exhibited hysteresis during

sampling (Sun et al., 2015). In Figure 2b and c of Sun et al. (2015), the measured NH₃ 629 concentrations showed long tails of decay when exiting the boundary layer into the free 630 troposphere due to the sensors' relatively long response times. On the other hand, the sensors 631 detected almost no NH₃ signals when descending from the free troposphere until reaching the 632 boundary layer, likely affected by an extended portion of outgassing in clean conditions prior to 633 the descent. The Colorado campaign only had PTR-MS onboard the P-3B aircraft, but here we 634 also examined the differences between the ascent and descent profiles. We remind that the PTR-635 MS had limitations in detection limit and response time because it was not optimized for 636 measuring NH₃ at the time of DISCOVER-AQ. 637

As an example of the hysteresis in sampling, we selected two pairs of locations relatively 638 close to each other where aircraft profiling was conducted to minimize the influence of NH₃ 639 gradients across the transect. Figure 9a shows the time series on July 23, 2014, of NH₃ for an 640 ascent profile at NREL (Golden), a high-altitude leg near 5.5 km MSL for 22 km (2.5 min) and 641 then a descent spiral profile near the BAO tower (near Erie). The second pair of profiles 642 consisted of an ascent at Denver (La Casa), another high-altitude segment at 5.5 km MSL for 26 643 km (3 min), followed by a descent at Chatfield Park south of Denver. MLH was estimated from 644 the P-3B aircraft temperature profiles and found to be similar between these locations (difference 645 within 100 m). The geographical locations of the four sites are shown in Figure 9b. 646

647 Figure 9c and d show the vertical profiles of NH₃ for the near-adjacent ascent and descent pairs. For comparison, Figure 9e and f show the same pairs of profiles for C_2H_6 , a petrochemical 648 649 tracer with a ~ 2 month lifetime that has sources located in the same general areas as NH₃ emissions in northeast Colorado and has no sampling issues (Kille et al., 2019). The dashed line 650 marks the average boundary layer height averaged between each pair. For the first set of profiles 651 (Fig. 9c), the descent had an average NH_3 mixing ratio of 2.2 ppbv while the ascent averaged 652 over two times higher at 4.6 ppby. We hypothesize these differences were mainly due to 653 instrument sampling issues because the corresponding C₂H₆ profiles (Fig. 9e) showed no 654 noticeable differences between the two sites, particularly the relative shapes of the profiles 655 around the MLH. This sampling hysteresis for NH₃ is consistent with those reported by Sun et al. 656 (2015). We investigated several other profiles and found similar patterns, especially when there 657 were large enhancements of NH_3 (> 5 ppbv) near the ground, suggesting that PTR-MS was 658 subject to artifacts because the instrument was not optimized for sampling and detecting NH₃. 659

For the second pair (Fig. 9d), the average NH₃ during descent again was lower than the 660 ascent, but there was a difference in air mass between these two sites. Figure 9f shows that C_2H_6 661 had different boundary layer profiles in the two locations, though were indistinguishable at and 662 above it. Nonetheless, the relative shapes of the NH_3 and C_2H_6 profiles are dramatically 663 different, with C₂H₆ showing an abrupt and large decrease above the MLH while NH₃ shows a 664 more gradual decrease around the MLH. The more gradual transition of NH₃ is again likely 665 related to sampling biases, though real air mass differences certainly play some role at least in 666 the boundary layer. 667



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Figure 9. Vertical profiles of 1 Hz NH₃ and C_2H_6 measurements from the P-3B aircraft in Colorado on July 23, 2014. Panel (a) shows the time series of NH₃ measured by the PTR-MS. The two pairs of adjacent ascent and descent profiles selected for comparison are highlighted by different colors. The thin dashed line and grey dotted vertical lines show the altitude change during the flight and breaks in the time series, respectively. Panel (b) shows the geographical locations where the aircraft profiles were made. Panels (c) and (d) illustrate the NH₃ profiles during the ascents and descents. Dashed lines mark the MLH averaged across each ascent and

descent pair. Panels (e) and (f) are the corresponding C_2H_6 profiles measured simultaneously by a TILDAS instrument.

