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7 **Mechanisms associated with Daytime and Nighttime Heat Waves**
8 **over the Contiguous United States**

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ABSTRACT

28
29 Heat waves are extreme climate events that have the potential to cause immense stress on human
30 health, agriculture, and energy systems, so understanding the processes leading to their onset is
31 crucial. There is no single accepted definition for heat waves, but they are generally described as
32 a sustained amount of time where temperature exceeds a local threshold. Multiple different
33 temperature variables are potentially relevant, as high values of both daily maximum (T_{max}) and
34 minimum (T_{min}) temperatures can be detrimental to human health. In this study, we focus
35 explicitly on the different mechanisms associated with summertime heat waves manifested
36 during daytime versus nighttime hours over the contiguous United States. Heat waves are
37 examined using the National Aeronautics and Space Administration (NASA) Modern-Era
38 Retrospective analysis for Research and Applications, Version 2 (MERRA-2). Over 1980–2018,
39 the increase in the number of heat wave days per summer was generally stronger for nighttime
40 heat wave days than daytime heat wave days, with localized regions of significant positive
41 trends. Processes linked with daytime and nighttime heat waves are identified through
42 composite analysis of precipitation, soil moisture, clouds, humidity and fluxes of heat and
43 moisture. Daytime heat waves are associated with dry conditions, reduced cloud cover, and
44 increased sensible heating. Mechanisms leading to nighttime heat waves differ regionally across
45 the US, but they are typically associated with increased clouds, humidity and/or low-level
46 temperature advection. In the Midwest US, enhanced moisture is transported from the Gulf of
47 Mexico during nighttime heat waves.

48 **1. Introduction**

49 Heat waves are among the most destructive extreme climate events, causing immense
50 stress on human health, agriculture, and energy systems. The study of heat waves is complicated
51 by the lack of a single accepted definition for them (Robinson 2001; Perkins and Alexander
52 2012; Perkins 2015). They are broadly defined as a sustained amount of time where temperature
53 exceeds a threshold. However, a variety of different temperature variables can be used – the
54 daily mean (T_{mean}), maximum (T_{max}) and minimum (T_{min}) temperature are potentially
55 relevant, as are variables which account for humidity (Russo et al. 2017), such as apparent
56 temperature, equivalent temperature, and heat index. Smith et al. (2013) and Lyon and Barnston
57 (2017) provide detailed comparisons of these different indices.

58 Previous research has indicated that, on a global scale, heat wave frequency and intensity
59 have increased (Perkins et al. 2012) and are likely to continue to increase in the future (Meehl
60 and Tebaldi 2004; Wang et al. 2020). Over the United States, trends in heat wave frequency
61 have been generally positive in recent decades (Oswald and Rood 2014; Schoof et al. 2017;
62 Oswald 2018; Shafiei Shiva et al. 2019), although regional trends vary based on the index used
63 (Smith et al. 2013). Several studies have noted the greater increase in nighttime (T_{min}) versus
64 daytime (T_{max}) heat waves over the United States (Lyon and Barnston 2017; Rennie et al.
65 2019), specifically over California and Nevada (Gershunov et al. 2009), the Pacific Northwest
66 (Bumbaco et al. 2013) and Florida (Cloutier-Bisbee et al. 2019).

67 The health impacts of extreme daytime heat are intuitive, but epidemiological studies
68 have also noted the particularly dangerous nature of nighttime heat. In several case studies over
69 different regions, minimum temperatures were more strongly linked with excess mortality
70 (Kalkstein and Davis 1989; Kalkstein 1991; Hajat et al. 2002; Dousset et al. 2011). Presumably,

71 this is due to the fact that warm nights eliminate the anticipated recovery period during extreme
72 heat events. Both daytime and nighttime heat waves can be harmful to society, so it is important
73 to understand the unique mechanisms leading to the onset of each.

74 Atmospheric conditions characteristic of daytime heat waves are well-studied. Typically,
75 heat waves are associated with anticyclonic circulation and subsidence in the middle and upper
76 troposphere (Namias 1982; Chang and Wallace 1987; Meehl and Tebaldi 2004; Lau and Nath
77 2012; Schubert et al. 2014; Grotjahn et al. 2016; Yang et al. 2019). Horizontal temperature
78 advection is also an important driver, and the relative contributions of subsidence and advection
79 can vary even between geographically close regions (e.g., Hu et al. 2019; Yang et al. 2019).
80 Several studies have shown the potential for Rossby wave patterns to influence extreme events
81 such as heat waves in different parts of the world (Schubert et al. 2011; Wu et al. 2012; Teng et
82 al. 2013; Kornhuber et al. 2019; Röthlisberger et al. 2019). Lehmann and Coumou (2015)
83 reported a link between storm track activity and heat extremes. For daytime heat waves, land-
84 atmosphere interactions are also highly relevant, as daytime heat leads to depletion of soil
85 moisture and a subsequent reduction in evaporative cooling (Fischer et al. 2007; Miralles et al.
86 2014). Thus, droughts and heat waves are often linked, although the strength of the linkage
87 varies regionally (Koster et al. 2009; Cheng et al. 2019).

88 Relatively fewer studies have focused on the mechanisms associated with nighttime heat
89 waves. Nighttime heat waves have typically been linked with an anomalously moist atmosphere,
90 which affects downward longwave fluxes. Gershunov et al. (2009) found a similar atmospheric
91 circulation during daytime and nighttime heat waves over California but greater moisture
92 advection from offshore during the latter. In their study of heat waves over the Pacific
93 Northwest US, Bumbaco et al. (2013) also noted a greater role of precipitable water in nighttime

94 heat waves, compared with a stronger 500-hPa ridge and increased subsidence during daytime
95 heat waves. Over the Korean peninsula, nighttime heat events were found to be associated with
96 a baroclinic atmospheric structure and increased cloud cover (Hong et al. 2018). A
97 comprehensive analysis of mechanisms driving nighttime heat waves for different regions of the
98 United States does not yet exist, to the best of our knowledge.

99 In this study, we focus explicitly on the different mechanisms associated with heat waves
100 manifested during daytime versus nighttime hours over the United States. To facilitate this
101 separation, the majority of the analysis will concentrate on events that occur solely during either
102 the daytime or nighttime hours. Events that span both daytime and nighttime hours muddle the
103 interpretation of independent processes, and hence are not the primary focus here. Section 2
104 describes the data and analysis methods. Section 3 details the results, starting with an
105 assessment of the climatology and trends in heat wave frequency over the United States, and
106 followed by a composite analysis to investigate mechanisms that contribute to heat waves. A
107 summary and conclusions are provided in section 4.

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109

110 **2. Data and methods**

111 *a. MERRA-2*

112 The National Aeronautics and Space Administration (NASA) Modern-Era Retrospective
113 analysis for Research and Applications, Version 2 (MERRA-2; Gelaro et al. 2017) is the primary
114 tool used in this analysis. Hourly data from MERRA-2 are available at a spatial resolution of
115 0.625° longitude by 0.5° latitude starting in January 1980.

116 MERRA-2 is a global atmospheric reanalysis with a variety of updates relative to the
117 original MERRA (Rienecker et al. 2011). Among these is the inclusion of an observation-driven
118 precipitation field to force the land surface (Reichle et al. 2017a). An evaluation of the MERRA-
119 2 climate can be found in Bosilovich et al. (2015). In general, MERRA-2 is improved relative to
120 its predecessor, though biases do remain. For instance, MERRA-2 often underestimates the daily
121 maximum temperature and overestimates the daily minimum temperature, leading to a negative
122 bias in the diurnal temperature range (Bosilovich et al. 2015; Draper et al. 2018). MERRA-2
123 typically reproduces notable summer precipitation anomalies over the US, though issues exist
124 with the diurnal cycle of precipitation¹ (Bosilovich et al. 2015). Although in general the
125 inclusion of observation-corrected precipitation improves the estimates of land surface hydrology
126 and energy balance (Reichle et al. 2017b; Draper et al. 2018), the downwelling longwave (net
127 shortwave) radiation at the surface tends to be underestimated (overestimated) over the US
128 (Bosilovich et al. 2015; Draper et al. 2018) and positive biases exist in the summer latent heat
129 flux, particularly over energy-limited climate regimes (Draper et al. 2018).

130 Despite these biases, MERRA-2 provides a quality reanalysis and a useful tool for this
131 study. The availability of a variety of diagnostics at hourly frequency allows for a better
132 representation of diurnal variations, and makes possible a precise day/night delineation, as is
133 necessary for this analysis.

134

¹ The diurnal cycle of the observation-corrected precipitation is model-generated as only daily mean values of observed precipitation contribute to the correction.

135 *b. Heat wave definition*

136 Rather than using Tmax and Tmin as has been done in previous studies to define heat
137 waves, we use average daytime and average nighttime temperature to emphasize the sustained
138 nature of heat wave events (Kalkstein and Davis 1989). Furthermore, using daytime- and
139 nighttime-averaged temperature eliminates inconsistencies in the hour of Tmax and Tmin from
140 day to day in a given location (Wang and Zeng 2013). Daytime and nighttime temperatures are
141 computed using incoming shortwave flux at the top of the atmosphere (TOA) as a mask. A day
142 begins at local sunrise, which is assumed to be the first hour in which TOA shortwave flux
143 exceeds 10 W m^{-2} ; the day ends 23 hours later. Temperatures during hours for which the TOA
144 shortwave flux exceeds 10 W m^{-2} are averaged to produce a single daytime value, and those
145 during hours for which TOA shortwave flux is less than 10 W m^{-2} are averaged to produce the
146 nighttime value.

147 A heat wave event is defined here as a period of at least 3 days where the average 2-meter
148 temperature (T2m) exceeds its calendar day 90th percentile. There were no major changes in the
149 results when varying the heat wave definition from 2 to 4 days. While other percentiles could be
150 used to define heat waves, the 90th percentile was chosen to provide a balance between
151 representing extreme temperatures and maintaining a large enough sample size of events.
152 Calendar day percentiles are computed using a fixed climatological period (1981–2010) and a
153 five-day window (i.e., Zhang et al. 2005). We define three independent categories of heat wave
154 events:

- 155 1. Daytime heat wave event: daytime temperature exceeds its 90th percentile value for at
156 least 3 days; nighttime temperature is below the 90th percentile value on at least one
157 of the three days.

- 158 2. Nighttime heat wave event: nighttime temperature exceeds its 90th percentile value
159 for at least 3 days; daytime temperature is below the 90th percentile value on at least
160 one of the three days.
- 161 3. Compound heat wave event: both daytime and nighttime temperatures exceed their
162 90th percentiles for at least three days.

163 This heat wave categorization is similar to several recent studies of heat waves over
164 China (Freychet et al. 2017; Chen and Li 2017; Chen and Zhai 2017; Su and Dong 2019), except
165 that here we are using daytime and nighttime average temperature rather than Tmax and Tmin.
166 See Fig. A1 for a schematic illustrating each heat wave type. We also tested the effect of
167 increasing the separation between daytime and nighttime temperatures in the heat wave
168 definition, i.e., requiring that the daytime temperature is below the Xth percentile on nighttime
169 heat wave days, and vice versa. Increasing the separation (decreasing X further below 90)
170 reduced the number of heat wave days without changing the results notably, so X was kept at 90
171 in the interest of maximizing the sample size.

172 Heat waves are defined both on a gridpoint scale and a regional scale. For the gridpoint
173 analysis, daytime or nighttime T2m at an individual gridpoint is used to define heat waves. For
174 the regional analysis, daytime or nighttime T2m is area-averaged over the region of interest, and
175 this time series is used to define heat waves over the region. The use of area-averaged
176 temperatures for defining heat waves could lead to the situation where regional events are
177 disproportionately influenced by extreme temperatures in only one part of the region. To address
178 this, we examined including an additional fraction of area requirement, but found that this
179 reduced the sample size without qualitatively changing results. We examine the continental US

180 (CONUS) regions used in the fourth National Climate Assessment (NCA; Wuebbles et al. 2017).

