

1 **A Moments View of Climatology and Variability of the Asian Summer**

2 **Monsoon Anticyclone**

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ABSTRACT

20 A comprehensive investigation of the climatology of and interannual vari-
21 ability and trends in the Asian summer monsoon anticyclone (ASMA) is pre-
22 sented, based on a novel area and moments analysis. Moments include cen-
23 troid location, aspect ratio, angle, and “excess kurtosis” (measuring how far
24 the shape is from elliptical) for an equivalent ellipse with the same area as
25 the ASMA. Key results are robust among the three modern reanalyses stud-
26 ied. The climatological ASMA is nearly elliptical, with its major axis aligned
27 along its centroid latitude and a typical aspect ratio of ~ 5 –8. The ASMA
28 centroid shifts northward with height, northward and westward during devel-
29 opment, and in the opposite direction as it weakens. New evidence finding no
30 obvious climatological bimodality in the ASMA reinforces similar sugges-
31 tions from previous studies using modern reanalyses. Most trends in ASMA
32 moments are not statistically significant. ASMA area and duration, however,
33 increased significantly during 1979–2018; the 1958–2018 record analyzed
34 for one reanalysis suggests that these trends may have accelerated in recent
35 decades. ASMA centroid latitude is significantly positively (negatively) cor-
36 related with subtropical jet core latitude (altitude), and significantly negatively
37 correlated with concurrent ENSO; these results are consistent with and extend
38 previous work relating monsoon intensity, ENSO, and jet shifts. ASMA area
39 is significantly positively correlated with the MEI ENSO index two months
40 previously. These results improve our understanding of the ASMA using con-
41 sistent defined diagnostics of its size, geometry, interannual variability, and
42 trends that have not previously been analyzed.

43 **1. Introduction**

44 The Asian summer monsoon (ASM) anticyclone (ASMA; also known as the South Asian High
45 or SAH) is a dominant feature of the boreal summer upper troposphere / lower stratosphere (UTLS)
46 circulation, consisting of a vast upper-level anticyclonic vortex bounded by the subtropical west-
47 erly jet to the north and the tropical easterly jet (TEJ) to the south (e.g., Dunkerton 1995; Hsu et al.
48 1999; Zarrin et al. 2010). It is thought to arise primarily as a response to diabatic heating associ-
49 ated with land/sea contrasts and convection near the Tibetan and/or Iranian plateaus (Hoskins and
50 Rodwell 1995; Qian et al. 2002; Liu et al. 2004, 2007; Garny and Randel 2013; Liu et al. 2013;
51 Ren et al. 2019, and references therein). Strong intraseasonal and interannual variability in the
52 ASMA is thought to be related to variations in topographic heating and/or dynamical influences
53 originating from the subtropical jet or the tropics, but elucidating the details of these relationships
54 is still an active area of study (Boos and Storelvmo 2016; Ge et al. 2018a; Ren et al. 2019; Xue and
55 Chen 2019; Wu et al. 2020; Li et al. 2021, and references therein). Characterizing the ASMA and
56 understanding its variability are critical because it is a primary factor affecting (and being affected
57 by) major meteorological and transport processes in the boreal summer throughout the tropics and
58 midlatitudes: for example, ASMA variations have been linked to shifts in tropical cyclone tracks
59 (e.g., Kelly et al. 2018), and its feedbacks with rainfall variations have been widely studied (e.g.,
60 Bollasina et al. 2014; Wu et al. 2015; Nützel et al. 2016; Ge et al. 2018a,b; RavindraBabu et al.
61 2019; Wei et al. 2019; Xue and Chen 2019; Li et al. 2021). The ASMA also influences the com-
62 position of the entire summertime UTLS via convective lofting of near-surface air and subsequent
63 quasi-horizontal transport (e.g., Garny and Randel 2016; Vogel et al. 2016; Pan et al. 2016; Santee
64 et al. 2017; Nützel et al. 2019; Yan et al. 2019; Honomichl and Pan 2020, and references therein).
65 While the ASMA has been extensively studied, because of the complexity of the system and the
66 diversity of datasets and metrics used to study it, many questions remain – in characterizing the

67 ASMA, in elucidating the mechanisms driving its variability, and in understanding its role in the
68 ASM system and links to UTLS composition.

69 The ASMA has been defined in numerous ways (see, e.g., Santee et al. 2017; Yan et al. 2019, for
70 brief summaries), and that definition influences the information and insights gained from ASMA
71 studies. ASMA position, extent, and intensity are most commonly defined using fields, gradients
72 of, or anomalies in 100 to 200 hPa geopotential height (GPH) (Zarrin et al. 2010; Nützel et al. 2016;
73 Pan et al. 2016; Barret et al. 2016, and references therein) or in UTLS PV (Garny and Randel 2013;
74 Ploeger et al. 2015; Amemiya and Sato 2018, and references therein). Some studies have also used
75 streamfunction (e.g., Tweedy et al. 2018; Yan et al. 2018) or Montgomery Streamfunction (MSF)
76 on isentropic surfaces (e.g., Popovic and Plumb 2001; Fairlie et al. 2014; Santee et al. 2017).
77 Studies that track ASMA latitude typically identify its “ridgeline” using relative vorticity, GPH,
78 or wind changes (e.g., Zhang et al. 2002; Zarrin et al. 2010; Nützel et al. 2016). Most often, these
79 metrics are defined on a single level. PV-based metrics are especially difficult to define because
80 PV provides a closed contour in the ASMA region only in a very narrow potential temperature
81 range (e.g., Garny and Randel 2013; Ploeger et al. 2015; Santee et al. 2017). One motivation
82 for using PV-based metrics is the analogy of the ASMA to the stratospheric polar vortex as a
83 transport barrier; Garny and Randel (2013) and Ploeger et al. (2015) both noted that the ASMA
84 can be viewed similarly to that closed circulation in many respects but represents a much “leakier”
85 transport barrier, especially on its equatorward side.

86 We exploit the polar vortex analogy in a different way in this work: Motivated by the efficacy
87 of the method for characterizing the size, geometry, vertical structure, preferred locations, and
88 evolution of the stratospheric polar vortex (e.g., Waugh and Randel 1999; Matthewman et al. 2009;
89 Lawrence and Manney 2018), we apply a moments and area analysis to the ASMA defined using
90 MSF as in Santee et al. (2017). One advantage of using MSF is that a closed circulation can be
91 defined over a much wider range of isentropic levels than for PV-based diagnostics (e.g., Ploeger

92 et al. 2015; Santee et al. 2017); Santee et al. (2017) also showed that the MSF-based definition
93 they used closely reflected the ASMA transport barrier as seen in trace gas observations. Using the
94 moments and area diagnostics, we conduct a comprehensive analysis of variability and trends in
95 the ASMA's size, geometry, and position. Our synthesis of ASMA variability and trends based on
96 these unique consistently defined metrics provides new insight into outstanding questions about the
97 ASMA (discussed further below), including the existence and/or character of ASMA "bimodality"
98 and the relationships of ASMA variability to El Niño / Southern Oscillation (ENSO), the Quasi-
99 biennial Oscillation (QBO), and the subtropical upper tropospheric (UT) jet.

100 We focus on three recent reanalyses that have been shown to provide robust results for UTLS
101 studies. Reanalysis datasets are one of the most powerful tools available to characterize large-
102 scale dynamical processes, but they must be used with care since the underlying forecast models
103 and data assimilation systems they rely on have limitations (e.g., Fujiwara et al. 2017). Numerous
104 studies highlight the importance of comparing results from multiple reanalyses for UTLS studies
105 (e.g., Manney et al. 2017; Manney and Hegglin 2018; Xian and Homeyer 2019; Tegtmeier et al.
106 2020; Wright et al. 2020), including a few focusing on aspects of the ASMA (e.g., Wu et al. 2017;
107 Shi et al. 2018). In a detailed reanalysis comparison, Nützel et al. (2016) showed the archaic Na-
108 tional Center for Environmental Prediction/National Center for Atmospheric Research (NCEP-R1)
109 and NCEP/Department of Energy (NCEP-R2) reanalyses to be outliers that are inappropriate for
110 detailed study of the ASMA. Older reanalyses such as these have long been deprecated for strato-
111 spheric and UTLS studies (e.g., Pawson and Fiorino 1998; Randel et al. 2000; Manney et al. 2003,
112 2005b,a; Fujiwara et al. 2017; Homeyer et al. 2021; Tegtmeier et al. 2021, and references therein).
113 Nevertheless, a multitude of previous studies on ASMA climatology and variability have relied
114 on NCEP-R1 and/or NCEP-R2, including many published since Nützel et al. (2016) showed these
115 products to be unsuitable for ASMA studies (e.g., Preethi et al. 2017; Wang et al. 2017; Xue et al.

116 2017; Feba et al. 2019; Xue and Chen 2019; Ren et al. 2019; Samanta et al. 2020; Seetha et al.
117 2020; Yang et al. 2020; Basha et al. 2020; Wu et al. 2020).

118 One open question for which the choice of reanalysis product is critical is the existence or
119 character of ASMA bimodality, that is, preferred locations over the Tibetan and Iranian Plateaus
120 (e.g., Qian et al. 2002; Zhang et al. 2002; Zhou et al. 2009; Zarrin et al. 2010; Yan et al. 2011;
121 Pan et al. 2016). Nützel et al. (2016) found strong evidence for bimodality only in NCEP-R1 and
122 limited evidence in NCEP-R2; they found no evidence for it in more modern reanalyses for daily,
123 pentad, or seasonal data, and only limited evidence in monthly data. Other studies using more
124 recent reanalyses have not seen evidence for bimodality (e.g., Garny and Randel 2013; Ploeger
125 et al. 2015).

126 Many studies of ASM variability and trends have focused on near-surface fields such as rainfall
127 and low-level temperatures or winds (e.g., Kajikawa et al. 2012; Preethi et al. 2017; Kodera et al.
128 2019; Brönnimann et al. 2016; Wu et al. 2020, and references therein), and some suggest a trend
129 towards earlier monsoon onset in spring, with possible relationships to anthropogenic forcing (e.g.,
130 Kajikawa et al. 2012; Bollasina et al. 2013, 2014; Bombardi et al. 2020). Near-surface metrics have
131 been linked to the upper-level circulation, with, for example, westward and northward trends in
132 the UT anticyclonic circulation associated with corresponding interannual variability in surface
133 conditions (e.g., Preethi et al. 2017); links between interannual variability in ASM precipitation
134 onset and tropopause variations (e.g., RavindraBabu et al. 2019); and evidence of UT subtropical
135 jet changes (weakening or latitude changes) associated with earlier monsoon onset (e.g., Samanta
136 et al. 2020; Wu et al. 2020). The UT subtropical jet shifts northward around the ASMA in summer
137 (e.g., Manney et al. 2014), so variability in the ASMA is expected to be closely linked to that of
138 the UT jets, as suggested by previous studies (Schiemann et al. 2009; Manney et al. 2014; Manney
139 and Hegglin 2018; Wu et al. 2020; Chen et al. 2021; Li et al. 2021; Zhu et al. 2021, and references
140 therein), but the relationships have not been comprehensively studied.

