

# 1 On-ground calibration of the HaloSat science instrument

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10 **Abstract.** HaloSat is a CubeSat-class microsatellite sensitive in the 0.4 – 7.0 keV energy band and designed to  
11 survey the entire sky in search of soft X-ray emission from highly ionized oxygen residing in the halo of the Milky  
12 Way galaxy. Those observations will help constrain the mass and spatial distribution of the Milky Way halo and help us  
13 understand if hot galactic halos constitute a significant contribution to the overall cosmological baryon budget. In this  
14 paper we describe the science instrument calibration products, including channel-to-energy transformation, instrument  
15 energy resolution and instrument response, and the on-ground efforts that led to their creation. We also describe the  
16 alignment process used to obtain the field of view information for the HaloSat science instrument.

17 **Keywords:** X-ray observatories, CubeSats, silicon drift detectors, instrument calibration.

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## 19 1 Introduction

20 It has been twenty years since Jordi Puig-Suari and Bob Twiggs<sup>1,2</sup> publicly proposed the CubeSat  
21 concept - a class of nanosatellites with standard 1U size (i.e. 10 cm x 10 cm x 10 cm) and maxi-  
22 mum mass of 1.33 kg. The CubeSat standard was conceived to help universities train future space  
23 scientists and engineers by providing inexpensive access to space for student-built spacecraft, and  
24 to provide the community with a low-cost platform for the testing and space qualification of next-  
25 generation space technologies. The first CubeSat was launched in 2003 and since then there have  
26 been more than 1000 CubeSat-class missions launched. CubeSats are being built by universi-  
27 ties, space and defense agencies, private institutions, and amateurs around the world. Today, this  
28 standardized platform, in a variety of sizes ranging from the classic 1U up to 12U, is being used  
29 not only in its educational capacity and as a test bed for future space technologies, but also for

30 conducting studies of the Earth and near-Earth environment, solar system, and universe.

31 HaloSat is the first CubeSat-class mission funded by NASA's Astrophysics Division. Its pri-  
32 mary scientific goal is to constrain the mass and spatial distribution of hot gas in the Milky Way  
33 halo by mapping soft X-ray line emission from highly ionized oxygen. This will help determine  
34 how much baryonic matter exists in the hot galactic halo of the Milky Way and if galactic halos  
35 are a significant contributor to the overall cosmological baryon budget. The scientific goals are  
36 described in more detail in Ref. 3. HaloSat's scientific goals require: X-ray sensitivity in the band  
37 that contains emission from highly ionized oxygen (0.4 – 2.0 keV), energy resolution sufficient  
38 to separate the oxygen emission from other sources ( $\Delta E \sim 100$  eV at 600 eV), and a statistical  
39 accuracy of better than 10% for oxygen line measurements in directions where oxygen emission  
40 is  $\geq 5$  Line Units (LU or photons  $\text{s}^{-1} \text{cm}^{-2} \text{sr}^{-1}$ ). This sensitivity can be achieved over the entire  
41 sky by an experiment with a grasp (instrument effective area times its field of view) of  $\sim 20 \text{ cm}^2$   
42  $\text{deg}^2$  and observing efficiency  $> 25\%$  in a one year survey. HaloSat meets the grasp goal by us-  
43 ing three small commercial silicon drift detectors collimated to  $\sim 100 \text{ deg}^2$ . Table 1 summarizes  
44 HaloSat mission goals and requirements, and provides comparison with the achieved instrument  
45 performance.

46 HaloSat is a university-led project with a science team consisting of students (undergraduate  
47 and graduate) and early-career scientists whose involvement was crucial in all of the stages of the  
48 mission: from instrument design, assembly, and testing, to science operations and data analysis.  
49 At the time this is being written the latter two are still ongoing. In this, HaloSat fulfills the main  
50 goal of the CubeSat standard, which is to educate and train future space scientists and engineers.  
51 Funding for the HaloSat project started in January 2016, and in less than 2.5 years the science  
52 instrument was built, tested, integrated with the spacecraft bus, and launched. Nominal science

**Table 1** HaloSat mission requirements (R) and goals (G) compared with the achieved instrument performance.

Parameter	Expected performance	Achieved performance
Energy range (R)	0.4 – 2.0 keV	0.4 – 7.0 keV
Energy resolution (R)	$\sim 100$ eV at 600 eV	$84.9 \pm 2.7$ eV at 676.8 keV $91.1 \pm 1.4$ eV at 1742.5 keV
Effective area <sup>†</sup>	–	6.38 mm <sup>2</sup> at 676.8 keV 11.29 mm <sup>2</sup> at 1742.5 keV
Field of View <sup>††</sup>	–	$115.02 \pm 0.24$ deg <sup>2</sup>
Grasp* at $\sim 600$ eV (G)	$\sim 20$ cm <sup>2</sup> deg <sup>2</sup>	$\sim 17.7$ cm <sup>2</sup> deg <sup>2</sup>
Number of functioning detectors	–	3
SDD operating temperature	–	$-30^{\circ}\text{C}$

<sup>†</sup> The effective area is given for a single detector unit.

<sup>††</sup> The field of view value is a response-weighted effective field of view averaged over three detector units.

\* The instrument grasp is calculated assuming all three detectors are functional, i.e.  $\text{grasp} = 3 \times \text{effective area} \times \text{field of view}$ . The grasp goal results from the statistical accuracy requirement for oxygen line measurements (i.e. 10% or better for a direction with oxygen emission at the level of  $\geq 5$  LU) and the predicted observing efficiency ( $> 25\%$ ) in a one year survey. To achieve the required statistical accuracy, an instrument with a smaller grasp will effectively need to increase the observing time.

53 operations started in October 2018 and are expected to continue until re-entry into the atmosphere  
54 in December 2020.

55 This paper describes the ground calibration of the HaloSat science instrument. An overview  
56 of the instrument design is presented in Sec. 2. The experimental setup is described in Sec. 3.1.  
57 Results on instrument gain, energy resolution and noise are presented in Sec. 3.2 and 3.3. Section  
58 3.4 discusses the instrument spectral response and effective area. Finally, the instrument field of  
59 view measurements are described in Sec. 4, and conclusions are presented in Sec. 5.

## 60 2 Science instrument overview

61 The HaloSat satellite has a 6U form factor with spacecraft bus dimensions of 10.5 cm  $\times$  22.5  
62 cm  $\times$  36.5 cm and a total mass of 12 kg.<sup>4</sup> A 2U volume is allocated for spacecraft avionics and

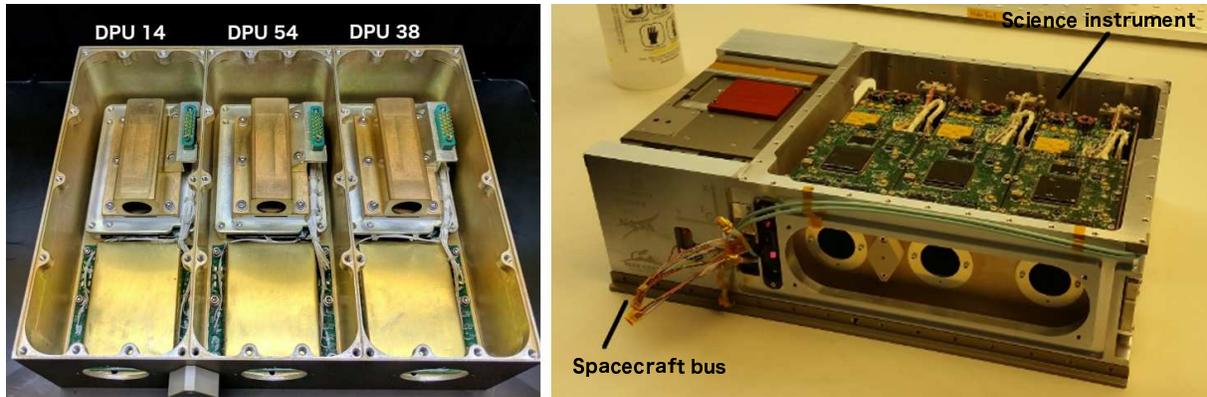
63 payload-to-spacecraft interface, and 4U is allocated to the science instrument. The spacecraft bus  
64 and avionics were built by Blue Canyon Technologies, while the science instrument was designed,  
65 built and tested at the University of Iowa. Figure 1 shows the science instrument during assembly  
66 (left panel) and the fully assembled science instrument during its integration with the spacecraft  
67 (right panel).