181 See Figure A2 for further details on the process used to define heat wave days and events.

182 *c. Analysis method*

183 This analysis focuses on the North American warm season of June, July and August
184 (JJA) for 1980–2018. To analyze different variables which may be linked with daytime or
185 nighttime heat waves, we utilize composite analysis. For this, daily averages of variables are
186 averaged over all heat wave days of a particular type, to determine dominant patterns of
187 association. To be included in the composite analysis for independent daytime (nighttime) heat
188 waves, a day must 1) be part of a daytime (nighttime) heat wave event (see section 2b) and 2)
189 have only the daytime (nighttime) temperature exceed the 90th percentile (i.e., filled-in squares in
190 Figure A2).

191 Composites are produced by averaging the variable of choice over all heat wave days of a
192 given type. Thus, for a given variable, there are six composite fields: daytime-average of
193 daytime heat wave days, nighttime average following daytime heat wave days, daytime average
194 preceding nighttime heat wave days, nighttime average of nighttime heat wave days, daytime
195 average of compound heat wave days and nighttime average of compound heat wave days.

196 Statistical significance of composites is assessed in two ways. First, a Student's t-test is
197 performed with a null hypothesis that the composite mean anomaly is not significantly different
198 from zero. The t-statistic is computed as the ratio of the mean of all values included in the
199 composite to the standard error of all values included in the composite. Next, the nonparametric
200 two-sided Wilcoxon-Mann-Whitney rank-sum test is used to assess whether heat wave days are

201 significantly different from all summer days for a given variable. Both significance tests are
202 performed at the 95% confidence level.

203 To create daily averages of variables, hourly variables are separated into their daily
204 daytime and nighttime averages. This is done at each grid point, in the same way as for T2m
205 described in section 2b. For analysis of NCA regions, the start of the day was taken as the most
206 frequently occurring sunrise hour in the region on a given day. Daily daytime and nighttime
207 anomalies are computed (using their respective climatologies) for the relevant variable using a 5-
208 day window and a fixed climate period of 1981–2010. In the case of T2m, standardized
209 anomalies are computed by dividing the anomalies by their standard deviation, in order to
210 account for the local variability of temperature.

211 Examined variables include low level winds, 500hPa heights, vertically integrated
212 moisture transport, precipitation, root-zone soil moisture, sensible and latent heat flux, total
213 precipitable water, 2m specific humidity, downwelling longwave flux, cloud cover and
214 shortwave and longwave cloud radiative effect (CRE).

215 Shortwave CRE (SWCRE) is calculated as the difference between the surface net
216 downward shortwave flux (SWGNT, using MERRA-2 output variable names) and the surface
217 net downward shortwave flux assuming clear sky (SWGNTCLR):

$$218 \quad \text{SWCRE} = \text{SWGNT} - \text{SWGNTCLR}. (1)$$

219 Longwave CRE (LWCRE) is calculated as the difference between the surface absorbed
220 longwave radiation (LWGAB) and the model-defined surface absorbed longwave radiation
221 assuming clear sky, i.e. with cloud effects removed (LWGABCLR):

$$222 \quad \text{LWCRE} = \text{LWGAB} - \text{LWGABCLR}. (2)$$

223

224 3. Results

225 a. *Heat wave climatology and trends in MERRA-2*

226 Prior to the examination of heat wave climatology, we assess the climatology of summer
227 daytime and nighttime T2m. Figure 1 shows the mean and subseasonal standard deviation of
228 daily daytime and nighttime T2m over all JJA days in 1980–2018. The subseasonal standard
229 deviation is computed after removing the annual cycle and detrending the daily T2m values. The
230 mean T2m generally decreases with latitude, with the exception of the high-elevation areas in the
231 Rocky and Appalachian mountain ranges. The spatial patterns of daytime and nighttime mean
232 T2m are similar, although the diurnal temperature range (difference between Figs. 1a and 1b) is
233 larger in the western half of the country. The standard deviation of daily temperature is largest in
234 the Northern Great Plains and Northwestern US for both daytime and nighttime T2m (Figs. 1
235 c,d).

236 The boundaries of the seven NCA regions are drawn in each panel of Fig. 1. For each of
237 these regions, Fig. 2 displays the number of daytime, nighttime and compound heat wave days
238 and events, as well as the average and maximum event duration for JJA 1980–2018. In all
239 regions, there are many more compound heat wave days and events than either independent
240 daytime or nighttime ones (Figs. 2a,b). Compound heat wave events also typically persist for
241 longer than either daytime or nighttime events (Fig. 2c). The statistics for the Southern Great
242 Plains are affected by the extreme summer of 2011, when 82 days in JJA were classified as heat
243 wave days, including one single event which lasted 44 days (Fig. 2d). Therefore, the grey bars in
244 Fig. 2 show the statistics for compound heat waves over the Southern Great Plains if 2011 is not
245 included. The Northwest, Northern Great Plains and Southeast have the fewest number of
246 independent day or night heat waves.

247 Figure 3 shows the total number of daytime, nighttime and compound heat wave days
248 and events over JJA 1980–2018 at each grid point. Again, the most striking aspect is the high
249 number of compound heat wave days and events (relative to daytime or nighttime days and
250 events) at all grid points. Broadly speaking, the regions with greater variability in summertime
251 daily T2m (Figs. 1c,d) have fewer daytime or nighttime heat wave days. In many regions, there
252 are more daytime than nighttime heat wave days and events, especially in the Northeast,
253 Midwest and Southwest. The Southern Great Plains stand out as having the greatest number of
254 compound heat wave days, again with a sizeable contribution from the summer of 2011. The
255 minimum in heat wave frequency over the North-Central US has also been noted by Lyon and
256 Barnston (2017), who attribute it to the large day-to-day variability in atmospheric circulation in
257 this region.

258 The linear trend in the number of heat wave days per summer (JJA) over 1980–2018 is
259 shown in Fig. 4. For daytime-only heat wave days, trends are weak, varied and mostly
260 insignificant across the U.S. (Fig. 4a). For nighttime heat wave days, trends are predominantly
261 increasing in the Southwestern and Northwestern U.S., the Midwest and the Northeast, though
262 statistical significance is localized and scattered within these regions and may be difficult to
263 separate from statistical noise (Fig. 4b). The stronger and more widespread trends in nighttime
264 versus daytime heat waves are consistent with recent literature (Oswald et al. 2018; Rennie et al.
265 2019). Over much of the United States, trends in the frequency of compound heat wave days
266 (Fig. 4c) are much larger than trends in daytime or nighttime heat wave days, with a coherent
267 region of statistically significant trends in the Western US. The northern Great Plains and parts
268 of the Southeastern US show negative trends in compound heat wave frequency, though they are
269 not statistically significant. This is reminiscent of the warming hole over the Southeastern US

270 during the second half of the twentieth century (Meehl et al. 2012). Over parts of the Southwest,
271 Northwest, Southern Plains and Northeast, trends in the frequency of compound heat wave days
272 exceed 2 days per decade.

273

274 *b. Point-wise composites*

275 Using heat wave days at each grid point as the sample size (see Fig. 2), we compute
276 composite fields of various variables from MERRA-2. Figure 5 shows the composites of
277 daytime and nighttime T2m standardized anomalies for each of the three heat wave types.
278 Compound heat wave days exhibit the largest positive standardized anomalies in T2m of all heat
279 wave types for both daytime temperature (Fig. 5e) and nighttime temperature (Fig. 5f). Daytime
280 T2m standardized anomalies are typically 1.25–1.75 K over the US on daytime-only heat wave
281 days (Fig. 5a) and exceed 2 K over parts of the north-central US on compound heat wave days
282 (Fig. 5e). As expected, the daytime T2m standardized anomalies preceding nighttime heat waves
283 (Fig. 5c) are the weakest of the three (since by definition these temperatures must not exceed the
284 90th percentile), but they are still positive everywhere, ranging from 0.5–1 K. Similarly, the
285 nighttime T2m standardized anomalies following daytime-only heat waves (Fig. 5b) are the
286 weakest of the three nighttime temperature composites, but these too are still positive
287 everywhere. Nighttime T2m standardized anomalies range from 1.25–1.75K on nighttime heat
288 wave days (Fig. 5d), and from 1.5–2 K during compound heat wave days (Fig. 5f).

289 It is clear that compound heat wave days are associated with larger daytime and nighttime
290 T2m anomalies than either daytime or nighttime heat wave days. However, the processes
291 driving high daytime and nighttime temperatures during compound heat waves are not fully
292 understood. Likely, these compound events are associated with some combination of the

293 mechanisms driving extreme daytime or nighttime heat, with variation from one event to the
294 next. In order to more cleanly examine the different mechanisms associated with extreme
295 daytime and nighttime heat, the remainder of the composite analysis will focus on independent
296 daytime and nighttime events, with some discussion of compound events throughout.

297 Figure 6 shows the composites of daily precipitation and root-zone soil moisture anomalies
298 for daytime and nighttime heat wave days. Daytime heat wave days are associated with negative
299 anomalies in daytime precipitation (Fig. 6a) and soil moisture (Fig. 6c) over the majority of the
300 US. This is consistent with previous work noting the importance of land-surface processes for
301 extreme high daytime temperatures (Fischer et al. 2007; Miralles et al. 2014). For nighttime heat
302 wave days, positive nighttime precipitation (Fig. 6b) and soil moisture (Fig. 6d) anomalies are
303 seen in the Northeast and Midwest US. Ford and Schoof (2017) also found oppressive (high
304 humidity) heat waves in Illinois to be associated with increased antecedent precipitation and soil
305 moisture. The positive anomalies over the agriculture-heavy Midwest suggest a potential role for
306 evapotranspiration from crops, though further analysis is needed to better understand this
307 relationship. Weak but significant dry anomalies persist on nighttime heat wave days over the
308 south-central US. Compound heat wave days (not shown) are characterized by patterns
309 resembling those of daytime heat wave days – negative anomalies in precipitation and soil
310 moisture over the entire US, with stronger anomalies in the eastern half of the country.

311 Heat flux anomalies on heat wave days are shown in Fig. 7. Since heat fluxes are smaller at
312 night, the left two columns of the figure show heat fluxes during daytime hours for both daytime
313 and nighttime heat wave days. On daytime heat wave days, the spatial patterns of latent heat
314 flux (Fig. 7a) and sensible heat flux (Fig. 7b) are consistent with that for soil moisture (Fig. 6c);
315 decreased soil moisture over much of the eastern half of the US naturally leads to decreased

316 latent heat flux and increased sensible heat flux. The Pacific Northwest and northern New
317 England are exceptions to this, since in these regions it is energy availability, rather than water
318 availability, which most affects evapotranspiration (Koster et al. 2006b, their Fig. 4a). Consistent
319 with Yang et al. (2019), anomalous energy fluxes on daytime heat waves are relatively smaller
320 over the Western US. For the daytime hours preceding nighttime heat waves (Fig. 7, middle
321 column), latent heat flux anomalies are varied and mostly insignificant over the northern US,
322 while sensible heat flux anomalies are significantly negative over the Northeast, Midwest,
323 California and the Pacific Northwest (Fig. 7e). The Southern Great Plains region exhibits unique
324 behavior, in that both daytime and nighttime heat wave days are associated with significant
325 negative anomalies in latent heat flux and significant positive anomalies in sensible heating. This
326 is a region characterized by strong land-atmosphere coupling (Koster et al. 2006a); air
327 temperature variations here are in part controlled by soil moisture variations and soil moisture
328 here is anomalously low on both daytime and nighttime heat wave days (Fig. 6d).