141 Studies of the relationship of the ASM system to natural modes of variability such as ENSO
142 the QBO have also not resulted in a consensus. Numerous studies have explored the relationships
143 between the ASM and sea surface temperature (SST) variability such as ENSO (e.g., Ju and Slingo
144 1995; Wang et al. 2001; Li et al. 2017; Liu et al. 2017; Tweedy et al. 2018; Yan et al. 2018; Basha
145 et al. 2020, and references therein) using a variety of local and regional metrics to define monsoon
146 onset and intensity (see, e.g., Bombardi et al. 2020, for a review). Several studies have observed or
147 simulated an association of preceding El Niño conditions with later monsoon onset and/or weaker
148 monsoon activity (e.g., Ju and Slingo 1995; Webster et al. 1998; Wang et al. 2013; Basha et al.
149 2020, and references therein), including studies using ASM intensity or position indices related
150 to the UT circulation (e.g., Tweedy et al. 2018; Yan et al. 2018). However, counter-examples and
151 dependence on ENSO type and timing relative to monsoon onset are also reported (e.g., Yuan and
152 Yang 2012; Wang et al. 2013; Li et al. 2017; Liu et al. 2017; Hu et al. 2020). Moreover, recent work
153 suggests changes in the relationship between ENSO and the ASM since the 1990s, which may be
154 associated with UT jet changes (e.g., Feba et al. 2019; Hrudya et al. 2020; Samanta et al. 2020;
155 Seetha et al. 2020, and references therein). For the QBO, some studies have suggested a positive
156 correlation between QBO and ASM intensity (e.g., Mukherjee et al. 1985; Giorgetta et al. 1999),
157 while others have not found consistent correlations (e.g. Claud and Terray 2007; Brönnimann et al.
158 2016).

159 The new diagnostics described above, derived from robust modern reanalysis datasets, allow us
160 to tackle these outstanding questions in a unified framework. Our work is organized as follows:
161 Section 2 describes the reanalysis datasets and methods used. Section 3a shows a detailed moments
162 and area climatology; Section 3b1 reports a trend analysis; Section 3b2 shows correlations with
163 ENSO, QBO, and the subtropical jet; and Section 3c investigates the longer-term record from
164 the most recent reanalysis dataset from the Japan Meteorological Agency (JMA). Conclusions are
165 presented in Section 4.

166 2. Data and Methods

167 *a. Reanalysis Datasets*

168 We present the moments and area analysis (see Section 2b below) based on three recent “full-
169 input” reanalyses (that is, those that assimilate a full suite of satellite and conventional measure-
170 ments, see, e.g., Fujiwara et al. 2017): the GMAO MERRA version-2 (MERRA-2) reanalysis
171 (Gelaro et al. 2017); the ECMWF ERA-Interim reanalysis (Dee et al. 2011); and the JMA 55-year
172 reanalysis (JRA-55) (Ebita et al. 2011; Kobayashi et al. 2015). Models, assimilation systems, and
173 data inputs for these reanalyses are described by Fujiwara et al. (2017). We analyze the climatol-
174 ogy and variability of the ASMA moments and area for 1979 through 2018. Calculations are done
175 using daily 12-UT fields from each reanalysis dataset, whose fields are used on their native model
176 levels and at or (in the case of spectral models) near their native horizontal resolution. We use
177 the JRA-55C “conventional input” (that is, no satellite data, see Kobayashi et al. 2014) reanalysis
178 for 1973–2012 to evaluate how JRA-55 might differ in the pre-satellite (before 1979) and satellite
179 periods. This informs our analysis of the full JRA-55 record from 1958–2018.

180 1) MERRA-2

181 MERRA-2 (Gelaro et al. 2017) uses 3D-Var assimilation with Incremental Analysis Update
182 (IAU) (Bloom et al. 1996) to constrain the analyses. MERRA-2 data products on model levels and
183 a $0.5^\circ \times 0.625^\circ$ latitude/longitude grid are used. MERRA-2 has 0.8 km vertical spacing in the UT,
184 increasing to ~ 1.2 km in the lower stratosphere. Data from the MERRA-2 spin-up year, 1979, are
185 included here. The MERRA-2 “Assimilated” data collection (Global Modeling and Assimilation
186 Office (GMAO) 2015) used here is recommended by GMAO for most studies.

187 2) ERA-INTERIM

188 ERA-Interim (see Dee et al. 2011) is a global reanalysis covering 1979 through August 2019.
189 The data are produced using 4D-Var assimilation. ERA-Interim data are used on a $0.75^\circ \times 0.75^\circ$
190 latitude/longitude grid, and have about 1-km spacing in the UTLS.

191 3) JRA-55

192 JRA-55 (Ebita et al. 2011; Kobayashi et al. 2015) is a global reanalysis covering 1958 to the
193 present. The data are produced using a 4D-Var assimilation. They are provided on an approxi-
194 mately 0.56° Gaussian grid corresponding to that spectral resolution. The vertical spacing of the
195 JRA-55 fields on model levels is nearly identical to that of ERA-Interim in the UTLS (e.g., see
196 Fig. 3 in Fujiwara et al. 2017). The JRA-55C reanalysis (covering November 1972 through 2012,
197 see, e.g., Kobayashi et al. 2014) uses the same model and grids but does not assimilate satellite
198 data.

199 *b. Methods*

200 1) ASMA DIAGNOSTICS

201 Following Santee et al. (2017), we use contours of daily 1200UT MSF on the 350 K (MSF
202 value $344800 \text{ m}^2\text{s}^{-2}$), 370 K ($356500 \text{ m}^2\text{s}^{-2}$), 390 K ($367100 \text{ m}^2\text{s}^{-2}$), and 410 K ($377300 \text{ m}^2\text{s}^{-2}$)
203 isentropic surfaces to define the ASMA boundary, covering pressure (altitude) ranges from ap-
204 proximately 250 hPa (10 km) to 70 hPa (19 km). Santee et al. (2017) determined the listed values
205 by analyzing MSF correlations with windspeed, thus approximating the MSF values at the loca-
206 tions of strong windspeed gradients demarking the transport barriers associated with the bounding
207 jets. The those jets agree well in the reanalyses used herein (Manney et al. 2017), so we expect that
208 these values provide a reasonable approximation to that transport barrier for each of the reanalyses.
209 Using a single value provides a consistent metric for assessing reanalysis differences, which may

210 legitimately include small biases in MSF values. Sensitivity tests for several case studies show
211 that our results are insensitive to small changes in the MSF value used.

212 The ASMA is identified in the region between 0 and 175° longitude and 0 and 60° latitude (here-
213 inafter the “ASM box”). This box is larger than that used in Santee et al. (2017) and most previous
214 studies (e.g., Bergman et al. 2013; Ploeger et al. 2015; Garny and Randel 2016; Zhang et al. 2016)
215 to ensure that it usually encompasses the entire ASMA throughout the monsoon season. Inspec-
216 tion of the regions defined as inside the ASMA using this larger box showed no evidence of areas
217 not associated with the ASMA; even this larger box occasionally cuts off a small portion of the
218 ASMA region, but such cases are uncommon.

219 The ASMA is characterized using a moments analysis similar to that used to describe strato-
220 spheric polar vortex characteristics (Waugh and Randel 1999; Matthewman et al. 2009; Mitchell
221 et al. 2011; Lawrence and Manney 2018, and references therein). The calculations are based on
222 the algorithms of Lawrence and Manney (2018) (which in turn followed those of Matthewman
223 et al. 2009), except that the Cartesian grid used is a cylindrical equal area grid covering the ASM
224 box mentioned above, and MSF fields are used instead of PV. As described in detail by Matthew-
225 man et al. (2009), this analysis computes moments of the equivalent ellipse and then uses them to
226 calculate the centroid latitude and longitude, aspect ratio (calculated in the cylindrical equal-area
227 projection), angle (measured counterclockwise from the centroid latitude), and excess kurtosis
228 (EK); hereinafter we use the term “moments” to describe those derived quantities. EK has been
229 used as a method of identifying polar vortex splits (e.g., Matthewman et al. 2009; Matthewman
230 and Esler 2011).

231 ASMA area is calculated as the fraction of the total hemispheric area within the ASM box with
232 MSF greater than the threshold value. For each day, area values less than 1% of a hemisphere
233 are filtered out to limit large day-to-day variability in identification of ASMA existence at the
234 beginning and end of the season because of the presence of very small transient regions with

235 MSF greater than the edge values (similar to the filtering commonly used in stratospheric polar
236 vortex identification, Manney and Lawrence 2016; Lawrence and Manney 2018, and references
237 therein). Figures S1 and S2 in the supplementary information (hereinafter SI) show example maps
238 illustrating the ASMA edge, equivalent ellipse, and centroid locations.

239 Gridpoints at the ASMA edge are identified using the Canny edge detection algorithm (Canny
240 1986). For analysis of ASMA start and end dates and duration, the season is considered to be-
241 gin (end) when the area with MSF exceeding the boundary value has been greater than 1% of a
242 hemisphere (the general area threshold mentioned above) for 20 consecutive days before (after)
243 the start (end) date. We tested the sensitivity to several area (from 0.5% to 2% of a hemisphere)
244 and persistence (from 10 to 30 days) thresholds; the values chosen ensure that the results are not
245 noticeably biased (particularly in comparisons between different reanalyses) by small transient
246 regions above the thresholds.

247 2) ANALYSIS

248 The diagnostics described above are used to calculate climatological monthly (April through
249 October) and seasonal (June–July–August, JJA) means and frequency distributions of the ASMA
250 edge and centroid locations. We construct climatological time series of the moments and area, as
251 well as diagnosing trends in moments, area, and duration diagnostics over the 40-year period. We
252 show correlations of these timeseries with ENSO, QBO, and subtropical UT jet stream variations.

253 Trends are analyzed as in Manney and Hegglin (2018) using a linear regression of the monthly
254 and seasonal mean time series of moments and area diagnostics, and assessing the statistical sig-
255 nificance using a permutation analysis (e.g., Wilks 2011, Section 5.3.4) wherein the 40-year time
256 series for each time period (month, season) are randomly shuffled to produce 100,000 possible
257 arrangements of the values. A two-sided p-value is derived by counting how many permuted
258 slopes have larger magnitude than those derived from the reanalyses and dividing by the number
259 of instances (100,000) in the permutation distributions. Consistency among the reanalyses is also

260 critical in assessing the robustness of trends (e.g., Manney and Hegglin 2018). Relationships with
261 ENSO are assessed using correlations with the Multivariate ENSO Index (MEI, Wolter and Timlin
262 2011). (Correlations of ASMA characteristics with the MEIv2 give very similar results, as do, with
263 slightly larger differences as expected from using an index defined using SSTs averaged in differ-
264 ent regions, correlations with the Niño3.4 index; these differences do not qualitatively change any
265 of our results). Relationships with the QBO are examined using correlations with 50 and 70 hPa
266 Singapore winds (from the Freie Universität Berlin, Naujokat 1986) and with 30–50 hPa wind
267 shear. The correlations are also done with ± 2 and ± 1 month lags. All of the timeseries used are
268 detrended prior to calculating correlations.