68 The science instrument is enclosed in an aluminum chassis with dimensions of 8.7 cm ×  
69 22.0 cm × 17.0 cm. The chassis is divided into three identical compartments with each com-  
70 partment carrying a single detector unit. Each detector unit consists of a silicon drift detector  
71 (SDD) mounted on an aluminum baseplate with a copper-tungsten passive shield that surrounds  
72 the SDD on five sides. The sixth side has a circular opening that provides an unobstructed path  
73 for the incident X-rays. Front-end signal processing electronics are mounted on the underside of  
74 the baseplate. A high-voltage power supply is mounted in front of the detector baseplate, while  
75 analog and digital processing unit (DPU) boards close off the top of the detector compartment.  
76 The left panel of Fig. 1 shows the relative placement of the detector unit components inside the  
77 chassis compartment. The fully assembled instrument integrated with the spacecraft bus is shown  
78 in the right panel of Fig. 1. Detector units are referred to using numbers encoded in their DPUs  
79 which are 14, 54 and 38 as viewed from left to right in Fig. 1. A detailed description of the HaloSat  
80 science-instrument mechanical and electrical design can be found in Ref. 5. Each detector unit can  
81 be operated independently of the others - a solution that was implemented in the instrument design  
82 in order to avoid single point failures and to allow for operation of only one or two detector units  
83 at a time depending on the power constraints.

84 It is important to note that a substantial effort went into minimizing the adverse impact of any  
85 potential electromagnetic interference between individual detector units, and between the science

86 instrument and the components of the spacecraft bus, on the performance of the detector units.  
87 Design of the flight chassis and the detector electronics (described above) allowed to enclose each  
88 detector unit in its own Faraday cage. Connecting the ground plane of the analog board to the  
89 chassis ground with a resistor allowed to minimize the noise pickup. After integrating the science  
90 instrument with the spacecraft bus, a series of tests was carried out to determine any potential  
91 source of electromagnetic interference between the bus subsystems (radio, reaction wheels, etc.)  
92 and the detector units. In all of the performed tests, the properties of the noise component below  
93  $\sim 300$  eV (its magnitude and width) in the spectra of individual detector units were used to monitor  
94 and assess the magnitude of the electromagnetic interference. The tests showed no measurable  
95 interference between the detector units and from any of the spacecraft subsystems. Minimizing  
96 the effects of the electromagnetic interference was crucial to achieving the required instrument  
97 performance around 600 eV (see Sec. 1).

98 The HaloSat science instrument uses silicon drift detectors manufactured by Amptek, Inc.<sup>6</sup> to  
99 detect X-rays. The SDD's radiation-sensing element (Si chip) has an active area of  $17 \text{ mm}^2$  and is  
100 encapsulated in a TO-8 package alongside its multilayer collimator (MLC) and two-stage thermo-  
101 electric cooler. During operations the Si chip is cooled down to  $-30^\circ\text{C}$ , but the entrance window  
102 is always at ambient temperature, which should help prevent the buildup of the contaminants on  
103 the window. The entrance window is a C-Series C1 window made of 150 nm thick silicon nitride  
104 layer coated with 250 nm of aluminum. Amptek also offers its SDD detectors with a C-Series C2  
105 entrance window. Similarly to the C1 type, the C2 window is made of silicon nitride covered with  
106 a layer of aluminum. However, the overall thickness of the C2 window is smaller (40 nm of silicon  
107 nitride and 30 nm of aluminum) resulting in better transmission around 600 eV (it is  $\sim 62\%$  and  
108  $\sim 29\%$  for the C2 and C1 type, respectively). The advantage of the C1 window over the C2 type is



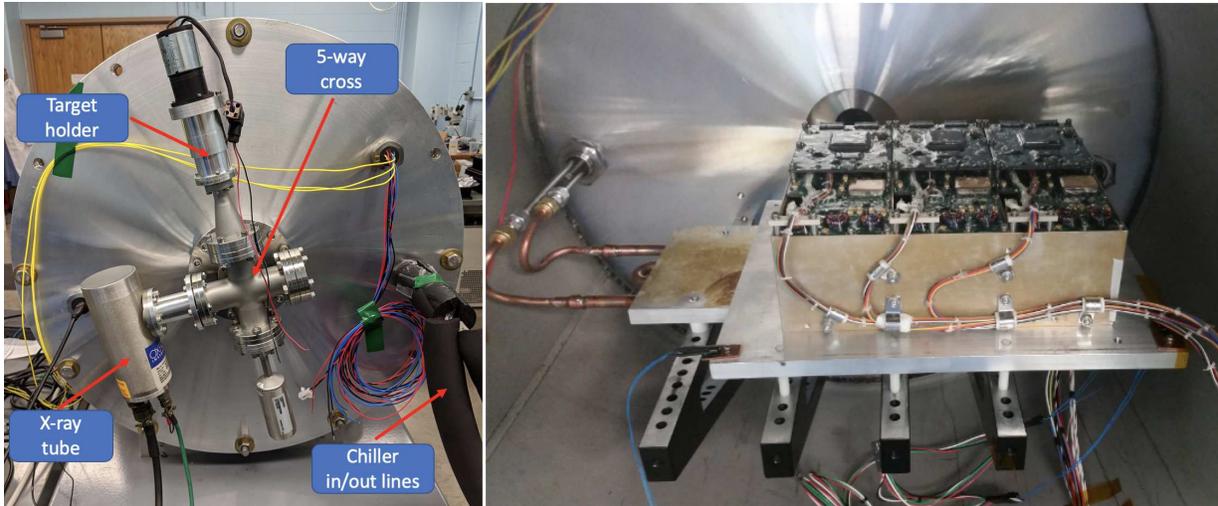
**Fig 1** Left: Science instrument during assembly. The instrument chassis is subdivided into three separate compartments, each of which harbors a detector unit with its signal processing electronics. Right: Science instrument (fully assembled) integrated with the spacecraft bus.

109 its opaqueness to the radiation in the visible and infrared. The HaloSat detectors will be exposed  
 110 to visible/IR photons during flight. Therefore, minimizing adverse effects of such exposure is an  
 111 important step to improve detector performance. Considering these factors, the C1 windows were  
 112 chosen for the HaloSat X-ray detectors.

### 113 **3 Instrument performance characterization**

114 In order to provide high-quality science data, the science instrument needs to undergo a variety of  
 115 on-ground tests that mimic flight conditions in order to understand and characterize its performance  
 116 under those conditions. These tests measure the detector energy calibration, energy resolution, and  
 117 noise behavior, which are combined to produce the detector response function.