329 The right column of Fig. 7 shows the heat flux anomalies at night during nighttime heat wave
330 days. The magnitudes of heat fluxes are much smaller at night (note the different colorbars). As
331 Fig. 7f shows, during nighttime heat wave days over much of the central US there are significant
332 negative anomalies in sensible heat flux at night, i.e., increased flux of heat from the air to the
333 surface. This is reflective of the increased wind speed over these regions on nighttime heat wave
334 days, a feature that also appears during the nighttime of compound heat wave days (not shown).

335 Cloud properties are examined in Fig. 8. Figure 8a shows the composites of anomalies in
336 total cloud area fraction and demonstrates that daytime heat wave days are associated with
337 reduced daytime cloud cover over the entire US. Nighttime heat wave days are associated with
338 positive anomalies in total cloud area over the Northeast, Midwest and Southwest both during

339 concurrent nighttime hours (Fig. 8b) and preceding daytime hours (Fig. 8c). Cloud cover
340 anomalies are insignificant over the Southeast and Central US on nighttime heat wave days. The
341 middle and bottom rows of Fig. 8 show the anomalies in shortwave and longwave cloud radiative
342 effect (CRE).

343 The increased cloud cover during the daytime hours preceding nighttime heat wave days is
344 reflected in negative anomalies in Shortwave CRE over California, the Northeast and the
345 Midwest (Fig. 8e), or reduced shortwave radiation reaching the surface. The longwave CRE
346 anomalies are only significantly positive over the Southwest US, with no corresponding
347 signature in the Northeast and Midwest (Figs. 8g,h). This can be explained by Fig. 9, which
348 shows nighttime heat wave days over the Midwest and Northeast are associated with significant
349 increases in TPW (Fig. 9b) and near-surface specific humidity (Fig. 9d). The downwelling
350 longwave flux at the surface is enhanced over the entire US on nighttime heat wave days, with
351 particularly strong positive anomalies in the Southwest, Northeast and Midwest (Fig. 9f). Over
352 the Northeast and Midwest, the increase in downwelling longwave flux is primarily associated
353 with the increased atmospheric moisture, whereas over the Southwest, the increase in cloud
354 fraction also plays a role. The strong positive anomalies in TPW on nighttime heat wave days
355 (Fig. 9b) are consistent with previous studies that have noted statistically significant positive
356 anomalies in TPW over Southern California (Gershunov et al. 2009) and the Pacific Northwest
357 (Bumbaco et al. 2013) on T_{min}-heat wave days.

358

359 *c. Regional composites*

360 The gridpoint-by-gridpoint compositing method used in Figs. 6–9 is useful for assessing
361 patterns across the entire US and for determining regions with similar local and columnar

362 properties. To examine large scale features of the atmospheric circulation that may contribute to
363 regional heat waves, we look into heat waves defined using area-averaged temperatures from
364 each of the NCA regions. Although circulation could be composited using heat waves defined at
365 an individual grid cell, spatial averaging is likely to provide greater statistical significance to any
366 remote connections. To represent the synoptic circulation and low-level temperature advection,
367 respectively, Fig. 10 shows the 500-hPa heights and height anomalies and 10m wind anomaly
368 fields composited over heat wave days for each of the seven NCA regions. In order to focus on
369 dynamical processes rather than the influence of long-term changes, the trends are removed from
370 500-hPa heights prior to computation of these composites. In all regions, both daytime and
371 nighttime heat wave days are associated with positive anomalies in 500-hPa heights, as expected
372 (Namias 1982; Chang and Wallace 1987; Loikith and Broccoli 2012). However, there are
373 features of the 10m winds that differ between daytime and nighttime heat waves for various
374 regions.

375 The wind anomalies are relatively small during both daytime and nighttime heat waves in the
376 Northwest and Southwest regions. Warm air advection (in particular, anomalous southerly flow)
377 appears to be a factor in nighttime heat wave days over the Northeast, Southeast, Midwest and
378 Southern and Northern Great Plains. (Figs. 10b,d,f,h,j). To confirm this, Fig. 11 shows the
379 composite mean 2m temperature and 10m winds. Indeed, this displays that particularly for the
380 Midwest (Fig. 11h) and Great Plains (Fig. 11 k,n), warmer temperatures are transported into the
381 region by low-level southern winds on nighttime heat wave days.

382 The strong wind anomalies during nighttime heat wave days over the Midwest US (Fig. 10f)
383 are interesting given that this region consistently showed noteworthy anomalies in other
384 variables on nighttime heat wave days in the gridpoint composites: increased precipitation and

385 soil moisture (Figs. 6b,d), reduced sensible heating (Fig. 7d), increased cloud cover (Figs. 8b,c),
386 and increased humidity and TPW (Figs. 9b,d). The Great Plains Low Level Jet (GPLLJ; Bonner
387 1968) is an important player in the summer hydroclimate of the US, as it transports heat and
388 moisture from the Gulf of Mexico into the central US. It is characterized by a wind maximum in
389 the lower troposphere and a diurnal cycle with increased strength at nighttime (Helfand and
390 Schubert 1995; Weaver and Nigam 2008). In order to investigate reasons for increased moisture
391 in the Midwest region on nighttime heat wave days, composites of 850-hPa winds and vertically
392 integrated moisture transport over Midwest heat wave days are shown in Fig. 12. Given the
393 climatological diurnal variability of these quantities, this figure shows the nighttime fields for
394 both daytime and nighttime heat wave days to facilitate a direct comparison.

395 Figure 12 shows that nighttime 850-hPa winds over the Great Plains and Midwest US are
396 much stronger for nighttime heat waves (Fig. 12b) than for daytime heat waves (Fig. 12a),
397 suggesting a strengthened GPLLJ. For nighttime heat waves, nighttime 850-hPa winds exceed 16
398 m/s in the Central Great Plains. The intensified nighttime winds are not unique to the 850-hPa
399 level; this feature persists throughout the lower troposphere, and these increased low-level winds
400 lead to a strong enhancement in the moisture transport into the region (Fig. 12d). The nighttime
401 heat wave wind fields are reminiscent of the first mode of GPLLJ variability identified by
402 Weaver and Nigam (2008), corresponding to a strengthening and northward extension of the jet
403 (see their Fig. 10), leading to positive precipitation anomalies in the Midwest and negative
404 precipitation anomalies in the Southeast US. Previous studies have found the terminus of the
405 GPLLJ to be connected with the development of mesoscale convective systems (Tuttle and
406 Davis 2006), which could offer further connection to the positive anomalies in precipitation on
407 nighttime heat wave days. The structure of the 500-hPa height anomaly pattern for Midwest

408 nighttime heat waves (Fig. 10f) consists of a slightly eastward-displaced ridge and a trough to the
409 west, indicating a coupling between the upper level flow and low-level jet (e.g., Burrows et al.
410 2019). This suggests a greater role for synoptic scale rather than boundary-layer processes in
411 driving the strengthened GPLLJ. It has also been shown that the presence of a mid-tropospheric
412 cyclone/anticyclone spanning the continent (as seen in Fig. 10f) amplifies the diurnal component
413 of the GPLLJ (Schubert et al. 1998). The anomalous continental-scale ridge characteristic of
414 daytime heat wave events (Fig. 10e) allows daytime temperatures to climb from increased solar
415 radiation, and nighttime temperatures to radiatively cool.

416

417 **4. Summary and conclusions**

418 This study examined daytime, nighttime and compound heat waves during summer over
419 the United States using MERRA-2. Compound heat waves occurred the most frequently over
420 the United States during JJA 1980–2018 and are associated with the largest daytime and
421 nighttime temperature anomalies. Despite this, independent daytime or nighttime heat wave
422 events present an opportunity to assess unique processes driving extreme temperatures during
423 daytime or nighttime separately, and thus are the focus of this analysis. Such an approach was
424 further motivated by the particularly dangerous nature of high nighttime temperatures and by the
425 larger trends in the frequency of nighttime versus daytime heat waves over many regions (Fig.
426 4). We constructed composites of various land surface and atmospheric variables for these two
427 types of heat waves to infer their mechanisms.

428 The results for daytime heat waves are generally consistent with previous research on
429 heat waves: they are associated with positive anomalies in 500-hPa heights, dry soils, a lack of
430 precipitation, reduced cloud cover and increased sensible heating. Exact processes do vary

431 between different regions of the US, with a greater role indicated for surface energy fluxes in the
432 central and eastern US (Yang et al. 2019).

433 Mechanisms associated with nighttime heat waves differ by region. In the Great Plains
434 and Southeast, nighttime heat wave days are associated with anomalous low-level southerly flow
435 (Figs. 9d,h,j), leading to the advection of warmer air into the region. In the Northeast, Midwest
436 and Southwest US, nighttime heat wave days are associated with increased cloud cover and
437 TPW, which keeps daytime temperatures lower due to reduced solar radiation at the surface and
438 leads to increased downward longwave flux at the surface at night (Fig. 9f). This is again
439 consistent with previous explanations for extreme nighttime heat over the Pacific Northwest of
440 the US (Bumbaco et al. 2013), China (Chen and Li 2017) and Korea (Hong et al. 2018).

441 The Northeast and Midwest US exhibit unique behavior on nighttime heat wave days – in
442 addition to increased clouds and moisture, they are associated with positive anomalies in
443 precipitation and soil moisture. Further investigation of the Midwest US revealed that increased
444 low-level winds on nighttime heat wave days bring increased moisture from the Gulf of Mexico
445 into the region. The apparent connection between the GPLLJ and heat waves in the Midwest US
446 is noteworthy. The development of a GPLLJ significantly affects heat and moisture transports
447 over the US (Uccellini and Johnson 1979; Helfand and Schubert 1995) and thus is a logical
448 potential influence on heat waves. Lopez et al. (2018) found an enhanced GPLLJ to be linked
449 with fewer heat extremes in the Great Plains through its influence on soil moisture. However,
450 their study focused on heat waves defined using daily mean temperature; their results would
451 likely have been different if they had defined heat waves using minimum temperatures.

452 Mechanisms driving nighttime heat wave days in the Northwest US remain unclear. It is
453 possible that nighttime heat waves in this region are simply the result of warm daytime

454 temperatures that haven't quite exceeded the 90th percentile, similar to the reasoning for dry
455 tropical nights provided by Chen et al. (2014). This can be seen in Fig. 5c, which shows that
456 daytime T2m anomalies preceding nighttime heat wave days are greatest in the Northwest and
457 Great Plains. Additionally, there are relatively few heat wave days over the Northwest US
458 during this period (see Fig. 3), leading to a small sample size for the composite analysis.

459 It should be noted that results here are for summer heat waves in general; how the
460 mechanisms linked with heat waves vary between the summer months of June, July and August
461 would be an interesting question, although a larger sample size would be required.

462 It would be worthwhile to examine other potential remote influences on daytime and
463 nighttime heat waves using composite analysis or other methods. For instance, the frequency of
464 heat waves over the United States has been recently linked to the Atlantic Multidecadal
465 Variability (Ruprich-Robert et al. 2018), the North Atlantic Subtropical High (Li et al. 2019) and
466 Arctic sea ice extent (Budikova et al. 2019). Lopez et al. (2019) found a potential linkage
467 between circulation associated with heat waves in the U.S. Great Plains and the East Asian
468 Monsoon through a stationary wave-train forced by diabatic heating. However, all of these
469 studies were focused on Tmax-or Tmean-type heat waves; teleconnective influences on
470 independent nighttime heat waves remain to be examined.

471 In general, the present analysis identifies unique processes associated with daytime and
472 nighttime extreme temperatures over most regions, justifying their separate study. Compound
473 heat waves, those events manifested in both the daytime and nighttime temperatures, had the
474 most frequent occurrence (Figs. 2–3), greatest frequency trends (Fig. 4) and strongest intensity
475 (Fig. 5) of the three heat wave types. These events were not given as much attention in this
476 analysis in order to cleanly distinguish the processes associated with the daytime and nighttime

477 extremes. It is hypothesized that individual compound events arise due to some combination of
478 the unique daytime or nighttime heat processes identified in this study. Further work is needed
479 to understand the temporal evolution of daytime and nighttime temperatures and the
480 accumulation of heat during compound heat waves.