269 We also examine correlations with the subtropical UT jet streams' latitude, altitude, and wind-
270 speed from JETPAC (JEt and Tropopause Products for Analysis and Characterization, Manney
271 et al. 2011a, 2014, 2017; Manney and Hegglin 2018); the subtropical jet is identified (as de-
272 scribed by Manney and Hegglin 2018) in a physically meaningful way as the jet across which
273 the “tropopause break” occurs. We examined zonally averaged jet characteristics, as well as jet
274 characteristics averaged over longitude bands; the strongest correlations are in the 45–90°E and
275 80–160°E longitude bands (as expected since those bands are within the typical region of the
276 ASMA), and we illustrate the results showing the latter here.

277 To assess the statistical significance of correlations, we use bootstrap resampling (e.g., Elfron
278 and Tibshirani 1993), resampling the time series 100,000 times. We use this to construct 95 and
279 99% confidence intervals for the correlations. (See Lawrence et al. 2018; Lawrence and Manney
280 2020, for further details of the bootstrapping methods).

281 3. Results

282 a. Climatology of ASMA Moments and Area

283 Figure 1 shows the climatological mean ASMA edge and centroid locations at 350 and 390 K
284 for each reanalysis during individual months, and frequency distributions at the same levels of
285 the centroid and edge locations in JJA. (Fig. S3 shows mean edge and centroid locations for all
286 levels as well as for JJA.) Centroid locations typically agree well among the reanalyses, especially
287 when the ASMA is fully developed in July and August. The ASMA is larger in MERRA-2 than
288 in the other reanalyses; ERA-Interim typically has the smallest area, but it is closer to that of
289 JRA-55 than JRA-55 is to MERRA-2. The largest differences, at 350 K, arise primarily from a
290 more equatorward southern edge and larger longitudinal extent of the ASMA in MERRA-2. The
291 appearance of only centroid locations (for most reanalyses at most levels in May and September)
292 indicates that mean values were above the edge threshold at only one or two gridpoints. Only
293 MERRA-2 at 350 K shows a significant region of values exceeding the threshold in May. In June,
294 the ASMA is larger at 370 K and 390 K than at 350 K and 410 K (Fig. S3, also consistent with
295 Santee et al. 2017), except in MERRA-2, which shows a much larger area than the other reanalyses
296 at 350 K. Consistent with previous findings based on other ASMA diagnostics (e.g., Randel and
297 Park 2006; Bergman et al. 2013; Ploeger et al. 2015; Santee et al. 2017, and references therein),
298 the centroid location shifts north (and sometimes slightly east) with height. The location of the
299 centroids well east of the center of the ASMA region in September at 350 K (and 370 K, Fig. S3)
300 arises primarily from the common occurrence of a small local maximum to the east, either split off
301 from or attached by a narrow tongue to the main ASMA (e.g., as in eddy-shedding events, Popovic
302 and Plumb 2001; Honomichl and Pan 2020), which affects the centroid location more than it does
303 the mean edge.

304 Except at 350 K, the distributions for the three reanalyses agree well, but with the larger
305 MERRA-2 area reflected in the edge distributions. Broader and less sharply peaked frequency

306 distributions at 350 K than at higher levels (e.g., 390 K shown here) indicate larger variability at
307 that level. The MERRA-2 350-K centroid distribution is “tilted” east and south with respect to
308 those for ERA-Interim and JRA-55, and its more diffuse edge distribution indicates greater vari-
309 ability. All of the reanalyses show a fairly uniform maximum along the southern edge from about
310 30°E to 120°E. In contrast, there is a localized maximum at the northern edge just west of 90°E
311 (though not as consistently, especially for MERRA-2, at 350 K), indicating a preferred position
312 along that edge. This position ($\sim 40^\circ\text{N}$, $\sim 85^\circ\text{E}$, near the northern edge of the Tibetan Plateau)
313 coincides with the preferred location of the subtropical westerly jet in JJA (Manney et al. 2014)
314 and is consistent with the approximate position of the northern edge towards the eastern side in
315 three of the four “phases” of the ASMA described by Pan et al. (2016).

316 The fields in Fig. 1 do not show evidence of bimodality. This supports the analysis of Nützel
317 et al. (2016) showing strong bimodality in NCEP-R1 and NCEP-R2 (which are deprecated for
318 UTLS studies, Homeyer et al. 2021; Tegtmeier et al. 2021, and references therein) but not in
319 modern reanalyses including MERRA, ERA-Interim, and JRA-55. Our results are also consistent
320 with the moments analysis for MERRA and NCEP Climate Forecast System Reanalysis / version
321 2 (CFRSR/CFSv2) (S-RIP Chapter 8, Tegtmeier et al. 2021), and with the lack of a clear bimodality
322 signature in other studies using recent reanalyses (e.g., Garny and Randel 2013; Ploeger et al.
323 2015). Our climatological results do not preclude the occurrence of bimodal geometries (such as
324 the “Tibetan Plateau”, “Iranian Plateau”, or “Double-center” phases shown by Pan et al. 2016)
325 over short periods or on individual days; indeed, such geometries are seen in some of the example
326 maps in Fig. S2.

327 Figure 2 shows the climatological seasonal evolution of the ASMA. The moments climatologies
328 agree well among the reanalyses at 370, 390, and 410 K once the circulation is well developed.
329 This is also apparent in JJA histograms (centroid latitude, centroid longitude, and area in Fig. 3;
330 aspect ratio and angle in Fig. S4). At 350 K, MERRA-2 has the highest (farthest east) centroid

331 longitudes until August and ERA-Interim the lowest. MERRA-2 350-K centroid latitudes are
332 slightly lower throughout the season, with the largest differences (about 5°) early and late in the
333 season and about 3° differences in JJA (Figure 3).

334 The ASMA centroid location shifts northward and westward during ASMA development, and
335 southward and eastward after the peak of the ASMA season (Fig. 2). Strongest shifts are at 350 K,
336 where the climatological position is near 15°N , 120°E in May; near 30°N , 75°E by August; and
337 near 25°N , 125°E by October. These values are consistent with the $10\text{--}15^\circ$ latitude / $\sim 30^\circ$ longi-
338 tude shifts at 100 hPa noted by Nützel et al. (2016). This behavior is in line with other previous
339 studies, some suggesting that it arises from seasonal heating changes on the Iranian and Tibetan
340 Plateaus, which may also affect ASM rainfall and thus feed back on ASMA development, location,
341 and duration (e.g., Qian et al. 2002; Wu et al. 2015, 2020). Mean centroid latitudes in JJA (and
342 their maxima) are about 25–28 (29–31), 29–30 (32), 32 (34), and 35 (37) degrees north at 350,
343 370, 390, and 410 K, respectively, with the ranges reflecting reanalysis differences (Figs. 2 and
344 3). The JJA-mean centroid longitude is near 80°E at all levels. Although the period over which
345 the ASMA is consistently well defined is shorter at higher levels (also seen in Fig. S3), the area
346 increases faster at 370 and 390 K than at 350 K, so, except in MERRA-2, the areas at these higher
347 levels are larger than that at 350 K by June. The UT subtropical jet core is climatologically near
348 350 K (e.g., Manney et al. 2014), with winds weakening and shifting northward with height; the
349 northward shift of geopotential height gradients associated with that is consistent with a northward
350 shift of the ASMA with height.

351 The aspect ratio of the ASMA equivalent ellipse typically ranges between 5 and 10 when the
352 circulation is well defined (Fig. 2), with a JJA mean of around 8 at 350 K and 7 at the higher levels
353 (Fig. S4). At 350 K, the aspect ratio increases from about 3 to 8–9 during June and then remains
354 nearly flat until gradually decreasing again starting in mid-September. At the higher levels, the
355 aspect ratio increases gradually from 3–5 to 7–9 through the season (until late-September, mid-

356 September, and mid-August at 370, 390, and 410 K, respectively). Larger peaks (exceeding 20)
357 for individual dates/years tend to cluster near the end of the season, when splitting or pinching off
358 of sub-vortices often results in an elongated ASMA.

359 The ASMA angle typically ranges between about $\pm 5^\circ$ when the ASMA is well defined, with
360 a tendency towards slightly negative values before mid-season (Fig. 2) and a JJA-mean very near
361 zero (Fig. S4). Larger negative values (up to about -10°) at 350 K through June indicate that the
362 eastern side of the ASMA often tilts equatorward during this period.

363 EK is a combination of higher-order moments defined such that negative values indicate a
364 “pinched” shape, zero indicates an elliptical vortex, and positive values indicate a “diamond-
365 shaped” vortex or one with extensive filamentation (Matthewman et al. 2009). Negative values
366 have been used to indicate stratospheric polar vortex splitting, e.g., -0.1 by Matthewman et al.
367 (2009) and -0.6 by Matthewman and Esler (2011). Except at 350 K, ASMA EK is typically
368 slightly positive; significantly negative values are uncommon in this climatology (Fig. S5). Statis-
369 tics of negative EK by year and month (Fig. S6) show only a few instances at 370, 390, or 410 K
370 with extended periods of negative EK (e.g., July and August 1989 at 370 and 390 K). Daily MSF
371 maps at these times (e.g., Fig. S2) indicate that negative EK is associated with a pinched ASMA
372 shape (similar to the “western (Iranian plateau)” or “double-center” phases described in Pan et al.
373 2016); one of the MSF maxima in these cases is typically near the Iranian Plateau (around 40–
374 60°E longitude), consistent with one of the preferred locations in studies suggesting bimodality
375 (Nützel et al. 2016, and references therein), while the location of the other varies considerably.
376 ASMA splitting occurs for negative EK magnitudes as small as about 0.25; on the other hand, the
377 ASMA may remain unsplit for negative EK magnitudes as large as 0.65 (the latter cases are either
378 nearly split or associated with elongated sinuous ASMA shapes). Thus, periods of negative EK
379 do signify particular ASMA structures, but they are uncommon and are not a specific indicator of
380 splitting. Large positive EK values are fairly common (and would occur in situations similar to the

381 “eastern (Tibetan Plateau)” phase of Pan et al. 2016), but their small effect on climatological EK
382 suggests that they occur only for short periods in individual years (most frequently early and late
383 in the ASM season). Slightly positive mean EK values suggest that the ASMA is most often close
384 to elliptical or has a slight bulge along the minor axis. Further exploration of the details of ASMA
385 structure leading to large variations in EK may be useful for case studies, but the complexity of
386 correlating this diagnostic with consistent morphologies is beyond the scope of this paper.

387 At 370, 390, and 410 K, MERRA-2 areas are 15–20% larger than those in the other reanalyses
388 (Figs. 2 and 3). At 390 and 410 K, ERA-Interim areas are 5–10% smaller than those in JRA-55.
389 At 350 K, MERRA-2 areas are 40–50% larger than those for the other reanalyses, consistent with
390 the edge locations shown in Fig. 1. The MERRA-2 range includes more high values at all times,
391 and thus peaks in the mean are less distinct (clearly apparent in JJA in Fig. 3 and reflected in more
392 diffuse edge distributions in Fig. 1).