118 The HaloSat detector units were calibrated individually and at a representative range of tem-  
 119 peratures (between  $-25^{\circ}\text{C}$  and  $45^{\circ}\text{C}$ ). It was observed that the detectors' gain show dependence  
 120 on the temperature. However, with the exception of the gain behavior, the differences between the  
 121 performance of the detector units were not significant for the statistical precision achieved in the  
 122 HaloSat calibration data. For that reason, the gain information was separated from the response



**Fig 2** Experimental setup during thermal-vacuum tests. Left: Backside of the TVAC chamber with five-way cross. The target material, mounted on the target holder, is positioned in the center of the cross. Right: The science instrument mounted inside the TVAC chamber. The amber-brown substance visible on the top surface of the thermal plate is the thermal-vacuum grease. Copper lines circulating fluid between the thermal plate and the chiller are visible on the left. One of the chamber temperature sensors is clearly visible on the bottom left corner of the mounting plate.

123 generation process allowing for the creation of an average response suitable for all three DPUs.  
 124 The following sections (Sec. 3.1 through Sec. 3.4) describe in-detail the calibration tests and the  
 125 analysis that led to creation of the single response function and to the determination of the indi-  
 126 vidual detector gains. The interested reader is referred to Ref. 3, where the on-orbit check of the  
 127 HaloSat spectral response and effective area is discussed.

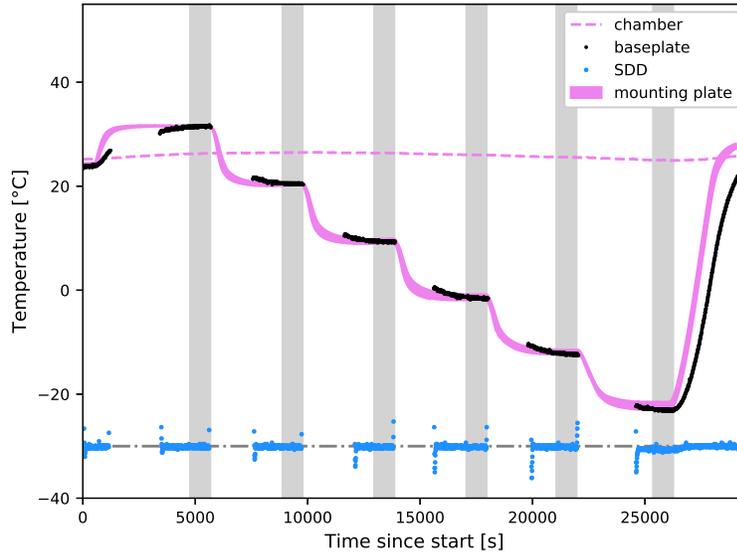
### 128 3.1 Experimental setup for thermal-vacuum tests

129 In order to mimic flight conditions, a thermal-vacuum (TVAC) test setup (Fig. 2) was built at the  
 130 University of Iowa. It consisted of a vacuum chamber capable of maintaining a 100 mTorr vacuum  
 131 under continuous pumping. A thermal plate was mounted inside the chamber, and its temperature  
 132 was regulated by circulating fluid through copper tubing embedded in the plate. The fluid was  
 133 heated or cooled by an external chiller. The science instrument was bolted to a mounting plate,  
 134 which was then bolted to the thermal plate. Thermal-vacuum grease was applied between the

135 thermal plate and the mounting plate. The Apiezon H vacuum grease was used because of its  
136 good thermal conduction and low outgassing properties. The latter characteristics was important  
137 to prevent the detector windows from contamination. Temperature sensors were bolted to the  
138 mounting plate at each corner of the plate. An additional temperature sensor was mounted on the  
139 outside of the vacuum chamber. Temperature was recorded every 2 seconds.

140 Each detector unit is equipped with four temperature sensors: one on the detector baseplate,  
141 two on the analog board, and one on the DPU board. There is also a temperature sensor inside the  
142 SDD's TO-8 package that monitors the temperature of the silicon X-ray sensing element. During  
143 operation, the Si chip temperature is kept at  $-30^{\circ}\text{C}$ . The cool down process is initiated immedi-  
144 ately after the detector is powered on and is governed by a proportional-integral-derivative (PID)  
145 controller implemented into the detector operating system.<sup>5</sup> It takes about 60 seconds for the con-  
146 troller to cool down the Si chip from  $20^{\circ}\text{C}$  to  $-30^{\circ}\text{C}$  with a minimal overshoot during the initial  
147 cool-down phase. During nominal operations the PID controller keeps the Si chip temperature to  
148 within  $\pm 0.5^{\circ}\text{C}$  of the  $-30^{\circ}\text{C}$  setpoint. The initial cool-down phase is clearly visible in Fig. 3 in the  
149 plot of the Si chip temperatures (blue points) as the large excursions from the setpoint (dot-dashed  
150 line) at the initial phase of each data cluster. The large excursions from the setpoint visible at the  
151 end phase of each data cluster are the result of the Si chip warming up after the PID control is  
152 switched off prior to the full system shutdown. The Si chip temperature is further referred to as the  
153 SDD temperature. Temperatures from the five sensors in the detector unit are recorded as a part of  
154 housekeeping data every 8 seconds.

155 During the TVAC tests, when the system was close to reaching thermal equilibrium (i.e. after  
156  $\sim 45$  min from when the cooling/heating started) the temperature gradient across the mounting  
157 plate was  $\lesssim 1^{\circ}\text{C}$  for the chiller setpoints above  $0^{\circ}\text{C}$ , and  $\lesssim 2^{\circ}\text{C}$  for the chiller setpoints below  $0^{\circ}\text{C}$ .



**Fig 3** Temperature profile for the DPU 38 TVAC test. Shown are readouts of the baseplate temperature sensor (black points), the SDD temperature sensor (blue points), and the sensor mounted on the outside of the TVAC chamber (violet dashed line). The violet band shows the temperature of the mounting plate. Gray vertical bands show good time intervals identified for this test. The dot-dashed gray line shows a temperature of  $-30^{\circ}\text{C}$ .

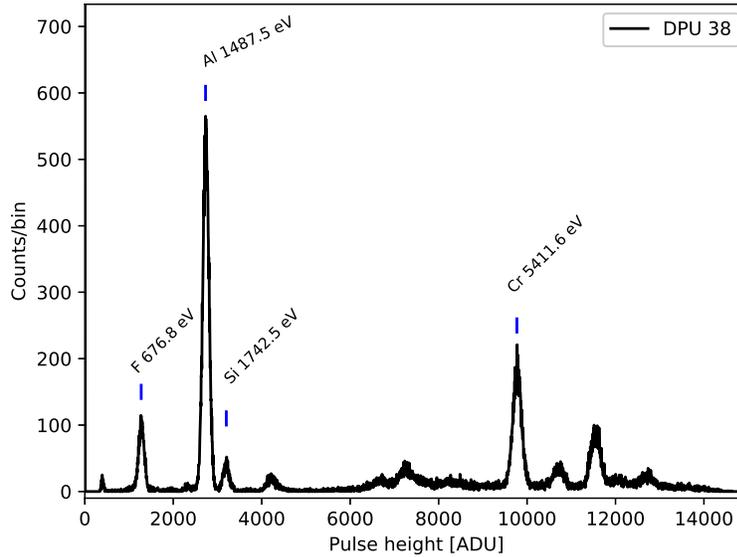
158 The width of the violet band in Fig. 3 represents the temperature gradient across the mounting  
 159 plate. During the cool-down or warm-up phase the band gets wider, but when the system gets  
 160 closer to the thermal equilibrium the width of the band decreases. The difference between the  
 161 temperature of the mounting plate (given as an average of its four sensors) and the temperature  
 162 of the baseplate is within  $1.5^{\circ}\text{C}$  for the temperature range probed in the TVAC tests. In the data  
 163 analysis that follows, the temperature read by the sensor mounted on the detector baseplate was  
 164 used as an indicator of the detector unit temperature during the TVAC tests.