481

482

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488 Data availability statement: MERRA-2 data can be obtained from the NASA GESDISC.

489

490

491 **6. Appendix: Heat Wave Definition**

492 Figure A1 provides an example of each of the three heat wave types. In the top left panel,
493 one of the three nights (in this example, the third one) falls below the 90th percentile, so this
494 would be classified as a daytime heat wave. The top right panel is an example of a nighttime
495 heat wave since the first two days are below the 90th percentile while all three nights exceed the
496 90th percentile. In the bottom left panel, both daytime and nighttime temperature exceed
497 their 90th percentile on all three days, satisfying the definition of a compound heat wave. The
498 bottom right panel is not a heat wave since neither daytime nor nighttime temperature exceeds
499 the 90th percentile on all three days.

500 Figure A2 then illustrates how heat wave days and events are identified given a time series of
501 daily 2mdaytime or nighttime temperature. For the gridpoint analysis, this is the daily
502 temperature at an individual grid point. For the regional-scale analysis, this is T2m area-
503 averaged over the NCA region of choice. The example outlined here is for heat waves in the
504 Northeast US NCA region.

505 Daytime and nighttime temperatures are analyzed separately to define heat wave days. For
506 each calendar day in JJA, days are identified where T2m exceeds its calendar-day 90th percentile
507 for 3 days or more. If only one of daytime or nighttime temperatures is in heat wave conditions
508 on a given day, it is an independent daytime or nighttime heat wave day (filled red and blue
509 squares in Fig. A2). If both daytime and nighttime temperatures are in heat wave conditions, a
510 compound heat wave day is identified (filled-in black squares in Fig. A2).

511 Heat wave events are identified next. If a collection of consecutive heat wave days consists
512 only of daytime or nighttime heat wave days, it is defined as a daytime or nighttime event, as
513 indicated by the red and blue lines in Fig. A2. If a collection of consecutive heat wave days
514 consists of only compound heat wave days, or any combination of daytime, nighttime and
515 compound heat wave days, it is classified as a compound heat wave event (black lines in Fig.
516 A2).

517 Finally, for daytime and nighttime heat wave days, the opposite temperature is examined to
518 ensure that it is below the 90th percentile. By definition there will not be 3 consecutive days
519 where the opposite temperature exceeds the 90th percentile (otherwise it would be a compound
520 heat wave). However, it is still possible that for individual days in the event, the opposite
521 temperature exceeds the 90th percentile. These are shown by the unfilled red and blue squares in

522 Fig. A2. These days are excluded from the composite analysis of independent daytime and
523 nighttime heat waves, but still remain as part of the daytime or nighttime event.

524 **7. References**

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- 709

710 **8. List of Figures**

711 Figure 1 Mean (top row) and subseasonal standard deviation (bottom row) of daytime
712 2mtemperature (left column) and nighttime 2mtemperature (right column) of all JJA days
713 in 1980–2018 (i.e., $92 \times 39 = 3588$ days). The black lines denote the boundaries of the
714 seven NCA regions, used for analysis of regional-scale heat waves.

715 Figure 2 Total number of (a) heat wave days, (b) heat wave events, (c) average event duration
716 and (d) maximum event duration for each NCA region and for each of the 3 heat wave
717 types: daytime (red bars), nighttime (blue bars) and compound (black bars) heat waves.
718 The grey bars in the Southern Great Plains column indicate the statistics after omitting
719 the extreme summer of 2011. Days and events are counted for JJA 1980–2018. The
720 boundaries of the NCA regions are displayed in Figure 1.

721 Figure 3 Total number of daytime (left), nighttime (middle), and compound (right) heat wave
722 days (top) and events (bottom) at each grid point over JJA 1980–2018.

723 Figure 4 Trends in number of summer (JJA) heat wave days for heat waves expressed during
724 daytime (left) and nighttime (right) in MERRA-2. Trends are for 1980–2018. Trends
725 significant at the 95% confidence level according to the Mann-Kendall test are indicated
726 with white dots. Trend fields are smoothed once by a 9-point smoother before plotting.

727 Figure 5 Composites of daily standardized anomalies in daytime 2mtemperature ($^{\circ}\text{C}$; left
728 column) and nighttime 2mtemperature ($^{\circ}\text{C}$ right column) for daytime heat wave days (top
729 row), nighttime heat wave days (middle row) and compound heat wave days (bottom
730 row).

731 Figure 6 Composites of daily anomalies in observation corrected total precipitation (mm/day; top
732 row) and root-zone soil moisture (dimensionless; bottom row) for daytime hours of
733 daytime heat wave days (left column) and nighttime hours of nighttime heat wave days
734 (right column). Regions where the composite mean is not statistically significant at the
735 95% confidence level are masked out.

736 Figure 7 Composites of daily anomalies in total latent heat flux (W m^{-2} ; top row) and sensible
737 heat flux from turbulence (W m^{-2} ; bottom row) for daytime hours on daytime heat wave
738 days (left column), daytime hours on nighttime heat wave days (middle column) and
739 nighttime hours on nighttime heat wave days (right column). Regions where the
740 composite mean is not statistically significant at the 95% confidence level are masked
741 out.

742 Figure 8 Composites of daily anomalies in total cloud area fraction (dimensionless; top row),
743 shortwave CRE (W m^{-2} ; middle row) and longwave CRE (W m^{-2} ; bottom row) for
744 daytime hours on daytime heat wave days (left column), nighttime hours on nighttime
745 heat wave days (middle column) and daytime hours on nighttime heat wave days (right
746 column). Note that there is no shortwave CRE at night. Regions where the composite
747 mean is not statistically significant at the 95% confidence level are masked out.

748 Figure 9 Composites of daily anomalies in total precipitable water vapor (kg m^{-2} ; top row),
749 2mspecific humidity (g kg^{-1} ; middle row) and surface downward longwave flux (W m^{-2} ;
750 bottom row) for daytime hours on daytime heat wave days (left column), and nighttime

751 hours on nighttime heat wave days (right column). Regions where the composite mean is
752 not statistically significant at the 95% confidence level are masked out.

753 Figure 10 Composites of daily anomalies of 500-hPa heights (m; shading) and 10m winds (m/s;
754 vectors) and daily mean 500-hPa height composites (m; grey contours) for daytime (left
755 column) and nighttime (right column) heat wave days occurring in each NCA Region
756 (rows). Fields are only plotted when the composite anomaly is statistically significant at
757 the 95% confidence level. The region where area-averaged temperatures are used to find
758 heat wave days for each region is outlined in green. See Figure 2 for the number of heat
759 wave days incorporated into these composites for each region.

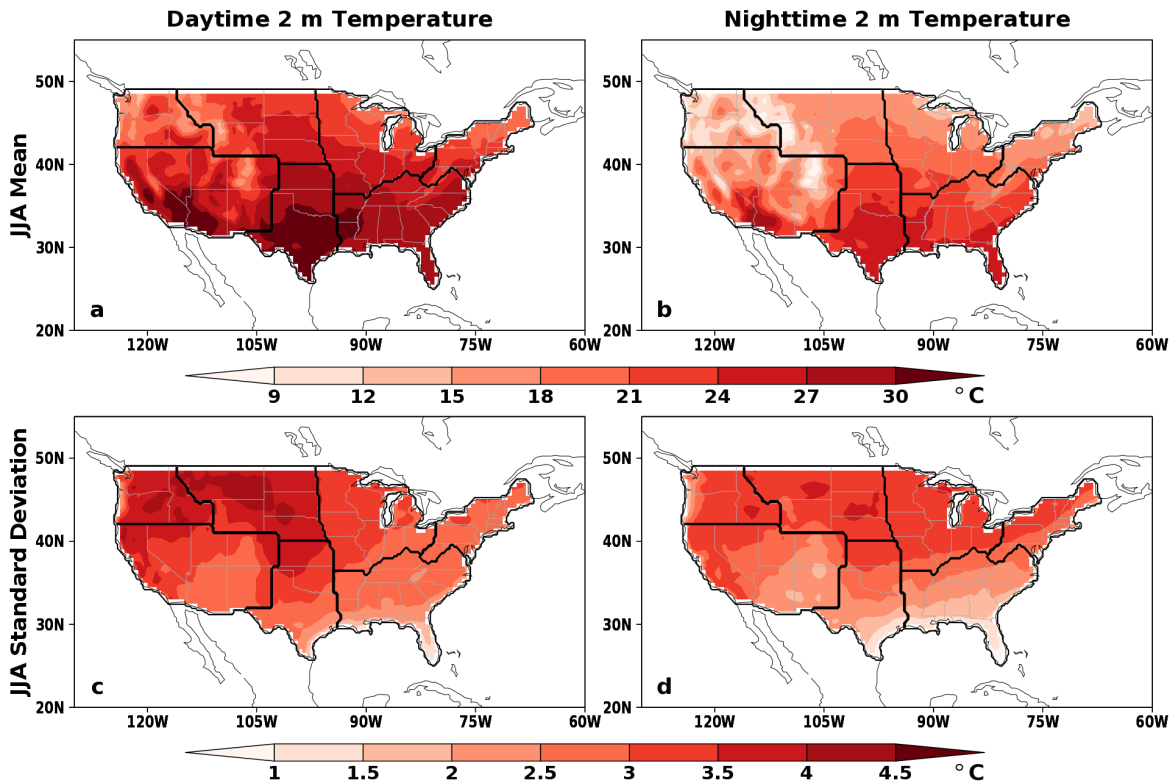
760 Figure 11 As in Fig. 10 but for the composites of daily 2m temperature (shading; °C) and 10m
761 winds (vectors; m/s).

762 Figure 12 Composites of nighttime 850-hPa winds (m/s; top row) and nighttime vertically
763 integrated moisture flux ($\text{kg m}^{-1} \text{s}^{-1}$; bottom row) for daytime (left column) and nighttime
764 (right column) heat wave days occurring in NCA Region 3 (Midwest US, outlined in
765 green).

766 Figure A1 Schematic illustrating examples for each of the heat wave definitions. The black line
767 shows the 2m temperature for daytime (red dots) and nighttime (blue dots) on three
768 hypothetical days. The red (blue) line shows the calendar-day 90th percentiles for
769 daytime (nighttime) 2m temperatures.

770 Figure A2 Heat wave days and events based on MERRA-2 2mtemperature data averaged over
771 the Northeast NCA region. The filled in red, blue and black squares represent daytime,
772 nighttime and compound heat wave days, respectively. The red, blue and black lines
773 represent daytime, nighttime and compound heat wave events, respectively. Unfilled red
774 (blue) squares represent daytime (nighttime) heat wave days where the nighttime
775 (daytime) temperature also exceeds the 90th percentile. The numbers in parentheses in
776 the legend indicate the number of days and events for each of the three heat wave types
777 over JJA 1980-2018.