393 The area in Fig. 2 indicates that the ASMA starts developing in late April at 350 K and in early
394 May to early June at higher levels. At each level, a peak in mid-May (strongest at 350 and 370 K)
395 is followed by a rapid but brief decrease and then a steady rise until late July/early August. The
396 late-May area peak arises almost entirely from three years: 1998, 2010, and 2016. Although the
397 area drops abruptly near the end of May in those years (producing an apparent climatological
398 minimum in early June), these years remain among those with the largest area through the peak of
399 the monsoon season (see Section 3b). ASMA area increases more slowly at 350 K than at higher
400 levels. In MERRA-2, the maximum climatological ASMA area is about 12% of a hemisphere at
401 350 K and about 10% at the higher levels; the other reanalyses show a maximum climatological
402 area of about 7% at 350 K, and slightly under 10% at the higher levels. For comparison, this
403 maximum area is similar to that of the Arctic stratospheric polar vortex in a typical winter (see,
404 e.g., Manney et al. 2011b; Garny and Randel 2013; Manney and Lawrence 2016). Previous studies
405 have shown qualitatively similar seasonal evolution of area-related diagnostics such as grid-point

406 counts or east/west extent (e.g., Qian et al. 2002; Liu et al. 2017; Xue et al. 2017; Xue and Chen
407 2019).

408 *b. Variability and Trends*

409 1) INTERANNUAL VARIABILITY AND TRENDS IN THE ASMA

410 Considerable interannual variability is seen in the ASMA moments and area (Fig. 4 shows area
411 in JJA; Fig. S7 shows other moments). This variability is qualitatively very consistent in all of the
412 reanalyses, but the differences seen in the climatology are reflected in relative biases between the
413 values, especially at 350 K. Although trends from reanalyses must be treated with caution (because
414 of step-changes in data inputs and differences in how each reanalysis handles such changes, e.g.,
415 Oliver 2016; Fujiwara et al. 2017; Long et al. 2017; Manney and Hegglin 2018; Bao and Zhang
416 2019, and references therein), ASMA area shows strong evidence for increasing trends that are
417 consistent among the reanalyses.

418 Figure 5 summarizes the linear trends in the area time series shown in Fig. 4. Trends are positive
419 in all reanalyses, in all months and during JJA, and at all levels except 410 K in September. Most
420 of these trends are significant at the 95% confidence level except in September, when only 350 K
421 shows consistently significant trends. JRA-55 trends are also insignificant in August at 390 and
422 410 K and in June and JJA at 410 K, and ERA-Interim and JRA-55 trends are insignificant in June
423 at 390 K. MERRA-2 area trends are larger than those in the other reanalyses at all levels. We have
424 previously done this trend analysis for periods ending in 2014, 2015, and 2017, with very similar
425 results (see S-RIP chapter 8, Tegtmeier et al. 2021, for a 370 K example through 2015), indicating
426 that within the 2014–2018 interval the results are not strongly affected by outliers in the end dates
427 (consistent with the general absence of extreme values at the end points of the time series shown in
428 Fig. 4). These results suggest a robust increasing trend in ASMA area over the past approximately
429 40 years.

430 Figure 6 shows start and end dates and duration (end minus start date) of the ASMA (see Sec-
431 tion 2b for details). The interannual variability agrees qualitatively among the reanalyses, but
432 MERRA-2 shows substantially longer ASM seasons at 350 K than the other reanalyses, consistent
433 with its larger area at that level. Mean formation dates are earlier at lower levels in JRA-55 and
434 MERRA-2 (e.g., mean values for JRA-55 – typically the “middle” of the three reanalyses – are
435 30 May, 30 May, 6 June, and 16 June at 350, 370, 390, and 410 K, respectively). The earliest mean
436 start date for ERA-Interim is 4 June at 370 K.

437 End dates in MERRA-2 and JRA-55 are later at lower levels (e.g., JRA-55 mean of 17 Septem-
438 ber, 15 September, 10 September, and 3 September at 350, 370, 390, and 410 K, respectively),
439 while the latest ERA-Interim end date is 12 September at 370 K. Together, these results lead to
440 the longest mean duration at 350 K for MERRA-2 and JRA-55 (159 and 110 days, respectively)
441 and at 370 K for ERA-Interim (100 days). These results are consistent with and help quantify the
442 reanalysis differences in ASMA area shown above.

443 The linear fits in Fig. 6 show trends towards earlier formation dates, later decay dates, and longer
444 lifetimes at all levels, consistent with the area trends discussed above. These trends are much
445 larger at 350 K (37, 53, and 41 days longer in 2018 than in 1979 for MERRA-2, ERA-Interim,
446 and JRA-55, respectively) than at higher levels (ranging from 7 to 24 days 2018–1979 difference,
447 depending on level and reanalysis). Figure 7 summarizes these trends and their significance. Con-
448 sistent with the area increase, these trends are larger at 350 K than at higher levels and larger in
449 MERRA-2 than in other reanalyses. 410 K trends are not significant except for MERRA-2 decay
450 dates and lifetime; 390 K trends in all quantities in JRA-55 and in decay date in ERA-Interim are
451 also not significant.

452 Figures S7 and S8 show that, despite consistent slopes among the reanalyses in many cases, few
453 of the apparent trends in other diagnostics are significant at the 95% confidence level. Positive
454 trends in aspect ratio in July at 390 and 410 K, and in JJA at 370 and 390 K, are significant and

455 consistent among the reanalyses. Significant positive trends are also seen in angle at 370, 390, and
456 410 K in July, although the angle remains quite small.

457 2) ASMA CORRELATIONS WITH UPPER TROPOSPHERIC JETS, ENSO, AND QBO

458 Figure 8 show correlations of ASMA centroid latitude and area with the subtropical UT jet core
459 latitude and altitude at 350 and 390 K in the 80–160° longitude region (Figs. S9 and S10 show cor-
460 relations of jet locations with other moments and at the other levels). Similarly strong correlations
461 are seen in the 45–90° longitude region, and weaker ones of consistent sign are seen in the zonal
462 mean (not shown). ASMA centroid latitude is the moment that shows the strongest correlation
463 with subtropical jet location, with mostly significant positive (negative) correlations with sub-
464 tropical jet latitude (altitude). Weaker/less significant correlations are seen in September; similar
465 (though usually less significant) correlations are seen at the other levels (Figs. S9 and S10). Since
466 the core of the subtropical jet sits near 350 K (e.g., Manney et al. 2014; Santee et al. 2017), weaker
467 correlations at higher levels are expected. The positive correlation of ASMA centroid latitude with
468 jet latitude is consistent with the northward shift of the subtropical jet around the poleward edge
469 of the ASMA (typically to a maximum latitude near 42–45°N) during boreal summer (e.g., Schie-
470 mann et al. 2009; Manney et al. 2014; Manney and Hegglin 2018). This poleward subtropical jet
471 shift has been linked to monsoon-related heating; such heating, which is stronger for more intense
472 monsoons, increases the temperature gradients north of the jet, inducing a northward jet shift via
473 the thermal wind relationship (e.g., Schiemann et al. 2009; Ge et al. 2018a,b). Since the clima-
474 tological equatorward ASMA edge location does not vary much (see, e.g., more sharply peaked
475 equatorward edge distributions in Fig. 1 and sharply peaked TEJ distributions in Manney et al.
476 2014), its poleward edge is expected to expand more, increasing centroid latitude, with increasing
477 area. A positive centroid latitude / subtropical jet latitude correlation is thus expected if ASMA
478 area is positively correlated with monsoon intensity (a reasonable supposition, though details of
479 area / intensity relationships would likely be complex and depend on the metric). ASMA area is

480 usually negatively (positively) correlated with subtropical jet latitude (altitude), but only correla-
481 tions with altitude show significant values for all reanalyses at 350 and 370 K in July, September,
482 and JJA. That ASMA moments/area correlations with subtropical jet latitude and altitude typically
483 have opposite signs is consistent with the anti-correlation between jet altitude and latitude (Lorenz
484 and DeWeaver 2007; Hartmann et al. 2013; Manney and Hegglin 2018, and references therein).

485 Fig. S9 also shows significant positive correlations of subtropical jet latitude with ASMA angle,
486 strongest at 370 and 390 K in July; negative correlations with ASMA longitude and area at 350 K
487 that are occasionally significant; and a positive correlation with ASMA longitude at 410 K in July.
488 These are generally reflected in correlations of the opposite sign with subtropical jet altitude in
489 Fig. S10. Figure S11 shows positive correlations of the ASMA longitude with subtropical jet core
490 windspeed at 350 through 390 K that are significant in most or all of the reanalyses at 350 K and
491 in June, July, and JJA at the other levels.

492 Correlations of ASMA area and most of the moments with the concurrent MEI index are not
493 significant at the 95% confidence level. Figure 9 shows 350 and 390 K correlations of MEI with
494 ASMA centroid locations, illustrating the most significant correlations. Centroid longitude cor-
495 relations are consistently positive among the reanalyses at all levels, but are only significant for
496 all three at 350 K in July (and 370 K in JJA, not shown). The most uniformly significant corre-
497 lations with ENSO are for centroid latitude, which shows a consistent and generally significant
498 anti-correlation with the MEI (the correlations at 370 / 410 K are very similar to those at 350 /
499 390 K). While these correlations seem at face value consistent with the ASMA / subtropical jet
500 correlations (Fig. 9) and the negative (positive) subtropical jet latitude (altitude) correlations with
501 ENSO shown by Manney et al. (2021, submitted to *Journal of Climate*), those jet / ENSO cor-
502 relations are in fact much more significant in winter and spring than during the monsoon season,
503 suggesting more complex relationships.

504 While correlations between concurrent ENSO and ASMA area are weak, Fig. 10 shows signifi-
505 cant correlations of ASMA area with the MEI two months previously, especially in June and July
506 (smaller but still significant correlations were found for a one-month lag at 390 and 410 K). Lag
507 correlations for the moments and for other lags were either not significant or less significant than
508 those for concurrent MEI. Correlations of MEI in DJF, March, April, and May with monsoon
509 onset dates (defined as in Fig. 6) generally indicate positive but insignificant correlations with DJF
510 and March MEI, and inconsistent results for the other months (not shown); an earlier onset date
511 following El Niño conditions would be consistent with the positive two-month lag correlations
512 with area (which we cannot calculate for May since the ASMA formed that early in only a few
513 years). We note that the three years causing the late-May peak in Fig. 2 (1998, 2010, and 2016)
514 all had El Niño conditions in the preceding March; however, several years with strong preceding
515 El Niño conditions have late ASMA formation dates. These results add information on the com-
516 plexity of the ASMA relationship to ENSO reflected in the lack of consensus in previous studies
517 (see Section 1 for a brief review), and may support a role that has been suggested for dependence
518 on the time of decay of El Niño in spring (e.g., Li et al. 2017; Liu et al. 2017; Hu et al. 2020).

519 Figure 11 shows correlations of ASMA area with the QBO, defined using 70 hPa Singapore
520 winds (Naujokat 1986), at 390 and 410 K. Significant negative correlations with area are seen
521 in all the reanalyses in June at 390 and 410 K (and in ERA-Interim and JRA-55 at 370 K, not
522 shown). In September, there are significant negative correlations with the 70-hPa QBO winds in
523 all reanalyses at 410 K (and in JRA-55 at 390 K). The moments did not in general show significant
524 correlations with QBO, and results for QBO based on 50 hPa Singapore winds, 30–50-hPa wind
525 shear, and lagged correlations were no more illuminating.