While we have demonstrated that IASI is comparable to in-situ-derived profiles in 678 general, the differences between ascent and descent profiles due to sampling issues require more 679 examination. We show in Figure 10 the comparison of the three IASI products against ascents 680 and descents separately, and key differences are readily observed. For example, the ascent 681 profiles yield higher columns than corresponding IASI columns, consistent with the above 682 observations of instrument artifacts from outgassing. Likewise, descent profiles are generally 683 lower due to surface adsorption upon rapid increases in NH₃ concentrations as one descends. As 684 a result, the descent clusters have higher slopes than the ascent clusters. Descents tend to have 685 much higher correlations than ascents (0.62-0.68 vs. 0.43-0.46) as well as slopes closer to unity, 686 but their intercepts also tend to be larger. Because sampling biases occur after large 687 concentration changes regardless of the direction (net adsorption vs. net outgassing), it is unclear 688 which of these profiles is more representative of the actual distribution. Therefore, we have opted 689 to aggregate all PTR-MS measurements together regardless of the flight direction. Our findings 690 suggest that attention needs to be paid to the discrepancies between ascent and descent profiles 691 as a metric of in-situ, instrument inlet/sampling performance. Future airborne campaigns 692 targeting NH₃ concentrations should employ sensors with highly quantified instrument responses 693 in-flight, during upward and downward flight profiles in the same location, and with high 694 precision and low bias in order to obtain more accurate NH₃ vertical profiles. 695



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Figure 10. IASI-NH₃ products versus the in-situ NH₃ columns in Colorado (± 15 km and ± 60 min window and MLH assumption) with ascent (orange) and descent (green) aircraft profiles analyzed separately (same data replotted from Figure 6).

3.6. Comparison between IASI and CU SOF

Aside from in-situ NH₃ mixing ratios, ground-based mobile CU SOF measurements of NH₃ total columns were also available during the DISCOVER-AQ/FRAPPÉ Colorado campaign (Kille *et al.*, 2017). The CU SOF NH₃ columns depend only weakly on a priori parameters and have a small total uncertainty (accuracy: 4%, and not less than 0.7×10^{15} molecules cm⁻²; Kille *et*

al., 2017, 2019). For this comparison, we removed the requirement that each collocation must 705 706 have an aircraft profile and focused only on the CU SOF measurements within the ± 15 km and ± 60 minute window and where the CU SOF had at least partial overlap with the IASI pixel. 707 708 Figure 11a shows a case study of the mobile CU SOF passing through an IASI pixel on July 28, 2014. The IASI reanalysis column and uncertainty in this case is $(9.6\pm2.2)\times10^{15}$ molecules cm⁻². 709 whereas the CU SOF median and standard deviation are $(10\pm1.9)\times10^{15}$ molecules cm⁻², with 710 individual values as low as $(6.0\pm0.7)\times10^{15}$ and up to $(21\pm1)\times10^{15}$ molecules cm⁻² observed (Fig. 711 11b). Because the CU SOF was not fixed in space and time as it constantly moved on the road, it 712 clearly demonstrated the high intra-pixel variability of NH₃ vertical column densities. A total of 713 26 cases with orthogonal regression between IASI reanalysis columns and CU SOF medians 714 yielded a slope of unity (0.91±0.26), an intercept nearly indistinguishable from zero ((-715 3.4 ± 3.1)×10¹⁵ molecules cm⁻²), and a correlation coefficient of 0.56 (Fig. 11c). A single pixel 716 satellite measurement inherently integrates the true NH₃ column gradients, and Kille *et al.* (2017) 717 showed 90% variability in NH₃ column occurs on spatial scales shorter than 6 km in 718 Northeastern Colorado. The CU SOF column data are unique, in that they integrate over 719 boundary layer height, and do not depend on the NH₃ profile shape. The high column variability 720 721 seen is consistent also with the aircraft and mobile data and highlights the intrinsic challenges of validating a short-lived primary species such as NH₃ that has strong spatial gradients, especially 722 in hotspot regions like Northeastern Colorado. This fundamental sampling challenge of satellite 723 724 validation is particularly severe, but not unique to NH₃, and has been observed for other gases 725 (Ortega et al., 2015).



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Figure 11. (a) A case study of CU SOF within the ± 15 km and ± 60 min window moving across 727 the IASI pixel centroid on July 28, 2014. The route of CU SOF is colored by the measured 728 column abundances. The center of the IASI pixel is denoted as "+". The solid ellipse marks the 729 boundary of the IASI pixel. The dotted circle represents the ± 15 km spatial window. The figure 730 is overlaid on Google Maps. (b) Time series of CU SOF measurements in this case, with the CU 731 SOF median and IASI reanalysis column, together with their uncertainties (see text in section 732 3.6), and the IASI overpass time shown. (c) CU SOF medians versus collocated IASI reanalysis 733 columns based on the ± 15 km and ± 60 min window. Red line shows the best fit using orthogonal 734 regression. Dashed line represents the 1:1 slope. 735

736

737 4. Implications

In the past decade, satellite NH₃ measurements have been used to study the trends and 738 distributions of NH₃ owing to their long data record and global coverage (Dammers et al., 2019; 739 Pinder et al., 2011; Van Damme et al., 2018; Warner et al., 2016). Although research has 740 attempted to validate satellite NH₃ using surface concentrations and ground-based stationary 741 FTIR measurements, the accuracy of satellite measurements on pixel scales - which are 742 important for studying emission sources and their variabilities - has not been thoroughly 743 744 validated. In this work, we compared IASI NH₃ with collocated in-situ-derived profiles and mobile SOF columns from a suite of aircraft, tower, mobile laboratory, and sonde measurements 745 from the NASA DISCOVER-AQ and NSF FRAPPÉ field experiments. We validated version 3 746 of the IASI NH₃ dataset with three types of temperature profile: the near real-time product from 747 EUMETSAT, the ERA5/ECMWF reanalysis product, and one using in-situ temperature profiles 748 from the DISCOVER-AQ/FRAPPÉ campaign. 749