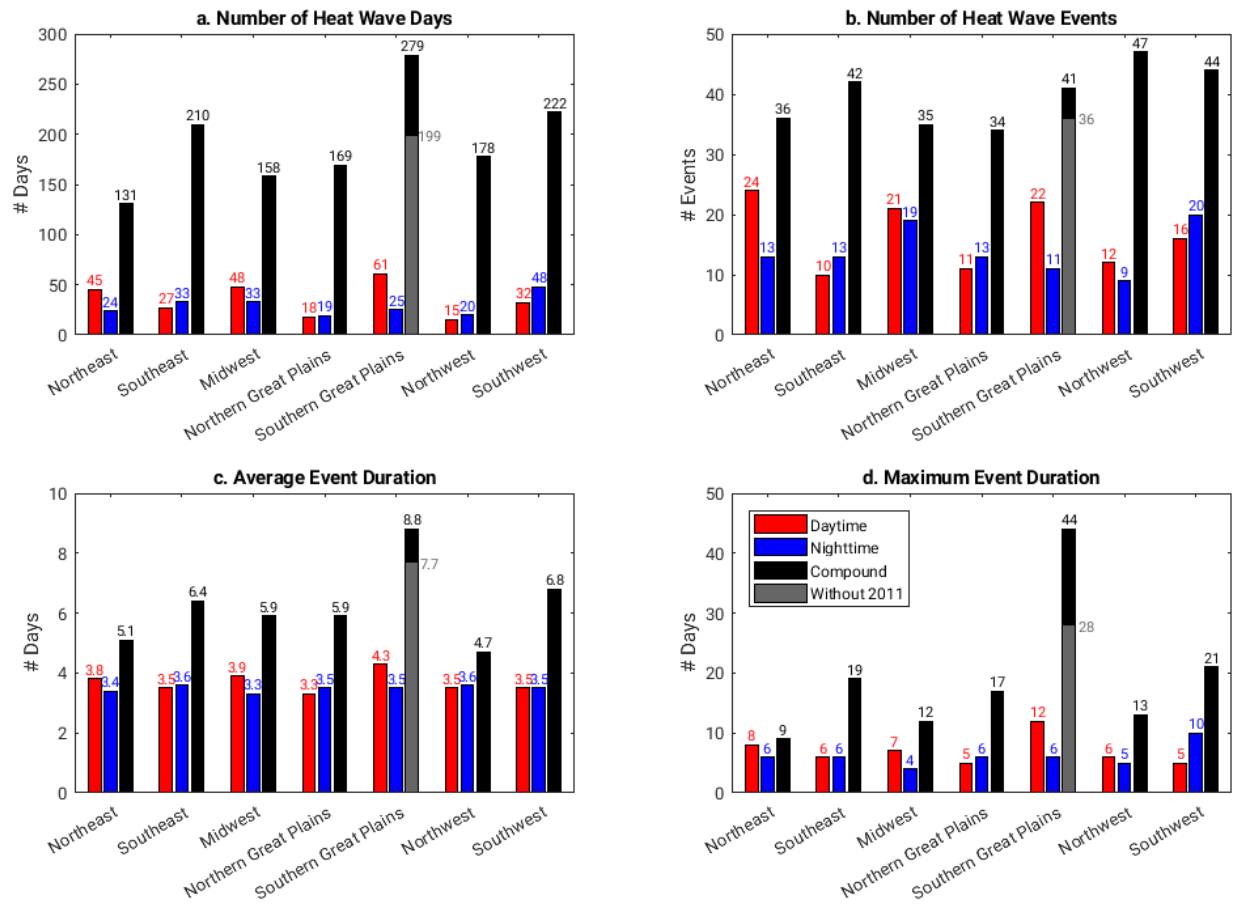
778

779 **9. Figures**

780

781 **Figure 1.** Mean (top row) and subseasonal standard deviation (bottom row) of daytime
 782 2mtemperature (left column) and nighttime 2mtemperature (right column) of all JJA days in
 783 1980–2018 (i.e., $92 \times 39 = 3588$ days). The black lines denote the boundaries of the seven NCA
 784 regions, used for analysis of regional-scale heat waves.

785



786

787 **Figure 2.** Total number of (a) heat wave days, (b) heat wave events, (c) average event duration

788 and (d) maximum event duration for each NCA region and for each of the 3 heat wave types:

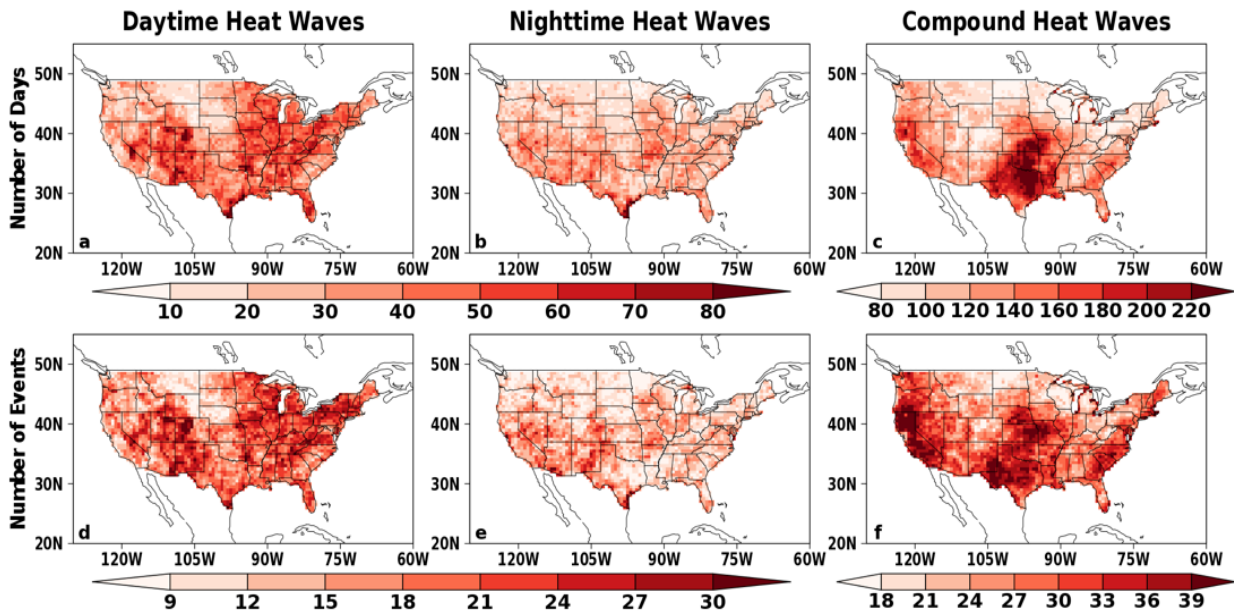
789 daytime (red bars), nighttime (blue bars) and compound (black bars) heat waves. The grey bars

790 in the Southern Great Plains column indicate the statistics after omitting the extreme summer of

791 2011. Days and events are counted for JJA 1980–2018. The boundaries of the NCA regions are

792 displayed in Figure 1.

793



794

795 **Figure 3.** Total number of daytime (left), nighttime (middle), and compound (right) heat wave

796 days (top) and events (bottom) at each grid point over JJA 1980–2018.

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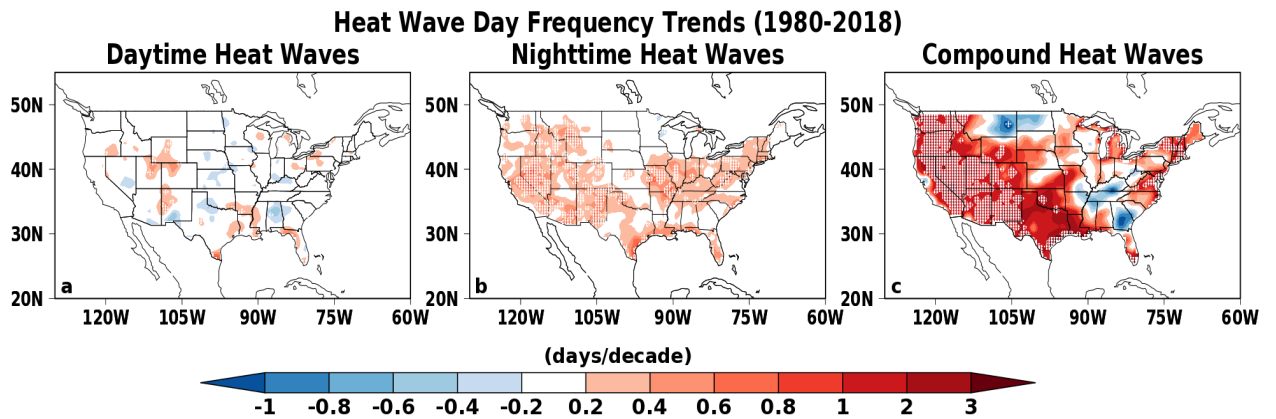
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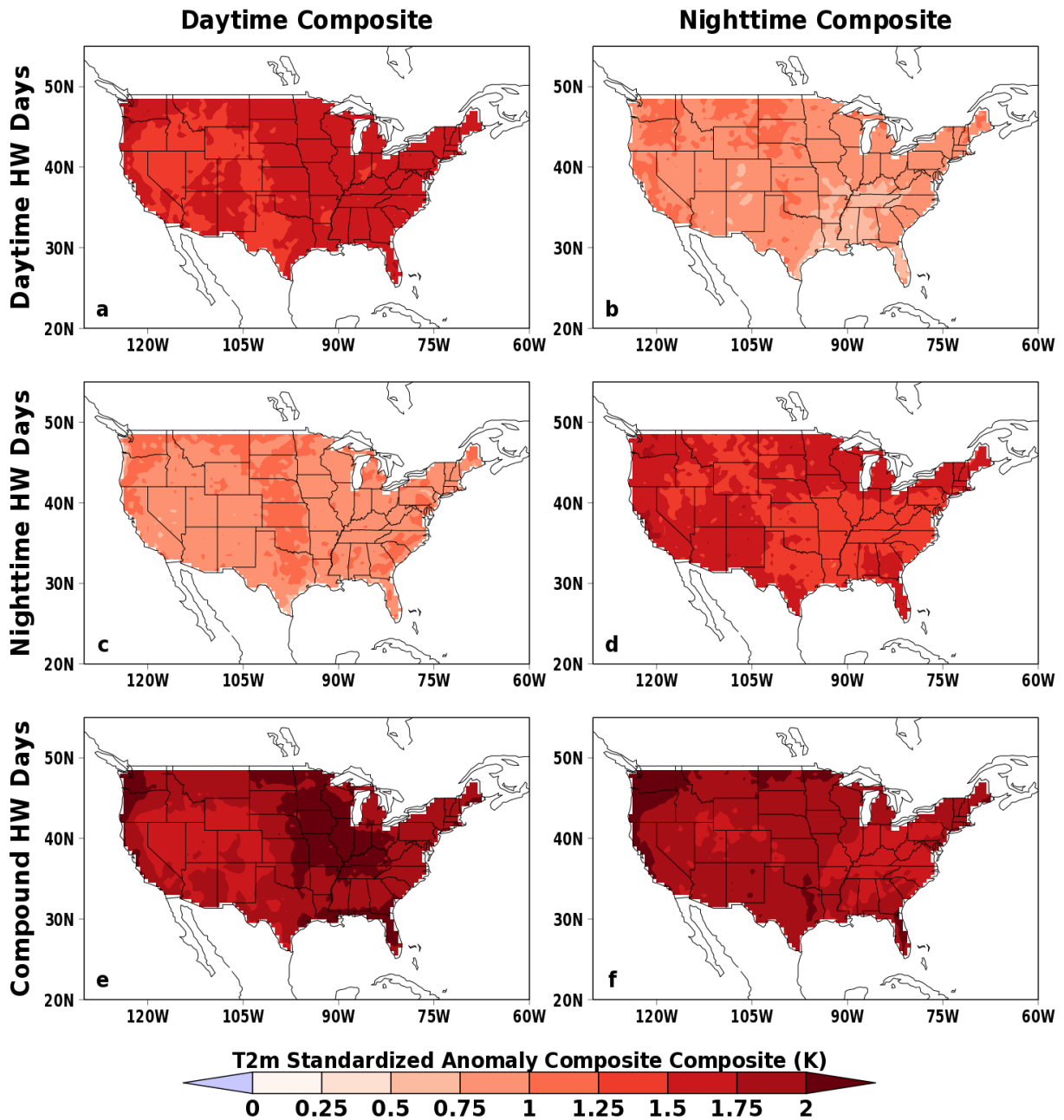
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804 **Figure 4.** Trends in number of summer (JJA) heat wave days for heat waves expressed during
 805 daytime (left) and nighttime (right) in MERRA-2. Trends are for 1980–2018. Trends significant
 806 at the 95% confidence level according to the Mann-Kendall test are indicated with white dots.
 807 Trend fields are smoothed once by a 9-point smoother before plotting.