165 In order to monitor instrument response to changing thermal conditions, the TVAC chamber  
 166 was equipped with an X-ray scattering source consisting of a shielded X-ray tube (Oxford In-  
 167 struments Jupiter 5000 series with Mo anode) and a scattering target mounted in the center of a  
 168 five-way cross (see the left panel of Fig. 2). There was no direct line of sight between the Jupiter

169 X-ray tube and the instrument, and only X-rays scattered off of the target or chamber walls could  
170 reach the detector, thus, minimizing the continuum level in the observed spectra. The entrance  
171 aperture through which the X-ray beam from the scattering source enters the TVAC chamber is  
172 visible in the right panel of Fig. 2 as a circular opening on the back wall of the chamber. A teflon  
173 (PTFE) target produced a fluorescence emission line from Fluorine (F  $K\alpha$  line) at 676.8 eV. The  
174 X-ray beam also illuminated the stainless steel walls of the five-way cross and the aluminum tar-  
175 get holder leading to fluorescence lines from aluminum, chromium and iron. The characteristic  
176 emission from those elements is spread across the HaloSat energy band.

177 Due to the source configuration, only one detector unit was illuminated at a time and each  
178 TVAC profile was performed three times in order to complete the calibration of the science instru-  
179 ment.  $^{55}\text{Fe}$  radioactive sources were placed in front of the field of view aperture of the two units  
180 not illuminated with the scattering source so the health of each unit could be monitored throughout  
181 the TVAC tests.

182 Thermal simulations of the spacecraft in its low-Earth orbit were used to determine lower  
183 and upper temperature bounds for the TVAC tests. The simulations predicted that the instrument  
184 will be subject to temperatures between  $-20^\circ\text{C}$  and  $24^\circ\text{C}$ . The external chiller was programmed  
185 to vary the thermal plate temperature between  $-25^\circ\text{C}$  and  $45^\circ\text{C}$ , which includes the temperature  
186 range predicted by the thermal simulations. Figure 3 shows an example of a temperature profile  
187 used during one of the HaloSat TVAC tests and the temperature response of a detector unit to this  
188 test. The test temperature profile consists of a number of temperature setpoints where the system  
189 is left to dwell for about an hour, during which time the X-ray data were collected for all three  
190 units. The dwell time of  $\sim 1$  hr was selected so that the system elements near the SDD detector  
191 had enough time to equilibrate close to the temperature setpoint and provide at least 600 seconds



**Fig 4** Example of a calibration spectrum obtained during TVAC tests. The plot shows data for DPU 38.

192 of data during which the baseplate temperature remained constant to within  $\pm 0.5^\circ\text{C}$ . An example  
 193 of time intervals meeting those criteria is depicted in Fig. 3 with gray vertical bands. For each  
 194 identified time interval an X-ray spectrum was created and analyzed.

### 195 3.2 Energy calibration

196 Figure 4 shows an example of a calibration spectrum obtained with the DPU 38 detector unit illu-  
 197 minated with the X-ray scattering source during the TVAC tests. A number of emission lines from  
 198 elements like fluorine, aluminum, silicon and chromium can be clearly identified. The strongest  
 199 line is a blend of aluminum  $K\alpha_1$ ,  $K\alpha_2$  and  $K\beta_1$  characteristic lines. The line to the left of the  
 200 Al peak is a fluorine  $K\alpha_1$  line, while the line to the right of the Al peak is a blend of silicon K-  
 201 shell lines. The line at around 9800 Analog-Digital Units (ADU) is a blend of chromium  $K\alpha_1$  and  
 202  $K\alpha_2$  characteristic lines. Lines visible above 10000 ADU are blends of various K-shell lines from  
 203 chromium, nickel, manganese and iron. The fluorine line is a fluorescence line from the PTFE

**Table 2** List of emission lines and their characteristic energies.

Line type	Energy <sup>†</sup> (eV)
F $K\alpha_1$	676.8
Al $K\alpha_1+K\alpha_2+K\beta_1$	1487.5
Si $K\alpha_1+K\alpha_2+K\beta_1$	1742.5
Cr $K\alpha_1+K\alpha_2$	5411.6

<sup>†</sup> Adopted energies are weighted averages with intensities and energies from Ref. 7.

204 target. The aluminum line is dominated by the fluorescence from the target holder, while Cr, Mn,  
205 Ni and Fe are fluorescence lines from the stainless steel walls of the five-way cross. The Si line  
206 originates from the detector C1 window material. Four emission lines were used in the energy  
207 calibration of the science instrument: F  $K\alpha_1$ , Al K-shell blend, Si K-shell blend and Cr  $K\alpha$  blend.  
208 Table 2 lists the energies of the four selected emission lines.

209 The energy calibration is approximated with a linear function  $E = c_1 PH + c_2$ , where the pulse  
210 height  $PH$  is in ADU and the photon energy  $E$  is in eV. Good quality data were extracted from  
211 the full data set using the baseplate temperature stability criterion (see Sec. 3.1). This yielded data  
212 runs with exposure times  $\gtrsim 600$  seconds. There were 29, 35 and 15 individual data runs for DPUs  
213 14, 54 and 38, respectively. The number of calibration runs for DPU 38 is smaller than for the  
214 other two detector units because the analog board of that unit experienced failure after the primary  
215 TVAC calibration campaign and had to be replaced with a flight spare. The TVAC calibration of  
216 DPU 38 had to be repeated while the original data set for that unit was discarded.

217 For each spectrum, the four emission lines (Tab. 2) were fit with a model that was a sum of a  
218 Gaussian and a constant. The constant represents a small, but non-negligible continuum present in  
219 the spectra. The resulting emission line centroids were then fit to the energy calibration transfor-  
220 mation. The energy calibration coefficients  $(c_1, c_2)$  were determined for a number of temperatures

**Table 3** Temperature-dependent energy calibration coefficients for the HaloSat detector units. The temperature dependence of the coefficients can be approximated with a parabola  $c_1 = a_1T^2 + a_2T + a_3$  and a linear function  $c_2 = a_1T + a_2$ , where  $T$  is the baseplate temperature. The  $a_i$  values and their errors are given in the third and fourth column.