IASI correlates well with in-situ NH₃ integrated up to the MLH within windows of ± 15 750 751 km from the IASI centroid and ±60 min of the overpass time. The choice of MLH is an important factor in this study, which determines the altitude ceiling to which in-situ data are 752 integrated. For cases with significant NH₃ sampling biases in the free troposphere, it is 753 754 recommended that the MLH information be derived from as many different approaches as 755 possible, as some variabilities will exist among the instruments within a certain spatiotemporal window. The three IASI products show similar performances, with the reanalysis product 756 757 showing the overall best agreement (slope = 1.0 ± 0.19 , intercept = $(1.3\pm1.9)\times10^{15}$ molecules cm⁻ ², r = 0.57). Because IASI has no significant biases compared to the in-situ profiles, IASI 758 columns can be spatiotemporally averaged for improved signal to noise ratios where appropriate. 759 The IASI retrieval depends on accurate temperature inputs, especially in the boundary layer 760 where most NH₃ resides. The near-real time product showed a slight bias in the slope due to an 761 overestimation of the thermal contrast relative to the reanalysis product. Our IASI results are 762 demonstrated for summer in Colorado USA but may not be representative of other locations and 763 times. More validations are needed, especially in cases with strong inversions and low thermal 764 contrast such as in valleys and in winter. Large columns (> 5×10^{16} molecules cm⁻²) could also 765 not be validated with the current set of in situ measurements. Note that no AVK information was 766 generated from IASI retrievals or applied to the in-situ data, and therefore this study only 767 presents a comparison between the unconstrained IASI columns and in-situ integrated columns. 768 A weighting function is being considered for future IASI versions that can be used to account for 769 770 the variabilities of vertical sensitivity.

This study is the first validation of IASI NH₃ at the single pixel scale using vertically 771 integrated in-situ concentrations. Nonetheless, as with TES single pixel validation results, 772 773 sampling artifacts of airborne NH₃ instruments remain a significant barrier for improved validations. Not only are there fewer measurements of free tropospheric NH₃ from airborne 774 science field campaigns compared to other in-situ trace gases, but NH₃ measurements with high 775 accuracy and fast response are critically needed for the mapping of NH₃ vertical profiles. This is 776 particularly important for validating in source regions where elevated concentrations in the 777 boundary layer may influence the corresponding free tropospheric values due to sampling biases 778 of existing instruments. Besides, vertical profiles of NH₃ in the boundary layer themselves have 779 780 complex structures due to emissions, partitioning into aerosol phases, and temperature and relative humidity changes. Until unbiased, accurate in-situ aircraft NH_3 profiles above the MLH become available, profile 1 should be used for validation as it represents a more realistic distribution of NH_3 in the troposphere. More boundary layer NH_3 profiles will improve the development of retrieval algorithms for all infrared sounders, and this can be achieved with recent advances in NH_3 measurements techniques and the increased availability of airborne research campaigns (Miller *et al.*, 2014; Pollack *et al.*, 2019).

Vertical profiles of NH₃ in the free troposphere are also a high priority, as the integrated 787 788 NH₃ concentrations in the free troposphere may be comparable to the overall column abundance in low and moderate NH₃ locations. Compact spirals like those made in DISCOVER-AQ are 789 critical for the validation of short-lived species such as NH₃ so that horizontal gradients are not 790 manifested as vertical gradients during altitude changes. In addition, repeated upward and 791 downward transects over the same airmass will provide a measure of confidence in any potential 792 instrument sampling biases. While our study focuses on the measurements from IASI, these 793 794 generalized conclusions hold for all infrared sounders that are sensitive to boundary layer temperature profiles and thermal contrast. Overall, more robust datasets are needed for validating 795 satellite NH₃ measurements, particularly at the pixel scale as satellite NH₃ datasets are applied 796 toward higher-resolution emission inventories and atmospheric composition analyses. 797

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835 Data Availability

- All the datasets used in this work are publicly available and archived at the following websites:
- 837 DISCOVER-AQ/FRAPPÉ: https://www-air.larc.nasa.gov/missions/discover-aq/discover-aq.html
- 838 IASI version 3 and 3R: https://iasi.aeris-data.fr/NH3/
- 839

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Figure 1.



Figure 2.



Figure 3.



Figure 4.



Figure 5.





Figure 6.



Figure 7.



Figure 8.



Figure 9.



Figure 10.



Figure 11.