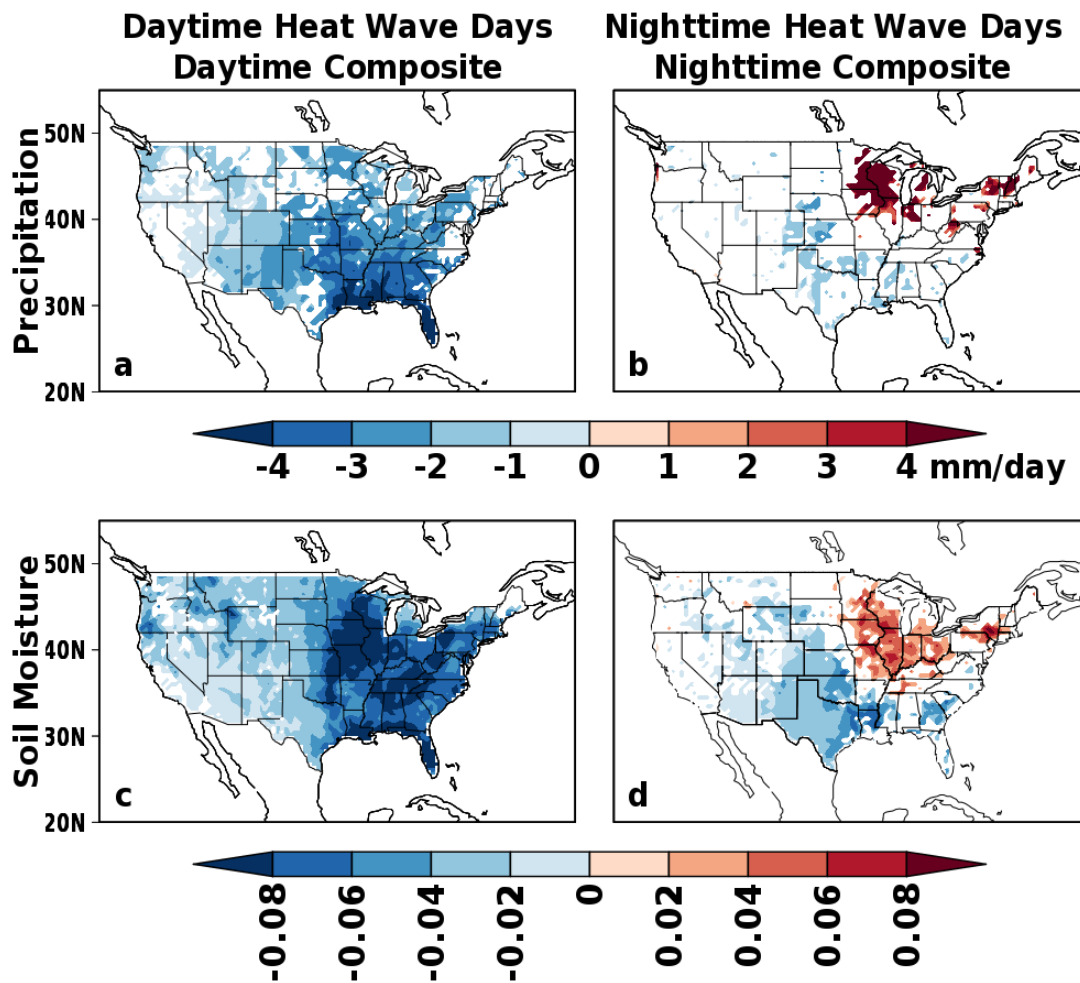
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810 **Figure 5.** Composites of daily standardized anomalies in daytime 2mtemperature ($^{\circ}\text{C}$; left
 811 column) and nighttime 2mtemperature ($^{\circ}\text{C}$ right column) for daytime heat wave days (top row),
 812 nighttime heat wave days (middle row) and compound heat wave days (bottom row).

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814

815 **Figure 6.** Composites of daily anomalies in observation corrected total precipitation (mm/day;

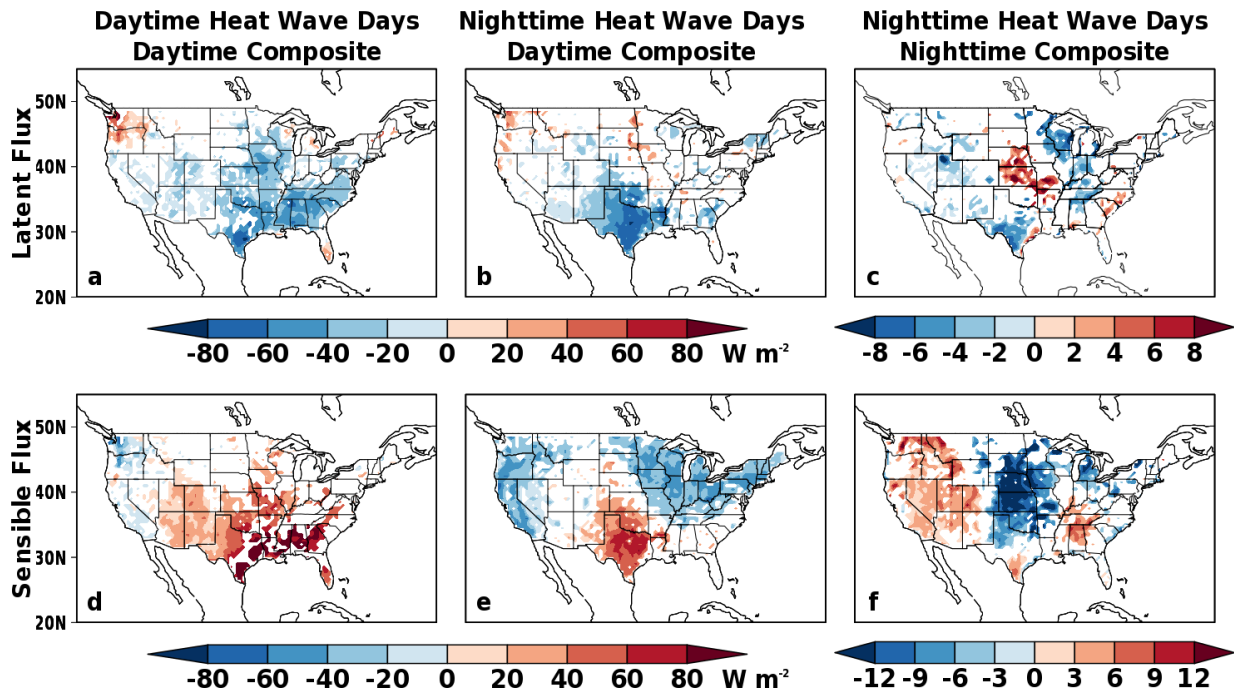
816 top row) and root-zone soil moisture (dimensionless; bottom row) for daytime hours of daytime

817 heat wave days (left column) and nighttime hours of nighttime heat wave days (right column).

818 Regions where the composite mean is not statistically significant at the 95% confidence level are

819 masked out.

820

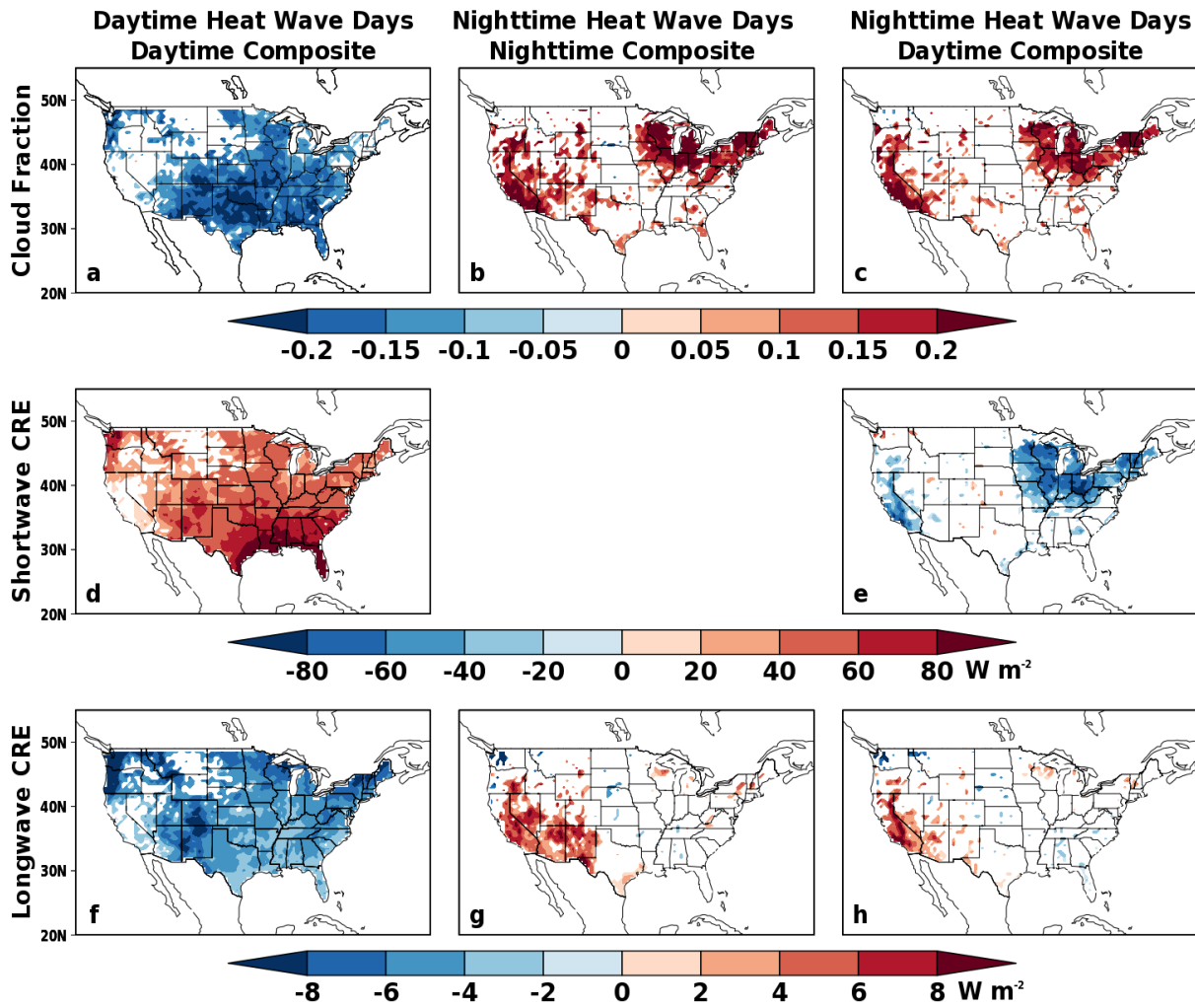


821

822 **Figure 7.** Composites of daily anomalies in total latent heat flux (W m^{-2} ; top row) and sensible
 823 heat flux from turbulence (W m^{-2} ; bottom row) for daytime hours on daytime heat wave days
 824 (left column), daytime hours on nighttime heat wave days (middle column) and nighttime hours
 825 on nighttime heat wave days (right column). Regions where the composite mean is not
 826 statistically significant at the 95% confidence level are masked out.