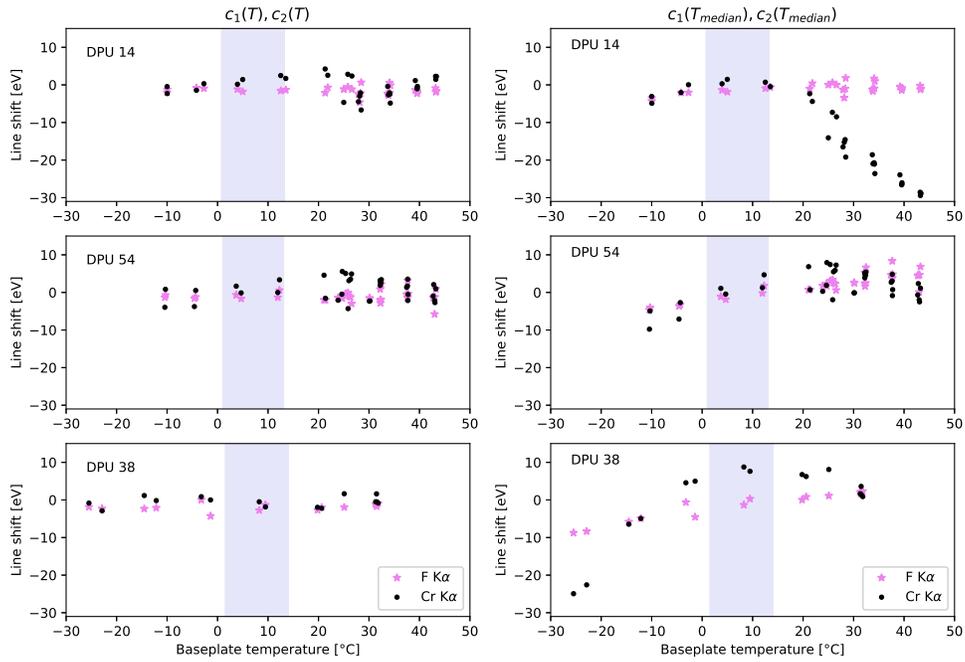
Coefficients		$a_i$	$a_i$
$c_i$	$a_i$	value	error
DPU 14			
$c_1$	$a_1$	0.00000186	0.00000027
	$a_2$	0.00000584	0.00001060
	$a_3$	0.54375	0.00012
$c_2$	$a_1$	-0.14	0.01
	$a_2$	-29.98	0.29
DPU 54			
$c_1$	$a_1$	0.00000066	0.00000024
	$a_2$	-0.00001471	0.00001079
	$a_3$	0.54937	0.00014
$c_2$	$a_1$	-0.181	0.013
	$a_2$	-35.51	0.40
DPU 38			
$c_1$	$a_1$	0.00000234	0.00000014
	$a_2$	-0.00004721	0.00000275
	$a_3$	0.55647	0.00007
$c_2$	$a_1$	-0.14	0.01
	$a_2$	-32.93	0.22

221 spread over the  $-25^\circ\text{C}$  to  $45^\circ\text{C}$  operational range.

222 While the temperature of the X-ray sensing element in each of the SDDs is actively controlled  
223 and kept at  $-30^\circ\text{C}$  during instrument operation (see Sec. 3.1), the printed circuit board carrying  
224 the front-end electronics for signal shaping and amplification (mounted under each of the detector  
225 baseplates) is subject to changing thermal conditions. This may result in the detector performance  
226 depending on temperature. The TVAC tests show that the energy calibration coefficients exhibit a  
227 slight dependence on the system temperature. The temperature dependence of the  $c_1$  and  $c_2$  coeffi-

**Table 4** Energy calibration coefficients,  $c_1$  and  $c_2$ , calculated for the median in-flight temperature  $T_{median}$ .

DPU	$T_{median}$	Coefficients	
Unit	(°C)	$c_1$	$c_2$
14	5.6	0.544	-30.783
54	5.8	0.549	-36.556
38	6.4	0.556	-33.789



**Fig 5** Line shifts as a function of the instrument temperature for F K $\alpha$  (violet stars) and Cr K $\alpha$  (black dots). The left column shows the case where the temperature-dependent energy calibration was used (see Tab. 3). The right column uses the pulse height to energy relation for the median in-flight temperature (see Tab. 4). The shaded regions represent the temperature range which includes 90% of the HaloSat observations collected during the first six months of operation. The results for DPUs 14, 54 and 38 are shown in the top, middle and bottom row, respectively.

228 cients can be approximated with a parabola and a linear function, respectively. The parameters of  
 229 those two functions obtained for each detector unit are presented in Tab. 3.

230 The line shifts (defined as a difference between the emission line centroid measured in the  
 231 energy calibrated spectrum and the line's characteristic energy as given in Tab. 2) were used to

232 study the accuracy of the temperature-dependent energy transformation. Due to the limited size of  
233 our TVAC data set, the same spectra used to determine the energy calibration, were used to study  
234 its accuracy. The line shifts for the F  $K\alpha$  and the Cr  $K\alpha$  emission lines, which lie at the opposite  
235 ends of the HaloSat energy band, are presented in the left column of Fig. 5. The line shifts at both  
236 energies show consistent behavior across the full temperature range. We use the largest absolute  
237 line shift to represent the accuracy of the energy calibration. At the lower end of the HaloSat  
238 energy band, the accuracy is 5 eV, 6 eV and 4 eV for DPUs 14, 54 and 38, respectively. While at  
239 the upper end, it is 7 eV, 6 eV and 3 eV for DPUs 14, 54 and 38, respectively. The accuracy is small  
240 compared to the HaloSat energy resolution (Sec. 3.3) and comparable to the statistical precision  
241 with which narrow lines are fit in the HaloSat data.<sup>3</sup>

242 We also investigated if a simpler approach to the energy calibration can provide similar results  
243 to the temperature-dependent one. The simpler approach would be to eliminate the temperature  
244 dependence of the  $c_1$  and  $c_2$  coefficients, and to only have to ever use a single  $(c_1, c_2)$  set. The first  
245 six months of the HaloSat on-orbit data, collected between September 2018 and February 2019,  
246 were used to determine the range of the temperatures to which the instrument was exposed during  
247 that time. The shaded regions presented in Fig. 5 show the on-orbit temperature range that includes  
248 90% of the data. The temperatures seen in flight lie well within the temperature range probed in the  
249 TVAC tests. For each detector unit, the median of the on-orbit temperatures  $T_{median}$  was used to  
250 calculate the  $(c_1, c_2)$ . Table 4 shows the calculated values. The line shifts obtained with the  $T_{median}$   
251 energy calibration coefficients are presented in the right column of Fig. 5 and show much larger  
252 residuals, particularly towards the extremes of the allowed temperature range. HaloSat standard  
253 products are produced with the temperature-dependent coefficients.

**Table 5** Temperature-averaged energy resolution (FWHM) measured at four energies for each flight detector.

DPU unit	FWHM (eV)			
	F K $\alpha$	Al K-shell	Si K-shell	Cr K $\alpha$
14	88.3 $\pm$ 2.9	94.5 $\pm$ 1.9	92.8 $\pm$ 4.6	133.4 $\pm$ 2.0
38	81.8 $\pm$ 1.5	89.6 $\pm$ 1.5	89.6 $\pm$ 4.8	130.0 $\pm$ 1.8
54	84.7 $\pm$ 3.1	92.7 $\pm$ 1.8	90.9 $\pm$ 6.0	133.4 $\pm$ 1.9

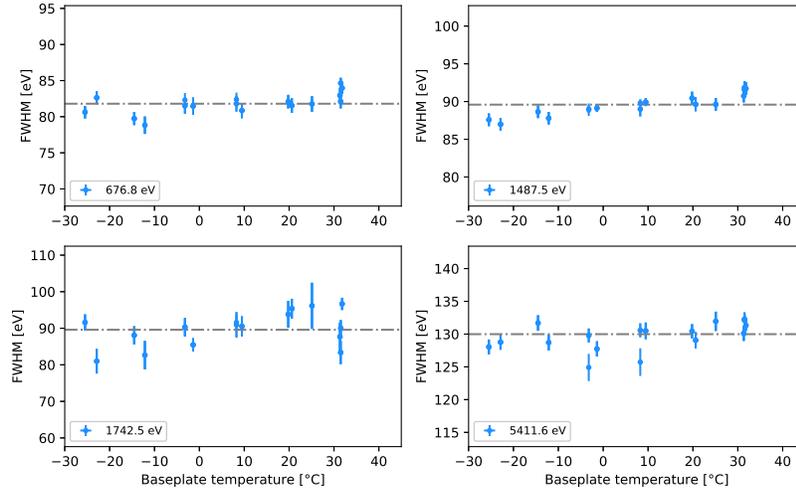
### 254 3.3 Energy resolution and electronic noise

255 The TVAC data also characterize the energy resolution as a function of photon energy and instru-  
 256 ment temperature. The full width at half maximum (FWHM) of the Gaussian fit to the emission  
 257 line was measured for the four calibration lines listed in Tab. 2. Figure 6 shows the fit FWHM as a  
 258 function of instrument temperature for DPU 38. Although there is a slight linear dependence of the  
 259 FWHM on temperature (degradation of FWHM with increasing temperature) for the Al 1487.5 eV  
 260 line, for all of the emission lines the variation in the FWHM across the  $-25^{\circ}\text{C}$  to  $45^{\circ}\text{C}$  temperature  
 261 range is small enough to be approximated with a constant. The dot-dashed lines in Fig. 6 represent  
 262 the temperature-averaged FWHM values. Values for all DPUs are reported in Tab. 5.