526 *c. The Longer-Term Record: JRA-55*

527 The 1958–2018 JRA-55 dataset allows us to examine a 61-year record, provided we can show
528 that the pre-satellite and satellite period data are comparable. We assess that comparability using
529 the JRA-55C reanalysis, which spans late 1972 through 2012 and uses only conventional data
530 inputs. We examine four time series: JRA-55 and JRA-55C during their common period of 1973–
531 2012; JRA-55 for 1979–2018 (the period used above for all the reanalyses); and JRA-55 for 1958–
532 2018 (the 61-year record). Except for slightly larger areas in the 1979–2018 period at 350 and
533 370 K, these are all in very good agreement (e.g., Fig. S12 shows JJA-mean centroid and edge
534 locations for these periods). Fig. 12 shows that centroid location and area at 370 K match closely
535 in these four JRA-55/55C time series (with some day-to-day variability at the beginning and end
536 of the season); similar congruence is seen at other levels.

537 Time series for the other moments, start/end dates, and duration in JRA-55 and JRA-55C exhibit
538 similarly close agreement (not shown). With this indication of skill for these diagnostics without
539 the inclusion of satellite data, we proceed to examine the evidence for trends in the 61-year record.
540 As was the case for 1979–2018 (see Fig. S8), trends in the moments are generally not significant
541 over any of the periods. Fig. 13 shows the results of the trend analysis for ASMA area at 350,
542 370, and 390 K, as well as for ASMA start and end dates and duration. (Area trends at 410 K
543 resemble those at 390 K except that none are significant in JJA.) JRA-55 and JRA-55C changes
544 are very similar for their common period. All four time series indicate significant area increases at
545 350 K, except for June in the early years. Area trends are significant in June through August and
546 in JJA at 370 K and mostly not significant at the higher levels. Trends in ASMA start/end dates
547 and duration show consistent patterns, with significant decreases (increases) in start date (end date
548 and duration) at 350 K in all four cases and at 370 K in JRA-55 in 1979–2018 and 1958–2018
549 (excepting end dates for the latter), as well as largest changes in JRA-55 in the 1979–2018 period.

550 Increases in JRA-55 area and duration during 1979–2018 are overall larger and more significant
551 than those in the earlier period, in JRA-55C, or in the full 61-year record. While these results are
552 not conclusive, they do suggest the possibility of a recent acceleration in the increasing trend in
553 ASMA area.

554 **4. Conclusions and Discussion**

555 We address outstanding issues regarding the climatology and variability of the Asian summer
556 monsoon anticyclone (ASMA) using newly developed diagnostics of its moments and area. By
557 analyzing ASMA diagnostics (analogous to those developed for the stratospheric polar vortex) on
558 the 350 through 410 K isentropic surfaces and evaluating the robustness of our results by compar-
559 ing three recent reanalyses, we provide a uniquely comprehensive synthesis of the morphology
560 and evolution of the ASMA, assess trends in those characteristics, and examine the relationships
561 of ASMA interannual variability to ENSO, the QBO, and the UT subtropical jet. We use the
562 MERRA-2, ERA-Interim, and JRA-55 reanalyses for 1979–2018, extending our results to 1958–
563 2018 using JRA-55; all of these reanalyses have been shown to be suitable for UTLS studies
564 such as ours. Except for limited areas of disagreement as noted, our results are robust for these
565 reanalyses.

566 Notable climatological characteristics of the ASMA revealed or confirmed in this study include:

- 567 • The ASMA forms slightly earlier at 350 K (late April) than at higher levels (late May /
568 early June) and decays slightly later at 350 K (mid-October) than at higher levels (mid / late
569 September). Its mean duration (averaged over 1979–2018 and the three reanalyses) is 120,
570 110, 87, and 77 days at 350, 370, 390, and 410 K, respectively.

- 571 • At its peak in July/August, the ASMA occupies $\sim 10\%$ of the northern hemisphere.

- 572 • ASMA centroid longitudes are lowest and latitudes highest in early August when the ASMA
573 area is largest; the ASMA thus moves westward and northward as it develops and eastward
574 and southward as it decays.
- 575 • ASMA centroid latitude increases with height, with a climatological maximum latitude of
576 $\sim 30^\circ\text{N}$ at 350 K increasing to $\sim 37^\circ\text{N}$ at 410 K; ASMA centroid longitude is similar at all
577 levels, near 80°E at the peak of the monsoon season.
- 578 • The climatologies from the three reanalyses generally agree well at 370, 390, and 410 K,
579 but ASMA area is larger in MERRA-2, especially at 350 K, where it exceeds that in the
580 other reanalyses by $\sim 40\text{--}50\%$. This difference originates in part from a vertically localized
581 temperature bias in MERRA-2 near 300 hPa (Gelaro et al. 2017), which may be related to
582 differences in MERRA-2 representation of high clouds and associated heating with them
583 (Wright et al. 2020); it is the subject of ongoing investigation.
- 584 • ASMA aspect ratios are typically between 5 and 10 when the circulation is well defined.
- 585 • The ASMA major axis is closely aligned with the latitude circle of its centroid.
- 586 • Negative values of excess kurtosis (EK) are associated with a pinched or split ASMA but are
587 uncommon; the ASMA is on average nearly elliptical, with a slight bulge along the minor
588 axis. Thus, although splits and bimodal structures do occur during some periods, they are not
589 frequent or persistent enough to leave an imprint of two preferred locations in the climatology.

590 The northwest (southeast) motion of the ASMA during development (decay) is consistent with
591 previous work and is thought to arise largely from seasonal changes in heating over the Iranian
592 and Tibetan Plateaus; these changes and ASMA development also affect the location and timing
593 of ASM rainfall onset, which in turn feeds back on ASMA development, position, and duration
594 (e.g., Qian et al. 2002; Wu et al. 2015; Nützel et al. 2016; Wu et al. 2020). Because most studies

595 focus on a single level, our results regarding changing ASMA position/size with height are new,
596 though Santee et al. (2017) showed qualitatively similar evolution in a much shorter dataset.

597 Our results substantiate the lack of climatological bimodality in the ASMA and support and
598 extend previous studies showing no evidence for bimodality in recent reanalyses (e.g., Ploeger
599 et al. 2015; Nützel et al. 2016); on the other hand, infrequent brief periods of negative EK indicate
600 that bimodality does occur in daily ASMA maps, consistent with reported shape variations (e.g.,
601 Pan et al. 2016; Honomichl and Pan 2020). The lack of climatological bimodality in centroid
602 frequency distributions suggests that bimodality is more commonly related to shape variations
603 than to two strongly preferred ASMA core locations, and some of the studies noted above do
604 suggest that shape variations may be related to changes in patterns of heating and rainfall similar
605 to those that drive the seasonal position changes. Further exploration of EK in the context of
606 intraseasonal variability, as well as for case studies, will help quantify common shape variations
607 of the ASMA and identify statistical patterns that arise from those shape changes; these statistical
608 patterns can be used to explore the relationships of EK to heating and rainfall.

609 Previous studies that touched on ASMA area provided only qualitative results (e.g., area mea-
610 sured in grid-point “counts”, or diagnostics of western and eastern extent; Qian et al. 2002; Liu
611 et al. 2017; Xue et al. 2017; Xue and Chen 2019), most often based on older reanalyses such as
612 NCEP-R1 (in which geometrical aspects of the ASMA are particularly suspect), and they typically
613 focused on a single level, so our comprehensive assessment of ASMA area is unique.

614 In addition to these climatological features, the long-term reanalysis records allow us to quantify
615 trends and interannual variability. Our trend analysis shows that:

- 616 • Significant (at the 95% confidence level) increasing trends in ASMA area over the 40-year
617 common study period are robust among all of the reanalyses we studied (including MERRA
618 and CFSR/CFSv2 shown in S-RIP Chapter 8, Tegtmeier et al. 2021). The area trends are not
619 sensitive to ending years ranging from 2014 to 2018.

- 620 • Consistent with the area trends, ASMA start dates have become earlier and end dates later;
621 consequently, its duration has increased. Area and duration trends are typically largest and
622 most significant at 350 K and are strongest in MERRA-2. Averaged over the reanalyses, the
623 ASMA persisted longer in 2018 than in 1979 by 44, 23, 22, and 12 days at 350, 370, 390, and
624 410 K, respectively.
- 625 • In the 1958–2018 JRA-55 record, trends are substantially larger and more significant for
626 1979–2018 than for 1958–2018 or 1973–2012, and trends are significant at the 95% confi-
627 dence level at 390 and 410 K (and in many cases 370 K) only for the 1979–2018 period. Thus
628 trends may have accelerated during the past four decades.

629 These trends are derived from very different metrics than those in past studies, thus providing a
630 novel view of the changing ASMA. The trend towards earlier ASMA formation is consistent with
631 previous work showing evidence of earlier monsoon onset using near-surface or rainfall diagnos-
632 tics (Kajikawa et al. 2012; Bollasina et al. 2013; Bombardi et al. 2020, and references therein) and
633 with previous studies suggesting earlier onset of the patterns of shifting heating rates and feedbacks
634 with rainfall that drive the seasonal development and northwest shift of the ASMA (e.g., Ge et al.
635 2018a,b; Wei et al. 2019; Zhang et al. 2019; Wu et al. 2020). Until now, area trends have not been
636 evaluated in detail and are not obviously comparable to the diverse metrics of ASMA intensity em-
637 ployed in prior studies. Some previous work has noted that trends in metrics of ASMA intensity
638 may be related to long-term mean changes over a broader region in the fields (e.g., geopotential
639 height, temperature) used to calculate those diagnostics (e.g., Xue et al. 2020). To further elucidate
640 the proximate causes of the ASMA area and duration trends shown here, a paper in preparation
641 explores their relationships to changes in MSF, temperature, geopotential height, tropopause varia-
642 tions, UT winds, and other dynamical fields; preliminary results indicate much greater complexity
643 in the causes of these trends than a simple overall long-term increase in MSF arising from climate-
644 change-driven increases in temperature and/or geopotential height. Given the previous work noted

645 above suggesting that earlier ASMA onset may be related to trends in heating and rainfall, it will
646 also be of interest to explore relationships with surface diagnostics.

647 Some ASMA diagnostics show robust correlations with other modes of variability:

- 648 • The ASMA centroid latitude is significantly positively (negatively) correlated with the sub-
649 tropical jet core latitude (altitude).
- 650 • ASMA centroid latitude is significantly negatively correlated with concurrent ENSO.
- 651 • ASMA area is significantly positively correlated with the MEI index two months previously,
652 particularly in June/July at 370 and 390 K.
- 653 • ASMA area is significantly negatively correlated with QBO only during June at 370, 390,
654 and 410 K.

655 ENSO / ASMA centroid latitude correlations are consistent with the negative (positive) correla-
656 tions of subtropical jet latitude (altitude) with ENSO shown by Manney et al. (2021). Both are
657 in turn consistent with the climatological northward jet shift during the ASM season (e.g., Schie-
658 mann et al. 2009; Manney et al. 2014) and with the anticorrelation of jet latitude and altitude (e.g.,
659 Lorenz and DeWeaver 2007; Hartmann et al. 2013; Manney and Hegglin 2018; Manney et al.
660 2021). While positive lag correlations of area with ENSO seem at face value inconsistent with
661 some previous work suggesting stronger monsoons during La Niña conditions (e.g., Tweedy et al.
662 2018; Yan et al. 2018), ASMA area is a very different metric than any previously employed, and
663 our results may support suggestions in other past work linking earlier development of the ASMA
664 to complex changes in local and remote SSTs related to the timing of decaying El Niño in spring
665 (e.g. Li et al. 2017; Liu et al. 2017; Xue et al. 2017).