827

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830 **Figure 8.** Composites of daily anomalies in total cloud area fraction (dimensionless; top row),831 shortwave CRE ($W m^{-2}$; middle row) and longwave CRE ($W m^{-2}$; bottom row) for daytime hours

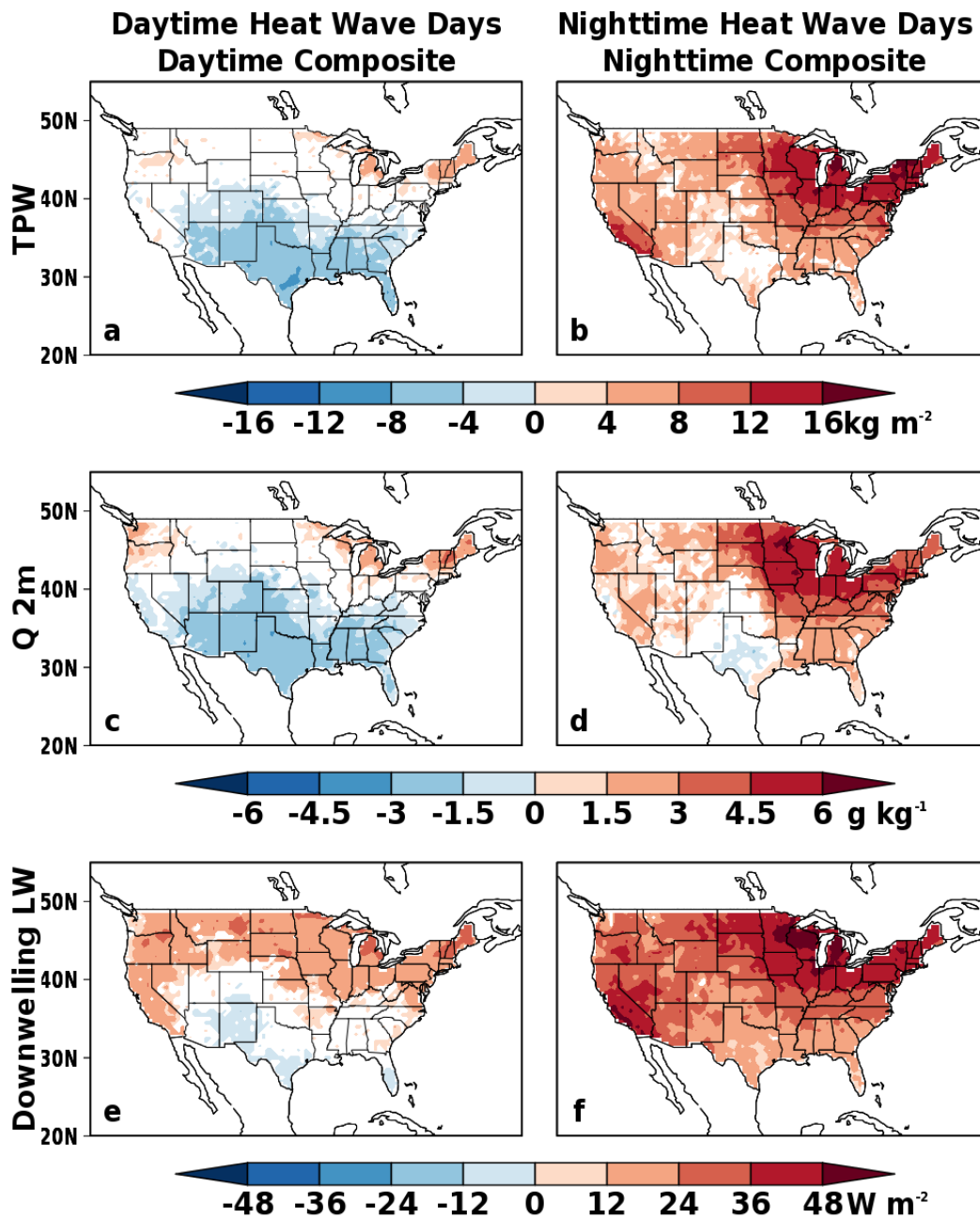
832 on daytime heat wave days (left column), nighttime hours on nighttime heat wave days (middle

833 column) and daytime hours on nighttime heat wave days (right column). Note that there is no

834 shortwave CRE at night. Regions where the composite mean is not statistically significant at the

835 95% confidence level are masked out.

836

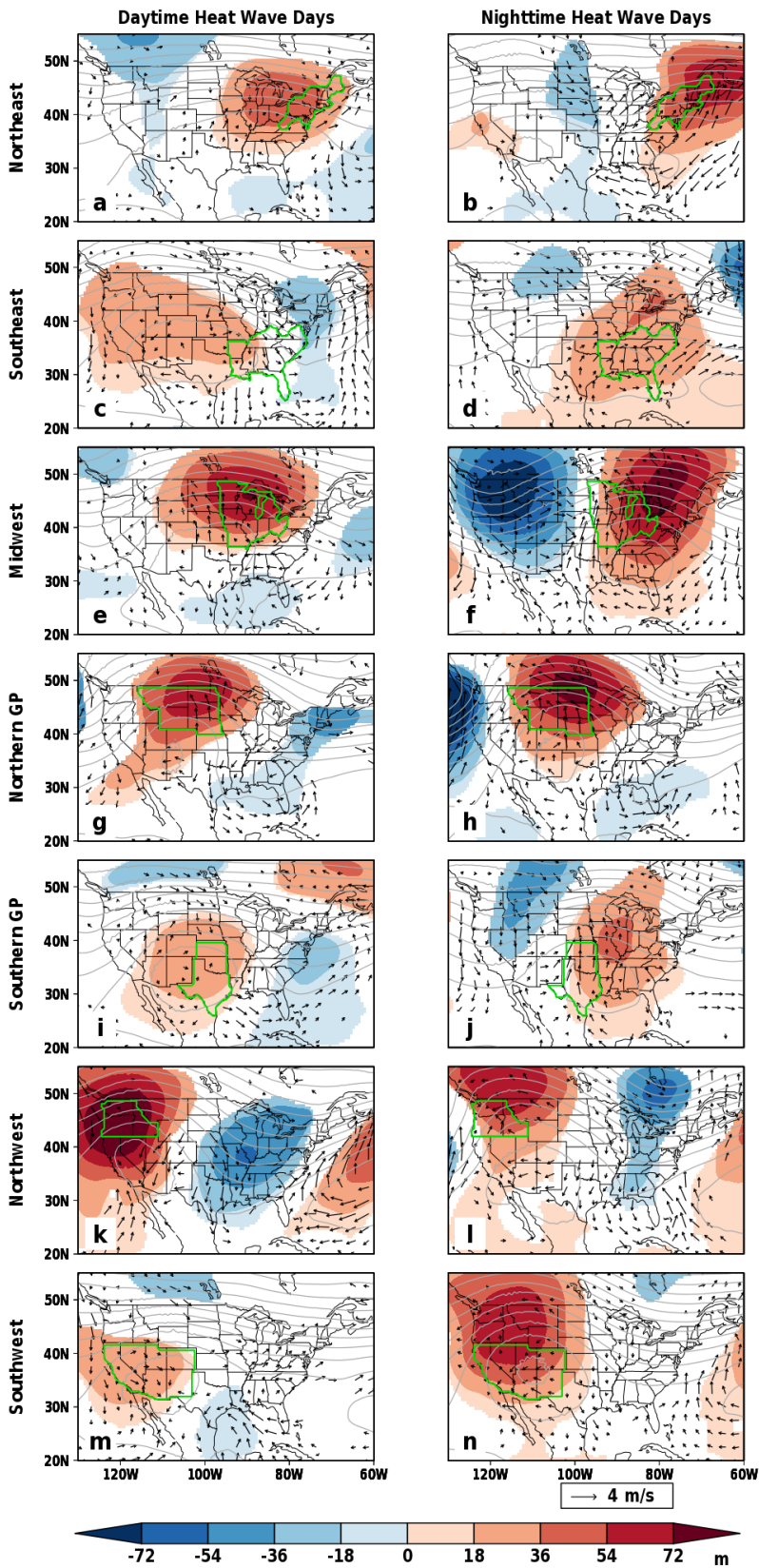


837

838 **Figure 9.** Composites of daily anomalies in total precipitable water vapor (kg m^{-2} ; top row),839 2m specific humidity (g kg^{-1} ; middle row) and surface downward longwave flux (W m^{-2} ; bottom

840 row) for daytime hours on daytime heat wave days (left column), and nighttime hours on

841 nighttime heat wave days (right column). Regions where the composite mean is not statistically
842 significantly at the 95% confidence level are masked out.

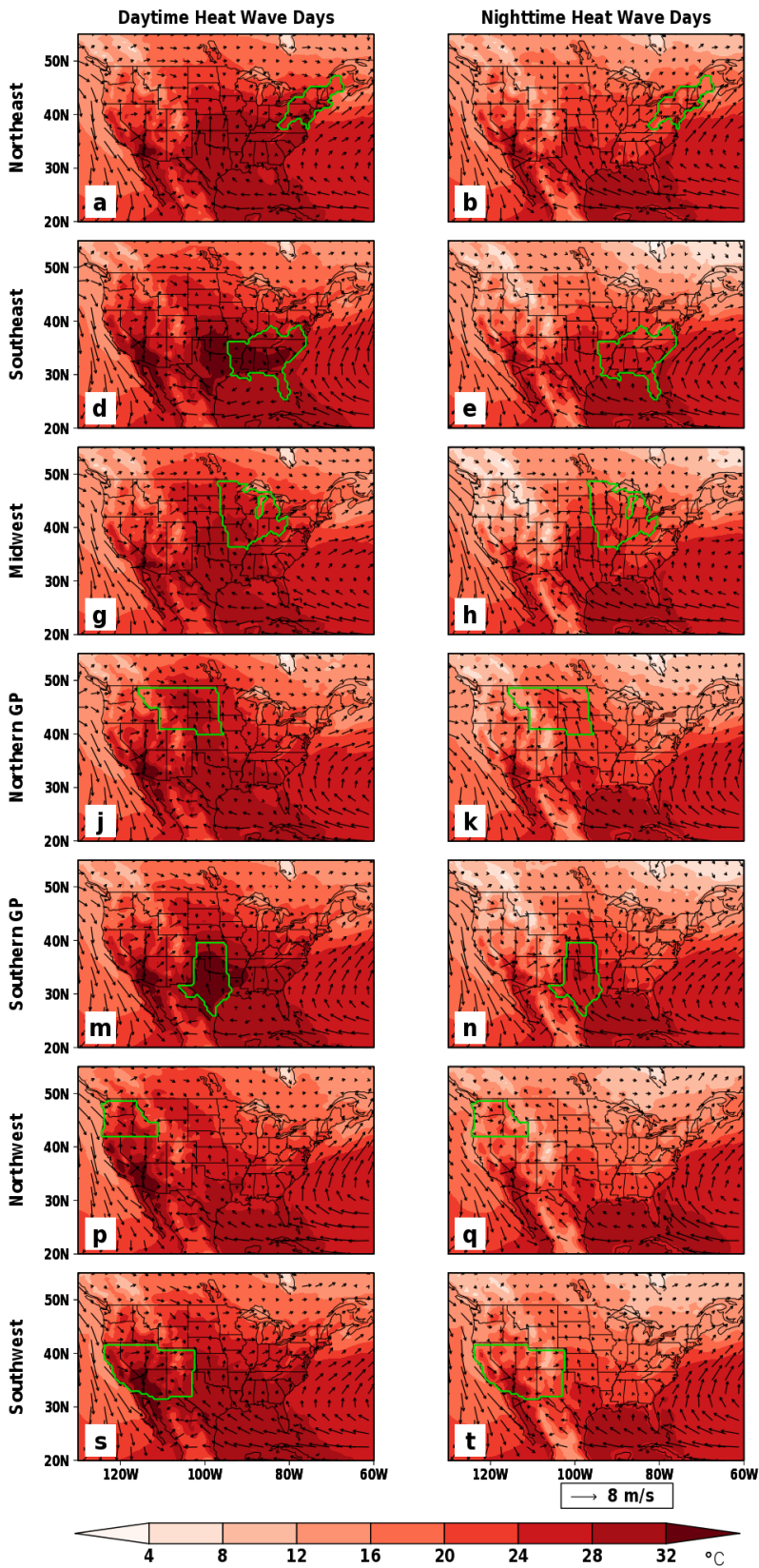


844 **Figure 10.** Composites of daily anomalies of 500-hPa heights (m; shading) and 10m winds (m/s;
845 vectors) and daily mean 500-hPa height composites (m; grey contours) for daytime (left column)
846 and nighttime (right column) heat wave days occurring in each NCA Region (rows). Fields are
847 only plotted when the composite anomaly is statistically significant at the 95% confidence level.
848 The region where area-averaged temperatures are used to find heat wave days for each region is
849 outlined in green. See Figure 2 for the number of heat wave days incorporated into these
850 composites for each region.