263 In the simplest case, the energy resolution of a semiconductor detector depends on a statistical  
 264 noise arising from the discrete nature of the measured signal and a noise arising in the detector  
 265 signal processing electronics.<sup>8,9</sup> The total energy resolution,  $\text{FWHM}_{total}$ , is then the quadrature  
 266 sum of the individual sources of fluctuations:

$$\text{FWHM}_{total} = \sqrt{\text{FWHM}_{noise}^2 + 8\ln(2)\epsilon F E}, \quad (1)$$

267 where  $\text{FWHM}_{noise}$  represents the electronic noise, while the second component represents the  
 268 statistical nature of the signal. Here  $\epsilon$  is the average energy required to create an electron-hole pair,

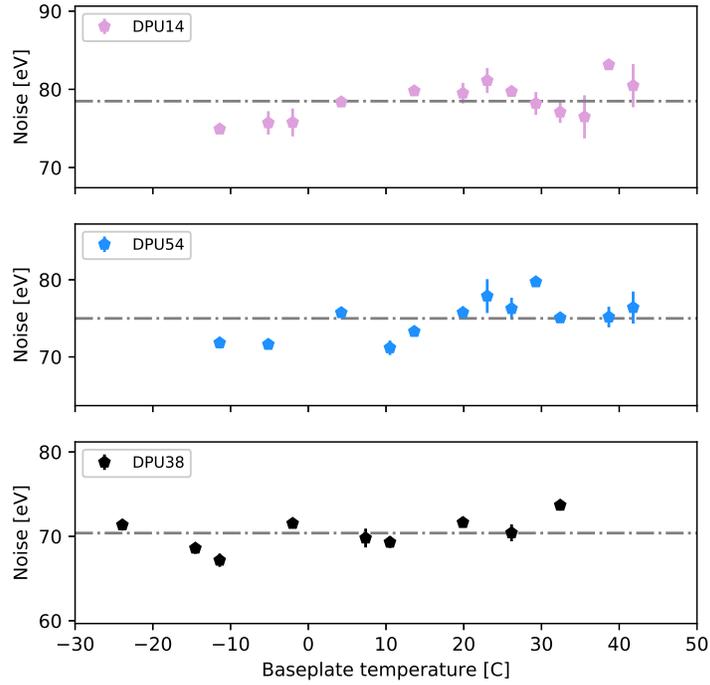


**Fig 6** Energy resolution as a function of the instrument temperature. Energy resolution was measured for four calibration lines: F  $K\alpha$  (top left), Al K-shell (top right), Si K-shell (bottom left) and Cr  $K\alpha$  (bottom right). Blue points show measurements, while dot-dashed lines represent the temperature-averaged FWHM values (see Tab. 5). The plots show data for DPU 38. The other two detector units show similar behavior.

269  $F$  is the Fano factor, and  $E$  is the photon energy. For silicon drift detectors,  $\epsilon \simeq 3.66$  eV and  
 270  $F \simeq 0.114$ .<sup>8-10</sup> Equation 1 then can be used to determine the magnitude of the electronic noise  
 271 component. Figure 7 shows the electronic noise value as a function of instrument temperature for  
 272 all three detector units. We conclude that the electronic noise component in the HaloSat detector  
 273 units is approximately constant with a temperature-averaged value of  $78.5 \pm 2.4$  eV for DPU 14,  
 274  $75.0 \pm 2.5$  eV for DPU 54 and  $70.4 \pm 1.9$  eV for DPU 38.

### 275 3.4 Response of the silicon drift detectors

276 There are three components that need to be taken into account in order to properly model the  
 277 response of a silicon detector: generation of a main photopeak, generation of escape peaks, and  
 278 effects arising from charge loss. The main peak arises from events for which the entire liberated  
 279 charge is collected from the detector active volume. Its shape can be approximated with a Gaus-  
 280 sian, which arises from statistical fluctuations in the amount of charge that is liberated in photon



**Fig 7** Electronic noise,  $\text{FWHM}_{noise}$ , as a function of the instrument temperature. Data for DPUs 14, 54 and 38 are shown in the top, middle and bottom panel, respectively. For most data points the error bars are comparable with the symbol size used. The dot-dashed lines represent the temperature-averaged values of the electronic noise.

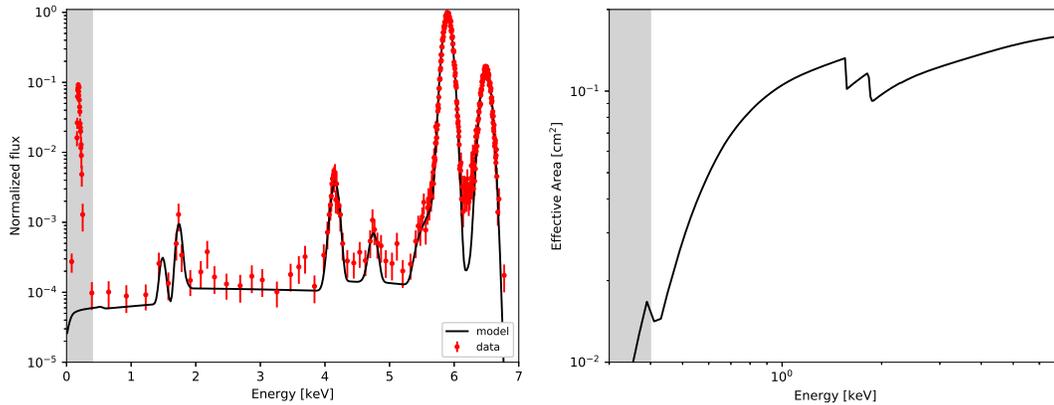
281 interaction. Eq. 1 describes the width of the main peak. An escape peak is formed when the  
 282 characteristic X-rays from silicon escape the detector volume following the primary photoelectric  
 283 absorption. Escape peaks occur in the spectrum below the main photopeak at an energy equal to  
 284 the full energy of the main peak minus the respective  $K\alpha$  or  $K\beta$  characteristic energy of silicon.  
 285 The two important structures that arise in the spectra of silicon detectors that are related to the  
 286 charge loss phenomena are: a tail that extends from the main peak towards low energies, and a  
 287 shelf that extends from zero to the full photon energy. Detailed description of these effects can be  
 288 found in Ref. 10 and references therein.

289 To model the response of HaloSat's SDDs, we have used Scholze & Prokop code<sup>10</sup> that was

290 kindly provided to us by Dr. Jack Steiner of the Neutron star Interior Composition Explorer  
291 (NICER) instrument team.<sup>11</sup> The silicon drift detectors used by HaloSat are identical to the ones  
292 used by NICER except for the type of the entrance window and the dimensions of the internal  
293 collimator.<sup>12</sup> The differences affect the energy dependent effective area and the grasp but not the  
294 response function.