666 In summary, the diagnostics studied herein shed new light on interannual variability and trends
667 in the ASMA. New insights on outstanding issues include comprehensive vertically resolved
668 analysis of the climatology and seasonal evolution of ASMA area, position, and shape using con-

669 sistently defined metrics; evidence for the lack of climatological bimodality in the ASMA; robust
670 increasing trends in ASMA area and duration; and new results on the complex relationships of
671 ASMA geometry and evolution to ENSO. Our results not only provide a novel view of ASMA
672 climatology and variability, but also new tools for further exploration of ASMA dynamical and
673 composition variability, the ability of climate models to capture this variability, and relationships
674 of ASMA changes to surface impacts.

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- 687 • MERRA-2: <https://disc.sci.gsfc.nasa.gov/uii/datasets?keywords=%22MERRA-2%22>
- 688 • ERA-Interim: <http://apps.ecmwf.int/datasets/>
- 689 • JRA-55/JRA-55C: Through NCAR RDA at <http://dx.doi.org/10.5065/D6HH6H41>
- 690 • MEI: <https://www.psl.noaa.gov/enso/mei.old/>
- 691 • QBO: <https://www.geo.fu-berlin.de/en/met/ag/strat/produkte/qbo/index.html>

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 1113 Bars in reanalysis colors indicate slopes that are significant at the 95% confidence level
 1114 according to a permutation analysis (see Section 2b). 55

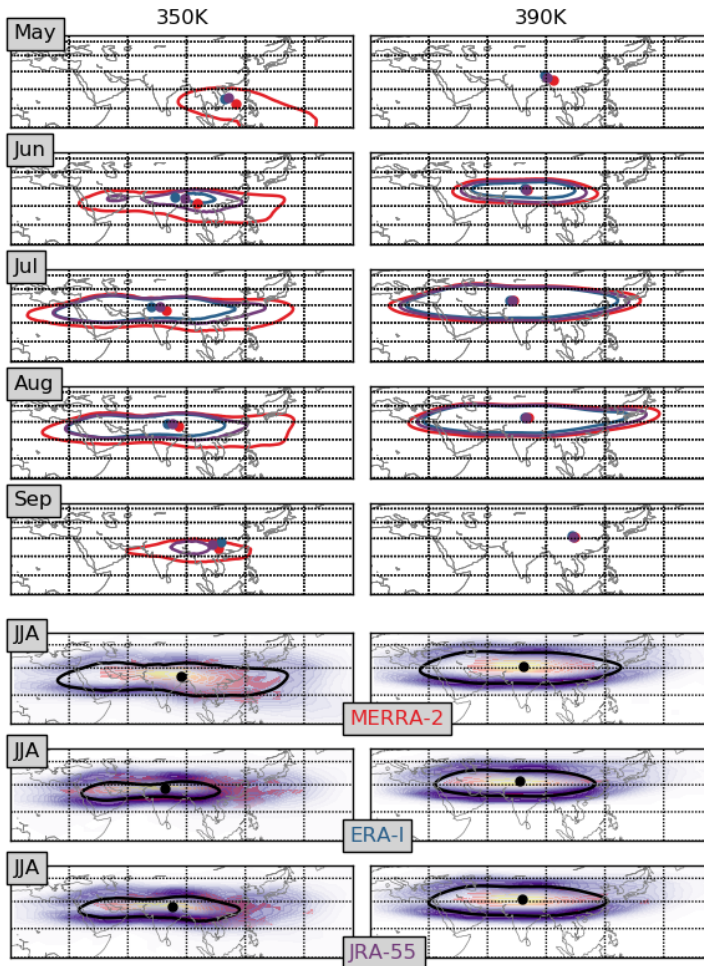
1115 **Fig. 8.** Correlation between ASMA centroid latitude and area and subtropical upper tropospheric
 1116 jet (see text for jet characterization method) latitude (top two rows) and altitude (bottom
 1117 two rows) in the 80 to 160°E longitude band, at 350 K and 390 K. Correlations that are
 1118 significant at the 95% level based on a bootstrapping analysis (Section 2b) are shown in the
 1119 reanalysis colors. 56

1120 **Fig. 9.** Correlations between ASMA centroid longitude and latitude and MEI index at 350 K and
 1121 390 K. Correlations that are significant at the 95% confidence level are shown in the reanal-
 1122 ysis colors. 57

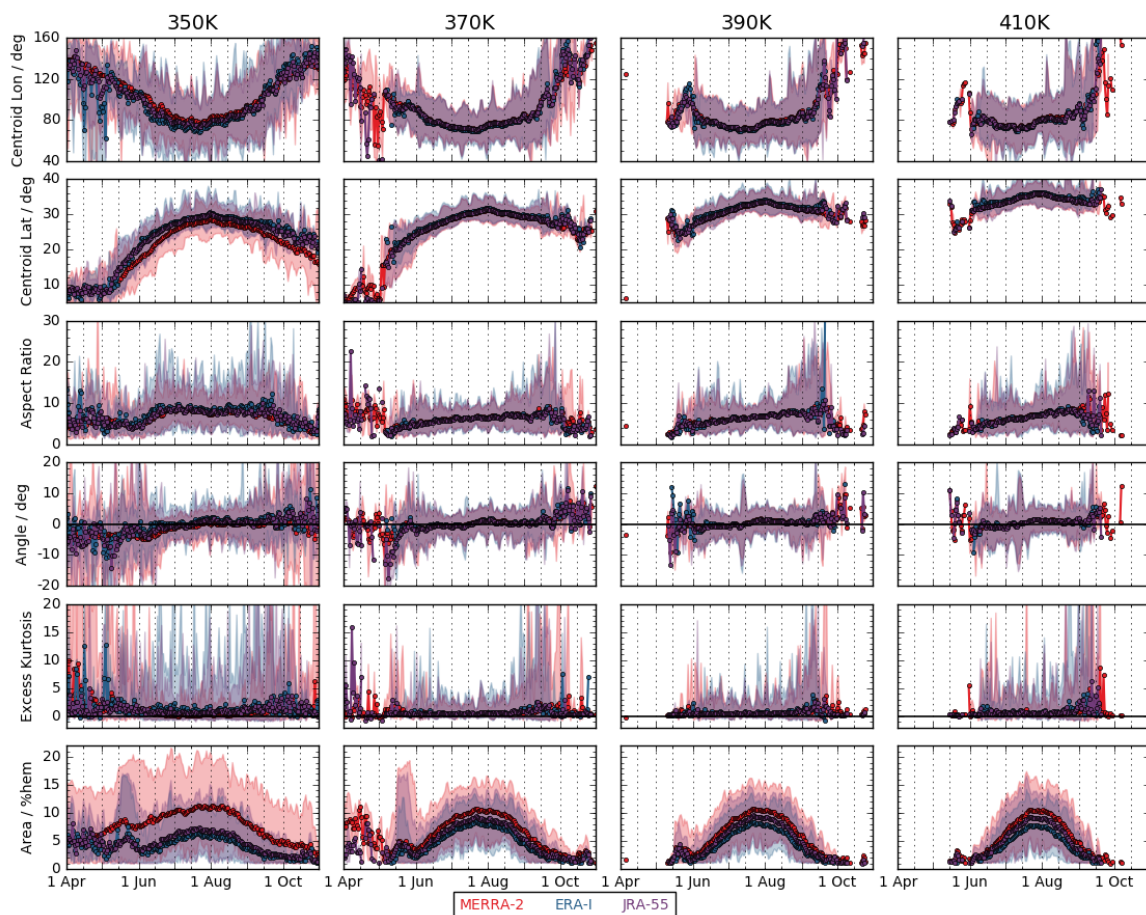
1123 **Fig. 10.** Correlations between ASMA area and the MEI index with a 2-month lag. Correlations that
 1124 are significant at the 95% confidence level are shown in the reanalysis colors. 58

1125 **Fig. 11.** Correlations between ASMA area and the QBO index defined by Singapore winds at 70 hPa,
 1126 at 390 K and 410 K. Correlations that are significant at the 95% confidence level are shown
 1127 in the reanalysis colors. 59