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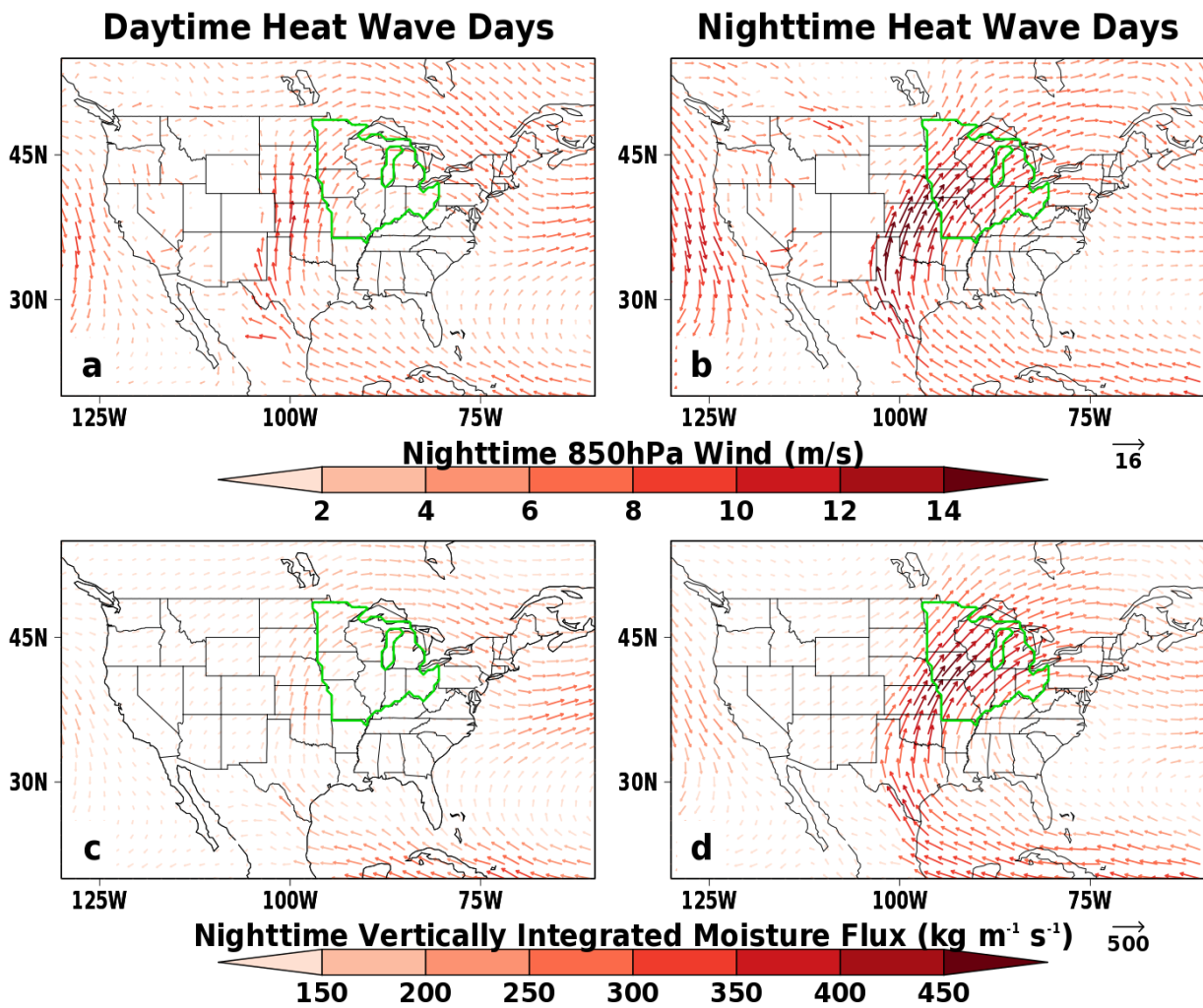
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855 **Figure 11.** As in Fig. 10 but for the composites of daily 2m temperature (shading; °C) and 10m
 856 winds (vectors; m/s).

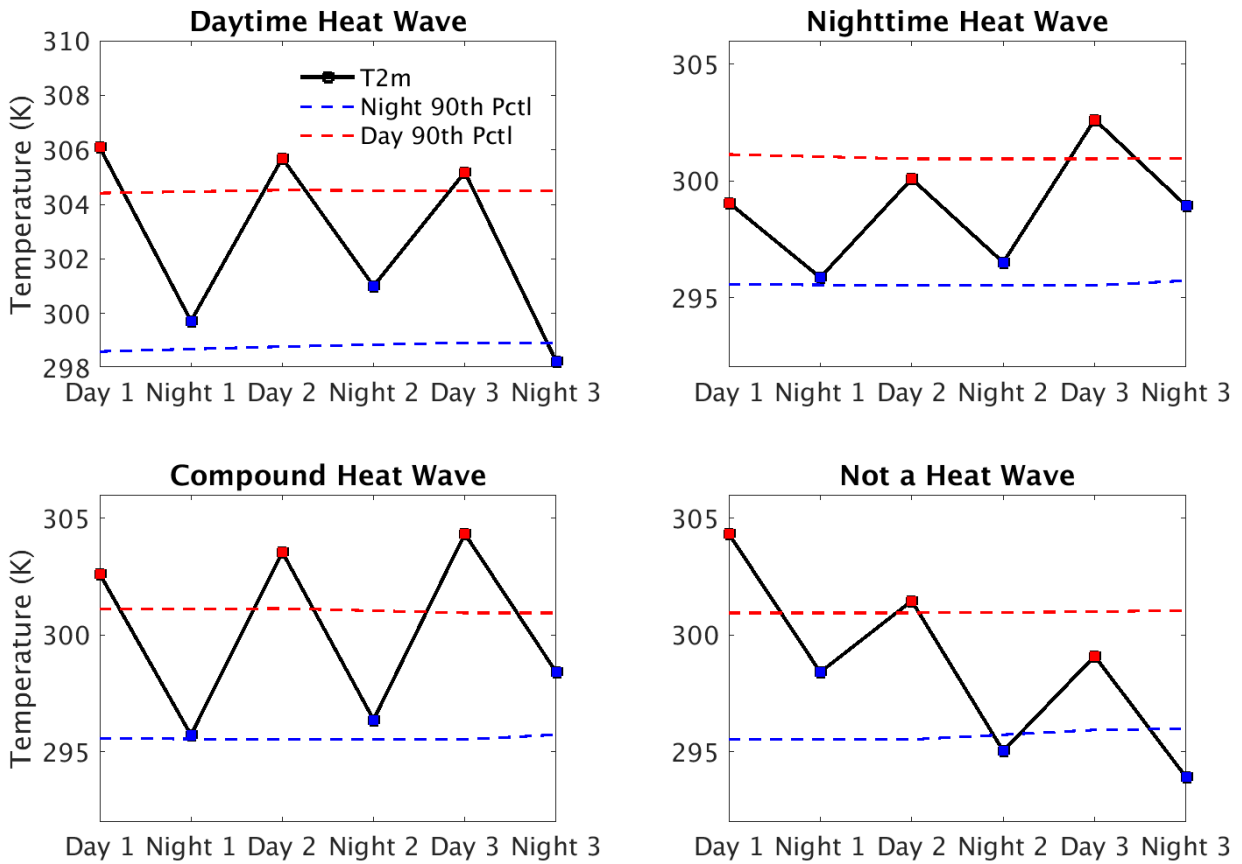
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859 **Figure 12.** Composites of nighttime 850-hPa winds (m/s; top row) and nighttime vertically
 860 integrated moisture flux (kg m⁻¹ s⁻¹; bottom row) for daytime (left column) and nighttime (right
 861 column) heat wave days occurring in NCA Region 3 (Midwest US, outlined in green).

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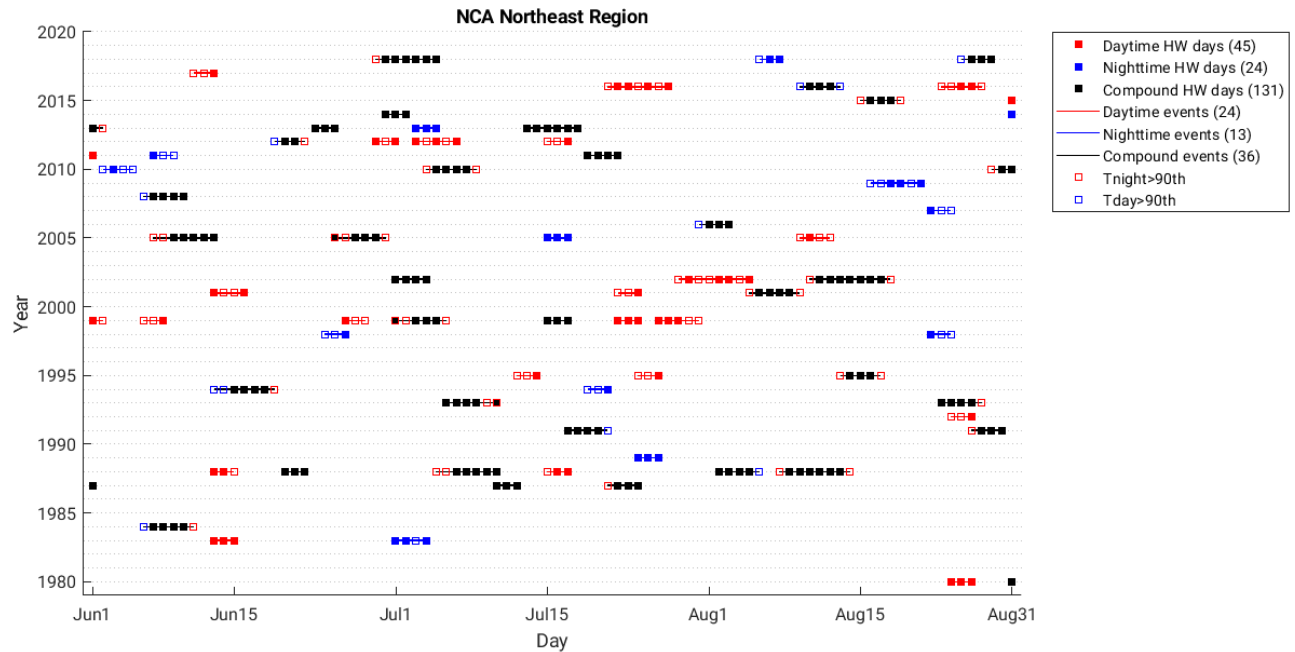


863

864 **Figure A1.** Schematic illustrating examples for each of the heat wave definitions. The black
 865 line shows the 2m temperature for daytime (red dots) and nighttime (blue dots) on three
 866 hypothetical days. The red (blue) line shows the calendar-day 90th percentiles for daytime
 867 (nighttime) 2m temperatures.

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870

871 **Figure A2.** Heat wave days and events based on MERRA-2 2m temperature data averaged over

872 the Northeast NCA region. The filled in red, blue and black squares represent daytime,

873 nighttime and compound heat wave days, respectively. The red, blue and black lines represent

874 daytime, nighttime and compound heat wave events, respectively. Unfilled red (blue) squares

875 represent daytime (nighttime) heat wave days where the nighttime (daytime) temperature also

876 exceeds the 90th percentile. The numbers in parentheses in the legend indicate the number of

877 days and events for each of the three heat wave types over JJA 1980–2018.

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