295 To model the response of the HaloSat instrument, we adjusted the relevant detector parameters  
296 using the ground calibration data (see Sec. 3.2 and 3.3) and the manufacturer's information on  
297 the Amptek C1 window. We used TVAC <sup>55</sup>Fe spectra to check the simulated response function  
298 and fine-tune its tail and shelf parameters. Because the HaloSat detectors show similar spectral  
299 performance, we use a single response model to simulate behavior of all three detectors, and adopt  
300 an average electronic noise component of 74.6 eV. The left panel in Fig. 8 shows good agreement  
301 between the <sup>55</sup>Fe calibration spectrum and the modeled response. We did not fine-tune the shape  
302 of the noise peak below 400 eV, which sets the lower limit of the HaloSat energy band. The upper  
303 limit of 7 keV is set by the signal processing electronics, which become non-linear above this  
304 point.

305 Effective area (EA) calculations for the HaloSat detectors included the following effects: i)  
306 transmission through the C1 window, ii) transmission through the MLC, iii) transmission through  
307 the SDD's dead layer, and iv) absorption in the SDD's active volume. We evaluated the model with  
308 manufacturer information provided to us by Amptek, Inc.. The Si chip is 500  $\mu\text{m}$  thick with a 0.1  
309  $\mu\text{m}$  thick dead layer. In the HaloSat energy range, the MLC is opaque to X-rays, thus, limiting  
310 the geometrical area of the detector to 17 mm<sup>2</sup>. The MLC shields the edges of the Si chip where  
311 charge collection is known to be inefficient. Tabulated transmission values for the C1 window,  
312 calculated using nominal window thickness, were supplied by HS Foils, Oy. The right panel of



**Fig 8** Left: Comparison of a simulated response function (solid black) with one of the  $^{55}\text{Fe}$  calibration spectra obtained with DPU 14 detector unit (red points). Right: Effective area calculated for a single HaloSat detector. The shaded gray area (energies below 400 eV) is not modeled in the response calculations.

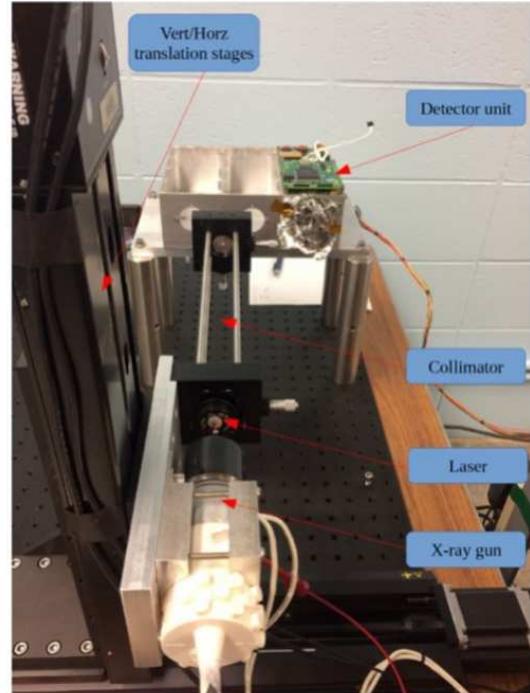
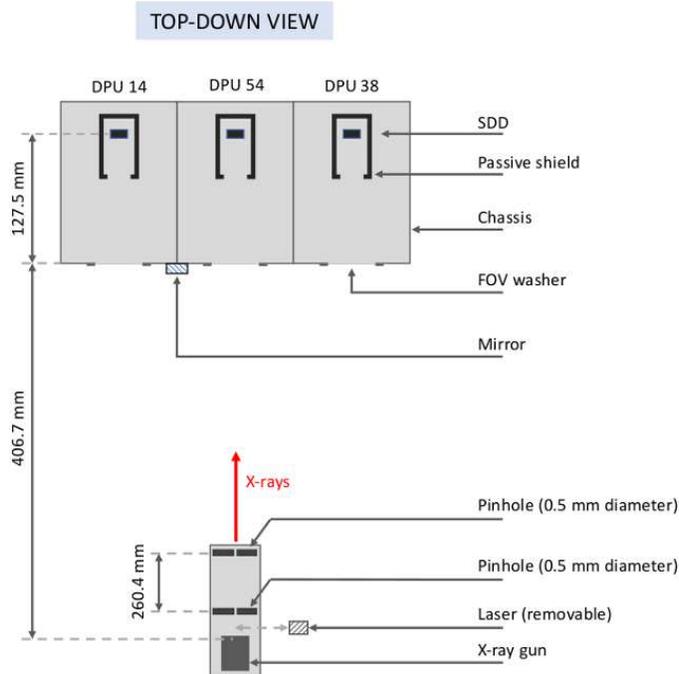
313 Fig. 8 shows the effective area calculated for a single HaloSat detector. The effective area in the  
 314 HaloSat science band (where emission from highly ionized oxygen is expected) is 4.301 mm<sup>2</sup> and  
 315 5.979 mm<sup>2</sup> at 561 eV and 653 eV, respectively. We use the same effective area for all three detector  
 316 units. The effective area calculations were verified in orbit with observations of the Crab Nebula.<sup>3</sup>

317 The HaloSat response files are compatible with the XSpec spectral fitting software<sup>13</sup> and avail-  
 318 able from the High Energy Astrophysics Science Archive Research Center (HEASARC).<sup>14</sup>

#### 319 **4 Field of view of the science instrument**

320 The field of view (FoV) of each HaloSat detector unit is constrained by an aluminum washer placed  
 321 over the opening at the front wall of the detector compartment. The washers are not yet installed  
 322 in the left panel of Fig. 1, but are present in the right panel of Fig. 1. The use of the FoV washers  
 323 allows ground adjustment of the detector co-alignment without the need for modifying the chassis  
 324 itself.

325 Alignment and field of view were measured by scanning a collimated X-ray source horizontally



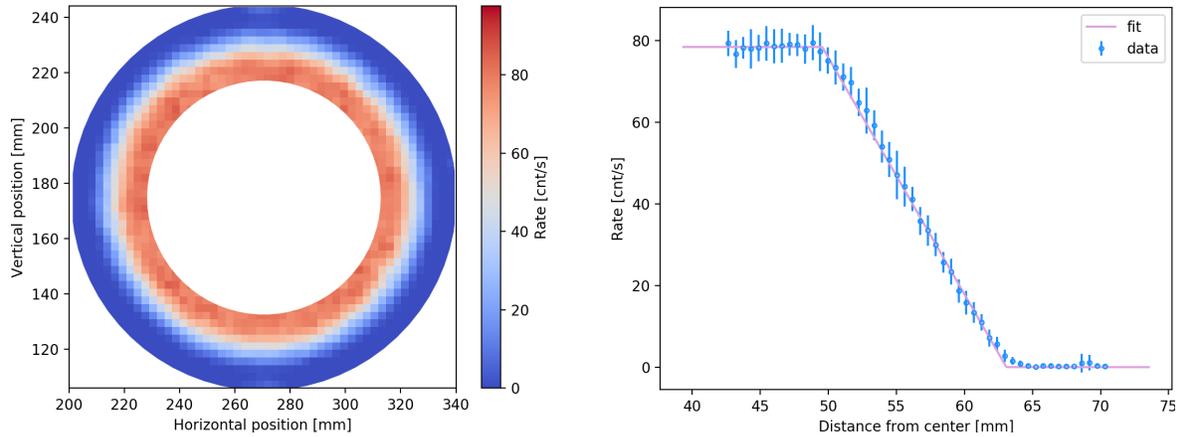
**Fig 9** Alignment setup built at the University of Iowa to measure the field of view and the pointing of the HaloSat detector units. Left: Schematic drawing showing a top-down view of the alignment system (not to scale). The distance between the X-ray gun and the closest of the pinholes is 82.8 mm. Right: Photo of the alignment system during tests with the engineering detector unit (i.e. full-sized instrument chassis with a single detector in one of the compartments). To ease the identification of the components of the alignment system, the schematic drawing and the photo show the system from a similar perspective.