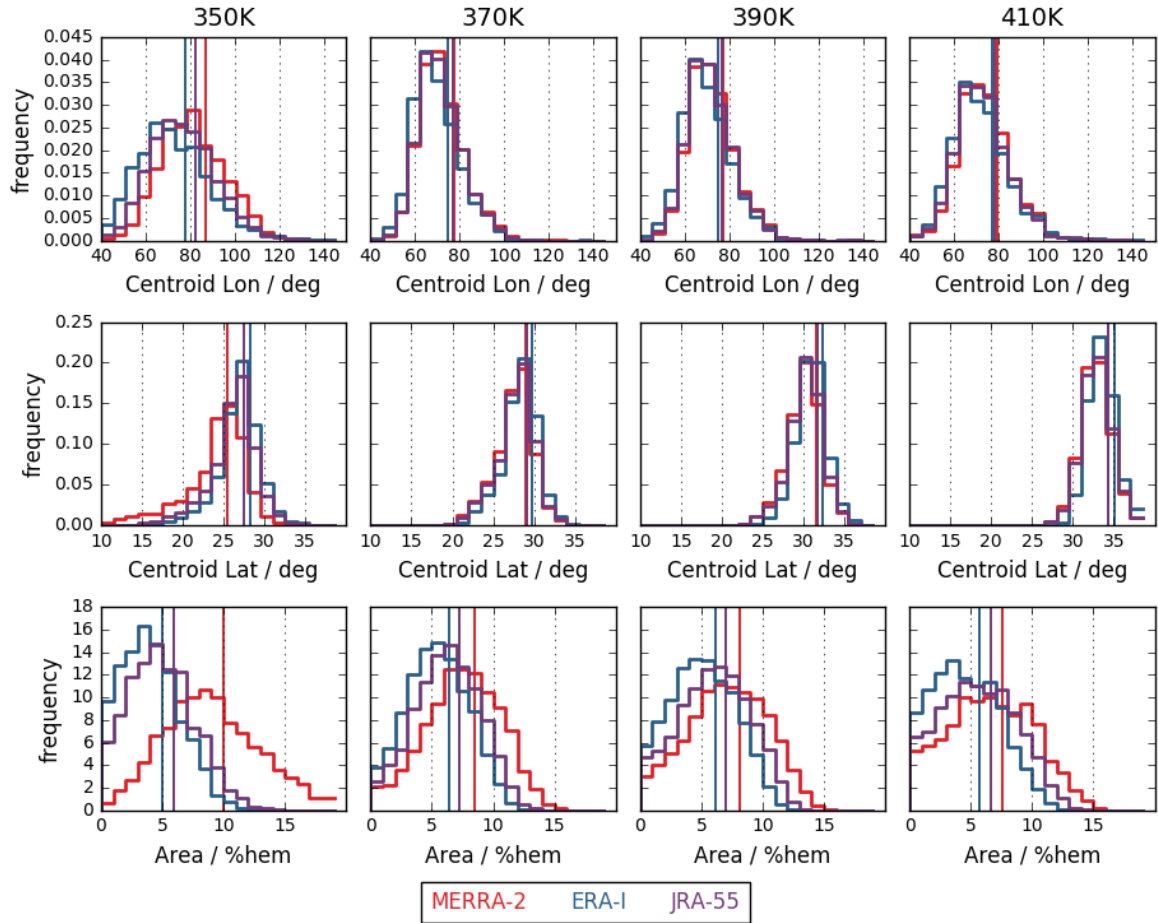
1128	Fig. 12.	370 K climatological (top to bottom) centroid longitude, centroid latitude, and area time series for JRA-55 & JRA-55C for 1973–2012 (purple & light purple, respectively), JRA-55 for 1979–2018 (teal), and JRA-55 for 1958–2018 (black).	60
1129			
1130			
1131	Fig. 13.	(Top) Slopes of linear fits to the area time series for the JRA-55(C) time series defined in Fig. 12 at 350, 370, and 390 K. (Bottom) Slopes of fits to JRA-55(C) start and end dates and duration for the same periods. Bars in the reanalysis colors indicate slopes that are significant at the 95% confidence level.	61
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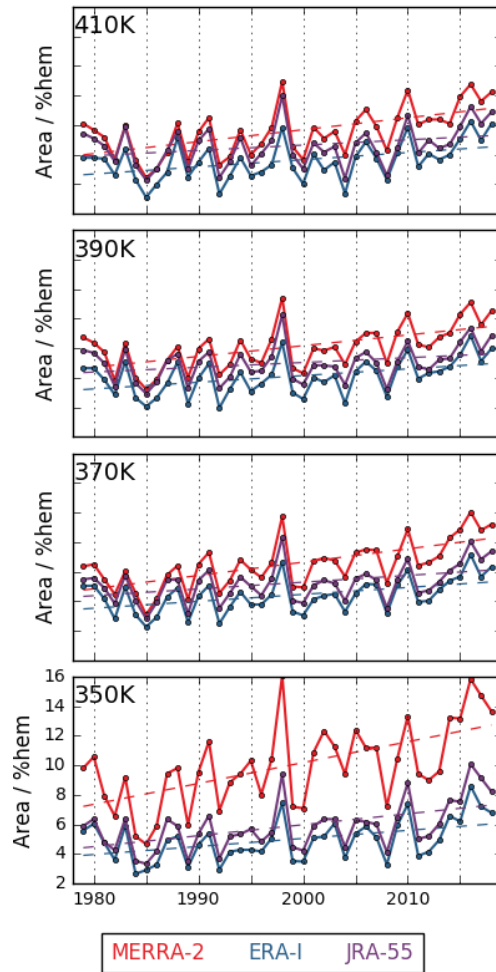
1135 FIG. 1. (Top five rows) Climatological mean (1979 through 2018) ASMA edge and centroid locations at 350 K
 1136 and 390 K for May through October, and MERRA-2 (red), ERA-Interim (blue), and JRA-55 (purple). (Bottom
 1137 three rows) frequency distributions of ASMA edge (purples) and centroid (reds/oranges) locations at 350 K and
 1138 390 K for JJA, from (top to bottom) MERRA-2, ERA-Interim, and JRA-55. Maps are shown in the cylindrical
 1139 equal area projection used to calculate the moments. The longitude domain is 0 to 180°E, with dashed lines
 1140 every 30°; the latitude domain is 0 to 60°N, with dashed lines every 15°.



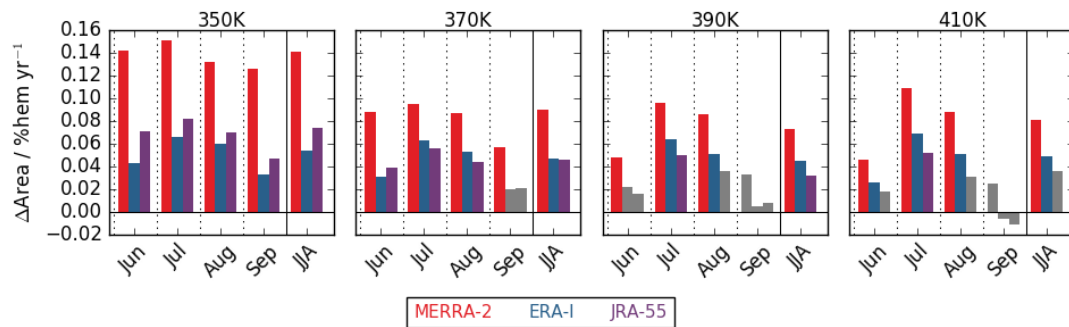
1141 FIG. 2. Climatological (1979–2018) time series of moments and area of the ASMA at (left to right) 350,
 1142 370, 390, and 410 K; fields are top to bottom: centroid longitude, centroid latitude, aspect ratio, angle, excess
 1143 kurtosis, and area. Envelopes show the range of values for the corresponding reanalysis (colors are shown in the
 1144 legend).



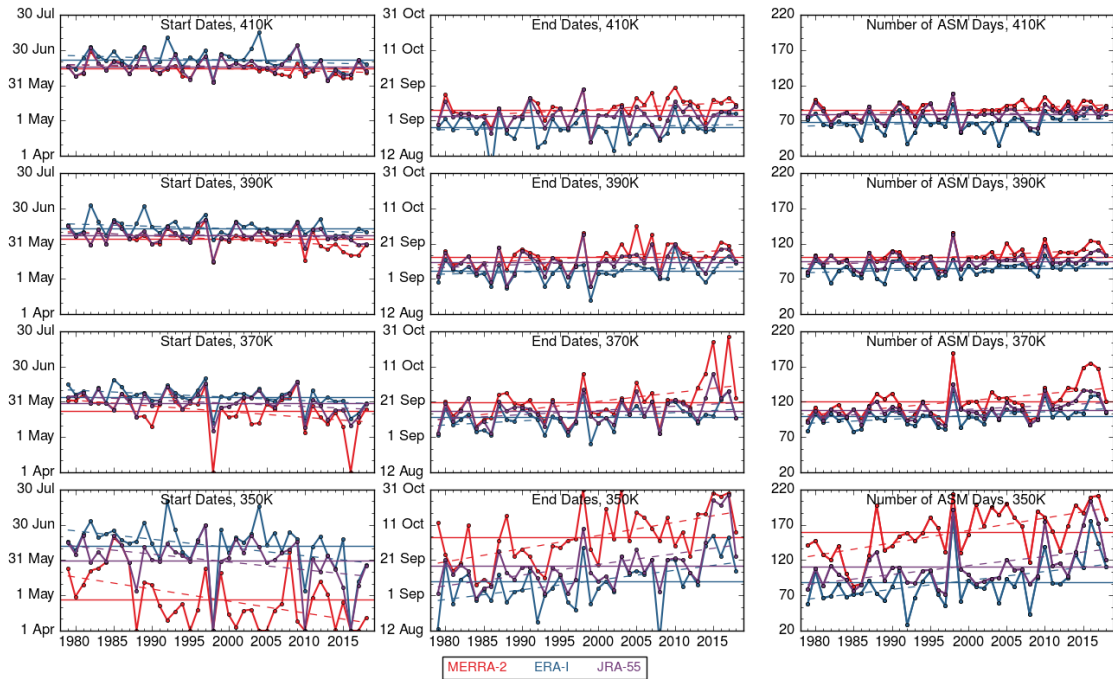
1145 FIG. 3. Histograms of climatological JJA ASMA centroid locations and area (see Fig. S6 for aspect ratio and
 1146 angle histograms). Vertical lines show climatological mean for each reanalysis.



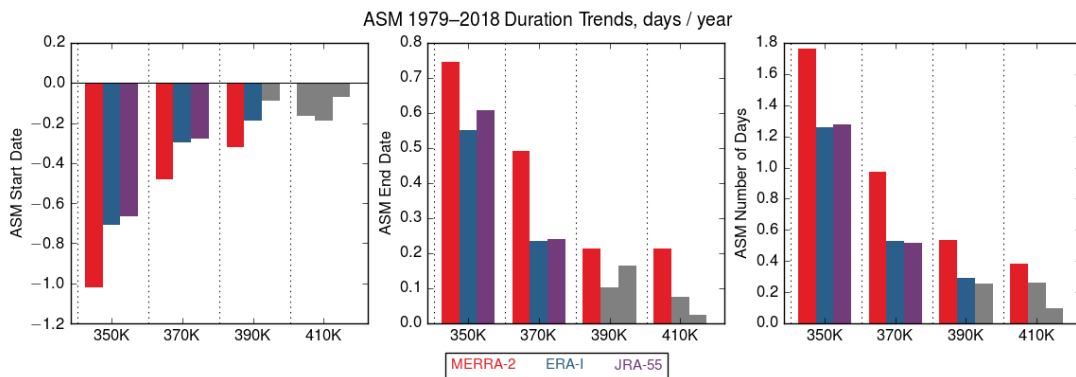
1147 FIG. 4. Time series for 1979 through 2018 of JJA ASMA area at (bottom to top) 350, 370, 390, and 410 K for
 1148 the three reanalyses. Overlaid dashed lines show linear fits to the values. See Fig. S7 for moments timeseries.



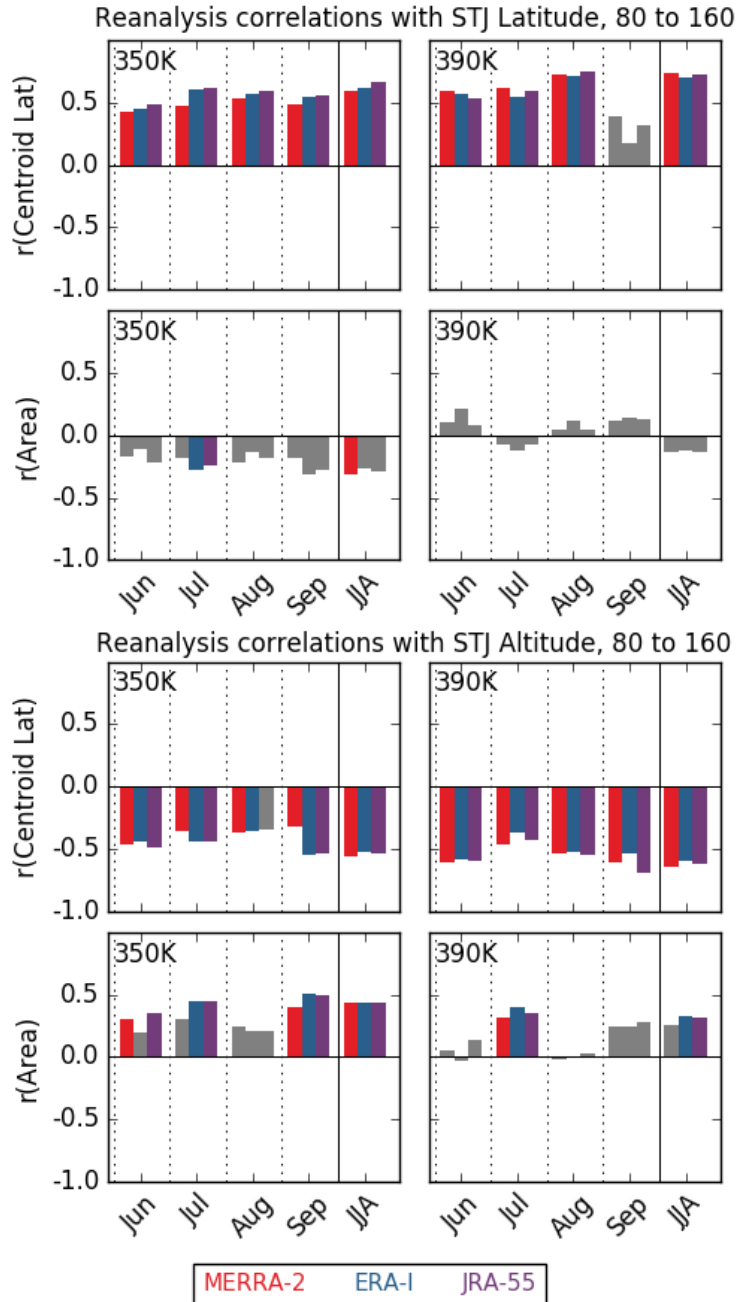
1149 FIG. 5. Slopes of linear fits to the ASMA area time series shown in Figure 4. Bars in the reanalysis colors
 1150 indicate slopes that are significant at the 95% confidence level based on a permutation analysis (see Section 2b).
 1151 Fig. S8 shows a similar analysis for ASMA moments.



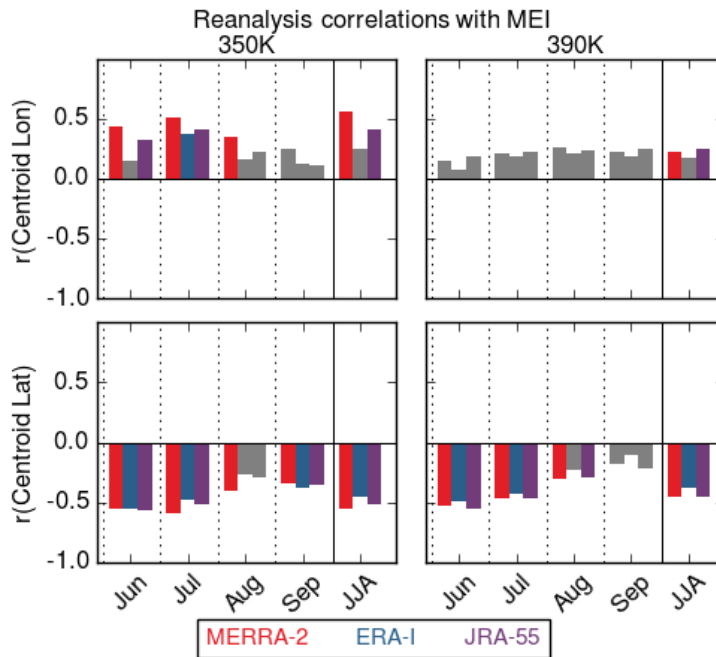
1152 FIG. 6. Start dates, end dates, and duration of the monsoon season as defined in the text (Section 2b). Hor-
 1153 izontal lines show each reanalysis' mean over the 40-year period. Overlaid dashed lines show linear fits to the
 1154 values.



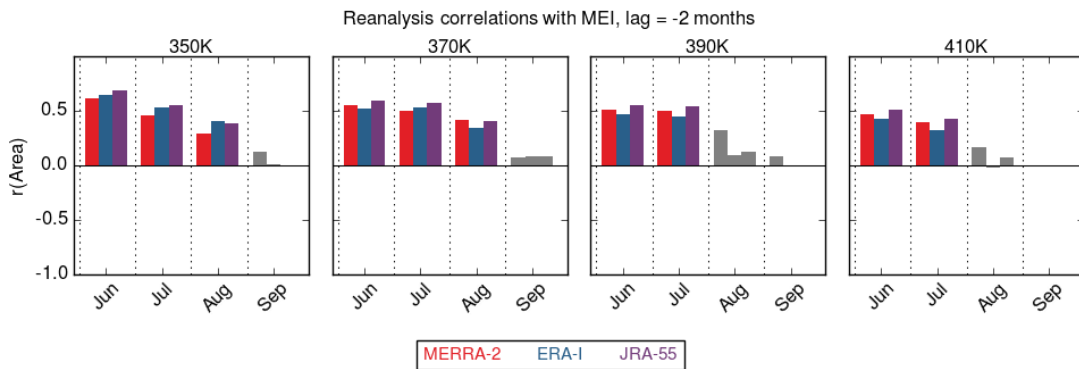
1155 FIG. 7. Slopes of the linear fits to the start date, end date, and duration time series shown in Fig. 6. Bars
 1156 in reanalysis colors indicate slopes that are significant at the 95% confidence level according to a permutation
 1157 analysis (see Section 2b).



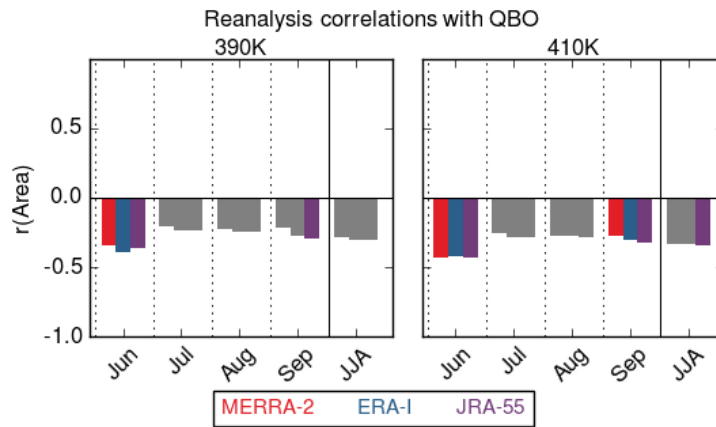
1158 FIG. 8. Correlation between ASMA centroid latitude and area and subtropical upper tropospheric jet (see
 1159 text for jet characterization method) latitude (top two rows) and altitude (bottom two rows) in the 80 to 160°E
 1160 longitude band, at 350 K and 390 K. Correlations that are significant at the 95% level based on a bootstrapping
 1161 analysis (Section 2b) are shown in the reanalysis colors.



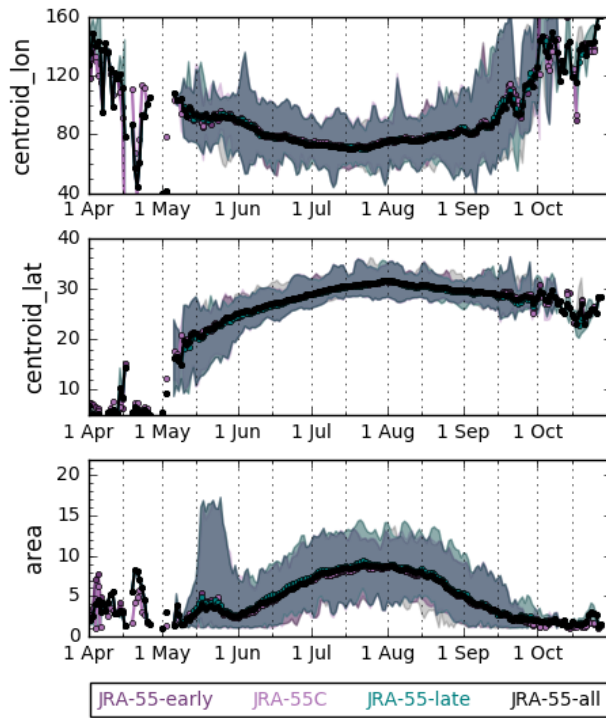
1162 FIG. 9. Correlations between ASMA centroid longitude and latitude and MEI index at 350 K and 390 K.
 1163 Correlations that are significant at the 95% confidence level are shown in the reanalysis colors.



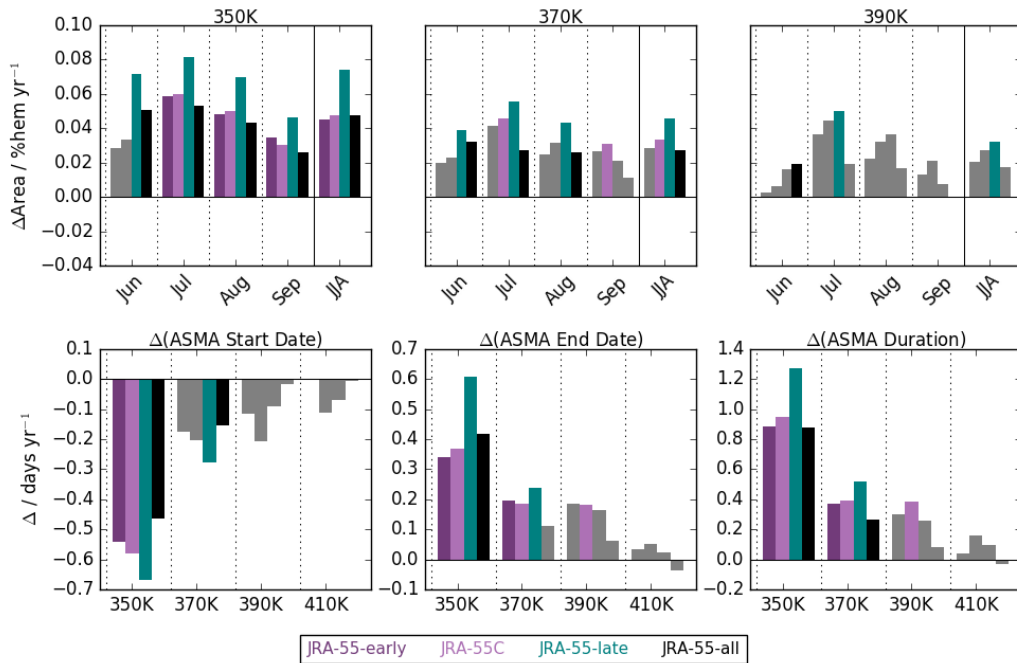
1164 FIG. 10. Correlations between ASMA area and the MEI index with a 2-month lag. Correlations that are
 1165 significant at the 95% confidence level are shown in the reanalysis colors.



1166 FIG. 11. Correlations between ASMA area and the QBO index defined by Singapore winds at 70 hPa, at 390 K
 1167 and 410 K. Correlations that are significant at the 95% confidence level are shown in the reanalysis colors.



1168 FIG. 12. 370 K climatological (top to bottom) centroid longitude, centroid latitude, and area time series for
 1169 JRA-55 & JRA-55C for 1973–2012 (purple & light purple, respectively), JRA-55 for 1979–2018 (teal), and
 1170 JRA-55 for 1958–2018 (black).



1171 FIG. 13. (Top) Slopes of linear fits to the area time series for the JRA-55(C) time series defined in Fig. 12 at
 1172 350, 370, and 390 K. (Bottom) Slopes of fits to JRA-55(C) start and end dates and duration for the same periods.
 1173 Bars in the reanalysis colors indicate slopes that are significant at the 95% confidence level.