326 and vertically across the field of view. The X-ray source was a Varian VF-50 X-ray tube with Ti  
 327 anode. The collimator consisted of 2 pinholes positioned 260.4 mm apart. Each pinhole had a  
 328 diameter of 0.5 mm, and its vertical and horizontal position relative to the X-ray tube could be  
 329 adjusted. The X-ray tube and the collimator were mounted on a common translation stage. The  
 330 distance between the X-ray tube and the closest of the pinholes was 82.8 mm. The setup allows  
 331 the insertion of an alignment laser between the X-ray tube and the collimator. The schematic view  
 332 of the measurement setup is shown in the left panel of Fig. 9. The photo of the measurement  
 333 setup is shown in the right panel of Fig. 9. The photo shows the engineering unit (i.e. a full-sized  
 334 instrument chassis populated with only one detector) undergoing the test. The system arrangement

335 for the flight instrument measurements was the same as the one presented in the photo, but with  
336 the engineering unit replaced with the flight instrument. The alignment procedure consisted of the  
337 following sequence of steps:

- 338 1. The pinhole closest to the X-ray tube was aligned with the center of the X-ray beam gener-  
339 ated by the tube.
- 340 2. The collimator optical axis was aligned normal to a flat optical mirror glued to the instrument  
341 chassis between the DPU 14 and 54 detector units (see Fig. 1 and the left panel of Fig. 9).  
342 In this step, the laser was mounted behind the closest of the pinholes, the laser tilt and the  
343 position of the second pinhole were adjusted so that the laser beam reflected off the mirror  
344 passed through both pinholes. At this point the two pinholes defined a vector that was aligned  
345 with the normal to the mirror.
- 346 3. The center of each SDD Si chip was determined. The collimated X-ray beam was scanned  
347 across the aperture of each detector. The length of the collimator and the size of the pinholes  
348 used resulted in the X-ray beam of  $\sim 1.2$  mm in diameter at the distance of the Si chip. The  
349 small size of the X-ray beam allowed to locate the center of the Si chip. The same analysis  
350 method as the one used to determine the size and the position of the FoV (see description  
351 below and Fig. 10) was used to determine the position of the SDD radiation-sensing chip.
- 352 4. The FoV was mapped. With the collimator removed (leaving a coarsely collimated and much  
353 brighter beam), the X-ray tube was used to scan across each aperture. Scans were used to  
354 determine the size and the position of the field of view of the individual detector units.

355 Figure 10 shows results of the FoV measurement for DPU 14. The left panel shows a count-



**Fig 10** Left: Count-rate map obtained in the FoV scan. Color-coded is the count rate. X and Y values show horizontal and vertical positions of the X-ray source, respectively. Right: Projection of the count-rate map onto the rate-radius plane. The binned data are shown with blue points. A trapezoidal fit to the data is shown with violet solid line. To make the fit line clearly visible, the data had to be binned for plotting. Binning of the data reduced the number of points that needed to be plotted from  $\sim 1100$  to 50. The fit, however, was performed on the unbinned data set. Both plots show data obtained for DPU 14.

356 rate map while the right panel shows a projection of the count-rate map onto the rate-radius plane.

357 Scanning only the region between full efficiency and zero response reduced the time necessary

358 to execute Step 4 of the alignment procedure, and was warranted by the results of the FoV scan

359 performed on the engineering unit. The dimensions of the FoV were determined from a trapezoidal

360 fit to the projection of the  $(X, Y)$  count-rate map onto the rate-radius plane, where the radius is

361 defined as a distance between the point where the rate was measured and the center of the FoV. The

362 radius  $r$  was calculated with a simple formula  $r = \sqrt{(X - X_0)^2 + (Y - Y_0)^2}$ , where  $(X_0, Y_0)$  are

363 the coordinates of the center of the FoV, and  $(X, Y)$  are the coordinates of the measurement point.

364 The right plot in Fig. 10 shows the measured count rate as a function of the radius (points). The

365 results of the trapezoidal fit are shown with a solid line. Two parameters fully describe the FoV

366 of HaloSat detectors: the full- and the zero-response radius. The former is the radius at which the

367 count rate stops being constant with radius, and starts linearly decreasing when moving away from

368 the center of the FoV. The latter is the radius at which the count rate drops to zero. Table 6 shows

**Table 6** Field of view parameters of the HaloSat detector units determined during the alignment scans.

DPU unit	Response radius (°)	
	Full	Zero
14	$4.998 \pm 0.009$	$7.030 \pm 0.003$
38	$5.028 \pm 0.009$	$7.032 \pm 0.003$
54	$5.016 \pm 0.013$	$7.030 \pm 0.001$

369 the values of the full- and the zero-response radii measured for the HaloSat detector units. The  
 370 determined FoV sizes are consistent between the units. The response-weighted effective field of  
 371 view, averaged over the three units, is 0.035 steradians (or 115.02 deg<sup>2</sup>).

372 The instrument grasp calculated using this response-weighted field of view and the effective  
 373 area of 5.1 mm<sup>2</sup> around 600 eV is  $\sim 17.7$  cm<sup>2</sup> deg<sup>2</sup>. This value is calculated assuming that all  
 374 three detector units are operational. The achieved grasp value is close to the grasp goal (see Sec. 1  
 375 and Tab. 1). The requirement for the statistical accuracy of the oxygen line measurements (see  
 376 Sec. 1) translates to a requirement on the combination of  $EA \times FoV \times t_{obs}$ , where  $t_{obs}$  is the  
 377 observing time. To meet the statistical accuracy requirement, the instrument with a smaller grasp  
 378 (e.g. in a hypothetical scenario where one of the HaloSat detector units fails) will need to increase  
 379 its observing time.

380 The alignment procedure was also used to determine the bore-sight direction of the detector  
 381 units and their relative co-alignment. Our measurements showed that the original set of the FoV  
 382 washers provided good bore-sight co-alignment to within 0.20°, and that there was no need for  
 383 any additional adjustments to the detectors' FoV washers. The average of the three bore-sight  
 384 vectors was used to relate the science instrument pointing to the spacecraft pointing as given by  
 385 the spacecraft star-tracker system. Calibration of the co-alignment and bore-sight was repeated in  
 386 orbit by scanning across the Crab Nebula and provides consistent results.<sup>3</sup>

## 387 **5 Conclusions**

388 The HaloSat science instrument underwent a series of TVAC tests to study its performance across  
389 a broad temperature range. Data obtained during those tests were used to create calibration prod-  
390 ucts that include energy calibration, instrument energy resolution, and instrument response. In  
391 addition, the field of view and co-alignment were measured under lab conditions. The detectors  
392 are intrinsically quite similar, and we adopt a single response matrix suitable for all three detectors  
393 throughout the mission. The on-ground tests confirmed that the HaloSat science instrument meets  
394 the criteria necessary for the successful execution of its scientific objectives.

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